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Anomalous abrupt switching of wurtzite-structured ferroelectrics: simultaneous non-linear nucleation and growth model†

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Ferroelectric polarization switching is one common example of a process that occurs *via* nucleation and growth, and understanding switching kinetics is crucial for applications such as ferroelectric memory. Here we describe and interpret anomalous switching dynamics in the wurtzite-structured nitride thin film ferroelectrics $\text{Al}_{0.7}\text{Sc}_{0.3}\text{N}$ and $\text{Al}_{0.94}\text{B}_{0.06}\text{N}$ using a general model that can be directly applied to other abrupt transitions that proceed *via* nucleation and growth. When substantial growth and impingement occur while nucleation rate is increasing, such as in these wurtzite-structured ferroelectrics under high electric fields, abrupt polarization reversal leads to very large Avrami coefficients (e.g., $n = 11$), inspiring an extension of the KAI (Kolmogorov–Avrami–Ishibashi) model. We apply this extended model to two related but distinct scenarios that crossover between (typical) behavior described by sequential nucleation and growth and a more abrupt transition arising from significant growth prior to peak nucleation rate. This work therefore provides a more complete description of general nucleation and growth kinetics applicable to any system while specifically addressing the anomalously abrupt polarization reversal behavior in new wurtzite-structured ferroelectrics.

Introduction

The kinetic description of phase transformations *via* nucleation and growth represents one of the most fundamental concepts across many branches of science. More than 80 years ago, the equations now collectively referred to as Johnson–Mehl–Avrami–Kolmogorov (JMAK) were developed to describe and

New concepts

Nucleation and growth processes are fundamental across materials science, from microstructure control in materials, cloud formation, and pharmaceutical manufacturing, to pollutant adsorption. Conventional nucleation and growth kinetics models provide growth dimensionality information under widely accepted nucleation scenarios (pre-existing nuclei, constant rate, or declining nucleation rate). Here we demonstrate a non-physical growth dimensionality >10 for polarization reversal of wurtzite ferroelectrics according to the conventional model. We introduce a new scenario that substantial growth and impingement occur while nucleation rate is increasing towards nucleation rate peak, which inspires an extension of the conventional model with incorporating peak nucleation rate. The extended model enables rational description of the anomalously abrupt polarization reversal observed in wurtzite ferroelectrics as well as traditional transitions depending on the peak nucleation rate time relative to growth. The concept is applicable to any other nucleation – growth systems, thereby offering a new comprehensive interpretation of the transition kinetics that is one of the fundamental processes across many fields of materials science.

interpret the kinetics of isothermal crystallization *via* nucleation and growth.^{1–5} The predictable sigmoidal transition from state A to state B enables descriptions of inherently local phenomena of nucleation and impingement-limited growth from global measurements; this has been applied to a broad class of problems including microstructure development in high strength steels,⁶ cloud formation and weather forecasting,^{7,8} pharmaceutical manufacturing,⁹ pollution adsorption,¹⁰ and many others. In applying the same approach to ferroelectric switching, Ishibashi expressed what is now referred to as the Kolmogorov–Avrami–Ishibashi (KAI) model:¹¹

$$f = 1 - \exp\left\{-\left(\frac{t}{t_0}\right)^n\right\} \quad (1)$$

where f is the volume fraction switched at time t , t_0 is the characteristic time for polarization evolution, and n is the Avrami exponent.

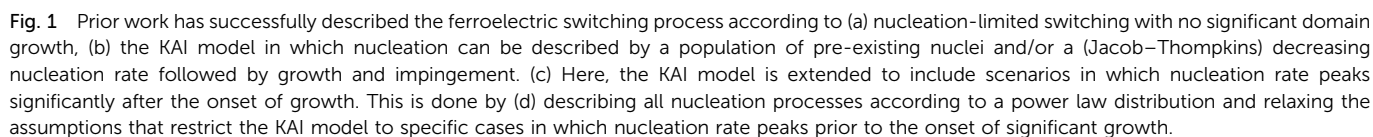
While Ishibashi explicitly addressed only two simple cases for nucleation during ferroelectric switching—either pre-existing

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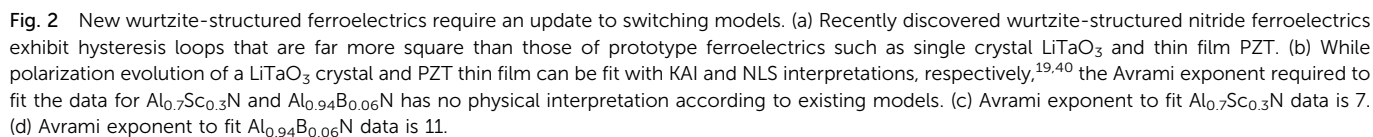
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We show here that the switching of $\text{Al}_{0.7}\text{Sc}_{0.3}\text{N}$ and $\text{Al}_{0.94}\text{B}_{0.06}\text{N}$ wurtzite-structured ferroelectrics can contradict the $D \leq n \leq 1 + D$ interpretation of the Avrami exponent, as this would require growth in as many as 10 dimensions to fit measured data, which is clearly non-physical. Moreover, by extending the KAI model to account for a time-varying nucleation rate described with a derivative of a sigmoid function (Fig. 1d), both this abrupt response and those well-described by the KAI model can be fit and physically interpreted, regardless of whether the transformation in question is ferroelectric switching, solidification, cloud formation, pharmaceutical manufacturing, or any other example of nucleation and growth.

Anomalous switching kinetics and model extension

Wurtzite-structured nitride ferroelectrics often exhibit square hysteresis loops,^{34–37} a characteristic known to relate to switching kinetics.³⁸ Fig. 2a plots the normalized polarization (P/P_r , where P_r is remanent polarization) vs. normalized field (E/E_c , where E_c is coercive field) for the prototype ferroelectrics (blue) single crystal LiTaO_3 and (green) polycrystalline thin film $\text{PbZr}_{0.53}\text{Ti}_{0.47}\text{O}_3$ (PZT) against polycrystalline (orange) $\text{Al}_{0.7}\text{Sc}_{0.3}\text{N}$ and (red) $\text{Al}_{0.94}\text{B}_{0.06}\text{N}$ thin films (see Methods).^{37,39} As shown in Fig. 2b, the polarization evolution with time for each of these samples results in excellent fits to the data with Avrami exponents $n = 2$ for LiTaO_3 (traditional KAI),⁴⁰ $n < 1$ for PZT (NLS),¹⁹ and $n > 4$ for $\text{Al}_{0.7}\text{Sc}_{0.3}\text{N}$ and $\text{Al}_{0.94}\text{B}_{0.06}\text{N}$. Forcing $n = 2$ or 4 (Fig. 2c and d) for $\text{Al}_{0.7}\text{Sc}_{0.3}\text{N}$ and $\text{Al}_{0.94}\text{B}_{0.06}\text{N}$ clearly results in unacceptable fits, but $n > 4$ defies direct physical interpretation using the common $D \leq n \leq 1 + D$ modality of the KAI model.



Our extended model describes both abrupt transitions ($n > 4$) seen in the wurtzite-structured ferroelectrics and conventional KAI transitions ($1 < n < 4$). The black solid lines in Fig. 3a and b are numerically simulated curves (see Methods) based on the extended model for each applied electric field. The value of m is set equal to 5 for $\text{Al}_{0.7}\text{Sc}_{0.3}\text{N}$ and 9 for $\text{Al}_{0.94}\text{B}_{0.06}\text{N}$ in the simulation. Note that the m values represent the order of nucleation rate, different from the n values that are fitted with the classic KAI model. As noted earlier, the model assumes 2-dimensional growth, so without resorting to non-

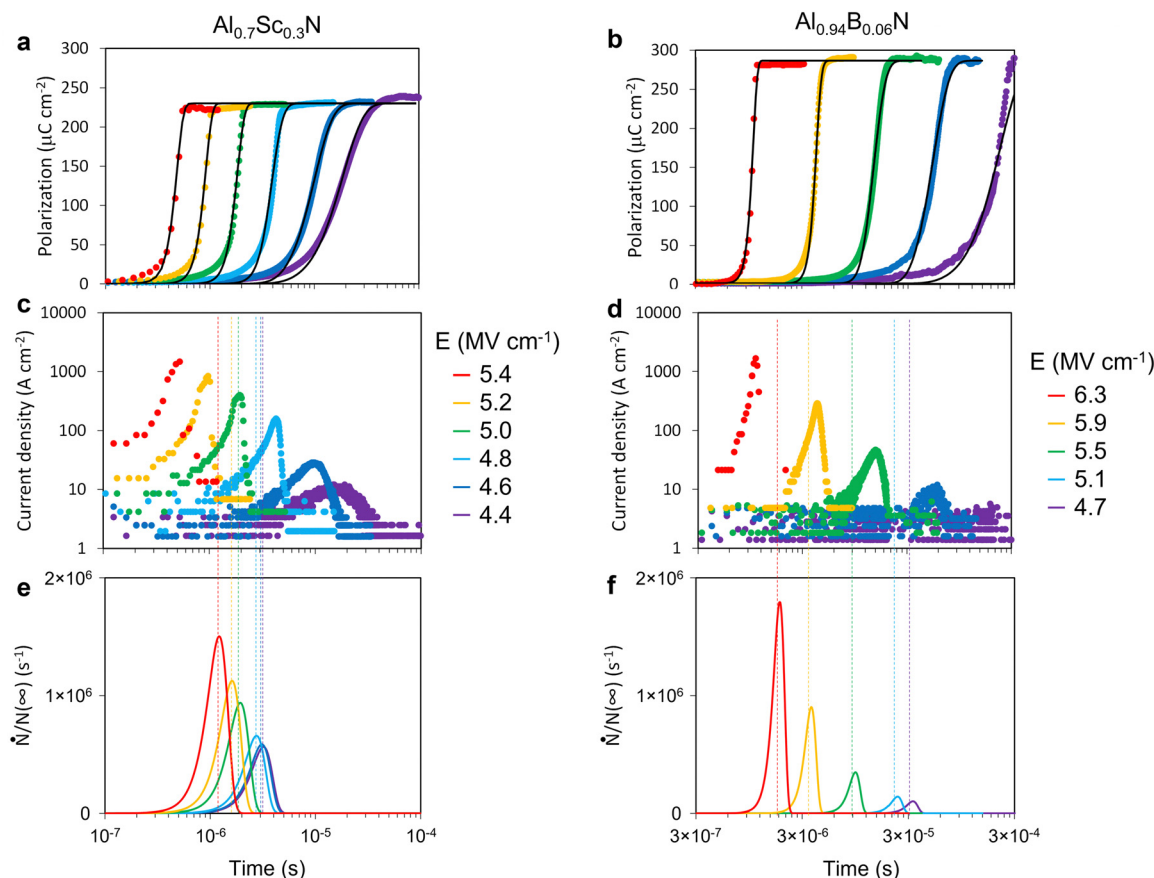


Fig. 3 Evolution of switched polarization under various driving electric fields. (a) Electric field dependence of the polarization vs. time curves for $\text{Al}_{0.7}\text{Sc}_{0.3}\text{N}$ and (b) for $\text{Al}_{0.94}\text{B}_{0.06}\text{N}$. Colored data points in the polarization – time curves represent measured data; black lines represent simulated results using the extended KAI model with $m = 5$ for $\text{Al}_{0.7}\text{Sc}_{0.3}\text{N}$ and $m = 9$ for $\text{Al}_{0.94}\text{B}_{0.06}\text{N}$. Switching current vs. time curve for (c) $\text{Al}_{0.7}\text{Sc}_{0.3}\text{N}$ and (d) for $\text{Al}_{0.94}\text{B}_{0.06}\text{N}$. Normalized nucleation rate curves used for the simulation for (c) $\text{Al}_{0.7}\text{Sc}_{0.3}\text{N}$ and (d) $\text{Al}_{0.94}\text{B}_{0.06}\text{N}$. The nucleation peak positions are highlighted to compare to the current peak positions.

physical growth dimensionality, the extended model accounts for and provides mechanistic information about the steep evolution of the polarization reversal process *via* the non-linear nucleation rate.

The competition between nucleation and growth is illuminated in the electric field dependence of the kinetics. The actual charge flows (switching current density) attributed to the ferroelectric switching for $\text{Al}_{0.7}\text{Sc}_{0.3}\text{N}$ and $\text{Al}_{0.94}\text{B}_{0.06}\text{N}$ are shown in Fig. 3c and d, representing the significant growth event. Normalized nucleation rate curves used for the simulations, which are determined by α (see Methods), are shown in Fig. 3e and f. The coupled variable $\nu N(\infty)^{1/2}$ related to domain wall (growth) velocity is chosen to fit the experimental curves (see Methods). At higher electric fields, the nucleation peak (dotted line) occurs after the switching current peak; in other words, significant 2-dimensional lateral domain growth and coalescence occur while the nucleation rate is increasing. Simultaneous nucleation and growth produce the abrupt transition of the model, fitting the steep slope observed under high electric fields. Conversely, under lower electric fields, the nucleation rate peaks before the switching current peak, equivalent to the presence of preexisting nuclei before

significant domain growth, precisely as assumed in the KAI model. In such cases, any value of m produces the same overall result because the shape of the time-varying nucleation peak is irrelevant if growth is insignificant while nucleation is occurring. Thus, when nucleation peaks prior to the onset of significant growth, the extended model collapses to precisely the delta ($n = D$) or Jacobs–Thompkins decay ($n = 1 + D$) of the KAI model. Between these extremes of complete transition prior to peak nucleation and peak nucleation well before substantial growth, there is a gradual change in the slope of the polarization reversal as there is a crossover in the relative positions of the nucleation peak and the switching current peak.

The crossover can be visualized in terms of the characteristic times for both nucleation and growth: the nucleation peak time τ_{peak} and average impingement time $\bar{t}_{\text{imp}}$. Each experimental result can be fit with a range of τ_{peak} (or α) and $\bar{t}_{\text{imp}}$ (or $\nu N(\infty)^{1/2}$); *i.e.*, different pairs of τ_{peak} and $\bar{t}_{\text{imp}}$ can produce the same simulation curve (Fig. S1, ESI†). Fig. S2 (ESI†) shows the fittable range of τ_{peak} and $\bar{t}_{\text{imp}}$ for each experimental result. A slower τ_{peak} needs a faster $\bar{t}_{\text{imp}}$ to fit the experimental curves, and such fits agree well at higher electric fields, while faster τ_{peak} and slower $\bar{t}_{\text{imp}}$ pairs fit better for lower electric fields. The



condition $\tau_{\text{peak}} < \bar{t}_{\text{imp}}$ means that significant growth and impingement happen after peak nucleation, corresponding to the assumptions of the traditional KAI model, but $\tau_{\text{peak}} > \bar{t}_{\text{imp}}$ is not covered in earlier models. Our extended model covers both regions. Domain wall velocities calculated from the fitted range of $\bar{t}_{\text{imp}}$ (or $\nu N(\infty)^{1/2}$) can have reasonable values (less than the speed of sound in AlN^{48}) at any possible nucleation density from a single nucleation site in a $1960 \mu\text{m}^2$ device measured here ($50 \mu\text{m}$ diameter) to a nucleation site for each unit cell (Fig. S3 and S4, ESI†).

Cycle dependent nucleation vs. growth competition

The need to extend the classic KAI model to address abrupt switching and competition between nucleation vs. growth dominance is further validated by a closer look at the previously-described wakeup process of $\text{Al}_{0.94}\text{B}_{0.06}\text{N}^{49}$. The $\text{Al}_{0.94}\text{B}_{0.06}\text{N}$ film examined here exhibits comparable wakeup behavior (Fig. S5, ESI†). The successive PUND sequences are applied through the top electrode (Fig. S6, ESI†). Polarization evolution curves show no switching in the first cycle, partial switching at lower fields for the 2nd cycle, and gradually later initiation of a more abrupt transition for cycles > 3 (Fig. 4a). As the slope of the curve gets steeper, the KAI n also increases from 2 (2nd cycle) to 11 (20th cycle). The fittable range of τ_{peak} , represented as brown bars in Fig. 4b, is extracted from the

polarization evolution and shifts slower as cycle number increases. More importantly, the transition from $\tau_{\text{peak}} < \bar{t}_{\text{imp}}$ (KAI) to $\tau_{\text{peak}} > \bar{t}_{\text{imp}}$ (beyond KAI) occurs with increasing cycles as seen in the fitting quality map as a function of fitting parameters τ_{peak} and $\bar{t}_{\text{imp}}$ (Fig. S7, ESI†), which is the root cause of the change in the transition curve slope.

The change in τ_{peak} with cycling is key to the wakeup behavior and is verified through the evolution of N-polar and metal-polar mixing. These films were N-polar as deposited; the PUND pre-pulse to the top electrode is intended to set the sample to metal-polar, then application of a P pulse switches the film (back) to the N-polar (downward polarization) state. Piezoelectric d_{33} measurements prior to each P pulse confirm the polarity and show an intermediate-magnitude response consistent with incomplete poling in the film (see Methods and Fig. S6, ESI†). The magnitude of d_{33} measured prior to the P pulse gradually increases with cycle number as overlaid in Fig. 4b, direct evidence of the existence and decreasing volume fraction of N-polar regions even before the P pulse application. Such N-polar regions function as pre-existing nucleation sites for switching to the N-polar state during a P pulse, hence the earlier τ_{peak} . With increasing cycles, the volume fraction of residual N-polar regions decreases and d_{33} approaches that of the as-deposited film (schematic in Fig. 4c).

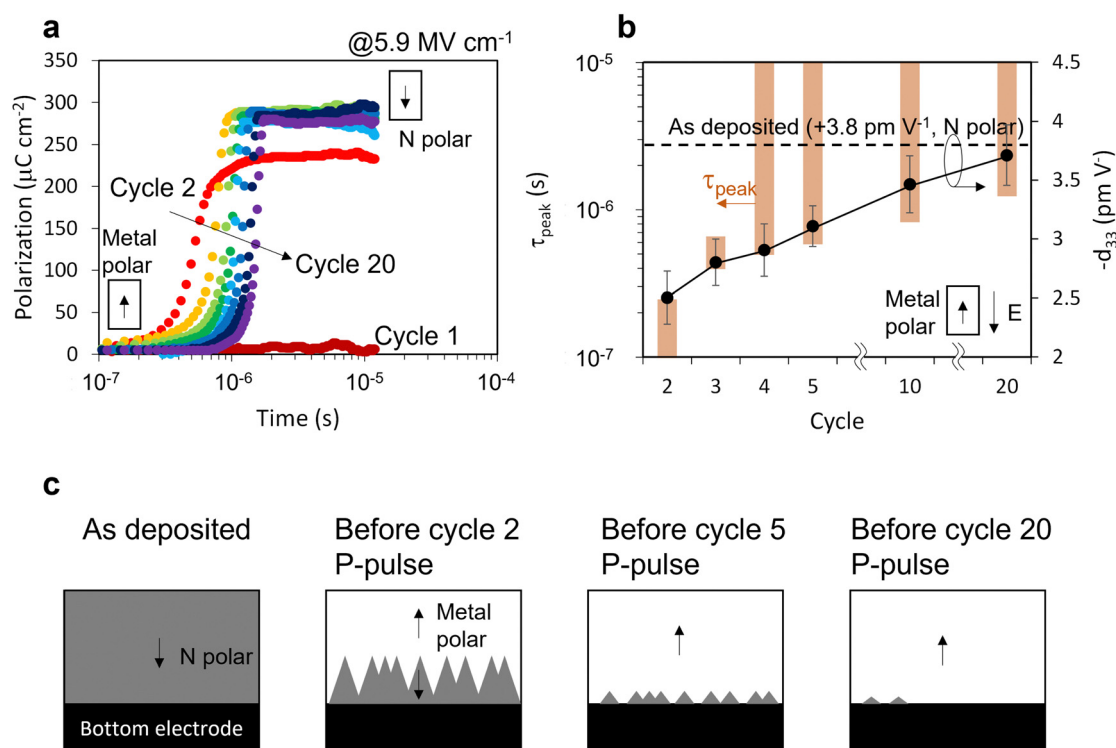


Fig. 4 Kinetics during wakeup process in $\text{Al}_{0.94}\text{B}_{0.06}\text{N}$. (a) Polarization evolution obtained from P–U pulses. Curve shift and slope change are observed. (b) Fittable range of τ_{peak} from polarization evolution curves using extended KAI model (brown bars) and piezoelectric coefficient d_{33} (black dots) for each cycle before switching dynamics measurement. Error bars in d_{33} represent a standard deviation of successive 20 measurements. At fewer cycle, d_{33} decreases (mixed polarity) and τ_{peak} gets earlier (instant nucleation). (c) Schematic of domain evolution with number of cycles. Opposite polarity mixing seen at 2nd cycles relieves with cycles. The pre-existing opposite polarity works as nuclei, corresponding to early τ_{peak} at fewer cycles.



Few studies of nucleation-growth kinetics have reported $n > 4$ in any phase transformation system. Toth reported n values as high as 4.87 for the crystallization of a quenched and reheated Metglas 2605 alloy (iron-based alloy with silicon and boron additives) and interpreted this value as indicative of simultaneous nucleation and growth with a nucleation rate that increased with time.³⁰ Pradell *et al.* reported values of n that slightly exceeded 4 only in the very early stages of the primary crystallization of Fe₇₈Si₂₂ from amorphous Fe_{73.5}Si_{17.5}-CuNb₃B₅.³¹ Jeon *et al.* demonstrated two step phase transition kinetics with $n = 5.75$ for the first step of the Ge₂Sb₂Te₅ crystallization process. Those papers briefly noted the

where $N(\infty)$ is the saturated density of nuclei, and α is the constant nucleation rate at the beginning that determines the starting time for the nucleation rate decay. In these cases, the Avrami exponent corresponds to either the dimensionality of growth ($0 < n < 3$) when nucleation is no longer occurring

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Data and materials availability

The data affiliated with this study are available from the corresponding author upon reasonable request. The views expressed in the article do not necessarily represent the views of the DOE or the U.S. Government.

Author contributions

KY conceived the study and carried out $\text{Al}_{0.7}\text{Sc}_{0.3}\text{N}$ film synthesis, all the characterization, measurement system development, kinetic model development, simulation, and analysis. JH and JPM synthesized $\text{Al}_{0.94}\text{B}_{0.06}\text{N}$ film. WZ synthesized the $\text{Pt}/\text{TiO}_x/\text{SiO}_2/\text{Si}$ substrates. KY, GLB, AZ and STM conducted data interpretation. KY and GLB created effective visualization. GLB and AZ supervised KY. KY, GLB, STM, and AZ composed the original manuscript. All authors contributed to the discussion and reviewing and editing the manuscript.

Conflicts of interest

There are no conflicts to declare.

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