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## Linking the chemical composition and optical properties of biomass burning aerosols in Amazonia†

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Biomass burning emissions in Amazonia change the atmospheric composition and aerosol properties during the dry season. We investigated fine-mode aerosol chemical composition and optical properties with an intensive field experiment in the dry-to-wet season transition in 2018 in Southwestern Amazonia. Aerosol composition and physical properties were measured using ACSMs, aethalometers, nephelometers, SMPs, and CPCs. PM<sub>1</sub> mass concentrations showed a mean value of 12.4 ± 10.1 μg m<sup>-3</sup>. Organic matter was the major constituent of PM<sub>1</sub>, contributing more than 75%, whereas black carbon (BC) contributed ~15%, and inorganics were less than 10%. The organic fraction of PM<sub>1</sub> was apportioned by positive-matrix factorization (PMF), resolving 4 organic aerosol (OA) factors: two oxygenated OAs (OOA-1 and OOA-2), one hydrocarbon-like (HOA), and one biomass burning OA (BBOA). A low single scattering albedo (637 nm) of 0.77 ± 0.08 was observed, suggesting a significant absorption material. BC and brown carbon (BrC) contributions to the absorption coefficient (470 nm) were retrieved, and BrC corresponded, on average, to 20% of total absorption. The mass scattering (MSE) and absorption efficiencies (MAE) of PM<sub>1</sub> particles were determined by multilinear regression (MLR), using the PMF factors as predictor variables. Overall, organic aerosols showed significant light absorption in the UV-vis wavelength range and strong spectral dependence indicating the presence of organic species that act as BrC, predominantly associated with biomass burning OAs. Our results emphasize the need for a better understanding of links between aerosol composition and optical properties, including the absorption spectra of BrC in the Amazon.

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### Environmental significance

Wildfires emit a variety of compounds both in gas and particulate phases that have implications on atmospheric composition and climate. From field observations, we investigated the correlations between aerosol chemical composition and optical properties by reconstructing the absorption and scattering coefficients from the mass concentration of PM<sub>1</sub> components and calculating chemically resolved mass absorption and scattering efficiencies. The contribution of brown carbon to the absorption coefficient was also explored, evaluating its spectral dependence and estimating its absorption efficiency at different wavelengths. Our results reveal the important aspects of how biomass burning emissions alter the physicochemical properties of aerosols and, in turn, disturb the natural characteristics of emissions from the Amazon rainforest, which can influence the regional and global climate.

## 1. Introduction

Aerosol and trace gas concentrations in the Amazonian region show a well-pronounced seasonality, alternating periods close to pristine conditions during the wet season and periods of high concentrations in the dry season.<sup>1</sup> During the wet season, the aerosol fine mode (<2 μm) concentrations range from 1–3 μg m<sup>-3</sup>, whereas during the dry season, it raises to values in the order of 20–30 μg m<sup>-3</sup>, due to biomass burning activities, mainly for deforestation and pasture clearing.<sup>2,3</sup> In terms of composition, the aerosols are composed of organic carbon (OC) with 80% to 90% of the total mass, with the remaining 10 to

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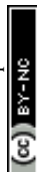
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20% composed of elemental carbon (EC) and water-soluble inorganic salts (such as sulfates, nitrates, and chlorides). Other inorganic components (*e.g.*, K, P, and Zn) and crustal elements (*e.g.*, Al, Si, Fe, Ti, and Mn) show up in low concentrations in the absence of episodic African mineral dust inputs.<sup>3</sup>

In addition to seasonal variability, particle concentrations are also modulated by the location and the extent of the influence of in-basin biomass burning. The so-called deforestation arc, a region in the southern Brazilian Amazonian boundary that begins in the southeast of Pará and goes towards the state of Acre, is experiencing intense pressure for land-use change as it shapes the agricultural borders that over the years advance towards the forest.<sup>4</sup> Along with deforestation, there is an increase in fire occurrence all over this region, resulting in strong biomass burning (BB) emission of gases and particles.<sup>5</sup> Deforestation and biomass burning is modifying the hydrological cycle impacting precipitation rates and river flows.<sup>6–8</sup> Understanding how deforestation and biomass burning affect the atmospheric composition is essential to evaluate their consequences on climate and human health.<sup>2,3</sup>

Previous studies carried out in the dry season in Amazonia have shown the predominant influence of seasonal fires.<sup>9–12</sup> In the dry season, the concentrations of trace gases such as ozone and nitrogen dioxide are three and seven times higher than what is observed during the wet season, while the aerosol load increases by a factor of 10.<sup>9</sup> Thus, some important field campaigns have addressed several aspects of atmospheric composition in the dry season.<sup>13–15</sup> However, little attention has been given to the relationship between the aerosol chemical characterization and physical parameters that are important in the regional aerosol radiative forcing.<sup>16,17</sup>

Aerosols directly interact with incoming solar radiation by scattering and absorbing radiation. Scattering by atmospheric aerosols has a cooling effect on climate, while light absorption by these particles has a warming influence.<sup>18</sup> Furthermore, aerosols also affect cloud nucleation properties and might modify the formation of cloud droplets and ice crystals. The size, shape, and elemental composition of aerosol particles are key properties that influence both their radiative effects and their role as cloud condensation nuclei (CCN).<sup>19</sup>

Mineral dust and elemental carbon have been considered as the main aerosol components that absorb efficiently a fraction of incident radiation, while the real absorption contribution of organic aerosols (OAs) has been neglected. This assumption is generally employed in global climate models that disregard the contribution of OAs to UV-vis light absorption.<sup>20</sup> However, more recently, the absorbing nature of organic carbon particles has become an important part of total absorption, especially for biomass burning aerosols.<sup>21–23</sup>

The term “brown carbon” (BrC) has been qualified to describe the organic carbon component that absorbs radiation efficiently in the near-UV (300–400 nm) and visible ranges.<sup>24</sup> Quantifying the contribution of BrC to the absorption of light in addition to the black carbon (BC) component is important to account for its contribution to radiative forcing and, consequently, to the Earth's radiative balance. In addition, both BC and BrC have indirect radiative effects as once immersed in

cloud droplets, they absorb light facilitating water and cloud droplet evaporation.<sup>24</sup> During combustion, thermal decomposition and pyrolysis of compounds found in plants, such as lignin, tannins, *etc.*, can release BrC. Thus, BB is an important source of primary BrC,<sup>25</sup> as well as precursors that produce secondary organic aerosols (SOAs) that absorb light. Moreover, the composition and optical properties of BrC evolve during its atmospheric life cycle, resulting in the processes of “whitening” or “darkening”, decreasing or increasing the ability to absorb UV and visible light.<sup>26,27</sup> While bleaching has been observed through photochemical processing, browning is associated with reactions with NO<sub>3</sub> radicals and other non-reactive processes.<sup>28,29</sup>

In this study, a two-month field campaign was conducted during the dry season in Rio Branco, Southwestern Amazonia, where detailed aerosol physical, chemical, and optical properties were assessed. The connection between optical properties and chemical composition and how they evolve with atmospheric processing is explored by (1) calculating the mass scattering and absorption efficiencies attributed to each chemical component relevant to PM<sub>1</sub> and presenting their spectral dependence; (2) reconstructing the absorption and scattering coefficients from the mass concentration of the PM<sub>1</sub> components and attributing their relative importance to the total scattering and absorption; (3) finally, we estimate the contribution of BrC to the total absorption of the fine fraction of aerosols by two independent methods, showing that both yield equivalent results.

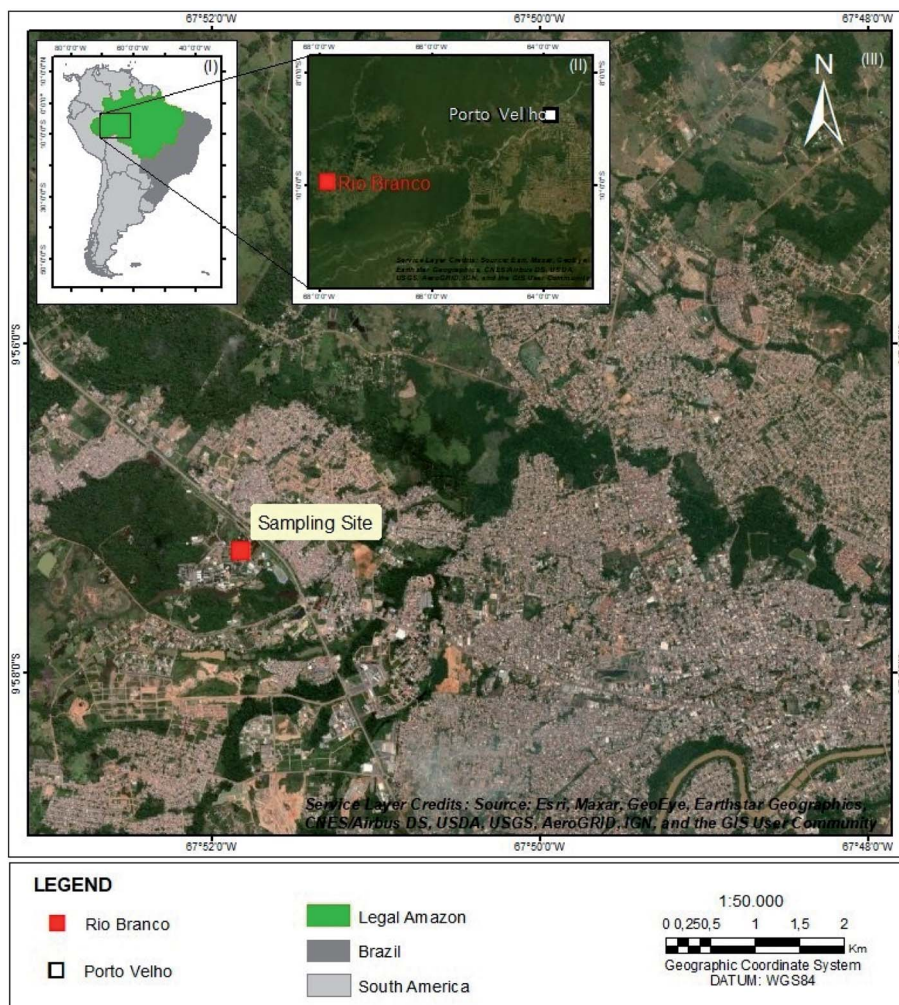
## 2. Experimental

### 2.1. Site description

The aerosol and trace gas sampling was carried out at the campus of the Federal University of Acre (UFAC) (9° 57'15.64"S, 67° 51'49.36" W), in the urban area of Rio Branco between August and November 2018. The city has 401 000 inhabitants,<sup>30</sup> is surrounded by agricultural activities, and is strongly impacted by regional biomass burning emissions. There are no major industrial sources nearby. Fig. 1 shows the location of Rio Branco and the sampling site.

The climate in the state of Acre is typical of the Amazonian Basin, equatorial, hot and humid with high temperatures all over the year. However, there is an alternation between two climatic seasons: a wet season when there is abundant rain, and a dry season, with less abundant rain. Usually, in Acre, the dry season occurs between June and August, and the rainy season, between October and April. September and May are transitions between the two seasons. According to Duarte, (2006),<sup>31</sup> who reports climatology for Acre from 1971–2000, the highest temperatures occur between August and October. During the dry season, the atmospheric pressure is relatively high, and therefore cold fronts only eventually influence the region. Winds at 10 m a.g.l. are usually light with speeds not exceeding 3 m s<sup>-1</sup>, although in short storms gusts can reach between 20 and 30 m s<sup>-1</sup>. In addition, during the dry season, winds prevail from south, southeast, and east, while in the rainy season, the winds from north and northwest predominate. The dry period is





**Fig. 1** The sampling site location in the city of Rio Branco, Acre, Brazil. (I) The Brazilian legal Amazon contour in green, with the (II) inset showing Rio Branco and Porto Velho, the state capitals of Acre and Rondônia, respectively, and biggest cities in the region, and (III) the city of Rio Branco indicating the geographical location of the sampling site. Underlying map service is credited to Esri, Maxar, Geo Eye, Earthstar Geographics, CNES/Airbus DS, USDA, USGS, AeroGRID, IGN, and the GIS User Community.

characterized by precipitation rates of around 42 mm per month and lower relative humidity ( $\sim 81.4\%$ ), with average temperatures ranging around 23–25 °C, maximum between 30 °C and 32 °C and minimum between 17 °C and 18 °C.<sup>31</sup> The months of August to November 2018 can be considered typical of the local weather. There was no occurrence of El Niño or major climatic extremes, and temperature and relative humidity during the campaign period were  $26.5 \pm 4.3$  °C and  $76.9 \pm 15.9\%$  (see Fig. SI1).<sup>†</sup>

## 2.2. Aerosol measurements

The field campaign was performed during the dry-to-wet transition period in 2018, from August 28<sup>th</sup> to November 4<sup>th</sup>. Meteorological parameters (temperature, relative humidity, and atmospheric pressure) were acquired by an AMES meteorological station (model AMS TPR 159). They were cross-checked with data from the National Institute of Meteorology (INMET), which operates a meteorological station on the UFAC campus.

Aerosol sampling was performed using a PM<sub>2.5</sub> isokinetic inlet impactor (Sharp Cut Cyclone) at 6 m above the ground, on the top of a two-floor building, with a total flow rate of 16.7 L min<sup>-1</sup>. The total flow was divided with a 4-way splitter (Flow Splitter 3708 TSI) to separate sampling lines that go to the instruments placed in an air-conditioner temperature-controlled laboratory. Three diffusion dryers (Magee Scientific Sample Stream Dryer) maintained the sampled air under dry conditions at constant RH  $\sim 40\%$ . All concentrations are reported at standard temperature and pressure (STP, 273.15 K, and 1013.25 hPa, respectively).

Real-time aerosol light absorption ( $\sigma_{\text{abs}}$ ) and scattering ( $\sigma_{\text{scat}}$ ) coefficients were measured using a Magee Scientific Aethalometer model AE33 and an Air Photon three-wavelength integrating nephelometer (model IN101), respectively. The AE33 operated at seven wavelengths (370, 470, 520, 590, 660, 880, and 950 nm) and the nephelometer at three wavelengths (450, 532, and 632 nm). The aerosol absorption coefficients were calculated taking into account corrections for artifacts such as





multiple scattering effects and filter-loading effects. The correction applied here has already been described in detail by Saturno *et al.* (2018),<sup>32</sup> Saturno *et al.* (2017),<sup>33</sup> and Rizzo *et al.* (2011);<sup>34</sup> briefly, a period sampled with MAAP was used as a reference measure to correct for multiple scattering effects, and then, retrieve the  $\sigma_{\text{abs}}$  through a log – adjustment to aethalometer data. Corrections for filter loadings are not necessary, as the AE33 model uses dual-spot technology that already takes this effect into account. Therefore, the black carbon equivalent concentration (herein referred to as BC) was retrieved from the corrected Aethalometer data.

Based on particle scattering and absorption measurements, scattering Ångström exponents (SAEs) and absorption Ångström exponents (AAEs) were calculated from the three wavelengths measured with the nephelometer and from the 6 wavelengths (370 to 880 nm) with the aethalometer. A linear fit over the log–log scattering and absorption spectra was performed, where the SAE and AAE are the angular coefficients:

$$\ln(\sigma_{\text{scat or abs},\lambda}) = -(\text{SAE or AAE}) \ln(\lambda) + \ln(c) \quad (1)$$

After calculating the SAE and AAE, the spectra were interpolated to obtain  $\sigma_{\text{scat}}$  and  $\sigma_{\text{abs}}$  at 637 nm. The particle scattering and absorption coefficients were used to calculate the dry aerosol single scattering albedo (SSA) at 637 nm using eqn (2):

$$\text{SSA} = \frac{\sigma_{\text{scat}}}{\sigma_{\text{scat}} + \sigma_{\text{abs}}} \quad (2)$$

The aerosol size distribution (in the size range of 10 to 430 nm) was measured every 2 minutes by using an SMPS (Scanning Mobility Particle Sizer, TSI, model 3082) using an electrostatic classifier (TSI, model 3080) coupled with a CPC (Condensation Particle Counter, TSI, model 3772). Multiple charge correction was applied by using TSI software. A second CPC (TSI, model 3772) was run in parallel and was used for independent counting of total particle number concentration. The inter-comparison of SPMS and CPC particle concentration measurements was used for data validation.

An aerosol chemical speciation monitor (ACSM, Aerodyne Research Inc) was deployed for online monitoring of the chemical composition of non-refractory submicron aerosols (NR-PM1 for organics, nitrate, sulfate, ammonium, and chloride) with a time resolution of 30 minutes. The instrument is composed of a system of aerodynamic lenses that focus particles in the range of 75 to 650 nm in a narrow beam which is transmitted into the detection chamber onto a 600 °C vaporizer. Particles are then flash vaporized under a high vacuum, ionized by electron impact at 70 eV, and detected with a quadrupole mass spectrometer. The ACSM measured the mass-to-charge ratio ( $m/z$ ) from 10 to 140 arbitrary mass unity (amu).

PM<sub>1</sub> was calculated as the sum of NR-PM1 measured with the ACSM and BC derived from the Aethalometer. Intercomparison was made between the integrated mass concentration measured with the SMPS and the mass concentration PM<sub>1</sub>. The result is shown in the supplement material (Fig. 3 on SI).<sup>†</sup> The agreement between the mass concentrations measured by three

different instruments gives us a level of confidence and quality in the data obtained. In addition, the results of the intercomparison suggest that the Collection Efficiency (CE) value of 0.5 used for the treatment of ACSM data was adequate for dry aerosols in our study.<sup>35</sup>

Ozone was monitored with a Thermo 49i analyzer and NO<sub>2</sub> with a Cavity Attenuated Phase Shift (CAPS Aerodyne Research Inc) analyzer. Volatile Organic Compound (VOC) mixing ratios were measured with a quadrupole Proton-Transfer-Reaction Mass Spectrometer (PTR-QMS-500, Ionicon Analytik) operated under standard conditions (2.2 mbar drift tube pressure, 600 V drift voltage, drift temperature of 333 K, and  $E/N \sim 127 T_d$ ), sampling through unheated PTFE tubing. VOC mixing ratios were calculated from previous calibration as detailed elsewhere.<sup>36</sup> The gas sampling lines were unique to each instrument using PTFE tubes to avoid the intake of particles and prevent the condensation of volatiles in the lines. Trace gases results are shown in ESI, Section 2.<sup>†</sup>

Although the data acquisition frequency was different for each instrument (~1 min for the aethalometer; ~2 min for the SMPS; 30 min for the ACSM), all measurements were averaged and synchronized to a 30 min resolution.

### 2.3. Statistical methods

**2.3.1. Positive matrix factorization (PMF).** To further investigate the OA chemical characteristics, source apportionment by Positive Matrix Factorization (PMF) was performed.<sup>37,38</sup> PMF for organic aerosol mass spectrometry evaluation is now a widely used technique described in detail in the literature<sup>39</sup> (and references therein) and provides useful information on OA chemical classes and oxidation states. A built-in IGOR™ source-finder interface<sup>37</sup> applying the multilinear engine (ME-2) algorithm<sup>40</sup> was used to prepare, execute, and evaluate the PMF results. The procedure was run for a 2207 × 73 matrix of organic fragments from 12 to 100 amu and 2 to 8 factors were tentatively assigned, as detailed in the ESI.<sup>†</sup>

**2.3.2. Brown carbon estimation.** To obtain the brown carbon (BrC) contribution to the light absorption coefficients, we applied the same methodology and parameters as Wang *et al.* (2016),<sup>41</sup> who considered the total absorption coefficient measured with the Aethalometer at 470 nm ( $\sigma_{\text{abs},470 \text{ nm}}$ ) as a sum of the contributions of black carbon ( $\text{BC}\sigma_{\text{abs},470 \text{ nm}}$ ) and brown carbon ( $\text{BrC}\sigma_{\text{abs},470 \text{ nm}}$ ) as follows:

$$\sigma_{\text{abs},470 \text{ nm}} = \text{BC}\sigma_{\text{abs},470 \text{ nm}} + \text{BrC}\sigma_{\text{abs},470 \text{ nm}} \quad (3)$$

The methodology consists of first obtaining the BC absorption contribution to the total absorption coefficient and then, retrieving the BrC contribution, according to eqn (3). It considers that the wavelength dependency of the BC absorption component varies with the particle size, which implies that the BC AAE can assume values different from 1.<sup>41</sup> This wavelength dependence is calculated considering 3 selected wavelengths which maximize the coverage of the light spectra from the near-ultraviolet (470 nm), in which the BrC component is more significant, the visible-red wavelength (660 nm), and the near-infrared (880 nm), where the BC plays a major role. Then, the



wavelength dependence of absorption Ångström exponent (WDA) is defined as follows:

$$\text{WDA} = \text{AAE}_{470-880 \text{ nm}} - \text{AAE}_{660-880 \text{ nm}} \quad (4)$$

where  $\text{AAE}_{\lambda_1-\lambda_2}$  is calculated as:

$$\text{AAE}_{\lambda_1-\lambda_2} = \frac{\ln\left(\frac{\sigma_{\text{abs}}(\lambda_1)}{\sigma_{\text{abs}}(\lambda_2)}\right)}{\ln\left(\frac{\lambda_1}{\lambda_2}\right)} \quad (5)$$

Theoretical values for BCWDA, based on Mie theory, to retrieve  $\text{AAE}_{470-880 \text{ nm}}$  and  $\text{AAE}_{660-880 \text{ nm}}$ , were obtained considering polydisperse coated BC particles with different size distributions composed of an internally mixed monodisperse BC core, of the refractive index of  $1.95-0.79i$ ,<sup>42</sup> a coat with a refractive index of  $1.55-0.001i$ , which is typical for organic and inorganic non-absorbing aerosols<sup>41</sup> and a BC density of  $1.8 \text{ g cm}^{-3}$ .<sup>43</sup> The coating size varied between 1 and 2 times the BC core, following Wang *et al.* (2016).<sup>41</sup> To calculate the absorption contribution only due to the BC, we isolate the  $\text{BCAAE}_{660-880 \text{ nm}}$  term in the equation and using eqn (4), we obtain:

$$\text{BCAAE}_{470-880 \text{ nm}} = \text{BCWDA} + \text{AAE}_{660-880 \text{ nm}} \quad (6)$$

$$\text{BC}\sigma_{\text{abs},470 \text{ nm}} = \sigma_{\text{abs},880 \text{ nm}} \times \left(\frac{470}{800}\right)^{\text{BCAAE}_{470-880 \text{ nm}}} \quad (7)$$

Therefore, combining (6) and (3), we derive the brown carbon contribution to the light absorption ( $\text{BrC}\sigma_{\text{abs},470 \text{ nm}}$ ):

$$\text{BrC}\sigma_{\text{abs},470 \text{ nm}} = \sigma_{\text{abs},470 \text{ nm}} - \text{BC}\sigma_{\text{abs},470 \text{ nm}} \quad (8)$$

The uncertainties of the methodology are discussed in detail elsewhere.<sup>41</sup>

**2.3.3. Air mass back trajectories vs. fire spots and definition of sampling campaign periods.** Air-mass back-trajectory analysis was performed to help understanding the origin of different air masses reaching the site and to evaluate the exposure of these air masses to fire and precipitation along the way. We used the Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model<sup>44</sup> with meteorological input data from the Global Data Assimilation System (GDAS  $0.5^\circ \times 0.5^\circ$  resolution). Trajectories were calculated for 72 h backward, arriving at 500 m above the site, every 30 min for the campaign's duration.

The number of fire spots (and FRP, precipitation, temperature, radiation, *etc.*) that crossed the back-trajectories was taken into account, considering an area of  $100 \times 100 \text{ km}$  around the point of the trajectory. Fire data were obtained from the FIRMS database, VIIRS NPP-375.<sup>45</sup> The surface precipitation rate was obtained from the CMORPH (NOAA) database.<sup>46</sup> We also used the wind direction and speed from ECMWF reanalysis ERA5 at  $0.25^\circ \times 0.25^\circ$  resolution.<sup>47</sup> Specific details of the methodology are provided in the ESI.†

Considering that the sampling campaign in Rio Branco occurred during the dry season and transitioned to the rainy season, the campaign was divided into two periods. This division coincides with the end of the dry period marked by a high incidence of fire outbreaks and the beginning of a transition period which is characterized by an enhancement in precipitation rates and a lessening in fire outbreaks in the region. Therefore, period 1 was defined from the beginning of the campaign (on 08/22/2018) to 09/29/2018, and period 2, from 09/30/2018 to the end of the campaign (11/04/2018). Diurnal profiles, averages, and other statistics were calculated considering each period individually.

In Fig. 2, it can be observed that during period 1, there was a higher occurrence of fires in south and southeast Amazonia, especially in the states of Acre and Rondônia in Brazil and Bolivia. In contrast, in period 2, there was a significant decrease in fires in the before-mentioned region, while the east of the Amazon basin, in Pará and Maranhão, remained to have a high number of fire outbreaks. A video that shows on the map the trajectories and the active fire spots during each back-trajectory period is available in the ESI.†

The relationship between the fire occurrences and the trajectories that reached the experimental site was also investigated. We accounted for the number of fire spots that crossed each back-trajectory for period 1 and period 2, as shown in Fig. S15.† Overall, in period 1, the trajectories passed over many more active fires than in period 2, supporting the hypothesis that biomass burning is the predominant source of particulate material at this time of year. Moreover, in period 1, there is a significant increase in the number of close fires from  $\sim 28 \text{ h}$  before reaching the experimental site. In contrast, in period 2, the trajectories directly crossed fewer fire spots in the vicinity and only in the last 6 hours on their way to the site.

**2.3.4. Multilinear regression model (MLR): linking optical properties to aerosol components.** A multivariable linear regression model (MLR) was used to estimate the mass absorption and mass scattering efficiencies (MAE and MSE, respectively). The measured scattering and absorption coefficients ( $\sigma_{\text{scat}}$  and  $\sigma_{\text{abs}}$  in  $\text{Mm}^{-1}$ ) were the dependent variables (response) and the chemical components of  $\text{PM}_{10}$  the independent (predictor) ones. Therefore, the regression coefficients of the model correspond to the MSEs and MAEs (in  $\text{m}^2 \text{ g}^{-1}$ ) of each chemical component.

Typically, multilinear regressions assume externally mixed aerosols.<sup>48</sup> However, the method can be applied assuming internally mixed aerosols as well.<sup>49</sup> Malm and Kreidenweis<sup>50</sup> discussed the influence of the mixing state of aerosols in the modeling of light scattering mentioning that the differences between the external and internal assumptions were generally less than 10%.

With the advent of aerosol mass spectrometry, several studies have taken advantage of this powerful instrumentation to integrate the physical properties and chemical composition of aerosols with a high temporal resolution despite the lack of information on the aerosol's mixing state.<sup>51,52</sup> Using the PMF factors to retrieve the mass efficiencies for scattering and/or absorption of aerosols also has the advantage of considering



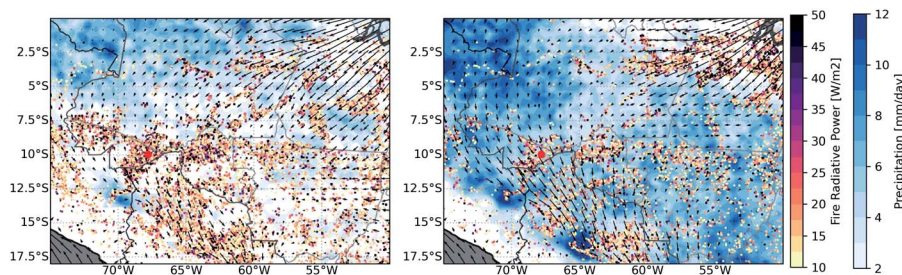


Fig. 2 Fire spots and their fire radiative power (FRP, dots in the yellow to purple color scale), average daily precipitation (in mm per day, blue color), and average wind fields at 950 mbar ( $\sim 500$  m altitude, vectors) for (left) period 1 and (right) period 2. The large red dot is the location of the experimental site.

chemical classes and not just singular compounds, taking into account emission loadings and atmospheric dynamics such as dispersion and processing.<sup>53–55</sup> This approach reduces the collinearity between species, which coupled to the high time-resolution provides a large number of samples (when compared to more traditional filter-based techniques) making the regression coefficients more robust. Nevertheless, care must be taken as the multilinear regressions can be very sensitive to the choice of species included in the analysis.<sup>49</sup>

Only OAs and BC were considered relevant species for multilinear regression models since inorganic components had little contribution to  $PM_{10}$  (total relative mass contribution  $<10\%$ ) and attempts to include them in the model resulted in unrealistic mass efficiencies and statistically non-significant regression coefficients for both scattering and absorption.

Assuming that the scattering/absorption coefficients are a linear combination of aerosol components, we estimated the contribution of each PMF factor + BC for a single wavelength as:

$$\sigma_{(\text{scat/abs}, \lambda \text{ nm})} = aPMF_{\text{Factor1}} + bPMF_{\text{Factor2}} + cPMF_{\text{Factor3}} + dPMF_{\text{Factor4}} + eBC + \epsilon \quad (9)$$

where  $a$ ,  $b$ ,  $c$ ,  $d$ , and  $e$  represent the mass scattering/absorption efficiency of each component, whilst epsilon is the residual or intercept. The intercept accounts for chemical components that were not included in the model such as refractory inorganic particles and soil dust. The fitting was performed using the fitlm function of Matlab 2015.

The relative contribution of each chemical component was then estimated from the linear regression coefficients multiplied by their respective mass concentration to reconstruct the absorption and scattering coefficients.

## 3. Results and discussion

### 3.1. Aerosol composition

The total aerosol particle number and  $PM_{10}$  mass concentrations were, on average,  $5000 \pm 3000 \text{ cm}^{-3}$  and  $12.4 \pm 10.1 \mu\text{g m}^{-3}$ , respectively. Organic compounds dominated the composition of  $PM_{10}$  aerosol with an average of  $9.15 \mu\text{g m}^{-3}$ , which corresponds to 76% of  $PM_{10}$ . Inorganic species sulfate, nitrate, ammonium, and chloride were observed at average concentrations of  $0.25 \mu\text{g m}^{-3}$ ,  $0.43 \mu\text{g m}^{-3}$ ,  $0.27 \mu\text{g m}^{-3}$ , and  $0.06 \mu\text{g m}^{-3}$ .

Altogether, inorganic species represented less than 10% of  $PM_{10}$ , in agreement with previous studies on fine mode chemical composition in the Amazonian dry season.<sup>11,14</sup>

BC showed an average concentration of  $2.2 \mu\text{g m}^{-3}$ , accounting for 15% of  $PM_{10}$  mass. Measurements in the dry season of 1999 in a pasture area in the southwestern Amazon reported a BC average of  $3.6 \mu\text{g m}^{-3}$  and a total fine mode concentration (total  $PM_{2.5}$ ) of  $66.9 \mu\text{g m}^{-3}$ ,<sup>15</sup> which is almost 6 times higher than that in Rio Branco. In contrast, Brito *et al.* (2014)<sup>13</sup> reported particle number concentrations around  $5700 \text{ cm}^{-3}$ , average  $PM_{10}$  mass concentrations of  $13.7 \mu\text{g m}^{-3}$  and BC of  $1.30 \mu\text{g m}^{-3}$  at Porto Velho, Southwestern Amazonia, in the dry season,<sup>13</sup> which are in the same range of aerosol concentrations found in our study.

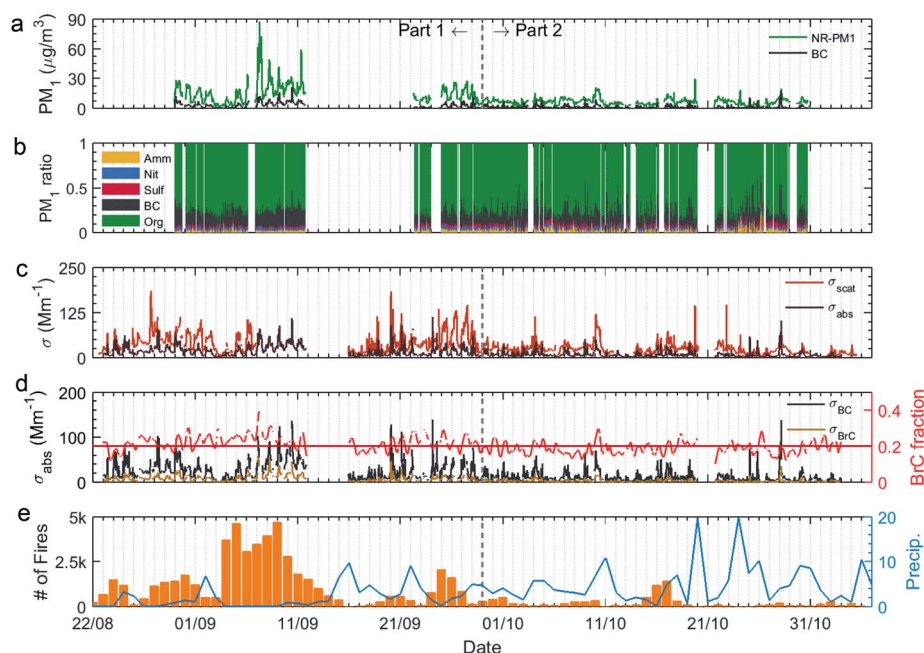
From the time series for  $PM_{10}$  component mass concentration and its relative mass fractions (Fig. 3a and b), we note that the relative aerosol composition remains almost unchanged throughout the campaign. However, the absolute concentration significantly decreased at the end of September which corresponds to the period in which fire outbreaks decline and there is a concomitant increase in precipitation in the vicinity of Rio Branco (Fig. 3f).

There was also a change in the daily pattern of the  $PM_{10}$  components (Fig. S11†), suggesting two different regimes: before and after September 29th, 2018, with a considerable decrease in the absolute mass concentration of  $PM_{10}$ . Also remarkable is the increase in aerosol concentrations in the week from September 7th to 12th when organics reached concentrations of  $79.0 \mu\text{g m}^{-3}$  and BC of  $21.2 \mu\text{g m}^{-3}$ . During this period, the daily averages of total  $PM_{10}$  mass concentration exceeded the World Health Organization (WHO) air quality standards of  $25 \mu\text{g m}^{-3}$  for  $PM_{2.5}$ .

Aerosol optical properties such as scattering and absorption coefficients had average values of  $32 \pm 24$  and  $10 \pm 10 \text{ Mm}^{-1}$  at 637 nm, respectively (Fig. 3c). It is also observed from the optical property time series that they followed the same trend of  $PM_{10}$  concentration, substantially decreasing their absolute values after September 29th. The contribution of BrC to the total absorption coefficient at 470 nm was estimated, as described in the methodology (Sec. 2.3). In general, the ratio of BrC to total absorption ( $\sigma_{\text{abs BrC}}/\sigma_{\text{abs}}$ ) ranged between 16 and 25% (considering the interquartile range (IQR)). Similarly, although applying a slightly different approach, Saturno *et al.* (2018)<sup>32</sup>





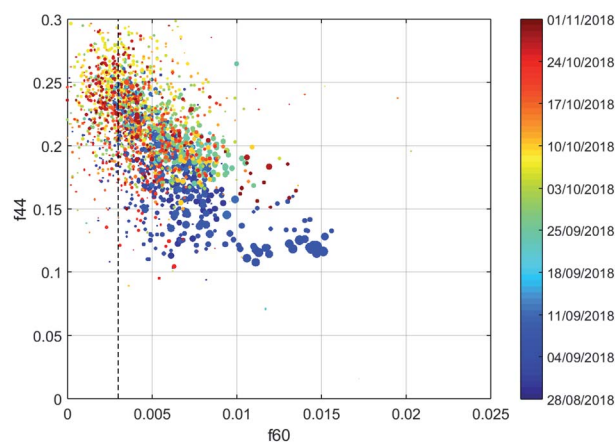


**Fig. 3** Time series for aerosol mass, BC, optical properties, and number of fire spots. (a) NR-PM1 (Org, Sulfate, Nitrate, and Ammonium) and BC chemical composition mass concentration in  $\mu\text{g m}^{-3}$  and (b) PM<sub>1</sub> relative mass fractions, (c) scattering and absorption coefficients at 637 nm in  $\text{Mm}^{-1}$ , and (d) black and brown carbon absorption coefficients at 470 nm (left axis) in  $\text{Mm}^{-1}$  and BrC fraction to total absorption. 6 h moving average was applied on the BrC fraction time-series, and the straight line is the BrC fraction mean-value and (e) the number of fire spots and daily precipitation (mm per day) in the region surrounding Rio Branco. Both the number of fire spots and precipitation were calculated within the area of  $-11$  to  $-8$  N,  $-70.5$  to  $-63$  W, which encompasses the municipality of Rio Branco.

obtained a BrC contribution at 370 nm of 17–29% (IQR) to the total absorption coefficient at a pristine site in Central Amazonia which is equivalent to the lower contributions of BrC at 470 nm wavelength. The BrC fraction calculated from the absorption coefficient measured with the AE33 is also in agreement with the BrC fraction retrieved from the sunphotometer from NASA's Aerosol Robotic Network (Aeronet) (shown in Fig. S18 in the ESI†). The BrC fraction for the historical series from 2000 to 2017 has a median of 18%, oscillating between quartiles (5<sup>th</sup> and 95<sup>th</sup>) of 0 and 40%. It is important to mention that the Aeronet BrC calculations considered the months from July to October, which consists of the dry season and the beginning of the transition to the wet season and therefore includes meteorologic conditions similar to those of the campaign reported herein. Our values are also comparable to those of Wang *et al.* (2016),<sup>41</sup> which were reported for BrC contributions to light absorption retrieved from Aeronet for South America.

The influence of biomass burning emissions can be seen in Fig. 4, which shows the organic fragment ratios f44 vs. f60. The f44 is correlated with the O : C ratio and therefore represents oxygenated organic compounds (considering  $\text{CO}_2^+$  at the  $m/z$  44 fragment as a proxy), while f60 consists of fragments of levoglucosan and is considered a BB tracer. This representation establishes an f60 threshold of 0.003 (vertical dashed line) for the influence of fresh biomass burning emissions.<sup>56</sup> The relative concentration of biomass tracers (f60) increased with the aerosol loading. Between August and September (blue and

green points), f60 exhibited higher values, while in October (orange to red points), it gradually decreased, ranging close to the limit of 0.3%. Conversely, f44 showed an opposite behavior enriching its relative contribution and indicating that the aerosol became more oxygenated from the dry season towards the wet transition. It is worth noting that f44 presented relatively high values ( $\geq 0.1$  for the entire study) compared, for instance, to other studies in Amazonia, such as in Porto Velho,



**Fig. 4** Mass fractions f44 vs. f60 in the mass spectra of ambient OAs colored by time and dot size proportional to PM<sub>1</sub> mass loading. The dashed line represents a considered threshold for negligible influence by biomass burning (f60 = 0.003).



where  $f_{44}$  was  $>0.05$ ,<sup>12</sup> and in Manaus city vicinities, where  $f_{44}$  was in the range of 0.05–0.25.<sup>54</sup>

### 3.2. Organic aerosol source apportionment (PMF)

To evaluate the sources of  $PM_{10}$ , the PMF approach analyzed the OA spectra. Details of the PMF analysis are presented in the ESI.† The four-factor solution was chosen as it satisfactorily represented the typical aerosol sources in this region, considering the correlations with external factors (inorganic components of  $PM_{10}$ ) and minimizing the total sum of squares of the scaled residuals. The factor mass spectra profiles (MS) and the time series of the factor loadings are shown in Fig. 5.

The first factor identified was a biomass burning OA (BBOA) that represents relatively fresh biomass burning aerosols. It is characterized by the presence of  $m/z$  60 ( $C_2H_4O_2^+$ ) and 73 ( $C_3H_5O_2^+$ ), arising from levoglucosan and other sugars produced by the pyrolysis of cellulose, recognized as tracers of biomass burning emissions. Interestingly, there is some contribution from  $m/z$  44 ( $CO_2^+$ ) to this factor, suggesting the presence of oxygenated compounds.

The second factor identified is a hydrocarbon-like OA (HOA) that has a characteristic profile of low oxidation hydrocarbon emissions, indicated by the presence of fragments like  $m/z$  41 ( $C_3H_5^+$ ), 43 ( $C_3H_7^+$ ), 55 ( $C_4H_7^+$ ), 57 ( $C_4H_9^+$ ), and 91. The double peaks separated by 14 amu are characteristic of the fragmentation of hydrocarbon molecules.<sup>57</sup> We attribute this factor to anthropogenic-related sources since the site is within an urban

environment, and emissions from fuels (vehicles, diesel generators, etc.) are expected.

In addition, two oxygenated organic aerosol (OOA) factors were identified. OOA-1 represents a regional source of oxygenated organic aerosols and is dominated by  $m/z$  44 ( $CO_2^+$ ) and  $m/z$  18 ( $H_2O^+$ ). Almost no signals were assigned to peaks above  $m/z$  44. This factor shows the characteristic pattern expected for a low-volatile OOA (LVOOA) factor. Observing the time series of the OOA-1 factor loading, we can note that its variations are smooth in time, indicating effects from regional sources and processes.<sup>39</sup> The OOA-1 factor is the dominant component and accounts for 40–50% of the OA fraction.

The last factor obtained was OOA-2. It presents prominent signals at  $m/z$  44 ( $CO_2^+$ ),  $m/z$  18 ( $H_2O^+$ ),  $m/z$  29 ( $COH^+$  or  $C_2H_5^+$ ) and 43 ( $C_2H_3O^+$  and/or  $C_3H_7^+$ ). In terms of  $f_{44}$ , this factor presents a lower value (19%) compared to OOA-1 (32%), suggesting that it is less oxygenated than OOA-1. This fact is also confirmed by the larger ratio between  $m/z$  44 and 43 obtained for OOA-1, and the larger content of a less oxygenated fragment,  $m/z$  29. Despite the similarities between OOA-2 and OOA-1 in terms of mass spectra, their time series do not present good agreement ( $R^2 = 0.33$ ). In fact, their diel profiles present very different behaviors in P1 and P2 (Fig. SI10†). The OOA-2 presents larger mass concentration values during the nighttime over P1, while over P2 no clear pattern can be observed.

Due to the low concentration of inorganic aerosols in general, including nitrates, instrumental artifacts such as the so-called Pieber effect<sup>58</sup> were ruled out to explain the detection

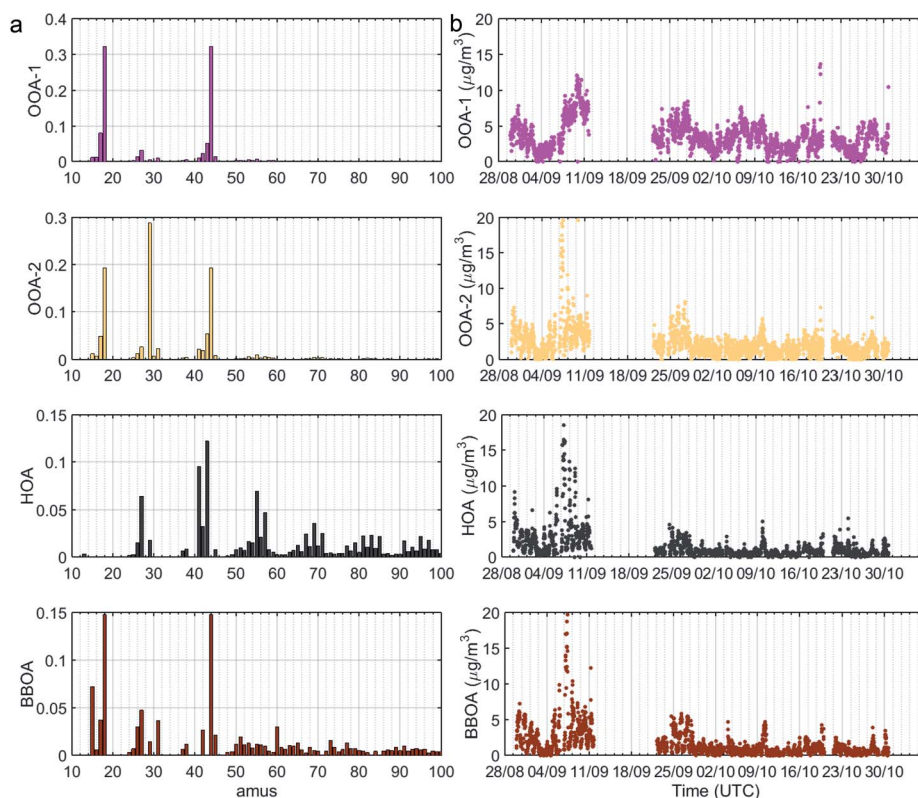


Fig. 5 Results from the PMF analyses of ACSM OA data. (a) PMF factor mass spectra profiles and (b) PMF factor mass loading time series.





of overall high f44. Instead, we consider that local and regional emissions justify the significant presence of processed organic aerosols or even less aged OAs that contain oxygenated species in their composition. In chamber experiments, it has been observed that fresh particles emitted by biomass burning show a contribution of  $m/z$  44 in its MS.<sup>59</sup> Fang *et al.* (2017)<sup>60</sup> showed that primary particles emitted by the burning of agricultural residues contained an average of 23% of oxygenated compounds. In both studies, the proportion of f44 progressively increases as a function of aging time. Accordingly, Timonem *et al.* (2013)<sup>61</sup> found 2 well-defined factors related to BB for a field study in the spring in Helsinki, one of local influence, and the other of long-distance transport, whereas both BBOA factors had a significant contribution of oxygenated compounds.

Concerning the aerosol chemical species related to BB in the Amazon Basin, the fraction of water-soluble organic carbon in PM<sub>2.5</sub> aerosols during the dry season is a complex mixture of oxygenated compounds.<sup>62</sup> Graham *et al.* (2002)<sup>62</sup> identified levels of levoglucosan as high as  $6.9 \mu\text{g m}^{-3}$  and highly oxygenated compounds such as anhydrosugars, hydroxy acids, oxoacids, and polyalcohols in samples in the Amazon. Likewise, Claeys *et al.* (2002)<sup>63</sup> characterized HULIS components from BB aerosol samples collected in Southwestern Amazonia identifying nitrocathechols as dominant components and also some minor components such as hydroxy dicarboxylic acids (such as terebic acid, terpenylic acid, *etc.*).<sup>63</sup> Considering the electron-impact ionization used in the ACSM technique, oxygenated biomass burning compounds are prone to fragment generating  $\text{CO}_2^+$  ions at  $m/z$  44.

Interestingly, Graham *et al.* (2002)<sup>62</sup> observed an increase in the relative fraction of di/triacids and oxo-/hydroxy acids simultaneously to a decrease in anhydrosugars from the peak of the burning season towards the wet season. These findings are comparable to our PMF results, where we did observe significant contributions of oxygenated compounds (notably  $\text{CO}_2^+$  ions), besides the gradual rise of the OOA relative fraction concomitant to BBOA reduction.

Possibly, part of the fresh BBOA was accounted for in the HOA factor, while the BBOA factor itself might be attributed to an aged fraction of BB aerosols, as was previously demonstrated by DeCarlo *et al.* (2010).<sup>64</sup> They point out that the partition of primary-BBOA *vs.* secondary-BBOA is difficult. However, using the relationship between HOA and  $\Delta\text{CO}$ , they estimated that 51% of the PMF HOA factor was attributable to urban sources and 49% to BB sources.<sup>64</sup> The case reported is consistent with the observations in Rio Branco, mainly at the beginning of the campaign when there was a large influence of BB emissions on the total aerosol load.

### 3.3. Changes in aerosol chemical and optical properties associated with fire occurrence

The substantial difference in the number of fire spots in the vicinity of the site accompanied by the increase in precipitation (shown in Fig. 2 and SI5<sup>†</sup>) strongly influenced the aerosol load in the atmosphere and provided some insights that are

consistent with the diurnal profiles (see Fig. SI10<sup>†</sup>). During period 1, the Rio Branco site was impacted by closer fires, whose emissions stayed trapped within the boundary layer, while in period 2, there may be a greater influence of regional emissions, arriving at different times in Rio Branco, when prominent diel cycles were less evident.

There were major reductions in all absolute aerosol mass concentrations from period 1 to period 2, with total PM<sub>1</sub> declining from 18.8 to  $8.31 \mu\text{g m}^{-3}$ , representing more than 2-fold (see Table SI2<sup>†</sup>). In a field experiment in Porto Velho, a substantial decrease in the aerosol concentrations during the transition from the dry to the wet season in 2012 was also observed,<sup>13</sup> with a decrease in the OA concentration from 15.0 to  $4.5 \mu\text{g m}^{-3}$ , whereas, in Rio Branco, the mean concentration ranged from 13.8 to  $6.15 \mu\text{g m}^{-3}$ . The decrease in the absolute concentration of OA is accompanied by a change in the chemical characteristics of PMF components. As evidenced in Fig. 6a, between period 1 and period 2, the OOA contribution increased from 64% to 76% (if we consider the sum of OOA-1 and OOA-2). Meanwhile, the relative contribution of less processed oxygenated aerosols decreased, namely, BBOA, which went from 19% to 12%.

Changes in chemical composition directly influenced the aerosol's intrinsic optical properties, as shown in Fig. 6b. The visualization of AAE *vs.* SAE space provides an overview of aerosol optical properties as an aerosol classification scheme<sup>21</sup> and, in addition, data were colored according to the values of the single scattering albedo (SSA).

The AAE, which represents the aerosol absorption wavelength dependence, was almost always higher than 1. Median values were, respectively, 1.81 and 1.58 for period 1 and period 2. Higher values of the AAE imply stronger absorption at shorter (UV-vis) wavelengths, suggesting a stronger influence of non-BC light-absorbing particles. Meanwhile the SAE ranged from close

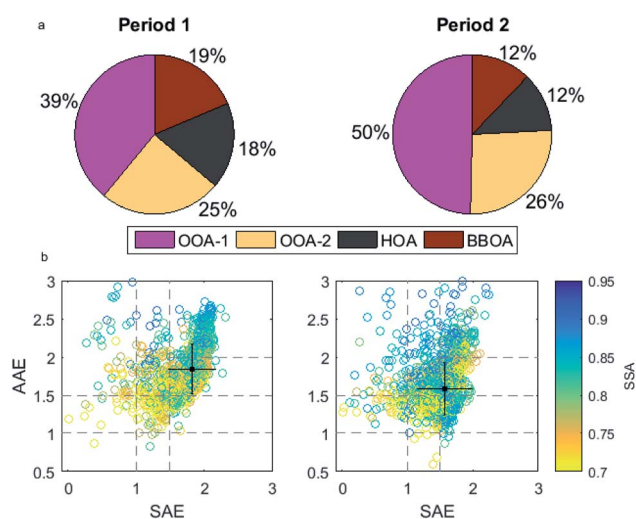


Fig. 6 (a) The pizza plots depict the mean mass fraction of PMF factors for period 1 and period 2 time-series. (b) The Ångström matrix obtained in Rio Branco: Absorption Ångström Exponent (AAE) *vs.* Scattering Ångström Exponent (SAE) space, as proposed by Cazorla *et al.* (2013),<sup>21</sup> colored according to single scattering albedo (SSA) values.



to 0 up to 2.31, and medians were 1.79 and 1.57. As a comparison base, prior studies in the Central and Southwestern Amazon have reported similar values of  $1.70 \pm 0.4$  for the dry season.<sup>15,65</sup> The complete time-series of AAE and SAE are available in ESI Fig. SI11.†

In general, our measurements are in the  $AAE > 1.5$  and  $SAE > 1.5$  region, which features small, highly absorbent particles. AAE values above 1.5 can be identified as BrC contributions to submicron particle absorption,<sup>66</sup> whilst our median values fall in the area considered as “mixed BC/BrC”<sup>21</sup> (see Fig. 6b). However, a significant part of the measurements here is in the region of  $AAE > 2$  and  $SAE > 1.5$ , described as aerosols strongly influenced by BrC,<sup>21</sup> which for period 1, corresponds to 23% of observations and 9.7% for period 2.

At the ATTO site in Central Amazon, closer fires are more likely to bring aerosol particles rich in BrC.<sup>32</sup> Similar behavior was observed in Rio Branco: peaks with a high BrC fraction were more frequent in period 1, strongly characterized by BB than in period 2. Comparing the number of measurements that had BrC fraction  $> 0.29$  (the 95<sup>th</sup> percentile for the whole campaign), in period 1, it occurred in 7.8%, while in period 2, it was only 3.6% of observations. The smaller contribution of BrC associated with the increase in aerosol oxidation in period 2 suggests bleaching of the BrC components as the aerosol is processed by atmospheric oxidation,<sup>67,68</sup> compatible with BB transported from regions much further away (ESI Fig. SI5 and SI7).† This result agrees with the increase in the f44/f60 ratio shown in Fig. 4 and with the PMF results (Fig. 6) which indicates the contribution of OOA-1 in period 1, against 50% in period 2.

Table 1 summarizes the results for the aerosol optical properties in Rio Branco, including the intrinsic properties AAE, SAE, and SSA, and extrinsic properties, absorption, and scattering coefficients at 637 nm (the coefficients for the other wavelengths are presented in Table SI3 in the ESI).† Descriptive statistics for periods 1 and 2 were calculated separately. The absorption coefficients decreased by more than 60% at all the wavelengths, as shown in Table 1. The absorption coefficient at 637 nm, for example, went from 12 to 5  $Mm^{-1}$  (median values), considerably higher than the values reported for forest sites in the Amazon, where absorption is typically below 4  $Mm^{-1}$ , even in the dry season.<sup>32,65</sup>

Nevertheless, the observed range of absorption coefficients in Rio Branco is similar to previously reported values for the dry season and transition to the wet season at pasture sites in Rondônia.<sup>34,69</sup> The scattering coefficients decreased by more

than 40% from period 1 to period 2. At 550 nm, Chand *et al.* (2006)<sup>69</sup> observed scattering coefficients as high as  $1000 Mm^{-1}$ , but they were associated with also much higher PM concentration of 100 to 200  $\mu g m^{-3}$ , ranges that were never achieved in Rio Branco in 2018.

Surprisingly, the SSA had only a slight variation throughout the campaign, going from 0.76 to 0.81, or only a 6% variation, despite the larger differences between period 1 and period 2 in the AAE and SAE and in particle concentrations. Similar to a primary forest site in Amazonia, where a very weak seasonal variation in the SSA was observed between the wet and the dry season, being  $0.87 \pm 0.06$  and  $0.86 \pm 0.09$ , respectively.<sup>65</sup> The authors point out that this trend can be explained by the fact that both the scattering and the absorption increased/decreased at similar rates, especially in aerosols coming from BB that contain particles from a wide range of scattering and absorption coefficients depending on the plume age and fire type (smoldering or flaming). Nevertheless, the small increase in the single-scattering albedo at the end of the campaign suggests that these particles scatter more radiation, not entirely due to the presence of efficient light scattering species but also because of the decrease of absorbing species.

It is important to note that the SSA in our study was on average  $0.77 \pm 0.08$ , notably lower than that reported for pristine forested sites near Manaus<sup>65</sup> and ATTO sites in Central Amazonia,<sup>32</sup> but also lower than that reported in Porto Velho, which in the dry/transition season was around 0.90.<sup>2</sup> A lower SSA is consistent with the more substantial absorption characteristic of the aerosols found in this study discussed in the following sections.

#### 3.4. Average mass scattering efficiency (MSE) and mass absorption efficiency (MAE) estimation for multiple wavelengths

The MSE and MAE were, first, estimated as the linear regression slope between the respective optical properties and the mass of  $PM_{10}$ . Scattering and absorption coefficients were linearly related to aerosol mass concentration at all wavelengths measured with a good coefficient of determination, as shown in the ESI for scattering (Fig. SI12†) and absorption (Fig. SI13).† All mass efficiencies and regression coefficients are summarized in Table 2. The mass efficiencies calculated from this method represent the average conditions of an aerosol population that varies due

Table 1 Summary of aerosol optical properties and descriptive statistics for periods 1 and 2 in Rio Branco

|                                      | Period 1        |      |        |           | Period 2        |      |        |           |
|--------------------------------------|-----------------|------|--------|-----------|-----------------|------|--------|-----------|
|                                      | Mean $\pm$ std  | Max  | Median | IQR       | Mean $\pm$ std  | Max  | Median | IQR       |
| AAE                                  | $1.83 \pm 0.33$ | 3.58 | 1.81   | 1.62–2.02 | $1.65 \pm 0.35$ | 3.65 | 1.58   | 1.41–1.82 |
| SAE                                  | $1.67 \pm 0.37$ | 2.31 | 1.79   | 1.47–1.93 | $1.46 \pm 0.42$ | 2.25 | 1.57   | 1.35–1.7  |
| SSA (637 nm)                         | $0.76 \pm 0.07$ | 0.91 | 0.76   | 0.71–0.81 | $0.79 \pm 0.08$ | 0.91 | 0.81   | 0.74–0.85 |
| $\sigma_{abs}$ ( $Mm^{-1}$ ) 637 nm  | $15 \pm 12$     | 112  | 12     | 6–21      | $7 \pm 8$       | 101  | 5      | 2–8       |
| $f_{BrC}$ (470 nm)                   | $0.22 \pm 0.05$ | 0.42 | 0.22   | 0.19–0.25 | $0.19 \pm 0.05$ | 0.37 | 0.18   | 0.15–0.22 |
| $\sigma_{Scat}$ ( $Mm^{-1}$ ) 637 nm | $43 \pm 28$     | 185  | 36     | 20–59     | $23 \pm 15$     | 146  | 21     | 13–28     |



**Table 2** Values of mass scattering and absorption efficiencies, with mean  $\pm$  standard error (SE), and the coefficient of determination  $R^2$  (all were statistically significant within  $p = 0.05$ ). The wavelengths for the MSE are those of the nephelometer, and for the MAE are those of the aethalometer

|        | MSE $\pm$ SE ( $\text{m}^2 \text{g}^{-1}$ ) | $R^2$ | MAE $\pm$ SE ( $\text{m}^2 \text{g}^{-1}$ ) | $R^2$ |
|--------|---|-------|---|-------|
| 370 nm | —   | —     | $4.85 \pm 0.03$                             | 0.94  |
| 450 nm | $6.85 \pm 0.07$                             | 0.84  | —   | —     |
| 470 nm | —   | —     | $2.51 \pm 0.02$                             | 0.89  |
| 520 nm | —   | —     | $1.82 \pm 0.02$                             | 0.84  |
| 532 nm | $4.90 \pm 0.05$                             | 0.85  | —   | —     |
| 637 nm | $3.36 \pm 0.03$                             | 0.85  | $1.17 \pm 0.01$                             | 0.78  |
| 880 nm | —   | —     | $0.67 \pm 0.01$                             | 0.71  |

to changes in relative humidity, size distribution, and composition during the sampling.<sup>49</sup>

Both the MSE and MAE are wavelength-dependent. MSE values were higher than those reported by Reid *et al.* (2005) for smoke particles from individual fires and for regional hazes dominated by smoke in Brazil<sup>70,71</sup> that ranged between 4.4 and 5.5 at 450 nm. McMeeking *et al.* (2005)<sup>72</sup> noted, however, that the MSE increased during periods dominated by biomass smoke in Yosemite National Park, CA, USA and reported an average MSE (for 530 nm) of  $4.1 \pm 0.7 \text{ m}^2 \text{g}^{-1}$ , which reached values as high as  $6 \text{ m}^2 \text{g}^{-1}$  which are in accordance with findings in Rio Branco. The MSE for PM<sub>1</sub> at 532 nm is comparable with what was reported at other studies in Amazonia during the burning season, such as in ATTO,  $4.9 \text{ m}^2 \text{g}^{-1}$  (ref. 73) and in a pasture site in Rondônia,  $5.0 \text{ m}^2 \text{g}^{-1}$  (at 545 nm).<sup>69</sup>

It is worth noting that for the BB aerosol, both the MAE and MSE depend on the type of burned vegetation, fire conditions (flaming vs. smoldering) and other variables. For instance, larger particles tend to be associated with smoldering combustion, as well as smaller BC concentrations.<sup>71</sup> We do not have information about fire conditions during the experiment, but at the end of the burn process (when the temperature decreases and the fuel is running out) as well as the burning of the wet vegetation tends to produce smoldering conditions. Thus, for this study in Rio Branco we can expect though a mixture of flaming and smoldering fires trending to diminish flaming fires throughout the sampling period.

The estimates of absorption efficiency and its spectral dependence are scarcer than for scattering. Since BC is the most important type of light-absorbing aerosol in the atmosphere, many studies in the literature have focused on its MAE,<sup>42,74</sup> without considering other components that contribute to the absorption, like organic aerosols. More recently, the potential of organic carbon as an absorber of UV and visible light began to be explored and reported in the literature. Our values are considerably higher than those of 1.0 and  $0.7 \text{ m}^2 \text{g}^{-1}$  at 550 nm for flaming and smoldering fire particles reported by Reid *et al.* (2005).<sup>75</sup> But at an urban site in southern Spain where the carbonaceous matter dominated the absorption process, its absorption efficiency was  $1.9 \pm 0.1 \text{ m}^2 \text{g}^{-1}$  at 550 nm.<sup>76</sup>

### 3.5. The link between optical properties and chemical composition

To better understand how the optical properties of aerosols relate to their chemical composition, a multivariate regression model (MLR) was applied using OAs in its subcomponents according to the PMF factors and black carbon as predictor variables and scattering and absorption coefficients at multiple wavelengths as the outcome (eqn (9)). The results for the multivariate correlation presented here were statistically significant for all the predictor variables ( $p$ -value  $< 0.001$ ), which are shown in the ESI.<sup>†</sup>

**3.5.1. Scattering coefficient modeling.** The mass scattering efficiency at each of the three wavelengths was estimated considering all four PMF factors and the BC mass concentrations as predictors, according to eqn (9). The HOA factor presented non-significant regression coefficients ( $p$ -values  $> 0.05$ ), suggesting that this component is responsible for very little or no scattering, and was, therefore, withdrawn from the model. Thus, the scattering coefficients for the three wavelengths were obtained as a linear combination of OOA-1, OOA-2, BBOA, and BC. The results of the multivariate linear regression are shown in Fig. 7. The reconstructed scattering coefficient at 532 nm from the MLR model is shown in the ESI (Fig. SI14a).<sup>†</sup> The model fits very well with the observed data at all wavelengths showing a relatively high coefficient of determination ( $R^2 = 0.8$ ).

The MSE of all components decreased as a function of wavelength (Fig. 7a), in agreement with the behavior expected for scattering in the Mie regime. BBOA had the highest MSE,  $9.15 \pm 0.43 \text{ m}^2 \text{g}^{-1}$  at 532 nm (Table 3). The high scattering efficiency for the BBOA component corroborates the results of other authors who associated the biomass burning aerosol with high scattering efficiencies (Hand and Malm (2007)<sup>49</sup> and references therein, Malm *et al.* (2005)<sup>77</sup>).

Bond and Bergstrom (2006)<sup>42</sup> mention that the mass scattering efficiency of light-absorbing carbon (LAC) particles is highly dependent on the particle size, and therefore these values vary widely. Meanwhile, knowing that the real part of the BC refractive index is around 1.95 at 550 nm, it is expected that its contribution to the total scattering is not negligible, especially in Rio Branco where the BC mass fraction was around 15%. The MSE of BC was  $6.12 \text{ m}^2 \text{g}^{-1}$  at 532 nm, very close to the MSE ( $5.9 \text{ m}^2 \text{g}^{-1}$ ) for EC particles at 150 nm found for a suburban location in Hong Kong.<sup>78</sup>

When taking the mass concentration into account and calculating the contribution of each component to the total scattering coefficient (Fig. 7b), we observe that both OOAs presented an almost constant profile across the spectrum contributing to approx. 55% of scattering, while BC and BBOA contributed to 20–25% and 19–23% of the scattering, respectively. That is, the secondary organic aerosol components (OOAs) are most responsible for the total light scattering.

The relationship between light scattering and the degree of oxidation of particles is complex and not fully understood.<sup>79</sup> Several studies show that secondary organic aerosols scatter light more than primary organic aerosols; for instance, monitoring a wavelength range of 500–570 nm, Smith *et al.* (2020)<sup>80</sup>





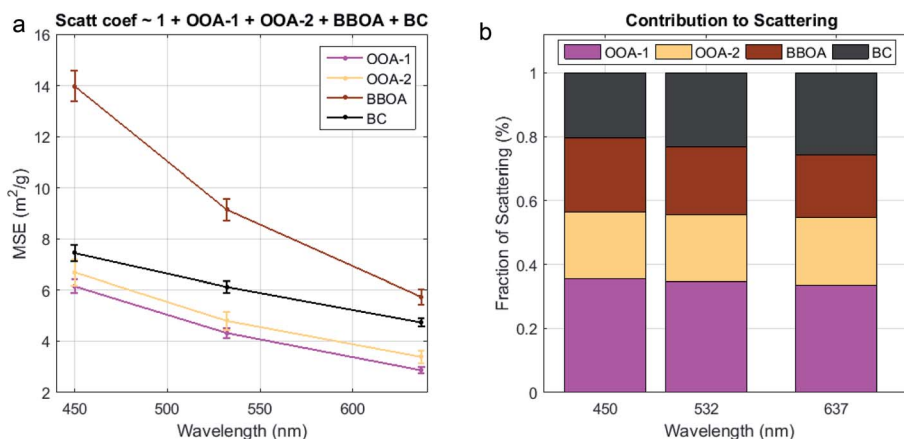


Fig. 7 (a) Mass scattering efficiencies (MSEs) for each component, and MSEs are the coefficients from multivariate linear regression. Error bars correspond to standard error and (b) estimate of the contribution of each component to the scattering coefficient at each wavelength.

Table 3 Mass scattering efficiencies (mean  $\pm$  standard error) in  $\text{m}^2 \text{g}^{-1}$  associated with each component for the three wavelengths

| MSE    | OOA-1           | OOA-2           | BBOA             | BC              |
|--------|-----------------|-----------------|------------------|-----------------|
| 450 nm | $6.15 \pm 0.27$ | $6.69 \pm 0.51$ | $13.97 \pm 0.60$ | $7.44 \pm 0.30$ |
| 532 nm | $4.32 \pm 0.19$ | $4.8 \pm 0.35$  | $9.15 \pm 0.43$  | $6.12 \pm 0.22$ |
| 637 nm | $2.86 \pm 0.13$ | $3.38 \pm 0.24$ | $5.73 \pm 0.29$  | $4.73 \pm 0.16$ |

found that after 12 h of aging, the BB aerosol became highly scattering. Paredes-Miranda *et al.* (2009)<sup>81</sup> estimated that 75% of light scattering was due to secondary aerosols (inorganic and organic) from photochemical production. Nonetheless, Malm *et al.* (2005)<sup>77</sup> investigated the contribution of organics for the total scattering, concluding that OA mass scattering efficiencies were higher during BB episodes and recommended further studies for other smoke events where aerosol aging and fuel types are different. It is important to note that the increase in MSEs and scattering coefficients as a function of aerosol age is also associated with changes in particle size because as the plume ages there is a shift in particle size modes.<sup>82,83</sup> Therefore, measurements on the chemical composition of size-resolved

aerosols would be enlightening to verify in which size modes components contribute most to scattering, and which are the mechanisms responsible for the increase in their scattering efficiencies.

**3.5.2. Absorption coefficient modeling and BrC.** The MAE for each wavelength was estimated according to eqn (9), considering the organic PMF components and BC as independent variables. Fig. 8a and b show the MLR coefficients for each component, and the reconstructed absorption coefficient at 470 nm from the MLR model is shown in the ESI (Fig. SI14b).<sup>†</sup>

The MLR coefficients shown in Fig. 8a correspond to the MAE of the respective aerosol component. The spectral dependence of all organic components is evidenced by the sharp drop in their absorption efficiency between 370 and 520 nm. MAE values and their respective errors are summarized in Table 4. The OA component that presented the highest values of the MAE was BBOA, followed by HOA whose coefficients were  $9.31 \pm 0.35 \text{ m}^2 \text{g}^{-1}$  and  $2.07 \pm 0.29 \text{ m}^2 \text{g}^{-1}$  at 370 nm, respectively. In contrast, the OOA components showed much lower absorption efficiency. In general, our results are consistent with other studies that have estimated mass absorption efficiencies of chemical components.<sup>53,54</sup>

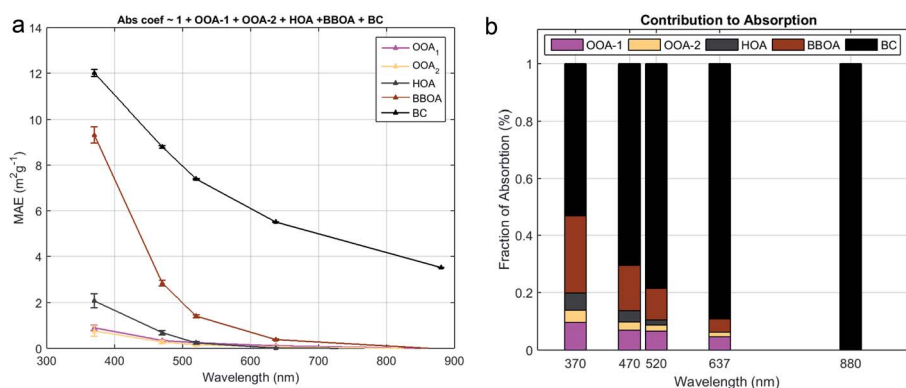


Fig. 8 (a) Mass absorption efficiencies (MAE) for each component (OA factors and BC), and MAEs are the coefficients from multivariate linear regression. The error bars represent the coefficient standard deviation and (b) estimate of the contribution of OA and BC to the absorption coefficient at each wavelength.



**Table 4** Mass absorption efficiency (MAE mean  $\pm$  standard error) in  $\text{m}^2 \text{g}^{-1}$  associated with each PMF factor and BC. The BrC coefficients were calculated considering the absorption attributed to organics (see the text for details)

|        | MAE OOA-1       | MAE OOA-2       | MAE HOA         | MAE BBOA        | MAE BC           | MAE BrC         |
|--------|-----------------|-----------------|-----------------|-----------------|------------------|-----------------|
| 370 nm | 0.90 $\pm$ 0.11 | 0.76 $\pm$ 0.23 | 2.07 $\pm$ 0.29 | 9.32 $\pm$ 0.35 | 12.02 $\pm$ 0.14 | 3.09 $\pm$ 0.11 |
| 470 nm | 0.34 $\pm$ 0.04 | 0.28 $\pm$ 0.08 | 0.68 $\pm$ 0.10 | 2.83 $\pm$ 0.12 | 8.80 $\pm$ 0.05  | 0.97 $\pm$ 0.04 |
| 520 nm | 0.24 $\pm$ 0.02 | 0.15 $\pm$ 0.04 | 0.24 $\pm$ 0.06 | 1.41 $\pm$ 0.07 | 7.39 $\pm$ 0.03  | 0.47 $\pm$ 0.02 |
| 637 nm | 0.11 $\pm$ 0.01 | 0.07 $\pm$ 0.02 | 0.01 $\pm$ 0.02 | 0.38 $\pm$ 0.02 | 5.51 $\pm$ 0.01  | 0.13 $\pm$ 0.00 |
| 880 nm | <sup>a</sup>    | <sup>a</sup>    | <sup>a</sup>    | <sup>a</sup>    | 3.52 $\pm$ 0.002 | <sup>a</sup>    |

<sup>a</sup> Coefficients were not significantly different from zero.

The BC MAE ranged from 12.02  $\text{m}^2 \text{g}^{-1}$  to 3.52  $\text{m}^2 \text{g}^{-1}$  in the 370–880 nm interval. As a comparison, values reported in the literature for BC in ambient aerosols are in the range of 7.5  $\text{m}^2 \text{g}^{-1}$  at 550 nm and 12–13  $\text{m}^2 \text{g}^{-1}$  at 350 nm.<sup>84,85</sup> However, a BC MAE as high as 12.1  $\pm$  4.0  $\text{m}^2 \text{g}^{-1}$  at 550 nm was reported for smoke particles from biomass burning in Brazil.<sup>74</sup>

The reconstructed absorption coefficients calculated by multiplying the mass absorption efficiencies and the mass concentrations corresponding to each component (Fig. SI13b†) showed a high coefficient of determination ( $R^2 > 0.9$ ) with what was measured with the AE33 for all wavelengths. As a consequence of their strong spectral dependence, organics' relative contribution to absorption coefficients is also very variable, starting from  $\sim$ 50% at 370 nm to  $\sim$ 10% at 637 nm and falling to 0 at 880 nm. Conversely, BC absorbs light efficiently across the whole visible spectrum, increasing its contribution from  $\sim$ 50% at 370 nm to 100% at 880 nm. Therefore, the results presented in this section corroborate those in Section 3.3 that the sub-micrometric aerosol in Rio Branco strongly absorbs visible light.

The comparison between the absorption by total organics (sum of all the absorption coefficients for all PMF factors) estimated by MLR and the BrC contribution to light absorption at 470 nm independently retrieved from absorption spectra exhibited a good agreement. The correlation has an angular coefficient of 0.87 and an  $R^2$  of 0.88, indicating that those two independent methods resulted in close estimates of BrC absorption (Fig. SI15 in the ESI).† The difference between the calculations can be explained by the experimental measurements' uncertainty and the errors and assumptions associated with the two models (MLR and the BrC estimation method).

Since the absorption coefficient due to organics ( $\sigma_{\text{Abs-Org}}$  calculated by the MLR method) is similar to the absorption attributed to BrC ( $\sigma_{\text{Abs-BrC}}$ ), we estimated the mass absorption efficiency for BrC as  $\sigma_{\text{Abs-Org}}/m_{\text{Org}}$  for the wavelength range of this study, and results are reported as MAE BrC at Table 4.

The BrC MAEs reported in the literature vary from 0.02 to 2  $\text{m}^2 \text{g}^{-1}$  at mid visible wavelengths and 1–10  $\text{m}^2 \text{g}^{-1}$  at 350 nm.<sup>84</sup> The results for Rio Branco at 520 nm are compared to the value of 0.4  $\text{m}^2 \text{g}^{-1}$  at 532 nm, indicated by Laskin *et al.* (2015)<sup>24</sup> as characteristics in the Amazon Basin. Wang *et al.* (2016)<sup>41</sup> estimated a range of MACs of 0.05–1.5  $\text{m}^2 \text{g}^{-1}$  for OAs at 440 nm and remarked that the upper limit is comparable to the highest MAC of acetone/methanol-soluble OAs found in laboratory experiments.

The absorption of light in the UV-vis range can be explained by absorbing molecules such HULIS,<sup>24</sup> nitroaromatics,<sup>86</sup> poly-oxygenated compounds,<sup>87</sup> and polyaromatic hydrocarbons (PAHs),<sup>88</sup> which are known chromophores and yet chelating agents, complexing with transition metals such as iron.<sup>89</sup> Laboratory-controlled studies of BB identified a variety of oxygenated hydrocarbons<sup>87</sup> with diverse chemical characteristics such as lignin pyrolysis products, lignin-derived products, distillation products, nitroaromatics, and PAHs<sup>90</sup> emphasizing that most BrC chromophores were common in samples from distinct vegetation species and meanwhile their relative contributions to total light absorption differ.

Thus, the formation of chromophore compounds in BB has mechanisms common to all types of fuel/fire and exhibits unique properties that need to be addressed concerning local characteristics. Regarding the overall fine particulate matter absorption, we cannot rule out the contribution of other OA components to the light absorption as many studies have assessed atmospheric chemical processes that resulted in light-absorbing SOAs.<sup>24,79</sup> The presence of BrC, therefore, should not be neglected, and studies in the Amazon basin, especially in the dry season when massive biomass burning occurs, should investigate the characteristics of the regional aerosol addressing its climatic effects.

## 4. Conclusions

We have investigated the chemical composition and the optical characteristics of atmospheric aerosols during the dry-to-wet season (late August to November 2018) at a site in South-western Amazonia. Aerosol loadings were mainly dominated by BB activities common at the time of the year the sampling was performed, especially in the arc of deforestation, in South-western Amazonia.

Our findings agree with previous studies in this region showing that submicron particles are largely produced during the biomass burning period and are dominated by non-refractory organics ( $\sim$ 75% in  $\text{PM}_{10}$  mass), followed by BC ( $\sim$ 15% in  $\text{PM}_{10}$  mass), and less than 10% of inorganic compounds, such as nitrates and sulfates. The total scattering and absorption coefficients at 637 nm presented a mean value of 32  $\pm$  24 and 10  $\pm$  10  $\text{Mm}^{-1}$ . Also noteworthy is the high contribution of BrC to the absorption coefficient, around 27% at 470 nm on average for the campaign.



During the field campaign, we were able to differentiate the end of the dry season and the beginning of the transition, which was marked by a sharp drop in aerosol mass concentrations, scattering and absorption coefficients, and a change in their diurnal profiles. Organic aerosol concentration lowered from  $13.87 \pm 9.70$  to  $6.15 \pm 3.23 \mu\text{g m}^{-3}$ . The effect of both regional and closer fresh fires seems to be predominant in the region, and the dynamics of the boundary layer shapes the diurnal profiles of both organic and inorganic aerosols in the first part of the campaign.

Positive matrix factorization resolved four chemical classes of organic aerosols: two oxygenated OAs (OOA-1 and OOA-2), one hydrocarbon-like OA (HOA), and one biomass burning related OA (BBOA). Throughout the campaign, the OOA-1 factor that is related to regional oxygenated organics increases its mass fraction contribution from 39 to 50%.

Finally, we applied multilinear regression to further investigate the link between optical properties and chemical composition. The modeled scattering and absorption coefficients were described as a linear combination of PMF factors + BC. By comparing the results of the linear regression models for scattering and absorption, we provided an overview of the importance of chemical components for each of these phenomena. The two classes of oxygenated aerosols (OOAs) contribute most to the scattering, while for absorption, the most important components were BBOA and BC. Overall, OAs contributed approximately 50% of absorption at 370 nm, decreasing to less than 10% at 670 nm. The strong light absorption in the UV-vis wavelength range was related to the biomass burning organic aerosol (BBOA) arising from local emissions.

Therefore, the mixing state and the optical properties of carbonaceous aerosols in the regions of massive biomass burning should be better addressed and characterized to allow climate studies to estimate the direct radiative forcing more accurately. BB is a key driver for aerosol changes, and its complex optical properties are a challenge for global models' adequate assessment.

## Conflicts of interest

There are no conflicts to declare.

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