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Thermoresponsive poly(2-oxazoline)s, polypeptoids, and polypeptides

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This review covers the recent advances in the emerging field of thermoresponsive polyamides or polymeric amides, *i.e.*, poly(2-oxazoline)s, polypeptoids, and polypeptides, with a specific focus on structure–thermoresponsive property relationships, self-assembly, and applications.

1. Introduction

Natural systems are governed by adaptive and responsive behavior to survive, which is mostly driven by conformational changes and catalytic actions of proteins. These perfectly defined polyamide structures take on defined folded structures to get very sophisticated response behavior. Inspired by such

systems, polymer scientists have developed a wide range of synthetic responsive polymer materials that respond to a wide range of stimuli (pH, temperature, ionic strength, molecules, *etc.*) with various changes in the polymer materials, including phase transition, color change, and shape transformation.¹

Thermoresponsive synthetic polymers that undergo a temperature induced solubility phase transition in aqueous solutions have received significant interest as mild temperature changes provide an easy way to trigger the solubility.^{2–8} Furthermore, such systems are highly appealing for development of drug delivery systems if the transition temperature is close to body temperature, allowing to prepare formulations that are soluble at room temperature and gel upon injection,

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Richard Hoogenboom

Richard Hoogenboom (1978) studied chemical engineering at the Eindhoven University of Technology (the Netherlands). In 2005, he obtained his Ph.D. under the supervision of Ulrich S. Schubert and continued working as a project leader for the Dutch Polymer Institute. After postdoctoral training with Martin Moeller and Roeland Nolte, he was appointed as associate professor at Ghent University in 2010 and in

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Helmut Schlaad

Helmut Schlaad (1967) studied chemistry at the University of Mainz (Germany) and earned a doctoral degree in Physical Chemistry, under Axel H. E. Müller in 1997. After one year post-doctoral fellowship with Rudolf Faust at the University of Massachusetts in Lowell (USA), he moved to the Max Planck Institute of Colloids and Interfaces in Potsdam (Germany). He finished habilitation in 2004, mentored by

Markus Antonietti, and became professor in 2014 at the University of Potsdam. His research interests are directed towards polymer synthesis, bio-sourced polymers, smart functional materials, and bio-inspired polymer structures. He is currently an associate editor for *Polymer International* (Wiley).



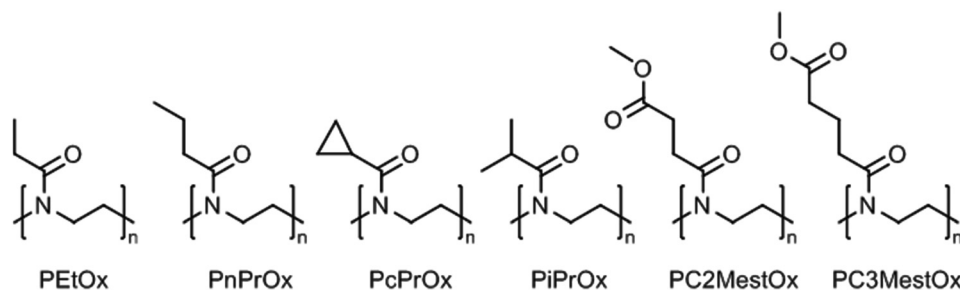


Fig. 2 Thermoresponsive poly(2-oxazoline) homopolymers with the abbreviated names.

A recent report by Grayson described the straightforward synthesis of cyclic poly(2-oxazoline)s,³⁰ and albeit no thermo-responsive properties have been reported yet, it may be anticipated that cyclic poly(2-oxazoline)s will have different thermo-responsivity compared to their linear analogues as has been reported for poly(*N*-isopropylacrylamide) (PNIPAM).³¹ The high local concentration of PETox in amphiphilic block copolymer micelles also leads to a drop in T_{cp} to around 60 °C,³² while PETox-functionalized peptide nanotubes had a T_{cp} of 70 °C and the degree of polymerization (DP) of PETox was only 40.³³ Similarly, a comb-shaped polymer having short PETox with DP of five in the side chain of a polymethacrylate backbone was reported by Schubert to have a LCST of 75 °C based on determination of the complete coexistence curve.³⁴ Furthermore, the effect of both Hofmeister salts and ions has been demonstrated as tool for tuning of the T_{cp} in the entire range from 0 °C to 100 °C by Hoogenboom *et al.*,³⁵ Demirel *et al.*,³⁶ and Schlaad *et al.*³⁷ Even though, it is generally accepted that the temperature induced LCST phase transition of poly(2-oxazoline)s has little to no hysteresis, in contrast to the widely studied PNIPAM,³⁸ Demirel has demonstrated that prolonged annealing of an aqueous solution of PETox above the T_{cp} leads to irreversible crystallization and formation of nanofibers.³⁹

Poly(2-isopropyl-2-oxazoline) (PiPrOx; Fig. 2) was first reported to be thermoresponsive by Uyama and Kobayashi in 1992.⁴⁰ As the LCST of PiPrOx is close to body temperature, being 26 °C to 34 °C depending on polymer molar mass indicative of type 1 Flory–Huggins behavior,⁴¹ this polymer has developed into the most studied and most popular thermoresponsive poly(2-oxazoline) derivative.^{42–44} Similar to PETox, Filippov and coworkers demonstrated for PiPrOx that the T_{cp} decreases for star-shaped polymers compared to linear analogues due to enhanced local polymer concentration and polymer–polymer interactions.⁴⁵ PiPrOx comb-shaped polymers were demonstrated by Jordan to have T_{cp} 's of 28 °C to 31 °C with side chain graft lengths down to DP 4 units indicating that the high local concentration induces the thermo-responsive behavior since linear PiPrOx with DP 17 has been reported to have a T_{cp} of 73 °C.^{42,46} Furthermore, the T_{cp} of PiPrOx has been demonstrated to strongly depend on the end-group, especially for shorter polymer chains where the effect of the end-group is more dominant. Winnik *et al.* reported

that the T_{cp} of PiPrOx with DP 57 decreased from 48.1 °C with methyl and hydroxyl end-groups to 32.5 °C with *n*-octadecyl and hydroxyl end-groups and 31.6 °C with two *n*-octadecyl end-groups (all at 0.1 mg mL⁻¹ in water).⁴⁷ A detailed investigation by high sensitivity differential scanning calorimetry revealed that both polymers with one and two *n*-octadecyl end-groups organized into micellar aggregates, thereby leading to similar enhanced polymer–polymer interactions and similar T_{cp} values. Similar results were reported by Jordan who demonstrated that the T_{cp} of PiPrOx with DP 27 decreased from 47 °C to 28 °C and 32 °C by introduction of one or two *n*-nonyl end-groups, respectively (all at 20 mg mL⁻¹), tentatively ascribed to micellization.⁴⁸ Furthermore, it was demonstrated that introduction of short hydrophilic PMeOx outer blocks with DP 3 increased the T_{cp} to 53 °C while introducing short hydrophobic poly(2-*n*-nonyl-2-oxazoline) outer blocks with DP 1 or DP 2 decreased the T_{cp} to 15 °C and 11 °C.

Even though all the early reports on the LCST behavior of PiPrOx demonstrated fully reversible phase transitions without significant hysteresis, Schlaad and coworkers were the first to report irreversible crystallization driven self-assembly of PiPrOx upon continued heating above the T_{cp} .^{49–51} In 2012, Winnik and coworkers demonstrated by a combination of optical spectroscopy and solution vibrational spectroscopy and molecular dynamics simulations that heating of PiPrOx aqueous solution leads to an irreversible change in the chain conformation to a more regular all-*trans* conformation (Fig. 3).⁵² Despite this change in chain conformation, the LCST phase transition remains fully reversible upon repetitive heating-cooling cycles. However, prolonged heating leads to crystallization facilitated by the more regular chain conformation. This hypothesis was more recently confirmed by the work of Wu based on temperature variable ¹H NMR, Fourier-transform infrared and Raman spectroscopy, including two-dimensional correlation spectroscopy.⁵³

Only 15 years after the first report on the LCST behavior of PiPrOx, Kataoka and coworkers reported that poly(2-*n*-propyl-2-oxazoline) (PnPrOx; Fig. 2) also exhibits LCST behavior with a T_{cp} around 25 °C.⁵⁴ The T_{cp} of PnPrOx revealed much smaller molar mass and concentration dependence than PETox as may be attributed to its more hydrophobic character that facilitates dehydration.²⁸ The third possible C₃ side chain,



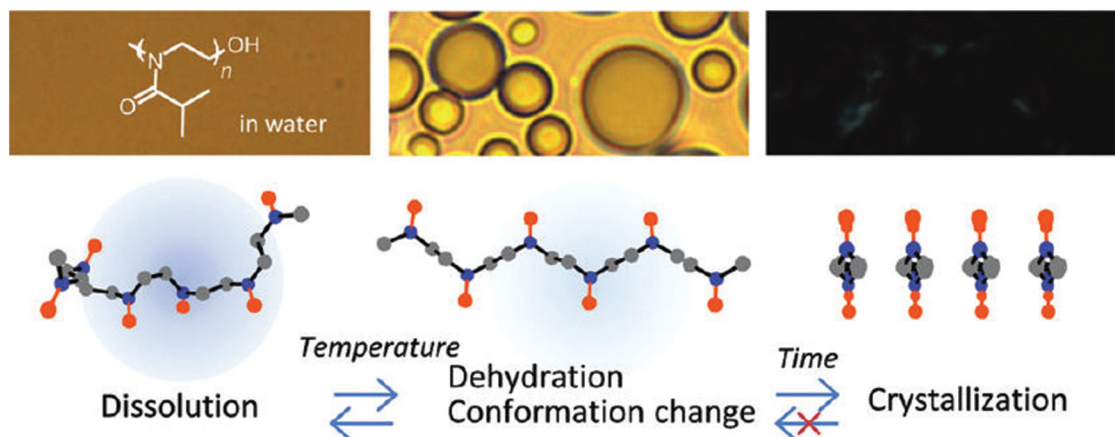


Fig. 3 Proposed mechanism for the irreversible crystallization of PiPrOx upon annealing of aqueous solutions above the cloud point temperature. Reprinted with permission from ref. 52. Copyright 2012 American Chemical Society.

namely cyclopropyl, was reported by Schubert *et al.* to lead to poly(2-cyclopropyl-2-oxazoline) (PcPrOx; Fig. 2), which is also thermoresponsive and has a T_{cp} that is intermediate to PiPrOx and PnPrOx.⁵⁵ For both PnPrOx and PcPrOx it has been demonstrated that incorporation as side chains in comb polymers leads to a decrease in T_{cp} .^{46,55}

Poly(2-oxazoline)s with methyl ester side chains were first reported by Litt in 1968,⁵⁶ but it was only reported in 2015 by Hoogenboom that such polymers exhibit thermoresponsive LCST behavior in aqueous solution.⁵⁷ In fact, poly(2-methoxycarbonyl-ethyl-2-oxazoline) (PC2MestOx; Fig. 2) has very similar solution behavior as PEtOx with a T_{cp} around 100 °C for a polymer with DP 100 while poly(2-methoxycarbonylpropyl-2-oxazoline) (PC3MestOx; Fig. 2) has very similar behavior as PnPrOx with a T_{cp} around 25 °C.

2.1.2 Homopolymer mixtures. A rather recent trend in tuning of thermoresponsive behavior of polymers is by mixing (also referred to as blending) of polymers, sometimes leading to cooperative behavior.^{58–61} Wu and coworkers reported a study on mixing of PiPrOx with PNIPAM and poly(*N*-vinylcaprolactam) (PNVCL).⁶² Rather strikingly it was observed that mixtures of PiPrOx and PNIPAM undergo two distinct phase transitions that are slightly affected by the presence of the other polymer, while mixtures of PiPrOx and PNVCL undergo one cooperative phase transition. This difference in behavior upon mixing was ascribed to the strong intramolecular hydrogen bonding of PNIPAM that does not allow interactions with PiPrOx. On the other hand PiPrOx and PNVCL are both polymers with only hydrogen bond accepting amide groups and upon heating water bridges are formed between the two polymers leading to cooperative phase separation and the formation of a high concentration phase containing both polymers. However, Zhang and coworkers reported that mixtures of poly(methyl vinyl ether) and PEtOx also revealed two distinct phase transitions while both polymers only contain hydrogen accepting groups,⁶³ indicating that the rationale between cooperative LCST behavior is not yet well understood. Further

work of Trzebicka and coworkers on mixtures of PNIPAM and PiPrOx revealed the influence of heating rate on the thermoresponsiveness.⁶⁴ Slow gradual heating, as is commonly applied during turbidimetry, indeed confirmed two distinct phase transitions of the individual polymers. In contrast fast sudden temperature increase above the T_{cp} of both polymers led to the formation of mixed phase separated mesoglobules, a term used to describe the high concentrated dispersed polymer phase that is formed upon crossing the phase transition temperature of dilute polymer solutions. A study on mixing of poly(2-oxazoline)s bearing a hydrophobic lipid chain that self-assemble into micelles in water was reported by Morandi *et al.*⁶⁵ Both PEtOx and PiPrOx were synthesized using a tosylate functionalized lipid initiator and the resulting polymers with T_{cp} of 35 °C and 76 °C, respectively, were subsequently mixed at different ratios in aqueous solution. Up to addition of 52% of lipid-PEtOx, the T_{cp} gradually increased from 35 °C to 42 °C, but at higher lipid-PEtOx content two distinct phase transitions were observed, one corresponding to cooperative behavior and one corresponding to pure lipid-PEtOx. The authors did not yet unravel whether this behavior results from the presence of mixed micelles and pure PEtOx micelles already below the T_{cp} or that demixing of PEtOx and PiPrOx occurred during the LCST phase transition.

2.1.3 Statistical copolymers. The previously discussed mixing approach is an interesting and straightforward way of tuning T_{cp} , albeit it is hard to predict whether or not cooperative behavior will be obtained. A more robust way of accurately tuning the T_{cp} of poly(2-oxazoline)s is by copolymerizing different monomers to accurately control the hydrophilic–hydrophobic balance of the copolymer chains. T_{cp} values are adjustable between 0 °C and 100 °C by incorporation of inert more hydrophobic or more hydrophilic comonomers into one of the discussed thermoresponsive homopolymer, respectively. Specific examples of copolymers with tunable T_{cp} include copolymers of PEtOx with PnPrOx,^{22,54} PiPrOx,⁶⁶ PcPrOx,⁶⁷ and poly(2-*n*-nonyl-2-oxazoline) (PNonOx),^{68,69} copolymers of



proteins was studied. It was demonstrated that the adsorption of serum proteins is significantly enhanced upon heating beyond the T_{cp} while subsequent cooling below the T_{cp} led to release of the proteins indicating the reversible nature of this process.

A final application area where thermoresponsive poly(2-oxazoline)s are receiving significant attention is as sensors and molecular logic gates. The most straightforward sensor with a thermoresponsive polymer is as temperature sensor, whereby the polymer phase transition induces clouding of the solution which can be the output signal. Hoogenboom has recently shown that PETox-PNonOx copolymers are ideal for this application as the exact phase transition temperature that is 'sensed' can be accurately tuned by addition of different amounts of α -cyclodextrins that form host guest complexes with the nonyl side chains, thereby altering the hydrophilic–hydrophobic balance of the copolymer and hence the T_{cp} .^{101,102} Furthermore, it was demonstrated that introducing a large content of hydrophobic PNonOx leads to a widening of the phase transition hysteresis in presence of α -cyclodextrins, that is upon heating the clouding of the solution takes place at 50 °C while upon cooling the solution only turns transparent when cooled below 10 °C.¹⁰³ This hysteresis originates from the supramolecular host–guest complexation that results in the formation of a meta-stable soluble phase. Once collapsed, reformation of the host–guest complexation only takes place at the original phase transition temperature of the non-complexed polymer. It was shown that this large hysteresis can be exploited as memory function for the molecular thermometer. A solution of the copolymer and α -cyclodextrin will remember whether it has been heated beyond 50 °C or not for more than 1.5 months at room temperature.

A similar sensor concept was developed by Jang, although employing a much more sophisticated fluorescence output signal.¹⁰⁴ PiPrOx was prepared with a tetraphenylethene end-group that translated the polymer phase transition in a fluorescent output signal. This system acts as a sensor for the

polymer concentration as well as the presence of γ -cyclodextrin. Moreover, the polymer γ -cyclodextrin host guest complex acts as temperature sensor as collapse of the polymer upon heating releases the γ -cyclodextrin to the aqueous solution, thereby inducing a change in fluorescence of the tetraphenylethene end-group. Very recently, Jang and coworkers reported PiPrOx end-modified with blue (pyrene), green (boron-dipyrromethene) and red (porphyrin) emissive dyes.¹⁰⁵ Even though the same PiPrOx polymer was used, the T_{cp} values were tuned by variation of the polymer chain length and by making star-shaped polymers. As such, the three emissive polymers all had different T_{cp} s and each of the individual polymers acts as a temperature sensor. However, by careful optimization of the concentration of the three polymers in one solution it was possible to design systems with different emission color in response to variations in temperature as shown in Fig. 6.

The final two examples describe the use of multiresponsive poly(2-oxazoline)s for molecular logic gate operations. Hoogenboom *et al.* reported the preparation of poly(2-oxazoline) coated gold nanoparticles that aggregate and change color from red to purple upon increasing the temperature above the T_{cp} of the polymer coating and in presence of sodium chloride.¹⁰⁶ It was demonstrated that the system operates as AND logic gate and that only a color change occurs when both triggers are present. Variation of the poly(2-oxazoline) from PiPrOx to PETox allowed tuning of the temperature required for the input. A triple responsive poly(2-oxazoline) was developed by Ju and Jang as AND-OR logic gates with the solution being opaque or transparent as output signal.¹⁰⁷ PiPrOx was prepared having two azobenzene end-groups, whereby the PiPrOx induces thermoresponsivity while photoisomerization of the azobenzene governs host–guest complexation with either α -cyclodextrin in the *trans*-form and with β -cyclodextrin in the *cis*-form. The AND-OR logic gate operation is based on photoirradiation for isomerization of the azobenzene, presence of cyclodextrin and the temperature as input signals.

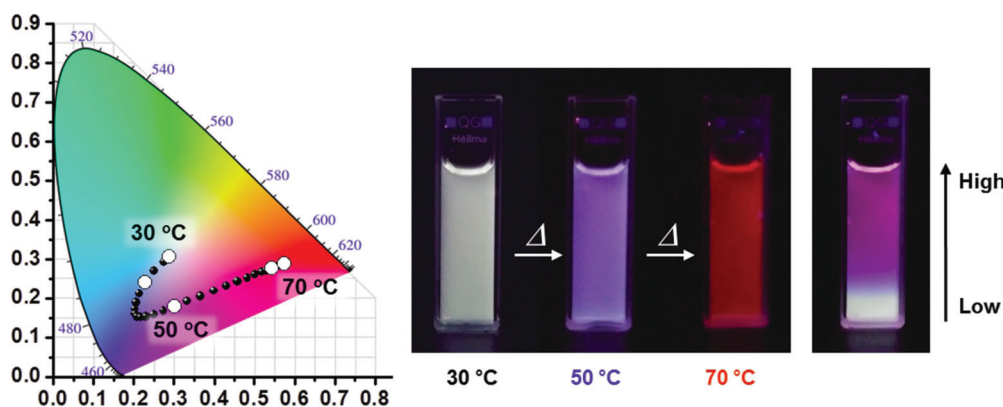


Fig. 6 Temperature-dependent fluorescence emission changes of three-component mixtures of PiPrOx modified with pyrene (blue), boron-dipyrromethene (green) and porphyrin (red). The right picture show the solution with a temperature gradient from bottom to top. Reprinted with permission from ref. 105. Copyright 2016 WILEY-VCH Verlag GmbH & Co. KGaA.



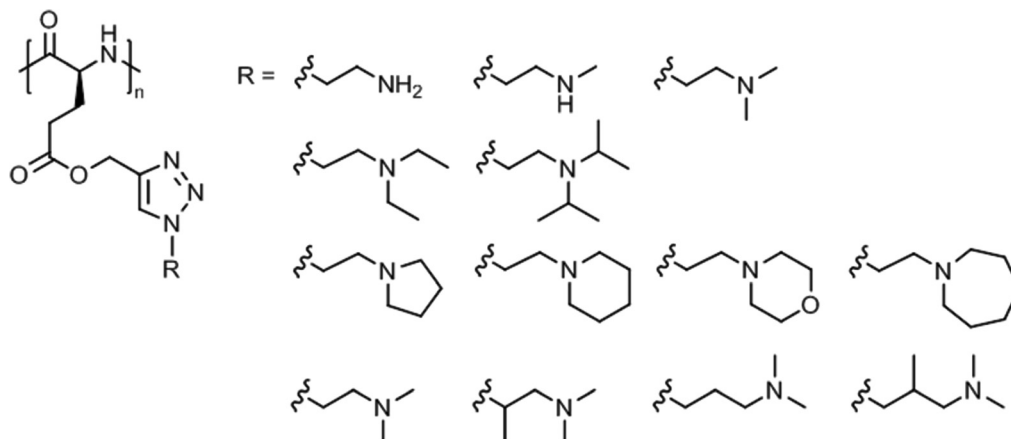


Fig. 11 Thermo- and pH-responsive poly(L-glutamate)s with amine side chains.

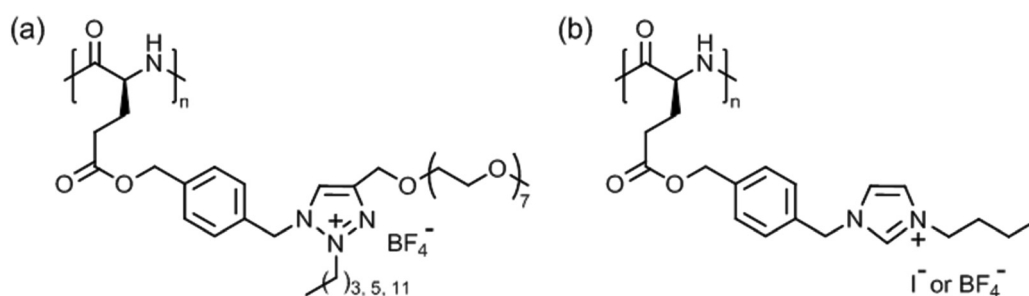


Fig. 12 Thermoresponsive poly(γ -benzyl-L-glutamate)s with (a) alkyl/oligoethylene glycol triazolium and (b) *n*-butyl imidazolium side chains.

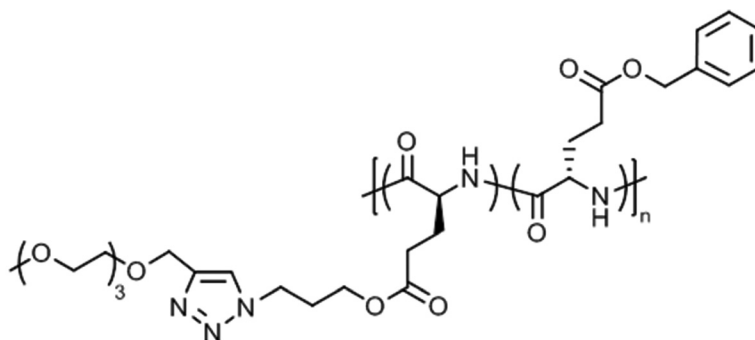


Fig. 13 Thermoresponsive copoly(L-glutamate) with benzyl and triethylene glycol side chains.

exhibited LCST behavior in deionized water and the T_{cp} could be varied in the range of 40–75 °C, depending on the alkyl/oligoethylene glycol substitution (alkyl = butyl, hexyl, dodecyl) and polymer concentration (0.1–0.6 wt%).¹²⁵ The T_{cp} 's were also affected considerably by the presence of salt (NaBF₄), *i.e.*, $\Delta T = -5$ °C to -25 °C, due to salting out effect. The most pronounced effect, however, was found for the permanently charged polypeptide with butyl/oligoethylene glycol side chains at 0.6 wt% NaBF₄.

The second polypeptide with *n*-butyl imidazolium side chains, however, showed UCST behavior in deionized water.¹²⁶

Depending on the counter ion, I⁻ or BF₄⁻, the transition or clearing temperature was 35 °C or 69 °C, respectively, at 0.1 wt%; no phase transition was observed for polypeptides with alkyl = methyl/Cl⁻, I⁻, BF₄⁻ and *n*-butyl/Cl⁻. The transition temperature was unaffected by the polymer chain length but was significantly affected by salts, and it increased in the presence of NaI and NaBF₄ and decreased in the presence of NaCl (*cf.* Hofmeister series). This effect was ascribed to electrostatic interactions and anionic exchange reactions.

Water-soluble random copoly(L-glutamate)s with benzyl and triethylene glycol pendants (Fig. 13) were found to exhibit



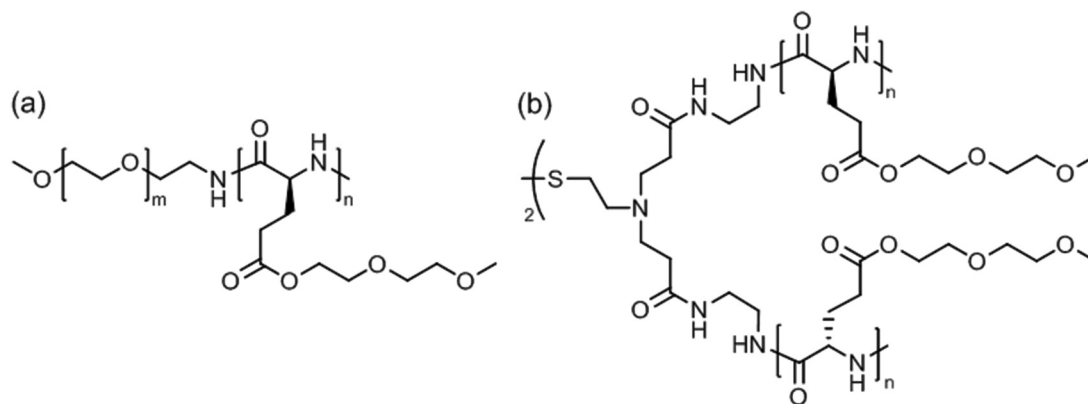


Fig. 14 Thermoresponsive poly[γ -(methoxy diethylene glycol)-L-glutamate]-based (a) block copolymer and (b) 4-arm star polymer.

thermoresponsive properties.¹²⁹ Depending on the composition, *i.e.*, ratio of hydrophobic benzyl groups *vs.* hydrophilic triethylene glycol groups, the T_{cp} could be tuned between 22 °C (57% hydrophilic units) and 53 °C (90% hydrophilic units) (at 0.2 wt% in water). Furthermore, the helicity of copolyptide chains increased from 65% to 90% with increasing triethylene glycol content.

Linear poly(ethylene glycol)₄₅-*block*-poly[γ -(methoxy diethylene glycol)-L-glutamate]₄₃ (Fig. 14a) was soluble in water (or 100 mM NaCl solution) at room temperature but formed wormlike micelles at a temperature above $T_{cp} = 53$ °C.¹³⁰ Aggregation occurred due to the temperature-induced dehydration of the polypeptide block without affecting its α -helical conformation. Extension of the thermal annealing time (12 hours at 80 °C) drove the secondary structure transformation of the polypeptide block from α -helix to β -sheet, which accounted for a transition from wormlike micelles into nanoribbons measuring about 70 nm in width and several micrometers in length.

Star-shaped poly[γ -(methoxy diethylene glycol)-L-glutamate] with a disulfide-containing core (apparent molar mass, $M_n = 15.5$ or 33.8 kDa) (Fig. 14b) exhibited dual thermo- and redox-responsiveness.¹³¹ Addition of dithiothreitol to the aggregates in water reduced the disulfide bonds, cutting the 4-arm star polypeptide into 2-arm star (linear) fragments, which however

caused a reduction of the size of aggregates from about 330 nm to 180 nm. The aggregate size gradually decreases upon heating (20–50 °C) due to the collapse of the polypeptide side chains; the process could be reversed by cooling. Interestingly, 3–4 wt% aggregate solutions formed a hydrogel at room temperature, which could be dissolved upon heating to above $T_{cp} \sim 40$ °C.

The graft copolymer (or molecular bottlebrush) poly(L-glutamate)-*graft*-poly[2-(2-methoxyethoxy)ethyl methacrylate] (molar mass, $M_n = 221$ kDa) (Fig. 15a) exhibited thermoresponsive LCST behavior in aqueous solution.¹³² The phase transition was rather broad in pure water ($T_{cp} \sim 27$ °C) but sharp in saline solution ($T_{cp} = 22$ –23 °C, 0.1–0.9 wt% NaCl). It was stated, based on circular dichroism spectroscopic measurements, that the helicity of polypeptide chains (DP ~ 45) was almost 100% at 25 °C and also at 60 °C. Aggregates (assumed to be spheres with helical polypeptide core and thermoresponsive polymethacrylate shell) were formed in water, measuring 150 nm in diameter at 25 °C and 60 nm at 60 °C.

Also the “hairy-rod” polypeptides consisting of a poly(L-glutamate)₄₀ backbone and poly[(methoxy diethylene glycol methacrylate)-*ran*-(methoxy triethylene glycol methacrylate)] side chains (grafting ratio $\sim 90\%$; molar mass, $M_n = 195$ –227 kDa) (Fig. 15b) displayed thermoresponsive properties.¹³³ The T_{cp} values, measured at 2 wt% in physiological

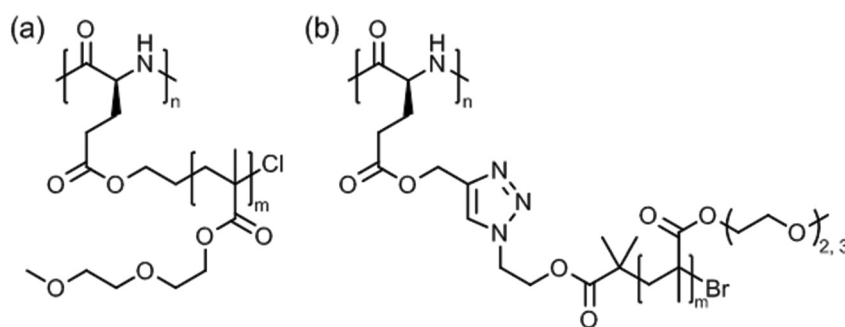


Fig. 15 Thermoresponsive “hairy-rod” graft copolymers with poly(L-glutamate) backbone and poly[methoxy oligo(ethylene glycol) methacrylate] side chains, prepared by (a) grafting from and (b) grafting onto.



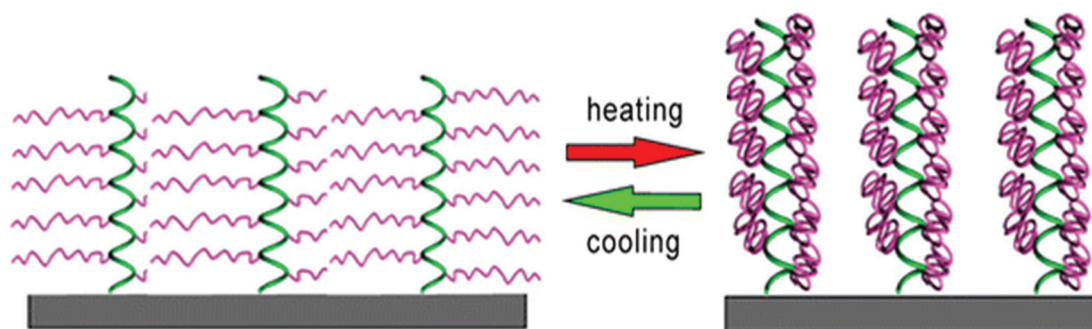


Fig. 16 Thermo-induced hydration/dehydration of polypeptide brushes; green = helical poly(L-glutamate) backbone, purple = thermoresponsive oligoethylene glycol side chains. Reprinted with permission from ref. 134. Copyright 2015 American Chemical Society.

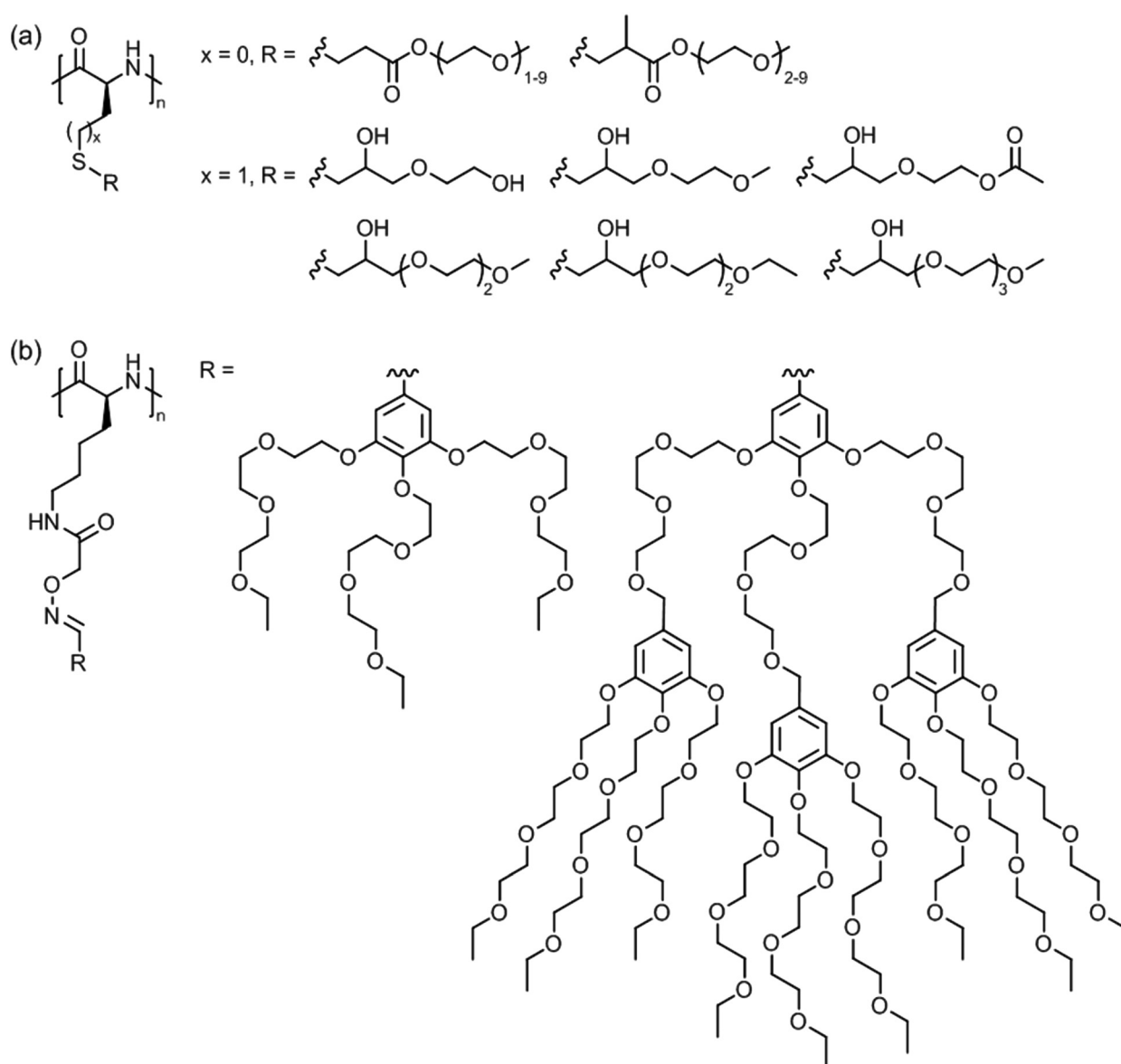


Fig. 17 Thermoresponsive polymers based on side chain modified (a) poly(L-cysteine) ($x = 0$) and poly(L-homocysteine) ($x = 1$) and (b) poly(L-lysine).



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