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Comparison of emulsion and spray methods for fabrication of rapamycin-loaded acetalated dextran microparticles†

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Rapamycin (rapa), an immunosuppressive medication, has demonstrated considerable effectiveness in reducing organ transplant rejection and treating select autoimmune diseases. However, the standard oral administration of rapa results in poor bioavailability, broad biodistribution, and harmful off-target effects, necessitating improved drug delivery formulations. Polymeric microparticles (MPs) are one such solution and have demonstrated promise in pre-clinical studies to improve the therapeutic efficacy of rapa. Nevertheless, MP formulations are highly diverse, and fabrication method selection is a critical consideration in formulation design. Herein, we compared common fabrication processes for the development of rapa-loaded MPs. Using the biopolymer acetalated dextran (Ace-DEX), rapa-loaded MPs were fabricated by both emulsion (homogenization and sonication) and spray (electrospray and spray drying) methods, and resultant MPs were characterized for size, morphology, surface charge, and drug release kinetics. MPs were then screened in LPS-stimulated macrophages to gauge immunosuppressive efficacy relative to soluble drug. We determined that homogenized MPs possessed the most optimal combination of sizing, tunable drug release kinetics, and immunosuppressive efficacy, and we subsequently demonstrated that these characteristics were maintained across a range of potential rapa loadings. Further, we performed *in vivo* trafficking studies to evaluate depot kinetics and cellular uptake at the injection site after subcutaneous injection of homogenized MPs. We observed preferential MP uptake by dendritic cells at the depot, highlighting the potential for MPs to direct more targeted drug delivery. Our results emphasize the significance of fabrication method in modulating the efficacy of MP systems and inform improved formulation design for the delivery of rapa.

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†Electronic supplementary information (ESI) available: Fig. S1 contains the reaction schematics for DAB-DEX and Alexa-DEX. Table S1 contains the antibodies and staining parameters used in the *in vivo* trafficking studies. Fig. S2 contains representative SEM images of rapa-loaded Ace-DEX MPs of increasing rapa loading. Fig. S3 contains degradation curves of blank Ace-DEX MPs by fabrication method. Fig. S4 contains degradation curves of blank Ace-DEX MPs by CAC. Fig. S5 contains rapa release curves of rapa-loaded Ace-DEX MPs by CAC. Fig. S6 contains TNF-α ELISA data from LPS-stimulated macrophages treated with blank or rapa-loaded Ace-DEX MPs, organized by fabrication method. Fig. S7 contains representative SEM images of rapa-loaded Ace-DEX MPs of increasing rapa loading. Table S2 contains MP diameter and surface charge data of rapa-loaded Ace-DEX MPs of increasing rapa loading. Fig. S8 contains rapa release curves of rapa-loaded Ace-DEX MPs of increasing rapa loading. Fig. S9 contains a representative SEM image of fluorescent Alexa-DEX MPs. Fig. S10 contains the gating strategy used to phenotype cells in the *in vivo* trafficking studies. Fig. S11 contains TNF-α ELISA data from LPS-stimulated dendritic cells treated with soluble rapa or rapa-loaded Ace-DEX MPs. See DOI: <https://doi.org/10.1039/d4pm00054d>

Introduction

Rapamycin (Rapa) is a macrolide-class inhibitor of the mammalian target of rapamycin (mTOR), a critical regulator of cell growth and metabolism.¹ It has been extensively studied as an immunosuppressant for renal transplant patients² and as an anti-proliferative in drug-eluting stents for coronary interventions.^{3,4} Furthermore, rapa has recently been employed as a therapeutic for autoimmune cytopenia in several clinical trials^{5,6} and has shown substantial promise in pre-clinical work to expand regulatory T cell (Treg) populations in patients with autoimmune disease.^{7,8} However, despite its potential to treat various inflammatory conditions, rapa suffers from poor bioavailability, broad biodistribution, and a relatively narrow therapeutic window under current modes of administration (e.g., oral suspensions and tablets) – significantly impairing therapeutic efficacy.⁹ Moreover, given the rather ubiquitous role of mTOR in mammalian cell metabolism, substantial off-target effects are associated with pro-

longed systemic rapa treatment, including new-onset metabolic dysfunction and increased susceptibility to infection.¹⁰ Thus, innovative formulation strategies are required to maximize the therapeutic potential of rapa.

Polymeric microparticles (MPs) are well-reported drug delivery systems that can ameliorate off-target effects of systemic drug administration, enhance drug efficacy, and improve drug delivery to target tissues and cells *via* several mechanisms. Namely, by encapsulation of drug cargo in a polymeric matrix, polymeric MPs shield encapsulated drug from premature metabolism and allow for controlled release of drug *via* diffusion and polymer degradation, limiting systemic drug release and often improving pharmacokinetics.¹¹ Furthermore, MPs can passively target phagocytic cell populations *via* sizing, as non-phagocytic cells cannot engulf particulates greater than 0.2 μm in diameter and phagocytic cells exhibit preferential uptake of particulates ranging from 0.5 to >3 μm in diameter.^{12–14} For immunosuppressive agents such as rapa, this permits localized drug release to phagocytic antigen presenting cells (APCs) such as dendritic cells (DCs) and macrophages, which directly regulate both local and global immune responses. Indeed, previous research has demonstrated

improved immunosuppressive efficacy of rapa-loaded poly(lactic-co-glycolic acid) (PLGA) MPs compared to soluble drug in DCs *in vitro*.^{15,16} Additionally, *in vivo* administration of MPs co-encapsulating rapa and disease relevant antigens has shown significant therapeutic efficacy in several murine models of autoimmunity, including experimental autoimmune encephalomyelitis (EAE),^{17,18} vitiligo,¹⁹ and type 1 diabetes.^{20,21}

Despite recent advances, polymeric MP systems are relatively understudied with respect to comparative analysis of fabrication methods and parameters. Considerations of size, morphology, surface charge, and encapsulate are critical for developing polymeric MP formulations, and selection of fabrication process directly determines these parameters. Perhaps the most widely utilized polymeric MP fabrication methods include bulk emulsification methods such as homogenization and sonication. In these fabrication protocols, shear (*i.e.*, homogenization) or pulsatile (*i.e.*, sonication) forces are used to emulsify drug-polymer droplets into a continuous aqueous phase, and subsequent solvent evaporation facilitates droplet hardening into MPs (Fig. 1A). Although versatile with respect to potential encapsulates and MP architectures, bulk emul-



Fig. 1 Schematics of (A) homogenization and sonication, (B) electrospray, and (C) spray drying for the fabrication of polymeric MPs.



sion-based approaches are limited by scalability and batch-to-batch variability.²² Additional common fabrication methods include spray-based approaches, such as electrohydrodynamic atomization (*i.e.*, electrospraying) and spray drying, which create MPs *via* aerosolization of drug-polymer solutions and co-current solvent evaporation to facilitate MP hardening. In electrospraying, aerosolization of the drug-polymer solution is performed *via* ionization (Fig. 1B). At the nozzle tip a Taylor cone is formed, and electrostatic repulsion results in small, charged droplets, and an oppositely charged collection plate serves as an attachment substrate for newly formed MPs following solvent evaporation.²³ In spray drying, aerosolization is achieved through a pressure nozzle, and drug-polymer solutions are sprayed into a heated chamber to accelerate solvent evaporation and MP formation (Fig. 1C). Compared to bulk-emulsion approaches, spray-based approaches are highly scalable yet are rather limited by accessibility and solvent compatibilities.^{24,25} Furthermore, cargo denaturation or inactivation is a significant concern for spray drying, as the drug-polymer solution is sprayed into a heated chamber to facilitate MP hardening. Given the range of potential fabrication methods of polymeric MPs and their potential differential efficacies in drug delivery, a comprehensive comparison among both spray and emulsion-based techniques for MP fabrication is necessary.

Herein, emulsion and spray-based approaches to MP fabrication are compared for the delivery of rapa using acetalated dextran (Ace-DEX), a biopolymer synthesized from the FDA-approved polysaccharide dextran. Compared to more traditional polymers used in MP fabrication such as PLGA and other polyesters, Ace-DEX is advantageous with respect to biocompatibility and degradation kinetics. More specifically, the degradation of PLGA generates acidic byproducts, which can lower local pH, result in local toxicities, and contribute to inflammation.^{26–28} Moreover, although PLGA possesses tunable degradation rates depending on the molar ratios of lactic and glycolic acids within the polymer chain, PLGA polymers largely degrade on the order of weeks to months, limiting possible therapeutic applications.²⁹ In comparison, Ace-DEX degrades into well-tolerated, pH-neutral byproducts, including dextran and trace quantities of acetone and ethanol. Furthermore, Ace-DEX possesses tunable degradation rates ranging from hours to months, enabling a broad range of drug release kinetics and potential therapeutic applications. Degradation rates are tightly controlled by synthesis reaction time, wherein continued reaction favors increased coverage of stable cyclic acetals (*i.e.*, cyclic acetal coverage, or CAC) and, in turn, a slower degrading polymer. Ace-DEX is also acid-sensitive. In the context of MP platforms to passively target phagocytic immune cells, Ace-DEX will rapidly degrade in the acidic endosomal environment, resulting in more targeted drug delivery.³⁰ Given these characteristics, Ace-DEX is an ideal platform for the delivery of immunosuppressive agents such as rapa. Indeed, Ace-DEX MPs co-encapsulating rapa with disease relevant antigens have shown significant efficacy in murine models of multiple sclerosis¹⁷ and type 1 diabetes.²⁰

In the present study, rapa-loaded Ace-DEX MPs were fabricated by both emulsion (homogenization and sonication) and spray-based (spray drying and electrospray) methods and evaluated for physiochemical characteristics, rapa encapsulation efficiency (EE), rapa release kinetics, and immunosuppressive efficacy in macrophages to inform how fabrication method impacts relevant drug delivery parameters. Trafficking studies were performed to understand depot trafficking of subcutaneously administered Ace-DEX MPs for the optimized formulation. Overall, this work sought to determine the optimal fabrication method and loading parameters for rapa-loaded Ace-DEX MPs, thereby informing future formulation design.

Methods

All chemicals were acquired from Sigma Aldrich (St Louis, MO) unless otherwise specified. All consumables (*e.g.*, plastics), biologics, and assays were acquired from Thermo Fisher Scientific (Waltham, MA) unless otherwise specified. Rapamycin was purchased from LC Laboratories (Woburn, MA).

Ace-DEX synthesis

Acetalated dextran (Ace-DEX) was synthesized as previously described.³¹ Dextran (71 kDa) was first dissolved in dimethyl sulfoxide (DMSO) with the acid-catalyst pyridinium *p*-toluenesulfonate (PPTS). The acetalation reaction was initiated *via* addition of 2-ethoxypropene (Matrix Scientific; Columbia, SC) under anhydrous conditions. Reactions were quenched at 4, 10, and 135 minutes *via* addition of triethylamine (TEA) to yield 20%, 40%, and 60% CAC Ace-DEX, respectively. Following reaction quenching, Ace-DEX was precipitated in basic water (0.04% v/v TEA in water), washed, and placed on a rotary evaporator to remove residual organic solvent. The polymer was then frozen and lyophilized overnight. The next day, the Ace-DEX was dissolved in ethanol, centrifuged to remove impurities, and precipitated in basic water. The Ace-DEX was then rotovapped, frozen, lyophilized, and stored at $-20\text{ }^{\circ}\text{C}$ until use. CAC was estimated using Inova 400 MHz NMR, as previously reported.³¹ All glassware was soaked in a 0.1 M sodium hydroxide solution prior to use to remove endotoxin.

Alexa-DEX synthesis

Dextran was dissolved in borate buffer (pH = 8.5) and heated to $70\text{ }^{\circ}\text{C}$. Diaminobutane was added at 7.5 wt%, sodium sulfate was added at 30 wt%, and sodium cyanoborohydride was added at 1.2 wt%. The resulting solution was reacted for 5 h in a reaction microwave at $70\text{ }^{\circ}\text{C}$ ($\Delta = 5$) using SPS mode at 100 W (CEM Discover Microwave Synthesizer; CEM Corporation; Matthews, NC),³² resulting in dextran with a terminal amine, which we termed “DAB-DEX” (Fig. S1A†). DAB-DEX was frozen and lyophilized for 2 days. The extent of reaction is measured using a bicinchoninic acid assay (BCA) assay. Using EDC-NHS chemistry,³³ DAB-DEX was then reacted with Alexa Fluor 647-NHS-ester (Thermo Fisher) for 2 h in 0.1 M MES (4-



rate of 0.2 mL h⁻¹, with a -5 kV potential applied to the needle and a +2.5 kV potential applied to the plate. The resulting MPs were collected, frozen, lyophilized, and stored at -20 °C until use. To ensure the removal of endotoxin prior to spraying, all glassware was soaked in a 0.1 M sodium hydroxide solution and the collection plate was heat-treated overnight.

Spray dried particle fabrication

Blank and rapa-loaded spray dried MPs were prepared from 20, 40, and 60 CAC Ace-DEX using a B290 Mini Spray Dryer (BUCHI Corporation; New Castle, DE) equipped with a collection cyclone (Fig. 1C). Ace-DEX was first dissolved in absolute ethanol to a final concentration of 2.5 mg mL⁻¹, with or without rapa (1% wt/wt). The drug-polymer solution was then fed into a pressure nozzle at 10 mL min⁻¹ and an inlet temperature of 75 °C. The Q-flow was maintained at 60 mm. Following completion of the spray, the resultant MPs were collected and stored at -20 °C until use. To ensure removal of endotoxin prior to spraying, the collection cyclone was soaked in a 0.1 M sodium hydroxide solution overnight and the drying chamber was UV-sterilized.

Characterization of MPs

Size and morphology. MP morphology was captured by scanning electron microscopy (SEM, Hitachi S-4700 Cold Cathode Field Emission; Ibaraki, Japan; UNC CHANL). MP diameter was measured using ImageJ tracing of SEM images (Fig. S3†). In short, the trace scale was calibrated using the instrument scale in the lower right of SEM images and the segment tool was used to measure MP diameters. For non-spherical MPs, diameter was traced as the longest distance across the visible MP surface. MPs were only traced if the MP both possessed a visible diameter and was within the foreground of the image.

Surface charge. MP surface charge (*i.e.*, zeta potential) was measured using electrophoretic light scattering (ELS, Brookhaven NanoBrook 90Plus Zeta Particle Size Analyzer; Holtsville, NY). MPs were resuspended in 0.5% PBS solution to a final concentration of 0.05 mg mL⁻¹ prior to analysis.

Rapa loading. The EE of rapa was measured by high performance liquid chromatography (HPLC, 1100 Series; Agilent Technologies; Santa Clara, California) using a C18 column (Aquasil 77505-154630 C18 Column, 5 μ m Pore, 150 mm L $\times$ 4.6 mm ID; Thermo Fisher Scientific). An isocratic elution method was performed using an 80% v/v acetonitrile in water (with 0.1% trifluoroacetic acid, TFA) mobile phase with a flow rate of 1.0 mL min⁻¹. Rapa signal was detected at 278 nm.

Endotoxin content. All MPs were screened for endotoxin using a limulus amoebocyte lysate-based assay and possessed endotoxin contents less than 0.1 EU per mg.

Assessment of MP degradation

To measure blank MP degradation, MP suspensions were prepared in triplicate at 1 mg mL⁻¹ in PBS (pH 7.4) and placed on a shaker plate set to 37 °C. Time points were collected at 0, 0.5, 2, 4, 8, 24, 48, 72, 96, 168, and 336 hours. At each time



Fig. 2 Representative scanning electron micrographs of (A) homogenized (60CAC 1% rapa MPs), (B) sonicated (20CAC blank MPs), (C) electro-sprayed (60CAC 1% rapa MPs) and (D) spray dried (60CAC 1% rapa MPs) Ace-DEX MPs.

lapsed morphology, consistent with rapid solvent evaporation during the spray process (*i.e.*, deflation).²³ Both fabrication methods yielded MPs approximately 1 μm in diameter (Table 1), but spray dried MPs were more polydisperse and amorphous compared to electrosprayed MPs. Broadly, these data suggest that homogenized, electrosprayed, and spray dried MPs are most optimal for passive targeting of phagocytic cells, given that phagocytic cells preferentially phagocytose materials ranging from roughly 0.5 μm to $>3 \mu\text{m}$ in diameter.^{12,14} In contrast, sonicated MPs may traffic in a more non-specific manner, as non-phagocytic cells are capable of engulfing materials up to 200 nm in diameter *via* endocytosis.^{13,14} However, it is important to note that these data are representative of a single fabrication protocol, and optimization of fabrication parameters might yield more favorable MP sizes and morphologies. For each fabrication method, no dramatic morphological or sizing differences were observed between blank and rapa-loaded MPs or MPs of different CAC (Table 1). Considering the potential for Ace-DEX MPs to act as a tunable release platform, it is beneficial that similarly sized MP batches can be generated despite differing polymer characteristics.

In addition to sizing and morphology, MP surface charge (*i.e.*, zeta potential) was measured *via* ELS, as MP surface charge is a key determinant of MP-cell and MP-environment interactions. For example, cationic MPs have been reported to activate phagocytic immune cells and are considered to traffic more non-specifically than anionic MPs, although these phenomena are heavily dependent on the magnitude of the charge.⁴⁰ Furthermore, cationic MPs have exhibited accelerated clearance *in vivo* compared to neutral and anionic MPs.⁴¹ For these reasons, MPs with a slight negative charge are preferred for the delivery of rapa.

In accordance, all MP batches bore slight negative charges (Table 1), indicating that Ace-DEX MPs provide an ideal carrier system for rapa. Most notably, spray-based methods imparted slightly more negative surface charge on resultant MP batches compared to emulsion-based methods, likely due to rapid solvent evaporation concentrating charge at the MP surface. Nevertheless, the differences were fairly marginal: the MP surface charge of spray dried MP batches ranged from -10.6 ± 0.9 to -17.9 ± 0.7 mV; electrosprayed, -6.3 ± 0.5 to -15.1 ± 1.4 mV; and homogenized, -6.0 ± 4.3 to -13.5 ± 0.5 mV (Table 1). Sonicated MPs were excluded from ELS analysis as



Table 1 Physiochemical characterization of Ace-DEX MPs. Effective particle diameter (dehydrated) was measured by ImageJ tracing of scanning electron micrographs, with a minimum of 50 MP traces collected for each particle group ($n \geq 50$). Particle surface charge was measured by electrophoretic light scattering ($n = 3$). Data are presented as average $\pm$ standard deviation

Fabrication method	Theoretical loading	CAC (%)	Diameter (nm)	Zeta potential (mV)
Homogenization	Blank	20	981 $\pm$ 415	-10.3 $\pm$ 1.9
		40	543 $\pm$ 221	-13.5 $\pm$ 0.5
		60	385 $\pm$ 175	-5.5 $\pm$ 3.6
	1% w/w	20	654 $\pm$ 221	-7.3 $\pm$ 5.3
		40	353 $\pm$ 216	-7.1 $\pm$ 3.3
		60	318 $\pm$ 155	-6.0 $\pm$ 4.3
Sonication	Blank	20	93 $\pm$ 22	—
		40	64 $\pm$ 16	—
		60	64 $\pm$ 15	—
	1% w/w	20	90 $\pm$ 17	—
		40	67 $\pm$ 17	—
		60	61 $\pm$ 16	—
Electrospray	Blank	20	1150 $\pm$ 206	-6.3 $\pm$ 0.5
		40	802 $\pm$ 114	-12.0 $\pm$ 0.2
		60	1136 $\pm$ 178	-10.6 $\pm$ 0.9
	2% w/w	20	1157 $\pm$ 191	-11.1 $\pm$ 2.5
		40	1120 $\pm$ 209	-11.8 $\pm$ 0.6
		60	1216 $\pm$ 140	-15.1 $\pm$ 1.4
Spray Dry	Blank	20	914 $\pm$ 316	-15.4 $\pm$ 3.6
		40	1085 $\pm$ 382	-14.7 $\pm$ 4.2
		60	1183 $\pm$ 287	-17.9 $\pm$ 0.7
	1% w/w	20	753 $\pm$ 257	-15.7 $\pm$ 2.6
		40	918 $\pm$ 246	-17.9 $\pm$ 0.7
		60	1255 $\pm$ 490	-10.6 $\pm$ 0.9

MP aggregation skewed instrument measurement of surface charge, but previous reports have indicated a comparable negative charge for sonicated Ace-DEX MPs.⁴² Similar to the size analysis, neither the presence of rapa nor CAC appeared to trend with MP surface charge. Once again, these results demonstrate that Ace-DEX itself is a fairly robust carrier, with fabrication method seeming to impart the most substantial differences in sizing and surface charge for Ace-DEX MPs.

Rapa encapsulation and release by Ace-DEX MPs

Rapa is a hydrophobic ($\log P \sim 6.0$) small molecule, lending itself useful for organic solvent systems and effective incorporation into a polymeric matrix. As such, rapa was fairly well-encapsulated in Ace-DEX MPs across the four encapsulation methods (Table 2). Spray drying resulted in the most EEs, reliably at or around 100% across all CACs. Some MP batches boasted EEs in excess of 100%, which may be attributable to polymer loss during the fabrication process. Homogenization and sonication also resulted in near full rapa encapsulation, although these methods demonstrated slightly higher batch-to-batch variability compared to spray drying. Surprisingly, electrosprayed MPs exhibited substantially weaker encapsulation of rapa, achieving only about 50% EE across CACs. Consequently, the theoretical loading of rapa was doubled to ensure comparable drug loading across fabrication methods. Such poor encapsulation was unable to be corrected by adjust-

Table 2 Encapsulation efficiency of rapa-loaded Ace-DEX MPs by fabrication method and CAC. Drug loading was measured by high performance liquid chromatography (HPLC), and encapsulation efficiency was calculated as the percent of drug encapsulated relative to the theoretical loading. Data are presented as average $\pm$ standard deviation ($n = 3$)

Fabrication method	Theoretical loading	CAC (%)	Encapsulation efficiency	Rapa loading ($\mu\text{g mg}^{-1}$)
Homogenization	1% w/w	20	99.2 $\pm$ 12.7%	9.92 $\pm$ 1.27
		40	86.2 $\pm$ 4.7%	8.62 $\pm$ 0.47
		60	111.8 $\pm$ 8.5%	11.17 $\pm$ 0.85
Sonication	1% w/w	20	72.2 $\pm$ 3.2%	7.22 $\pm$ 0.32
		40	86.5 $\pm$ 6.7%	8.65 $\pm$ 0.67
		60	96.2 $\pm$ 3.5%	9.62 $\pm$ 0.35
Electrospray	2% w/w	20	50.5 $\pm$ 12.5%	10.10 $\pm$ 2.50
		40	45.7 $\pm$ 6.9%	9.13 $\pm$ 1.39
		60	61.9 $\pm$ 1.8%	11.67 $\pm$ 1.11
Spray Dry	1% w/w	20	101.8 $\pm$ 12.7%	10.18 $\pm$ 1.27
		40	95.2 $\pm$ 11.2%	9.52 $\pm$ 1.12
		60	123.8 $\pm$ 3.7%	12.38 $\pm$ 0.37

ing the spray solvent or flow rate, which may imply complications with the current fabrication parameters or incompatibility between Ace-DEX and rapa in electrospray systems.

Although rapa release from Ace-DEX MPs has been previously reported,^{34,43} no studies have sought direct comparison among common MP fabrication methods. Thus, Ace-DEX MPs of different CACs and fabrication methods were assessed for their ability to modulate rapa release over two weeks at physiological conditions (pH 7.4, 37 °C). Homogenized (Fig. 3A) and sonicated (Fig. 3B) MPs performed fairly similarly, with both MP groups exhibited strongly tunable release profiles. Following initial burst release, which is likely attributable to immediate drug diffusion from the polymeric matrix upon suspension in solution, 20 CAC MPs and 40 CAC MPs fully released their cargo by 48 hours and 1 week, respectively. Interestingly, 40 CAC homogenized MPs exhibited zero-order (*i.e.*, linear) release kinetics (Fig. 3A, purple), which are relatively uncommon for polymeric MP platforms. These kinetics are possibly attributable to favorable MP degradation kinetics and uneven distribution of rapa within the MP. With linear MP degradation kinetics, an increasing concentration of rapa towards the core would compensate for decreased MP surface area, resulting in zero-like kinetics. In contrast, 60 CAC MPs released approximately 50% of their cargo by the end of the two-week study. Across both fabrication methods, these release profiles align strongly with the blank MP degradation curves obtained under the same conditions (Fig. S3A and B†), indicating that polymer degradation is a key determinant for rapa release. Indeed, previous work has shown that homogenized Ace-DEX MPs degrade by surface erosion, and drug-release can be mathematically modeled using an equation based on polymer degradation and drug diffusion rates.³⁴

In contrast, electrosprayed (Fig. 3C) and spray dried (Fig. 3D) MPs exhibited much less tunable rapa release profiles. For electrosprayed MPs, all three CACs released greater than 80% of their cargo by two weeks, with the slowest degrad-



ing Ace-DEX (60 CAC) closely mirroring the fastest degrading Ace-DEX (20 CAC). However, the blank MP degradation profiles reflected much more typical CAC-dependent degradation kinetics (Fig. S3C†), which suggests that rapa release from electro-sprayed MPs is additionally governed by alternative mechanisms. Namely, ionization of the drug-polymer solution might alter interactions between rapa and Ace-DEX, resulting in increased rapa density towards the periphery of the MP matrix or preferential surface adsorption of rapa to MPs. Alternatively, electro-sprayed Ace-DEX MPs have previously been reported to possess a porous morphology,^{44,45} and perhaps the internal architecture of these MPs differs significantly from the other fabrication methods. However, further studies would be required to evaluate these hypotheses.

Spray dried MPs also performed similarly across CACs through the first 48 hours, with a considerably high burst release (~50%) compared to the other fabrication methods (Fig. 3D). The burst is corroborated by the blank MP degradation curves (Fig. S3D†), which suggests poor stability upon resuspension. This may be attributable to the collapsed MP morphologies, which lends greater surface area for hydrolysis and weaker MP architectures (*i.e.*, higher surface area-to-volume ratio). Nevertheless, subsequent timepoints revealed

more substantial CAC-dependent release compared to electro-sprayed MPs, with increasing CAC showing more sustained release profiles. Once again, 40 CAC MPs exhibited zero-order release kinetics (Fig. 3D, purple), indicating a more nuanced mechanism of release as seen with the 40 CAC homogenized MPs.

The release data indicates that emulsion-based methods are more efficacious in fabricating MP formulations with tunable rapa release kinetics. Although the blank MP degradation kinetics were similar across fabrication methods at each CAC (Fig. S4†), only homogenization and sonication demonstrated highly tunable rapa release kinetics, especially with respect to 60 CAC release (Fig. S5†). However, further optimization work may address the shortcomings of spray-based methods and their rapa release profiles, including the incorporation of cryoprotectant to MP batches for improved storage or the modification of spray solvents to control MP morphologies.

Inhibition of LPS-induced inflammation by Ace-DEX MPs

The efficacy of MP systems as drug delivery vehicles often hinges on delivery to and phagocytosis by target cell populations. Macrophages comprise a key immune cell compart-

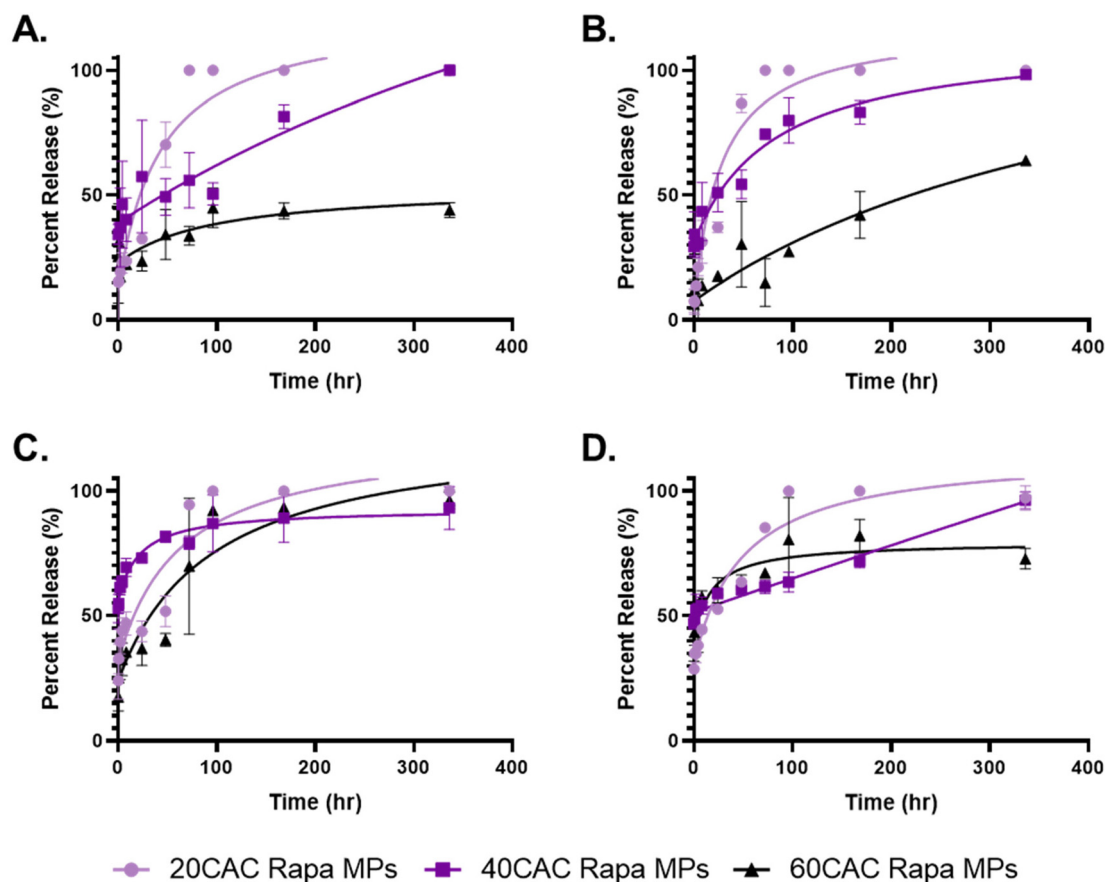


Fig. 3 Rapa release profiles of (A) homogenized, (B) sonicated, (C) electro-sprayed, and (D) spray dried Ace-DEX MPs by CAC. Release was measured over two weeks at pH 7.4 and 37 °C. Data are presented as average $\pm$ standard deviation ($n = 3$). Non-linear curves were interpolated using a Pade (1,1) approximate on GraphPad Prism.



ment targeted by MP platforms and are integral regulators of inflammatory pathologies observed in transplant rejection and autoimmunity.^{46,47} Furthermore, macrophages are highly plastic, enabling facile induction and resolution of inflammation. Thus, we were interested as to whether fabrication methods altered phagocytic responses, and, in turn, delivery of rapa to macrophages in an *in vitro* setting.

To this end, murine macrophages were first stimulated with LPS, a toll-like receptor 4 (TLR-4) agonist. TLR-4 signaling results in the activation of a number of downstream pro-inflammatory regulators, such as the transcription factor NF- κ B, and is understood to be a contributing factor to several inflammatory disorders.^{48,49} After induction of inflammation, cells were then treated with varying concentrations of soluble or encapsulated rapa for 24 hours, and ELISAs were performed to measure pro-inflammatory cytokine secretion in the cell supernatant. Namely, we primarily focused on TNF- α production, as selective inhibition of TNF- α production and signaling has been a long-standing approach to treating inflammatory disorders.^{50,51} Other common inflammatory cytokines such as IL-1 β , IL-6, IFN- γ , and IL-12p70 were measured *via* ELISA, but little signal was observed (data not shown).

After 24 hours, all rapa-containing treatments demonstrated marginal impacts on cell viability (Fig. 4A) and significant inhibition of TNF- α production relative to the LPS-alone control (LPS, Fig. 4B). Of note, spray-based MPs elicited slight reductions in cell viability at the highest MP doses (Fig. 4A), which may be attributable to aggregation of MPs at the cell surface. Rapa-loaded homogenized MPs performed most similarly to soluble rapa after 24 hours across all doses of rapa (Fig. 4B and S6A†). Sonicated (Fig. 4B and S6B†) and electrosprayed (Fig. 4B and S6C†) MPs exhibited reduced inhibitory effects at increased doses of rapa, suggesting that MP concentration may alter the immunosuppressive efficacy of rapa in these formulations. Finally, rapa-

loaded spray dried MPs induced the weakest inhibition of TNF- α production compared to the other MP groups, especially at lower rapa doses (Fig. 4B and S6D†). Interestingly, spray dried MPs were the only MP batch shown to exacerbate LPS-induced TNF- α production in the absence of rapa (Fig. S6D†), indicating the selected spray drying parameters may not be the optimal fabrication process for rapa-loaded Ace-DEX MPs.

Evaluation of drug-to-polymer ratio for immunosuppressive efficacy

The inhibition of TNF- α production in LPS-stimulated macrophages validated the immunosuppressive efficacy of rapa-loaded Ace-DEX MPs. Moreover, given that homogenized MPs performed most similarly to soluble drug across all rapa doses, and homogenized MPs exhibited favorable sizing and tunable release profiles, homogenization was selected as the optimal fabrication method for rapa-loaded Ace-DEX MPs in subsequent studies.

In particular, we were interested in understanding how the relative drug loading in MPs impacts the physiochemical properties and immunosuppressive efficacy of rapa-loaded Ace-DEX MPs. Exploring the limits of rapa loading in Ace-DEX MPs is a significant consideration for therapeutic design, as increased drug loading reduces required MP doses and limits potential vehicle-dependent effects. Indeed, high drug-loaded MPs overcome many administrations demands, such as limited local injection volumes and concerns of dosing frequencies.⁵² Thus, 60 CAC Ace-DEX MPs were fabricated at 0.5, 1, 3, and 5% w/w rapa using the previously described emulsion homogenization method. Consistent with previous data, homogenization yielded spherical MPs approximately 500 to 600 nm in diameter, with no significant sizing differences observed between rapa loadings (Fig. S7 and Table S2†). Likewise, all MPs possessed surface charges of roughly -10 mV (Table S2†), suggesting that rapa encapsulation does

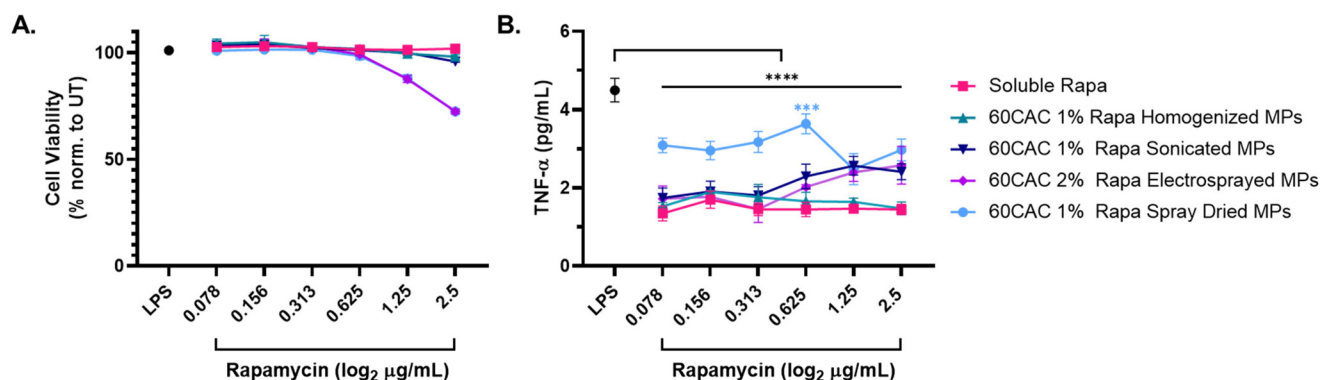


Fig. 4 Inhibition of LPS-induced inflammation following treatment with rapa-loaded Ace-DEX MPs of different fabrication method. Macrophages were stimulated with 20 ng mL⁻¹ LPS for 1 hour, followed by concurrent treatment with the indicated concentrations of soluble or encapsulated rapa for 24 hours. The "LPS" control (black) represents cells only receiving LPS stimulation. (A) Cell viability measures collected *via* lactose dehydrogenase (LDH) assay. Viability is quantified as 100% – LDH Cytotoxicity. (B) TNF- α content from cell supernatants, as measured by TNF- α ELISA. Data are presented as an average $\pm$ standard deviation ($n = 3$). Statistical significance is presented as *** $p < 0.001$, and **** $p < 0.0001$ for a two-way ANOVA test applied between MP groups and LPS alone (*).

Table 3 Encapsulation efficiency of homogenized rapa-loaded Ace-DEX MPs of increasing rapa loading. Drug loading was measured by high performance liquid chromatography (HPLC), and encapsulation efficiency was calculated as the percent of drug encapsulated relative to the theoretical loading. Data are presented as average $\pm$ standard deviation ($n = 3$)

Fabrication method	Theoretical loading	CAC (%)	Encapsulation efficiency	Rapa loading ($\mu\text{g mg}^{-1}$)
Homogenization	0.5% w/w	60	$115.6 \pm 8.1\%$	5.78 ± 0.40
	1% w/w		$94.6 \pm 1.8\%$	9.46 ± 0.18
	3% w/w		$75.1 \pm 4.4\%$	22.54 ± 1.33
	5% w/w		$60.0 \pm 2.7\%$	30.02 ± 1.35

not dramatically alter size, morphology, or surface charge under the current fabrication parameters. Encapsulation efficiency of rapa was inversely related with the theoretical drug loading (Table 3), indicating further optimization in the fabrication protocol may be required to achieve full encapsulation at higher theoretical loadings. Of note, the encapsulation efficiency of the 1% rapa MPs ($94.6 \pm 1.8\%$, Table 2) was slightly lower than the previously reported batch ($118 \pm 8.5\%$, Table 1), highlighting a known limitation of homogenization as a batch-process. Nevertheless, the MPs displayed similar release kinetics to previous batches and across the different rapa loadings, exhibiting approximately 50% cargo release by 96 hours followed by minimal additional release through 2 weeks (Fig. S8†).

As before, immunosuppressive efficacy was measured *via* inhibition of TNF- α production in LPS-stimulated macrophages. After 24 hours, all rapa treatments exhibited minimal impact on cell viability (Fig. 5A), consistent with the previous homogenized MP data. Furthermore, all rapa treatments similarly inhibited TNF- α production, with no statistically significant differences observed between the different rapa-loaded MP batches nor between soluble or

encapsulated rapa (Fig. 5B). These results suggest that increasing the rapa loading of MPs does not compromise the biocompatibility or immunosuppressive effects of rapa-loaded homogenized Ace-DEX MPs. In fact, fabricating MPs at a higher theoretical loading of rapa allows for the same immunosuppressive efficacy with a substantially lower MP dose – an attractive characteristic for high drug-loaded therapeutics.

In vivo depot trafficking of homogenized Ace-DEX MPs

Since the immunosuppressive efficacy of rapa-loaded Ace-DEX MPs in target immune cell populations was observed *in vitro*, we sought to understand if Ace-DEX MPs reach these target populations *in vivo*. Compared to the more static *in vitro* model, *in vivo* administration of MP platforms involves dynamic physiological processes that may challenge intended biodistributions (e.g., lymphatic or vascular circulation, competing target and non-target cell types). Thus, to track the local responses post-injection, fluorescent AF647-labelled blank homogenized Ace-DEX MPs (subsequently called Alexa-DEX MPs, Fig. S9†) were injected subcutaneously into BALB/c mice. Alexa-DEX MPs formed a depot in the subcutaneous space which was tracked over 21 days for residence time and cellular uptake.

Live-animal IVIS imaging revealed that the depot fluorescence declined rapidly over the first 48 hours post-injection, indicating clearance of MPs from the injection site (Fig. 6A and B). Nevertheless, a sustained signal was observed through 21 days, as indicated by the approximately 2-fold greater mean fluorescent intensity (MFI) than the saline control (Fig. 6A and B). These results are consistent with previous reports of trafficking fluorescent indocyanine green-labeled homogenized Ace-DEX MPs following subcutaneous injection, which additionally identified notable MP trafficking to the draining lymph nodes, a critical site for antigen presentation and immune cell education.²⁰

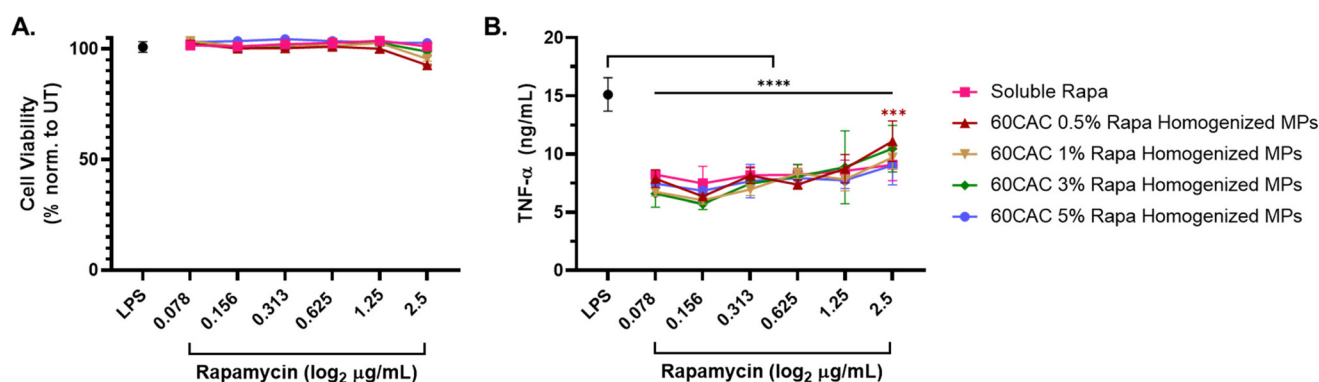


Fig. 5 Inhibition of LPS-induced inflammation following treatment with rapa-loaded Ace-DEX MPs of different rapa-loading. Macrophages were stimulated with 20 ng mL^{-1} LPS for 1 hour, followed by concurrent treatment with the indicated concentrations of soluble or encapsulated rapa for 24 hours. The "LPS" control (black) represents cells only receiving LPS stimulation. (A) Cell viability measures collected *via* lactose dehydrogenase (LDH) assay. Viability is quantified as $100\% - \text{LDH Cytotoxicity}$. (B) TNF- α content from cell supernatants, as measured by TNF- α ELISA. Data are presented as an average $\pm$ standard deviation ($n = 3$). Statistical significance is presented as $***p < 0.001$, and $****p < 0.0001$ for a two-way ANOVA test applied between MP groups and LPS alone (*).





Fig. 6 Blank homogenized Alexa-DEX MP trafficking *in vivo*. BALB/c mice were injected subcutaneously in each flank with 2 mgs of fluorescent Alexa-DEX MPs. (A) Representative IVIS images from Alexa-DEX MP-treated (M1, M2) and PBS control (PBS) mice tracked through day 21 and (B) the mean fluorescent intensity (MFI) of Alexa-DEX MP depots normalized to PBS mice. At each time point, the subcutaneous depot was harvested and processed for flow cytometry. (C) Percent of MP+ population by cell type. (D) Percent of CD45+ immune cell (immune cells) population that are MP+, by cell type (*i.e.*, on Day 1, approximately 10% of all CD45+ immune cells at the depot are MP+ DCs). (E) Percent of parent population that are MP+ (*i.e.*, on Day 1, approximately 75% of DCs at the depot are MP+). Data are presented as mean $\pm$ SEM.

On days 2, 7, 14, and 21, animals were sacrificed, and the injection depots were harvested to characterize the Alexa-DEX MP⁺ cell populations at the depot. While many have reported on MP trafficking solely looking at APCs such as DCs and macrophages,^{53–57} we looked at a more extensive panel including markers for monocytes (CD45⁺CD11b⁺Ly6G^{hi}) and neutrophils (CD45⁺CD11b⁺Ly6G⁺) to give a broader view on innate responders to MPs (Fig. S10†).

DCs (CD45⁺CD11c⁺MHCII⁺) were the primary immune cell population targeted by homogenized MPs, comprising approximately 30% of the MP⁺ population at all time points (Fig. 6C). This supports previous reports that have indicated that MPs on the order of 0.5 to 2 μ m are ideal for DC uptake.¹³ Additionally, Alexa-DEX MP⁺ DCs account for a significant portion of all immune cells present in the depot, ranging between 5–10% through day 14 (Fig. 6D). This is indicative of a sustained DC response in which DCs are continually sampling the MP depot compared to other cells. Given this and the role of DCs as critical modulators of local inflammation, rapa-loaded Ace-DEX MPs were additionally screened in LPS-stimulated DCs and shown to inhibit TNF- α production *in vitro* (Fig. S11†).

Neutrophils exhibited MP uptake comparable to DCs the Day 2 timepoint, followed by a dramatic decline by Day 7 (Fig. 6C). Although unexpected, neutrophils are phagocytic first responders that react to the tissue modulation caused by

the injection. Neutrophils rapidly sample their environment, digesting foreign particles, mediating local inflammation, and recruiting other immune cell populations. However, because the neutrophil response is short-lived while the DC response is persistent, this suggests that MPs are both reaching target APCs and inducing minimal inflammation. In comparison, monocytes and macrophages represented only a marginal fraction of the MP⁺ population across all timepoints (Fig. 6C), contradicting our previous expectation of MP uptake by macrophages. Therefore, the current homogenized MP formulation may be better equipped for primarily DC-associated pathologies (*e.g.*, systemic lupus erythematosus, multiple sclerosis) compared to macrophage-associated pathologies (*e.g.*, rheumatoid arthritis, atherosclerosis). Nevertheless, route of administration and MP sizing have previously been shown to direct organ and cellular tropism, and these parameters could possibly be investigated to drive greater macrophage uptake.^{13,58}

The uptake of Alexa-DEX MPs by DCs illustrates the potential of homogenized Ace-DEX MPs as a local therapeutic platform. Additionally, for each cell type, the frequencies of MP⁺ cells within both the total immune cell (Fig. 6D) and specific immune cell (Fig. 6E) populations at the depot decreased during the time course, which is consistent with cellular migration away from the depot. Future studies will focus on the analysis of relevant organs such as the draining lymph node to understand where MP⁺ innate cells traffic to and how



MP⁺ innate cells interact with the adaptive immune system. Furthermore, future work will address how MP fabrication methods and cargo modulate trafficking.

The present study sought to elucidate the role of fabrication method in determining the drug delivery efficacy of rapa-loaded Ace-DEX MPs. As such, rapa-loaded Ace-DEX MPs were fabricated *via* emulsion (homogenization and sonication) and spray (spray drying and electrospraying) methods and first characterized for physiochemical parameters such as size, morphology, and surface charge. Emulsion-based MPs exhibited spherical morphologies ranging from the micro (homogenization) to nano (sonication) scale. Additionally, these MPs bore slightly negative surface charge. In contrast, spray-based MPs were approximately a micron in size, exhibited collapsed morphologies, and possessed slightly more negative surface charge. Rapa-loaded Ace-DEX MPs were then characterized for relevant drug-delivery parameters, including drug loading and drug release kinetics. With the exception of electrosprayed MPs, rapa was either fully or nearly fully encapsulated in Ace-DEX MPs. Highly tunable rapa release kinetics were achieved for emulsion-based MPs based on CAC, whereas spray-based MPs exhibited less tunable release profiles, more rapid release kinetics, and higher burst release. For further analysis, the immunosuppressive efficacy of rapa-loaded Ace-DEX MPs was compared to soluble rapa *in vitro*. All MPs demonstrated significant inhibition in TNF- α production in LPS-stimulated macrophages, but homogenized MPs performed most similarly to the soluble drug. Therefore, homogenized MPs were employed to explore potential dosing ranges of rapa-loaded Ace-DEX MPs, and increasing the rapa loading within homogenized Ace-DEX MPs did not significantly alter MP physiochemical parameters, drug release kinetics, or *in vitro* immunosuppressive efficacy. Finally, to understand MP trafficking *in vivo*, fluorescently labeled homogenized Ace-DEX MPs were injected into mice. The injection depot was rapidly cleared within the first 48 hours, and sustained, preferential MP uptake by DCs was observed. These results demonstrate the potential of rapa-loaded Ace-DEX MP formulations to improve the delivery of rapa. Future work may aim to further optimize the fabrication methods discussed herein, as the conclusions of the present study are limited to the select set of fabrication parameters used for each method. With more optimal protocols established, comparative analysis among fabrication methods may extend to mouse models of inflammatory disease and inform translatable formulations.

Ethical statement

All animal procedures were reviewed and approved by the University of North Carolina at Chapel Hill Institutional Animal Care and Use Committee (IACUC). All studies were ethically performed in compliance with the policies set forth by the University of North Carolina at Chapel Hill IACUC and the United States Public Health Service (PHS) Policy on Humane Care and Use of Laboratory Animals.

Author contributions

K.M.A., E.M.B., and R.T.S. conceived and supervised the research. S.A.E., N.R.L., R.T.S., D.D.M., S.M.N., and A.J.T. performed and analyzed experiments. C.J.B. developed and validated Alexa-DEX synthesis. S.A.E. primarily prepared figures and wrote the manuscript. N.R.L. assisted with figure preparation and discussion for *in vivo* trafficking. N.R.L., R.T.S., D.D.M., S.M.N., A.J.T., E.M.B., and K.M.A. reviewed and edited the manuscript. K.M.A. secured the funding.

Data availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Conflicts of interest

There are no conflicts to declare.

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