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Solution-processed boron subphthalocyanine derivatives as acceptors for organic bulk-heterojunction solar cells†

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We report the fabrication of solution-processed bulk heterojunction devices from subphthalocyanine (SubPc) units as the acceptor component and conventional polymeric donor materials such as MEH-PPV, P3HT and PTB7. The high solubility of the SubPc derivatives facilitated the formation of efficient donor/acceptor networks and provided power conversion efficiencies of 0.4% with MEH-PPV, 1.1% with P3HT and 3.5% with PTB7. A clear contribution of photon harvesting by the acceptor was identified from the external quantum efficiency spectra. Analysis of the current–voltage characteristics and photoluminescence quenching revealed trap-assisted and geminate recombination as a loss mechanism. Our results show that solution-processable SubPcs are a promising alternative to fullerenes for polymer solar cells.

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Introduction

Solution-processed organic solar cells are attractive candidates for low-cost and large area light to electricity converters. The deposition of the active layer from solution has significant advantages over other methods, due to the high-speed production of roll-to-roll fabrication.¹ Fullerenes have become the archetypal acceptor in efficient solution-processed bulk heterojunction (BHJ) devices. However, fullerenes have important limitations, such as high synthesis costs and a low absorption coefficient in the visible region of the solar spectrum.² To address the issues associated with fullerene based acceptors, efforts have been directed towards the development of new acceptor systems and were thoroughly discussed in recent reviews.^{3–5} These systems are generally less efficient than fullerenes, principally due to strong aggregation, which increases the phase separation and lowers the power conversion efficiency (PCE) of their resulting devices.^{6,7}

Boron subphthalocyanine (SubPc)⁸ derivatives have received considerable interest in recent years due to their cone-shaped structure, which not only confers interesting

physical properties, but also can prevent aggregation in the solution and solid state.⁹ These features coupled with their convenient synthesis have made SubPcs attractive building blocks for organic electronics,¹⁰ photonics^{11–13} and photovoltaics.^{14,15} Their incorporation into the active layer of solar cells is particularly attractive due to their high absorption coefficients in the visible region.¹⁰ Whereas SubPc molecules were originally used as donor materials in vacuum deposited solar cells, with C₆₀,^{14,16,17} or C₇₀^{18,19} as acceptor, their electron accepting properties have also recently been investigated^{20–22} and a high electron mobility of $8 \times 10^{-3} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ has been reported.²³ Solar cells containing SubPc molecules achieved the highest efficiency of 8.2% for fullerene-free solar cells.²⁴ Whilst vacuum-processing has been effectively used to produce solar cells from SubPc derivatives,²⁵ the good solubility characteristics of halogenated SubPc derivatives also makes these materials viable candidates for solution-processed BHJ solar cells.^{26,27} In this paper we report the synthetic procedures, chemical and optical properties and a comparison of the device properties of BHJ solar cells of halogenated SubPc derivatives 1 and 2 (Scheme 1).

Results and discussion

Synthesis

We have synthesized SubPc acceptors 1 and 2 according to Scheme 1. SubPc precursor derivatives 3 and 4 were prepared according to literature procedures described by Sullivan *et al.*²⁰ Reaction with the appropriate phenol²⁸ provided derivatives 1 and 2 in good yields as purple solids.

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Scheme 1 Synthesis of SubPc 1 and 2.

Electrochemical properties

The electrochemical properties of the SubPc derivatives **1** and **2** were investigated using cyclic voltammetry (CV) and square wave voltammetry (SWV). Their SWVs are provided in Fig. 1 and the redox potentials and the derived estimates of the HOMO and LUMO energies are provided in Table 1. Both compounds **1** and **2** have a LUMO level of around -3.5 eV, which lies between typical donor materials (about -3.0 eV) and PCBM-based acceptors (about -3.7 eV). This LUMO level makes these materials interesting for applications as either donor or acceptor. We have therefore investigated both modes for solar cells. The HOMO levels are at -5.7 eV and -5.6 eV for **1** and **2** respectively, resulting in an electrochemical band gap of 2.2 eV and 2.1 eV, respectively.

The DFT modelled structures of compounds **1** and **2** are provided in ESI Fig. S2.† The DFT-predicted HOMO and LUMO energies are in reasonable accordance with electrochemically determined values (Table 1). In line with

previously reported DFT investigations, the HOMO is evenly distributed across the SubPc core, whereas the LUMO is less evenly distributed.²⁹ Likewise, only limited contribution towards the LUMO/HOMO occurs from the peripheral halogens, whereas the axial phenoxy and the boron linker unit appear to provide no contributions whatsoever.

Optical properties

The absorption spectra in chlorobenzene show a pronounced peak at 553 nm and 571 nm for **1** and **2**, respectively, which is red-shifted to 560 nm (**1**) and 582 nm (**2**) in films (see Fig. 2). This bathochromic shift is an indicator for intermolecular interactions.³⁰ As the shift is rather small, these interactions are assumed not to play a significant role in film formation. The optical gap estimated from the absorption onset agrees well with the band gap estimated by voltammetry. The absorption coefficient reaches high values up to $3.5 \times 10^5 \text{ cm}^{-1}$ at the peak of **2** and $1.5 \times 10^5 \text{ cm}^{-1}$ at the peak of **1**. In films a slight amount of scattering is observed from the offset at wavelengths above the band gap. An interesting aspect of these materials is the complete transparency in the region of 400 to 425 nm as observed by absorption measurements of chlorobenzene solutions and by probing the fluorescence emission for varying excitation wavelengths. Complete transparency can be beneficial for many measurement techniques, for example probing the dynamics of light absorption and charge generation where excitation of specifically the donor or acceptor is required. Both materials have a moderate photoluminescence quantum yield of about 13–16% in solution and low-loaded polystyrene blends (Table 2). In neat films the quantum yield dropped to around 2.5%. A considerable red shift is observed for the emission in films compared to that in solution, which could indicate aggregation, see Fig. 3.

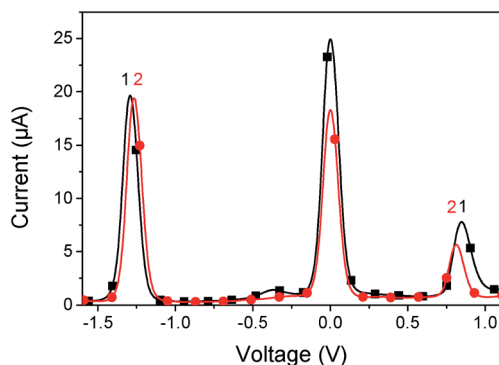


Fig. 1 Square wave voltammogram of **1** (black line) and **2** (red line). The potential is referenced to ferrocene/ferrocenium redox couple = 0.0 V.

Table 1 Electrochemical and DFT data for compounds **1** and **2**. SWV indicates values calculated using square wave voltammetry

Compound	$E_{(\text{ox})}$ (V)	$E_{(\text{red})}$ (V)	HOMO _(SWV) (eV)	LUMO _(SWV) (eV)	HOMO _(DFT) (eV)	LUMO _(DFT) (eV)
1	0.85	−1.29	−5.7	−3.5	−5.8	−3.0
2	0.81	−1.27	−5.6	−3.5	−5.8	−3.1





Fig. 2 (a) Absorption of 1 and 2 in chlorobenzene solution (solid lines) and fluorescence excitation spectra (symbols) showing complete transparency in the region of 400 to 425 nm. (b) Absorption spectra of films of 1 and 2 spin coated from chlorobenzene.

Table 2 Photoluminescence quantum yield (PLQY) of 1 and 2 in neat films, polystyrene (PS) blends and solution

PLQY (%)	1	2
Neat film	2.4	2.6
PS blend	13.0	14.5
Solution	14.1	16.3

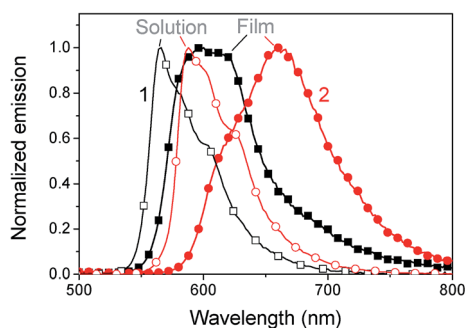


Fig. 3 Normalized fluorescence of 1 (squares) and 2 (circles) in solution (open symbols) and films (filled symbols) at 360 nm excitation.

Solubility and crystallization behaviour

A solution with a high concentration of 100 mg mL^{-1} of 1 or 2 in 1,2-dichlorobenzene was prepared and stirred under moderate heating of 50°C to estimate the solubility of the two compounds. The chlorinated compound 2 quickly fully

dissolved and no particles were found in a spin-coated film from this solution. Hence the solubility is clearly higher than 100 mg mL^{-1} . The fluorinated compound 1 needed to be further diluted to dissolve completely. A quantitative measurement revealed a solubility of 45 mg mL^{-1} .

By investigating the films spin-coated from an incompletely dissolved solution of compound 1, large and homogenous crystals in the size of tens of micrometres were found. These also grew over time in initially homogeneously spin-coated films in a period of about two days when left in air or nitrogen. We attribute this aggregation behaviour being due to the well-documented ability of organofluorine derivatives to undergo self-assembly through a range of non-covalent interactions such as $\text{C-F}\cdots\text{H}$ and $\text{C-F}\cdots\pi$ bonding.³¹ A representative image of the crystalline structures formed is shown in Fig. S3.†

SubPcs as acceptor

Devices with three different donor polymers, MEH-PPV, P3HT and PTB7, were fabricated to explore the usability of the SubPcs as acceptors. The results of the external quantum efficiency (EQE) of these devices are shown in Fig. 4. A significant contribution of acceptor absorption to the photocurrent is evident from the additional peak at 560 and 580 nm for acceptor 1 and 2, respectively. Absorption of light by the acceptor initially leads to the formation of singlet excitons which can then lead to charge generation by hole transfer to the donor. The lack of absorption of these acceptors in the deep blue region, between 350 nm and 450 nm, causes quite an unusual shape in the EQE spectra, where all curves reveal a

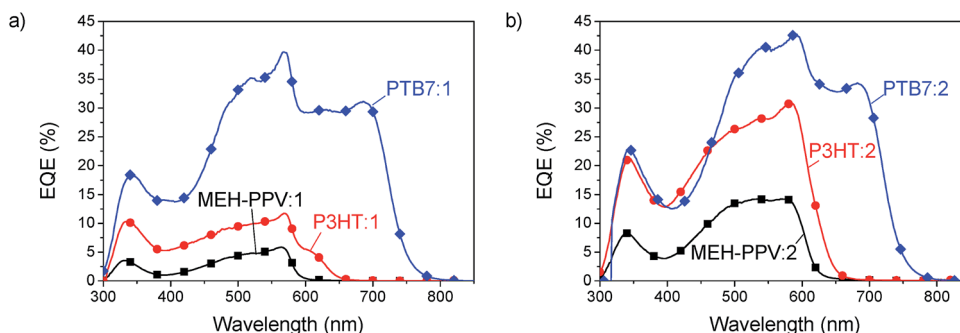


Fig. 4 EQE spectra of MEH-PPV (squares), P3HT (circles) and PTB7 (diamonds) blended with compound 1 (a) and compound 2 (b).

valley. In the MEH-PPV solar cells the acceptor extends the EQE response of the solar cells beyond the absorption edge of MEH-PPV to a longer wavelength up to 600 nm with compound 1 and 650 nm with compound 2. To gain the full potential of these materials a further reduction of the band gap would be beneficial to achieve better coverage of the sun-spectrum. The PTB7 devices clearly resulted in the highest EQE of up to 43%, a surprising result given the small energy offset of the LUMO between PTB7 (3.3 eV) and the SubPc (3.5 eV). In all blends 2 was found to outperform 1, with a higher EQE.

The *JV*-characteristics, shown in Fig. 5 indicate a very promising high open circuit voltage of 0.95 V for MEH-PPV, 0.6 V for P3HT and 0.90 V for PTB7 blended with compound 2. The efficiency thus reached 0.4% with MEH-PPV, 1.1% with P3HT and 3.5% with PTB7. With compound 1 the efficiencies were lower, as will be explained below, but showed the same trend. In all blends it was found that the device performance improved when the active layer was thermally annealed before deposition of the Ca/Al electrode. Details of the device fabrication and performance parameters are given in the Experimental section and ESI.†

Optimisation of PTB7:SubPc BHJ cells

The morphology plays a critical role in obtaining high efficiency in BHJ solar cells. It is strongly influenced by the mass ratio of the donor and the acceptor, with the optimum depending on the materials used. Fig. 6 shows the EQE spectra for different blend ratios. For the devices of PTB7 : 1 and PTB7 : 2 the highest EQE had about 40% at a blend ratio of 1 : 1.5. This

blend ratio is identical to the one typically used for PTB7:PC₇₁BM.³² The spectra show that the peak from the acceptor absorption strongly increases with increasing concentration, whereas the contribution from PTB7 decreases. As a trade-off between both contributions the ratio of 1 : 1.5 was found best, gaining the maximum EQE over the entire spectrum.

The PTB7 solar cells were optimized with the annealing temperature varied between 100 °C and 160 °C with a constant time of 10 minutes. The efficiency for devices of PTB7:2, annealed at 160 °C improved by a factor of 1.25 compared to the non-annealed devices, due to an improvement of J_{SC} and FF, whereas the performance of PTB7:1 remained fairly constant. Annealing generally gives the molecules free energy to assemble in the film and leads to a stronger phase separation. This phase separation can give rise to better charge transport of electrons and holes to the electrodes. To obtain the optimum conditions, we varied the duration of annealing from 0 to 20 minutes and kept the temperature of 160 °C constant. A clear optimum of the power conversion efficiency was achieved for the previously used 10 minutes, where the V_{OC} and fill factor have a maximum.

Additionally the morphology can be altered by solvent additives. Previous studies on PTB7:PC₇₁BM solar cells show that adding the solvent additive 1,8-diiodooctane (DIO) strongly enhances the power conversion efficiency.³² In the case of PTB7:PC₇₁BM blends, it was found that the amount of 3 vol% of the additive gives the strongest improvement, but for other materials systems this amount can vary significantly.³³ The concentration of DIO was thus varied between 0 vol% and 8



Fig. 5 *JV*-characteristics of acceptors 1 (a) and 2 (b) blended with MEH-PPV (squares), P3HT (circles) and PTB7 (diamonds).



Fig. 6 Influence of the blend ratio of PTB7 : 1 (a) and PTB7 : 2 (b).



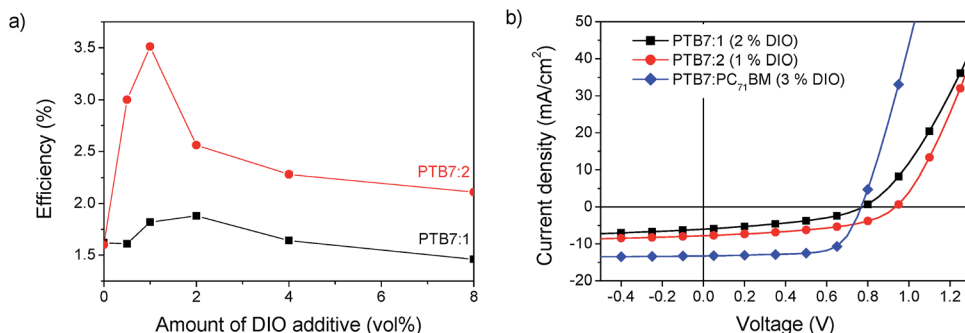


Fig. 7 (a) Power conversion efficiency of PTB7:1 and PTB7:2 as a function of the amount of solvent additive DIO. (b) JV-curves of optimized solar cells in comparison to PTB7:PC₇₁BM.

vol% to find the optimum conditions for our system. The efficiency of PTB7:1 had a maximum PCE of 1.9% at a concentration of 2 vol% DIO and PTB7:2 gave a maximum PCE of 3.5% at a concentration of 1 vol% DIO as shown in Fig. 7.

Analysis of the loss mechanisms of the optimized devices

Through the device optimisation we reached a power conversion efficiency of 3.5% with PTB7:2 and 1.9% with PTB7:1. This is a very promising result for devices with a non-fullerene acceptor. To facilitate further developments of these acceptors, we investigated the prominent loss mechanisms in these blends. Comparing the optimized JV-curves of the present systems with PTB7:PC₇₁BM shows a lower short circuit current density (J_{SC}), which can be attributed to a loss of exciton dissociation, which will be discussed below. The fact that compound 2 absorbs further into the red part of the spectrum compared to compound 1 gives rise to a slight increase of 14% of the maximum obtainable short circuit current, determined from the integral of the normalized EQE spectra.

PTB7:2 has a high open circuit voltage (V_{OC}) of 0.94 V compared to PTB7:1 and PTB7:PC₇₁BM, with both 0.76 V. The V_{OC} is related to the effective band gap of the active layer, but as the HOMO and LUMO levels for compound 1 and 2 are very similar, the V_{OC} should also be similar. Additionally the V_{OC} is influenced by recombination in the active layer, a process that can be investigated by varying the light intensity of JV-curves.^{34,35}

Fig. 8 shows the variation of J_{SC} and V_{OC} on light intensity. From the exponent of J_{SC} versus intensity the charge density dependent recombination can be qualitatively explored. An exponent of unity indicates that no bimolecular losses occur. The peak in the exponent for 1 vol% of DIO shows that for compound 2 a minimum of recombination occurs at the optimized concentration, whereas for PTB7:1 the trend is not clear. In devices fabricated using compound 2, the slope is higher for all concentrations of DIO indicating less intensity dependent recombination losses than for compound 1.

A similar method applies for the slope of V_{OC} versus the logarithm of intensity (I), a lower slope indicates less trap-assisted recombination.³⁵ In both blends a relatively high amount of trap assisted recombination is found with a slope of V_{OC} versus $\ln(I)$ between 1.5 to 1.8 kT/e , where kT/e is the thermal voltage. This behaviour shows that traps, which may originate from impurities or incomplete percolation pathways, have a significant impact on these solar cells. The recombination losses give rise to a reduced fill factor of about 45% compared to 70% for PTB7:PC₇₁BM, which may originate from the lower charge carrier mobility observed for the P3HT:2 system using charge extraction measurements (ESI†).

Photoluminescence quenching

The study of photoluminescence can reveal geminate recombination losses of inefficient exciton dissociation, as the charge

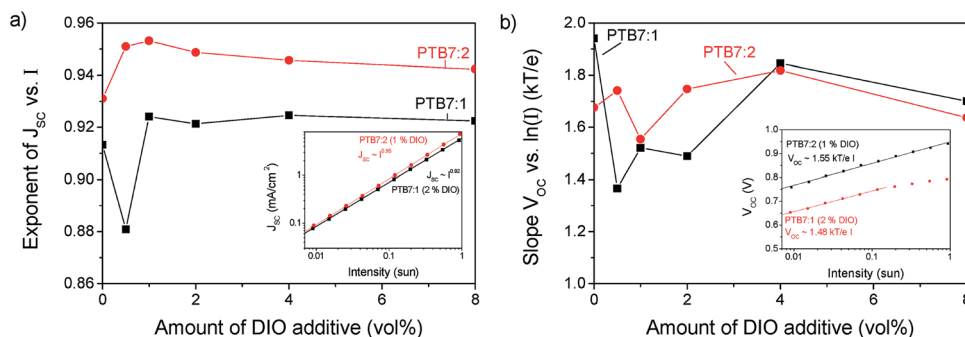


Fig. 8 (a) The exponent of the short circuit density vs. light intensity (I) as a function of content of DIO. The inset shows an example at the optimized conditions. (b) Slope of the open circuit voltage as a function of content of DIO. The inset shows an example at the optimized conditions.





Fig. 9 Fluorescence emission spectra of PTB7 : 2 for varying blend ratio. The excitation wavelength was 280 nm. The emission at 650 originates from compound 2 and the emission at 800 nm from PTB7.

transfer between donor and acceptor deactivates the radiative decay, causing a decrease of the fluorescence. The steady state emission spectra for different blend ratios of PTB7:2, prepared with the optimized content of DIO and annealing conditions, are shown in Fig. 9. Two peaks of emission are present, the first at 650 nm which comes from compound 2 and the second at 800 nm from PTB7. For the blend ratio of 1 : 0.5 the emission from compound 2 is completely quenched, indicating that all excitons created in 2 are efficiently dissociated. For the same blend a high emission of PTB7 is observed which shows inefficient exciton harvesting. Upon increasing the concentration of 2 the emission at 650 nm steadily increases. In contrast, the emission from PTB7 has a minimum at the blend ratio of 1 : 1.5 and then increases again. This clearly shows that for the blend ratio of 1 : 1.5 most excitons are dissociated into free charges, however complete quenching is not observed at any of the blend ratios. Incomplete quenching of PTB7 can be caused by the rather low LUMO offset to the acceptor of only 0.3 eV and aggregation of the compound 2 at higher concentrations. For further developments of these acceptors we thus suggest to further tune the energy levels, as a slightly higher LUMO offset might be beneficial to improve exciton harvesting from the donor material.

Conclusions

We have reported solution-processed subphthalocyanine acceptors with up to 3.5% power conversion efficiency which is very favourable over previous reports of 0.1%.²⁶ Other solution-processed non-fullerene acceptor systems typically give results between 2–4% PCE,^{3–5} although recently a PCE of 6.8% was reported.³⁶ A strong contribution to the photocurrent by absorption in the acceptor phase was observed in all blends with MEH-PPV, P3HT and PTB7. This is a promising direction to enhance the absorption of the thin active layers often required for efficient charge transport and to provide complementary absorption with the donor component to cover a broad band of absorption. After a full optimization of the PTB7:SubPc devices, we identified three loss mechanisms limiting their solar cell efficiency. The first one is limited exciton dissociation especially from excitons created in the PTB7 phase. This loss

can be improved by slightly increasing the LUMO offset in future acceptor materials. The second limitation is a high degree of trap-assisted recombination. This recombination can be potentially overcome by further reducing the aggregation and crystallization behaviour by appropriate peripheral (and axial) substitution, as it was observed that the chlorinated compound 2 gave a better performance than the fluorinated compound 1. The third issue is the relatively poor coverage of the solar spectrum that can be improved by reducing the band gap by utilising related derivatives such as sub-naphthalocyanines.^{24,37,38} Following these directions gives a promising route for efficient fullerene-free and solution-processable polymer solar cells.

Experimental

Chemical analysis

Melting points were determined in a Stuart Scientific Melting Point apparatus and are uncorrected. MS spectra were recorded using a Bruker microTOF Instrument. NMR spectra were recorded using a Bruker Ultrashield Spectrometer. Elemental analyses were obtained using an Exeter CE 440 Analytical Elemental Analyzer. Column chromatography was carried out using silica gel Si-60 (40–63 μ m), and thin layer chromatography was performed on aluminium sheets pre-coated with silica gel (Merck).

Synthesis

SubPc 1. SubPc 3 (0.3 g, 0.56 mmol), pentafluorophenol (0.5 g, 2.8 mmol), and toluene (5 mL) were stirred under reflux for 24 h. The solution was cooled to room temperature and the product was then purified by flash column chromatography (petroleum ether/toluene 40 : 60) to afford SubPc 1 as a purple solid (0.38 g, 100%). mp > 300 °C; ¹H NMR (CDCl₃, 500 MHz) δ (ppm) 8.62 (t, *J* = 7.9 Hz, 6H); ¹³C NMR (CDCl₃, 101 MHz) δ (ppm) 152.8 (dd, *J* = 257.4 Hz, 16.6 Hz), 150.3 (s), 127.2 (d, *J* = 2.9 Hz), 110.7 (m); MS (ESI) *m/z*: 687.1 [M + H]⁺; anal. calcd for C₃₀H₆BF₁₁N₆O: C 52.50%, H 0.88%, N 12.24%, found: C 52.56%, H 0.83%, N 12.14%.

SubPc 2. SubPc 4 (0.3 g, 0.47 mmol), phenol (0.2 g, 2.3 mmol) and toluene (3 mL) were stirred under reflux for 7 days. The solution was cooled to room temperature and the product was then purified by flash column chromatography (petroleum ether/toluene 30/70) to afford SubPc 2 as a purple/gold solid (0.3 g, 96%). mp > 300 °C; ¹H NMR (CDCl₃, 400 MHz) δ (ppm) 8.86 (s, 6H), 6.79 (m, 2H), 6.68 (m, 1H), 5.39 (m, 2H); ¹³C NMR (CDCl₃, 101 MHz) δ (ppm) 151.8, 150.2, 135.0, 129.7, 129.1, 123.9, 122.1, 119.0; MS (ESI) *m/z*: 694.9 [M + H]⁺; anal. calcd for C₃₀H₁₁BCl₆N₆O: C 51.85%, H 1.60%, N 12.09%, found: C 51.64%, H 1.50%, N 11.94%.

Cyclic and square wave voltammetry

CV and SWV measurements were performed on CH Instruments 440A electrochemical workstation. Voltammograms were recorded as DCM solutions (1 \times 10^{−3} M) containing 0.1 M of tetrabutylammonium hexafluorophosphate as the supporting



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