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Lewis acid Sn-Beta catalysts for the cycloaddition of isoprene and methyl acrylate: a greener route to bio-derived monomers†

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Sn-Beta zeolite catalysts were unprecedentedly used for the Diels–Alder cycloaddition reaction between bio-available methyl acrylate and isoprene affording intermediates to bio-terephthalates. The use of the solid Lewis acid Sn-Beta allows for a greener process which is also more feasible for industrial implementation, when compared to the presently used homogeneous and often hazardous catalysts. Incorporating Sn^{IV} in the zeolite beta framework by dealumination followed by solid-state ion-exchange, resulted in an efficient cycloaddition catalyst with a selectivity favoring the *para*-adduct. Detailed characterization combined with computational DFT studies revealed that the tetrahedral framework Sn-sites in Sn-Beta zeolites are responsible for the superior catalytic activity and selectivity, which is maintained even at elevated temperatures.

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Introduction

Plastics are some of the largest outputs of the chemical industry, with polyethylene terephthalate (PET), in particular, having an annual production volume of over 60 million tons.¹ Shifting only 20% of the feedstock from fossil to renewable resources, *i.e.* biomass, for the worldwide production of PET would lead to an absorption of 17.2 Mt of CO₂, which is equivalent to 40 million barrels of oil savings.² Conventionally, PET is produced through polymerization of fossil-derived ethylene glycol (EG) and purified terephthalic acid (PTA). While the EG monomer in commercial “bio”-PET is already produced renewably from the dehydration of bio-ethanol, the PTA used is still majorly produced from the AMOCO oxidation of fossil-derived *para*-xylene, resulting in an only 30% renewable PET product.³ For a 100% renewable PET with a lower carbon footprint, the aromatic PTA monomer also needs to be derived from renewable resources, *e.g.* biomass.⁴ In this context, there have been several routes to renewable PTA, among which Diels–Alder (DA)

cycloaddition of bio-based dienes and dienophiles is a particularly interesting strategy to synthesize PTA precursors. The routes using the DA chemistry go either through *p*-xylene as an intermediate,^{5–8} or through other intermediates to the final PTA.^{3,9–13} The *p*-xylene intermediate necessitates a subsequent 2-step oxidation to introduce the carboxylic groups present in PTA. One of the characteristics of bio-derived dienes/dienophiles is that they contain concomitant oxygen groups, which can be retained through the Diels–Alder transformation and can preclude the oxidation steps to bio-PTA. For example, the *trans,trans*-muconic acid (*t,t*-MA) isomer obtained either from fermentation of sugars,¹⁴ or cross-metathesis of other bio-derived intermediates,¹⁵ can react with ethylene to give a cycloadduct which can be subsequently dehydrogenated to terephthalates. When developing a sustainable process, atom economy is crucial. In this respect, the cycloaddition of isoprene with acrylic acid is a promising approach to PTA, as it provides an excellent atom efficiency. Moreover, both reactants can be derived from biomass; isoprene can be obtained renewably through hydrogenation and decyclization of itaconic acid¹⁶ or from fermentation processes,¹⁷ while acrylic acid can be derived from biomass platform molecules, *e.g.* glycerol¹⁸ and lactic acid,¹⁹ *via* catalytic routes. The main challenge in the DA reaction between isoprene and acrylic acid is preventing isoprene polymerization, while achieving high activity and selectivity towards the *para*-cycloadduct, 4-methylcyclohex-2-ene-1-carboxylic acid.²⁰ Both the *para*- and *meta*-adducts can be dehydrogenated over Pd-based catalysts providing *p*-toluic acid,²¹ which can then be oxidized to terephthalic acid, or its

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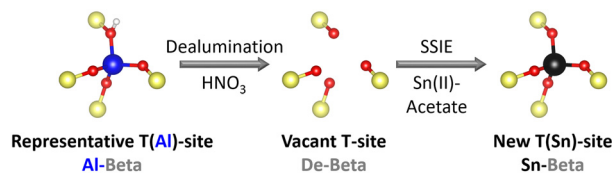


Fig. 2 Scheme of the two step preparation procedure of Sn-Beta zeolites. SSIE = solid state ion exchange.

Characterization of the catalysts

The crystalline phase of the zeolite catalysts was examined by powder X-ray diffraction (XRD) on a diffractometer (X'Pert Pro, PANalytical) scanning from 5° to 80°. Al and Sn content could be followed *via* inductively coupled plasma atomic emission spectroscopy (ICP-AES). For this, zeolite samples were suspended in a mixture of *aqua regia* and hydrofluoric acid and treated with microwave radiation (2 h, 500 W). The specific surface area, as well as pore volume of the zeolites were examined by nitrogen-sorption isotherms. Samples were first preheated at 350 °C for 4 h in vacuum. Afterwards, nitrogen was adsorbed at -196 °C according to the Brunauer–Emmett–Teller (BET) method *via* multipoint measurements using a NOVATouch (Anton Paar). Dealumination, correct incorporation of Sn into the framework of beta, as well as undesired formation of extra-framework SnO₂ was examined by ultraviolet-visible (UV-vis) spectroscopy. For this, the samples were measured with a LAMBDA 650 UV/vis spectrometer (PerkinElmer) between the wavelengths of 180 nm to 800 nm. Spectralon was used as a reference and a scan velocity of 0.5 s nm⁻¹ was applied. For X-ray photoelectron spectra (XPS) the samples were uniformly distributed on a carbon tape and fixed on a molybdenum sample holder and recorded in an ultra-high vacuum chamber (base pressure 10⁻⁸ Pa) equipped with an unmonochromated Al K alpha X-ray source and a Phoibos 150 analyzer (manufacturer SPECS). The angle between the analyzer and the X-ray source was 45°. The electrons originating from the samples were detected along the surface normal of the sample (sample area: 2 mm diameter). The samples were analyzed in the Sn 3d region from 500 to 475 eV and the energy scale calibrated using the adventitious C 1s peak. Pyridine adsorbed Fourier transform infrared (Py-FTIR) spectra were collected on a Bruker VERTEX 70 instrument in 4000–650 cm⁻¹ range, applying attenuated total reflectance (ATR). Prior to the measurements, the powdered samples were treated with a stream of pyridine saturated Ar gas at RT for 45 min, and subsequently treated at 250 °C for 1 h.

Catalytic activity measurements

Diels–Alder reactions. In a Teflon-lined steel autoclave, 100 µl of methyl acrylate and 300 µl of isoprene were added to 10 ml of cyclohexane. Subsequently, 100 mg of catalyst were added and 6 bar of N₂ was applied. The suspension was heated to the desired temperature *via* heating mantle and the

mixture was stirred for 5 h before the reaction was quenched, using an ice bath.

Product analysis. The catalyst was removed by syringe filtration (0.2 µm PTFE membrane) upon finishing the reaction the resulting product solution was analyzed *via* gas chromatography (GC) using a Shimadzu GC-2010 with Rxi-624Sil MS (Restek) column, equipped with a flame ionization detector and gas chromatography-mass spectrometry (GC-MS) using an Shimadzu GC-2010 5973 with an Rxi-1HT (Restek) and a QP2010 Plus MS detector.

Computational details

All structures were optimized using periodic density function theory with the dispersion-corrected PBE-D3 (ref. 36 and 37) functional and a geometric convergence criterion of 10⁻³ eV Å⁻¹. The projector-augmented-wave method, an energy cut-off of 400 eV, and an energy convergence criterion of 10⁻⁸ eV were applied. The Brillouin zone was sampled only at the Γ -point using Gaussian smearing with a width of 0.1 eV. Calculations were carried out with the Vienna *ab initio* simulation package (VASP^{38,39}) in version 5.4.1 and the Atomic Simulation Environment (ASE⁴⁰). Transition states were identified using the Automated Relaxed Potential Energy Surface Scan (ARPESS⁴¹) method and verified by having only one imaginary frequency, which connects educts and products by distortion along the normal mode. For vibrations, only adsorbates and the active center (including the aluminium or tin atom and adjacent oxygen, hydrogen, and silicon atoms) were considered. Free energies were obtained using the harmonic oscillator approximation and for gas phase molecules additionally the rigid rotator and free translator approximation were used. Since the harmonic approximation often leads to inaccurate entropies for low-frequency modes, all obtained frequencies have been raised to 12 cm⁻¹ if they were below this value, as described in detail in earlier work.^{42,43} The lattice constants of beta are $a = 12.700$ Å, $b = 12.700$ Å, and $c = 26.600$ Å as used in previous work.⁴³ The Si:Al and Si:Sn ratios were 63:1.

Results and discussion

Catalysts physicochemical properties

We prepared a range of Sn-Beta catalysts with nominal loadings of 1, 2 and 5 wt% Sn. The influence of Sn incorporation on the structure of the zeolites is summarized in Table 1. N₂ physisorption isotherms can be found in the ESI† (Fig. S1). After the dealumination, ICP-AES showed Al-content of 3.20 wt% in the parent zeolite was reduced to below detection limit in the dealuminated D-Beta. The Sn-content in the Sn-substituted zeolites was found to be at the aimed values of 1, 2 and 5 wt%.

The BET surface area of all materials remain high and close to that of the parent zeolite (629 m² g⁻¹), indicating preservation of the zeolite structure after all the treatments. Small variations in surface area occur depending on the changes to the structure. The removal of Al from the beta



Table 1 Elemental and structure analysis by ICP-AES and nitrogen adsorption

Catalyst	$\omega_{\text{Al or Sn}}^a$ [wt%]	A_{BET} [m ² g ⁻¹]	A_{micro} [m ² g ⁻¹]	V_{micro} [m ³ g ⁻¹]	V_{total} [m ³ g ⁻¹]
Al-Beta	3.20	629	432	0.170	0.705
D-Beta	n.d. ^b	583	387	0.165	0.810
1Sn-Beta	1.01	582	395	0.161	0.557
2Sn-Beta	1.88	599	399	0.163	0.588
5Sn-Beta	4.96	610	427	0.173	0.666

^a Determined via ICP-AES. ^b Below detection limit.

framework resulted in a lower BET and micropore specific surface area (A_{BET} and A_{micro}). The total volume also increased (V_{total}) at the expense of the micropore volume (V_{micro}). These structural changes result from the creation of vacancies in the zeolite T-sites and partial framework deconstruction during the dealumination process.^{44,45} After the SSIE of D-Beta with Sn the surface area as well as micropore volume increase with Sn-loading, indicating the restoration of the zeolite framework by incorporation of Sn into the vacant T-sites.

Collected XRD spectra of the parent Al-Beta and Sn-samples (Fig. 3) revealed that the characteristic beta diffraction pattern could be preserved after all modification steps without damage to the zeolite structure.⁴⁶ A shift of the diffraction peak (302) from $2\theta = 22.5^\circ$ (Al-Beta) to 22.9° (D-Beta) occurs after dealumination, suggesting that there is a contraction of the unit cell following the removal of Al from the framework (see also Fig. S2†). Upon incorporation of Sn^{IV} into the D-Beta, a recovery of the unit cell volume can be observed from the d_{302} spacing, which increases with Sn-content to corresponding 2θ values of 22.8° , 22.7° and 22.6° for 1Sn-Beta, 2Sn-Beta and 5Sn-Beta, respectively. This 2θ shift indicates that Sn indeed occupies and heals the vacant T-sites of Beta zeolite.⁴⁷ Furthermore, no indication of extra-framework SnO₂ formation could be detected by XRD, suggesting that either SnO₂ is not present in the materials, or that any extra-framework Sn domains are too small (<2 nm) to generate detectable diffraction reflexes.

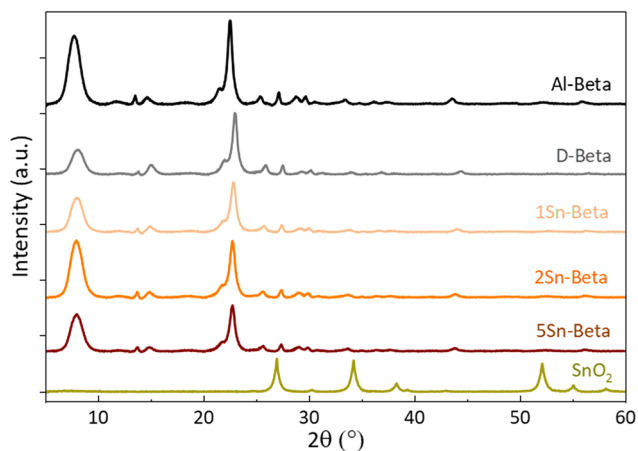


Fig. 3 X-ray diffractograms of parent (Al-Beta), dealuminated (D-Beta) and Sn-substituted (1, 2 and 5Sn-Beta) zeolites.

In order to further investigate the state of Sn in the zeolite structure, diffuse reflectance UV-vis analysis as well as XPS spectra were collected (Fig. 4). The UV-vis spectra of all materials shown in Fig. 4a were corrected with corresponding D-Beta spectra to better identify the absorption signals related to the presence of Sn species (see uncorrected spectra in Fig. S3†).⁴⁴ Bulk SnO₂, exhibits a very broad absorbance around 230–350 nm. For all Sn-substituted zeolites a UV absorbance band around 200 nm can be observed, which increases with Sn-loading. This band is assigned to ligand-to-metal charge transfer ($\text{O}^{2-}\text{-Sn}^{4+}$), corresponding to isolated tetrahedrally coordinated Sn species within the zeolite framework, recognized as the catalytically active Sn species.²⁹ Although, SnO₂ could not be detected by XRD (Fig. 3), UV-vis shows an absorption signal from 250 to 300 nm, which is more pronounced for Sn-Beta zeolites with higher Sn-loading. The signals around the position of 250–300 nm, similar to the absorption maximum of our SnO₂ reference, have previously been ascribed to extra-framework Sn or polymeric Sn–O–Sn type species,^{45,47,48} possibly formed within the zeolite. The incorporation of Sn in the zeolite framework can also be confirmed by XPS spectra shown in Fig. 4b. The exclusively octahedrally coordinated Sn in SnO₂ show binding values of 495.0 eV ($3d_{5/2}$) and 486.5 eV ($3d_{3/2}$).⁴⁹ All Sn-Beta samples show two peaks, with the $3d_{5/2}$ signal at 496.5 eV and the $3d_{3/2}$ signal at 488.0 eV, which increase in intensity with Sn-loading.⁴⁹ The signal shift of Sn^{IV} towards higher binding energies in the Sn-Beta samples as compared to SnO₂ indicates that Sn detected by XPS is in tetrahedral coordination in the zeolite structure.^{47,49} Moreover, CD₃CN adsorbed DRIFTS also show that the Sn incorporated into

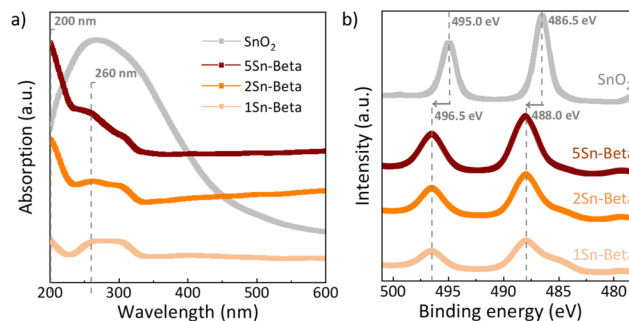


Fig. 4 a) UV-vis of parent Al-Beta and Sn-Betas corrected with the D-Beta as a background reference; b) XPS spectra of Sn-Betas and SnO₂ in Sn 3d region.



similar low yields of cycloadducts (5.7% and 5.8%, respectively), confirming that the Al-free zeolite has no influence on the reaction. The parent Al-Beta already shows a decent catalytic activity (Fig. 6a), which can be attributed to its Brønsted and some Lewis acidity being active in the DA.^{25,66} The cycloaddition activity improves for Sn-Beta zeolites as the Sn-content increases. Yields of cycloadducts increase steadily from 8.20 → 11.8 → 13.2 and *para:meta*-ratios from 3.8 → 4.0 → 4.3 with increasing Sn-loading (Fig. 6a).

As observed from the different characterization techniques above, the LAS density progressively increases with Sn-content in the zeolites. As Lewis acidity is responsible for promoting DA cycloaddition,²⁸ the Sn-substituted beta zeolites show superior activity and selectivity as compared to the parent Al-Beta zeolite.²⁸ The carbon balance is always >90%, with other side products resulting from reactions of the cycloaddition products with isoprene,⁶⁶ and isoprene di-/oligomerization mainly on Al-Beta, owing to its Brønsted acidity (Fig. S5†).⁶⁷ The vacant silanol groups still present in the low-loaded Sn-Beta samples (1 and 2 wt%) may trap methyl acrylate *via* formation of hydrogen bonds to the carbonyl group, accounting for the lower selectivity of these materials.⁶⁸ Indeed the selectivity significantly increases the more Sn is introduced into those vacant Si–OH sites. This suggests that the Lewis Sn-sites are necessary to catalyze the DA cycloaddition in a selective way.

Furthermore, turn over numbers (TON) were also calculated for all metal containing zeolites on a metal basis (Fig. 6b). All Sn-containing catalysts show higher TON activity compared to the standard Al-Beta. 1Sn-Beta in particular scores a TON of 9.72, which is 14 times higher than the one for Al-Beta. An apparent decrease of the TON is present as we go to higher Sn-loadings. We now know that not all Sn introduced during the synthesis goes to the empty T-sites of the zeolite to form the desired framework Sn sites. Some of it, especially when higher loadings are aimed, ends up in

formation of extra-framework SnO₂ during SSIE.⁶⁹ This SnO₂ is not only inactive in the reaction, as we have tested in control experiments, but it may also sit on the zeolite surface, possibly even blocking some of the active sites.⁴⁸ Therefore, the TON which is normalized against the total amount of metal in the zeolites decreases with increasing Sn-loading. Since we have investigated the reaction at a mild temperature of 70 °C, we could use that as an optimization parameter for increasing the reaction productivity. The influence of the reaction temperature on the Diels–Alder reaction was investigated for Al-Beta and 5Sn-Beta and compared to the uncatalyzed reaction (Fig. 7). As expected, conversion and yield increase with temperature for all cases. However, for Al-Beta, the selectivity deteriorates significantly at higher temperatures. This is due to a stronger activation of isoprene at higher temperatures over Brønsted sites,^{26,66,70} which may lead to higher isoprene dimerization/oligomerization and other side products, as identified by GC-MS analysis (Fig. S6†). The formation of side products was hampered when Lewis acid 5Sn-Beta was used, even at 130 °C. The LAS in 5Sn-Beta have the ability to activate methyl acrylate by interaction with the carbonyl oxygen,⁶⁴ which is also evidenced by the low amount of dimerization products of isoprene (Fig. S6†). These results imply that by using the Lewis acid Sn-Beta we can optimize the DA reaction yield by increasing the temperature without compromising selectivity.

Computational DA activity on Sn-Beta

To gain insight into the effect of the catalyst structure on the reactivity and selectivity of the DA reactions, DFT-calculations at the PBE-D3 (ref. 36 and 37) level of theory were performed, for the uncatalyzed gas phase reaction, the reaction at the Brønsted acidic Al-site in Al-Beta and at the Lewis acidic site

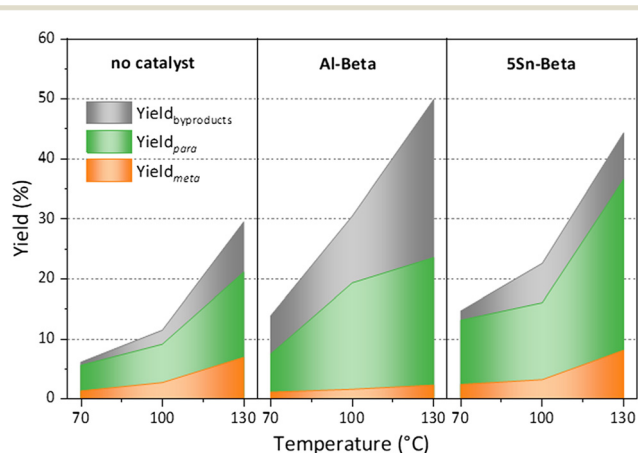


Fig. 7 Temperature dependence of the Diels–Alder reaction, when using no catalyst, Al Beta or 5Sn-Beta. Reaction conditions: n_{isoprene} : $n_{\text{methyl acrylate}} = 3, 5 \text{ h}, 100 \text{ mg cat.}$

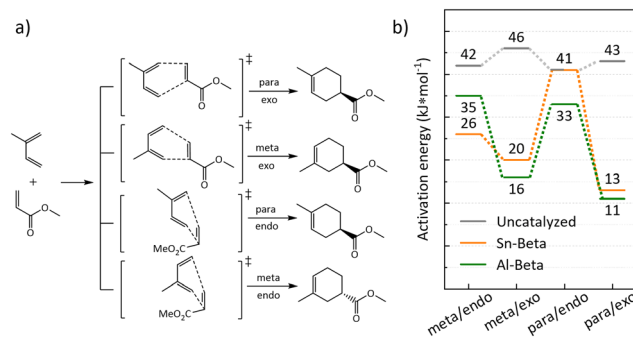


Fig. 8 a) Computed transition states for the Diels–Alder reaction of isoprene and methyl acrylate on Sn-Beta. Calculations were made at a Sn-site in the T8 position of right handed Beta polymorph A. Among the enantiomeric pairs of transition states that were calculated on Sn-Beta, only the ones with lower energy barrier were depicted; b) computed internal activation energies (kJ mol⁻¹) for the Diels–Alder reaction of isoprene and methyl acrylate. Barriers are given relative to the most stable pre-adsorbed complex formed by the two reactants in gas phase and T8 site of right handed Beta polymorph A, respectively. For comparison, only the lowest energy barriers for the four combinations of *endo/exo* and *meta/para* are depicted.



methyl acrylate, and possibly other combinations of dienes and dienophiles derivable from renewable resources. Further catalyst and reaction optimization opens possibilities towards easier process scale up and/or continuous operation, making the process more feasible for industrial application. This work demonstrates the effective application of solid catalysts for important catalytic processes for obtaining aromatic bio-monomers, addressing the high demand for this monomers in the packaging industry.

Conflicts of interest

There are no conflicts to declare.

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