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## TUTORIAL REVIEW

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Nano-scaled advanced materials for antimicrobial applications – mechanistic insight, functional performance measures, and potential towards sustainability and circularity



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## Nano-scaled advanced materials for antimicrobial applications – mechanistic insight, functional performance measures, and potential towards sustainability and circularity

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About 13.7 million people died worldwide from infectious diseases in 2019, which accounts for one fifth of all annual deaths. Infectious diseases are caused by microbes (*i.e.* bacteria, fungi, viruses) predominantly targeting the respiratory system, bloodstream, gastrointestinal region and urinary tract, which can lead to severe health problems. Microbes can naturally adapt and develop antimicrobial resistance to conventional medication. Health systems are concerned by the overuse of antibiotics in the medical, agricultural, and food industries. This leads to bacterial multidrug resistance, causing more than half a million deaths annually. In consequence, research and innovation have focused on nano-scaled advanced materials to explore their potential to reinforce antimicrobial treatments. Advanced materials are complex composites that achieve superior, combined functionalities with an optimized safety, sustainability, and circularity profile. They often contain nano-scaled materials, which are highly versatile, organic, or inorganic materials that can adopt different sizes, compositions, topographies, and surface modifications. All these properties need to be carefully defined using physicochemical characterization techniques and should be considered when selecting the most efficient nanomaterials against widespread microbes. In this review, we cover (i) potential candidates of engineered nanomaterials and their physicochemical characteristics, and demonstrate their efficacy in antimicrobial action; (ii) the mechanisms of action against microbes specific to nanomaterials; (iii) well-established methods and highlight methodological advancements; (iv) the potential improvements in sustainability and circularity; (v) the current and future fields of application and ongoing development in the medical, agricultural, high-tech, textile, and food industries. For the first time, nano-scaled advanced materials produced by green synthesis methods are discussed with respect to their gain in sustainability and circularity and a comprehensive set of methodologies for safety, sustainability, and circularity assessment are described in detail.

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### Environmental significance

The current overuse of antibiotics, such as in the fields of healthcare, agriculture, food production, or water remediation, creates a big demand for future materials research and innovation. Innovative advanced materials, with many of them being based on the incorporation of nano-scaled materials, open new opportunities, combining improved functional performance while being safer and more sustainable, as recently postulated by the Advanced Materials Initiative 2030 and the European Commission's recommendation for Safe-and-Sustainable-by-Design production. Moreover, circularity measures demand for greener synthesis methods for nanomaterials which may pave the way forward towards improved safety, less exploitation of non-renewable and critical raw materials, optimized energy consumption, and better environmental footprint.

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# 1. Introduction

One fifth of all annual deaths are caused by infectious diseases, which accumulates to more than 13 million people per year globally.<sup>1</sup> It became evident that modern society encounters difficulties in handling ravaging pathogens, which was depicted impressively during the SARS-CoV-2 pandemic with countless nationwide lockdowns between 2020 and 2022. It can be seen quite easily that lockdowns are a versatile & powerful tool to contain the spread of pathogens during pandemics when being held active for at least 10 days, even more so for 20 days.<sup>2</sup> The effectiveness is also diminished if lockdowns cannot be enforced properly, may this be for private, socio-economic or political reasons.<sup>3</sup> Hence, additional aid is needed to prevent the spread and infection in the first place. Most frequently prescribed medications, like antibiotics against bacteria, are used in an attempt to handle pathogens, when it is already too late, *i.e.* when microbes have managed to enter their host's body surpassing the immune system and cause noticeable negative effects. Additional problems may occur when broad-spectrum medicine is used commonly or prescribed for minor conditions that could be treated with conventional methods, allowing pathogens to develop resistances and rendering the medication useless such as in the case of multi-drug resistant strains.<sup>4</sup> The emergence of multidrug resistance makes it more difficult to treat bacterial, fungal, and viral infections effectively. This phenomenon poses a threat to public health because it leads to higher rates of morbidity and mortality, increased spending on the development of new medications, and a heavier load on public health systems.<sup>4</sup> Moreover, the increasingly roaming threat of viruses (like SARS-CoV-2 or monkeypox virus) as well as potentially emerging fungal infections (due to climate change) puts a high pressure on current state-of-the-art medication.<sup>5</sup> A recent 2024 WHO report highlights a critical shortage of new antibiotics.<sup>6</sup> Only 32 urgently needed novel antibiotics are currently being developed, and 4 of these are effective against at least one of the WHO's "critical pathogens". Gram-negative bacteria pose a significant challenge due to their rapid resistance development and adaptability. Additionally, the current drug development process often focuses on a broad range of pathogens, hindering the development of targeted treatments. This is further complicated by the lack of fast, affordable, and reliable diagnostic tools. However, there is hope on alternatives, like bacteriophages. Their use in the clinic started already in the early 20th century, where not much was known about the so-called "bacterial-eaters".<sup>7</sup> Phage therapy offers a promising approach to combat antibiotic-resistant bacteria. Phages specifically target bacteria with complementary receptors, making them highly effective, with the involvement of CRISPR-Cas9, but also limiting their host range.<sup>8,9</sup> While these preclinical investigations have indicated their potential, regulatory approval for human use in the EU and US is still pending. Concerns about immunomodulatory effects, the host range,

and horizontal gene transfer necessitate further research to fully harness their therapeutic potential.<sup>10,11</sup> Alternatively, antibody-based therapy approaches provide another avenue, leveraging the body's immune response to target bacterial toxins.<sup>12</sup> This approach offers several advantages, including the ability to target unique bacterial antigens, avoiding the selection pressure that drives antibiotic resistance.<sup>13</sup> However, it requires precise identification of the causative pathogen, making rapid and accurate diagnosis crucial for effective treatment.<sup>14</sup> Further promising approaches deal with anti-virulence-, immune-modulating- and microbiome-modulating agents, where research is still at earlier stages. One of these alternative and promising candidates that require attention is the use of innovative advanced materials enabling sanitation at antimicrobial surfaces (priority area for research & innovation advocated by AMI2030 in Materials 2030 Manifesto),<sup>15</sup> before encounter with humans. This allows the prevention of resistance development and reduces the necessity to costly discover new antibiotics. Against common belief, pathogens are also able to develop resistances to nanomaterials when exposed to non-lethal doses over extended time.<sup>16</sup> But the development of resistances in the application of extracorporeal devices serves the single purpose of diminishing and inhibiting the growth of microbes, reducing the risk of infections. These materials can be applied or attached to surfaces of many sorts. Selection hereby depends on the mode of action that is employed by the antimicrobial materials, for instance, surfaces in sensitive areas, electronic displays, door handles, face masks, gloves, *etc.* Fast decaying materials, due to particle dissolution *via* the main mode of action (ion release), may find a more suitable application in single use items, whereas long-lasting candidates can be applied on surfaces that need not be replaced frequently.

This review provides an overview of the utility of advanced engineered nanomaterials as candidates for preventing the spread of microbes on highly affected areas or equipment used for personal protection. First, several suitable nanomaterial candidates are identified and their physicochemical properties and various other traits (size, shape, topography, solubility, and crystallinity) which influence their effectiveness as suitable antimicrobial agents are discussed. Next, we introduce readers to the various modes of action and how nanomaterials can actively prevent the growth of pathogens. These mechanisms are categorized into three big groups, being (1) membrane interaction/agglomeration, (2) ion release and lastly (3) reactive oxygen species (ROS) generation. In this section, it becomes evident that different kinds of particles also exert different combinations of mechanisms, where details and data may be used to train machine learning tools and utilize artificial intelligence to be able to predict the effectiveness of nanomaterials. Subsequently, we highlight current state-of-the-art methodologies and assays to investigate the growth delay and inhibition that different types of nano-scaled materials employ against bacteria, fungi, and viruses by



explaining how the assays work and naming examples indicating the functional performance measures and readouts, thus, highlighting the effectiveness of the antimicrobial particles from various studies over recent years. In the final section, safety, sustainability and circularity considerations are discussed. Novel approaches to synthesize these nanomaterials in a green, renewable, safe, and sustainable manner are conceptually introduced aiming at reducing the negative impact on the environment and alleviating the exploitation of the planet's resources by finding non-toxic and better sustainable substitutes. Altering well-established production protocols requires profound characterization and batch-to-batch analyses to confirm similar performance whilst providing a better environmental footprint and safety profile. This chapter also covers potential economic fields where these materials and technologies can be applied. We propose a new viewpoint, by highlighting alternative methods and approaches in the context of antimicrobial nanomaterial synthesis, and describe a wide array of testing methods available to verify their respective antimicrobial effectiveness for a broad range of pathogens, with potential worldwide application, ready for use in real life.

## 2. Nano-scaled materials displaying antimicrobial functionality

The utilization of nano-scaled materials including nanoparticles (NPs), nanorods, and nano-structured surfaces in combating microbial threats has emerged as a cutting-edge approach with far-reaching implications in various fields, including medicine, agriculture, and environmental sciences. These minuscule composites, typically with 1–3 dimensions measuring between 1 and 100 nanometers,<sup>17</sup> exhibit exceptional antimicrobial properties, offering a powerful arsenal against microorganisms. To harness their potential effectively, appropriate characterization of the material needs to be conducted investigating all associated traits, as depicted in Fig. 1, which will further feed into development of predictive models based on machine learning approaches, certainly still being dependent on the different fields of application and numerous external factors as additional variables to be considered for design of antimicrobial materials. In general, NPs can be categorized into two major classes: organic and inorganic, and each of them can be distinguished by selective properties and applications in microbial control. Moreover, this categorization of NPs into organic and inorganic forms sets the stage for a comprehensive exploration of their respective advantages, disadvantages, mechanisms of action, and specific applications in the realm of microbial control. Hence, the choice between organic and inorganic NPs depends on the specific requirements of the application, including biocompatibility, stability, drug loading capacity, and the desired physical and chemical properties. Often, a



Fig. 1 Infographic on a multitude of factors and parameters of nanomaterials that have an influence on the antimicrobial effectiveness. Relevant factors including composition, size, solubility, topography, and functionalization, as well as the field of application, influence the functional performance of the investigated materials and their effectiveness can be modeled by AI-/ML-driven predictive approaches.

combination of both types of NPs, known as hybrid NPs, is used to leverage the advantages of each while mitigating their respective disadvantages. This chapter will highlight some representatives from the organic and inorganic classes and show how physicochemical properties like size, topography and functionalization may impact the antimicrobial effects.

Organic NPs, often derived from biopolymers like chitosan or synthetic polymers, offer biocompatibility and versatility.<sup>18</sup> They are suitable for drug delivery and wound healing applications.<sup>19</sup> These NPs are generally biocompatible and less likely to induce adverse reactions in biological systems. Organic NPs excel in drug delivery systems, allowing for precise control over the release of antimicrobial agents or therapeutics, minimizing side effects and optimizing treatment efficacy. They have shown promise in wound healing applications, promoting tissue regeneration and reducing infection risk.<sup>20</sup> Additionally, many of them are biodegradable, reducing long-term environmental concerns.<sup>21</sup> However, also downsides have been reported for organic NPs. Their antimicrobial efficacy can be variable and dependent on factors like the choice of polymer and formulation, which may limit their effectiveness against certain microbes.<sup>22</sup> The production of organic NPs using biological methods has major advantages such as non-toxicity and environmental friendliness.<sup>23</sup> They may also have a more limited spectrum of antimicrobial activity compared to some inorganic NPs.<sup>24</sup>

Inorganic NPs, exemplified by materials like Ag, Cu, and ZnO, are known for their potent and broad-spectrum antimicrobial activity.<sup>25</sup> They are effective against a wide range of microorganisms and can provide a long-lasting antimicrobial effect due to their slow release of antimicrobial ions.<sup>26</sup> As highlighted and summarized by Kamat *et al.*,



under the right circumstances pathogens may also be able to develop resistances against NPs.<sup>27</sup> They can be incorporated into various materials, such as coatings and textiles, to impart antimicrobial properties.<sup>28</sup> However, inorganic NPs do have their downsides as well. They can raise concerns about potential toxicity to human cells and the environment, depending on factors like size, concentration, and surface chemistry.<sup>29</sup> The release of inorganic NPs into the environment can have adverse effects on ecosystems and aquatic life, posing environmental risks.<sup>30</sup> Additionally, the production of high-quality, multifunctional inorganic NPs can be expensive, limiting their widespread use in some applications.<sup>31</sup> The regulatory landscape for inorganic NPs is still evolving, posing challenges in ensuring their safe and effective use in various products.<sup>32</sup>

The choice between organic and inorganic NPs depends on specific application requirements, and industrial research and innovation need to consider benefits and downsides in their decision-making processes.

### 2.1. Physicochemical properties with impact on antimicrobial action

The antimicrobial effectiveness of NPs is strongly influenced by their physicochemical properties. Various characteristics can affect their interactions with microorganisms and, consequently, their antimicrobial activity. Table 1 depicts a multitude of NPs with their respective observed modes of action, which can be substantially different depending on differences in their physicochemical properties.

**Table 1** Overview of the different modes of action investigated with different nanomaterials when being exposed to pathogens

NP type	Pathogen	Mode of action	Ref.
Au	<i>Escherichia coli</i>	Induction of apoptotic-like death phenotypes: membrane depolarization induction, DNA fragmentation, caspase activation, and imbalance in the redox status	33
Au	<i>Escherichia coli</i> <i>Staphylococcus aureus</i> <i>Pseudomonas aeruginosa</i>	Visible surface damage, disruption of the cell membrane and cell loss integrity	34
Au	30 unique clinical carbapenem-resistant <i>Enterobacteriaceae</i> strains	Elevated bacterial reactive oxygen species (ROS) generation and ROS accumulation within bacteria; enhancement of inner membrane permeability	35
Ag	<i>Pseudomonas aeruginosa</i>	Membrane deformation or rupture, with vacuoles, and nucleoplasm agglutination; imbalance in the redox status with higher ROS production	36
Ag	<i>Escherichia coli</i> <i>Salmonella typhimurium</i>	Disruption of the cell membrane with loss of integrity of the cell membrane through the effect of silver ions on the cell membrane stability; depolarization of the bacterial membrane and alteration of permeability	37
Ag	<i>Bacillus subtilis</i> <i>Staphylococcus aureus</i> <i>Candida glabrata</i>	Depolarization of the bacterial membrane and alteration of permeability with no changes in the membrane visible appearance	38
Ag-modified CeO <sub>2</sub>	Coronavirus OC43 Parainfluenza virus type 5	Abnormal morphology, some pores, and distorted membrane Disruption in virus–receptor interactions through induction of virion aggregation	39
CuO	<i>Escherichia coli</i> <i>Bacillus cereus</i> <i>Staphylococcus aureus</i>	Rupture of the cell wall and a decrease in electron density with a high concentration of small particles in the cell wall and part of the cytoplasm Cell lysis and leakage of cytoplasmic fluid, which leads to total shrinking of the cytoplasmic membrane Membrane damage that seems to have ruptured which leads to leakage of intracellular contents, with further cellular damage and full cell deformation	40
CuO	Tested with 2,2-diphenyl-1-picrylhydrazyl (DPPH)	Inhibition of antioxidants which might have an effect on bacterial viability through ROS	41
Farnesol-loaded polymer	<i>Staphylococcus aureus</i> SARS-CoV-2	Membrane damage, which leads to leakage of the cytoplasmic contents as well as a disordered cytoplasmic structure, and death Intercalation of NPs into the double lipid layer of the viral envelope, causing membrane fluidity alterations, and inhibition of virus attachment and further intracellular penetration into the host cell	42
Fe <sup>0</sup>	<i>Bacillus subtilis</i> <i>Bacillus thuringiensis</i>	Induction of ROS response, resulting in decreased redox sensor activity, with subsequent oxidation to Fe <sub>2</sub> O <sub>3</sub>	43
Fe <sub>x</sub> O <sub>y</sub> – chitosan coated	<i>Bacillus subtilis</i> <i>Escherichia coli</i>	Enhanced production of ROS with membrane depolarization	44
Se	<i>Candida glabrata</i>	Dramatic change of fungal morphology from a cylindrical to distorted cell structure with the breakdown of the cell membranes	38
Se – chitosan coated	Porcine reproductive and respiratory syndrome virus	Promotion of GSH production and inhibition of H <sub>2</sub> O <sub>2</sub> synthesis; inhibition of ORF5 gene expression and viral titer; inhibition of ROS generation	45
ZnO	Hepatitis E virus Hepatitis C virus	Binding and entrapping of virus and therefore prevention of its entry into the host cell; inhibition of the viral replication step	46
ZnO	<i>Botrytis cinerea</i>	Interference of cell function and deformation of fungal hyphae	47
ZnO	<i>Penicillium expansum</i> <i>Penicillium expansum</i>	Prevention of conidiophores and conidia development Deformation of the structure of fungal hyphae and thereby growth inhibition	38



**2.1.1. Particle size (agglomeration state).** As per definition, NPs range in sizes from 1 to 100 nm,<sup>17</sup> with agglomerates sometimes even achieving sizes in the micrometer range. The interaction mechanism may change depending on the size of the particles. Here, smaller NPs may be able to penetrate the membrane or be taken up by micropinocytosis, whilst bigger ones may only be able to sediment onto the surface of the target cell. Some interesting observations can be made when different interaction mechanisms occur in a combined fashion. Smaller NPs typically have a higher surface area per unit mass compared to larger ones, which facilitates an enhanced NP per microorganism interaction, due to increased specific surface area. Thus, investigating the dispersity properties of the used batch is of high importance. Agglomeration and polydispersity, *i.e.* heterogeneity in size, also affect the bioavailability, settling rate, and transportation efficiency to the microbial surfaces. Uniform batches (monodisperse) can induce an enhanced, more predictable (*in vitro* & *in silico*), consistent and reproducible antimicrobial effect. In contrast, when using polydisperse, wider size-ranges of NPs, multiple interaction mechanisms may be triggered simultaneously, eventually also enhancing the overall antimicrobial efficacy.

**2.1.2. Surface modification & charge.** Alterations in surface composition of inorganic NPs can be achieved by adding specific organic ligands, termed functionalization,<sup>48</sup> by combining with biological or pharmaceutical components, *e.g.*, through corona formation,<sup>49</sup> or by doping, *i.e.* intentional introduction of different metal impurities into a material during synthesis.<sup>50</sup> Inevitably, these surface modifications alter the physicochemical properties, and they attempt to enhance the biocompatibility and antimicrobial properties by focusing on a target-oriented approach simultaneously reducing potential side effects towards off-target cells and tissues. Surface modifications can enable the design of controlled release systems, enhancing the duration of the antimicrobial action.<sup>51</sup> Coatings like polyethylene glycol (PEG) protect the NPs from being detected by the immune system and improve the circulation time in the body.<sup>52</sup> Multiple modifications can be applied simultaneously to create a multifunctional surface, for instance optimized particles for imaging and drug delivery.<sup>53</sup> Modifying the surface changes the surface charge, and this can be captured experimentally by determining the zeta potential, which is a driving factor and determines the electrostatic interaction with microbial cell membranes. Comparable to the subject of magnetism, differently charged substances preferentially interact with each other, leading to increased NP adsorption onto cells leading to the disruption of the cell membrane.<sup>54</sup> Specifically, positively charged NPs exhibit enhanced cellular internalization capacity as they are attracted to the negatively charged microbial cell membrane allowing for additional specific and selective targeting potential.<sup>55</sup> These particles can then interfere with intracellular processes, like disruption of DNA, RNA, and protein synthesis, upon internalization.<sup>55</sup>

**2.1.3. Topography.** NP topography deals with features like shape, morphology, curvature, porosity, or surface roughness, and inevitably all these factors have a respective influence on toxicity, biocompatibility, and antimicrobial effects. NPs can be synthesized in many different shapes, most commonly nanospheres, nanorods, nanotubes and nanosheets can be found. Specifically, rod- and fiber-like shapes cause cytotoxicity by blocking membrane-wrapping processes and can block K<sup>+</sup> pumps within the cells. Detailed investigations showed that specific morphologies can exert mechanical stress or induce membrane damage to microbial cells.<sup>56</sup> The porosity of NPs may even impact the three-dimensional structure of bound biological substances, as was recently shown for mesoporous silica NPs using allergens and allergen-specific immunoglobulin E antibodies in patient sera as a reporter system.<sup>57</sup> This way, molecular-initiating events, *i.e.* the interaction of inorganic NPs with biological matter, form the first brick towards an adverse outcome within biological organisms.<sup>58</sup> Similarly, the roughness of the NP surface affects the adhesion, allowing for enhanced cellular uptake and more effective antimicrobial agent delivery inside of microbial cells. Rough surfaces thus provide more sites for microbial attachments and have a beneficial influence on the antimicrobial effects.<sup>59,60</sup> During the production of advanced composite materials, different types of NPs can also be combined, profiting from their different topographical features to exploit the respective unique properties and antimicrobial mechanisms of the different entities. There are almost endless combinations possible that can be investigated, out of which we will briefly mention two representatives and describe the respective antimicrobial mechanisms they pursue, for instance, a composite of Ag NPs with graphene oxide, whereof the silver entity mainly acts as the producer of ions, while the graphene entity functions by disrupting the membrane of the microbes.<sup>61</sup> Another example would be CuO NPs that intrinsically exhibit antimicrobial effectiveness in their specific combination with carbon nanotubes that increase effectiveness by providing mechanical disruption potential against microbes.<sup>62</sup>

**2.1.4. Dissolution.** The ability of metallic NPs to dissolve and, thus, disperse metal ions in the environment affects their potential interactions, which is referred to as ion leaching. This allows ionic diffusion inside of cells and their interaction with the cell membrane & wall, as well as proteins and nucleic acids.<sup>63</sup> For instance, Zhang *et al.* showed the controlled ion release of Ag NPs by comparing different types of materials, whilst ion release was heavily affected by size, shape and surface coating. The ratio of NPs to dissolved ions is subject to an equilibrium process in a constant environment. The authors were further able to compare two different kinds of Ag NPs with similar equilibrium conditions, with 120 nm nanoplates dissolving fast and 8 nm big nanospheres dissolving slowly. The faster dissolving candidate exerted higher antibacterial effectiveness in the beginning, but when reaching the equilibrium, the results



were similar.<sup>64</sup> Ion release can also be artificially triggered and enhanced by manipulating the pH of the particles' environment. In this context, Saliani *et al.* showed an increase in antimicrobial effectiveness by decreasing the pH value to acidic conditions, whilst testing ZnO NPs' effectiveness against *Escherichia coli* (*E. coli*) and *Staphylococcus aureus* (*S. aureus*).<sup>65</sup> Following the Noyes–Whitney equation (eqn (1)), a reduction in particle size increases the total effective surface area whilst enhancing the dissolution rate.

$$dC/dt = D \times A(C_s - C)/h \quad (1)$$

$dC/dt$  ... rate of dissolution

$D$  ... diffusion coefficient of the material

$A$  ... effective surface area

$h$  ... thickness of the diffusion layer around each particle

$C_s$  ... saturation solubility of the material in solution

$C$  ... concentration of the material

All parameters, besides  $A$  and  $C$ , in the equation can be considered as a constant. Increasing  $A$ , for instance by reducing the particle size, results in an increase in the dissolution rate.<sup>66</sup> The process of dissolution irreversibly reduces the NPs' integrity, and consequently diminishes their long-term antimicrobial effectiveness.

## 2.2. Computational approaches for antimicrobial efficacy prediction

Taking all previously discussed physicochemical properties into consideration and attempting to correlate all dependencies into a prediction model are expected to substantially cut down costs and increase antimicrobial efficacy. Thus, for material design, predictive technologies by means of either data-driven or physics-based *in silico* modeling are turning out to be an essential research and development domain complementing experimental assessment of already existing materials. Notably, an enormous time advantage can be generated through modeling as materials do not require prior synthesis. Recent studies have increasingly incorporated predictive tools, starting from the initial stages of synthesis and data generation in the laboratory. Machine learning techniques, such as Extremely Random Trees (ERT) and XGBoosting, are frequently employed to predict factors like the dose-time dependency of antimicrobial effectiveness. These methods have demonstrated strong correlations and minimal error in various studies.<sup>67–70</sup> *In silico* models aiming to predict the antimicrobial efficacy of certain selected nanomaterials are getting more refined and precise. As described above, small changes in the physicochemical properties can have detrimental influences on the antimicrobial effectiveness. Due to the batch-to-batch variations during the production and the overall vastness of available nanomaterials, a huge effort must be invested to develop and further adapt suitable *in silico* predictive models and tools for shaping the effectiveness of particles and their respective desirable traits. As a prime

example for a data-driven model for antimicrobial nanomaterial design, tools can operate like the one from Mirzaei *et al.*, *Nanomaterials*, 2021.<sup>71</sup> Here, data were first acquired from 60 peer-reviewed research articles entailing observed antimicrobial efficacy, NP characterization data (specific surface area, hydrodynamic size, zeta potential, core size) and time-dependent dosages. Essential characterization data like specific surface area, hydrodynamic diameter and zeta potential were missing entirely in 90% of the investigated articles and were thus excluded from evaluation. The data were then processed and unified to, for instance, simplify all the different possible bacterial coatings observed by using a binary decision and identifying them by either “coated” or “uncoated” types. The study further emphasizes that many observed studies have poorly reported characterization data, generating an ever-so harder time to establish unifiable *in silico* assessment methods and proving the necessity of executing and reporting studies following the FAIR data principles.<sup>72,73</sup> It is undeniable that a well-defined set of minimum characterization requirements must be established and adopted in future publications. Without proper reporting, model design cannot be effectively performed, increasing the risk of overlooking critical correlations.

## 3. Mechanisms of antimicrobial action induced by engineered nanomaterials

The bactericidal, fungicidal and virucidal effects are exerted by engineered nanomaterials *via* many different mechanisms of antimicrobial action. Some of these mechanisms include



**Fig. 2** Overview graphic of the major antimicrobial mechanisms exerted by nanomaterials. The big group of membrane interactions, depicted in green, encompasses either the material agglomeration at the surface, uptake into the pathogens, or the receptor blockage which prevents the pathogen:cell interaction required for pathogen infection. Ion release is triggered by the dissolution of nanomaterials. Metallic ions can enter cells and interfere with compounds like proteins and nucleic acids. Free oxygen radicals are generated, consequently increasing the reactive oxygen species production and potentially inducing oxidative stress and damage to the pathogens.



the production of ROS, accumulation of the NPs at the microbes' surface or inside upon uptake, electrostatic interactions, or release of toxic ions. These mechanisms can cause their effects either from the outside or upon uptake within the bacteria, fungi, and viruses. The specific mechanisms will be described in more detail but can be generally categorized into groups as illustrated in Fig. 2.

### 3.1. Damage to the cell wall and cell membrane or interference with the viral surface

Contact of NPs with bacterial and fungal cells can lead to membrane damage caused by NP adsorption and sometimes subsequent penetration into the cells.<sup>74–77</sup> Adsorption to the cell wall and a following breakdown of the aforementioned have been shown by many studies to be the main mechanism of toxicity.<sup>74,77–79</sup> Metallic NPs and the membrane of microbes including bacteria, fungi, and viruses are electrostatically attracted to each other because the negatively charged functional groups in the microbial membrane attract metal cations. Therefore, the interaction with positively charged NPs is favored.<sup>80,81</sup> In contrast, some fungal membranes are positively charged,<sup>82</sup> which makes them less attractive to positively charged NPs. This can lead to a lower antifungal effect of metal NPs regarding specific fungal species. Among bacterial pathogens, there is a difference in the cell wall structures of Gram-positive and Gram-negative bacteria. Gram-positive bacteria are made of a thick cell wall containing peptidoglycan and teichoic acids while the cell wall of Gram-negative bacteria is built up of a thin peptidoglycan layer with an additional outer membrane consisting of lipopolysaccharides. Many studies stated that there is a better interaction of NPs with Gram-positive bacteria, rather than with Gram-negative bacteria, because NPs are only readily attracted by the presence of negative charges carried by lipopolysaccharides in the outer membrane of Gram-negative bacteria.<sup>83</sup> Furthermore, the bilayer membrane acts as a selective physical barrier against hydrophobic compounds such as detergents and antibiotics. Even with a thick peptidoglycan layer, Gram-positive bacteria are more permeable because a monolayer membrane is insufficient to block the entry of foreign molecules. In addition, the cell wall has a higher negative charge than the one of Gram-negative bacteria,<sup>84</sup> which is determined by the properties of peptidoglycan and teichoic acid structures, which strongly attract NPs, resulting in membrane damage and cell death.<sup>85</sup> Ag, Au, ZnO, and TiO<sub>2</sub> NPs can be attracted to cell walls through electrostatic attraction,<sup>86</sup> van der Waals forces, and hydrophobic interactions,<sup>87</sup> leading to changes in the morphology, function, and permeability of the microbial cells. Adsorption of NPs leads to depolarization of the cell wall, changing the normally negative charge on the wall and making it more permeable. Confocal laser scanning microscopy has been reported to show bacterial cell walls becoming blurred, indicating cell wall degradation.<sup>88</sup> The pores in the

membranes of bacteria are in the nanometer size range, which means that smaller particles have increased chances of being able to enter the microbes rather than bigger particles. Additionally, NPs accumulate in the cell wall and create pits, which leads to a release of lipopolysaccharides, membrane proteins and intracellular factors. The damage of the cell wall also leads to inhibition of the electron transport chain. Additionally, the mechanism of cell wall damage is related to the interruption of the replication of ATP and the DNA of the bacteria which leads to the death of the bacteria.<sup>81,89</sup> Ag NPs have been found to adhere to the cell wall, degrade it, and increase ion passage to the cytosol, according to several studies.<sup>77,90,91</sup> Ninganagouda *et al.* (2014), for instance, showed the capability of Ag NPs to adhere to bacterial surfaces and effectively kill bacteria by rupturing cell membranes and leaking intracellular components.<sup>92</sup> Other studies showed that MgO NPs and Mg(OH)<sub>2</sub> NPs can kill cells without entering the cell by electrostatically adhering to the cell wall.<sup>79,93</sup> Additionally, CuO NPs are able to cross the cell membrane through pores of microbes in the micron range without any hindrance.<sup>94</sup> In a few studies, the antimicrobial activity of silicon is assigned to the mechanical damage of the bacterial membrane,<sup>95–97</sup> although Smirnov *et al.* (2018) have not reported any mechanical damage of the bacterial membrane when using Si NPs.<sup>98</sup>

Every species of fungi has a cell wall and membrane. The wall contains mannoproteins, beta-glucan-chitin, beta-glucan, and mannoproteins once more, and the membrane is made up of phospholipids. Therefore, before the NPs are able to reach the phospholipids, integral proteins, peripheral proteins, and ionic channels, they must first interact with all of these macromolecules.<sup>99</sup> When NPs are released and come into contact with fungal cells, they bind to these certain membrane proteins and thus affect their function. They also have an impact on the cell permeability. A study using electron microscopy revealed that Ag NPs caused damage to the cell wall and membrane, thus penetrating the cells, damaging organelles like the mitochondria and ribosomes, and causing chromatin to condense and marginate, a sign of apoptotic cell death.<sup>80</sup>

Considering viruses, NPs first exert their effects, by interfering with the viral replication cycle's initial stages. The NPs attach to the surface of the virus to prevent its attachment to the host cell.<sup>100</sup> Ag NPs display their antiviral activity by the inhibition of the interaction of the viral spike membrane protein gp120 with target cell membrane receptors. This mode of antiviral action enables Ag NPs to inhibit HIV-1 infection regardless of viral tropism or the resistance profile, to bind to gp120 in a manner that prevents CD4-dependent virion binding, fusion, and infectivity, and to block HIV-1 cell-free and cell-associated infection, acting as a virucidal agent. Additionally, it was shown by Zhang *et al.* 2024 that small enough (*i.e.* 3 nm) CeO<sub>2</sub> NPs could effectively block ACE2 and SARS-CoV2 spike protein receptor binding, whereas the bigger 30 nm counterparts were unable to do so.



As a result, Ag NPs are known as efficient virucides because they render HIV particles inactive quickly and exert their activity at both the entry and post-entry stages of viral replication, which are the earliest stages of viral replication.<sup>101</sup> In analogy, highly monodispersed Au NPs interfere with HSV-1 surface molecules and, thus, make it impossible for the virus cell to attach to the target cell inhibiting viral infection.<sup>102</sup>

### 3.2. Ion release

Another antimicrobial activity of nanomaterials has been shown to be based on the release of ions.<sup>77,103–107</sup> The release of silver ions from Ag NPs, for example, has proven to mediate a high antibacterial, antifungal and antiviral activity, making them useful for a variety of antimicrobial treatment applications.<sup>80</sup> When metal ions in solution come into contact with bacterial cells, they are evenly distributed around the bacterial cells without specific localization. In contrast, NPs interacting with the bacterial cell wall create a focal ion source that releases ions continuously and causes greater toxicity to the cell.<sup>77</sup> Suggestion: when metal ions pass through the cell membrane, they can directly interact with functional groups of proteins and nucleic acids, such as sulfhydryl (–SH), amino (–NH), and carboxyl (–COOH) groups. These interactions can disrupt enzyme activity, alter the cell structure, and affect normal physiological processes, ultimately inhibiting microorganisms. The concentration of NPs directly affects toxicity; higher concentrations of NPs release more ions,<sup>108,109</sup> and this effect increases over time.<sup>110</sup> This correlates with findings that longer incubation times reduce microbial activity. For example, released Zn<sup>2+</sup> ions interfere with metabolic processes and actively disturb enzymatic systems. This effect strongly depends on the concentration of the released Zn ions combined with the time of exposure. The Zn ions thus affect two mechanisms in parallel. Firstly, they directly interfere with microbial membranes leading to enhanced permeability and destabilization, and secondly, they get in direct interaction with nucleic acids followed by the deactivation of enzymes of the respiratory system.<sup>111–113</sup> For Ag NPs, the main bactericidal activity is considered to be the release of toxic Ag<sup>+</sup> ions into the aquatic system which then leads to cell functioning damage of the microbiota by binding to thiol containing biomolecules and disrupting their function, affecting membrane permeability leading to cell lysis and also cell death as well as oxidative stress by the production of ROS.<sup>114,115</sup> However, during the antibacterial process of a metal oxide suspension, the effect of metal ions on the pH in the lipid vesicles is small, and the antibacterial activity is weak. Therefore, dissolved metal ions are not considered to be the main antibacterial mechanism of metal oxide NPs.<sup>116</sup> CuI NPs have shown to display high antiviral activity against feline calicivirus due to the production of

Cu<sup>+</sup> ions, which was then followed by ROS production and capsid protein oxidation.<sup>117</sup>

### 3.3. ROS generation

Reactive oxygen species have the capacity to induce oxidative stress, which is one of the most important antimicrobial mechanisms of engineered nanomaterials.<sup>81,118</sup> ROS is a general term for molecules and active intermediates with a strong positive redox potential, and different types of NPs generate different types of ROS by reducing oxygen molecules. The four ROS types are superoxide radicals (O<sub>2</sub><sup>•−</sup>), hydroxyl radicals (•OH), hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), and singlet oxygen (O<sub>2</sub>), which have different kinetics and reactivity. Different metal oxide NPs generate different types of ROS, which have an impact on the activity against the microbes. For example, CaO and MgO NPs can generate O<sub>2</sub><sup>•−</sup> while ZnO NPs as well as TiO<sub>2</sub> NPs generate three types of ROS (superoxide radical, hydroxyl radical and singlet oxygen), thus the formation of ROS plays a very important role in these particles. Meanwhile, CuO NPs can generate all four types of ROS. Studies have shown that O<sub>2</sub><sup>•−</sup> and H<sub>2</sub>O<sub>2</sub> produce less acute stress responses and can be neutralized by endogenous antioxidants such as superoxidase and catalase, whereas •OH and O<sub>2</sub> can cause acute microbial death.<sup>119</sup> Li *et al.* (2012) reported that CeO<sub>2</sub> NPs generate only one type of ROS (O<sub>2</sub><sup>•−</sup>) under UV irradiation,<sup>118</sup> while Zhuo, Ma and Quan (2021) found that ROS formation is not the main reason for the damage of the microbes considering CeO<sub>2</sub> NPs.<sup>120</sup> Ag NPs inhibit respiratory enzymes, which leads to the formation of all four types of ROS. This formation leads to several types of damage to the cell including cell membrane damage, leakage of cellular materials, and loss of respiratory activity as well as DNA damage which finally leads to the death of the cell.<sup>121</sup>

ROS generation can be enhanced by light. For instance, ZnO NPs can be activated either by UV light or by visible light which leads to creation of electron–hole pairs. The holes are able to split H<sub>2</sub>O molecules into superoxide radical anions, hydroxyl radicals and molecules of H<sub>2</sub>O<sub>2</sub>. The superoxide radicals and the hydroxyl anions are positively charged and therefore are not able to penetrate into the cell membrane of the bacteria, but H<sub>2</sub>O<sub>2</sub> particles in contrast are able to penetrate into the cell and are responsible for the induction of apoptosis.<sup>122</sup> Yamamoto (2001) stated that the concentration of H<sub>2</sub>O<sub>2</sub> generated from the ZnO NPs increases with decreasing particle size, because the number of ZnO particles per unit volume increases with decreasing particle size. An increase in the generation of H<sub>2</sub>O<sub>2</sub> leads to a higher antimicrobial activity, but the effect on different bacteria depends on their sensitivity towards the ROS.<sup>123</sup> Also, there is evidence that a longer storage of the particles leads to a higher production of H<sub>2</sub>O<sub>2</sub> which again leads to a higher antimicrobial activity.<sup>123,124</sup> ZnO NPs producing hydroxyl radicals and singlet oxygen showed high antifungal activity against *C. albicans*. The smaller the ZnO NPs used, the higher their antifungal activity.<sup>125</sup>



Diverging reports exist regarding the potential of Au NPs to exert their antimicrobial action *via* the ROS production; however, it can be seen that the production of ROS is for sure the main and essential mechanism for many NPs.<sup>126</sup> The authors reported that Au NPs, when tested in *E. coli*, exerted other mechanisms, including the change in membrane potential with subsequent ATP synthase inhibition and

inhibitory binding of the tRNA-binding ribosome subunit, which resulted in a collapse of biological processes, thus already effective enough, while ROS levels were not altered. This could allow the development of Au NP-based antibacterial agents that target the energy-metabolism and specific transcription of bacteria without triggering the ROS-mediated reactions. However, also the oxidation state of the



**Fig. 3** Schemes of the experimental workflows discussed in this chapter. (A) Disc & well diffusion methods: substances are placed into a Petri dish containing microbial cultures. The size of the inhibition zone indicates antimicrobial activity. (B) Broth microdilution method: serial dilutions of microbial cultures are tested for growth inhibition, measured by CFU counts. (C) Microdilution assay: microbial growth is assessed by color changes, with pink indicating inhibition and purple indicating no inhibition. (D) Time kill assay: microbial growth is monitored over time in the presence of substances, with inhibition measured by CFU counts. (E) Colony diameter assay: nanomaterials are incorporated into agar plates, and microbial growth is assessed by the colony diameter. (F) Live/dead pathogen staining: microbial viability is determined using fluorescent dyes, propidium iodide and SYTO 9. Propidium iodide stains dead cells red, while SYTO 9 stains both live and dead cells green. (G) Plaque assay: a confluent monolayer of cells is infected with a virus at various dilutions. The virus infects and kills cells, creating clear areas known as plaques within the monolayer. These plaques are visible and countable after staining with crystal violet.



metals in NPs contributes to the bactericidal activity. For example, Cu<sub>2</sub>O NPs showed higher antibacterial activity than CuO NPs, suggesting that the oxidation state of the metal may play a role in toxicity. When consumed O<sub>2</sub> reacts with Cu<sub>2</sub>O to form Cu<sup>2+</sup>, the resulting cation can react with O<sup>2-</sup>, which causes persistent oxidative stress. These superoxide molecules can reduce Cu<sup>2+</sup> to Cu<sup>+</sup> ions, which then produce H<sub>2</sub>O<sub>2</sub>, which can react with Cu to form OH<sup>-</sup>. OH concentrations were measured in cells exposed to CuO NPs compared to Cu<sub>2</sub>O NPs; however, intracellular proteins interacted more intensely with Cu<sub>2</sub>O than with CuO.<sup>127</sup> Due to the generation of ROS, Fe<sub>x</sub>O<sub>y</sub> NPs were reported to effectively induce lipid peroxidation in the viral envelope of influenza A viruses leading to additional production of radicals by the viral lipid envelope causing damage to nearby proteins.<sup>128</sup> Another study reported that the production of ROS contributes to the antiviral effect of Fe<sub>x</sub>O<sub>y</sub> NPs against SARS-CoV-2.<sup>129</sup> Lee *et al.* determined that the antifungal activity of Ag NPs against *Candida albicans* (*C. albicans*) was dependent on the generation of ROS. In contrast, ROS production did not affect the fungal species *Saccharomyces cerevisiae* (*S. cerevisiae*). These results led to the conclusion that the antifungal activity of Ag NPs, in the case being mediated *via* ROS production, depends on the fungal species.<sup>130</sup>

#### 3.4. Intracellular responses in microorganisms

If the NPs are small enough to penetrate the cell membrane, this can lead to many different intracellular responses, rendering cellular uptake another important mechanism of antimicrobial action.<sup>131</sup> As already mentioned before, the size of the particles plays an important role considering the cellular uptake.<sup>81</sup> Au NPs have two main ways to carry out their antimicrobial activity. The first is that they are able to collapse the membrane potential by inhibiting ATPase activities whereby the ATP level is decreased. This mechanism leads to a general decline in metabolism of the microbes. The second operates by inhibition of the subunit of ribosomes through binding tRNA which leads to a collapse of biological processes. In an early-phase reaction, the Au NPs also enhance chemotaxis.<sup>126</sup> CuO NPs are able to cross the cell membrane through pores of microbes in the micron range without any hindrance. Inside the bacteria or fungi, they form stable complexes with vital enzymes which hinders cellular functioning leading to cell death.<sup>94</sup> Additionally, due to their tiny size, Ag NPs have the potential to attach to cell surfaces, enter cells without harming the cell wall, and lastly killing cells.<sup>80</sup>

## 4. Measuring functional performance of antimicrobial nanomaterials

The antimicrobial activity can be monitored utilizing a wide range of well-reported methods, as depicted in Fig. 3. Discussed are well-established and -reported assays that have

been slightly modified and adapted for assessing the antimicrobial efficiency of nanomaterials. More notably, as of writing this article, there were no nanomaterial-specific state-of-the-art assays tailored to the aforementioned antimicrobial testing methods. Specifically for testing the antimicrobial properties of nano-scaled materials, disc- and well-diffusion methods and broth dilution methods are the most used techniques. Additionally, time-kill tests in combination with plate count methods, known as total viable count methods, are well reported.<sup>132</sup> The two most important readouts for antimicrobial testing are the minimum inhibitory concentration (MIC) and the minimum bactericidal concentration (MBC). The lowest dose of an antimicrobial agent that will suppress the visible growth of microbes after overnight incubation is known as the MIC, while the lowest concentration of an antimicrobial agent that prevents the growth of an organism after subculture onto antibiotic-free media is represented by the MBC.<sup>133</sup> Table 2 lists a broad selection of available studies reporting the antimicrobial activity of nanomaterials with their key results and assays applied.

#### 4.1. Diffusion methods

This type of traditional antimicrobial assay is derived from the antibiotic discovery and depends on the diffusion of the antimicrobial agent in the growth medium, as schematically illustrated in Fig. 3A. To carry out the disc diffusion method, sterile paper discs are dipped in a NP suspension and are placed on an agar plate that is inoculated with microbes afterwards. An inhibition zone appears around the discs after overnight incubation if the nanomaterial has an antimicrobial activity to the tested microbes. The extent of the inhibition zone represents the measurement parameter for the antimicrobial effect of the NPs. The size of the inhibition zone is influenced by the size of the NPs and their rate of diffusion in combination with the agar's porosity and charge interactions between the NPs and the agar.<sup>81,132</sup> For the disk diffusion method, many different media are available, but Mueller-Hinton agar (MHA) (pH 7.2–7.4) is considered the best for routine antimicrobial testing of using simple and robust bacterial and yeast strains.<sup>81,150,151</sup> Mueller-Hinton agar used for antifungal testing should be supplemented with glucose to a final concentration of 2% and methylene blue dye should be added to a final concentration of 0.5 μg mL<sup>-1</sup>. These two additional steps are useful for the growth of the fungi as well as to enhance zone edge definition.<sup>150</sup> Spherical Ag NPs were tested against yeast, *E. coli* and *S. aureus* using the disk diffusion method and effectively inhibited bacterial and fungal growth. The particles showed a strong antimicrobial activity against yeast and *E. coli*, whereas the activity against *S. aureus* was mild. The lower efficacy of the Ag NPs against *S. aureus* could be explained by differences in the membrane structures of Gram-negative and Gram-positive bacteria.<sup>103</sup> In addition,



**Table 2** Compilation of test methods applied for monitoring antimicrobial effectiveness on different types of nanomaterials and key results reported

NP type	Size [nm]	Antimicrobial test	Pathogen	Key result(s)	Ref.
Ag	40–50	Disc diffusion	<i>Escherichia coli</i>	4.8 mm zone of inhibition	134
Ag	6	Broth microdilution	<i>In vivo</i> rabbit mouth	9.8 wt% Ag NP mouthwash significantly reduced the number of bacteria in the oral cavity ( $P < 0.001$ ) from 101.40 to 5.43 CFU after 4 days	135
Ag	30–50	Broth microdilution	<i>Candida albicans</i> <i>Candida albicans</i> <i>Streptococcus mutans</i> <i>Streptococcus mutans</i>	MBC: $48.0 \pm 5.47 \mu\text{g mL}^{-1}$ MIC: $2.82 \pm 0.68 \mu\text{g mL}^{-1}$ MBC: $18.5 \pm 0.67 \mu\text{g mL}^{-1}$ MIC: $60.00 \pm 22.36 \mu\text{g mL}^{-1}$	136
Ag	33	Disc diffusion	<i>Escherichia coli</i> <i>Staphylococcus aureus</i> <i>Bacillus cereus</i> <i>Pseudomonas aeruginosa</i>	18.7 mm zone of inhibition 17.7 mm zone of inhibition 17.7 mm zone of inhibition 10.3 mm zone of inhibition	137
		Broth microdilution	<i>Escherichia coli</i> <i>Staphylococcus aureus</i> <i>Pseudomonas aeruginosa</i> <i>Bacillus cereus</i>	MIC: $780 \mu\text{g mL}^{-1}$ MIC: $390 \mu\text{g mL}^{-1}$ MIC: $390 \mu\text{g mL}^{-1}$ MIC: $780 \mu\text{g mL}^{-1}$	
Ag	10	Plaque	Respiratory syncytial virus	HEp-2 cells: 78% reduction in replication A549 cells: 79% reduction in replication	138
Ag	15	MTT-assay	<i>Herpes simplex virus</i>	Decrease of $61.7 \pm 6.6\%$ of replication of virus	139
Ag	5–18	Poisson assay	<i>Alternaria alternata</i> <i>Pyricularia oryzae</i>	Mycelial diameter by 52% at 20 ppm Mycelial growth was reduced by 68% at 20 ppm	140
Ag	30	Broth dilution method	<i>Candida glabrata</i> (12 strains)	MIC ranged from: 0.125 to $0.5 \mu\text{g mL}^{-1}$	42
Ag modified CeO <sub>2</sub>	30–40	TCID <sub>50</sub> assays	Coronavirus OC43	Treatment with $0.2 \text{ mg mL}^{-1}$ for 4 h led to effectively inactivated infectivity to below the detectable limits	43
			Parainfluenza virus type 5	Treatment with $0.2 \text{ mg mL}^{-1}$ for 4 h resulted in complete virus inactivation	
Combination of Ag, Cu, and WC (tungsten carbide)	10–20	Broth microdilution Live/dead staining	<i>Pseudomonas aeruginosa</i> <i>Staphylococcus aureus</i>	Complete inhibition at 0.25 w/v% concentration 0.25 (w/v%) showed 98% of non-viable bacterial cells	141
Au	30	Broth microdilution	<i>Escherichia coli</i>	MIC: $16 \mu\text{g mL}^{-1}$	37
Au	14–50	Disc diffusion	<i>Escherichia coli</i> <i>Pseudomonas aeruginosa</i> <i>Staphylococcus aureus</i>	8.5 mm zone of inhibition 20.5 mm zone of inhibition 16.5 mm zone of inhibition	38
		Time kill	<i>Escherichia coli</i> , <i>Pseudomonas aeruginosa</i> , <i>Staphylococcus aureus</i>	0.2 and 0.4 $\mu\text{g}$ of NP reduction to zero population	
Au	6	Plaque	Measles virus	Reduction of 84% of PFU at 3 h of incubation and 92% at 6 h of incubation	142
		Real-time PCR		Viral load reduced by 95% at 3 h and 97% at 6 h of incubation	
Au	10–16	Virus pretreatment assay	Herpes simplex virus type 1	4 h pretreatment: 100-fold decrease of the HSV-1 load	143
CeO <sub>2</sub>	5	Disc diffusion	<i>Streptococcus mutans</i> <i>Staphylococcus aureus</i> <i>Enterococcus faecalis</i> <i>Candida albicans</i>	10 mm zone of inhibition 11 mm zone of inhibition 9 mm zone of inhibition 9 mm zone of inhibition	144
CuO	42	Broth microdilution	<i>Bacillus cereus</i> <i>Staphylococcus aureus</i>	MIC = $0.62 \text{ mg mL}^{-1}$ MIC = $0.16 \text{ mg mL}^{-1}$	45
CuO	4–50	Well diffusion	<i>Klebsiella oxytoca</i> <i>Escherichia coli</i> <i>Staphylococcus aureus</i> <i>Bacillus cereus</i>	$14 \pm 0.31$ mm zone of inhibition $16 \pm 0.53$ mm zone of inhibition $11 \pm 0.57$ mm zone of inhibition $10 \pm 0.57$ mm zone of inhibition	44
		Microdilution assays	<i>Klebsiella oxytoca</i> <i>Escherichia coli</i> <i>Staphylococcus aureus</i> <i>Bacillus cereus</i>	MIC = $6.25 \mu\text{g mL}^{-1}$ MIC = $3.12 \mu\text{g mL}^{-1}$ MIC = $12.5 \mu\text{g mL}^{-1}$ MIC = $25 \mu\text{g mL}^{-1}$	
		Time-kill kinetics	<i>Klebsiella oxytoca</i> <i>Escherichia coli</i> <i>Staphylococcus aureus</i> <i>Bacillus cereus</i>	2 h with $4 \times \text{MIC}$ : 45% 2 h with $4 \times \text{MIC}$ : 63% 2 h with $4 \times \text{MIC}$ : 49% 2 h with $4 \times \text{MIC}$ : 59%	
Cu <sup>0</sup>	20–30	Broth microdilution	<i>Pseudomonas aeruginosa</i>  <i>Staphylococcus aureus</i>	$c$ of 400 ppm of NPs: 94.9% $c$ of 800 ppm of NPs: 100% Inhibition $c$ of 800 ppm of NPs: 40% inhibition	145



Table 2 (continued)

NP type	Size [nm]	Antimicrobial test	Pathogen	Key result(s)	Ref.
Fe <sub>x</sub> O <sub>y</sub>	10	Broth microdilution Live/dead staining	<i>Bacillus subtilis</i>	Viability reduction of 30% in the presence of 50 μM NPs 50 μM NP treatment ~10% of non-viable bacterial cells	48
Fe <sub>x</sub> O <sub>y</sub> – chitosan coated (positive surface charge)	11	Broth microdilution Live/dead staining	<i>Escherichia coli</i>	Viability reduced by 70% in the presence of 50 μM NPs 50 μM NP treatment showed 90% of non-viable bacterial cells	
Fe <sub>3</sub> O <sub>4</sub> – <i>Cinnamomum verum</i> functionalized	10	Viable cell count assay	<i>Staphylococcus aureus</i> <i>Escherichia coli</i>	Biofilm: 4-fold inhibition for initial biofilms and 3– fold inhibition for mature biofilms compared to the control Inhibition ranged from 2.5-fold for initial biofilms to up to 2-fold for mature biofilms compared to the control	146
ZnO	30–92	Neutralization assays Immunohistochemistry	SARS-CoV-2 virus (delta)	Virus concentrations in the cell culture supernatant of infected cells were reduced by more than 10 <sup>6</sup> times Pre-treatment with 20 mg mL <sup>-1</sup> showed no infected cells	147
ZnO	30–70	Clear zone technique Disc diffusion	Herpes simplex virus <i>Candida albicans</i>	83% inhibition 20 mm zone of inhibition	148
ZnO	70	Agar dilution method	<i>Penicillium expansum</i>	Reduction rate of fungal growth at 91% (12 mmol L <sup>-1</sup> )	51
ZnO	50	Broth microdilution	<i>Candida Albicans</i> <i>Escherichia coli</i>	MIC = 0.25 mg mL <sup>-1</sup> MIC = 0.5 mg mL <sup>-1</sup>	149

Pop *et al.* (2020) reported that CeO<sub>2</sub> NPs showed differing antimicrobial activities against Gram-positive vs. Gram-negative bacteria due to differences in their membrane structures. The antibacterial activity of CeO<sub>2</sub> NPs showed that the same concentration of NPs had different inhibition zone diameters for *E. coli* and *Salmonella typhimurium* (*S. typhimurium*), which were 9 and 10 mm, respectively. Gram-positive pathogens showed the strongest inhibition effects, with the highest being exerted by *Listeria monocytogenes* (*L. monocytogenes*), followed by *Bacillus cereus* (*B. cereus*) and *S. aureus*. The MBC results showed that for *S. typhimurium* and *L. monocytogenes*, the highest sensitivity observed was only at a concentration of 1.07 g L<sup>-1</sup> CeO<sub>2</sub> NPs.<sup>152</sup>

The well diffusion method works quite similar to the disc diffusion method, but instead of paper discs, wells are dug into an inoculated agar plate. The NPs are then loaded into the wells and if the NPs have an antimicrobial activity against the used microbe, an inhibition zone appears, which makes it possible to determine the antimicrobial effect.<sup>132</sup> Au NPs were tested for the Gram-negative bacteria *E. coli*, *Pseudomonas aeruginosa* (*P. aeruginosa*) and *S. typhimurium* using the well diffusion method and a strong antibacterial activity was found; meanwhile for the Gram-positive bacteria *B. subtilis*, *S. aureus* and *Streptococcus pyogenes* (*S. pyogenes*), they only showed moderate effects.<sup>153</sup> Chandrasekaran *et al.* (2016) reported that Ag NPs showed the highest antibacterial effect against Gram-negative bacteria, using the well diffusion method.<sup>154</sup> Urnukhsaikhan, Bold and Gunbileg (2021), however, examined that Ag NPs both

have efficient antibacterial activity against Gram-positive (*Micrococcus luteus* [*M. luteus*]) and Gram-negative (*E. coli*) bacterial strains.<sup>155</sup> Arujo *et al.* (2012) tested three different types of new synthesis methods for Ag NPs against *S. aureus*, *Listeria innocua* (*L. innocua*), *Salmonella enterica* (formerly known as *Salmonella choleraesuis*, *S. choleraesuis*), *P. aeruginosa* and *B. cereus* and discovered that all three types exhibited high antimicrobial activity. There was no difference in the antimicrobial action between the Ag NPs and the sodium chloride treated Ag treatments, whereas the concentrated Ag NPs were the most effective.<sup>156</sup> CuO NPs showed antimicrobial activity against *S. aureus*, *E. coli*, and *P. aeruginosa* when assessed by disc- and well diffusion assays.<sup>157</sup> In another study, ZnO NPs were tested against *S. aureus*, *E. coli*, *Shigella sonnei* (*S. sonnei*) and *S. enterica* as well as the yeast *C. albicans*. The NPs were completely ineffective against *E. coli*, *S. enterica* and *S. sonnei* (Gram-negative) as well as against *C. albicans* but they had a strong effect against the Gram-positive bacteria *S. aureus*.<sup>158</sup> Bulk, green and chemically synthesized ZnO NPs were tested against four different pathogenic fungal strains (*Aspergillus flavus* (*A. flavus*), *Aspergillus nidulans* (*A. nidulans*), *Trichoderma harzianum* (*T. harzianum*), and *Rhizopus stolonifer* (*R. stolonifer*)) using the disc and the well diffusion method showing antifungal activity against all four strains. The higher activity of green synthesized particles could be attributed to their smaller particle size. The correlation between small particle sizes and the high surface to volume ratio was identified as a key property for antimicrobial suitability, but more details on the



differences between the green and chemically synthesized ZnO NPs will be given further below.<sup>159</sup>

#### 4.2. Dilution methods

The broth dilution method is carried out by inoculating containers holding identical volumes of broth with a known number of a test organism. The broths contain antimicrobial solutions with different concentrations which increase incrementally, as depicted in Fig. 3B and C. There is also the possibility of performing the broth dilution method in microdilution plates with a capacity of  $\leq 500 \mu\text{L}$  per well, which is called the broth microdilution method. The most used medium for broth dilution methods for bacteria is Mueller-Hinton broth,<sup>160</sup> and for fungi a synthetic medium is recommended by the Clinical & Laboratory Standards Institute (CLSI, formerly NCCLS).<sup>150</sup> After incubation, the MIC can be determined and the results can be analyzed.<sup>151</sup> The broth-dilution method is often used in conjunction with the dynamic contact method (ASTM E2149-10 guide), in which different concentrations of NPs are exposed to a solution containing known concentrations of microorganisms for a specified period of time. Therefore, after NPs exert antimicrobial activity in liquid medium, they can be further inoculated onto agar-filled Petri dishes and cultured under specific growth conditions customized for the target microorganisms.<sup>151,161,162</sup> The activity of ZnO NPs was tested using the NCCLS-recommended broth dilution method for two different fungal species, *A. flavus* and *Aspergillus fumigatus* (*A. fumigatus*). The NPs showed high antifungal activity against both fungal strains. The ZnO particles were also tested against *S. aureus* and *S. typhimurium* using the broth dilution method, and a significant antibacterial effect against them was reported.<sup>163</sup>

A variant suitable for higher throughput is the microtiter plate-based method, which is often used for antimicrobial susceptibility testing. To perform this method, a 96-well plate is taken and 100  $\mu\text{L}$  of the test material in 10% DMSO or sterile water is pipetted into the first row of the plate. All other plates are filled up with 50  $\mu\text{L}$  of nutrient broth or saline. Afterwards, a serial dilution is performed, so that each well ultimately contains 50  $\mu\text{L}$  of the testing material in serially decreasing concentrations. Also, 10  $\mu\text{L}$  of resazurin indicator solution, 30  $\mu\text{L}$  of so-called “iso-sensitized broth”, which is a pH-buffered variant giving better reproducible results, and 10  $\mu\text{L}$  of a bacterial suspension ( $5 \times 10^6 \text{ CFU mL}^{-1}$ ) are added. This has to be done to achieve a final concentration of  $5 \times 10^5 \text{ CFU mL}^{-1}$  of the bacterial suspension. Finally, the plates are wrapped with a cling film to make sure that the bacteria are not dehydrated. Each plate also contains a column for the positive control, a column with all solutions except the test compound and a column with all solutions except the bacterial solution as a control. After overnight incubation, a color change can be used to interpret the result of the test. A color change from purple to pink or colorless is recorded

as positive and the MIC value is considered as the lowest concentration at which a color change occurs.<sup>164</sup> ZnO NPs immobilized with antibiotics, non-immobilized ZnO NPs and zinc ions were tested against *E. coli*, *Staphylococcus epidermidis* (*S. epidermidis*) and *Klebsiella pneumoniae* (*K. pneumoniae*) using the microtiter plate-based method. Zinc ions were the least effective against all tested bacteria, whilst ZnO NPs successfully inhibited the microorganism growth and showed similar minimal inhibitory concentrations when compared to the immobilized-antibiotic counterparts.<sup>165</sup>

#### 4.3. Time-kill assay

The time-kill assay gives information about the dynamic interaction between the antimicrobial agent and the microbes (bacteria or fungi). It either shows a time-dependent or a concentration-dependent antimicrobial activity. This test has been well standardized for bacteria and is performed using three tubes that contain a  $5 \times 10^5$  colony forming units per milliliter ( $\text{CFU mL}^{-1}$ ) bacteria suspension, as depicted in Fig. 3D. The first two tubes contain the testing substance and the third is used as a growth control. After exposing the testing substance to the bacteria, the percentage of dead cells over a specific time period is calculated relative to the growth control. Therefore, the living cells ( $\text{CFU mL}^{-1}$ ) are counted using the plate count method,<sup>166</sup> which is a method that demonstrates the number of bacteria that survived after an overnight interaction between the antimicrobial and the bacteria used.<sup>167</sup> The Clinical and Laboratory Standards Institute (CLSI) defines significant bactericidal activity as a  $\geq 3 \log_{10}$  colony-forming unit ( $\text{CFU mL}^{-1}$ ) reduction in the number of colonies grown on agar plates over time compared to the original inoculum, whereas the antibacterial activity corresponds to  $< 3 \log_{10} \text{ CFU mL}^{-1}$ .<sup>166,168,169</sup> For antifungal susceptibility testing, the time-kill assay requires some modifications. It is recommended to use a starting inoculum of  $10^4$ – $10^6 \text{ CFU mL}^{-1}$  and the growth medium should be RPMI 1640 buffered to a pH of 7.0 using 3-(*N*-morpholino-)propane sulfonic acid (MOPS). Time-kill samples should be agitated while incubating at 35 °C. Prior to implementation, sampling techniques should be assessed for their impact on the antifungal carryover and sampling needs to last at least 24 h.<sup>170</sup> The time-kill method is a quite appropriate method determining the antimicrobial activity of different substances.<sup>166</sup> CuO-based nanomaterials have been shown to effectively kill *E. coli*, *S. aureus*, *P. aeruginosa*, methicillin-resistant *S. aureus* (MRSA), and *Proteus* spp. when using time-kill assay formats *in vitro*.<sup>104,152</sup> When assessing NP toxicity, the choice of medium used in the time-kill method can affect the results. For example, the presence of proteins, salts, and glucose increases the aggregation of diamond-type nanomaterials, leading to a decrease in their antibacterial activity.<sup>171</sup> For this reason, phosphate-buffered saline (PBS) which lacks the nutrients that bacteria need to grow, but maintains pH and provides a stable, inert environment for



bacteria, was used in some time-kill studies, instead of nutrient-buffered saline, to evaluate the antibacterial activity of tested agents.<sup>171,172</sup> Pop *et al.* (2020) tested CeO<sub>2</sub> NPs against *E. coli*, *S. typhimurium*, *L. monocytogenes*, *S. aureus* and *B. cereus*. The inhibitory effect could be observed from the first hour on, and both Gram-positive and Gram-negative bacteria were affected by the particles.<sup>152</sup> In addition, using time-kill tests it was shown that CuO NPs exhibited antimicrobial properties against *S. aureus*, *E. coli*, *P. aeruginosa*, and *S. epidermidis*.<sup>173</sup> S NPs coated with chitosan were tested against bacteria (*E. coli*, *S. aureus*) and fungi (*A. flavus*, *C. albicans*) using the time-kill method. The S NPs showed antimicrobial activity against a large array of bacteria and fungi, with a higher activity against bacteria than fungi. The S NPs showed the highest activity against *E. coli*.<sup>103</sup>

#### 4.4. Colony diameter assay

Another method used for antifungal testing is the so-called colony diameter measurement, which is also known as the radial growth rate. It involves taking various time-stamped measurements of the diameter (or radius) of macroscopic colonies on solid media,<sup>174</sup> as depicted in Fig. 3E. For this method, the final concentration of the NPs is mixed with melted agar. The mixture is poured into Petri dishes, which are then incubated before being inoculated in the center with a mycelia disc or plug with a diameter of 6–8 mm or a spore suspension.<sup>47</sup> Ag NPs were tested against eighteen plant phytopathogenic fungal species using this method and showed antifungal properties against almost all fungi. A high inhibition effect was shown for most fungi at a 100 ppm concentration of the Ag NPs.<sup>175</sup> Cu NPs (at various concentrations) were tested against *Fusarium kuroshium* (*F. kuroshium*) and were by more than 80% effective than the cupric hydroxide-based commercial fungicide used as a positive control.<sup>176</sup> Besides using a growth medium for antimicrobial testing of CeO<sub>2</sub> NPs, also the buffer conditions play a role; in this context NaCl and PBS were used comparatively to determine the antimicrobial effects of the NPs. Zhuo, Ma and Quan (2021) used this method by exposing functionalized CeO<sub>2</sub> NPs to 0.9% NaCl solution or PBS for 6 h. These conditions were chosen instead of the growth medium because NaCl and PBS are extensively used in lots of scenarios.<sup>120</sup>

#### 4.5. Live/dead staining for bacterial and fungal viability assessment

Bacterial and fungal viability assays by live/dead staining form an alternative method to the described culture-based assays like the time-kill assay. A widely used kit is the LIVE/DEAD® BacLight™ Bacterial Viability Kit. The viability of bacterial or fungal cells is measured by membrane integrity with dual fluorescence staining. The fluorophores used are SYTO 9 and propidium iodide. SYTO 9 has its excitation/emission maxima at ~480/500 nm with green emission and propidium iodide has its excitation/emission maxima at

~490/635 nm with red emission. Both colors intercalate with nucleic acids; however, they differ in their membrane permeability properties. SYTO 9 can cross the membrane of both dead and living cells. Propidium iodide can only stain cells with a disrupted cell membrane. The viability is shown between cells that are stained green as live cells and red cells as dead cells. Therefore, an easy differentiation can be made between live and dead cells in a population when analyzed with fluorescence imaging, flow cytometry, or microplate assays. Briefly, the cells are incubated in a nutrition broth to grow. At desired time points, the cells can be treated with the concentrations of the substance to be analyzed. After incubation, the suspension is centrifuged, and the supernatant is discarded. The pellet is resuspended in 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid (HEPES) buffer, and this step is repeated a few times, and after the last discarding step, the fluorochromes are added. After 15 min of incubation, the samples can be analyzed.<sup>44,177</sup> The benefits of this method are that it is rapid and almost allows real time assessments. Cell death through any underlying process can be quantified directly, and results do not have to be reverse-calculated. When analyzed with fluorescence imaging, the loss of membrane integrity can be monitored over time. Therefore, this method can be used for over-time viability as well as concentration-dependent viability assessments. The impact of the treatment substance can be reported in percentage or the total number of dead cells (1×), with its experimental approach depicted in Fig. 3F.

Using the LIVE/DEAD® BacLight™ Bacterial Viability Kit, chitosan-coated Fe<sub>x</sub>O<sub>y</sub> NPs (positive surface charge) showed a dead cell population of 90% in the presence of 50 μM NPs against *Bacillus subtilis* (*B. subtilis*) and *E. coli*.<sup>44</sup> Bankier *et al.* (2018) showed that a combination of tungsten carbide, Ag, and Cu<sup>0</sup> NPs resulted in successful inhibition of *P. aeruginosa* and *S. aureus* upon NP treatment at a concentration of 0.25% (w/v), following a NP dose-dependent increase in growth inhibition.<sup>178</sup> Another study reported that CuO NPs displayed higher antimicrobial activity than ZnO and WO<sub>3</sub> NPs. From the different test strands (*S. aureus*, *E. coli*, MRSA and *C. albicans*, oral and vaginal), *E. coli* showed the highest sensitivity against CuO NPs. However, the best antimicrobial activity was achieved with a combination of the three NPs.<sup>179</sup>

#### 4.6. Plaque assay formats for anti-viral functional performance testing

Plaque assays, which involve counting discrete plaques, *i.e.* infectious units and cellular dead zones, in the adherent cell culture, are the most precise techniques for the direct quantification of infectious virions or cellular susceptibility towards viruses and, hence, can be used for the determination of antiviral substances,<sup>180</sup> as depicted in Fig. 3G. In a plaque assay, a confluent monolayer of host cells is exposed to an unknown concentration of a lytic virus that has been serially diluted to a countable range, usually between 5 and 100 virions per well. Then, to stop viral infection from dispersing randomly



through the liquid medium during viral propagation, infected monolayers are covered with an immobilizing overlay medium. Meanwhile solid or semisolid overlays like agarose, methyl cellulose, or carboxymethyl cellulose have been replaced as the preferred covering method by novel liquid overlays like Avicel. After the virus initially infects the cells and an immobilizing layer is applied, individual plaques will emerge. This occurs as viral replication is restricted to the local area of the cell monolayer. Infected cells will continue the replication → lysis → re-infection cycle, spreading the infection further and causing plaques to become more distinct and discrete. A visible plaque formation will typically take 2 to 14 days, depending on the viral growth kinetics and host cell used. After that, cellular monolayers can be counted either using a bright field microscope, or by being fixed and counterstained with neutral red or crystal violet to make plaques easy to spot with the naked eye. Plaques are counted after the infected cellular monolayer has been fixed and stained to determine the titers of viral stock samples in the number of plaque-forming units (pfu) per milliliter. Between serial dilutions, a log drop should be noted, and, depending on the plate size, between 5 and 100 plaques should be counted with a reference of a negative control triplicate. According to statistics, samples will differ by 10% between replicates for every 100 plaques counted. Plaque assays are advantageous for determining viral titers because they can count the precise number of infectious viral particles present in the sample. Plaque titrations use the terminology of units rather than virions because multiple virions may potentially infect a single cell.<sup>181</sup> Park *et al.* 2014 showed that their investigated Ag NPs maintained highly potent antiviral properties against several viruses including bacteriophage X174 and murine norovirus in various environmental settings without ecological risks.<sup>182</sup> In another study, three antimicrobial NP types (Ag, CuO, and ZnO) were coated on porous air-filter materials (*e.g.*, for face masks) as well as solid flat surfaces, and their activity against SARS-CoV-2 viability was tested using the plaque assay. Of the three investigated nanomaterials, Ag as a coating displayed the most potent antiviral activity, whereas CuO showed moderate activity and ZnO did not show any reduction of the virus load. The authors, thus, concluded that CuO and Ag are promising raw materials for use as antiviral coatings of solid surfaces or air-filters to reduce viral transmission and super-spreading events, and their data provide crucial guidance for the, at that time, ongoing and any upcoming pandemic mitigation efforts.<sup>183</sup>

#### 4.7. Assay standardization and assessment of antimicrobial surfaces

Standardization of antimicrobial tests suitable for surfaces was first established in the year 2000 with the Japanese Industrial Standard JIS Z 2801 (ref. 184) and was harmonized and re-released internationally in 2007 with the ISO 22196.<sup>185</sup> The JIS Z 2801 first only covered the usage and testing of plastics, foams and textiles, and it was then extended with the ISO 22196 to cover all non-porous surfaces. In short, the surface is inoculated with defined concentrations of Gram-positive and -negative

bacteria and covered with a film to prevent evaporation. After 24 h of incubation at 37 °C and 90% humidity, the combined assembly is thoroughly washed and seeded in a serial dilution on agar plates to estimate the growth inhibition compared to a reference material. Compared to the aforementioned antimicrobial testing methods, the ISO standards receive, however, little coverage in the scientific literature.

## 5. Safety, sustainability & circularity considerations

Significant advancements have been made in understanding how and when engineered nano-scaled materials can be used for antimicrobial therapy. The toxicity of nanomaterials is generally mediated through dissolution, releasing toxic ions that can disrupt enzyme function, interact directly with DNA, or induce cellular oxidative stress by generating ROS.<sup>186,187</sup> More effective and precise methodologies are now being applied to explore the impact of nanomaterials more extensively. This includes generating functional and mechanistic insights into their health effects, their potential release into the environment, their environmental fate, and the types of hazards they might create. These efforts aim to develop a comprehensive hazard rating and strategies for exposure mitigation.<sup>188–191</sup> Depending on the type of application, nanomaterials may already enter the environment and ecosystems during production; however, they will inevitably do so during the use phase or disposal of nanomaterial-based products. There is a significant amount of research investigating the human health concerns but a comparatively negligible amount investigating the environmental impact. This disparity has, for instance, been highlighted by Bundschuh *et al.* 2018.<sup>192</sup> In terms of optimizing sustainability of such materials, several options may be conceived. As described in detail above, the physical properties of nanomaterials significantly influence their antimicrobial action. The physical properties including size and shape can be controlled by adjusting chemical concentrations and reaction conditions including temperature and pH.<sup>193</sup> However, in reality, the NPs synthesized by altering these conditions encounter several challenges, including instability in harsh environments, potential chronic toxicity upon bioaccumulation, complex analysis requirements, difficulties in device assembly, and issues with recycling and regeneration.<sup>194</sup> To mitigate these issues, 'green synthesis' methods are gaining popularity, focusing on sustainable and eco-friendly processes. Green synthesis aims to minimize waste, reduce pollution, and use safer and better sustainable, bio-based solvents and reagents, as well as renewable resources.<sup>195</sup> The production of antimicrobial nanomaterials, particularly Ag NPs, *via* green synthesis methods has emerged as a viable strategy for combating the growing issue of antibiotic-resistant bacterial diseases.<sup>196</sup> Traditional synthesis methods frequently use toxic chemicals and solvents, raising environmental and health problems. Green synthesis provides an environmentally acceptable alternative by using biological agents such as plant extracts or microbial sources as reducing and capping agents.<sup>197</sup>



While this alternative synthesis approach offers numerous advantages, it remains essential to also consider potential drawbacks. A significant concern lies in the variability of phytochemicals extracted from plants. Factors such as regional conditions (light, temperature, rainfall), soil quality, and fertilizer use can significantly impact the composition and quantity of these compounds.<sup>198–200</sup> Consequently, achieving batch-to-batch reproducibility may be challenging, especially when using plants sourced from different regions or harvested at different times of the year. Additionally, scaling up green synthesis approaches may present challenges due to the previously mentioned variability in plant materials and the difficulty in standardizing extraction and purification processes.<sup>201,202</sup> There are several methods that are employed in the green synthesis of antimicrobial nano-scaled materials. One prominent method is the use of plant extracts to synthesize antimicrobial NPs, specifically Ag NP-based materials. Plant extracts, such as those from *Heterotheca inuloides* (*H. inuloides*), have shown promise in creating NPs with antibacterial properties. Briefly, *H. inuloides* leaves were collected, cleaned, dried, ground into powder, and boiled in distilled water. The filtered solution was mixed with a 10 mM silver nitrate solution in a 1:2.5 ratio to synthesize 16 nm spherical Ag NPs. This method for green synthesis is simple, eco-friendly and cost-effective.<sup>203</sup> Another significant method involves the use of microorganisms such as bacteria, fungi, yeasts, and algae in the green synthesis of NPs. These microorganisms operate as bio-capping and bio-reducing agents, making it easier to create antimicrobial nanomaterials. Microorganisms with reductase enzymes can convert metallic salts into nanomaterials. The microbial synthesis strategy can be carried out *via* extracellular or intracellular pathways, allowing more variability and efficiency in NP production.<sup>204</sup> Ensuring the long-term sustainability and minimizing the environmental impact of antimicrobial nanomaterials necessitate comprehensive life cycle assessment (LCA). LCA serves as a highly valuable tool for systematically evaluating the environmental impacts of nanomaterials across their entire life cycle, from production to disposal.<sup>205</sup> While LCA studies on green synthesized antimicrobial nanomaterials are still relatively sparse, recent advancements have focused on evaluating their environmental sustainability. These studies aim to pinpoint environmental “hot spots” and compare the ecological performance of green synthesized nanomaterials against conventional or chemically synthesized alternatives.<sup>206</sup> Despite the increasing interest in green synthesized antimicrobial nanomaterials, significant challenges persist in thoroughly assessing their sustainability and environmental impacts. The lack of comprehensive LCI (life cycle inventory) data for often insufficiently characterized nanomaterials and the rapidly evolving nature of nanotechnology complicate accurate LCA.<sup>207</sup> Green synthesis, using plant extracts and microorganisms, minimizes toxic chemical use and promotes renewable resources. However, ensuring the long-term sustainability of nanomaterials with antimicrobial properties necessitates comprehensive LCA to evaluate their environmental impacts from production to disposal. Future research should

address these gaps by incorporating nano-specific environmental effects and enhancing data availability, fostering sustainable practices in antimicrobial nanomaterial production and use. Ultimately, LCA is crucial for improving the environmental impact of green synthesized antimicrobial nanomaterials, ensuring their responsible and effective application. Achievement of a net-zero greenhouse gas emission economy by 2050 has been a central aspect worldwide, which has led to strategies that strongly promote innovation in developing safe and sustainable ways, fundamentally important for the production of nanomaterials on a large scale, avoiding the use of critical raw materials and fossil derived solvents as well as making use of closed circle manufacturing processes, in which the gases produced during the manufacturing process are used in the very same process as precursors. The implementation of circular economy principles,<sup>208</sup> allied to Safe-and-Sustainable-by-Design (SSbD) methodologies,<sup>209</sup> as well as the integration of advanced nano-scaled materials for antimicrobial applications, establishes a comprehensive framework focused on environmental health and resource efficiency.<sup>210</sup> The circular economy emphasizes reducing, reusing, and recycling materials to create a closed-loop system that minimizes waste and energy consumption, thus significantly reducing the CO<sub>2</sub> footprint. SSbD principles guide the design and development of materials, ensuring that environmental and human health impacts are considered throughout their entire lifecycle. By integrating these concepts, advanced nanomaterials play a crucial role in providing innovative solutions that enhance material performance, durability, recyclability, and antimicrobial effectiveness. Materials, particularly those engineered at the nanoscale, as discussed in this article, have shown significant antimicrobial properties due to their ability to interact with microbial membranes, generate ROS, and release metal ions that can disrupt cellular functions. Recent advances have also been made towards integrating inorganic nanomaterials with nano-structured bio-based materials and show promising potential for broad antimicrobial action.<sup>211</sup> Natural materials and secondary metabolites derived from endophytic microorganisms, residing within the internal tissues of plants, have demonstrated virucidal, fungicidal, and bactericidal activity combined with pharmacological potential.<sup>212</sup> Endophytic compounds can add further functionalities, such as disruption of the generation of cellular energy, damage to the synthesis of nucleic acids, disruption of protein synthesis, and modulations to key metabolic pathways. In combination with engineered nanomaterials, these mechanisms make nanomaterials highly effective in combating bacteria, fungi, and viruses, which is why they are increasingly utilized in antimicrobial coatings, surfaces, and healthcare applications.<sup>213</sup> To fully understand and mitigate the environmental and health impacts of advanced nanomaterials, particularly those designed with sustainability and safety in mind, an interdisciplinary approach is essential. Leveraging artificial intelligence (AI) and comprehensive data banks enables thorough assessments of nanomaterials across their entire lifecycle—from raw material extraction to waste recycling. This holistic approach is crucial, as it addresses both



the beneficial applications of nanomaterials in industries such as cosmetics, textiles, healthcare, microelectronics, coatings, agriculture, and antimicrobial treatments, and the potential drawbacks associated with their production. Specifically, the significant energy consumption and emissions of gases like NO<sub>x</sub> and SO<sub>x</sub> during production present substantial environmental challenges. Therefore, integrating sustainable practices, advanced technologies, and rigorous evaluation frameworks is vital to advancing the safe and responsible use of nanomaterials, minimizing their ecological footprint while maximizing their benefits in various applications.<sup>214</sup>

### Assessing water ecotoxicity

The EU has set out to protect and restore the health of oceans, seas, and waters through research and innovation, citizen engagement and blue investments by significantly reducing their pollution with a tight timeline of until 2030, which was formulated in their implementation plan and a broad call for action in June 2022.<sup>215</sup> *Daphnia magna*, a small freshwater crustacean, has emerged as a pivotal model organism in assessing the ecotoxicity of nanomaterials in water. Its sensitivity towards environmental stressors and the availability of well-established and standardized OECD test guidelines (TGs) make it an ideal candidate for evaluating the potential risks associated with NP exposure. Recent studies have shed light on the complex interactions between nanomaterials and aquatic ecosystems. The tests are conducted following standardized guidelines, such as OECD TG 202, which outlines a 48 h acute immobilization test (OECD, 2004).<sup>216</sup> These tests are performed under controlled laboratory conditions, typically involving glass beakers containing a defined volume of the test medium, maintained at a constant temperature (20–25 °C) and a photoperiod of 16:8 h light:dark cycle. The NPs are dispersed in the test medium using techniques such as sonication to ensure a homogeneous suspension, a critical step given the tendency of NPs to aggregate, which affects their bioavailability and toxicity. Exposure concentrations for these tests are determined through range-finding studies to identify the concentration range that causes observable effects. The primary endpoint in acute toxicity tests is the immobilization of *Daphnia* within 24 to 48 h of exposure. Immobilization is defined as the inability of *Daphnia* to swim within 15 seconds after gentle agitation. In chronic toxicity studies, longer-term exposures are conducted, with endpoints such as survival, reproduction (number of offspring), and growth being measured. Additionally, sublethal effects, including changes in behavior, feeding rates, and physiological stress markers, can be assessed to provide a more comprehensive understanding of the NPs' effects. A study by Mackevica *et al.* 2015 examined how food availability impacts the harmful effects of Ag NPs on *Daphnia magna* over a 21-day period. They found that more food reduced the negative effects of Ag NPs on survival, growth, and reproduction. This suggests that food intake influences how *Daphnia magna* is exposed to and processes the NPs upon uptake.<sup>217</sup> Additionally, Hou *et al.* 2017 investigated the toxicity of Ag NPs based on their size and

surface coating. It was found that citrate-coated Ag NPs were more toxic than PVP-coated ones, and smaller Ag NPs (40 nm) were more toxic than larger ones (110 nm). The increased toxicity of citrate-coated and smaller Ag NPs was linked to higher Ag<sup>+</sup> ion release and greater biological impact, as evidenced by changes in gene expression, particularly in pathways related to ion binding and RNA polymerase.<sup>218</sup> Liu *et al.* 2022 published a comprehensive review investigating the importance of *Daphnia* as a model organism to investigate the ecotoxicological impact of nanomaterials. As already discussed, many studies have shown that oxidative stress is a common mechanism of NP toxicity in *Daphnia*, yet there is still a need for further research to fully understand and fill the remaining gaps of these complex interactions.<sup>219</sup>

### Assessing soil ecotoxicity

The microbiome is crucial for soil quality and fertility and is vulnerable to environmental stress induced by contaminants.<sup>220</sup> Quite similar to using *Daphnia* for monitoring water ecotoxicity, there is also a soil ecotoxicity standardized protocol available, *i.e.* OECD TG 222. This protocol is designed to evaluate the impact of chemicals on earthworms (*Eisenia fetida* or *Eisenia andrei*). Adult worms are exposed to varying levels of a test substance in soil for eight weeks. The goal is to identify the chemical concentration causing harmful effects on reproduction and overall health. Observations include changes in behavior, appearance, weight, and offspring production. Statistical analysis determines the chemical concentration causing no observable effects (NOEC) and those causing specific levels of reproductive decline, for instance in effective concentration for 50% reduction (EC<sub>50</sub>). To ensure accurate results, the test includes a control group and a range of chemical concentrations that effectively monitor the substance's toxicity.<sup>221</sup> For instance, one study found that both ZnO and TiO<sub>2</sub> NPs had limited harmful effects on earthworms exposed to high concentrations for extended periods. Moreover, ZnO NPs showed a negative impact on reproduction, especially in clay soil. Interestingly, low levels of TiO<sub>2</sub> NPs appeared to stimulate reproduction in earthworms. These results suggested that the toxicity of ZnO NPs to earthworms can vary depending on soil conditions.<sup>222</sup> Another study demonstrated that Ag NPs can impact the behavior of organisms at doses commonly found in the environment. Surprisingly, the organisms seemed to sense the NPs, causing them to avoid areas where they were present. This behavior could significantly affect how model ecosystems function under specific settings.<sup>223,224</sup> While these studies provide valuable insights, it is essential to acknowledge the challenges associated with standardized test methodologies and the complexity of natural environments. In this regard, indoor mesocosm experiments can be used for elucidating the complex interactions of the soil microbiome with advanced materials under specific settings, such as, for instance, when Cu-based (nano-scaled and bulk) agrochemicals were being tested recently against conventional Cu-hydroxide pesticide.<sup>223</sup> Future research should, thus, focus on developing robust protocols, considering



multiple endpoints, and incorporating realistic exposure scenarios to improve risk assessment and management strategies for antimicrobial nano-scaled materials with an optimized safety and sustainability profile to enable circular and bio-based technologies for innovative antimicrobial surfaces based on advanced materials.

## 6. Current and future applications

Within the European Union, nine so-called material innovation markets have been identified by the Advanced Materials Initiative,<sup>225</sup> a broad initiative involving industry, research organizations, and academia. The sub-category advanced surfaces is represented in seven out of the nine material innovation markets, and it is evident that nano-scaled materials will provide a major opportunity in research and innovation. Material innovation markets entail fields like electronic appliances, new energy, home & personal care, sustainable construction, transport, agriculture and textiles. Even before the AMI2030, nano-scaled materials have facilitated a steady increase in applications, as depicted in Fig. 4. In this chapter, we will highlight some of these application fields and describe how nano-scaled advanced materials can be exploited in novel and innovative ways.

Incorporating nanomaterials into medical devices (*e.g.*, catheters, implants, wound dressings and prosthetics) aims to reduce the risk of spreading infections, associated with medical procedures. For instance, catheter-associated urinary tract infections (CAUTIs) are a common complication in patients with indwelling catheters. The use of catheters coated with Ag NPs has been shown to reduce the incidence of CAUTIs in clinical studies.<sup>226</sup> Reducing the risk of infections with nanomaterials requires less use of antibiotics, hence, the development of antibiotic resistances is delayed, rendering them more effective when they are indeed needed, like in life-threatening scenarios. Additionally, a series of infections can lead to sepsis, which was the cause of 20% of worldwide accounted deaths in 2017.<sup>227</sup> The use of nanomaterials in wound dressings can help prevent infections and promote wound healing by providing anti-inflammatory signals or increasing cell proliferation and angiogenesis.<sup>228</sup> Nanomaterials, regardless of being organic or inorganic, such as TiO<sub>2</sub> and ceramic NPs, have been demonstrated to mediate antimicrobial functionality in wound dressings.<sup>228,229</sup> Overall, the use of nano-scaled materials in medical devices has the potential to improve patient well-being also indirectly by keeping antibiotics an effective instrument with reduced likelihood of resistances to emerge, additionally reducing the economic burden in coherence with healthcare-associated infections.

Nano-scaled advanced materials with antimicrobial properties can also be incorporated during the manufacturing of textiles. This is particularly useful in healthcare settings in cases of a high risk of contact-based infections with contaminated textiles for patients as well as

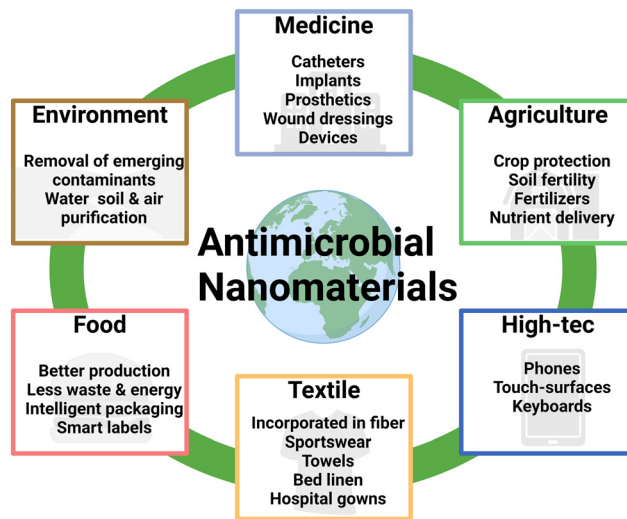


Fig. 4 Overview scheme highlighting application fields and technologies where nano-scaled materials have enabled key advancements including a non-exhaustive list of applications where antimicrobial functionality is relevant. Nanomaterials acting as antimicrobial agents can act as replacements in various fields of life ranging from coatings on medical devices and surfaces in hospitals to films and foils protecting phones or keeping food fresh for a longer time.

for the staff. Antimicrobial textiles can be produced by incorporating Ag, Cu, or ZnO NPs into the fibers. These NPs can kill microorganisms by disrupting their cell membranes and interfering with their metabolic processes. Antimicrobial textiles are used in a variety of healthcare settings, including hospitals and nursing homes. These can be used in hospital gowns, bed linens, towels, and as previously mentioned wound dressings that come into regular contact with patients. Antimicrobial textiles also act as personal protective equipment like masks and gloves. Inevitably, NPs in textiles will diminish over time by either dissolving into ions, or by being washed out and, thus, quickly lose their effectiveness as antimicrobial agents in the products. In this regard, single- or limited-use products have a wider applicability as effective concentrations are better controlled than under repetitive use.

Another increasingly important field is sportswear. Many studies cover the generation of nanofibers that are woven into the fabric. These nanofibers can repel moisture and heat, offer protection from ultraviolet radiation whilst still being able to eliminate/reduce the microbial load and neutralize the smell of sweat.<sup>230,231</sup>

In the area of water treatment and air purification, nano-scaled advanced materials show various positive characteristics, facilitating high throughput, quick kinetics, targeted specificity, broad-spectrum photochemical efficacy, and strong antibacterial activity. Materials such as TiO<sub>2</sub> NPs, including various composites thereof, are ideal candidates for water treatment to kill microbes and, thus, enhance the purification potential of the treatment plant. Materials can be used in filters, membranes, or as reagents in water



treatment systems. It is essential to mention that NPs can hardly be removed if being added to wastewater. Thus, it is of high importance to use materials that neither show any toxic effects in humans nor display negative environmental effects. Quite similarly, ZnO NP-containing advanced materials can be applied in antimicrobial air filters. These filters aim to reduce the spread of airborne bacteria and other microorganisms in hospitals, public buildings, and crowded spaces.

Another major field is the application of nano-scaled advanced materials for food packaging resulting in less expensive items facilitating more effective production workflows, generating less waste, and consuming less energy.<sup>232</sup> Potential candidates must be thoroughly tested, particularly in cases where packaging-derived abrasion may be released into the digestion tract. For packaging, mixing nano-scaled materials with compatible polymers results in enhanced mechanical strength and thermal stability.<sup>233</sup> Further, nanomaterials can aid certain important features for “intelligent packaging”, enabling improved food security, extended storage life, better flavor and nutrient delivery, on top of standard packaging function, which is food protection, moisture control, and antioxidant function. Furthermore, intelligent packaging encompasses “smart” multifunctional labels containing sensors that inherently indicate safety, integrity and quality of the food. Some of these smart label functions are already used within cold chain indicators, for instance by Tempix AB (Gaevle, Sweden), which document whether a preset threshold temperature has ever been exceeded.<sup>234</sup>

The global population is projected to reach approximately 9 billion people by 2050. In order to sustain so many people, food production needs to be increased by about 50% to be able to satisfy the nutritional demands of the world.<sup>235,236</sup> Using conventional farming methods, around one third of the crops get destroyed and nano-formulated agrochemicals are ideally able to alleviate the said issues. Commonly used fertilizers attempt to enhance crop production, but this comes also at a cost by decreasing soil health and fertility, disturbing the mineral balance and enhancing nitrogen loss into the atmosphere,<sup>237</sup> adding to the already ongoing surpass of aerosol loading, resource consumption, biosphere integrity, and biogeochemical flows (anthropogenic reactive N and P release into ocean and land) beyond the planetary boundaries.<sup>238</sup> Furthermore, to sustain the increased demand in food production more pesticides and fertilizers need to be produced, which is a highly energy- and cost-intensive procedure resulting in a highly negative carbon footprint. Nano-formulated agrochemicals could provide a solution for these problems, but still remain to be tested and experimented on a larger scale and in field trials, especially since most of the research and development have mostly been performed at the lab-scale. Nano-scaled advanced materials can, for once, be used as smart delivery systems to provide crops the necessary nutrients for ideal growth conditions, and depending on size, can also easily find a way

into the plant cells.<sup>239</sup> Agricultural waste products can be transformed into advanced nano-bio-composites, which then lead to enhanced plant growth and seed germination after the application. Antimicrobial coatings can be broadly applied to crops and seeds, which helps to prevent the growth of harmful bacteria and fungi.<sup>240,241</sup>

The above-described examples shall highlight some projections from the AMI2030, emphasizing that the utilization and implementation of nano-scaled advanced materials in a number of current product sectors is a fast flourishing and ongoing field of research and development. It can be expected that nanomaterial-containing products will be found in many sectors in the near future, and public acceptance and tolerance will have to consequently cope with them, demanding targeted activities in environmental and social governance involving all relevant stakeholders.<sup>242</sup>

## 7. Summary and outlook

Nano-scaled advanced materials offer a promising approach to proactively combat infections by preventing microbial contamination. Their diverse mechanisms of action can be combined to create highly effective antimicrobial solutions. By carefully selecting materials based on their specific properties, researchers can tailor these technologies to various applications. For instance, materials that release ions can be effective for short-term use, such as single-use face masks. Their dissolution properties often make them unsuitable for long-term applications, such as for surface coatings at the workplace, and frequent replacement would be required. Additionally, materials that need minimal maintenance are more practical and user-friendly for a wider range of applications. Recent studies have demonstrated the significant potential of nano-scaled advanced materials as a preventive measure against pathogen exposure. This could dramatically reduce the need for antibiotics and provide widespread protection in homes, workplaces, healthcare settings, and public areas. Potential innovations, such as the integration of machine learning and artificial intelligence, are endowed to significantly enhance the applicability and effectiveness of antimicrobial nanomaterials. In the face of emerging pandemics or seasonal flu outbreaks, these technologies can facilitate the rapid identification and selection of the most potent nanomaterials against specific pathogens. However, it is crucial to consider the potential environmental impact of these materials at the end of their lifecycle. Widespread global use could lead to increased levels of nanomaterial drain into ecosystems, potentially affecting flora, fauna, soil, water, and even animals. The urgent need for alternative antimicrobial therapies is undeniable. As antibiotic resistance grows, we must explore and utilize all potential agents, whether they inhibit (static) or kill (cidal) pathogens. This multifaceted approach will help mitigate the development of resistance and alleviate the global burden on healthcare systems.



## 8. Glossary of microbes described in cited studies (alphabetical order)

<i>Full names of pathogens described</i>	<i>Short name</i>
<i>Alternaria alternata</i>	<i>A. alternata</i>
<i>Aspergillus flavus</i>	<i>A. flavus</i>
<i>Aspergillus fumigatus</i>	<i>A. fumigatus</i>
<i>Aspergillus nidulans</i>	<i>A. nidulans</i>
<i>Bacillus cereus</i>	<i>B. cereus</i>
<i>Bacillus subtilis</i>	<i>B. subtilis</i>
<i>Bacillus thuringiensis</i>	<i>B. thuringiensis</i>
<i>Botrytis cinerea</i>	<i>B. cinerea</i>
<i>Candida albicans</i>	<i>C. albicans</i>
<i>Candida glabrata</i>	<i>C. glabrata</i>
<i>Escherichia coli</i>	<i>E. coli</i>
<i>Enterococcus faecalis</i>	<i>E. faecalis</i>
<i>Fusarium kuroshium</i>	<i>F. kuroshium</i>
<i>Klebsiella pneumoniae</i>	<i>K. pneumoniae</i>
<i>Klebsiella oxytoca</i>	<i>K. oxytoca</i>
<i>Listeria innocua</i>	<i>L. innocua</i>
<i>Listeria monocytogenes</i>	<i>L. monocytogenes</i>
<i>Listeria monocytogenes</i>	<i>L. monocytogenes</i>
Methicillin-resistant	MRSA
<i>Staphylococcus aureus</i>	
<i>Micrococcus luteus</i>	<i>M. luteus</i>
Multiple unnamed species in the <i>Proteus</i> family	<i>Proteus</i> spp.
<i>Penicillium expansum</i>	<i>P. expansum</i>
<i>Pseudomonas aeruginosa</i>	<i>P. aeruginosa</i>
<i>Pyricularia oryzae</i>	<i>P. oryzae</i>
<i>Rhizopus stolonifera</i>	<i>R. stolonifera</i>
<i>Saccharomyces cerevisiae</i>	<i>S. cerevisiae</i>
<i>Salmonella choleraesuis/enterica</i>	<i>S. choleraesuis/enterica</i>
<i>Salmonella typhimurium</i>	<i>S. typhimurium</i>
<i>Shigella sonnei</i>	<i>S. sonnei</i>
<i>Staphylococcus aureus</i>	<i>S. aureus</i>
<i>Staphylococcus epidermidis</i>	<i>S. epidermidis</i>
<i>Streptococcus pyogenes</i>	<i>S. pyogenes</i>
<i>Streptococcus mutans</i>	<i>S. mutans</i>
<i>Trichoderma harzianum</i>	<i>T. harzianum</i>
<i>Heterotheca inuloides</i>	<i>H. inuloides</i>
<i>Daphnia magna</i>	<i>D. magna</i>
<i>Eisenia fetida</i>	<i>E. fetida</i>
<i>Eisenia andrei</i>	<i>E. andrei</i>

### Data availability

No new data are presented in this tutorial review article.

### Author contributions

The conception, literature screening, drafting, design of display items, and preparation of the original manuscript were undertaken by BP, CC, AW, SL, YL, LJ, VA, OC, AA, AS, and MH. The review, editing, and supervision of the

manuscript were performed by YL, PF, GW, and MH. All authors have carefully read and agreed to the final version of the manuscript.

### Conflicts of interest

The authors declare no conflict of interest.

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