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# Vacancy defect engineering of BiVO<sub>4</sub> photoanodes for photoelectrochemical water splitting

Photoelectrochemical (PEC) water splitting has been regarded as a promising technology for sustainable hydrogen production. The development of efficient photoelectrode materials is the key to improve the solar-to-hydrogen (STH) conversion efficiency towards practical application. Bismuth vanadate (BiVO<sub>4</sub>) is one of the most promising photoanode materials with the advantages of visible light absorption, good chemical stability, nontoxic feature, and low cost. However, the PEC performance of BiVO<sub>4</sub> photoanodes is limited by the relatively short hole diffusion length and poor electron transport properties. The recent rapid development of vacancy defect engineering has significantly improved the PEC performance of BiVO<sub>4</sub>. In this review article, the fundamental properties of BiVO<sub>4</sub> are presented, followed by an overview of the methods for creating different kinds of vacancy defects in BiVO<sub>4</sub> photoanodes. Then, the roles of vacancy defects in tuning the electronic structure, promoting charge separation, and increasing surface photoreaction kinetics of BiVO<sub>4</sub> photoanodes are critically discussed. Finally, the major challenges and some encouraging perspectives for future research on vacancy defect engineering of BiVO<sub>4</sub> photoanodes are presented, providing quidelines for the design of efficient BiVO<sub>4</sub> photoanodes for solar fuel production.

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## 1. Introduction

The growth of global population and the development of industry have boosted the demand for energy. However, the contemporary society is heavily dependent on fossil fuels such as petroleum, coal and natural gas. The accelerating consumption of fossil fuels not only causes the depletion of non-renew-



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able energy, but also increases the emission of CO2 into the atmosphere. 1-5 Consequently, our human community needs to confront the severe threat of the energy crisis and environmental issues. Therefore, sustainable development has become the consensus among all nations and regions throughout the world. The development of cutting-edge technologies for the production of clean and renewable energy is the pursuit for scientists. Solar energy is the most abundant renewable energy source on earth. The solar energy reaching the earth in one hour is almost equal to the global energy demand in one year. Nevertheless, the low energy density and intermittence make the direct utilization of solar energy unfavourable. Therefore, it is desirable to develop suitable technologies for efficient utilization of solar energy. Photoelectrochemical (PEC) water splitting that converts solar energy into hydrogen as the carbon-free energy carrier has been regarded as a promising technology for solar energy utilization. 7-10 PEC water splitting can store solar energy in the chemical bonds of hydrogen, and hydrogen can be used whenever required. An ideal PEC water splitting system for practical applications should be fulfilled with a high solar-to-hydrogen (STH) conversion efficiency (>10%), long-term stability, and low cost. 11 However, practical application of PEC water splitting has not been realized in the past five decades due to the very low STH efficiency achieved in the cost-effective systems. 12-14

The STH efficiency is mainly determined by the photoelectrode materials since the three main PEC processes take place at the photoelectrode materials: (1) light absorption to generate electron-hole pairs; (2) charge separation and transport; (3) transfer of photogenerated charge carriers from the photoelectrode material to the electrolyte for surface reactions. 15-17 Amongst the above-mentioned processes, light absorption is determined by the bandgap of a semiconductor, which determines the theoretical maximum of STH efficiency under AM

1.5 G illumination. Charge separation and transport are determined by the electronic properties of the photoelectrode materials, whereas surface charge transfer properties are mainly determined by the surface catalytic activity of the photoelectrode materials. Therefore, the development of efficient photoelectrode materials is the most important task to achieve high-performance PEC water splitting. In the past five decades, numerous photoelectrode materials including oxides (e.g. TiO<sub>2</sub>, WO<sub>3</sub>, BiVO<sub>4</sub>, Cu<sub>2</sub>O, Fe<sub>2</sub>O<sub>3</sub>), 18-24 nitrides (e.g. TaON, Ta<sub>3</sub>N<sub>5</sub>, C<sub>3</sub>N<sub>4</sub>), <sup>25-31</sup> chalcogenides (e.g. MoS<sub>2</sub>, CuInS<sub>2</sub>, CZTS, CIGS), 32-37 and metal halide perovskites (e.g.  $p ext{-MAPbI}_3$  have been developed as photoelectrode materials since the first discovery of PEC water splitting in 1972. 42 However, ideal photoelectrode materials to drive PEC water splitting towards practical applications are still lacking.

Amongst all the available photoelectrode materials, bismuth vanadate (BiVO<sub>4</sub>) has emerged as one of the most promising photoanode materials for PEC water splitting due to its narrow bandgap for visible light absorption, appropriate band edge positions that require a relatively low onset potential, low cost, and high stability in aqueous solutions, and has been intensively studied. 43-47 In the past decades, monoclinic scheelite-phase BiVO<sub>4</sub> has been confirmed to be an excellent photoanode material for water oxidation. 48 Although the photocatalytic water oxidation performance of BiVO<sub>4</sub> has been studied since 1998,49 the PEC performance of BiVO4 photoanodes was moderate due to the lack of suitable methods for the fabrication of efficient BiVO<sub>4</sub> films. Since 2003, with the successful synthesis of BiVO4 films by metal-organic decomposition (MOD),50 the performance of BiVO4 was boosted and an increasing number of efficient methods have been developed. 51-54 Pure BiVO<sub>4</sub> photoanodes suffer from severe charge recombination because of the relatively low carrier mobility and short hole diffusion length, leading to very poor PEC performance.<sup>55</sup> To improve the PEC perform-



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ance of BiVO<sub>4</sub> photoanodes, various strategies such as heterojunction formation, 56-61 heteroatom doping, 62-65 nanostructure construction, 66-68 photo-charging, 69-74 crystal facet engineering,<sup>75-78</sup> and vacancy defect engineering<sup>79-82</sup> have been developed, which can effectively enhance light absorption, charge separation and transport, or surface charge transfer properties.

Owing to the rapid progress of BiVO<sub>4</sub> photoanodes, several review articles discussing nanostructure engineering,83 crystal facet engineering,84 and modification strategies85 to improve the PEC performance of BiVO<sub>4</sub> have been reviewed. In recent years, vacancy defect engineering has emerged as an important and efficient strategy to tailor the optoelectronic structure of photoelectrode materials. 86-92 In particular, an increasing number of studies on vacancy defect engineering of BiVO<sub>4</sub> photoanodes including the generation of oxygen vacancies, vanadium vacancies, and bismuth vacancies have been reported. 93-99 Since vacancy defects serve as self-dopants in tailoring the conductivity, band edge positions and surface molecular adsorption properties of BiVO4 photoanodes, the PEC performance can be effectively improved. 100 Considering the rapid development and increasing interest in this important field, a comprehensive and timely review solely focusing on analysing the formation of vacancy defects in BiVO4 photoanodes and their roles in promoting the PEC performance is urgently needed. In this review article, some general properties of BiVO<sub>4</sub> such as crystal structures, optical properties, carrier transport, and photogenerated carrier lifetime are briefly introduced. This is followed by an overview of the formation of oxygen vacancies, vanadium vacancies, and bismuth vacancies in BiVO4 photoanodes. Subsequently, the roles of vacancy defects in BiVO<sub>4</sub> photoanodes are critically discussed. Finally, a concise summary of vacancy defect engineering of BiVO<sub>4</sub> photoanodes for PEC water splitting, the key challenges and perspectives in this attractive field are presented. We hope to shed light on the development of vacancy defect engineering for the design of efficient photoelectrodes for solar fuel production.

## Crystal structure and optical and electronic properties

BiVO<sub>4</sub> is a bright vellow solid that is generally applied as a novel environmentally friendly inorganic yellow pigment because of its high hiding power, weather resistance, and nontoxic feature. 101,102 The bandgap of BiVO<sub>4</sub> is 2.4-2.5 eV, which can absorb visible light up to approximately 520 nm. In addition, the valence band maximum (VBM) of BiVO4 is more positive than the water oxidation potential, making BiVO4 an attractive photoanode material for PEC water splitting. Since crystal, optical and electronic structures have a close relationship with the PEC performance of BiVO4 photoanodes, in this section, we will briefly introduce the crystal, optical and electronic properties of BiVO4 and critically discuss their effects on PEC water splitting performance, which is essential for the design of efficient BiVO<sub>4</sub> photoanodes.

#### 2.1. Crystal structures

As shown in Fig. 1, BiVO<sub>4</sub> has three main crystal forms: pucherite, dreyerite and clinobisvanite. 48,83 More specifically, pucherite is a natural mineral of BiVO4 with an orthorhombic crystal structure, which cannot be synthesized by general laboratory routes. Dreyerite occurs in a tetragonal zircon (t-z) structure, whereas *clinobisvanite* is a monoclinic scheelite (m-s) structure. In addition, when the atomic positions are modified to construct a four-fold symmetry structure in the clinobisvanite, another tetragonal scheelite (t-s) structure is formed. The crystal structures and bond lengths of BiVO4 are listed in Table 1.103 Both dreyerite and clinobisvanite can be prepared in the laboratory under different conditions. Generally, BiVO<sub>4</sub> (m-s) is achieved by a solid-state reaction at high temperatures. 104 In addition, sol-gel and hydrothermal methods can also obtain BiVO<sub>4</sub> (m-s). 105 BiVO<sub>4</sub> (t-z) is synthesized in aqueous media by low-temperature processes. For example, BiVO<sub>4</sub> (t-z) was prepared via a coprecipitation method using a Bi(NO<sub>3</sub>)<sub>3</sub> solution containing nitric acid and an aqueous NH4VO3 solution at room temperature. 106

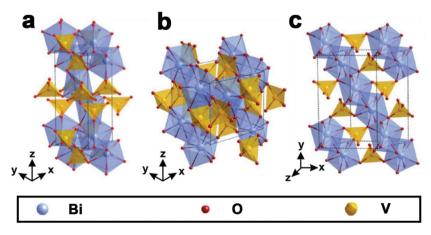
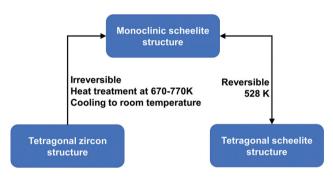


Fig. 1 Crystal structure of BiVO<sub>4</sub>: (a) pucherite, (b) dreyerite and (c) clinobisvanite. Reproduced from ref. 107 with permission. Copyright 2016, Springer Nature.

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Orthorhombic	t-z	m-s	t-s
(Å)			
Pnca	$14_1/amd$	$C_2/c$	$14_{1}/a$
5.332	7.303	7.247	5.147
5.060	7.303	11.697	5.147
12.020	6.584	5.090	11.722
Bi-O 2.20 2.31 2.53 2.73	2.41	2.35	2.40
	2.55	2.37	2.47
		2.52	
		2.63	
V-O 1.76	1.7	1.69	1.73
2.73		1.76	
	Pnca 5.332 5.060 12.020 2.20 2.31 2.53 2.73 1.76	Pnca     141/amd       5.332     7.303       5.060     7.303       12.020     6.584       2.20     2.41       2.31     2.55       2.53     2.73       1.76     1.7	$\begin{array}{cccccccccccccccccccccccccccccccccccc$



**Fig. 2** Conditions for phase transformation between different crystal structures of BiVO<sub>4</sub>. Reproduced from ref. 108 with permission. Copyright 2001, American Chemical Society.

BiVO<sub>4</sub> (m-s) can be transformed from both BiVO<sub>4</sub> (t-z) and BiVO<sub>4</sub> (t-s) by a thermal treatment process. As illustrated in Fig. 2, by annealing BiVO<sub>4</sub> (t-z) at 670–770 K, followed by cooling to room temperature, BiVO<sub>4</sub> (m-s) can be obtained. However, BiVO<sub>4</sub> (m-s) cannot be converted back to BiVO<sub>4</sub> (t-z) by thermal treatment. BiVO<sub>4</sub> (t-s) is a high temperature phase and the phase transition between BiVO<sub>4</sub> (t-s) and BiVO<sub>4</sub> (m-s) is reversible at 528 K.<sup>108</sup> Interestingly, different crystalline structures of BiVO<sub>4</sub> nanoparticles (t-z, m-s, and t-z/m-s heterostructure) can be synthesized by a fast microwave assisted method and annealing treatment process.<sup>109</sup>

The photocatalytic activity of BiVO<sub>4</sub> is affected by its crystal structure. For example, BiVO<sub>4</sub> powders with m-s and t-z structures were selectively prepared by tuning the ratio of vanadium to bismuth in the starting materials. 110 The bandgap of BiVO<sub>4</sub> (t-z) is 2.9 eV while that of BiVO<sub>4</sub> (m-s) is 2.4 eV, as demonstrated in Fig. 3a. As a result, BiVO<sub>4</sub> (t-z) and BiVO<sub>4</sub> (m-s) showed similar photocatalytic activities under UV light (300 <  $\lambda$  < 380 nm) illumination in the presence of silver nitrate as the electron sacrificial reagent. However, BiVO<sub>4</sub> (m-s) exhibited a much higher photocatalytic activity than BiVO<sub>4</sub> (t-z) under visible light ( $\lambda > 420$  nm) illumination. Therefore, the different photocatalytic activity between BiVO<sub>4</sub> (t-z) and BiVO<sub>4</sub> (m-s) is mainly derived from their different bandgaps. BiVO<sub>4</sub> powder with a scheelite structure was prepared by hydrolysing a mixture of Bi(NO<sub>3</sub>)<sub>3</sub> and Na<sub>3</sub>VO<sub>4</sub> solution containing nitric acid with Na<sub>2</sub>CO<sub>3</sub> or NaHCO<sub>3</sub> at room temperature. 108 By tuning the reaction time, BiVO<sub>4</sub> (t-s) and BiVO<sub>4</sub> (m-s) can be selectively obtained. This pioneering work provides a reliable process for the synthesis of the high-temperature formed BiVO<sub>4</sub> (t-s) at room temperature, enabling the possible study of

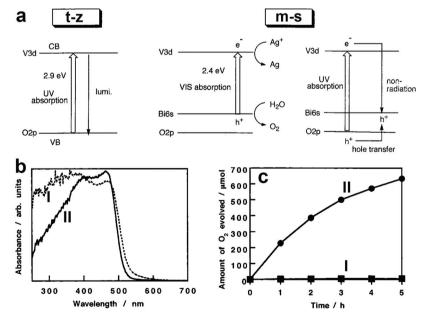


Fig. 3 (a) Band structures of the t-z and m-s BiVO<sub>4</sub>. Reproduced from ref. 110 with permission. Copyright 1999, American Chemical Society. (b) UV-vis light absorption curves and (c) oxygen evolution curves in an aqueous  $AgNO_3$  solution under visible light illumination ( $\lambda > 420$  nm) of the  $AgNO_3$  solution under visible light illumination ( $AgNO_3$  solution under visible lig

its photocatalytic activity. Interestingly, even though BiVO<sub>4</sub> (t-s) and BiVO<sub>4</sub> (m-s) exhibit very similar crystal structures and bandgaps (Fig. 3b), their photocatalytic activities are significantly different. BiVO<sub>4</sub> (m-s) showed a high photocatalytic activity for O2 evolution in an aqueous AgNO3 solution under visible light illumination, whereas its BiVO<sub>4</sub> (t-s) counterpart exhibited a negligible O2 evolution activity (Fig. 3c). It was believed that the high visible light photocatalytic activity of BiVO<sub>4</sub> (m-s) is attributed to the distortion of a Bi-O polyhedron by a 6s<sup>2</sup> lone pair of Bi<sup>3+</sup> that induces the local polarization, promoting the separation of photogenerated electron-hole pairs.

## 2.2. Optical properties

According to the discussion in section 2.1, BiVO<sub>4</sub> (m-s) exhibits the best photocatalytic activity, and has been widely studied as an excellent water oxidation material for both photocatalytic and PEC water splitting.111-115 Therefore, the optical properties discussed in this section are focused on BiVO<sub>4</sub> (m-s). Based on the density functional theory (DFT) calculations, the minimum bandgap of BiVO<sub>4</sub> is 2.174 eV, and the two k-points at the VBM and conduction band minimum (CBM) are located at different positions, indicating the indirect bandgap characteristics (Fig. 4a). 116 The calculated bandgap is underestimated compared to the experimental values (2.4-2.5 eV depending on the preparation methods), due to the well-known drawback of generalized gradient approximation (GGA). The band structure of BiVO4 is shown in Fig. 4b, where the conduction band of BiVO<sub>4</sub> mainly originates from the V 3d orbitals, while the valence band is composed of the hybridization of the O 2p and Bi 6s orbitals, 117 which is consistent with the band structure proposed by Kudo et al. 110 Owing to the relatively narrow bandgap and the positive VBM, BiVO<sub>4</sub> exhibits excellent photocatalytic oxygen evolution and organic compound decomposition under visible light illumination. 118-120 However, since the CBM of BiVO<sub>4</sub> is close to but a little more positive than the hydrogen evolution potential, spontaneous photocatalytic hydrogen evolution cannot take place. Thus, BiVO<sub>4</sub> can only achieve the half water oxidation reaction. As shown in Fig. 4c, the absorption coefficient ( $\alpha$ ) of pure BiVO<sub>4</sub> increases significantly at the bandgap energy, with a value of  $1 \times 10^4$  cm<sup>-1</sup> at the band edge absorption onset of approximately 500 nm, reaching  $1 \times 10^5$  cm<sup>-1</sup> at around 460 nm.<sup>121</sup> Moreover, a plateau can be observed between 430 and 390 nm. When the wavelength is shorter than 390 nm, the absorption coefficient increases gradually. The strong absorption of green to ultraviolet photons in the visible region and transmission of yellow to infrared photons results in the yellow colour of BiVO<sub>4</sub>.

#### 2.3. Carrier transport

The efficient transport of majority charge carriers in BiVO<sub>4</sub> is essential to achieve a high charge separation efficiency when used as a photoanode. Previous experimental studies revealed that the carrier transport in BiVO4 photoanodes is poor, leading to severe charge recombination. However, the underlying mechanism was unclear due to the lack of cutting-edge time-resolved technology to characterize the dynamic charge separation and transport process. In 2013, Roel van de Krol's group applied a time-resolved microwave conductivity (TRMC) technology to investigate BiVO4 under one sun illumination, and found that the carrier mobility of undoped BiVO<sub>4</sub> is  $\sim 0.04 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ , which is at least 1–2 orders of magnitude lower than that of other typical semiconductor photoelectrode materials (e.g. the electron mobility of ZnO is 100-200 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>) for PEC water splitting. 122 DFT calculations revealed that the low carrier mobility is attributed to the relatively localized charge carriers due to the weakly dispersive valence band and conduction band in the band structure curve. By using THz

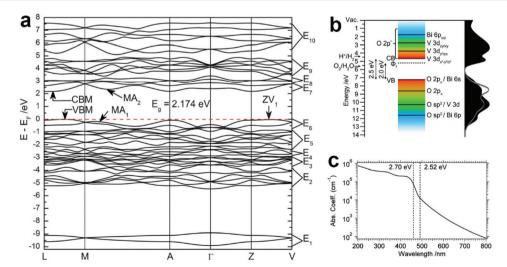


Fig. 4 (a) The calculated band structure of BiVO<sub>4</sub> (m-s). Reproduced from ref. 116 with permission. Copyright 2011, Royal Society of Chemistry. (b) Schematic illustration of the band structure of BiVO<sub>4</sub> (m-s). Reproduced from ref. 117 with permission. Copyright 2014, American Chemical Society. (c) The absorption coefficient curve of BiVO<sub>4</sub> (m-s) between 200 and 800 nm. Reproduced from ref. 121 with permission. Copyright 2015, American Chemical Society.

spectroscopy, the temporal evolution of polaron formation leading to a build-up of a polaron population in parallel to initial carrier trapping was observed in BiVO<sub>4</sub>. 123 In addition, the self-trapped carriers have a mobility of 0.02 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>, leading to a thermal hopping activation energy of ~90 meV. Interestingly, BiVO<sub>4</sub> showed facet-dependent charge carrier transport properties. 124 The effective hole and electron masses of the (010) facet are estimated to be approximately  $0.16m_0$ and  $0.11m_0$ , respectively. In comparison, the effective hole and electron masses of the (011) facet are around  $0.40m_0$  and  $0.24m_0$ , respectively. Since the drift velocity of electrons or holes is proportional to the reciprocal of the effective mass, lower effective masses indicate more efficient charge carrier transport. Therefore, charge carrier transport properties in the (010) facet are better than those in the (011) facet for BiVO<sub>4</sub>. In addition, O 2p and Bi 6s contributions in the valence bands are more localized in the (011) facet compared to those of the (010) facet. Thus, the (011) facet exhibits slower charge mobility than the (010) facet.

On the other hand, the relatively low electronic conductivity also causes severe charge recombination in BiVO<sub>4</sub> photoanodes. Doping BiVO<sub>4</sub> with either Mo<sup>6+</sup> or W<sup>6+</sup> or simultaneous doping with Mo<sup>6+</sup> and W<sup>6+</sup> at the V<sup>5+</sup> site is effective to increase the electronic conductivity, 125-128 thereby promoting the PEC water splitting performance. Generally, the increased carrier density after doping can be demonstrated from Mott-Schottky plots. Interestingly, DFT calculations revealed a marginal decrease in the value of carrier mobility with an increase in the doping level (singly and co-doped samples), which is consistent with the experimental results that the intrinsic mobility of electrons in doped BiVO4 is smaller than that in un-doped BiVO<sub>4</sub>. 129 However, W/Mo doping is efficient to increase the electron carrier concentration, leading to higher conductivity of the BiVO<sub>4</sub> photoanode.

## 2.4. Photogenerated carrier lifetime

In addition to the excellent conductivity for majority charge carriers, a promising photoanode should also efficiently transport photogenerated minority charge carriers to the semiconductor/electrolyte interfaces for water oxidation. Therefore, the lifetime of the photogenerated carriers is another important factor. The minority carrier diffusion length (L) is defined by  $L = (D\tau)^{1/2}$ , where D is the diffusion constant, which is proportional to the carrier mobility, and  $\tau$  is the minority carrier lifetime, which is highly sensitive to the crystal structure, crystallinity, facet and recombination channels in the semiconductor. According to this equation, a higher carrier mobility and a longer minority carrier lifetime can achieve a longer minority carrier diffusion length, which means that the photogenerated charge carriers are easier to be separated and transferred to the semiconductor/electrolyte interface for the subsequent photocatalytic reaction.

Since BiVO<sub>4</sub> has an indirect bandgap, the band edge photoluminescence curve cannot be observed and the direct measurement of the minority carrier lifetime through timeresolved photoluminescence cannot be achieved. Thus, transient absorption pump-probe spectroscopy was applied to determine the characteristic relaxation time. 121 By using excitation pulses at 350 nm with a duration of 100 fs and a repetition rate of 1 kHz, and a white light continuum pulse of 360-700 nm, the decay time was estimated to be around 20 ns. In another study, TRMC measurements confirmed that the carrier lifetime of BiVO<sub>4</sub> is exponentially long, reaching ~40 ns. 122 Although the carrier lifetime of BiVO<sub>4</sub> is much longer than that of other metal oxide semiconductors such as α-Fe<sub>2</sub>O<sub>3</sub> (3 ps), WO<sub>3</sub> (1-9 ns), and Cu<sub>2</sub>O (40 ps), the minority carrier diffusion length of BiVO<sub>4</sub> is calculated to be only ~70 nm, due to the relatively low carrier mobility. It was believed that the relatively long carrier lifetime is attributed to the indirect bandgap characteristics of BiVO<sub>4</sub> that prevent a direct recombination of the photogenerated hole-electron pairs. 131

Interestingly, BiVO<sub>4</sub> particles exhibit particle size dependent carrier dynamics and reactivity under visible light illumination, as evidenced by single-particle transient absorption microscopy. 132 Upon the illumination of a 527 nm light, it was found that the well-faceted nano-aggregated BiVO4 crystals exhibit fast hole decay and little reactivity for Fe3+ reduction. In comparison, other aggregated particles with grain boundaries between small primary crystals exhibit slower hole decay and higher reactivity for Fe<sup>3+</sup> reduction than their nano-aggregated crystal counterparts. When the secondary particle size of the aggregated crystals increases, the hole decay becomes slower and the reactivity becomes higher. Thus, the grain boundaries in aggregated particles do not function as recombination centers but play an essential role in elongating the carrier lifetime.

## Vacancy defect engineering of BiVO<sub>4</sub> photoanodes

In the conventional point of view, vacancy defects in semiconductor materials would induce detrimental effects on their photocatalytic activities. In fact, excessive vacancy defects in semiconductor materials lead to enhanced charge recombination due to the generation of more charge recombination centers. 133,134 Nevertheless, an optimized number of vacancy defects can effectively tailor the electronic structure of a semiconductor material, and increase the conductivity and mobility, thereby promoting photocatalytic activity. In recent years, an increasing number of publications confirmed the benefit of vacancy defects in photoelectrode materials for PEC water splitting. 135-140 In particular, oxygen vacancies function as shallow donors in BiVO4 photoanode materials, which can improve the electronic conductivity and promote the separation and transfer of photogenerated charge carriers. 141 As a result, a much higher photocurrent density can be observed compared to pristine BiVO4 photoanodes without vacancy defects.

In addition to tuning the electronic structure, oxygen vacancies can also cause distortions in the crystal structure of

BiVO<sub>4</sub> at room temperature, and the degree of distortion is determined by the vacancy concentration. 142 Moreover, defects on the surface of BiVO<sub>4</sub> can obviously increase the hydrophilicity, promoting the surface water oxidation reaction. 143 Generally, most of the oxide semiconductors show n-type conductivity due to the formation of oxygen vacancies, whereas the formation of cationic vacancies or interstitial anions could increase the p-type conductivity. 144,145 This is very interesting, because the switchable n-p conductivity of a metal oxide semiconductor can be designed by deliberately creating oxygen vacancies or cationic vacancies. With the increasing interest of vacancy defect engineering in PEC water splitting, other kinds of vacancy defects such as vanadium vacancies and bismuth vacancies have also been prepared. In this section, we will concisely discuss the methods to generate different kinds of vacancy defects in BiVO<sub>4</sub> photoanodes, and critically analyse the roles of vacancy defects in BiVO<sub>4</sub> photoanodes in terms of improving the PEC properties.

#### 3.1. Formation of vacancy defects in BiVO<sub>4</sub> photoanodes

3.1.1. Oxygen vacancies. Oxygen vacancies can increase the carrier densities in BiVO<sub>4</sub> photoanodes, which increases band bending at the semiconductor/electrolyte interfaces, thus promoting charge separation and transfer. As shown in Fig. 5, strategies such as thermal treatment under a reduction/inert atmosphere, chemical reduction, electrochemical reduction, photo/PEC treatment, precursor solution/film treatment, and heteroatom doping have been developed for generating oxygen vacancies in BiVO<sub>4</sub> photoanodes. In this subsection, we will briefly introduce the above emerging strategies for the generation of oxygen vacancies in BiVO<sub>4</sub> photoanodes.

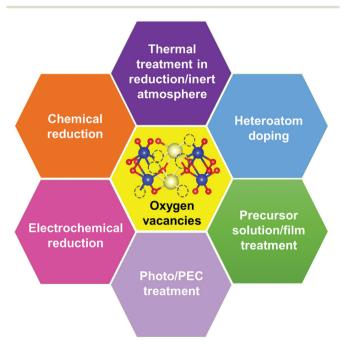


Fig. 5 Strategies for generating oxygen vacancies in  $BiVO_4$  photoanodes.

Generally, thermally treating a metal oxide semiconductor under a reduction or inert atmosphere can generate oxygen vacancies. The number of generated oxygen vacancies can be tailored by controlling the thermal treatment temperature. The formation of oxygen vacancies can be expressed using the Kröger-Vink notation: 146

$$O_O^{\times} \rightarrow V_O^{\bullet \bullet} + \frac{1}{2}O_2 + 2e' \tag{1}$$

$$e' + V_V^{\times} \rightarrow V_V^{'} \tag{2}$$

$$e' + Bi_{Bi}^{\times} \to Bi_{Bi}^{'} \tag{3}$$

According to eqn (1)–(3), the formation of one oxygen vacancy  $(V_O^*)$  is accompanied by the reduction of one  $V^{5^+}$   $(V_V^\times)$  and one  $Bi^{3^+}$   $(Bi_{Bi}^\times)$  to generate  $V^{4^+}$   $(V_V^{'})$  and  $Bi^{2^+}$   $(Bi_{Bi}^{'})$ , thus maintaining electric neutrality in the BiVO<sub>4</sub> crystal.

Planar BiVO<sub>4</sub> films were annealed under a hydrogen atmosphere at elevated temperatures between 200 and 400 °C. 147 Not only oxygen vacancies but also hydrogen impurities were incorporated into the BiVO4 films. With the annealing temperature increased from 200 to 400 °C, the bright yellow BiVO<sub>4</sub> films turned yellowish green and eventually dark green. Similarly, nanoporous BiVO<sub>4</sub> films were prepared by a typical electrodeposition-thermal method.66 To create oxygen vacancies, the obtained nanoporous BiVO<sub>4</sub> films were annealed in a flow of 6% H<sub>2</sub>/Ar for 10 min at 300 °C.82 In addition to hydrogen treatment at elevated temperatures, hydrogen plasma treatment of BiVO4 photoanodes at room temperature can also generate oxygen vacancies.148 Likewise, the number of oxygen vacancies in three-dimensional (3D) nanoporous BiVO4 can be controllably generated by an ionized argon plasma technology. 149 Moreover, anoxic annealing can also generate oxygen vacancies in BiVO4 photoanodes, which was effective to increase the mobility, lifetime, and concentration of the photogenerated carriers. 150 In addition, thermally treating BiVO<sub>4</sub> under an argon atmosphere at elevated temperature can also generate oxygen vacancies. 151 Unlike under the hydrogen atmosphere, a higher temperature (e.g. 500 and 700 °C) is required to generate oxygen vacancies under an argon atmosphere, which can form new sub-gap states in the electronic structure of BiVO<sub>4</sub>.

Chemical reduction of BiVO<sub>4</sub> photoanodes with different reducing agents is another common strategy to generate oxygen vacancies. By chemically treating BiVO<sub>4</sub> films in a NaBH<sub>4</sub> solution, B–O bonding at the surface of BiVO<sub>4</sub> photoanodes was destroyed, leading to the removal of oxygen atoms and the formation of oxygen vacancies. In addition, Bi tons were reduced to metallic Bi nanoparticles that were decorated homogeneously on the surfaces of the BiVO<sub>4</sub> photoanode. Similarly, an effective surface-engineered sulfite treatment process was developed to generate surface oxygen vacancies in BiVO<sub>4</sub> photoanodes without illumination. Interestingly, a novel pyramid-BiVO<sub>4</sub> with sufficient oxygen vacancies was prepared by a low-temperature solvothermal

process, 154 which can effectively improve the separation and transfer efficiencies of the photogenerated charge carriers.

Electrochemical reduction can also generate oxygen vacancies in BiVO<sub>4</sub> photoanodes. The number of oxygen vacancies generated can be tuned by controlling the reduction potential and the electrochemical reduction time. For example, an electrochemical reduction process was applied to treat the Mo-doped BiVO<sub>4</sub> films. 155 As shown in Fig. 6a, when the BiVO<sub>4</sub> film was electrochemically treated at -0.8 V (versus Ag/AgCl), a quasi-oxygen vacancy was formed on the (020) facet (only Bi-O bonds crack), which can significantly increase the electron mobility and photocurrent density. Nevertheless, the reduction potential of -1.2 V induced the formation of oxygen vacancies on the surface of the (020) facet (both Bi-O and V-O bonds break simultaneously), leading to the obvious decrease of photocurrent densities. It was believed that the formation of a quasi-oxygen vacancy is essential to promote the PEC water splitting performance of the Mo-doped BiVO<sub>4</sub> photoanodes, as evidenced by the much weaker photoluminescence signal of the -0.8-BiMoVO compared to that of BiMoVO (Fig. 6b). Similarly, a hydrothermally synthesized BiVO<sub>4</sub> film with the (040) facet grown vertically on the fluorine doped SnO<sub>2</sub> (FTO) glass substrate (Fig. 6c-e) was electrochemically treated at -0.1 V versus the reversible hydrogen electrode (RHE) for only 150 s, which generated an appropriate amount of oxygen vacancies.<sup>79</sup> Surprisingly, the photocurrent density is

significantly enhanced by 10 times, reaching 2.5 mA cm<sup>-2</sup> under AM 1.5 G illumination (Fig. 6f). Upon the surface modification with cobalt borate (CoBi) as the oxygen evolution cocatalyst, the photocurrent density can be further improved, exhibiting an excellent applied bias photon-to-current efficiency (ABPE) of 1.1%.

Interestingly, photo or PEC treatment of BiVO<sub>4</sub> photoanodes in the presence of Na2SO3 solution can also generate oxygen vacancies. The formation of oxygen vacancies is attributed to the redox reaction capacity of the photogenerated electrons and holes, as demonstrated below: 95,156

$$e' + V_{V}^{\times} \to V_{V}^{'} \tag{4}$$

$$e' + Bi_{Bi}^{\times} \to Bi_{Bi}^{'} \tag{5}$$

$$2h^{\:\raisebox{3.5pt}{\text{\circle*{1.5}}}} + O_O^\times + SO_3^{2-}(\text{in solution}) \to SO_4^{2-}(\text{in solution}) + V_O^{\:\raisebox{3.5pt}{\text{\circle*{1.5}}}} \tag{6}$$

For example, a bare BiVO<sub>4</sub> photoanode was placed in a Na<sub>2</sub>SO<sub>3</sub> solution under light illumination. <sup>156</sup> Owing to the presence of a hole sacrificial agent (Na2SO3), the photogenerated holes are consumed while the photogenerated electrons can reduce BiVO<sub>4</sub> itself, forming oxygen vacancies. Similarly, a facile photoetching process was applied to generate enriched oxygen vacancies at the surface of BiVO4 photoanodes, while avoiding the formation of excessive bulk defects. 95 The surface oxygen vacancies increased the carrier density to enhance

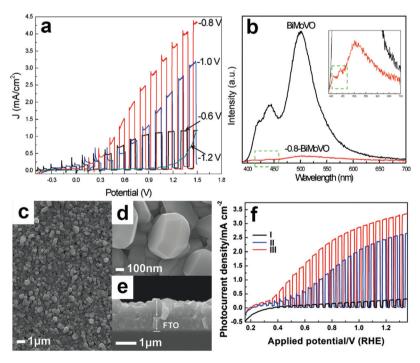


Fig. 6 (a) Photocurrent density versus applied potential curves of the Mo-doped BiVO<sub>4</sub> films electrochemically treated at -0.6, -0.8, -1.0, and -1.2 V versus Ag/AgCl, respectively. (b) Photoluminescence spectra of Mo-doped BiVO<sub>4</sub> without electrochemical reduction (BiMoVO) and electrochemically treated at -0.8 V (-0.8-BiMoVO). Reproduction from ref. 155 with permission. Copyright 2017, Wiley-VCH. (c) Low-magnification, (d) highmagnification, and (e) cross-sectional SEM images of BiVO<sub>4</sub> films with (040) facet grown vertically on the FTO substrate. (f) Photocurrent density versus applied potential curves of samples I: BVO<sub>4</sub> film, II: electrochemically-treated BiVO<sub>4</sub> film (E-BVO), and III: CoBi/E-BVO. Reproduced from ref. 79 with permission. Copyright 2017, Wiley-VCH.

band bending, leading to a 2.3-fold higher charge separation efficiency. Recently, a PEC activation process was developed to generate oxygen vacancies at the surface and passivated the surface states for  $\rm BiVO_4$  photoanodes, thus boosting charge transfer at the  $\rm BiVO_4/electrolyte$  interface, suppressing surface recombination, and leading to an enhanced PEC performance.  $^{94}$ 

Suitable treatment on the precursor solutions or films can also generate oxygen vacancies in the obtained BiVO4 photoanodes. For example, BiVO4 photoanodes were prepared by a spray pyrolysis process. 157 By treating the precursor solution with ultraviolet light and ultrasonication (Fig. 7a), the nanostructure as well as the number of oxygen vacancies can be tuned in the final obtained BiVO4 films. Systematic studies revealed that the nanoporous structure was mainly attributed to the ultrasonic treatment, while the formation of oxygen vacancies was primarily derived from ultraviolet treatment. Bismuth precursor films were prepared by an electrodeposition method. 158 By thermally treating the bismuth precursor films with vanadyl acetylacetonate (VO(C5H7O2)2) vapors (Fig. 7b), BiVO<sub>4</sub> films with abundant oxygen vacancies can be achieved. Interestingly, the number of oxygen vacancies in the BiVO<sub>4</sub> film can be tuned by changing the pH value in the precursor electrolyte. BiVO<sub>4</sub> films obtained by converting from the bismuth precursor films electrodeposited in an acidic electrolyte contain much more oxygen vacancies compared to their counterparts prepared by electrodeposition in an alkaline electrolyte (Fig. 7c). A bismuth oxide precursor film was prepared by an electrodeposition method, which was converted to a transparent BiVO4 photoanode with well-controlled oxygen vacancies through a mild thermal treatment process in the presence of VO(C<sub>5</sub>H<sub>7</sub>O<sub>2</sub>)<sub>2</sub>.80 The formation of a bismuth oxide precursor film is the key to generate oxygen vacancies in the obtained BiVO<sub>4</sub> photoanode. By carefully tuning the electrodeposition and the thermal treatment processes, an appropriate amount of oxygen vacancies was formed in the BiVO<sub>4</sub> photoanodes, leading to a significantly enhanced charge separation efficiency. As a result, a high photocurrent density of 5.87 mA cm<sup>-2</sup> was achieved at 1.23 V versus RHE under AM 1.5 G illumination (Fig. 7d and e). Very recently, a new sulfur oxidation strategy was developed to prepare planar BiVO<sub>4</sub> photoanodes with in situ formed oxygen vacancies.81 The oxidation of sulfur during the calcination process can create an oxygen deficient atmosphere, leading to the formation of oxygen vacancies in the BiVO<sub>4</sub> photoanodes.

Doping BiVO<sub>4</sub> photoanodes with other elements can induce the formation of oxygen vacancies. Both theoretical and experimental results revealed that Zn doping can replace the Bi-sites, thus inducing a controllable number of oxygen vacancies in the BiVO<sub>4</sub> films (Fig. 7f).<sup>159</sup> Electron paramagnetic resonance

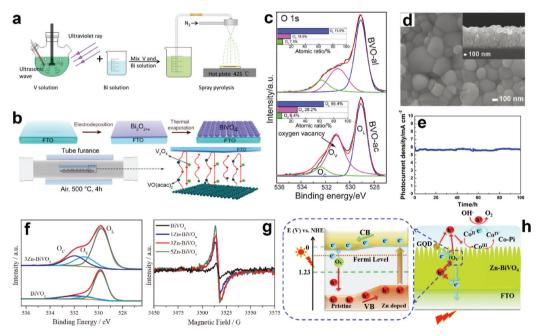


Fig. 7 (a) Schematic illustration of the preparation of nanoporous BiVO<sub>4</sub> photoanodes with abundant oxygen vacancies. Reproduced from ref. 157 with permission. Copyright 2020 Author(s). (b) Schematic illustration of the BiVO<sub>4</sub> films prepared by a thermal evaporation process. (c) High resolution XPS O 1s spectra of BiVO<sub>4</sub> films converted from bismuth precursor films prepared in an acidic electrolyte (BVO-ac) and alkaline electrolyte (BVO-al) (Inset: atomic ratios of O<sub>L</sub>, O<sub>V</sub>, and O<sub>C</sub> calculated from the XPS spectrum of O 1s). Reproduced from ref. 158 with permission. Copyright 2019, Wiley-VCH. (d) SEM image of the BiVO<sub>4</sub> film with oxygen vacancies, inset: the corresponding cross-sectional view. (e) Photocurrent density versus time of the dual BiVO<sub>4</sub> photoanodes with abundant oxygen vacancies coated with FeOOH/NiOOH oxygen evolution cocatalysts at 1.23 V versus RHE under AM 1.5 G illumination. Reproduced from ref. 80 with permission. Copyright 2018, Wiley-VCH. (f) High resolution O 1s XPS spectra of BiVO<sub>4</sub> and 3 at% Zn doped BiVO<sub>4</sub> (3Zn-BiVO<sub>4</sub>). (g) EPR curves of BiVO<sub>4</sub> with different Zn-dopant contents. (h) Schematic of the proposed band gap for the pristine BiVO<sub>4</sub>/Zn-doped BiVO<sub>4</sub> homojunction photoanode. Reproduced from ref. 159 with permission. Copyright 2019, Elsevier.

(EPR) results demonstrated that with the increasing content of Zn dopant in BiVO<sub>4</sub>, more oxygen vacancies are formed (Fig. 7g). As illustrated in Fig. 7h, the synergistic effect of Zn doping and oxygen vacancies not only change the conduction and valence band positions, forming a local built-in electric field, but also increase the charge carrier density, promoting charge separation and transfer. In addition, water adsorption on Bi-sites was also activated, which is also beneficial for water splitting. Interestingly, a BiVO<sub>4</sub> homojunction with abundant oxygen vacancies was prepared by a surface crystal orientation reconstruction induced by a one-step Mo doping method. 160 In addition to the generation of oxygen vacancies, Mo doping also promoted the formation of a BiVO<sub>4</sub> nanolayer with crystal orientation of (121) on the (110) facet. Compared to the pristine BiVO<sub>4</sub> photoanode, the photocurrent density of the Mo doped one is obviously improved. Similarly, Gd doping of BiVO<sub>4</sub> can also generate surface oxygen vacancies. 161

Protecting oxygen vacancies from being oxidized during PEC water splitting is also very important to maintain the high activity and stability. Although hydrogenation treatment is a facile and efficient process to create oxygen vacancies in BiVO<sub>4</sub> photoanodes, PEC activity of the obtained oxygen deficient BiVO<sub>4</sub> photoanode would decrease due to the gradual healing of oxygen vacancies in the BiVO4 photoanode. It was found that coating an ultrathin amorphous TiO2 layer on a hydrogenated nanoporous BiVO<sub>4</sub> (H-BiVO<sub>4</sub>) photoanode is effective to protect the oxygen vacancies from being oxidized during PEC water splitting. 162 Without TiO2 coating, the photocurrent density of the H-BiVO<sub>4</sub> photoanode is decreased to the value of the pristine one after consecutive light illumination for 3 h with external bias. However, the TiO2 coated H-BiVO4 photoanode only exhibits 5% decay of the photocurrent density in the same period of time. In addition, oxygen vacancies can also be stabilized in the BiVO<sub>4</sub> Scheelite structure by incorporating Sr<sup>2+</sup> to replace Bi<sup>3+</sup>, resulting in the formation of cornersharing V<sub>2</sub>O<sub>7</sub> tetrahedral dimers. 163 The migration of oxygen vacancies took place through a cooperative mechanism involving V<sub>2</sub>O<sub>7</sub>-dimer breaking and reforming assisted by synergic rotation and deformation of the neighboring VO<sub>4</sub> tetrahedra.

3.1.2. Vanadium vacancies. Annealing BiVO<sub>4</sub> films at elevated temperatures without excessive vanadium sources would result in the loss of vanadium, thus forming vanadium vacancies. The loss of vanadium can be described by the following defect-chemical reactions: 133

$$O_2(g) + V_V^{\times} + 5e' \rightarrow V_V^{''''} + VO_2(g)$$
 (7)

$$\frac{1}{2}O_2(g) + V_V^{\times} + 5e' \rightarrow V_V^{""'} + VO(g) \tag{8}$$

$$V_{\mathbf{V}}^{""'} \to V_{\mathbf{V}}^{"'} + 2\mathbf{e}' \tag{9}$$

$$2VO(g) \rightarrow VO_2(g) + V(g) \tag{10} \label{eq:10}$$

The energy level of vanadium vacancies is located at around 0.3 eV above the valence band edge of BiVO<sub>4</sub>, 128 which would be deep enough in the bandgap to form trap states, rendering charge recombination centers for the photogenerated electron-hole pairs. Unlike oxygen vacancies that have positive effects on the PEC performance of BiVO4 photoanodes, vanadium vacancies generally reduce the photocurrent densities. Fortunately, vanadium loss in BiVO4 photoanodes can be avoided by supplying excess vanadium in the gas phase during the calcination process. 133

Very recently, the formation of vanadium vacancies and their impact on PEC water splitting performance of the BiVO<sub>4</sub> photoanodes were systematically studied. 99 BiVO4 films with a high intrinsic level of vanadium vacancies (denoted as V<sub>v</sub>-BiVO<sub>4</sub>) were prepared by high-temperature self-assembly of flame-made aerosols, followed by calcination at 450 °C for 2 h in air without the addition of any vanadium source. X-ray photoelectron spectroscopy (XPS) confirmed the presence of vanadium vacancies on the surfaces of the obtained BiVO<sub>4</sub> photoanodes, whereas photoluminescence spectroscopy revealed an increased photogenerated charge recombination efficiency. The underlying mechanism was further studied by DFT calculations, which revealed that vanadium vacancies formed a new sub-band gap level near the Fermi level of BiVO<sub>4</sub>, acting as recombination centers. Thus, many more photogenerated charge carriers were recombined in the bulk of BiVO<sub>4</sub> before reaching the surfaces for the subsequent oxygen evolution reaction, leading to a very low photocurrent density.

To further confirm the detrimental effect of vanadium vacancies on the PEC performance of BiVO<sub>4</sub> photoanodes, the vanadium deficient BiVO4 photoanodes were annealed in the presence of an additional vanadium source to compensate for the vanadium loss (denoted as V-treated BiVO<sub>4</sub>).<sup>99</sup> As expected, the photocurrent density was increased by 2 times compared to its vanadium deficient counterpart in the presence of Na<sub>2</sub>SO<sub>3</sub> as the hole scavenger (Fig. 8a). In addition, by surface loading the V-treated BiVO4 photoanode with FeOOH/NiOOH dual cocatalysts (Fig. 8b-d), the photocurrent densities of V-treated BiVO<sub>4</sub>/ FeOOH/NiOOH are also much higher than those of its V<sub>V</sub>-BiVO<sub>4</sub>/FeOOH/NiOOH counterpart for PEC water splitting (Fig. 8e), which is due to the enhanced charge separation and transfer efficiencies in the V-treated BiVO<sub>4</sub> photoanode (Fig. 8f).

Based on the current understanding of vanadium vacancies, it is necessary to add excess vanadium for BiVO<sub>4</sub> films during the calcination process to achieve the highly active m-s phase while avoiding the formation of vanadium vacancies. It should be mentioned that only very few reports studied the PEC performance of BiVO4 photoanodes with vanadium vacancies. Thus, more experimental and theoretical studies are still required to gain more insights into the real function of vanadium vacancies during PEC water splitting. It may be too early to conclude that vanadium vacancies are detrimental to the PEC performance of BiVO<sub>4</sub> photoanodes. Like oxygen vacancies, the location (e.g. in the surface or in the bulk) and quantity of vanadium vacancies may also affect the PEC performance of BiVO<sub>4</sub> photoanodes. Therefore, the development of suitable strategies to accurately control the generation of vanadium vacancies in BiVO4 photoanodes should be essential to promote the further development of this field.

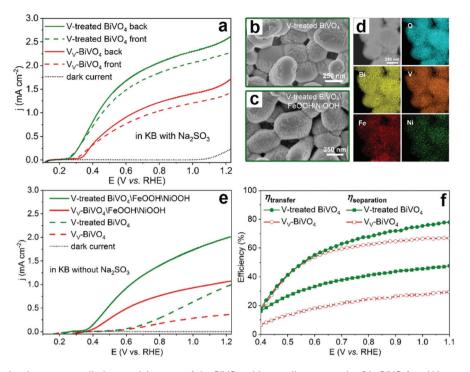


Fig. 8 (a) Photocurrent density versus applied potential curves of the BiVO<sub>4</sub> with vanadium vacancies (V<sub>V</sub>-BiVO<sub>4</sub>) and V-treated BiVO<sub>4</sub> photoanodes. SEM images of V-treated BiVO<sub>4</sub> (b) before and (c) after the deposition of FeOOH/NiOOH cocatalysts. (d) STEM image and elemental mapping of O, Bi, V, Fe and Ni for V-treated BiVO<sub>4</sub>. (e) Photocurrent density versus applied potential curves of V<sub>V</sub>-BiVO<sub>4</sub> and V-treated BiVO<sub>4</sub> with and without FeOOH/NiOOH cocatalysts. (f) Charge-transfer and charge-separation efficiencies versus applied potential curves of  $V_V$ -BiVO<sub>4</sub> and V-treated BiVO<sub>4</sub> photoanodes. Reproduced from ref. 99 with permission. Copyright 2021, American Chemical Society.

3.1.3. Bismuth vacancies. Interestingly, bismuth vacancies can also be generated in BiVO<sub>4</sub> photoanodes. A BiVO<sub>4</sub> (s-t) film was prepared by a solvothermal process, which was then annealed at 450 °C for 4 h in air, and a porous BiVO<sub>4</sub> (m-s) film with surface bismuth vacancies (Bi<sub>1-x</sub>VO<sub>4</sub> (m-s)) was obtained (Fig. 9a and b). 96 In addition, bismuth vacancies can also be generated by doping. For instance, a solid-vapor reaction was developed to prepare fluorine-containing bismuth vanadate (F:BiVO<sub>4</sub>), and it was found that the incorporation of fluorine induces the formation of cation vacancies, leading to the formation of  $\mathrm{Bi}_{0.94}\mathrm{V}_{0.94}\mathrm{O}_{3.54}\mathrm{F}_{0.46}$ .  $^{164}$   $\mathrm{BiVO}_4$  (t-z) films with bismuth vacancies (P-BiVO<sub>4</sub>) were grown on FTO substrates by a hydrothermal process in the presence of EDTA. 97 Owing to the strong complexing ability of EDTA with Bi3+ ions during the hydrothermal process, bismuth vacancies were formed in the obtained BiVO<sub>4</sub> films, leading to a p-type conductivity. Interestingly, the morphologies of P-BiVO<sub>4</sub> and typical n-type BiVO<sub>4</sub> (N-BiVO<sub>4</sub>) are very different (Fig. 9c and d). As expected, the P-BiVO<sub>4</sub> film exhibits cathodic photocurrent densities, while the N-BiVO<sub>4</sub> exhibits typical anodic photocurrent densities (Fig. 9e). Moreover, the Mott-Schottky plot of P-BiVO<sub>4</sub> shows a negative slope, indicating the p-type conductivity (Fig. 9f), which is different from the typical N-BiVO<sub>4</sub> film.

Compared to oxygen vacancies that have been intensively studied in BiVO<sub>4</sub> photoanodes, only a few reports mentioned bismuth vacancies in BiVO<sub>4</sub>. Different from vanadium vacancies that are reported to have a detrimental effect on the

PEC performance of BiVO<sub>4</sub>, surface bismuth vacancies exhibit a positive effect on tuning the optoelectronic structure of BiVO<sub>4</sub>, leading to an enhanced photocatalytic activity. Moreover, bismuth vacancies can also change the conductivity of BiVO<sub>4</sub> from n-type to p-type, enabling the design of an unbiased PEC water splitting system composed of only BiVO<sub>4</sub> as both the photoanode and photocathode.98 However, the underlying mechanism of how bismuth vacancies affect the electronic structure and PEC performance of BiVO4 is still unclear, which requires more efforts in the research of this field. The development of cutting-edge characterization technology and the combination of DFT calculations may gain more insights into understanding the real role of bismuth vacancies in BiVO<sub>4</sub> photoanodes.

### 3.2. Roles of vacancy defects in BiVO<sub>4</sub> photoanodes

During PEC water splitting, the BiVO<sub>4</sub> photoanode will absorb photons with energy higher than its bandgap under light illumination, and electrons and holes will be generated. Then, electrons will migrate to the counter electrode for the hydrogen evolution reaction (HER), while holes will transfer to the surface of BiVO<sub>4</sub> for the oxygen evolution reaction (OER). During this process, charge recombination also occurs. Vacancy defects will affect the crystal structure and electronic and optical properties of BiVO4 photoanodes, 165 inducing profound impact on their PEC performances. For example, DFT calculations revealed that oxygen vacancies can change the

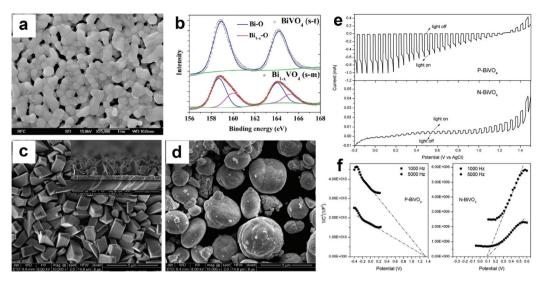


Fig. 9 (a) SEM image of  $Bi_{1-x}VO_4$  (m-s). (b) High resolution Bi 4f XPS spectra of  $BiVO_4$  (s-t) and  $Bi_{1-x}VO_4$  (m-s). Reproduced from ref. 96 with permission. Copyright 2017, Elsevier. SEM images of (c) P-BiVO<sub>4</sub> and (d) N-BiVO<sub>4</sub>. (e) Photocurrent density *versus* applied potential curves and (f) Mott–Schottky plots of the P-BiVO<sub>4</sub> and N-BiVO<sub>4</sub> photoelectrodes. Reproduced from ref. 97 with permission. Copyright 2019, Elsevier.

bandgap of BiVO<sub>4</sub> from an indirect one into a direct one, enhance the hybridization of O 2p, V 3d and Bi 6s orbitals, and shift the band edges to lower energies. <sup>166</sup> Considering the positive effect of vacancy defects on the design of efficient BiVO<sub>4</sub> photoanodes for PEC water splitting, it is necessary to deeply understand the roles of vacancy defects in tuning the electronic structure, promoting charge separation, and increasing surface photoreaction kinetics. In this section, we will critically discuss the main roles of vacancy defects in BiVO<sub>4</sub> photoanodes during PEC water splitting, and hope to provide new insights for the design of efficient BiVO<sub>4</sub> photoanodes for solar fuel production.

**3.2.1.** Tuning the electronic structure. Light absorption is the first step for PEC water splitting, which determines the maximum STH efficiency of a certain photoelectrode material. Unfortunately, most of the metal oxide semiconductors have a relatively large bandgap, leading to a narrow light absorption capacity. The generation of oxygen vacancies has been confirmed as an effective strategy to extend the light absorption range. For example, the bandgap of TiO2 is 3.2 eV, which can only absorb ultraviolet light that accounts for 4% of the solar spectrum. 167 By annealing white TiO2 in H2 gas (20 bar) at 200 °C for 5 days, abundant oxygen vacancies were generated, which can enlarge the light absorption edge up to 1150 nm. 168 This pioneering work opened up new opportunities for the design of efficient metal oxide photocatalysts with narrow bandgaps. Similarly, black BiVO<sub>4</sub> (b-BiVO<sub>4</sub>) films with moderate oxygen vacancies were obtained by H2 plasma treatment, which is very different from the conventional BiVO4 film with yellow color, leading to a bandgap reduction of approximately 0.3 eV that significantly enhances solar utilization (Fig. 10a). 148 By depositing an amorphous layer of  $TiO_{2-x}$  as both the oxygen evolution cocatalyst and protection layer to suppress anodic photo-corrosion of the b-BiVO<sub>4</sub>, the b-BiVO<sub>4</sub>/

 $TiO_{2-x}$  photoanode exhibits a high and stable photocurrent density of 6.12 mA cm<sup>-2</sup> at 1.23 V *versus* RHE under AM 1.5 G illumination (Fig. 10b), achieving a remarkable ABPE up to 2.5% for PEC water splitting (Fig. 10c).

In addition to light absorption, the conductivity of semiconductor films is another important factor for efficient PEC water splitting, because it significantly affects the photogenerated charge separation and transfer properties in the photoanode. As for BiVO<sub>4</sub>, oxygen vacancies can increase the charge carrier density, thus improving the conductivity. For example, DFT calculations revealed that both oxygen vacancies and hydrogen impurities in the hydrogen-treated BiVO<sub>4</sub> (H-BiVO<sub>4</sub>) photoanodes are shallow donors with low formation energies, which can increase the donor densities without introducing deep trap states. 147 In addition, the light absorption efficiency is improved with the increase of the hydrogen treatment temperature (Fig. 10d), which is consistent with the formation of more oxygen vacancies and hydrogen impurities, as evidenced by the considerably broader Raman peaks (Fig. 10e). Compared to pristine BiVO4, the H-BiVO4 exhibits a significantly smaller slope in the Mott-Schottky plot (Fig. 10f), indicating the dramatic increase of the donor density, which effectively increases the conductivity within the film. Moreover, electrochemical impedance measurements also confirm that the donor densities of BiVO4 films are dramatically increased by hydrogenation. In addition, oxygen vacancies can be introduced into BiVO4 photoanodes upon electrochemical reduction, which is accompanied by the formation of partial reduction of  $Bi^{3+}$  and  $V^{5+}$ . The carrier concentration was improved by two times, which significantly improves the charge separation and transfer efficiency. Compared to pristine BiVO<sub>4</sub>, hydrogen-treated BiVO<sub>4</sub> with oxygen vacancies (H-BiVO<sub>4-x</sub>) exhibits a much higher photocurrent density, due to the enhanced carrier density and conductivity. 169 DFT calcu-

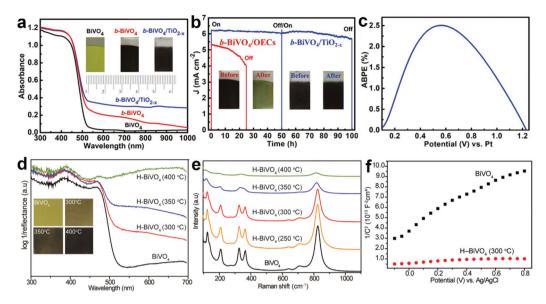


Fig. 10 (a) UV-vis absorption spectra of the BiVO<sub>4</sub>, b-BiVO<sub>4</sub>, and b-BiVO<sub>4</sub>/TiO<sub>2-x</sub> photoanodes. Inset: digital images of the BiVO<sub>4</sub>, b-BiVO<sub>4</sub>, and b-BiVO<sub>4</sub>/TiO<sub>2-x</sub> photoanodes. (b) Photocurrent density versus time plots of the b-BiVO<sub>4</sub>/TiO<sub>2-x</sub> and b-BiVO<sub>4</sub>/FeOOH/NiOOH photoanodes for PEC water splitting at 1.23 V versus RHE. (c) ABPE curve of the b-BiVO<sub>4</sub>/TiO<sub>2-x</sub> photoanode obtained in a two-electrode cell for PEC water splitting. Reproduced from ref. 148 with permission. Copyright 2019, Wiley-VCH. (d) UV-vis absorption spectra of the air-annealed BiVO<sub>4</sub> film and H-BiVO<sub>4</sub> films hydrogen treated at 300, 350, and 400 °C. Inset: digital images of the air-annealed BiVO<sub>4</sub> film and H-BiVO<sub>4</sub> films. (e) Raman spectra of the airannealed BiVO<sub>4</sub> film and H-BiVO<sub>4</sub> films hydrogen treated at 300, 350, and 400 °C. (f) Mott-Schottky plots of BiVO<sub>4</sub> and H-BiVO<sub>4</sub> (300 °C) in the dark with a frequency of 10 kHz. Reproduced from ref. 147 with permission. Copyright 2013, American Chemical Society.

lations demonstrated that the carrier concentration and mobility of BiVO<sub>4</sub> may be significantly enhanced by doping with oxygen vacancies and nitrogen impurities. 170 The underlying mechanism is that the localized electrons provided by an oxygen vacancy may be easily ionized, thus contributing to polaron carriers and n-type properties of BiVO<sub>4</sub>.

3.2.2. Promoting charge separation. Efficient charge separation is essential to achieve a high PEC water splitting performance, as it determines the number of photogenerated holes reaching the surface of the BiVO<sub>4</sub> photoanode for the subsequent surface reaction. The main role of vacancy defects in BiVO<sub>4</sub> photoanodes is to promote charge separation. For example, DFT calculations revealed that oxygen vacancies were stable when incorporated into BiVO<sub>4</sub>(001), which changed the V 3d orbitals by adding a new bandgap level, inducing the redundant electrons of V atoms to become carriers and promoting the separation of photogenerated electron-hole pairs. 171 Experimental results demonstrated that oxygen vacancies can significantly improve the electron mobility of BiVO<sub>4</sub> photoanodes, which promotes electron transfer and suppresses charge recombination, resulting in more photogenerated holes injecting to the electrolyte for the OER. 172 By introducing surface oxygen vacancies into BiVO4 photoanodes, the charge carrier recombination was decreased, leading to a photocurrent density of 2.2 mA cm<sup>-2</sup> at 1.23 V versus RHE under AM 1.5 G illumination, which almost doubles that of their pristine counterpart. 153 A facile photo-assisted selfreduction treatment of BiVO4 photoanodes led to the partial reduction of Bi3+ and V5+ cations that generated oxygen

vacancies, which increased the carrier density and charge mobility. 156 Consequently, the charge separation efficiency is improved, leading to enhanced PEC water splitting performance. Recently, dual BiVO4 photoanodes with in situ formed oxygen vacancies in the whole film were fabricated by a sulfur oxidation process (Fig. 11A), which increases the majority charge carrier density and photovoltage (Fig. 11B), achieving a record charge separation efficiency of 98.2% (Fig. 11C).81 As a result, an excellent photocurrent density of 6.24 mA cm<sup>-2</sup> at 1.23 V versus RHE under AM 1.5 G illumination is obtained after loading with NiFeOx as the oxygen evolution cocatalyst (Fig. 11D), achieving a high ABPE of 2.76% (Fig. 11E).

As illustrated in Fig. 11F, oxygen vacancies play an important role in space-charge layer formation and n-type doping of bulk BiVO<sub>4</sub> to reduce resistance losses during charge transport, promoting charge separation. 173 Moreover, oxygen vacancies also participate in the trapping of photogenerated electrons and holes. In the bulk of BiVO<sub>4</sub>, oxygen vacancies can trap the photogenerated holes that are energetically unable to drive water oxidation, leading to the loss of photogenerated holes. On the other hand, electron trapping is reversible, and the de-trapping activation energy is approximately 0.2 eV. Generally, electron trapping takes place in the space-charge layer, in which most oxygen vacancies are ionized in the dark, leading to a thermally activated electron extraction into the external circuit. As a result, a higher PEC water splitting performance is achieved at higher temperatures, which is essential for technological application of BiVO<sub>4</sub> photoanodes with oxygen vacancies under one sun or concentrated sunlight illumination.

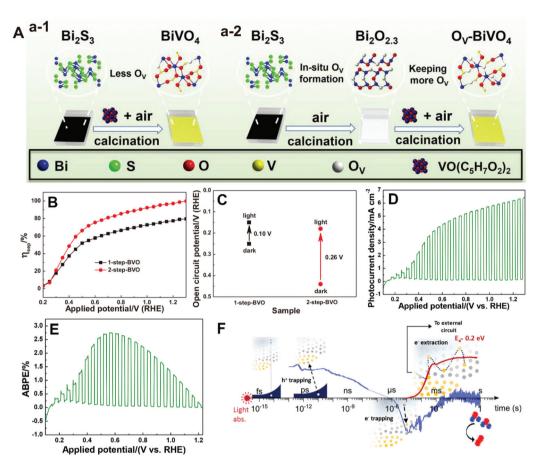


Fig. 11 (A) Schematic illustration of the fabrication of BiVO<sub>4</sub> films with (a-1) less oxygen vacancies (1-step-BVO) and (a-2) more oxygen vacancies (2-step-BVO). (B) Charge separation efficiencies and (C) open-circuit potential under AM 1.5 G illumination of one-step-BVO and two-step-BVO photoanodes. (D) Photocurrent density *versus* potential and (E) ABPE curve of dual 2-step-BVO/NiFeO<sub>x</sub> photoanodes. Reproduced from ref. 81 with permission. Copyright 2020, Wiley-VCH. (F) Schematic illustration of the role of oxygen vacancies in charge carrier trapping and electron transport in BiVO<sub>4</sub> from the time scale of light absorption to water oxidation. Reproduced from ref. 173 with permission. Copyright 2019, American Chemical Society.

3.2.3. Increasing surface photoreaction kinetics. The surface photoreaction is the last step during PEC water splitting, which is affected by the adsorption of water molecules and the reaction kinetics. Generally, oxygen evolution cocatalysts would be loaded on the surface of BiVO<sub>4</sub> photoanodes to accelerate the surface photoreaction. It has been widely accepted that oxygen vacancies in the electrocatalyst can promote the reaction kinetics, which is also effective in PEC water splitting. For example, the incorporation of Fe into  $CoO_x$ generated abundant oxygen vacancies in FeCoOx and constructed a p-n heterojunction with BiVO<sub>4</sub>, thus promoting the transfer of photogenerated holes from BiVO<sub>4</sub> and reducing the overpotential for the OER (Fig. 12a and b). 174 As a result, a high photocurrent density of 4.82 mA m<sup>-2</sup> at 1.23 V versus RHE under AM 1.5 G illumination was achieved, which is over 2 times higher than that of the pristine BiVO<sub>4</sub> photoanode (Fig. 12c). In addition, surface oxygen vacancies in BiVO<sub>4</sub> materials can also increase surface photoreaction kinetics. DFT calculations revealed that oxygen vacancies in BiVO4 are deep donors at the surface as they are in the bulk, which may

be beneficial for adsorption.<sup>175,176</sup> In addition, surface oxygen vacancies played a pivotal role in charge transfer mechanisms when coupled with the oxygen evolution cocatalyst, promoting PEC water splitting reactions. Thus, the functions of oxygen vacancies in the bulk and at the surface are different, which should be taken into account for the in-depth understanding of PEC water splitting of BiVO<sub>4</sub> photoanodes.

DFT calculations also suggested that the V site is the active site for PEC water splitting in BiVO<sub>4</sub> photoanodes, and the number of active sites is significantly increased by the formation of oxygen vacancies.<sup>177</sup> Compared to pristine BiVO<sub>4</sub> photoanodes, the adsorption energies for H<sub>2</sub>O<sub>ads</sub>, OH<sub>ads</sub>, and O<sub>ads</sub> are higher in the oxygen vacancy enriched one (Fig. 12d), indicating the enhanced hole transfer efficiency from the photoanode surface to the electrolyte for the OER. These DFT calculation results are consistent with the experimental results.<sup>178</sup> A multi-cycle electrodeposition process followed by high-temperature annealing was developed to prepare multi-layer BiVO<sub>4</sub> photoanodes with abundant oxygen vacancies and V<sup>4+</sup> in the crystal lattice, which promotes mass and charge

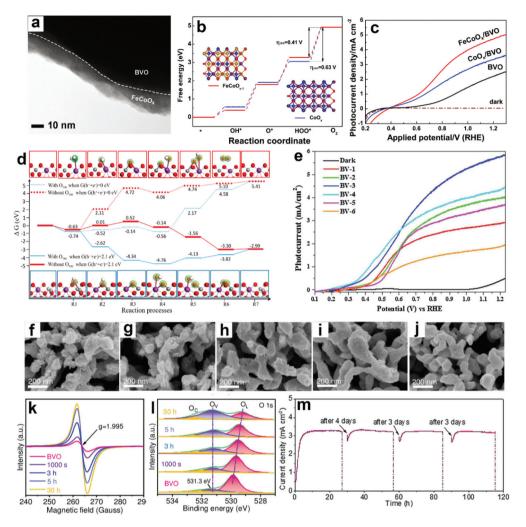


Fig. 12 (a) TEM image of BiVO<sub>4</sub> coated with FeCoO<sub>x</sub> as the oxygen evolution cocatalyst (FeCoO<sub>x</sub>/BVO). (b) Free energy diagram of CoO<sub>x</sub> and FeCoO<sub>x-1</sub> (i.e., FeCoO<sub>x</sub> with oxygen vacancies) for the OER pathway. Insets: the models of FeCoO<sub>x-1</sub> (left) and CoO<sub>x</sub> (right): blue spheres: Co atoms, gold spheres: Fe atoms, and red spheres: O atoms. (c) Photocurrent density *versus* applied potential curves. Reproduced from ref. 174 with permission. Copyright 2018, Wiley-VCH. (d) Free energy diagram of the OER on BiVO<sub>4</sub> (010) facets and BiVO<sub>4</sub> (010) facets with oxygen vacancies. Reproduced from ref. 177 with permission. Copyright 2017, American Chemical Society. (e) Photocurrent density *versus* applied potential curves for sulfite oxidation under light illumination (100 mW cm<sup>-2</sup>). Reproduced from ref. 179 with permission. Copyright 2017, Elsevier. Characteristics of BiVO<sub>4</sub> photoanodes potentiostatically photopolarized at 0.8 V *versus* RHE as a function of time (0 s, 1000 s, 3 h, 5 h, and 30 h) under AM 1.5 G (100 mA cm<sup>-2</sup>) illumination: SEM images of samples for (f) 0 s, (g) 1000 s, (h) 3 h, (i) 5 h, (j) 30 h. (k) EPR spectra. (l) High-resolution O 1s XPS peak. (m) Cycling photostability of BiVO<sub>4</sub> photoanodes potentiostatically photopolarized at 0.8 V *versus* RHE in 1 M KBi (pH 9.5). Reproduced from ref. 94 with permission. Copyright 2020, Wiley-VCH.

transfer, leading to a photocurrent density of 5.80 mA cm $^{-2}$  in sulfite oxidation at 1.23 V *versus* RHE under AM 1.5 G illumination (Fig. 12e). <sup>179</sup> By incorporating nitrogen into BiVO<sub>4</sub> photoanodes, oxygen vacancies and V<sup>5+</sup>/V<sup>4+</sup> redox channels were generated, which diminished surface recombination, promoting the injection of photogenerated holes into the electrolyte for the OER. <sup>180</sup>

It was found that oxygen vacancies can directly enhance OER reactivity by tailoring coordination of surface metal sites in BiVO<sub>4</sub> photoanodes.<sup>149</sup> In the presence of oxygen vacancies, the optimized surface catalytic kinetics significantly promote the surface reaching holes for the OER on the photoanode surface without any oxygen evolution cocatalysts, exhibiting a

high photocurrent density of 4.32 mA cm<sup>-2</sup> at 1.23 V *versus* RHE under AM 1.5 G illumination. Similarly, a potentiostatically photopolarized process generated surface oxygen vacancies in BiVO<sub>4</sub> photoanodes. <sup>94</sup> By tuning the potentiostatic photopolarization time, porous BiVO<sub>4</sub> photoanodes with different numbers of oxygen vacancies were obtained (Fig. 12f-I). Without any oxygen evolution cocatalysts, the obtained BiVO<sub>4</sub> photoanode exhibited a record-high photocurrent density of 4.60 mA cm<sup>-2</sup> at 1.23 V *versus* RHE with a low onset potential of 0.23 V *versus* RHE in a borate buffer electrolyte without any sacrificial agent under AM 1.5 G illumination. Impressively, an excellent photostability of over 100 h was achieved under the intermittent test (Fig. 12m). These very

encouraging works demonstrate the excellent cocatalyst-like function of oxygen vacancies, which may inspire the design of efficient cocatalyst-free BiVO4 photoanodes for PEC water splitting.

#### 4. Conclusion and outlook

BiVO<sub>4</sub> is one of the most promising photoanode materials for PEC water splitting, and has attracted great attention in recent years. With the in-depth theoretical understanding on the material design and the development of cutting-edge timeresolve technologies, great breakthroughs have been achieved. The record photocurrent density of the BiVO<sub>4</sub> single junction photoanode is 6.24 mA cm<sup>-2</sup> at 1.23 V versus RHE under AM 1.5 G illumination in the presence of oxygen vacancies and the NiFeO<sub>x</sub> cocatalyst, 81 whereas the WO<sub>3</sub>/BiVO<sub>4</sub>/Co-Pi heterojunction photoanode can achieve a record photocurrent density of 6.72 mA cm<sup>-2</sup> at 1.23 V versus RHE under AM 1.5 G illumination. 181 Since the theoretical photocurrent density of BiVO4 photoanodes is 7.5 mA cm<sup>-2</sup> under AM 1.5 G illumination, the experimental values achieved indicate the superior PEC performance of BiVO<sub>4</sub> compared to other photoanode materials. Recently, vacancy defect engineering has stood out to be an efficient strategy to tailor the optoelectronic properties of BiVO<sub>4</sub> photoanodes, which has attracted increasing attention. This review article briefly introduces the fundamental properties of BiVO<sub>4</sub> including crystal structure, optical properties, carrier transport and photogenerated carrier lifetime. Then, emerging strategies to generate vacancy defects including oxygen vacancies, vanadium vacancies and bismuth vacancies in BiVO<sub>4</sub> photoanodes are systematically presented. Finally, the roles of vacancy defects in BiVO<sub>4</sub> photoanodes in terms of tuning the electronic structure, promoting charge separation, and increasing surface photoreaction kinetics are critically discussed.

Although great achievements have been made for vacancy defect engineering of BiVO4 photoanodes, some emerging challenges should not be ignored. For example, most of the reports confirmed the positive effect of oxygen vacancies for BiVO<sub>4</sub> photoanodes. However, recent DFT calculations revealed that neutral oxygen vacancies not only apparently decrease the bandgap but also cause localized lattice distortions by forming stable V-O-V bonds and localize electrons in V5+ atoms by forming V4+ small polarons, which act as recombination centers and dramatically accelerate the nonradiative electron recombination by a factor of approximately 1100 compared to that of the pristine one. 182 Therefore, in-depth understanding of the formation of oxygen vacancies and their effect on the optoelectronic properties of BiVO4 photoanodes is still required. In addition, BiVO<sub>4</sub> exhibits facet-dependent PEC water splitting performance, so oxygen vacancies on different facets of BiVO4 may show different PEC performance. How to selectively generate oxygen vacancies on different facets of BiVO<sub>4</sub> is challenging while worth investigating. Many reports have shown the different roles of oxygen vacancies at the

surface and in the bulk of BiVO<sub>4</sub> photoanodes. How to control the oxygen vacancies in different locations of the BiVO<sub>4</sub> film is another challenging task. On the other hand, excessive oxygen vacancies generally show a detrimental effect on the PEC performance of BiVO4; is it possible to quantitatively control the oxygen vacancies? The development of cutting-edge technologies may provide a reliable way for the quantitative analysis of oxygen vacancies. With the development of knowledge to deeply understand the formation of oxygen vacancies, maybe we can develop a reliable approach to quantitatively control the oxygen vacancies in BiVO<sub>4</sub> photoanodes. Compared to oxygen vacancies, very few reports investigated other defects such as vanadium or bismuth defects in BiVO<sub>4</sub> photoanodes. How to control the type, location and quantity of these kinds of defects is another question. In addition, the multiple-effect roles of vacancy defects in the three main PEC processes are difficult to be decoupled for better analysis, which is challenging for the further development of vacancy defect engineering of BiVO<sub>4</sub> photoanodes. Considering that the photocurrent densities of BiVO4 photoanodes are already very close to the theoretical maximum, to further improve the PEC performance of BiVO<sub>4</sub>, the next step should be the effective reduction of its bandgap. How to reduce the bandgap of BiVO<sub>4</sub> while keeping the high charge separation and transfer properties through vacancy defect engineering is also very challenging.

Owing to the suitable band edge positions and the relatively narrow bandgap for visible light absorption, BiVO<sub>4</sub> photoanodes are very promising for unbiased PEC water splitting when coupled with another photocathode or photovoltaic (PV) device. For example, connecting the WO<sub>3</sub>/BiVO<sub>4</sub>/Co-Pi heterojunction photoanode with a double-junction GaAs/InGaAsP photovoltaic cell can achieve a STH efficiency of 8.1%. 181 In spite of the high STH efficiency, the utilization of the doublejunction GaAs/InGaAsP photovoltaic cell is extremely expensive, which should be taken into account for possible practical applications. With the rapid development of low-cost metal halide perovskite solar cells, coupling BiVO<sub>4</sub> photoanodes with perovskite solar cells may be a good choice to keep the high STH efficiency while reducing the cost. Our group developed a wireless device composed of the oxygen vacancy enriched BiVO<sub>4</sub>/FeOOH/NiOOH dual photoanodes and a single sealed perovskite solar cell, leading to a STH efficiency of 6.5%.80 The long-term stability of this device is mainly determined by the perovskite solar cell. Compared to the BiVO4-PV system, the BiVO<sub>4</sub>-photocathode system is more desirable for possible practical applications due to the much lower cost. By coupling the BiVO<sub>4</sub> photoanode with a Cu<sub>2</sub>O photocathode, a STH efficiency of 3% is achieved. 183 In addition, a standalone overall solar water splitting system composed of a BiVO<sub>4</sub> photoanode and a Cu2ZnSnS4 photocathode exhibited a higher STH efficiency of 3.17%. 184 Very recently, an integrated system composed of a BiVO4 photoanode and an organic polymerbased photocathode (PBDB-T:ITIC:PC71BM) made a new breakthrough of the STH efficiency to 4.3%. 185 Therefore, with the development of a more efficient photocathode, the STH efficiency of the BiVO<sub>4</sub> photoanode coupled with another

photocathode to construct an unbiased PEC water splitting system can be further improved. Possibly, the combination of vacancy defect engineering with other state-of-the-art strategies can develop both an efficient BiVO<sub>4</sub> photoanode and photocathode, leading to a new breakthrough of the STH efficiency, thus promoting the PEC water splitting technology a step closer to practical applications. We wish to see more exciting development of efficient BiVO<sub>4</sub> photoanodes upon vacancy defect engineering in the near future.

## Conflicts of interest

There are no conflicts of interest to declare.

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