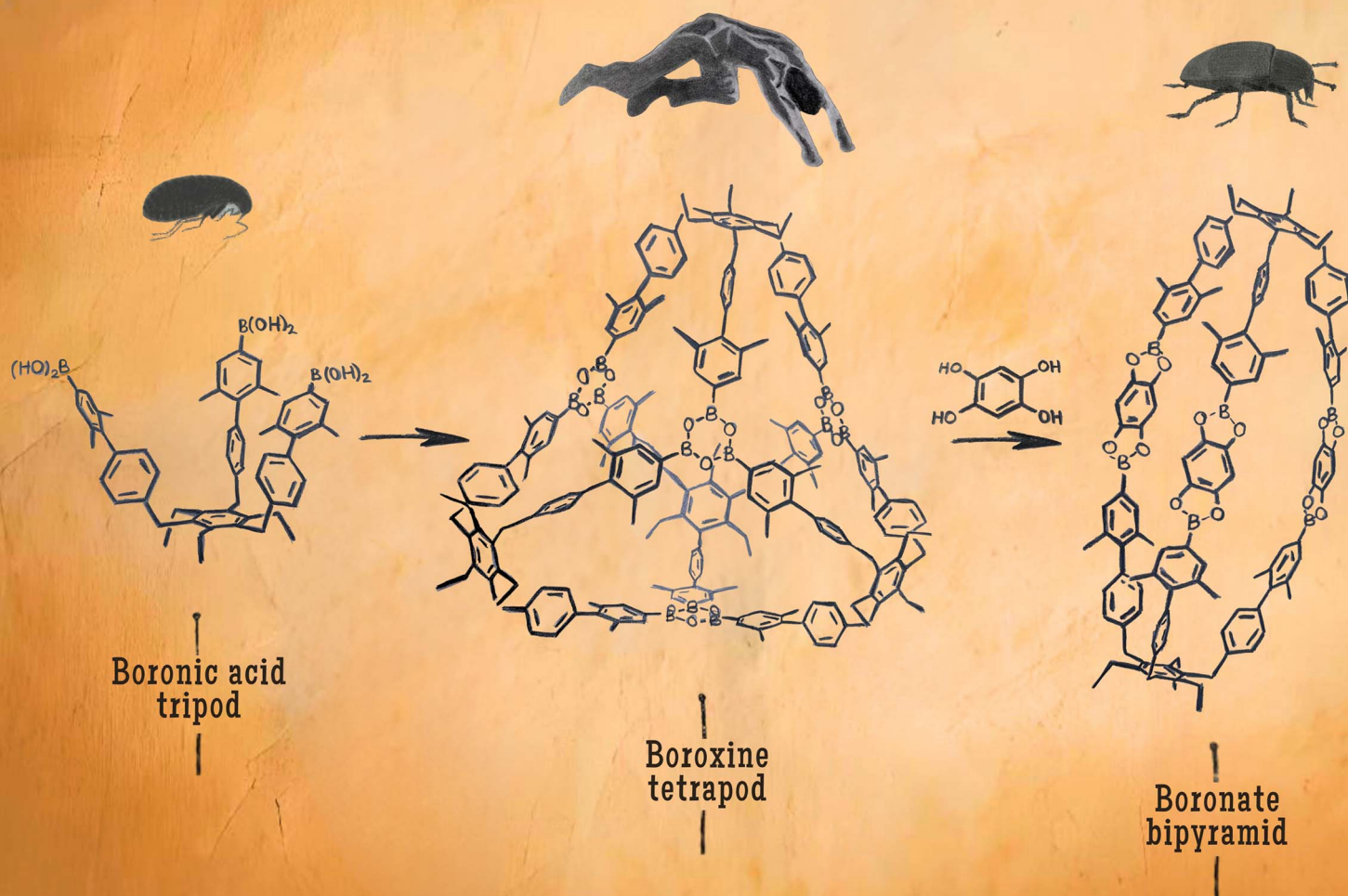


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The Cage Metamorphosis



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EDGE ARTICLE

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Conformational control enables boroxine-to-boronate cage
metamorphosis

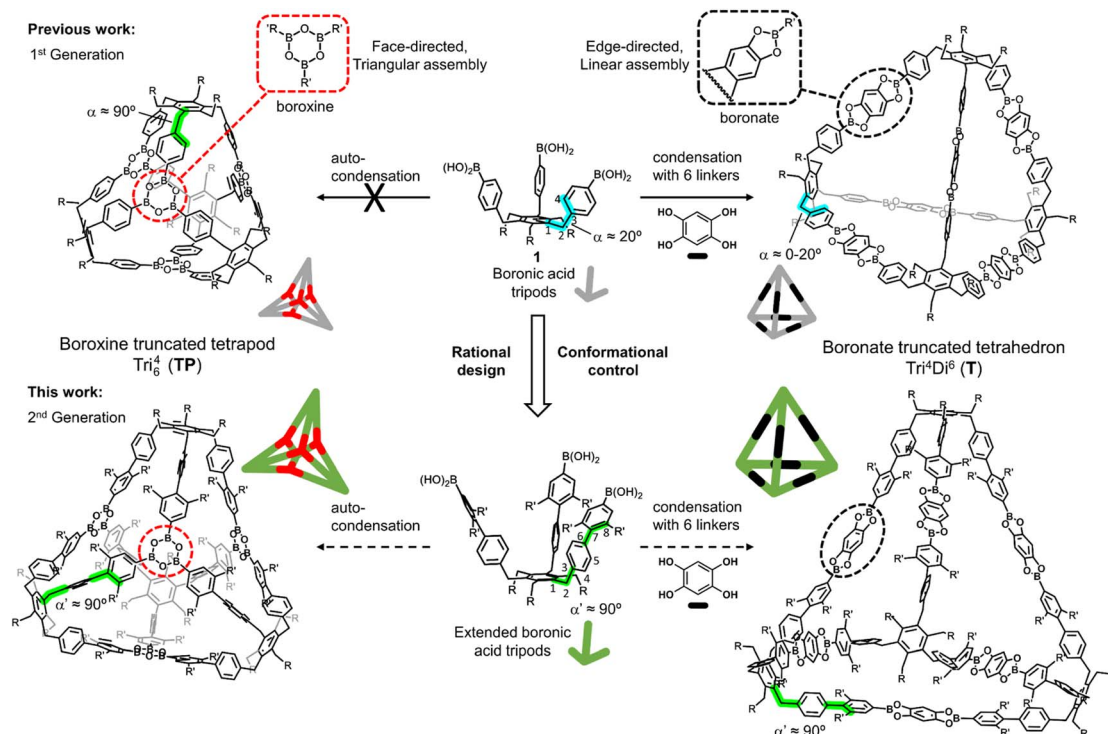


Fig. 1 Our sterically-gated, tritopic boronic acid tripod 1 (1st generation) and the extended version (2nd generation) as potential platform for the face-directed assembly of a boroxine truncated tetrapodal (left) and the edge-directed assembly of a boronate truncated tetrahedral cage (right).

indicated that the dihedral angle α , defined by atoms 1–2–3–4, was about $\pm 20^\circ$. However, because the boroxine rings have a planar trigonal arrangement and are located on the faces of the tetrahedron, the boroxine cage requires the dihedral angle α to be close to 90° (Fig. 1). Unfortunately, controlling and modulating this dihedral angle α is a non-trivial task. Herein we show that this problem could be circumvented using rational design principles. Conformational control could be induced by extending the tripod's arms. In this sense, we considered that a biphenyl system is the most suitable spacer to accomplish this. If the dihedral angle α maintains the value observed (a range of $\pm 20^\circ$), the dihedral angle formed by the two aromatic rings of the biphenyl system could be modulated with substituents in the *ortho* positions (5 or 8), modifying the value of α' between atoms 1–2–7–8 to approach 90° (Fig. 1). By extending the tripod's arms by a 2,3-dimethylbenzene unit, we obtained a versatile tripod building block that can be used to form the previously inaccessible tetrapodal boroxine cage efficiently and further a novel bipyramidal boronate cage. By varying the substitution of the spacer units, we assessed the limits of this steric induction approach. Additionally, the versatility of this extended platform enables the system to undergo a structural transformation (cage metamorphosis) from boroxine to boronate MOCs in solution.

Results and discussion

Synthesis of the building blocks

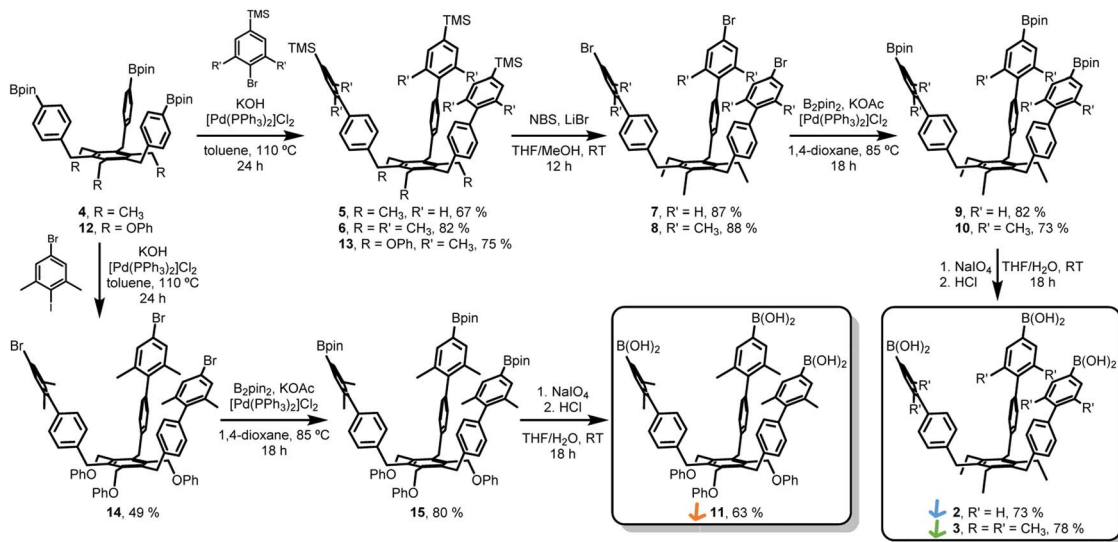
The synthesis of extended tripods 2 and 3 was planned and carried out (Scheme 1). The non-methylated derivative 2 was

synthesized to understand the limits of this conformational control better. Starting from Bpin-tripod 4,¹⁴ Suzuki coupling with (4-bromophenyl)trimethylsilane or (4-bromo-3,5-dimethylphenyl)trimethylsilane afforded extended tripods 5 and 6 in good yields. The trimethylsilyl groups were converted into corresponding bromide using *N*-bromosuccinimide and LiBr in THF/methanol to furnish the Br-tripods 7 and 8. Miyaura borylation reaction with B_2pin_2 gave the extended Bpin-tripods 9 and 10 with good yields. Deprotection under standard oxidative conditions yielded the target triboronic acid tripods 2 and 3. Additionally, the OPh-analog 11 was synthesized to determine the influence of the tripod feet on the assembly process. Bpin-tripod 12 (ref. 14) was coupled with (4-bromo-3,5-dimethylphenyl)trimethylsilane under Suzuki conditions to obtain the TMS-tripod 13. However, the transformation of the trimethylsilyl groups into the bromides suffered from uncontrolled bromination of the OPh-feet. This problem could be circumvented by chemoselective Suzuki coupling of 12 with 5-bromo-1,3-dimethyl-2-iodobenzene to give Br-tripod 14 in a single step with moderate yield. The synthesis of triboronic acid 11 followed the route described above for 2 and 3. This shorter route using the chemoselective Suzuki-coupling was later also applied on the ethyl-substituted tripods 2 and 3 (Scheme 1).

Cage synthesis and characterization

With the extended tripods in hand, the next step was to assemble boroxine tetrapod TP1 ($\text{R} = \text{CH}_3$, $\text{R}' = \text{H}$) using boronic acid tripod 2. For this, we suspended 2 in CDCl_3 using 2





Scheme 1 Synthesis of extended triboronic acid precursors 2, 3, and 11.

equiv. of water for each boronic acid moiety and heated the mixture to 110 °C in a sealed pressure tube.¹⁷ After three days, the reaction mixture was still a suspension, and reaction control *via* ¹H-NMR showed only trace signals of a symmetric species. We prolonged the reaction times to seven days, but no substantial change was observed. Nevertheless, MALDI-TOF-MS analysis of the reaction mixture showed a weak signal corresponding to the target compound, and hence we attributed the signals in the ¹H-NMR to traces of cage **TP1** (see Section 4 in

ESI†). Given the low intensity of the ¹H-NMR signals, we did not determine the yield and concluded that stronger conformational control is necessary to direct the building blocks to efficiently self-assemble. Therefore, the effect of the methyl groups on the conformational control was tested using **3** as the building block. Upon applying the conditions abovementioned, the insoluble starting material gradually dissolved and turned into a slightly turbid solution after a few hours. The ¹H-NMR showed the formation of a symmetric product that matched

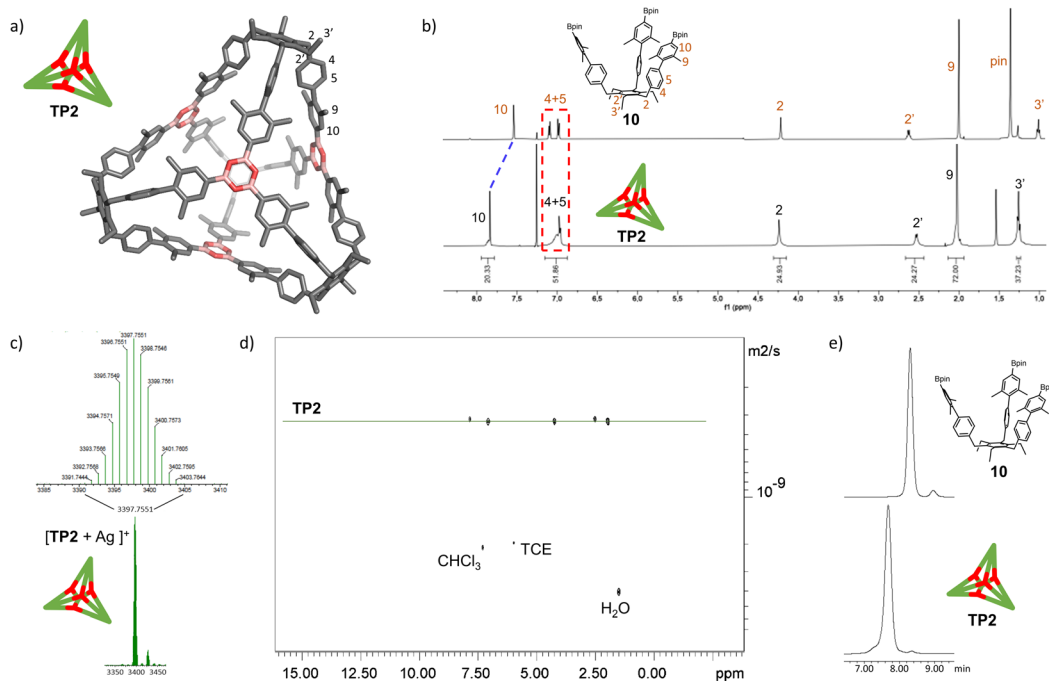


Fig. 2 Characterization of boroxine cage **TP2**. (a) Molecular model of boroxine cage **TP2**. (b) ¹H NMR spectra (500 MHz, CDCl₃, RT) of B-pin precursor **10** and tetrapod cage **TP2**. (c) High-resolution MALDI-TOF-MS of cage **TP2**. (d) ¹H-DOSY-NMR (500 MHz, CDCl₃) of **TP2**. (e) GPC traces of B-pin precursor **10** and cage **TP2** (92% purity). TCE = 1,1,2,2-tetrachloroethane.



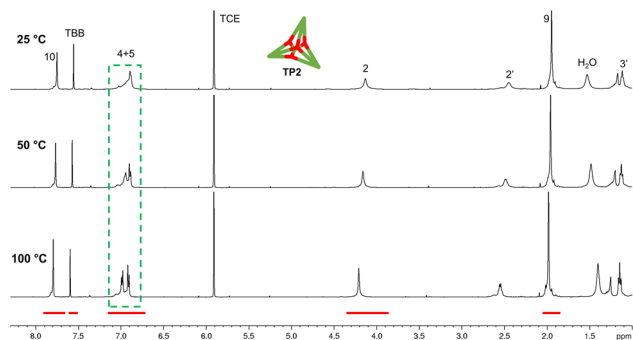


Fig. 3 qHNMR spectra (500 MHz) at different temperature of cage TP2 in TCE- d_2 . The green dashed rectangle highlights the appearance of a two-spin system of type AB for the aromatic protons located at C-4 and C-5 as the temperature increases. The red bars indicate the fixed integration areas for yield calculation using TBB as the internal standard. TBB = 1,3,5-tribromobenzene, TCE = 1,1,2,2-tetrachloroethane.

the expected T_d symmetry. Additionally, a greater magnetic deshielding of the proton signal located in C-10 from the B-pin precursor **10** is in line with the formation of a boroxine functionality. Interestingly, the signals corresponding to the aromatic protons in C-4 and C-5 were broad and undefined, which we preliminarily attributed to slow rotation of the arms on the NMR time scale due to boroxine ring formation (Fig. 2b). MALDI-TOF-MS analysis of the crude mixture demonstrated the formation of the target compound TP2 ($R = R' = \text{CH}_3$) (Fig. 2c). A $^1\text{H-NMR-DOSY}$ spectrum was recorded, showing the presence of one single species with a diffusion coefficient of $D_{\text{TP2}} = 3.25 \pm 0.06 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$, which, based on the Stokes–Einstein equation, corresponds to a volume of $V_{\text{TP2}} = 8597 \pm 481 \text{ \AA}^3$ (Fig. 2d).¹⁸ For comparison, the diffusion coefficient for B-pin precursor **10** was determined to be $D_{10} = 4.73 \pm 0.07 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$ ($V_{10} = 2794 \pm 132 \text{ \AA}^3$), and the volumetric ratio is, therefore, $V_{\text{TP2}}/V_{10} = 3.1$. Considering that, we compare the B-pinacol precursor with the cage, this agrees well with our expectations. Additionally, the volume was determined based on a geometry-optimized molecular model of the cage (using the OPLS4 force field, see Section 9 in ESI†). We obtained a volume of $V_{\text{TP2}} = 8310 \text{ \AA}^3$ for the cage, which is in excellent agreement with the DOSY values. Unfortunately, all attempts to grow crystals suitable for X-ray analysis failed.

The yield of the reaction was calculated by quantitative $^1\text{H-NMR}$ (qHNMR)¹⁹ using 1,1,2,2-tetrachloroethane (TCE) as the internal standard. The yield for the self-assembly of TP2 was determined to be $53 \pm 3\%$, which was in agreement with the fact that the reaction mixture never turned completely transparent. To rule out solubility issues, self-assembly of TP2 was carried out using twofold dilution (0.0025 M instead of 0.005 M) but the yield could only be improved to $59 \pm 4\%$. By adding 4 \AA molecular sieves to the reaction mixture, we were able to reach $63 \pm 5\%$ yield. This could indicate that the assembly's yield is determined by a dynamic equilibrium, which needs to be shifted more actively toward the cage for higher yields. To understand the conformational dynamics of TP2, we carried out high-temperature $^1\text{H-NMR}$ studies. For this, we suspended building

block **3** in TCE- d_2 in a NMR tube. Heating to 110 $^\circ\text{C}$ provided a clear solution after two hours. Subsequently, a $^1\text{H-NMR}$ spectrum at RT indicated the formation of TP2. Then, a $^1\text{H-NMR}$ spectrum was recorded at 100 $^\circ\text{C}$, and a two-spin system of type AB appears for the aromatic protons located in C-4 and C-5, indicating an increase in the rotation of the arms (green dashed rectangle in Fig. 3). Additionally, integration of the cage signals relative to the rest-proton signal of TCE- d_2 and comparison of the integrals at 25 $^\circ\text{C}$ and 100 $^\circ\text{C}$ indicated that the yield increased with increasing temperature. This is in congruence with the fact that upon cooling to RT, a white precipitate starts to form, which is readily re-dissolved when the temperature is increased.²⁰

To gain more insight into the temperature dependence of the reaction, we added 1,3,5-tribromobenzene (TBB) as an internal standard and performed qHNMR measurements at 25 $^\circ\text{C}$, 50 $^\circ\text{C}$, and 100 $^\circ\text{C}$. The NMR tube was left to equilibrate at each temperature for 30 minutes. Analysis of the integrals shows that the yields increase from $Y_{25\text{ }^\circ\text{C}} = 64 \pm 4\%$ to $Y_{50\text{ }^\circ\text{C}} = 67 \pm 5\%$ and $Y_{100\text{ }^\circ\text{C}} = 89 \pm 7\%$ (Fig. 3).

The thermodynamics of the formation of boroxines from arylboronic acids was studied by Tokunaga and co-workers.^{21,22} It was found that the formation of boroxine comes with an enthalpic cost which is overcompensated by a larger entropy gain (release of water molecules to bulk solvent). Consequently, they observed that an increase in temperature leads to an increase of the boroxine species, which agrees with our observations for the assembly of TP2. In the solvent systems we employ (CDCl_3 and TCE- d_2), this equilibrium leads to cage assembly in roughly 50% yield determined by qHNMR at RT (after heating at 110 $^\circ\text{C}$), while yield determination at 100 $^\circ\text{C}$, shows a nearly quantitative formation of the cage. Since the yield of TP2 formation depends on the temperature and the presence of water, we assumed that it could be increased by freezing the equilibrium at high temperatures by removing water from the reaction mixture. To test this idea, we formed TP2 in TCE- d_2 (18 h at 110 $^\circ\text{C}$) and added 4 \AA molecular sieves at 100 $^\circ\text{C}$. The yield was determined at room temperature using TBB as the internal standard, giving $92 \pm 7\%$ of TP2 in 98% purity, according to the GPC trace (see Section 5 in ESI†). This result demonstrates that the assembly of boroxine cage TP2 is a dynamic equilibrium that depends on the temperature and water content of the solvent, which can be actively modulated to reach a nearly quantitative yield.

The high yield of the assembly is due to the ideal geometry of the building block **3**, where $\gamma_3 \approx 24^\circ$ (γ being the angle between the tripod arm and the perpendicular to the central aromatic ring) and $\alpha'_3 \approx 80 - 100^\circ$ match well with the geometric requirements $\gamma_{\text{tetrapod}} = 19.5^\circ$ and $\alpha_{\text{tetrapod}} = 90^\circ$ for boroxine tetrapod assembly.

Furthermore, boroxine self-assembly was investigated with Oph tripod **11**. After 36 hours at 110 $^\circ\text{C}$ in TCE- d_2 , the $^1\text{H-NMR}$ at RT showed a mixture of two main compounds and MALDI-TOF-MS analysis confirmed the formation of TP3 ($R = \text{Oph}$, $R' = \text{CH}_3$). $^1\text{H-NMR}$ analysis of the reaction mixture at 100 $^\circ\text{C}$ showed the presence of two species, which were also observed on the size-exclusion chromatography trace and using $^1\text{H-NMR}$ -



DOSY (see Section 4 in ESI†). This excluded a conformational equilibrium and confirmed that two independent assemblies are present. We conducted several trials including longer reaction times (up to 2 weeks), iterative addition of water, 4 Å MS, different temperatures, and solvents; however, we were unable to shift the reaction toward the exclusive formation of **TP3**. In previous work we have demonstrated that non-extended tripod **1** (R = OPh) is conformationally “looser” than its counterpart **1** (R = Et).¹⁴ Due to the structural similarity, we assume that this conformational behaviour can be extrapolated to extended tripod **11**. Thus, we hypothesize that conformationally “looser” **11** is less suited for efficiently forming boroxine cages.

Dynamic behaviour

On the one hand, extended boronic acid tripod **3** was designed to be an ideal building block for forming boroxine tetrapod **TP2**. On the other hand, the assembly of boronate tetrahedra **T** is independent of the dihedral angle α if a linear linker such as benzene-1,2,4,5-tetraol (**THB**) is used (edge-directed assembly, Fig. 1). Hence, we set out to understand if extended building block **3** can be used as a bifunctional platform for forming both boroxine and boronate cages. Subjecting **3** and **THB** in a 4 : 6 ratio to the same conditions for the formation of cage **TP2** (CDCl₃, at 110 °C, sealed tube and 2 equiv. of water for boronic acid) provided a clean solution after 72 hours. The solution was analyzed by ¹H-NMR and showed the appearance of new signals

that fit a new symmetric assembly, along with small signals corresponding to the boroxine cage **TP2** and a set of aromatic signals at 7.7–7.8 ppm that we were not able to assign. We attributed the unidentified signals to the presence of reaction intermediate(s), or soluble oligomers. The emergence of a new signal at 7.23 ppm, belonging to the aromatic protons of **THB**,²³ indicated the incorporation of this unit into a new boronate structure (Fig. 4a). ¹H-DOSY-NMR showed two sets of signals corresponding to **TP2** ($D_{TP2} = 3.29 \pm 0.13 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$, $V_{TP2} = 8356 \pm 974 \text{ \AA}^3$), and to the new boronate assembly $D = 4.00 \pm 0.02 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$ ($V = 4628 \pm 73 \text{ \AA}^3$) (Fig. 4a). The volume obtained from the DOSY measurement of the boronate species is significantly smaller than that obtained for **TP2**. This result led us to suspect that the novel boronate cage is smaller than the expected tetrahedron with Tri⁴Di⁶ topology. The MALDI-TOF-MS analysis confirmed the formation of the **TP2** boroxine. However, the highest intensity peak corresponds to the formation of a [2 + 3] cage with a Tri²Di³ topology. This bipyramidal cage **BP1** (R = R' = CH₃) is assembled from two boronic acid tripods and three **THB** units (Fig. 4b and c). From the molecular modeling study, **BP1** shows a rugby ball-like structure with distorted phenyl boronates, with an average strain angle of 157° (Fig. 4d). qHNMR (with TCE as the internal standard) showed that cage **BP1** was formed with a yield of 39% and **TP2** with a yield of 5%. After 96 hours of reaction, boroxine **TP2** was not detected by ¹H-NMR. The formation of Tri²Di³ cage

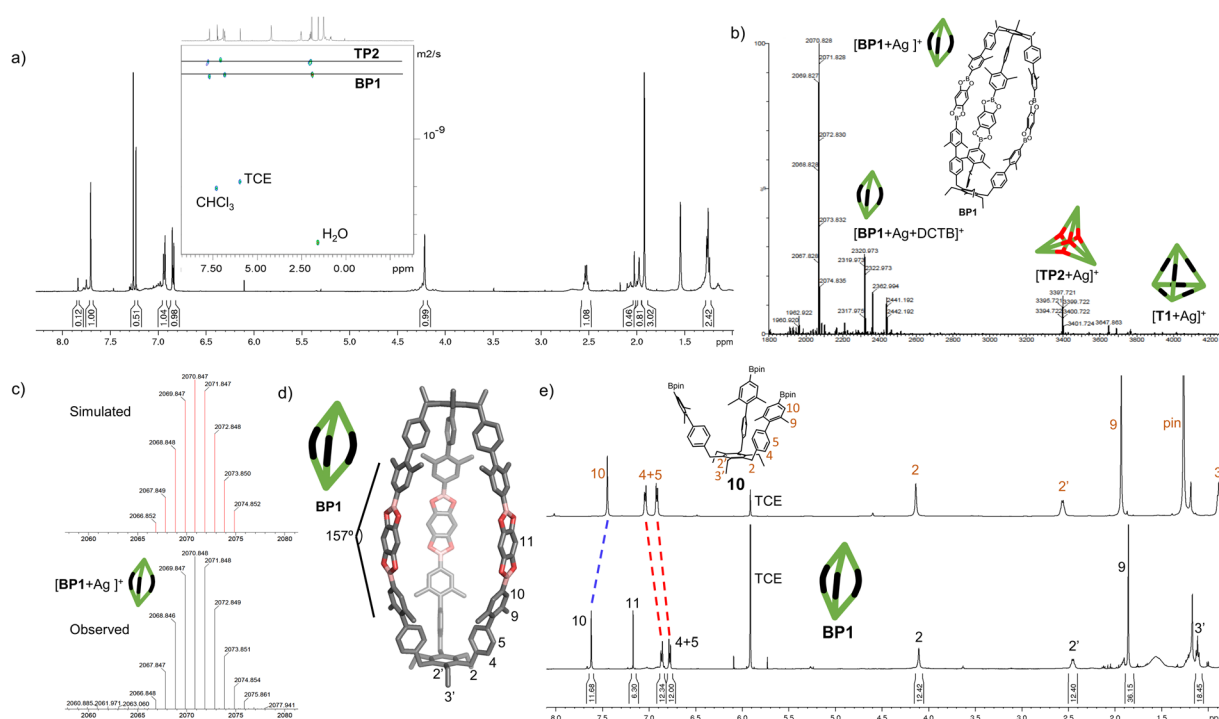


Fig. 4 Formation and characterization of boronate cage **BP1**. (a) ¹H NMR spectra (500 MHz, CDCl₃, RT) at 72 hours of reaction. Inset shows ¹H-DOSY-NMR confirming the presence of two species, the boroxine **TP2** and boronate cage **BP1**. (b) MALDI-TOF-MS full spectrum at 72 hours of reaction. (c) High-resolution MALDI-TOF-MS of cage **BP1**, showing the observed and simulated isotopic patterns of the [BP1 + Ag]⁺ peak. (d) Molecular model (OPLS4 force field) of the bipyramidal cage **BP1**. (e) ¹H NMR spectra (500 MHz, TCE-*d*₂, RT) of the B-pin precursor **10** and bipyramidal cage **BP1** after purification by preparative GPC (99% purity by analytical GPC). DCTB = *trans*-2-[3-(4-*tert*-butylphenyl)-2-methyl-2-propenylidene]malononitrile. TCE = 1,1,2,2-tetrachloroethane.



BP1 was unforeseen. Based on the directional bonding approach, a tritopic building block with $\gamma_3 \approx 24^\circ$ combined with a linear connector (**THB**, 180°) is expected to give an edge-directed Tri^4Di^6 tetrahedron. Emergent behaviour in the form of unpredicted geometrical outcomes has previously been observed in other DCC systems.^{24–28} We attributed this result to the strain energy being overcompensated by the entropic gain of a smaller assembly.

Interestingly, when OPh precursor **11** was used for boronate formation, the reaction was not found to funnel cleanly toward the corresponding cage **BP2** ($R = \text{OPh}$, $R' = \text{CH}_3$) but rather a complex mixture of differently sized products was obtained, as demonstrated by size-exclusion chromatography (see Section 6 in ESI†). Nevertheless, MALDI-TOF-MS indicated that **BP2** is present in the mixture. This result emphasizes the crucial role of the tripod feet in the assembly of these structures.

Considering that the formation of boroxine **TP2** is significantly faster than boronate **BP1**, we can assume that when building block **3** is suspended with **THB** in CDCl_3 or $\text{TCE-}d_2$ at 110°C , boroxine **TP2** is quickly self-assembled and subsequently transformed into **BP1** over days. We denominated this behaviour cage metamorphosis, since the transformation happens from a boroxine cage to a boronate cage. This involves a topological shift from Tri_6^4 to Tri^2Di^3 and a change of the geometrical shape from a face-assembled tetrapod to an edge-assembled bipyramidoid. Metamorphosis has been achieved in boroxine cages through the addition of pyridine, since they form a 1 : 1 adduct, producing a change in the geometry of the

boron-centers from trigonal planar to tetrahedral.²⁹ In addition, the interconversion between a tetrahedral Tri^4Tri^4 cage and a small Tri^1Tri^1 assembly based on imine condensation has been described recently.³⁰

However, as far as we are aware, metamorphosis which includes a shift in the topology and a change of the functionality (boroxine to boronate) has not yet been reported. However, to verify the occurrence of the metamorphosis, several matters required resolution: first, it was found that when boroxine **TP2** was self-assembled and kinetically “locked” *via* the addition of 4 Å MS, the addition of **THB** did not lead to the formation of boronate **BP1**. After 72 hours at 110°C , only traces of the boronate cage were formed. This result shows that cage metamorphosis cannot be induced solely by the presence of the phenol groups of **THB** and that partial dissociation of the boroxine rings by water is necessary to induce the change. This is in agreement with observations by Dichtel and co-workers, where the hydrolysis of competing boroxine species was found to be the rate-limiting step in the formation of boronic esters from the same starting materials.³¹

Second, **TP2** was self-assembled under reversible conditions (no molecular sieves, 72 hours at 110°C in CDCl_3), confirmed by $^1\text{H-NMR}$ reaction control ($Y_{\text{TP2}} = 56 \pm 7\%$), and subsequently, **THB** was added to induce metamorphosis (Fig. 5a). Partial metamorphosis to boronate **BP1** was observed after 24 hours ($Y_{\text{TP2}} = 40 \pm 4\%$ and $Y_{\text{BP1}} = 35 \pm 1\%$), together with the formation of undefined intermediates. The reaction reached $Y_{\text{BP1}} = 39 \pm 3\%$ and $Y_{\text{TP2}} = 10 \pm 1\%$ after 48 hours. After 72

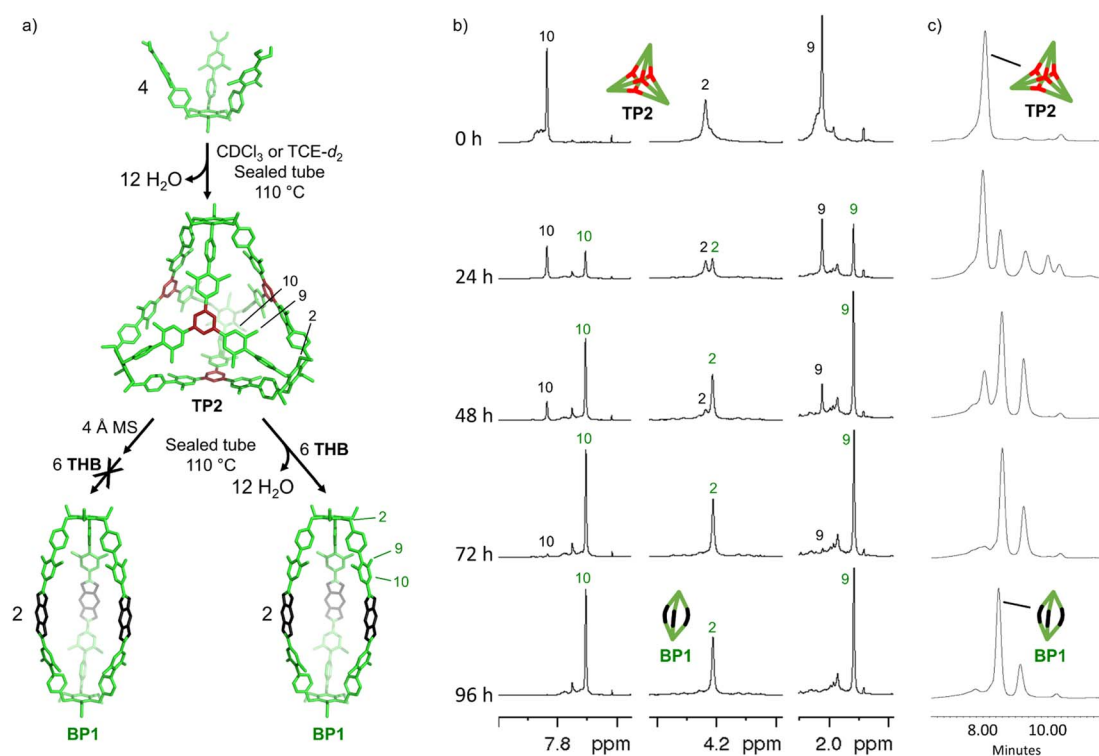


Fig. 5 Monitoring the metamorphosis reaction. (a) Schematic representation of the cage metamorphosis. (b) Sections of ^1H NMR spectra (500 MHz, CDCl_3 , RT) and (c) GPC traces of the metamorphosis at different times. Time 0 hour shows the ^1H NMR and GPC trace of **TP2** assembled under reversible conditions (no molecular sieves, after 72 hours at 110°C in CDCl_3). Subsequently to $t = 0$ hour, **THB** was added.



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