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## Exploiting retro oxa-Michael chemistry in polymers†

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One way to obtain recyclable polymeric materials is to include reversible bonds in polymers. Herein, we study the reversibility of the oxa-Michael reaction and explore its scope and limitations in simple model systems and further in linear polymers as well as in polymer networks. The results show that the retro oxa-Michael reaction of sulfone, acrylate or acrylonitrile based adducts is considerably fast at elevated temperatures (>100 °C) if Brønsted bases (e.g. KOH) are used as catalysts. Under these conditions, alcohols can easily be exchanged in oxa-Michael adducts within minutes. Furthermore, poly(ether)s derived from oxa-Michael reactions can be depolymerized into small fragments in the presence of alcohols and show self-healing characteristics in networks.

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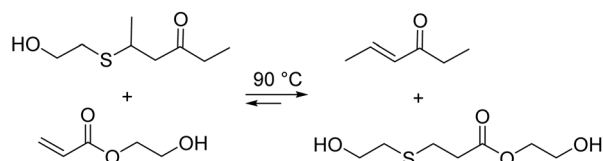
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# Introduction

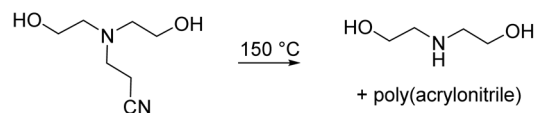
With a global recycling rate of plastics as low as 9% in 2019,<sup>1</sup> multiple strategies from mechanical recycling to chemical recycling or upcycling need to be targeted to increase the recycling rate. Whereas in chemical recycling the polymer is degraded into its monomer,<sup>2</sup> by upcycling new molecules, polymers or materials are obtained.<sup>3</sup> Thus, implementing reversible reactions in polymer chemistry is key for forming recyclable materials. Upon depolymerization of polymers, building blocks suitable for subsequent polymerization can be obtained. Recently, a lot of research has been performed in the field of covalent adaptable networks (CANs) or vitrimers, which undergo reversible reactions under external stimuli such as pH, heat or UV light. Regarding Michael addition reactions, dynamic covalent exchange for thiol and amine-based Michael adducts has been reported. Reversibility is generally triggered by a base<sup>4</sup> and/or elevated temperatures.<sup>5</sup> The dynamic nature of thiol bonds has been demonstrated for small molecules,<sup>6</sup> *e.g.* by the transfer of a thiol from a ketone to an acrylate product at 90 °C<sup>7</sup> (Scheme 1) or by the exchange of thiophenol with mercaptoethanol in a thiol–ketone or thiol–

maleimide adduct at 90 °C.<sup>5,8</sup> Moreover, a dynamic exchange of thia-Michael polymer networks is reported.<sup>7-9</sup> Examples include thiol-maleimide linkers in RAFT-based materials, thiol-acrylate linkers in covalently crosslinked polyester networks or thiol-vinyl sulfone linkers in the polymeric network formed by radical polymerization.<sup>8-10</sup> These materials exhibit reshaping and self-healing abilities at temperatures ranging from 90 to 100 °C. In addition, networks solely built up by the

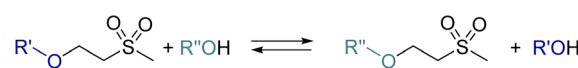
## retro thia-Michael



## retro aza-Michael



## retro oxa-Michael



**this work**

**Scheme 1** Previous work demonstrating retro thia-<sup>7</sup> and aza-Michael<sup>14</sup> reactions in small molecules and the schematic representation of herein discussed retro oxa-Michael reaction.

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Therefore, we herein report a detailed study of the reversibility of the oxa-Michael reaction for simple model systems, identifying the ideal reaction conditions. The retro oxa-Michael reaction was demonstrated by showing the exchange of the alcohol in oxa-Michael adducts (Scheme 1). In other words, the retro oxa-Michael reaction is followed by the

To obtain a general understanding of the retro oxa-Michael reaction and its required conditions, simple oxa-Michael model compounds were synthesized in the first step. Vinyl sulfones were preferred over acrylates as Michael acceptors, because in the case of the latter, transesterification occurs as a competing side reaction.<sup>26</sup> Model structures were synthesized from methyl vinyl sulfone and the respective alcohols under solvent-free conditions at room temperature with potassium hydroxide (KOH) as a catalyst (see the ESI†). In the initial study, a mixture of the benzyl alcohol adduct **1** and 3 equiv. of allyl alcohol was used to evaluate different catalysts for the reverse oxa-Michael reaction (Fig. 1a). As for the oxa-Michael addition of alcohols Lewis or Brønsted base catalysis is required,<sup>27,28</sup> we expected that in the retro oxa-Michael reaction also a catalyst would be necessary. Various catalysts (10 mol% with respect to **1**) ranging from pure Brønsted bases to pure Lewis bases were screened at a temperature of 140 °C and the conversion towards **2** was monitored by <sup>1</sup>H-NMR spectroscopy after 1 h and 24 h. In the presence of strong Brønsted bases such as KOH, potassium *tert*-butoxide (KO<sup>t</sup>Bu) and the phosphazene base 1-*tert*-butyl-2,2,4,4,4-pentakis-(dimethylamino)-2λ<sup>5</sup>,4λ<sup>5</sup>-catenadi-(phosphazene) (P<sub>2</sub>-<sup>t</sup>Bu), about 60% of the newly formed adduct **2** could be detected after 1 h (Fig. 1b). The remaining 40% were unreacted **1**. Additionally, free benzyl alcohol was observed in the reaction mixture, whereas methyl vinyl sulfone could not be detected. This indicates that methyl vinyl sulfone is only intermediately formed and is quickly trapped by the excessive alcohol. The use of the distinctly weaker Brønsted base sodium carbonate (Na<sub>2</sub>CO<sub>3</sub>) as a catalyst resulted in a much slower reaction, as only 6% conversion towards **2** was noted after 1 h, which further increased to 46% after 24 h. Using catalysts that are Lewis bases and considerably strong Brønsted bases at the same time led to differing results. While 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU) gave similar conversions to the discussed pure strong Brønsted bases, the use of 1,1,3,3-tetramethylguanidine (TMG) resulted in only 23% conversion towards **2** in 24 h (14% in 1 h). The similar basicity of DBU (pK<sub>a</sub> = 13.5 ± 1.5) and TMG (pK<sub>a</sub> = 13.0 ± 1.0) cannot explain their diverging performance.<sup>29</sup> Instead it is conceivable that DBU is less prone to degradation than TMG under the given reaction conditions.<sup>30</sup> Less basic catalysts triethylamine (Et<sub>3</sub>N), 1,4-diazabicyclo[2.2.2]octane (DABCO) and triphenyl phosphine (PPh<sub>3</sub>) are almost or completely inactive in catalysing the retro oxa-Michael reaction under the studied conditions. The same applies to the uncatalysed reaction as no evidence for a reverse



**Fig. 1** (a) Chemical equation of the test reaction; (b) catalyst screening for the conversion of 1 towards 2; reaction conditions: 0.10 equiv. catalyst, 3 equiv. allyl alcohol, 140 °C for either 1 h or 24 h; (c) temperature screening for the conversion of 1 towards 2; reaction conditions: 0.05 equiv. KOH, 3 equiv. allyl alcohol, conversion after 15 min, 1 h or 24 h; (d) temperature screening for the conversion of 1 towards 2; reaction conditions: 0.10 equiv. DBU, 3 equiv. allyl alcohol, conversion after 15 min, 1 h or 24 h.

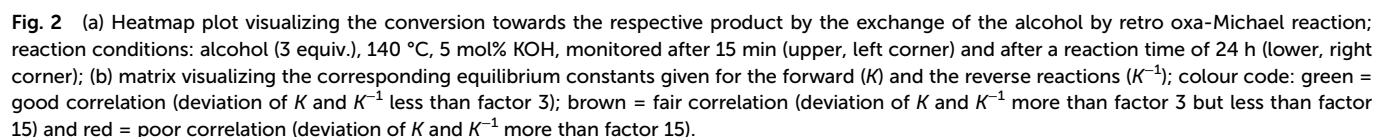
reaction could be observed after heating for 24 h at 140 °C. The study confirms the necessity of a Brønsted-basic catalyst for performing the retro oxa-Michael reaction with reasonable speed at the temperature under investigation. The catalyst is believed to deprotonate the  $\text{C}_\alpha$ -atom next to the electron withdrawing group, in this case the sulfone. The corresponding carbanionic species in the following eliminates the corresponding alkoxide and forms the respective alkene. Nucleophilic substitution is a conceivable mechanistic alternative for the formation of 2 from 1 under the used reaction conditions. However, allyl benzyl ether or 2-(methylsulfonyl) ethanol, the other potential products of a nucleophilic substitution reaction, have never been observed in any of the herein presented reactions. Thus, a nucleophilic substitution mechanism is unlikely to take place. After 24 h, the ratio of 1 to 2 changed slightly in favour of 1. A gradual loss of the relatively volatile allyl alcohol (bp = 97 °C vs. benzyl alcohol: bp =

205 °C) over time might cause a readjustment of the equilibrium between 1 and 2.

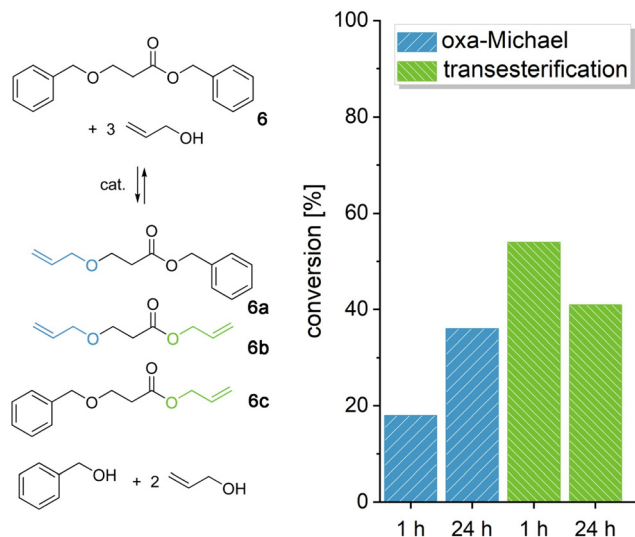
For further screenings, KOH and DBU were chosen as the preferred catalysts.  $\text{P}_2\text{-}^t\text{Bu}$  was not further investigated as it shows no advantage over KOH and is much more expensive and toxic. DBU was considered as an interesting alternative as it is a liquid and thus has advantages over the solid KOH under certain circumstances. Lower catalyst loadings (2 and 5 mol% with respect to 1) were studied for KOH and DBU (Table S2†). In the case of KOH, the best results were obtained with 5 mol% with 65% conversion to 2 after 1 h. Lower catalyst loadings diminish the retro oxa-Michael reaction. In the case of DBU, a loading of 10 mol% (56% of 2 after 1 h) outperforms 5 mol% (44% of 2 after 1 h). In the following, either 5 mol% KOH or 10 mol% DBU was used to assess the impact of the reaction temperature. The aforementioned reaction was additionally performed at 80, 120, 140 and 180 °C with



In the following, we wanted to demonstrate the retro oxa-Michael reaction of acrylate-based models as especially in oxa-Michael polymerizations, acrylates are widely used.<sup>26,31</sup> Benzyl 3-(benzyloxy)propanoate (**6**) was reacted with 3 equiv. of allyl alcohol at 140 °C with KOH or DBU as the catalyst (Fig. 3 and







**Fig. 3** Reaction of acrylate-based model **6** with 3 equiv. allyl alcohol at 140 °C with 0.10 equiv. DBU leading to oxa-Michael and transesterification products **6a–6c**. The conversion towards oxa-Michael and transesterification products after 1 h and 24 h is depicted on the right.

Fig. S20†). The three products resulting from the retro oxa-Michael reaction and transesterification (**6a–6c**) could be observed by  $^1\text{H-NMR}$  spectroscopy (Fig. S19†). For acrylates, DBU seems to be a more efficient catalyst in the retro Michael reaction. However, still only 20% of the newly formed allyl alcohol Michael adducts (**6a** and **6b**) could be observed after a reaction time of 1 h, whereas two to three times as much transesterification occurred (**6b** and **6c**, Fig. 3). Over 24 h, further retro Michael reaction took place leading to 35% of the newly formed oxa-Michael product. Instead, with KOH only about 10% of Michael adducts **6a** and **6b** were observed after 1 h, and the conversion did not increase significantly over time. The amount of transesterification products was similar to the case of DBU (Fig. S20†). The results show that the retro oxa-Michael reaction can take place with a variety of Michael acceptors. However, in the case of acrylates, transesterification is an omnipresent side reaction.

Besides exchanging the alcohol in oxa-Michael adducts, the transfer of alcohol from one to another Michael acceptor was monitored by investigating a 1:1 mixture of methyl vinyl sulfone derived adduct **1** and 3-(allyloxy)propanenitrile (**7**). Scrambling of the adducts occurred already within a reaction time of 15 min at 140 °C in the presence of 0.05 equiv. of KOH. The corresponding allyl alcohol adduct of methyl vinyl sulfone **2** as well as the corresponding benzyl alcohol adduct of acrylonitrile were identified (Fig. S21 and Scheme S1†). Moreover, the free Michael acceptors, methyl vinyl sulfone and acrylonitrile, were observed together with the free alcohols. Scrambling as described above could also be observed in a 1:1 mixture of methyl vinyl sulfone derived adduct **1** and ((2-(allyloxy)ethyl)sulfonyl)benzene (**8**) (Scheme S2†). The corresponding products as well as free vinyl sulfones and alcohols were noted by  $^1\text{H-NMR}$  spectroscopy (Fig. S22†). These find-

ings further support the claim that the exchange reaction proceeds *via* the retro oxa-Michael reaction, which is a dissociative mechanism.

### Retro oxa-Michael reaction in polymerisation

To further study the reversibility in polymers, poly(ether-sulfone)s and poly(ether-ester)s were synthesized by oxa-Michael reactions. **Poly1** and **poly2** were prepared by reacting divinyl sulfone with ethylene glycol or (*Z*)-2-butene-1,4-diol, respectively, at room temperature over 24 h with 5 mol% KOH as a catalyst (see the ESI†).<sup>32</sup> Moreover, 2-hydroxyethyl acrylate was polymerized according to a modified literature procedure (see the ESI†) with 5 mol% DBU at room temperature (denoted as **poly3**).<sup>27</sup> In the case of **poly1**, the catalyst was removed by acidic work-up. The samples **poly2** and **poly3** were used without further purification. Depolymerisation of **poly1** prepared from divinyl sulfone and ethylene glycol<sup>32</sup> was studied by adding an excess of benzyl alcohol (3 equiv.) and 5 mol% KOH to the polymer and heating the mixture to 140 °C under stirring. After a reaction time of 1 h, depolymerisation to bis(2-benzyloxyethyl)sulfone and free ethylene glycol was noted by NMR spectroscopy (Fig. S25 and S26†). In contrast, if no catalyst was added and **poly1** was solely stirred with benzyl alcohol at 140 °C, no depolymerisation took place and **poly1** was unaffected (Fig. S27 and S28†). However, etherification of benzyl alcohol to dibenzyl ether was observed under these conditions. According to the literature, at 120 °C and under acidic conditions, etherification of benzyl alcohol can take place.<sup>33</sup> As in **poly1** the catalyst was removed by an acidic work-up (ESI†); traces of acid might have catalysed the etherification of the alcohol. Thus, oxa-Michael polymers can easily be depolymerized into small molecules albeit not into their monomer divinyl sulfone.

In the next step, the thermal stability of oxa-Michael polymers in the presence and absence of a base was investigated. **Poly1** was synthesized with various catalysts (5 mol%) including Brønsted bases (KOH and KO<sup>t</sup>Bu) and Lewis bases (DMAP and PPh<sub>3</sub>) (see the ESI for details†). Thermal degradation of the obtained polymers (still including the initial catalyst) was studied by thermogravimetric analysis (TGA) using a heating rate of 10 °C min<sup>-1</sup>. In the case of KOH and KO<sup>t</sup>Bu, a mass loss of 5% was observed at 194 ± 1 °C (Fig. 4a). As evident from the first derivative of the curve shown in Fig. 4b, decomposition starts at about 160 °C and continues until about 300 °C. At 340 °C and 260 °C, two further inflection points were observed, pointing to at least three different degradation mechanisms (Fig. 4b). **Poly1** obtained from nucleophiles was slightly more stable with a mass loss of 5% at 215 °C and 232 °C with PPh<sub>3</sub> and DMAP, respectively (Fig. 4a and Table S3†). In these analyses also three different degradation processes are indicated, albeit most of the degradation occurred at temperatures above 300 °C. For comparison, **poly1** was purified to remove the basic catalyst (KOH). Thereafter, **poly1** exhibited a much higher thermal stability with a degradation onset around 320 °C. The inflection point of the by far most important degradation was found at 360 °C. A minor





**Fig. 4** (a) Thermogravimetric investigation of **poly1** in the presence of KOH or KO<sup>t</sup>Bu (blue), nucleophiles PPh<sub>3</sub> or DMAP (turquoise) or in the absence of a catalyst (orange); (b) 1st derivative of the mass–temperature plots shown in a; (c) size exclusion chromatograms of **poly1** after preparation and purification (1), after heating for 1 h at 140 °C (2), after heating for 1 h at 140 °C in the presence of KOH (3), and after heating for 1 h at 140 °C in the presence of KOH and stirring for 24 h at 25 °C (4).

mass loss of purified **poly1** around 100 °C is due to the solvent traces in the polymer resulting from purification (Fig. S23†). The solvent could not be removed completely under high vacuum. However, harsher drying conditions were not applied because heat treatment prior to the TGA measurement might lead to ambiguous results.

A similar degradation behaviour, *i.e.* accelerated decomposition in the presence of Lewis or Brønsted bases used in the preparation of the polymer, has been reported for the oxa-Michael polymer of vinylsulfonylethanol.<sup>34</sup> It can be concluded that Brønsted bases cause the decomposition of the polymers at lower temperatures and polymers prepared *via* Lewis base initiation have sufficient Brønsted-basic character due to the presence of zwitterionic species that show a similar effect. The results suggest that the retro oxa-Michael reaction occurs in the presence of Brønsted bases. However, the Brønsted-base catalysed degradation of alkyl sulfones *via* carbon–sulphur bond cleavage is also known and might be responsible for the other observed degradation steps.<sup>35</sup> Furthermore, it has to be considered that using different catalysts might result in different polymer architectures, which in turn might cause different TGA results. Accordingly, the features of the TGAs of **poly1** prepared with PPh<sub>3</sub> or DMAP are not necessarily exclusive due to the above-mentioned Brønsted-basic character of the materials.

To gain further information on the decomposition processes, differently treated polymer samples were investigated by size exclusion chromatography (SEC) and matrix-assisted laser desorption ionization time-of-flight mass spectrometry (MALDI-TOF MS). A base-free sample of **poly1** characterized by a number average molar mass ( $M_n$ ) of 1750 g mol<sup>−1</sup> and a dispersity ( $D$ ) of 1.7 was heated for 1 h at 140 °C whereupon no significant change in  $M_n$  or  $D$  was noted (Fig. 4c). Thereafter, 10 mol% KOH (with respect to the repeat unit) was added to **poly1** and the mixture was heated for 1 h at 140 °C. By this procedure, the polymer's  $M_n$  value was significantly reduced to 930 g mol<sup>−1</sup> ( $D$  = 1.4). Upon stirring the same sample for 24 h

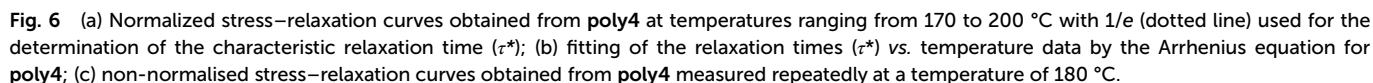
at room temperature, no further change in the molar mass or dispersity was observed (Table S4†). Another polymer, namely **poly2** prepared from divinyl sulfone and (*Z*)-2-butene-1,4-diol,<sup>28,32</sup> showed a similar behaviour. Upon the first thermal treatment in the presence of KOH, the  $M_n$ -value decreased from 1530 g mol<sup>−1</sup> ( $D$  = 3.2) to 1100 g mol<sup>−1</sup> ( $D$  = 2.6, Fig. S29 and Table S5†). Two subsequent heat treatments (1 h at 140 °C, followed by 1 h at 25 °C) resulted in no further significant changes of  $M_n$  or  $D$ . Accordingly, it can be assumed that the retro oxa-Michael reaction is reversible under these conditions. The decrease in mass observed after the first heat cycle might be due to a few yet unknown repeat units, which lead to irreversible chain breakage under basic conditions. To further elucidate the origin of the molar mass reduction upon heating, **poly2** samples before and after thermal treatment in the presence of KOH were investigated by MALDI-TOF MS (Fig. S30 and S31†). Before MALDI-TOF MS analysis, each sample was quenched upon the addition of acetic acid. **Poly2** (before any heat treatment) is terminated by vinyl sulfone and alcohol end groups as expected. Oligomer chains terminated twice by (*Z*)-butenediol were found to be the most abundant species. Peculiarly, the second most abundant series showed acetylation of one of the hydroxyl end groups, which results from quenching with acetic acid. The presence of the acetate ester group was also confirmed by <sup>1</sup>H-NMR spectroscopy (Fig. S32†). New series terminated by sulfinic acid and vinyl ether groups were identified in **poly2** after the heating cycle in the presence of KOH (Fig. S31†). Such end groups can be traced back to the base catalysed thermal decomposition of aliphatic sulfones.<sup>35,36</sup> Accordingly, reduction in the molar mass (detected by SEC) in the case of **poly1** and **poly2** is most likely not a result of a retro oxa-Michael reaction, but rather of an irreversible thermal carbon–sulphur bond cleavage in a yet unidentified repeat group.

Similar experiments were performed with an acrylate-based polymer, namely the homopolymer of 2-hydroxyethyl acrylate (HEA, denoted **poly3**) prepared with DBU as the catalyst. As









repeat units. Moreover, in the presence of a base, oxa-Michael polymers degrade at lower temperatures and can be depolymerized into small fragments in the presence of alcohols.

## Conflicts of interest

There are no conflicts of interest to declare.

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## Conclusion

The reversibility of the oxa-Michael reaction can be utilized to build covalent adaptable networks which we herein demonstrated by reprocessing an oxa-Michael derived polymer network made from divinyl sulfone and triethanolamine. In general, the retro oxa-Michael reaction is Brønsted base catalysed and fast at elevated temperatures ( $>100\text{ }^{\circ}\text{C}$ ). This could be shown by alcohol exchange reactions in model studies of oxa-Michael adducts based on sulfones, acrylonitrile and acrylates. In the case of acrylates as Michael acceptors, transesterification is a concurring reaction. Studying the retro oxa-Michael reaction of soluble polymers revealed a molar mass reduction upon heat treatment. It was traced back to a minor irreversible side-reaction, which is most likely caused by the concurring carba-Michael reactions leading to Rauhut–Currier

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