



Cite this: *Environ. Sci.: Atmos.*, 2023, 3, 905

## Trends in atmospheric pollution in the Third Pole: analyses of tropospheric NO<sub>2</sub> for the period 2005–2020†

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The Third Pole, encompassing Hindu Kush Himalaya (HKH) and Tien Shan mountains, has been closely monitored for the past few decades because of its deteriorating environmental conditions. Here, we analyse the spatio-temporal changes in tropospheric NO<sub>2</sub> over the TP using satellite observations from 2005 to 2020. The highest NO<sub>2</sub> concentrations (*i.e.*  $\geq 1 \times 10^{15}$  molec. cm<sup>-2</sup>) are found at the boundaries close to the Indo-Gangetic Plain (IGP) and Yellow and Yangtze River basins (YYRB). The analysis of the Emissions Database for Global Atmospheric Research (EDGAR v6.1) shows that the main contribution to NO<sub>2</sub> in the region is from road transport (81%) and then the power sector (7%). Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPPLIT) analyses illustrate that the major regions from which air mass reaches the TP are the IGP, Southeast Asia, YYRB, Central Asia and Middle East. Our analysis reveals a positive trend in NO<sub>2</sub> over most regions of the TP (up to  $0.05 \pm 0.01 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup>) in the yearly averaged data for the period 2005–2020, which suggest that pollution is spreading even to the inner regions of the TP. Therefore, this study reveals that the inner TP, one of the most pristine regions on Earth, is getting polluted because of high anthropogenic activities within and nearby areas/cities, indicating the impact of regional development activities and socioeconomic changes in recent years.

Received 23rd June 2022  
Accepted 19th March 2023

DOI: 10.1039/d2ea00075j

rsc.li/esatmospheres

### Environmental significance

The Third Pole (TP) is one of the remote and pristine regions on Earth. Therefore, any change in the environment would be readily visible, signalling changes in the region. Here, we examine the tropospheric NO<sub>2</sub> column to assess the evolution of atmospheric pollution during the period 2005–2020 in the TP. We find an increasing trend of NO<sub>2</sub> in the period, suggesting a declining air quality owing to recent development activities in and around the TP. Henceforth, this study unveils the atmospheric composition change in one of the pristine regions on Earth, which is a serious concern.

## 1. Introduction

The oxides of nitrogen (*i.e.* NO<sub>x</sub> = NO<sub>2</sub> + NO) in the atmosphere affect human health, the ecosystem and climate.<sup>1,2</sup> Combustion, lightning and microbial processes are the major sources of NO<sub>x</sub>,<sup>3–5</sup> but the emission rate of microbial processes is mostly temperature dependent.<sup>6</sup> Wet deposition and photolysis are the processes that act as sinks of NO<sub>2</sub> in the atmosphere.<sup>3,7</sup> NO<sub>x</sub> plays an important role in the formation of secondary aerosols, particulate matter (PM) and different trace gases including surface ozone and indirectly affect the regional and global climate.<sup>8,9</sup>

The Third Pole (TP) is one of the remote and pristine regions on Earth that encompasses the Hindukush Himalaya (HKH) and Tien Shan Mountains.<sup>10,11</sup> This region has complex mountainous topography and has sources of freshwater for almost 2 billion people in the downstream of the TP.<sup>12</sup> Past studies show that air quality is continuously declining in the TP due to pollution from within and boundary regions of the TP, including the inflow from the Yellow and Yangtze River basins (YYRB) in the east and the Indo-Gangetic Plain (IGP) in the south.<sup>9,13</sup> Transboundary transport from other regions of Asia also contributes significantly to air pollution in the TP.<sup>14,15</sup> The economic growth of neighbouring nations has impacted the air quality in the TP through atmospheric transport and thus, it is particularly sensitive to regional socioeconomic changes.<sup>16</sup> In addition, the TP houses thousands of lakes and glaciers with a total area of more than 10 000 sq. km.<sup>12</sup> The majority of the lakes and glaciers in the TP are found in isolated areas without inhabitants, making them particularly vulnerable to climate change.

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† Electronic supplementary information (ESI) available. See DOI: <https://doi.org/10.1039/d2ea00075j>



Tropospheric  $\text{NO}_2$  over the TP is dependent on local emissions, meteorological conditions and seasonal cycles. The strong increase in  $\text{NO}_2$  over the eastern boundary of the TP is likely to be associated with an increase in industrial development, followed by traffic and residential sources there.<sup>17</sup> A positive trend in  $\text{NO}_2$  is found in the densely populated regions such as Urumqi ( $0.15 \pm 0.04 \times 10^{15}$  molec.  $\text{cm}^{-2}$  year<sup>-1</sup>) from 1996 to 2005.<sup>18</sup> Ghude *et al.*<sup>19</sup> found a positive trend of  $1.76 \pm 1.1\%$  year<sup>-1</sup> in south Asia for the period 1996–2006, which includes India, Pakistan, Bangladesh and Nepal. Lu *et al.*<sup>20</sup> pointed out that the increase of  $\text{NO}_2$  in India is mainly associated with coal-fired power plants, followed by industries and traffic emissions, as assessed from the measurements made between 2004 and 2015. Ramachandran *et al.*<sup>21</sup> found a significantly positive trend in India based on  $\text{NO}_2$  measurements from SCIAMACHY on-board Envisat in 2002–2012. For instance, Delhi and the eastern mining regions of India show a linear trend of  $3.24 \pm 1.22$  molec.  $\text{cm}^{-2}$  year<sup>-1</sup> and  $7.27 \pm 1.27$  molec.  $\text{cm}^{-2}$  year<sup>-1</sup>, respectively, from 2002 to 2012. Positive trends in  $\text{NO}_2$  with 44.1, 23.4, 31.4 and 32.3% year<sup>-1</sup> are also found in the densely populated cities of Islamabad, Lahore, Dera Ghazi Khan and Karachi, respectively.<sup>22</sup> However, there is evidence of decrease in  $\text{NO}_2$  in some regions of China after 2012.<sup>23</sup> One major goal of the 12th Five-Year Plan of China during the period 2011–2015 was to reduce  $\text{NO}_x$  emissions<sup>24</sup> and the associated positive change is also reflected in recent assessments.<sup>25</sup>

Due to the importance of the TP environment, it is essential to continuously observe  $\text{NO}_2$  over this region. However, because of the complex topography, a wide ground-based monitoring network is difficult in this region. Conversely, the spectral absorption of  $\text{NO}_2$  in the visible and near-ultraviolet range (300–700 nm) allows its global measurements from satellites. There are several space-based observations for tropospheric  $\text{NO}_2$ . The first space-based  $\text{NO}_2$  observation started in the mid-1990s with the Global Ozone Monitoring Experiment (GOME: 1995–2011).<sup>26</sup> Similar measurements with a better spatial resolution are continued with the Scanning Imaging Absorption Spectrometer for Atmospheric Cartography (SCIAMACHY: 2002–2012),<sup>27</sup> Ozone Monitoring Instrument (OMI: 2004–2015),<sup>28</sup> Global Ozone Monitoring Experiment-2 (GOME-2: 2006–to date)<sup>29</sup> and Tropospheric and Monitoring Instrument (TROPOMI: 2017–to date).<sup>30</sup> Among them, TROPOMI has better spatial resolution and OMI has the longest measurement record.<sup>31</sup> However, previous studies on  $\text{NO}_2$  were limited to the urban regions of the TP and its adjacent boundary. Therefore, to assess the changing environment of the TP, we examine the spatio-temporal features of  $\text{NO}_2$  over the entire HKH and TSM regions from 2005 to 2020, using the merged observations of OMI and GOME-2B. Furthermore, to know the direct impact of anthropogenic sources of  $\text{NO}_2$  over the region, the trend analysis of  $\text{NO}_2$  is carried out over 20 cities in and around the region. The cities are Kabul, Peshawar, Khuzdar, Srinagar, Dehradun, Shillong,

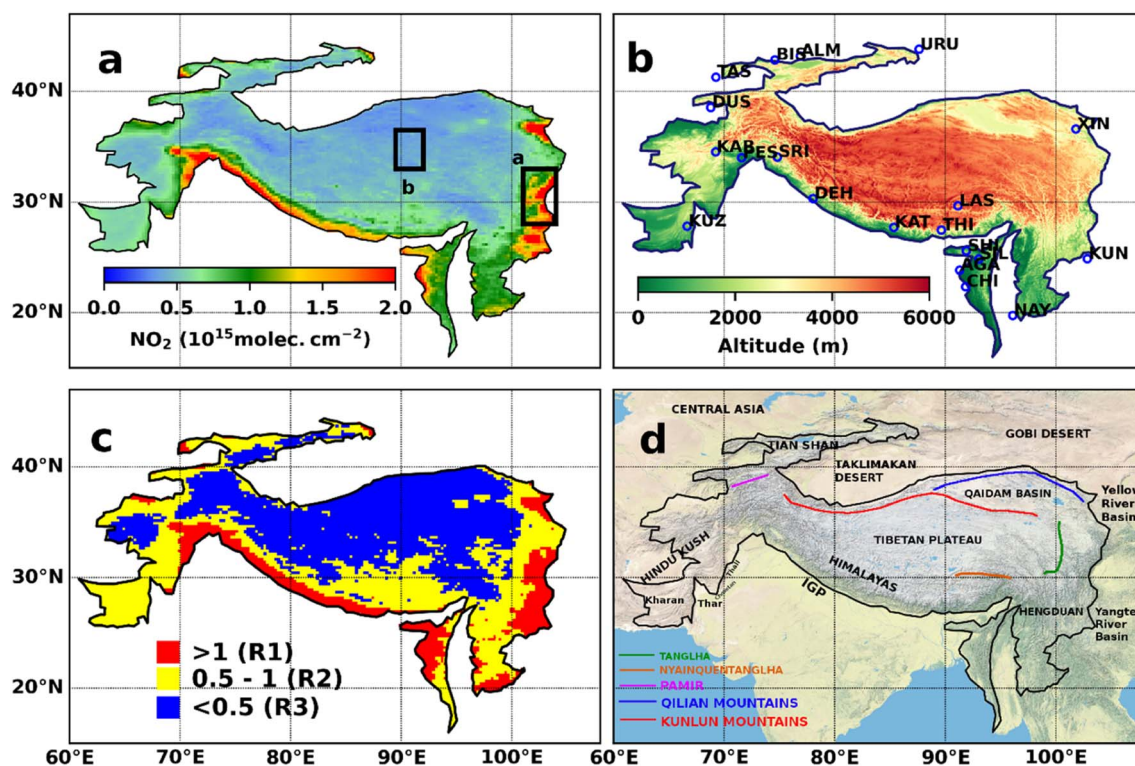


Fig. 1 (a) Mean (2005–2020) tropospheric  $\text{NO}_2$  column (in  $10^{15}$  molec.  $\text{cm}^{-2}$ ) in the TP. Box a represents the most polluted region and box b represents a pristine region in the TP. (b) Topography of the study region with selected cities marked in circles. (c) Mean (2005–2020) tropospheric  $\text{NO}_2$  over the TP with three distinct ranges of  $\text{NO}_2$ : regions with  $\text{NO}_2$  less than  $0.5 \times 10^{15}$  molec.  $\text{cm}^{-2}$  (blue colour), between 0.5 and  $1 \times 10^{15}$  molec.  $\text{cm}^{-2}$  (yellow colour), and greater than  $1 \times 10^{15}$  molec.  $\text{cm}^{-2}$  (red colour). (d) The geographic map of the TP and adjacent regions (no country borders marked).



Silchar, Agartala, Kathmandu, Chittagong, Thimphu, Naypyitaw, Xining, Lhasa, Kunming, Urumqi, Dushanbe, Almaty, Tashkent, and Bishkek from 12 different countries: Afghanistan, Pakistan, India, Nepal, Bangladesh, Bhutan, Myanmar, China, Kazakhstan, Kyrgyzstan, Uzbekistan, and Tajikistan (see Fig. 1b). Therefore, this is the first comprehensive study that deals with NO<sub>2</sub> pollution for the entire TP using measurements made in the past two decades. This type of long-term study is expected to help policymakers and scientists to better comprehend pollution sources and their linkage with regional climate change, which would first appear in remote and extreme places like the TP.

## 2. Data and methods

We have used NO<sub>2</sub> observations of OMI on-board Aura and GOME-2B on-board Metop-B from November 2004 to December 2014 and from January 2013 to March 2021, respectively. Both OMI and GOME-2B are nadir viewing instruments, which measure backscattered radiation at a spatial resolution of 13 × 24 km<sup>2</sup> and 80 × 40 km<sup>2</sup>, respectively.<sup>32</sup> OMI provides daily global measurements whereas GOME-2B has a default swath width of 1920 km, allowing for global coverage within 1.5–3 days at the Equator.<sup>33</sup> The OMI NO<sub>2</sub> measurements are made in 3 channels between 264 and 504 nm and GOME-2B in 4 bands between 240 and 790 nm.<sup>34</sup> We have merged OMI (2004–2014) and GOME-2B (2013–2021) data using a modified bias correction method by finding the cumulative distribution of data for the overlapping period (Fig. S1†) to minimize bias in the older instrument using measurements from the new instrument, as described by Bai *et al.*,<sup>35</sup> to get long-term continuous data from 2004 to 2021. The difference in the overlapping period of OMI and GOME-2B measurements is within ±0.5 × 10<sup>15</sup> molec. cm<sup>-2</sup>. Also, there are regions with larger differences (0.5 × 10<sup>15</sup> molec. cm<sup>-2</sup> in the east of 100° E and west of 75° E (Fig. S1,† bottom). OMI is affected by a loss of spatial coverage after 2014, commonly referred to as row-anomaly, which is about 5–35% of the total pixels captured by the instrument.<sup>36,37</sup> Therefore, we consider GOME-2B observations after 2014 for better accuracy.

To understand the seasonal distribution of biomass and agricultural waste burning, we use fire count data from MODIS Terra at a spatial resolution of 1 km. Furthermore, we use ERA5 reanalysis for 10 m winds for the illustration of seasonal winds over the TP.<sup>38</sup> Here, the seasons are defined as winter (December–January–February, DJF), spring (March–April–May, MAM), summer (June–July–August, JJA) and autumn (September–October–November, SON).

We have used the Emissions Database from Global Atmospheric Research (EDGAR v6.1), a bottom-up inventory that estimates emissions for a number of trace gases and aerosols, including NO<sub>2</sub>. It has a spatial resolution of 0.1° × 0.1° and covers a period from 1970 to 2018. The activity data and emission factors are used to determine emissions from each source and country per annum.<sup>39</sup>

We have used the HYSPLIT Lagrangian transport model for making air mass trajectories. The backward trajectories provide a path of air parcels in the chosen period to identify the

potential source regions and transport pathways of pollutants. Studies show that the average lifetime of NO<sub>x</sub> estimated for Asia, including India, China and Indonesia, is between 15 and 47 hours, and is highly seasonal and temperature dependent.<sup>40</sup> Therefore, we have computed three dimensional 3 day backward trajectories at 500 m above the ground at three distinct receptor sites, each of which represents a distinct region: 27° N, 102° E (S<sub>1</sub>, highly polluted region), 33.5° N, 88° E (S<sub>2</sub>, less polluted region) and 36.2° N, 67° E (S<sub>3</sub>, moderately polluted region) from the east, central and west TP, respectively, to analyse the seasonal variation of air mass transport to the TP by using meteorological input from the Global Data Assimilation System (GDAS) at 1° × 1° resolution. The GDAS meteorological data have been widely used for air mass trajectory calculations.<sup>41</sup> The height 500 m is chosen to diminish the effect of friction and to represent the winds in the lower troposphere.<sup>42</sup> Trajectories were then clustered using the Total Spatial Variance method.<sup>43</sup> Furthermore, we have also estimated the integration error by computing forward and backward trajectories from each receptor site and found an uncertainty of about 171.4, 200.2 and 159 km, respectively, which are half the distance between end and starting points at each receptor site.

## 3. Results and discussion

### 3.1 Spatial distribution of tropospheric NO<sub>2</sub> over the TP

Fig. 1a shows the long-term mean (2005–2020) of tropospheric NO<sub>2</sub> over the TP (10°–45° N and 60°–105° E) derived from the merged OMI and GOME-2B data. Fig. 1b shows the topography of the TP, including 20 selected cities in and around the region. The boundaries of the TP within and close to the IGP in the south and the YYRB in the eastern TP have low-lying (*i.e.* elevation below 2000 m from the mean sea level) densely populated and economically dynamic regions.<sup>22,44</sup> In comparison to other regions of the TP, these city regions are highly populated and therefore, are characterised by higher concentrations of NO<sub>2</sub> (>1 × 10<sup>15</sup> molec. cm<sup>-2</sup>). On the other hand, the inland TP is surrounded by high mountains: the Pamir and Hindukush in the west, the Himalaya in the south (stretching across the countries of Pakistan, India, Nepal, Bhutan and China), the Nyainquentanglha and Handgun mountains in the southeast, the Tengla mountain in the east, and the Kunlun and Qilian Mountains in the north (Fig. 1d), which act as natural barriers that block air mass inflow to the TP from the surrounding regions of the IGP, YYRB, Middle East, Central Asia, and Taklimakan and Gobi deserts. This complex geographical structure of boundary regions of the TP and long dry season are favourable for the accumulation of air pollutants in the lower basins. However, these pollutants can reach the inland TP under the influence of atmospheric transport.

The vertical transport of NO<sub>2</sub> is highly dependent on the lifetime and chemistry of NO<sub>2</sub> in the atmosphere. However, these high-altitude regions (*i.e.* >4000 m from the mean sea level) are the most pristine regions with near-zero tropospheric NO<sub>2</sub> (<0.5 × 10<sup>15</sup> molec. cm<sup>-2</sup>) and no anthropogenic activity. Therefore, the sparsely populated hilly regions (with altitude <4000 m), including the northwest TP around the Taklimakan



desert, have  $\text{NO}_2$  less than  $0.5 \times 10^{15}$  molec.  $\text{cm}^{-2}$ . Also, the less-populated low-lying regions close to Thar, Cholistan, Thal and Kharan in the southwest TP have moderate levels of  $\text{NO}_2$ , within  $0.5\text{--}1 \times 10^{15}$  molec.  $\text{cm}^{-2}$ . Lower pollution in this region could be due to less anthropogenic activities together with small population owing to the complex terrain. Henceforth, for the assessment of spatial and temporal changes in tropospheric  $\text{NO}_2$ , the study area is divided into three regions based on the mean distribution of  $\text{NO}_2$  over the TP, specifically high ( $>1 \times 10^{15}$  molec.  $\text{cm}^{-2}$ ), moderate ( $0.5\text{--}1 \times 10^{15}$  molec.  $\text{cm}^{-2}$ ) and low ( $<0.5 \times 10^{15}$  molec.  $\text{cm}^{-2}$ ) concentrations referred to as  $R_1$ ,  $R_2$  and  $R_3$ , respectively, as shown in Fig. 1c.

### 3.2 Seasonal variation in $\text{NO}_2$

Fig. 2 shows the seasonal distribution of tropospheric  $\text{NO}_2$  over the TP for the period 2005–2020, which are overlaid with the winds. The seasonal variation of tropospheric  $\text{NO}_2$  is primarily associated with the changes in meteorological conditions, including winds, humidity and temperature. Therefore, these meteorological parameters can highly impact the emissions, evolution and long-range transport of pollutants. The climate of the TP is primarily affected by the Asian monsoon in summer and westerlies in other seasons, and thus the transport of pollutants. The eastern boundary of the TP close to the vicinity of the YYRB shows comparatively higher tropospheric  $\text{NO}_2$  ( $>2 \times 10^{15}$  molec.  $\text{cm}^{-2}$ ) in DJF, but lower during JJA ( $1.25\text{--}2 \times 10^{15}$  molec.  $\text{cm}^{-2}$ ). In contrast, the highest concentrations of  $\text{NO}_2$  are observed during MAM ( $1.25\text{--}2 \times 10^{15}$  molec.  $\text{cm}^{-2}$ ) and the lowest during SON ( $1\text{--}1.75 \times 10^{15}$  molec.  $\text{cm}^{-2}$ ) over the

boundary regions of the TP close to the IGP. Higher  $\text{NO}_2$  near the IGP and YYRB is primarily due to anthropogenic activities throughout the year.<sup>45</sup> However, the increase in  $\text{NO}_2$  during MAM over the southern boundaries of the TP near the IGP is due to the enhanced biomass burning associated with agriculture. The stubble burning during the wheat-rice rotation period is among the prominent reasons for a seasonal increase in  $\text{NO}_2$  in this region.<sup>4,46,47</sup> Major stubble burning occurs during January–May in Lahore, Faisalabad, Dera Ghazi Khan, Narowal and Hafizabad in Pakistan, Punjab, Haryana, Himachal Pradesh, Uttarakhand, Uttar Pradesh and Bihar in India, Nepal and Myanmar.<sup>44,48</sup> The fire count data also reveal an increase in the number of fires, particularly during MAM when compared to other seasons (Fig. S3†). Furthermore, the meteorological conditions such as low humidity, low wind speed, low temperature and geographical features of the IGP support the concentration of air pollutants in this region.<sup>49</sup>

In addition, higher  $\text{NO}_2$  during MAM over the south-east regions of the TP, including northeast India, Myanmar, Bhutan and Bangladesh, is primarily due to the wildfires in this dry season.<sup>48</sup> The YYRB region is one of the densely populated and industrialised regions in the world,<sup>13</sup> and thus, anthropogenic emissions are relatively higher throughout the year, with very small seasonal variation ( $>1.5 \times 10^{15}$  molec.  $\text{cm}^{-2}$ ). However, coal-fired heating contributes significantly to  $\text{NO}_2$  in this region during DJF.<sup>45</sup> Additionally, seasonal analysis shows high variation throughout the year (increases from  $0.2\text{--}1 \times 10^{15}$  molec.  $\text{cm}^{-2}$  from winter to summer). This difference in the seasonal cycle is related to various sources of  $\text{NO}_x$  in different regions. Van der A *et al.*<sup>18</sup> suggested that the major sources of  $\text{NO}_x$  over

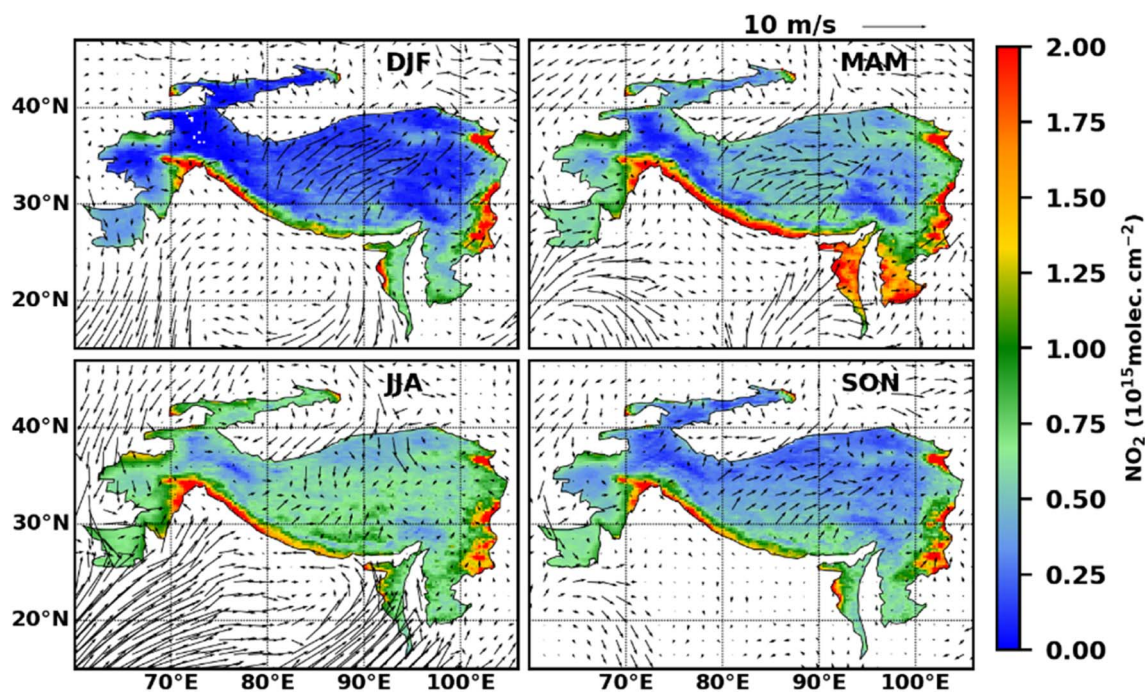


Fig. 2 Seasonal changes in the tropospheric  $\text{NO}_2$  column in the TP. The seasons are defined as winter (December–January–February, DJF), spring (March–April–May, MAM), summer (June–July–August, JJA) and autumn (September–October–November, SON). The corresponding seasonal wind vectors are overlaid.



the high altitude TP are natural (soil and lightning) emissions and are highly dependent on changes in temperature, soil and precipitation.

**3.2.1 Air mass transport to the TP.** The TP region is surrounded by high mountains, which are natural barriers that can impede pollutants reaching the main body of the TP. Nevertheless, the valleys in these mountainous regions serve as channels that drive pollutants towards the inner regions of the TP. To identify the air mass transport towards the TP from different regions, we run three-day backward trajectories at three receptor sites  $S_1$ ,  $S_2$  and  $S_3$  for the year 2018, representing three regions in the east, central and west TP from  $R_1$ ,  $R_2$  and  $R_3$ , respectively (Fig. 3). The trajectories are computed for each season and calculated every 6 hours at 500 m above each site using the HYSPLIT model. The air mass transport is further analysed by clustering the trajectories to four groups based on the percentage of transport towards these sites.

We observe that about 75–80% trajectories arrive at  $S_3$  are from west in all seasons, reflecting that the region is westerly dominated and the major air mass transport towards the TP is mainly from Central Asia and Middle East. However, local source contribution is also significant, about 35–40% in DJF and MAM and about 10–20% in JJA and SON. Likewise, about 75–80% trajectories at  $S_2$  in the central TP are from the west and northwest during SON, DJF and MAM, which suggests that the westerly effect is dominant there. However, during JJA the trajectories arrive at  $S_2$  are mostly from South, Southwest and Southeast (about 83%) and have their origin within the TP. This points out that the South Asian Summer Monsoon (SASM)

dominates the air flow during JJA in this region. Conversely, about 55–60% trajectories reach  $S_1$  from the west and southwest during SON, DJF and MAM. The trajectories that reach  $S_1$  are mostly influenced by the southern branch of westerlies in these seasons, indicating the impact of westerlies in the southeast TP. However, in JJA, all trajectories reaching  $S_1$  are from south and southeast, which clearly shows that SASM dominates the air flow in the southeast TP, but the trajectories are relatively short and not clearly distinct due to higher humidity in the atmosphere.

Our results from the cluster analysis and the seasonal distribution of  $\text{NO}_2$  show that pollutants reaching the TP are mostly from its boundary regions. For example, the western TP is affected by the pollution from central Asia, the eastern TP from Southeast Asia and the southern TP from the IGP. In addition to this, the winds transport  $\text{NO}_2$  from the east, particularly from the YYRB to the inner TP and a significant amount of  $\text{NO}_2$  ( $0.75\text{--}1.25 \times 10^{15}$  molec.  $\text{cm}^{-2}$ ) gets concentrated in the northern foothills of the Himalaya during JJA, which is more than 1.5–2 times the amount of  $\text{NO}_2$  ( $0.5\text{--}0.75 \times 10^{15}$  molec.  $\text{cm}^{-2}$ ) present in other seasons. Similarly, the pass in mountain chains also channels the air mass towards the mainland of the TP during JJA, which is not prominent in other seasons.

### 3.3 Temporal variation of $\text{NO}_2$

Fig. 4 (top) shows the time series of monthly averaged  $\text{NO}_2$  over the three regions ( $R_1$ ,  $R_2$  and  $R_3$ ) in the TP. At  $R_1$ , the peak

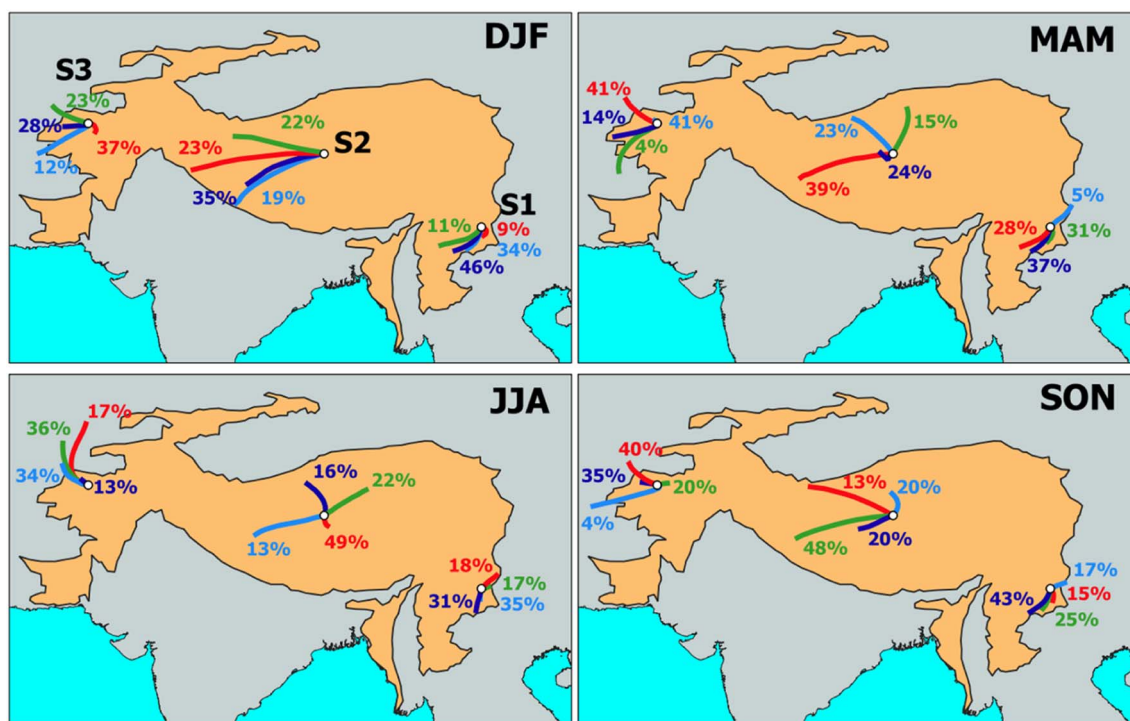


Fig. 3 Three-day backward air-mass trajectory clusters at three receptor sites  $S_1$  ( $27^\circ$  N,  $102^\circ$  E),  $S_2$  ( $33.5^\circ$  N,  $88^\circ$  E) and  $S_3$  ( $36.2^\circ$  N,  $67^\circ$  E) for the year 2018 in the TP. The seasons are defined as winter (December–January–February, DJF), spring (March–April–May, MAM), summer (June–July–August, JJA) and autumn (September–October–November, SON).



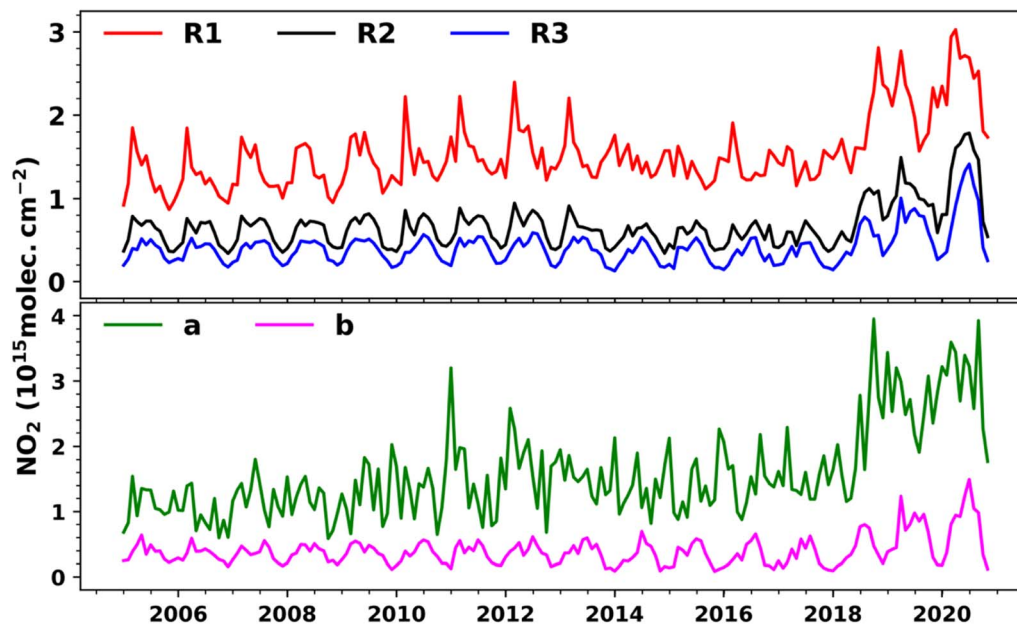


Fig. 4 (Top) Temporal evolution of tropospheric NO<sub>2</sub> over three distinct NO<sub>2</sub> regions. (Bottom) Tropospheric NO<sub>2</sub> in two selected regions a and b for the period from January 2005 to November 2020. R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> are the regions of different NO<sub>2</sub> concentration levels, and a and b are the sub-regions in the TP with high pollution and the pristine environment, respectively (see the text and Fig. 1 for details).

concentration of NO<sub>2</sub> slowly decreased from  $1.9$  to  $1.6 \times 10^{15}$  molec. cm<sup>-2</sup> during 2005–2009, which can be linked to the global economic recession and in the countries neighbouring the TP. The recession significantly reduced the amount of traffic and goods transport, which further decreased the anthropogenic activities and thus, the emissions.<sup>50</sup> However, there are no significant changes during this period over R<sub>2</sub> and R<sub>3</sub>. Subsequently, we notice a steady increase in NO<sub>2</sub> from 2010 to 2012, with a peak of about  $2.2$ – $2.4 \times 10^{15}$  molec. cm<sup>-2</sup> in R<sub>1</sub>. Following this, there is a gradual decline in NO<sub>2</sub> ( $2.4$ – $1.6 \times 10^{15}$  molec. cm<sup>-2</sup>) during 2012–2018, which may be due to the implementation of several policies for regulating air pollution in the neighbouring countries (China, India, Bhutan, Pakistan and Nepal) of the TP. These policies include the State council air pollution prevention and control action plan (CAAC)<sup>51</sup> in China, National Environment Protection Act of Bhutan (NEPAB);<sup>52</sup> Pakistan Environmental Protection Act (PEPA),<sup>53</sup> and Pollution Control Acts, Rules and Notifications<sup>54</sup> in India. Thenceforth, we also find an abrupt increase in NO<sub>2</sub> in R<sub>1</sub> during 2018–2020, which is about 2 times ( $1.6$ – $3 \times 10^{15}$  molec. cm<sup>-2</sup>) the amount of NO<sub>2</sub> in 2018. The dramatic change in NO<sub>2</sub> may be closely linked to the rapid development in the transportation sector in the Tibetan region. Over the past five years, an average of about 7500 km new highways were built every year there, which connects this region with other major cities of China (TARGWR).<sup>55</sup> Additionally, there is a continuous expansion of the railway network in the TP region.<sup>56</sup> Recently, the road transport and railway tracks were extended to more regions, e.g. Lhasa–Xigaze (completed in 2015) and Lhasa–Nyingchi (completed in 2020), and are opened for public and commercial services.<sup>57</sup> However, these development activities dramatically changed the TP environment, as also reported in Cui and Graf.<sup>58</sup>

In R<sub>2</sub>, a consistent annual variation in NO<sub>2</sub> is found from 2004 to 2012, but a slight decline in 2014, from  $0.8$  to  $0.6 \times 10^{15}$  molec. cm<sup>-2</sup>. Nevertheless, an abrupt change in NO<sub>2</sub> from  $0.6$  to  $2 \times 10^{15}$  molec. cm<sup>-2</sup> is found during 2018–2020. A similar interannual variability with a sudden rise from 2018 is also observed in R<sub>3</sub>. A rapid rise in NO<sub>2</sub> from 2018 onwards shows the impact of anthropogenic emissions reaching the inner regions of the TP.

We have also examined the changes in monthly mean NO<sub>2</sub> over two sub-regions a and b (see Fig. 1a). Fig. 4 (bottom) shows the monthly averaged tropospheric NO<sub>2</sub> over these sub-regions from 2005 to 2020. Sub-region ‘a’ is highly polluted, whereas ‘b’ is less polluted. In region-b, a consistent temporal variation in NO<sub>2</sub> is found from 2004 to 2017. After 2018, we find a sharp increase of about  $0.5$ – $1.2 \times 10^{15}$  molec. cm<sup>-2</sup> in NO<sub>2</sub>, which indicates the spread of pollution even to the inner TP. On the other hand, in region-a, NO<sub>2</sub> gradually increased from 2004 to 2010 with a sharp peak of about  $3.5 \times 10^{15}$  molec. cm<sup>-2</sup> in 2011, but gradually decreased to  $2 \times 10^{15}$  molec. cm<sup>-2</sup> by 2018. The NO<sub>2</sub> concentrations show a significant increase thereafter to  $1.5$ – $4 \times 10^{15}$  molec. cm<sup>-2</sup> in 2020. Liu *et al.*<sup>59</sup> found that NO<sub>2</sub> concentration in China peaked to  $5.8 \times 10^{15}$  molec. cm<sup>-2</sup> in 2011 and then decreased by 32% from 2011 to 2015, though the Chinese economy kept growing after 2012.<sup>60</sup> The change in NO<sub>2</sub> distribution over the TP shows the positive effect of national pollution control and the industrial transformation policy of China. For instance, the industrial transformation processes in China evolved in two phases. The first phase was during 1970–1980, when international industries were transferred from the western developed countries to the eastern coastal areas of China. Since 2000, in the second phase, industries were relocated from coastal to Central and Western regions of China.<sup>61</sup>



Apart from these, the relocation of industries within provinces and inter-provinces has also occurred during the second phase.<sup>62,63</sup>

### 3.4 Trends in the tropospheric NO<sub>2</sub> column

Fig. 5 shows the trend (2005–2020) of NO<sub>2</sub> in the TP. Small positive trends (up to  $0.1 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup>) are observed in most regions. Comparatively higher trends (*i.e.*  $\geq 0.1 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup>) are found over the eastern boundaries of the TP around Qinghai and Sichuan provinces that connect the east, central, west and south Asia through roadways. We also find higher trends (*i.e.*,  $>0.05 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup>) near the IGP around Kabul, Peshawar, Srinagar, Punjab, Haryana, Himachal Pradesh and Uttarakhand. In contrast, the highest region-wise annual trend is found over R<sub>1</sub> ( $0.07 \pm 0.01 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup>) and smallest over R<sub>3</sub> ( $0.01 \pm 0.01 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup>). We also find positive trends in all regions of the TP in the annual and

seasonal data. In regions a and b, the highest positive trend is found in MAM ( $0.24 \pm 0.01 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup>) and SON ( $0.1 \pm 0.01 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup>). In a similar study, Rupakheti *et al.*<sup>47</sup> found high positive trends of NO<sub>2</sub> in the IGP ( $0.052 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup> in winter) and Himalaya ( $0.035 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup>) in the pre-monsoon season, and the Tibetan Plateau ( $0.016 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup>) in summer using the OMI measurements for the period 2004–2015.

Furthermore, we have also analysed the NO<sub>2</sub> trends for 20 selected cities with respect to the annual and seasonal data, as shown in Fig. 6 (top). The bottom panel of the figure shows an approximate area of the selected cities and average population based on the last census (year may be different for each country). The estimated trends are positive for all cities, except Urumqi and Almaty, and they show a decreasing annual trend of  $-0.42 \pm 0.08 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup> and  $-0.01 \pm 0.03 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup>, respectively. However, most cities

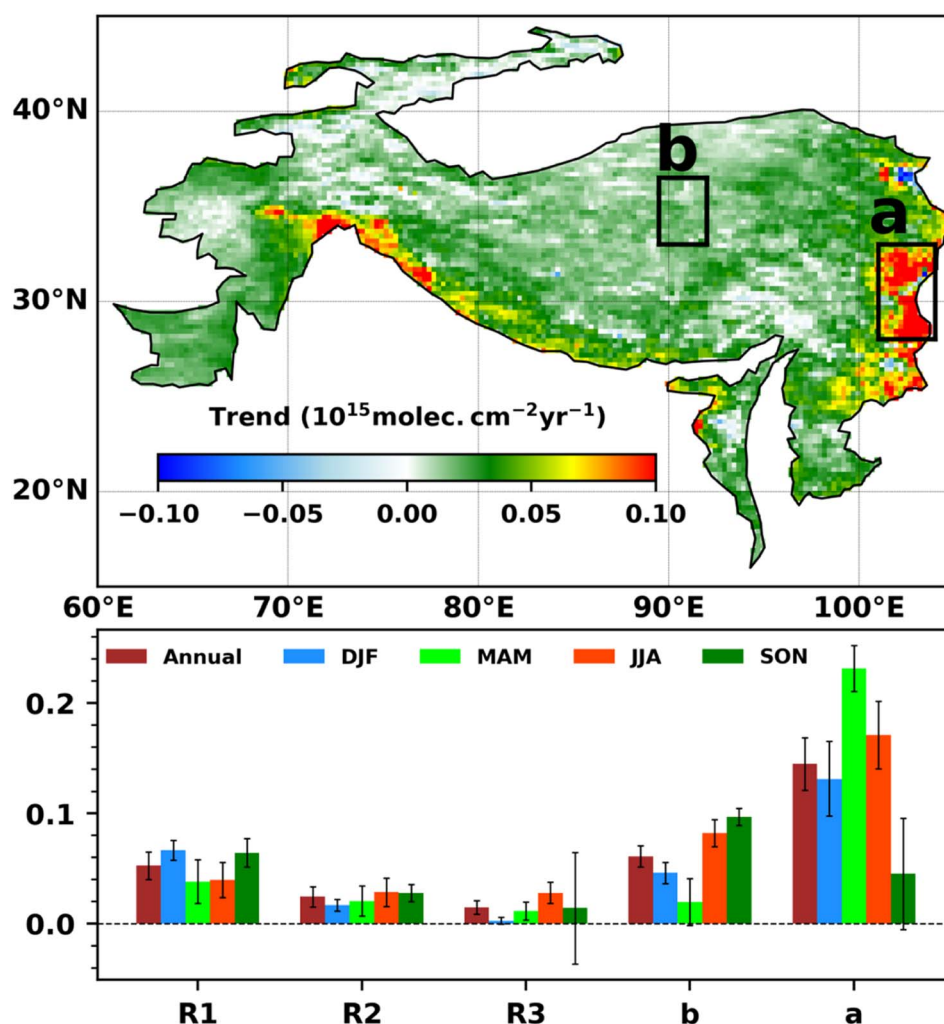


Fig. 5 (Top) Annual trends (2005–2020) in tropospheric NO<sub>2</sub> in the TP. Box a represents the most polluted regions and box b represents a pristine region in the TP. (Bottom) Annual and seasonal trends (2005–2020) of the tropospheric NO<sub>2</sub> column (in  $10^{15}$  molecule cm<sup>-2</sup> year<sup>-1</sup>) in three distinct NO<sub>2</sub> column regions R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> and two selected sub-regions a and b (see Fig. 1). The seasons are defined as winter (December–January–February, DJF), spring (March–April–May, MAM), summer (June–July–August, JJA) and autumn (September–October–November, SON).



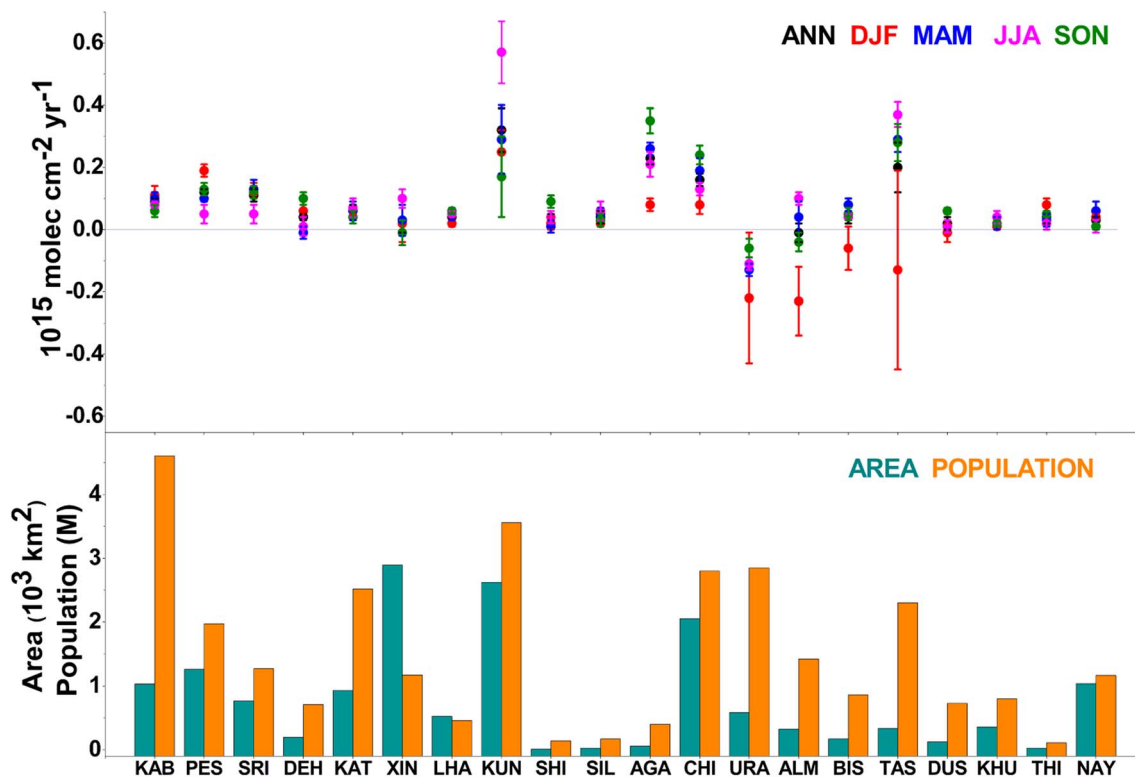


Fig. 6 (Top) Annual and seasonal trend (2005–2020) of the tropospheric NO<sub>2</sub> column (in 10<sup>15</sup> molec. cm<sup>-2</sup> year<sup>-1</sup>) in 20 selected cities for the same period. (Bottom) Approximate city area (km<sup>2</sup>) and population (in million, M) based on the last census (different for each country, e.g. 2011 for India, 2011 for China and 2017 for Pakistan). The seasons are defined as winter (December–January–February, DJF), spring (March–April–May, MAM), summer (June–July–August, JJA) and autumn (September–October–November, SON). The city names are shown in brackets for their abbreviated form, *i.e.* KAB (Kabul), PES (Peshawar), SRI (Srinagar), DEH (Dehradun), KAT (Kathmandu), XIN (Xining), KUN (Kunming), SHI (Shillong), SIL (Silchar), AGA (Agartala), CHI (Chittagong), URA (Urumqi), ALM (Almaty), BIS (Bishkek), TAS (Tashkent), LHA (Lhasa), THI (Thimphu), DUS (Dushanbe), KUZ (Khuzdar), and NAY (Naypyitaw).

within the TP show a clear positive trend. The highest increasing annual trend of  $0.32 \pm 0.07 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup> is found at Kunming and the lowest of  $0.02 \pm 0.01 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup> at Khuzdar. Therefore, the analysis for the cities in the region further confirms that the pollution is rising and is also transferred to the inner TP.

### 3.5 Sources of NO<sub>2</sub> emissions from EDGAR v6.1

To examine the impact of anthropogenic sources of NO<sub>2</sub> on the TP, we have analysed the emission inventory EDGARv6.1 available from 1970 to 2018. It shows that the contribution of emissions from road transport, power and refinery sectors increased by 0.8, 0.4 and 0.4%, respectively, whereas the contribution from agricultural activities is reduced by 2% during 2005–2018 compared to that in 1970–2018. This decline might be due to change in climate and associated reduction in certain agricultural activities. For instance, Bai *et al.*<sup>64</sup> observed the loss in agricultural production due to climate change in the TP for the period 2000–2019; consistent with our analysis.

Fig. 7 (top) shows that the agricultural activities are mostly confined to the regions in close proximity to the IGP (both in India and Pakistan), Northeast India, Afghanistan, Nepal, Chittagong hill side of Bangladesh and Myanmar. Wheat, barley

and rice are the major agricultural crops produced in Afghanistan, while rice, wheat, sugarcane and groundnuts are the major agricultural products of the IGP.<sup>65</sup> One of the main sources of NO<sub>2</sub> in these regions is open stubble burning. However, during the wheat-rice rotation period, biomass burning frequency is very high as observed from the fire count analysis (Fig. S2†). Major stubble burning occurs during October–May in the IGP, YARB, Nepal, Myanmar and East Asia.<sup>4,44,46</sup> However, large areas on the southern slope of the TP, including Nepal, Bhutan, Bangladesh, Northeast India, Myanmar, East Asia and some regions of the YARB, are covered with broadleaf forest, and the fire count frequency is high during December–June there, as illustrated in Fig. S2.†

Fig. 7 (bottom) shows the NO<sub>2</sub> pollution through road transport in the TP, which are very small (<4 Tg year<sup>-1</sup>) in Nepal, Bhutan and Myanmar. In the roadways of Diqing, Nujiang and Dali prefectures of Yunnan province, Ganjzi, Aba and Liangshan prefectures of Sichuan Province and the entire Qinghai Province of China, the NO<sub>2</sub> emission rate is <8 Tg year<sup>-1</sup>. However, the emission is comparatively higher on the highways of these regions, such as Qinghai-Tibet, Sichuan – Tibet and Xinjiang – Tibet highways, about 11–50 Tg year<sup>-1</sup>. On the Sino – Nepal friendship highway and Thimphu – Lhasa highway, the NO<sub>2</sub> emission rate is <4 Tg year<sup>-1</sup>. Similarly, a high emission of





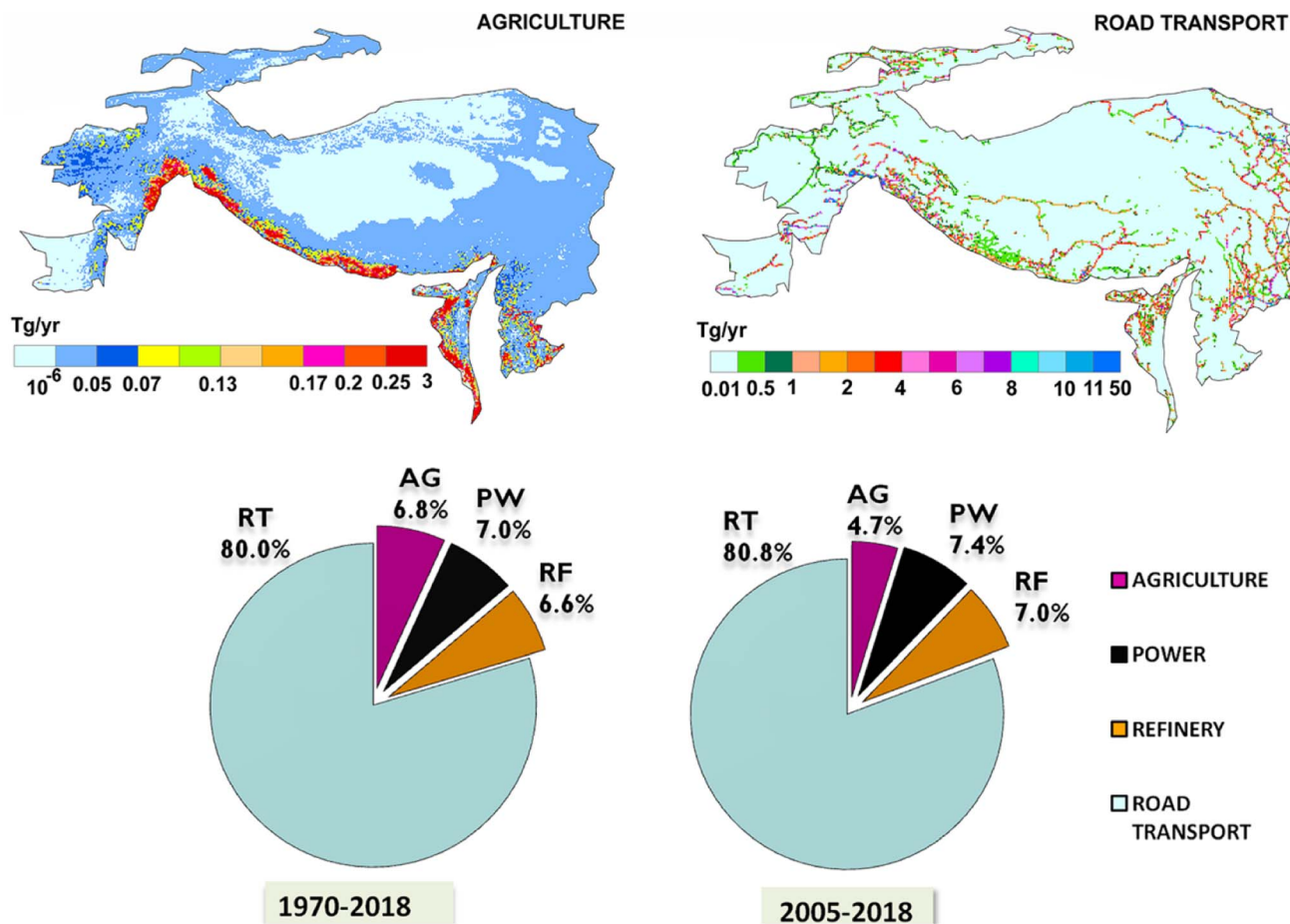


Fig. 7 (Top) Spatial representation of  $\text{NO}_2$  emissions from the agriculture sector and road transport during the period 1970–2018. (Bottom) Percentage contributions of anthropogenic  $\text{NO}_2$  emissions from road transport (RT), the agricultural sector (AG), the power generation sector (PW) and a refinery (RF) during the periods 1970–2018 and 2005–2018 using the EDGAR v6.0 inventory.

11–50  $\text{Tg year}^{-1}$  is observed along the roadways that connect major cities like Peshawar, Islamabad, Rawalpindi, Lahore, Faisalabad, Multan and Quetta in Pakistan. On the roadways in Uttarakhand, Himachal Pradesh, Jammu and Kashmir, the  $\text{NO}_2$  emission rate is within 1–8  $\text{Tg year}^{-1}$ . This is due to the gradual increase in the electricity demand and industrial production in the adjacent regions. Inventory analysis (Fig. 7) shows that the contribution of the refinery sector is about 7%, and there are no such direct anthropogenic sources of  $\text{NO}_2$  in the inner TP. Long-distance transport from the YYRB is the main source of  $\text{NO}_2$  from the refinery sector during summer, but refinery supplies are also located around the IGP, Middle East and Central Asian regions to contribute to the  $\text{NO}_2$  emissions in other seasons.

### 3.6 Error assessment

One of the limitations of the analysis presented here is the uncertainties associated with  $\text{NO}_2$  measurements. These depend mainly on the estimation of the Air Mass Factor (AMF) for converting the Slant Column Density (SCD) to the Vertical Column Density (VCD), which relies on *a priori*, surface albedo, satellite geometry, cloud fraction and cloud pressure.<sup>66</sup> Zhao

and Wang<sup>67</sup> reported an error of less than  $0.5 \times 10^{15}$  molec.  $\text{cm}^{-2}$ , and the total error per retrieval from OMI was about 30% over most of Eastern China for the measurements in July 2007. Nonetheless, the DOAS method is widely accepted to have the highest accuracy for different satellite sensors to retrieve atmospheric  $\text{NO}_2$ .<sup>68</sup> Previous studies have shown that satellites underestimate the amount of  $\text{NO}_2$  in regions that are characterised by inhomogeneous distributions, particularly urbanised and industrialised locations, *i.e.* the gradient smoothing effect.<sup>69</sup> For instance, Celarier *et al.*<sup>68</sup> estimated the correlation of  $\text{NO}_2$  between OMI and DOAS measurements from Cabauw, Netherlands, for which the correlation to ground-based (at Bremen and Heidelberg) and air-borne measurements [Intercontinental Chemical Transport Experiment (INTEX) and Polar Aura Validation Experiment (PAVE)] was greater than 0.6 for the year 2005. Ma *et al.*<sup>70</sup> reported an underestimation of 26–38% in  $\text{NO}_2$  concentrations by OMI at Beijing, China for the measurements in 2008–2011. Irie *et al.*<sup>71</sup> showed a bias of about 10% for  $\text{NO}_2$  from the space-borne measurements of SCIAMACHY, OMI and GOME-2 as compared to the ground-based measurements (MAX-DOAS) at Tokyo, Japan for the period 2006–2011. Wenig *et al.*<sup>72</sup> validated the  $\text{NO}_2$  measurements from OMI with



a ground-based Brewer instrument and reported an uncertainty within 25% when compared to the satellite measurements. They also reported 5–10% error in the NO<sub>2</sub> retrieval from the satellite, which is mainly due to the hotspot regions with a high spatial gradient. However, the inner TP does not have such hotspots and thus, we assume the bias to be relatively lower when compared to that of other regions. We also compared the satellite measurements to the ground-based measurements at Urumqi, Kunming and Chengguan, and found that the satellite measurements have reasonably reproduced seasonal and interannual variability of NO<sub>2</sub> in these regions, although these measurements are not directly comparable because of different measurement units (Fig. S3†).

EDGAR provides the monthly, annual and country specific emission maps and time series data. However, the disaggregation of EDGAR to the real time data has a notable uncertainty, since the temporal evolution of pollutants is difficult to estimate due to their complex and heterogeneous distributions.<sup>73</sup> The agricultural emission data from EDGAR, due to the lack of adequate representation, have relatively higher uncertainties.<sup>74</sup> Nevertheless, EDGAR assumes constant production of crops in a year, which also adds uncertainties in the emission estimates. Crippa *et al.*<sup>75</sup> validated the EDGAR emission inventory by estimating the uncertainties using a quality score from 1 to 4. Solazzo *et al.*<sup>76</sup> find that the bias in anthropogenic emissions covered by the EDGAR inventory for CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O is between –15% and +20% for the year 2015. Therefore, we have used the EDGAR emission inventory only to examine the key emission or pollution sources in and around the TP.

## 4. Conclusions

The spatio-temporal variation of tropospheric NO<sub>2</sub> in the TP is analysed using OMI and GOME-2B satellite measurements from 2005 to 2020. We observe high levels of NO<sub>2</sub> ( $>1 \times 10^{15}$  molec. cm<sup>-2</sup>) in the low-lying regions of the TP, particularly in the regions close to the IGP in the south and the YYRB in the east, and NO<sub>2</sub> is comparably lower ( $<0.5 \times 10^{15}$  molec. cm<sup>-2</sup>) in the high-altitude regions of the TP. A major contribution towards tropospheric NO<sub>2</sub> in the TP is from road transport (81%), owing to the impact of anthropogenic activities such as infrastructure development in the region during 2010–2020, together with climate change. Seasonal analysis shows a significant increase in the distribution of NO<sub>2</sub> from 0.25 to  $1 \times 10^{15}$  molec. cm<sup>-2</sup>, which invades the pristine regions of the inner TP. We find that the NO<sub>2</sub> trend is positive for most regions of the TP and near-by cities and even in the pristine areas of the central TP (up to  $0.05 \pm 0.01 \times 10^{15}$  molec. cm<sup>-2</sup> year<sup>-1</sup>), suggesting a gradual decline in air quality in the TP. NO<sub>2</sub> over the TP sharply increased after 2018, which is almost double in all regions, and is a signal of environmental change. This increasing pollution is a menace to the life of about 2 billion people living in the downstream of the TP. Henceforth, in the context of current global warming, the observed signature of climate and environment change in one of the most remote and pristine regions on Earth is a serious concern, which reiterates the importance of this study.

## Author contributions

BRS: methodology, data analyses, visualization, validation, software, and writing–original draft. JK: conceptualization, methodology, visualization, supervision, and review and editing of the original draft. GSG and MP: data analyses, visualization, and review and editing of the original draft.

## Data availability

The data used in this study are publicly available: tropospheric NO<sub>2</sub>: <https://www.temis.nl>, topography: <https://www.shadedrelief.com/>, ERA5 wind data: <https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5>, Hysplit model: <https://www.ready.noaa.gov/HYSPLIT.php>, EDGAR inventory: <https://edgar.jrc.ec.europa.eu/>, and population data for respective countries are available on: <https://nepalindata.com/media/resources/items/20/bStatistical-Year-Book-2017.pdf>, <https://www.nsb.gov.bt/publications/census-report/>, <https://censusindia.gov.in/census.website/data/census-tables>, <https://www.dop.gov.mm/en/publication-category/2014-reports>, <https://populationstat.com/kazakhstan/almaty>, <https://populationstat.com/search/>, <https://knoema.com/atlas/Tajikistan/Dushanbe#Demography>.

## Conflicts of interest

There are no conflicts of interest to declare.

## Acknowledgements

We thank the Director, Indian Institute of Technology Kharagpur (IIT Kgp), Chairman of CORAL IIT Kgp and the Ministry of Education (MoE) for facilitating the study. BRS acknowledges his IIT Kgp Doctoral Scholarship for Foreign Students. GSG acknowledges the Department of Science and Technology, Ministry of Science and Technology, India for the INSPIRE fellowship for his Doctoral study at IIT KGP. MP acknowledges her doctoral fellowship from MoE through IIT Kgp. We acknowledge the free use of tropospheric NO<sub>2</sub> column data from the OMI and GOME-2 (METOP-B) sensor from <https://www.temis.nl>. We also acknowledge the Copernicus Atmospheric Data Store for the ERA5 reanalysis data. The authors acknowledge the NOAA Air Resource Laboratory (ARL) scientific team for the HYSPLIT model.

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