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Methods to probe the formation of biofilms: applications in foods and related surfaces

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Biofilms of bacteria affect product quality and safety of food. Bacterial adhesion onto surfaces of processing equipment in contact with foods is indicated by the formation of biofilms. To date, little is known about the principles of nucleation and growth of such films. There are many factors promoting biofilms, such as food-surface contact, nature of the food product, types of bacteria, and parameters associated with food processing. The synergetic effects among them make it difficult to probe the formation of biofilms. In order to obtain a fundamental understanding of biofilms related to food and to identify effective methods to study the same, we reviewed the literature for roles of surface topography of bacteria and their attachment on a substrate. Specifically, we evaluated methods to characterize the morphology and detect chemicals of biofilms. Through this effort, we recommend three effective approaches to probe biofilms: (i) observation with various microscopic methods with different view fields at the same point; (ii) in-depth data analysis during microscopic image processing; (iii) combinative study using atomic force microscopy (AFM) and chemical analysis. This review intends to help researchers develop effective methods to provide insight into biofilm formation and to subsequently find ways to control it.

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Introduction

Biofilms are one of the key problems in the food and beverage manufacturing industry and are formed by contaminations of bacterial cells and organisms. Biofilms cause not only the degradation of equipment performance, but also the failure of hygienic control.¹ The subsequent cleaning processes will increase consumption of time, energy, and water, leading to higher production costs.² In order to avoid the risk of cross-contamination³ and bio-corrosion,^{4,5} a better understanding of the mechanisms of biofilm-development is required.

Biofilm formation is defined as the initial process whereby individual bacteria adhere to a surface and produce extracellular polymers facilitating the growth.⁶ When the single bacterial attachment to a surface switches from reversible adhesion to irreversible adhesion, the biofilm grows explosively until the bacteria reach a balance with the available resources. The initial rate of bacterial (*Staphylococcus aureus* ATCC 12600 or *S. aureus*) adhesion to a substratum surface is as low as 10^6 bacteria per cm^2 ,⁷ and covers less than 1% of the surface. In contrast, a mature biofilm has a thickness of up to 200 μm in a dairy processing pipe⁸ which means that it contains 10^{10} bacteria per cm^2 .⁹ Due to the exponential nature of its growth, the

prevention of initial biofilm formation is an effective option to control contamination in food processing.

To date, the formation of biofilm is still poorly understood.¹⁰ Biofilm formation is an integrated process regulated by the properties of substrate surfaces, microbiological factors and environmental conditions. These properties are interchangeable. Food products provide shelter and nutrients to bacteria. In return, a bacterial cell adheres to and subsequently colonizes surfaces, which in turn makes the surface conditions easier for food deposition.¹¹ On the other hand, the surface conditioning of macromolecules (such as whey protein) modifies the surface topographical and physicochemical properties, leading to a physical barrier for bacterial growth.¹² In order to pinpoint mechanisms of formation of biofilms, principles of characterization and properties of bacterial contaminated surfaces need to be understood.

In this paper, microscopic inspection techniques to study biofilm formation are analyzed. The methodology in morphological and chemical characterization of biofilms is studied. This study aims to provide a guideline for researchers to effectively probe and control the formation of biofilms.

Biofilm formation in food processing

The interactions of bacteria and food processing surfaces during biofilm formation can be distinguished artificially into specific adhesion and non-specific adhesion^{13,14} to address different characteristics of interaction forces. Usually, specific adhesion and non-specific adhesion are studied by groups which focus on physical and biological properties, respectively.

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Non-specific adhesion is mostly studied by colloid science. It can be described typically by DLVO theory and its extensions. The dominant forces are Lifshitz–Van der Waals (LW), electrical double layer (EDL), and acid–base interaction (AB). It is influenced by the physical and physicochemical properties of bacteria, the surface and the near-surface environment, including chemistry, topography,^{15,16} mechanical properties, hydrophobicity^{17,18} and surface charge¹⁹ of both the bacterial cell and the food contact surface.^{20–24} These properties are not constant. The near-surface environment will change based on the food operating procedures, including the change of temperature,²⁵ hydrodynamic conditions²⁶ and equipment cleaning processes. Furthermore, the irreversible organic fouling will, in turn, alter surface properties¹¹ (Fig. 1).

On the other hand, specific adhesion corresponds to the active attachment by the bacterial cell through sensing of the surface and communicating with other cells.²⁷ In the presence of specific contributions, bacterial interaction forces are about 2 to 3 times stronger than those without specific contributions.¹³ Specific interactions will include specific information depending on the nature of the bacterial species. The bacteria sense the surface by chemical gradients, physical space and information from other bacteria's broadcast which is called "quorum sensing (QS)" or other signalling. Ihssen J and Ehli T²⁸ stated that the growth rate of *Escherichia coli* is influenced via RpoS signalling. The bacteria detect the chemicals in the liquid and degradation of certain surface components. These chemical distributions have a great impact on physicochemical interaction²⁹ and specific ligand–receptor interactions. The bacteria trap ions and small molecules to change pH in the microenvironment³⁰ and to vary the DNA transformation rate in bacterial cells.²⁷ Moreover, the bacteria feel and react to the constrained movement through appendages.^{31,32} The quorum sensing is a kind of communication between the individual cells by exchange of small extracellular molecules as messengers.³³ *E. coli*³⁴ has been reported to communicate through controlling the surface charge through processing an ion-penetrable membrane and extracellular polymers.

Exopolymeric substances (EPS) play an important role in this interaction.³⁵

Despite the difference of focus, all the spatial morphology and substance chemical changes will leave clues on the surface and have direct or indirect impacts on the interaction. Different biofilm structures correspond to completely different growth mechanisms. "Thin" biofilms are formed in small scale flow cells at moderate to high hydrodynamic flow rates and will release a new cell progeny as soon as a cell attaches to the biofilm substratum. Then "thick" biofilms are formed in large-scale spaces with EPS. They are entirely different kinds of biofilms because the growth of the thin biofilm is limited by the supply rate of limiting-nutrient substrates, while the thick biofilm is limited because of its thickness and density due to diffusion-limitation of nutrients. The growth rate of both the attached and detached bacterial cells is a key factor to evaluate the spatial morphology of biofilms, which is important to understand the biofilm phenomena.

A detailed image of the surface will help to build the relationship between biofilm structures, interaction forces and other factors. Challenges are increasing due to the complicated interaction occurring at the length scale at a single cell level which is sometimes dramatically different from that at the macroscale. The bacteria only act in the microenvironment near themselves. The statistical pattern in the whole system is hard to apply to individual activities. Additionally, the biofilm formation involving live bacteria is time-dependent and environment-sensitive. The ideal observation of the biofilm formation process and evaluation of impact factors are supposed to be *in vivo/in situ* with chemical identification for uncultured microorganisms at high resolution in aqueous environments.

Characterization techniques

Detection strategies have been developed using the fundamentals of different subjects, including microscopic imaging of surface morphology, evaluation of interaction forces, and analysis of the chemical components. A map which presents the applications of the characterization approaches is shown in Fig. 2.

The characterization method is evaluated by two aspects as shown in the map. The vertical y-axis is the approximate revolution of the instruments, varying from 0 at the base point to 1 millimeter. The horizontal x-axis indicates what kind of property is presented by each of the characterization technologies. The physical parameters include the surface morphology, mechanical properties for solids and rheology properties for liquids. The physicochemical properties include the adhesion forces and shear force in the length scale of nanometers. The chemical component analysis is the spectrum analysis of elements and chemical bonds.

In this paper, the application and development of the physical image and complementary technique for analysis of the surface chemicals are addressed based on the classification in the characterization map. Limitations and prospective evolution of the technology are stated.

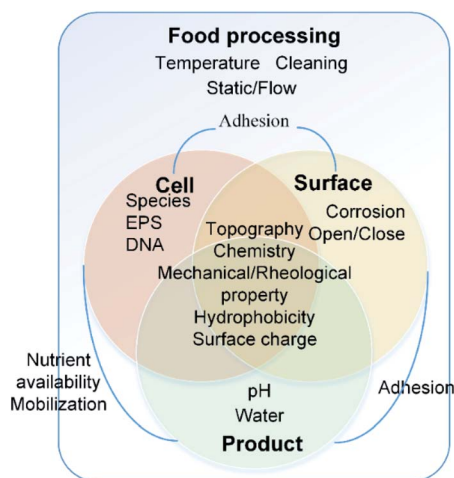


Fig. 1 Important factors in biofilm formation and their relationship.



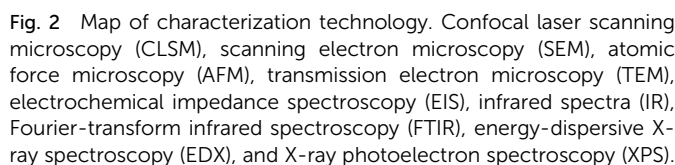


Fig. 2 Map of characterization technology. Confocal laser scanning microscopy (CLSM), scanning electron microscopy (SEM), atomic force microscopy (AFM), transmission electron microscopy (TEM), electrochemical impedance spectroscopy (EIS), infrared spectra (IR), Fourier-transform infrared spectroscopy (FTIR), energy-dispersive X-ray spectroscopy (EDX), and X-ray photoelectron spectroscopy (XPS).

Lots of progress has been made in the visualization of the surface topography and biofilm structure from the nanometer scale to the micrometer scale. Both static and dynamic processes are observed. Confocal laser scanning microscopy (CLSM), scanning electron microscopy (SEM) and atomic force microscopy (AFM) are the three most important techniques. A brief comparison of the microscopic methods used for the biofilm study is summarized in Table 1.

Confocal laser scanning microscopy (CLSM) is an important tool for studying the structure of biofilms because of its strong capability of real-time visualization of fully hydrated, living samples. The limitation of the spatial resolution of light microscopy is improved by using a fluorescence technique

Furthermore, the fluorescence *in situ* hybridization (FISH) technique has been applied for the mixed-species biofilm study and also chemical composition identification. It is based on the CLSM observation of multiple fluorescent probe labels. FISH enables evaluation of competition and the symbiotic relationship between different microorganisms. For instance, the population proportions of *Salmonella* spp. and *L. monocytogenes* in milk samples are statistically analyzed with two fluorescent oligonucleotide probes.⁴³ Garcia-Almendarez⁴⁴ applied FISH to identify *Lactococcus lactis* (*L. lactis*) UQ2 on *Listeria monocytogenes* (*L. monocytogenes*). In addition, it can be used to identify microorganisms and organic material fouling. The protein from fish soil fouling and *L. monocytogenes* cells are

Table 1 Microscopy techniques applied to the study of biofilm formation

Microscopy technique	Application	Limitation	Sample preparation and environment
Confocal laser scanning microscopy (CLSM)	Reconstruction of the 3-D image, <i>in situ</i> visualization of the biofilm, mixed-species biofilm, quantitative assessment of bacteria, a combination with a flow chamber, high throughput detection	Low axial resolution. Slightly lower resolution. Limited laser penetration	Fluorescence label. No special requirement
Scanning electron microscopy (SEM)	Imaging sample structure details in high resolution, good at the observation of surface texture and membrane. Correlation study with other visualization methods for a qualitative study imaging functionalized AFM probe, combined with EDX	Complex sample preparation. Low axial resolution. Sample damage. Only solid samples allowed	Conductive or gold-coated samples. High-vacuum
Environmental-SEM	Imaging hydrate samples (cell, fouling), imaging functionalized AFM probe	Lower resolution compared with SEM. Sample damage	No special sample treatment. Low-vacuum
Focused ion beam-SEM (FIB)	Cross-sectional imaging, 3-D reconstruction by the "slice and view" process	Expensive and time-consuming. Sample damage	No special sample treatment. Vacuum
Atomic force microscopy (AFM)	3-D reconstruction of the surface, roughness analysis, topography change with controlled environmental parameters	Small scan area (max: 150 × 150 μm). Sample damage. Fragile probe with wearable tip. Time-consuming	Cell immobilization and sample size limitation. No special requirement

marked in different colors.⁴⁵ The heterogeneous distribution illustrates that organic material retained in the surface features increases the possibility of bacterial retention.

Combined with image processing software, CLSM provides a quantitative assessment of bacteria. Mathematical methods such as the multifractal analysis can not only quantitatively analyze the upper percentage coverage of the biofilms on the surfaces but also quantify the number of cell clusters.⁴² With a DNA-binding dye, a number of cell copies for a series of bacteria are presented, including *Pseudomonas aeruginosa* (*P. aeruginosa*), *Burkholderia cenocepacia* (*B. cenocepacia*), *S. aureus*, *Propionibacterium acnes* (*P. acnes*) and *Candida albicans* (*C. albicans*).⁴⁶ For *Salmonella* spp. and *L. monocytogenes*, the probes targeting the rRNA (a conserved structure) are used.⁴³ The surface-enhanced fluorescence (SEF) technique is introduced for distinguishing the bacterial cell surface deformation during adhesion. This technique offers access for the nanoscale monitoring of the influence of species and biomass during biofilm formation. The inability of most unmodified CLSM to detect fluorescence images is perhaps a disadvantage of such instruments. A special light source must be used in order to stimulate fluorescence.

In contrast to fluorescent proteins and dyes, bacterial bioluminescence is the production and emission of light by a living organism during the expression of the *lux* genes.⁴⁷ No excitation light or the addition of exogenous substrates (such as the ATP bioluminescence^{48,49}) is needed. It is the only way to measure real time metabolic rates and thus can be a particularly powerful technique for understanding biofilm processes. It

should be noted that fluorescent proteins can produce a signal output that relates to the amount of biomass,⁵⁰ whilst the output from lux-luminescence depends on the adenylate energy charge of the cell. If the emitted signals could be separated by peak positions, the two outputs together might be powerful tools for measuring instantaneous growth rates. Moreover, lux-luminescence is the only method that can be used to obtain accurate kill rates when studying actions of biocides or inhibitors on biofilms. Therefore, the development of more kinds of luciferase probes and persistence luminescence probes is needed.⁵¹

The flow chamber is powerful for evaluation of the influence of flow conditions when combined with CLSM. The hydrodynamic force plays a significant role in the micron-scale bacterial settlement. Under static fluid conditions, the surrounding liquid has hydrostatic pressure on the bacteria. Under dynamic flow conditions, the shear force and mass translation will influence the possibility of bacterial settlement on the surface. The control of the flow rate allows the estimation of the cell binding force through defined hydrodynamic shear forces to be applied (assuming laminar flow) and tapered flow cells used to produce defined shear force gradients. Boks *et al.*⁵² studied the forces involved in bacterial adhesion to hydrophilic and hydrophobic surfaces using a parallel-plate flow chamber. They concluded that the bond between a substratum surface and a bacterium becomes stronger after initial adhesion. Therefore, the first layer of attached living cells will remain in the steady state as a thin biofilm as the subsequent layers are easier to be removed by hydrodynamic forces. The microfluidic



environment restricted by surface topology increases the complexity of fluid analysis.⁵³ The so-called flow displacement systems are based on phase-contrast microscopy and a parallel plate flow chamber.⁷ Based on this technique, J. Li *et al.*⁵⁴ reported an *in situ* method to observe adherent bacterial cells on non-transparent substrates and in a dynamic flow. Mathematical processing is necessary to enumerate the bacteria from the fluorescence image. Adhesions of *S. aureus* on polished and non-polished stainless steel, titanium alloy and polyvinyl chloride surfaces are observed within such a system. The results indicate that the number of bacteria adhering on the bottom increases linearly with distance from the inlet of the flow chamber. CLSM coupled with a controlled flow chamber is by far the most useful method to monitor experimental biofilms in real time from initiation to full maturity.

Multiple samples can be observed simultaneously in CLSM to ensure consistency of experimental conditions and to reduce experimental time. In Bridier's³⁶ operation, CLSM combined with 96-well microtiter plates was employed to observe the three-dimensional structures of biofilms formed by as many as 60 pathogens simultaneously. Guilbaud³⁹ takes images for 96 samples from varied environments. Therefore, CLSM has a strong ability for high throughput detection as compared with SEM and AFM, which are both time-consuming.

It is also possible to achieve readings of biofilms and the cell attachment using an ordinary light microscope mounted with a flow chamber, especially with a high magnificant ($\times 100$) lens. Only 2-D images can be recorded using a CCD, while CLSM provides the 3-D image of biofilms and thus the film thickness can be evaluated.

CLSM has become a standard technique for the study of *in situ* biofilm formation in an aqueous environment with appropriate fluorescent probes. Its significant application for studying biofilms exposed to a dynamic fluid flow is irreplaceable by SEM and AFM. So large-area imaging and deeper penetration of lasers are required for better description of the biofilm formation process. Moreover, new labelling techniques are required to improve the visibility of the fluorescent marks inside the biofilms and sub-liquid environments. Examples include quantum dots (Q-dots) and metallic nanoparticles. Fixation of the label remains a challenge. This means that new discoveries should confirm that "what you probe is what you see".⁵⁵ Zhang *et al.*⁵⁶ employed AFM for the characterization of graphene Q-dots (a new kind of fluorescence label). The comparison of images in the bright field and the dark field shows that HeLa cells are successfully marked with the graphene Q-dots.

Scanning electron microscopy-SEM

A scanning electron microscope uses a beam of accelerated electrons as the source of illumination which scans across the sample surface, and the surface topography information is obtained from the signal of energy change. As the wavelength of an electron can be up to 100 000 times shorter than that of visible light photons, SEM can reveal the small structure of objects with resolution down to 0.5 nm using the most common signal

of secondary electrons. It should be noted that SEM graphs have a large depth of field yielding a 3-D appearance, although lacking vertical resolution.⁵⁷

Accordingly, SEM has been employed to observe the structure of biofilms. Its large depth of field is good for the visualization of the biofilm spatial structure.^{58,59} Borucki⁶⁰ demonstrated a robust relationship between the strains and biofilm structure. A high-biofilm-forming strain produces a dense and three-dimensional biofilm structure. The biofilm structure is sensitive to the variation of pH values. The net-like biofilm structure is built at pH 7, while a monolayer biofilm is built at pH 6.⁶¹ Individual cells in a biofilm can also be visualized with SEM. Combined with dedicated imaging software, SEM can offer quantitative counting of bacteria.⁶²

The SEM technique can be employed to access the biofilm structure during biofilm formation at different time periods. In Oliveira's⁶³ model, the mature biofilm of *L. monocytogenes* adhered to a stainless-steel surface takes as long as 240 h to form. Samples of *L. monocytogenes* strains are collected for analysis of multi-layer structures.⁶⁴ A mature biofilm is observed within 12 h and after 24 h, the cells are surrounded by a matrix.

Characterization of the surface with SEM is preferred in the study of nanocomposite coatings and surface texture. Santos⁶⁵ shows the topography changes of modified stainless steel surfaces. The modifications include SiF_3^+ ion implantation, diamond-like carbon (DLC) sputtering, SiOx plasma enhanced chemical vapor deposition (PECVD), autocatalytic Ni-P-PTFE and silica coating. The TiN coatings with different silver contents are imaged by SEM combined with EDX (Energy-dispersive X-ray spectroscopy) for analysis of the film structure and chemical composition.⁶⁶ SEM images provide visual evidence of how bacteria maximize the contact area and bind at different surface features with sizes lower than 1 micrometer. Examples are summarized in Table 2.

Samples for traditional SEM observations should be conductive at high voltage. Insulated samples should be coated with gold or gold alloy films with a thickness of several nanometers.^{22,59,67} The sample preparation usually involves drying, fixing, dehydration and coating⁶⁴ which are time-consuming and troublesome. Moreover, the fixation, dehydration, and coating with metal will kill the living bacteria which will result in the destruction of the biofilm structure and sample artifacts.⁶⁸ An example is the shrinkage of biofilms due to the EPS collapse during drying.⁶⁹ The limitation of SEM has resulted in an alternative application of environmental-SEM (ESEM), which retains the natural state of samples, despite sacrificing a little bit of the resolution.^{69,70}

For most cases, ESEM investigates the sample without any pretreatment. It provides the opportunity of observation of hydrated biofilms^{76,77} or even fluids such as milk.^{78,79} Comparison studies with SEM show that ESEM will not lead to the dehydration and loss of mass.^{69,80} However, the damage caused by the energy of focused electron beams will increase at more than $10\,000\times$.^{69,81} Therefore, the balance of resolution and influence on the sample should be considered.

SEM images, especially ESEM, provided the *ex situ* evidence of successful bacterial mobility on the AFM probe.



Surface material	Surface topography	Bacteria	Conclusions	References
Stainless steel	0.7 μm trenches	<i>P. aeruginosa</i> , <i>P. putida</i> , <i>D. desulfuricans</i> , <i>Rhodococcus</i> spp	Higher attachment; cells align with trenches	71
Polydimethylsiloxane (PDMS)	Post-array with a distance of 300 nm to 1 μm and space of 0.8–4 μm	<i>P. aeruginosa</i> , <i>E. coli</i> , <i>B. subtilis</i>	Adhesion changes significantly when the dimensions of confined spaces match those of bacterial cells	72 and 73
Silica/alumina	Silica: wells of 0.5 μm with 0.2 μm spacing; $1 \times 1.5 \mu\text{m}$ rectangles with a spacing of 2 μm ; $1 \times 2 \mu\text{m}$ rectangles with a spacing of 0.5 μm ; depth of all wells 27–32 nm; alumina: 20 or 200 nm pores	<i>E. coli</i> , <i>L. innocua</i> , <i>P. fluorescens</i>	Bacteria tend to maximize contact areas to bind to surface features	74
Polydimethylsiloxane (PDMS)	Hexagonal patterns of 2.7 μm in height, 3 μm in diameter, separated by 440 nm trenches	<i>E. coli</i>	Bacteria build net to cover unfavorable features with flagella to aid adhesion of additional cells	32
Polycarbonate	120 nm pits with 300 nm center–center separation	<i>S. aureus</i>	Nanopatterning promotes the early-adhesion of <i>S. aureus</i> cells to the biomaterial surface	75

The use of an electron beam as the source of illumination effectively reduces the diffraction effect, so SEM and ESEM techniques give an ultra-high resolution image in the xy dimension. But the 3-D appearance image cannot provide quantitative information in the axial direction. Complex sample preparation and sample damage will limit SEM from *in situ* observation, and only solid samples are allowed. In most study cases, SEM is one of the characterization methods, and complementary studies are required.

AFM is a powerful tool for obtaining true 3-D surface topography because of its featured functions. This section will discuss the imaging of biofilm formation with AFM, together with its challenges.

AFM has been employed for visualizing surface morphology, along with examining microbiological and organic fouling, for nearly 30 years.⁹⁸ One of the earliest AFM observations of biofilms was performed by Bremer *et al.*⁹⁹ Hydrated freshwater bacterial biofilms on copper surfaces were imaged to show a heterogeneous biofilm, with depth and distribution varied with surface texture. Then biofilms grown on different types of steel surfaces were analyzed with AFM.^{76,100} Although the bacterial sample was still not *in situ* or *in vivo*, the researchers paved the way for getting qualitative and quantitative information on the biofilm structure in high resolution. Therefore, unlike SEM, the height evaluation of biomass and corrosion of surfaces can be investigated. AFM has rapidly evolved into a common tool for biofilm studies, with varied substratum surfaces and bacterial species. Examples can be found in a series of reviews.^{101–106} Topography examination with AFM has

Table 3 Examples of AFM images of microbial samples in food processing

Bacteria	Substratum	Operation mode	Observations	Reference
<i>S. aureus</i>	Glass	Tapping	Surface grooves and perforation; cell debris	85
<i>E. coli</i>	Stainless steel	Tapping	Cell dimension	86
<i>P. pastoris</i>	Stainless steel	Tapping	Protective barrier failure; intracellular content leakage	87
<i>S. typhimurium</i> , <i>S. aureus</i> , <i>L. monocytogenes</i>	Mica sheet	Dynamic force	Morphology and cell count	88
<i>S. xyloso</i> , <i>Z. bailii</i>	Cell-tak-coated glass	Intermittent contact	Surface indentation	89
<i>E. coli</i>	Glass	Contact	Roughness change. Morphology	90
<i>P. aeruginosa</i>	Mica	Tapping	Matrix-like EPS materials among the cells	91
Sulfate-reducing bacteria (SRB)	Mica	Contact	Cell morphology	92
<i>P. fluorescens</i>	Gold	Contact	Cell and surface morphology	93
<i>Salmonella</i>	Mica	Contact	Cell and surface morphology	75 and 94
<i>S. aureus</i>	Nanopatterned polycarbonate	Intermittent contact mode	Cell and surface morphology	75
<i>E. coli</i>	Mica	Non-contact	Stain dimension	95
<i>P. aeruginosa</i> , <i>S. aureus</i>	Titanium oxide coating with 0.5 μm featured pattern	Contact	Cell morphology and dimension	15 and 96
<i>C. botulinum</i>	Mica	Tapping	Exosporium fragment morphology and dimension	97

been widely used for visualization of single cells and biofilms on food contact surfaces with high resolution and sensitivity. Table 3 summarizes some of the studies in food processing.

Single cell imaging has been used to investigate morphological variation due to the influence of sanitizers and environmental conditions. The bacteria on the substrate surface are qualitatively and quantitatively analyzed. Cui *et al.*⁸⁵ observed the surface morphological alterations of Gram-positive and Gram-negative bacterial cells induced by epigallocatechin-3-gallate (EGCG) and H_2O_2 . The aggregates, nanoscale perforations or microscale grooves in the cell envelopes were captured by AFM as the evidence for explaining cell lysis. The quantitative morphological parameters include height, lateral dimension and surface roughness (Ra and RMS), which can be obtained from the 3-D surface topography. The surface roughnesses of cells usually increase due to treatment with sanitizers.^{89,90} Kuda⁸⁸ compared the amount of cells before and after drying on the stainless steel surface through AFM images. The results confirm that small food sediments provide sufficient protein and carbohydrates, which protect the adhesion pathogens on the surface. Only 0.05 mg dried nori, 5 mg fresh carrot, and 100 nL milk or soy milk on a surface of 10 mm in diameter were good enough for bacterial survival. Therefore, AFM is a promising approach to evaluate the dynamic changes of the biofilms.

Information regarding biofilm topography and cell-extra-cellular material on the food contact surface can be obtained by AFM. The sample preparation for AFM imaging developed from simple air drying to keeping live microbes in buffer solutions. High-resolution AFM images of dry samples can show surface topography details of the substrate, including the biofilm structure and EPS materials. The air drying process will immobilize the bacterial cell and surrounding materials.

With this process, Yang *et al.*⁹¹ distinguished the matrix-like material in different *P. aeruginosa* biofilms with different EPS materials, Pel and Psl polysaccharides. The matrix-like material is seen in PAO1 and the PAO1DpilA mutant. On the other hand, they are absent in the case of the PAO1 ΔpilA ΔpelA ΔpslBCD mutant. From these results, it is concluded that different EPS materials have different impacts during biofilm formation. Fang⁹² presented high-resolution topographical images of a single bacterium (sulfate-reducing bacteria, SRB) and biofilm on a mica surface. They proved the capability of AFM for observation of a single cell. Diaz⁹³ studied the role of different structural factors of metal surfaces at the early stages of biofilms.

Differences between bacteria attached on the ordered or disordered nano/micro structure surfaces are observed and evaluated from the aspects of cell morphology, length, orientation, and flagellation. S. Aguayo⁷⁵ imaged the nano-topography of *S. aureus* and the surrounding capsule to observe the cell attachment to the surface. In the AFM image, the capsules surround and partially cover the *S. aureus* cell on the flat surface, but they are unable to cover the cell on the surface with nanopatterns. Such kinds of capsules are destroyed during sample preparation in FIB-SEM. These research studies have important implications on the design of modified surfaces to prevent biofilm formation and to enhance biofouling removal processes. The roles of cellulose, curli, and surface proteins during bacterial growth in different cultivation environments are compared by AFM.⁹⁴ Samples were obtained after different growth times in colonies on agar plates and those in a liquid environment. AFM images illustrate both curli and cellulose. Curli has an important impact on the formation and the morphology of a biofilm. But the effects of BapA are not



seen. These studies demonstrate that AFM can efficiently monitor the morphology and surroundings of bacteria during biofilm formation.

Examination of biofilms in an aqueous environment using AFM is required for *in situ* observation because sometimes important structural changes would happen during dehydration. In Kailas's¹⁰⁷ experiments, a comparison of AFM images of *Clostridium botulinum* (*C. botulinum*) exosporium fragments shows the variation of fibrils in air and water. The beads are found in dehydrated samples but not in samples underwater. The researchers attribute this to the dehydration process. However, AFM imaging of bio-cells in such an environment is not easy. First, the length scales of biofilms are beyond the measurement range of many commercial AFMs. AFM was originally designed for measurement of length scales from nanometers to micrometers. Sometimes, the biofilm thickness may exceed 200 μm (ref. 8) which would mean that only selected parts of the biofilm could be monitored with AFM. In addition, the initial attachment of bacterial cells onto the surface is *via* weak Lifshitz–Van der Waals forces. As a result, the adhesion cells are probably disturbed by the probe of the AFM. Thus although immobilization of cells is necessary, it sometimes impacts the original adhesion and makes the *in situ* process not as natural as expected. Kailas *et al.*¹⁰⁷ monitored *S. aureus* *in situ* in culture media by AFM. They trapped cells mechanically on a lithographically patterned silicon wafer with a square lattice of holes. This physical immobilization method kept the bacteria alive. However, because the bacterial morphology is varied, the surface pattern for immobilization of different cells is still a big challenge. Furthermore, soft and gelatinous food material in a liquid environment increases the risk of fouling material attaching to the probe, especially in contact mode. So liquid cells for *in situ* AFM studies are a better choice for interaction-force studies and the situation that focuses on the change during dehydration, without restricting the substrate topography.

Another important function of AFM is surface roughness evaluation. Early research usually described surface roughness as quantitative statistical parameters such as Ra (arithmetical mean deviation) and RMS (root mean square). Ra is easy and sometimes effective for evaluation of surface cleanness.^{3,71,98} Experimental results show the close relationship between Ra and bacterial attachments to the surface.¹¹ On the other hand, some research also provides conflicting results¹⁰⁸ that indicate that there is no correlation between surface roughness and bacterial attachment when the surface roughness Ra of stainless steel changes from 0.01 μm to 0.9 μm . Ra is obviously not enough to describe the surface topography. Different surface profiles may present the same Ra value, which means the loss of some important surface topographical information. Special parameters are developed for various applications to capture the necessary surface topographical information.¹⁰⁹ Nowadays, the theoretical model shows that surface topography changes the surface force distribution because every atom on the surface has a contribution based on its position. AFM provides a true 3-D reconstruction of the surface with high resolution which preserves the topographical information better than other

microscopic methods and helps to draw useful information. In Aguayo's⁷⁵ study, depth of nano-pits of about 70 nm could be measured quantitatively from the cross section by AFM. Moreover, topographical effects may compound with other factors such as surface energy, and adhesion mechanisms might 'switch on' at different topographical scales.¹¹⁰ No parameter can be defined to present these complex effects. Ra is not perfect but still useful and widely applied for the evaluation of surface roughness. In further studies, AFM surface topography imaging combined with interaction evaluation methods is expected to discover the mechanism of biofilm formation on various surface morphologies. More information will be revealed with image processing methods.

AFM has a high vertical resolution which is an important complementarity of other techniques. Besides, the potential for detection in a natural environment is exploited. However, the challenges increase with sample mobilization, wearable tip, and limitation of scan area. Moreover, the chemical information is hard to extract from the laser reflection signal. The high-resolution scanning probe microscopy (HR-SPM) technique for molecular structure identification is a way to 'see' the chemical components. However, the scan area is limited in several molecules and it needs special tip modification and the testing environment and sample preparation conditions are far from the comfort zone of the biofilm. Therefore, combination and comparison study with other techniques will provide complementarity of the potentialities.

Complementary study with multiple techniques

More evidence is needed for further understanding of the structure of biofilms and function of bacterial communities. Two strategies of complementary studies with multiple techniques are discussed in this section. The comparison study of microscopic methods at the same point will achieve a full picture of the biofilm from the nanoscale to mesoscale. The combination of microscopy methods and chemical analysis methods will allow the exploration of active attachment by the bacterial cell.

Comparison study of microscopic methods

The relationship between the biofilm structure on the micro-scale and details of the biofilm topography on the nanoscale are needed to discover the mechanism of the biofilm formation process. The intracellular and extracellular chemicals will also leave traces in biofilms and the substrate surface. Observing the same position in different instruments is the most direct method. This will require transferability of samples between different instruments and micro-/nanometer relocating. The methods comprise image identification and mechanical positioning.

SEM is an extremely convenient tool for surface feature identification because of its wide range of magnification (25 $\times$ to 65 000 $\times$). The comparative analysis with SEM will provide qualitative support to other quantification methods. For



example, the results of SEM compared with CLSM with fluorescence-labeled samples show clearly the position and posture of adhesion bacteria, with a wide range of magnifications.^{32,37,73,74,80} SEM also shows high correlation with chemical and microbiological methods for quantitative analysis.^{61,111–115} The correct interpretation of these results provides information on the three-dimensional development of the biofilm. During the SEM characterization operation of AFM probes, the magnification of SEM is continuously adjusted to focus on the position of the AFM tip and the adhesion bacteria. By doing so, it is easy to focus on a selected nanoscale feature.

Advanced in-depth data mining techniques are supposed to improve the efficiency of comparative analysis. Image processing software and data statistical solutions are needed to obtain quantitative information from the microscopic images. Through the bacterial morphology recognition, the quality of bacteria is analyzed using a computer.^{116,117} Moreover, sometimes scientists will process large amounts of image data. Plenty of images can be saved using a high speed and high resolution camera during the observation of biofilm dynamic processes.¹¹⁸ Dozens of samples are pictured during the high throughput observation in CLSM.¹¹⁹ The edge detection and cell contours are still a challenge in the situation of touching cell recognition. Machine learning has been used for object recognition in many areas, such as face recognition in a crowd. So new bacterial recognition strategies are expected to be built on a bacterial topography database.

CLSM and AFM are routinely used in the morphology imaging of neuron cells.¹²⁰ The AFM results give the topography of 'holes' in the cell surface varying from 0.01 to 3.5 μm^2 with depth varying from 2 to 178 nm. Fluorescence images characterize the biological structure with a fluorescent label. The same physical structures in both techniques are used for the identification of corresponding positions. The advantages of CLSM in biofilm structure observation in the liquid are combined with cell surface topography evaluation by AFM to cover different length scales of each piece of equipment.¹²¹

Combined CLSM/AFM equipment can perform simultaneous topographic measurement and chemical identification with single molecule sensitivity. The system consists of an AFM scan head mounted on the inverted CLSM. The synchronization of image acquisition should be considered in the analysis. Fluorescence labels are employed to mark important chemicals, such as DNA, proteins, and lipids.^{122–125} Combined AFM and fluorescence spectral imaging of *Rhodobacter sphaeroides* (*R. sphaeroides*) helps to distinguish membrane fragments from other surface features.¹²⁶ The membrane structural features and evolution associated with cellular signaling pathways are revealed.^{123,127} The relationship between bacterial viability and cell surface topography is assessed in special solvent.¹²⁸ However, the fluorescence probe might be mobile which leads to untrusted results.¹²⁹ Moreover, transparent substrates must be used for fluorescence light going through the observation system, which will limit the choice of substrates. Anyway, the integration of CLSM/FM (Fluorescence Microscopy) and AFM is a robust, widely used approach to study the state of bacteria and offers more chemical specificity than AFM alone.

Combination of microscopy and spectroscopy methods

The organic chemicals around the bacteria and inside the bacterial cells have an important impact on biofilm formation, especially to the specific adhesion. These chemicals are needed to be studied together with the surface morphology to evaluate the correlation and causal relationship between chemicals and biofilm formation. In CLSM microscopy, the chemicals are identified using a fluorescent probe. As discussed in Section 2.1, it is commonly used as a tracer, and this is not enough to qualify and evaluate the chemical effects. In the SEM technique, the chemical element information is obtained from the EDX spectrum. This is useless for the recognition of organic molecules because they are all made up of basic elements, carbon, hydrogen, oxygen *etc.* Therefore, other chemical analysis methods should be combined. As shown in Fig. 2, IR spectroscopy and Raman spectroscopy are widely used as chemical analysis methods. The combination with high-resolution microscopy methods will improve the spatial resolutions which are limited by the optical diffraction.

Spectroscopy methods have been combined with CLSM and SEM approaches. FTIR (Fourier-transform infrared spectroscopy) and Raman analysis have been used for EPS detection during *Salmonella* biofilm formation in meat processing environments.³⁵ The results reveal that the special chemical composition, EPS, consisting of polysaccharides and proteins, only shows up in the biofilm. On the other hand, these EPS chemicals are absent in the cultivation of corresponding planktonic cells. Therefore, EPS is proved to be an important marker in biofilm formation. The combination of these non-destructive and label-free chemical analysis techniques provides new insight into specific adhesion study. However, the combining CLSM or SEM with spectroscopy study is operated separately and can just provide the overall information of existence of EPS. But the distribution of EPS is spatially and temporally non-uniform.¹³⁰ Therefore, point-to-point topology-chemical maps are required for a better understanding of EPS and biofilm formation.

The equipment combining AFM with spectroscopies such as infrared spectroscopy (IR) and Raman spectroscopy is investigated. Through the adjustment of the tip position and the excitation spot, the topography and chemical information map can be collected point-to-point in nano-scale spatial resolution. The principle of the equipment set is illustrated in Fig. 3.

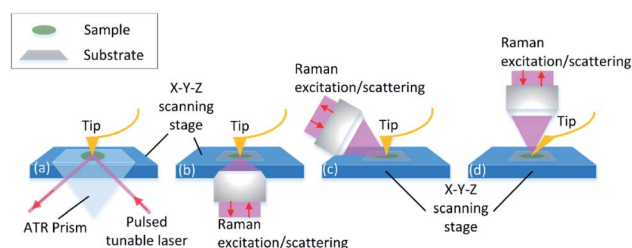


Fig. 3 Working principle and system geometries of AFM and complementary chemical analysis methods. (a) AFM-IR, (b) AFM-TERS bottom-illumination, (c) AFM-TERS side-illumination, and (d) AFM-TERS top-illumination.



Detailed explanation will be discussed in the following sections. The instrumental combination of AFM and vibrational spectroscopy provides the opportunity for a comprehensive understanding of the influence of surface topographical and chemical properties on biofilm formation.

AFM-based infrared spectroscopy (AFM-IR). Infrared spectroscopy is widely used for the measurement of molecular species in both laboratory and industry application. The samples can be directly measured in the physical states of solids and liquids almost without any modification. Thus the information on organic molecules inside the biofilm is collected. Combined with AFM, AFM-IR can probe the molecular species inside a single bacterial cell and thus will describe the behavior of individual bacteria during biofilm formation.

AFM-IR detects the sample thermal expansion with radiation from the infrared-pulsed light with the AFM tip at the atomic scale. The sample is placed on an IR transparent prism made of ZnSe and irradiated with a tuned internal reflection laser (Fig. 3(a)). The AFM tip is sensitive to the thermal expansion due to the heat converted from the absorption light with the corresponding wavelength, and the induced ringing of the cantilever is recorded.¹³¹ Then the IR spectrum is obtained with FFT of the original cantilever ring-down signal in the time domain. Dazzi¹³² proved that the light absorption of a single *E. coli* cell response to different wavenumbers measured with AFM-IR is consistent with that in its biofilm measured with FT-IR. Barlow also showed good agreement between AFM-IR and FT-IR spectra of *Pseudomonas protegens* monolayer biofilms on a polyether-polyurethane (PU) film.¹³³

AFM-IR performs well for the investigation of microorganism samples on micro- and nanoscales. The samples range from a single cell to biofilm. For single cell investigation,¹³² distributions of protein and phosphate groups (related to the DNA) inside *E. coli* are mapped through the amide I band (1660 cm⁻¹) and amide III band (1240 cm⁻¹). The amides are more concentrated in the center of a bacterial cell. This study shows potential of AFM-IR in the chemical analysis with the spatial resolution down to dozens of nanometers within the bacteria. AFM topography and the corresponding AFM-IR map at 1740 cm⁻¹ IR absorption reveal the lipid production of *Streptomyces* bacteria in the first 12 hours of culture growth.¹³⁴ This indicates that AFM-IR is sensitive to chemicals inside the bacteria cell and at the biofilm surface. It is possible to resolve some of the characterization problems, such as detection of untouchable bio-material, bioproduction and material inside the biofilm during the specific adhesion. AFM-IR is suitable for samples with low thermal conductivities and high thermal expansion. The spatial resolution is as low as 200 nm. However for non-isolated objects, thermal diffusion can limit the resolution. Samples are all dried in the presented studies to avoid the IR absorption by water. Some unexpected probe-sample interactions would result in the variation in local spectra, so this effect should be removed through the correction of mapping data with traditional FT-IR spectra. Moreover, an IR transparent prism underneath the sample limits the choice of substrate materials. Some of the multilayer polymer films for food packaging have been studied.¹³¹ Food contact materials

such as stainless steel for industrial food processing cannot be easily operated in such a system.

AFM-based Raman spectroscopy. Another label free spectroscopy is Raman spectroscopy. In fact, Raman and IR spectroscopies are complementary techniques in chemical analysis area. They both probe the molecule vibration, but through different mechanisms. This means that some of the difficulties in IR spectral detection are easily overcome with Raman spectroscopy. Raman spectroscopy works well for the measurement of solids and liquids, gels and mixtures, in any environment (including aqueous systems) without sample modification and destruction, which is suitable for the characterization of bio-systems.

Raman spectroscopy accesses the chemical content through the detection of Raman scattering. The biggest challenges of Raman spectroscopy are the poor Raman signal compared with strong background signals. The combination of AFM and Raman is more than the functional superimposing of chemical analysis on ultra-high resolution AFM. The Raman signals in local areas between the tip and surface can be enhanced up to 10⁸ theoretically using a conductive metal such as gold or silver nanoparticle coated AFM tips.¹³⁵ This tip-enhanced Raman spectroscopy (TERS) was invented by laboratories of Zenobi,¹³⁶ Kawata¹³⁷ and Anderson¹³⁸ in the year 2000, and then it was developed by many researchers. Thus the correlated topography and chemical information on the interested molecules located under the AFM tip are collected simultaneously. Therefore, this technique combines the chemical fingerprint recognition provided by Raman spectroscopy with a nanoscale topographic resolution provided by AFM. Because the equipment for Raman spectral detection is stable and robust with limited numbers of standard and modular parts, the combination of Raman spectroscopy and AFM is easier and the TERS instruments are quickly commercialized. The applications of TERS in food processing related bacterial cell characterization are listed in Table 4.

AFM and TERS approaches offer the opportunity for deeper insight into cell characterization, which can build the point-to-point variation between cell morphology and chemical information. N Neugebauer^{139,140} and Budich¹⁴¹ reported the 3-D topographic image of *S. epidermidis* cells and the corresponding TERS measurement. The chemical components of DNA or RNA inside the cell and sugar and peptide at the cell surface are distinguished from the Raman spectra and crucially related to the sample point on cell topography. G. Rusciano *et al.*¹⁴² correlated the AFM phase map and Raman spectra of *B. subtilis* with TERS. Their results demonstrate that there is a denser arrangement of both proteins and carbohydrates on specific spore surface regions. This AFM-TERS combination method also opens a window into the cell's life for on-line monitoring of special components. The single yeast cell is kept alive during the AFM imaging and TERS detection for the cell wall study.¹⁴³ The acquired maps can be used to reveal the chemical distribution of a complex biological system in its natural environment.

To the knowledge of the authors, there is no report of TERS application in the spatial scale of a whole biofilm. But the association of AFM and TERS is supposed to have great



Table 4 Application of TERS in biofilm formation

Bacteria	AFM operation mode	Tip modification	Morphology observations	Chemical observations	Reference
<i>B. subtilis</i>	Tapping	14 nm Au-nanoparticle coating	Phase map of the selected region on the spore surface	External glycoprotein layer	142
<i>S. epidermidis</i>	Non-contact (intermittent contact)	Coated with 20 nm Ag film	3-D topography of cells	Surface: peptide or a protein; lipids and saccharide/peptide mixtures	141
<i>S. epidermidis</i>	Non-contact	Coated with an Ag film	3-D topographic of a single cell	DNA and RNA; surface: sugar and peptide	139 and 140
<i>S. cerevisiae</i>	Tapping for topography; contact for elastic properties	Electrochemical etching gold wire	3-D topography of cells and surface profiles	Protein, lipids, and polysaccharide in the cell. Highlight the GDH protein spectrum analysis	143

potential in biofilm investigation in food processing. Many important species of food-borne bacteria, such as *E. coli*,¹⁴⁴ *S. enterica*,¹⁴⁵ and *S. xyloso*¹⁴⁶ have been detected by similar techniques such as surface-enhanced Raman spectroscopy (SERS). In SERS, the bacterial cell needs to be fabricated with metallic nanoparticles for enhancement of the Raman signal. The operation in TERS is based on AFM and has the advantages of easy and more nature sample preparation, which is important for maintaining clues to biofilm formation.

Furthermore, with AFM-IR, the choice of the substrate surface can be expanded to both opaque and transparent samples, such as metal, graphene and other opaque materials.^{147,148} This is due to the flexible illumination and detection solution. As shown in Fig. 3(b–d), the side-illumination (b) and top-illumination (c) have been developed from the traditional bottom-illumination. Side-illumination is widely used because most of the commercial probes can be used, but a special tip is needed for top-illumination. The disadvantage of the side-illumination is the signal loss; thus higher laser power is required to compensate for the insufficient signal acquisition. This is convenient for the selection of substrates to simulate the biofilm formation environment in food processing.

The limitation of AFM-TERS arises from both AFM and Raman technology. The TER probe is difficult to fabricate and has poor reliability and short lifetime, especially with Ag modification, as Ag nanoparticles are chemically active and easily oxidized by oxygen or sulfur in laboratory atmospheric or samples.^{149,150} This increases the time and cost during the experiments. In addition, the Raman spectra correspond to a functional group instead of biological molecules. Some big organic molecules have the same chemical groups but varied with a spatial structure or arrangement, such as proteins and lipids. This will result in the same vibration and make it hard to distinguish in the Raman spectra. Moreover, different groups may also have the same Raman band. Assignment analysis is a challenge. For example, both amino and imino groups have the 1144 cm⁻¹ vibrational band, which might be allocated to many amino acids in the peptide chain, such as asparagine, glutamine, lysine, and arginine.¹³⁵ Besides, the mechanism of the band shift is not clear yet. All these unambiguous band assignments are extremely challenging for complex chemical analysis in biological systems.

The combination of AFM and the mentioned label-free chemical methods (IR/Raman) retains the advantages of the high resolution and is less destructive to samples of AFM, making up for the lack of chemical analysis of AFM. The spatial resolution of the tip-enhanced Raman scattering imaging is down to 3–15 nanometers using commercial equipment. Thus the point-to-point chemical analysis can be processed simultaneously with topological structure measurement on the nano-scale. The technique is extremely valuable for the study of the formation and organization of a microfilm system whose properties strongly depend on the basic surface topography and chemical composition.

Conclusions

Reducing the formation of biofilms is crucial for microbial-contamination control in the food industry. Observing the micro- and nano-features and tracking the growth process of biofilms are essential approaches to understand biofilm formation. The biofilm micro-structure complexity and its changing nature with time increase the difficulty in understanding the mechanisms that govern biofilm formation.

This review focused on biofilm formation from the fundamental aspects of adhesion of bacteria onto surfaces of products in food processing. The characterization of surface morphology and substance chemical compositions was discussed to probe biofilm formation. A map of characterization techniques is generated to show the spatial resolution and function of existing instruments. Confocal laser scanning microscopy (CLSM), scanning electron microscopy (SEM) and atomic force microscopy (AFM) are the three most important techniques for static and dynamic process observation with micro- or nano-scale spatial resolution. CLSM has been successfully used to reveal 3D structures and shows the expression of genes during biofilm formation in aqueous environments, but it is not adapted to the visualization of ultra-structures due to the intrinsic limitations of light microscopy. Finding a fluorescent label and coloring for specific components is not easy. SEM has a high lateral resolution but low vertical resolution. However, the testing environment is not natural and some fragile features of the sample may get affected during the electron scan. AFM is the only method which can



provide nanoscale spatial resolution in three dimensions, and thus the surface topography and surface roughness can be measured quantitatively. It also has great potential for *in situ* studies with environmental control. Meanwhile, the scan area is limited and unexpected sample damages are revealed. There is also a need to coordinate the conflict between bio-sample immobilization and *in situ* observation of the samples. Complementary studies at the same position with multiple techniques are discussed from two aspects, comparative analysis at different length scales and the chemical identifications combined with microscopy methods. The application and limitation of these techniques are evaluated from the aspects of range of the view field, spatial and time resolution, working environment, sample preparation approach and sample state, as well as cost.

To effectively probe and study the formation of biofilms, strategies to combine selected techniques are recommended. The following suggestions are proposed:

(i) A nano-/micrometer repositioning protocol is required in correlative microscopic studies. Feasible methods comprise use of scanning electron microscopic (SEM) images taken at different magnifications to correlative confocal laser scanning microscopy (CLSM) and atomic force microscopy (AFM) and use of advanced object recognition techniques for image comparative analysis.

(ii) Obtaining quantitative assessment of the biofilm from microscopic image data mining. Object recognition and adaptive thresholding routines will be the most crucial aspects that can be improved by machine learning.

(iii) Integration of AFM and chemical analysis methods (IR/Raman/Fluorescence Microscopy) should be developed. This will lead to a clearer perception of the influence of chemical and surface mechanical properties on cell-to-cell communication in bacteria and biofilm formation.

These approaches will enhance the understanding of biofilm formation and advance the development of new technologies in an attempt to control microorganisms in biofilms.

Conflicts of interest

There are no conflicts to declare.

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