



## Self-aligned sequential lateral field non-uniformities over channel depth for high throughput dielectrophoretic cell deflection

Journal:	<i>Lab on a Chip</i>
Manuscript ID	LC-ART-12-2020-001211.R1
Article Type:	Paper
Date Submitted by the Author:	20-Jan-2021
Complete List of Authors:	Huang, Xuhai; University of Virginia, Electrical and Computer Engineering Torres-Castro, Karina; University of Virginia, Electrical and Computer Engineering Varhue, Walter; University of Virginia, Electrical & Computer Engineering Salahi, Armita; University of Virginia, Electrical and Computer Engineering Ahmed, Rasin; University of Virginia, Electrical Engineering Honrado, Carlos; University of Virginia, Brown, Audrey; University of Virginia, Biology Guler, Jennifer; University of Virginia, Biology Swami, Nathan; University of Virginia, Electrical and Computer Engineering

## Paper

## Self-aligned sequential lateral field non-uniformities over channel depth for high throughput dielectrophoretic cell deflection

XuHai Huang<sup>a</sup>, Karina Torres-Castro<sup>a</sup>, Walter Varhue<sup>a</sup>, Armita Salahi<sup>a</sup>, Ahmed Rasin<sup>a</sup>, Carlos Honrado<sup>a</sup>, Audrey Brown<sup>b</sup>, Jennifer Guler<sup>b</sup>, and Nathan S. Swami<sup>a</sup>

Received 00th January 20xx,  
Accepted 00th January 20xx

DOI: 10.1039/x0xx00000x

Dielectrophoresis (DEP) enables the separation of cells based on subtle subcellular phenotypic differences by controlling frequency of the applied field. However, current electrode-based geometries extend over a limited depth of the sample channel, thereby reducing the throughput of the manipulated sample (sub- $\mu\text{L}/\text{min}$  flow rates and  $<10^5$  cells/mL). We present a flow through device with self-aligned sequential field non-uniformities extending laterally across the sample channel width (100  $\mu\text{m}$ ) that is created by metal patterned over the entire depth (50  $\mu\text{m}$ ) of the sample channel sidewall using a single lithography step. This enables single-cell streamlines to undergo progressive DEP deflection with minimal dependence on the cell starting position, its orientation versus the field and intercellular interactions. Phenotype-specific cell separation is validated ( $>\mu\text{L}/\text{min}$  flow and  $>10^6$  cells/mL) using heterogeneous samples of healthy and glutaraldehyde-fixed red blood cells, with single-cell impedance cytometry showing that the DEP collected fractions are intact and exhibit electrical opacity differences consistent with their capacitance-based DEP crossover frequency. This geometry can address the vision of an “all electric” selective cell isolation and cytometry system for quantifying phenotypic heterogeneity of cellular systems.

### Introduction

The phenotypic heterogeneity of biological systems, wherein subpopulations of a cell type can exhibit distinct structure and function,<sup>1</sup> presents challenges to cell biologists and clinicians seeking to associate biological function and disease with particular cell-level markers.<sup>2-4</sup> While cell populations are routinely quantified by flow cytometry after fluorescent staining of their characteristic cell surface proteins and are enriched by fluorescent activated cell sorting,<sup>5</sup> there is an increasing recognition that many rare cancer,<sup>6</sup> stem<sup>7</sup>, and immune cells<sup>8</sup> do not exhibit identifying biochemical surface markers that can be associated with key functions, such as metastasis,<sup>9</sup> differentiation lineage,<sup>10</sup> and surface activation,<sup>8</sup> respectively. Hence, there is much interest in the complementary utilization of biophysical properties,<sup>11,12</sup> so that cell subpopulations can be identified and separated in a label-free manner based on inherent differences, without the selection bias often imposed by antibody receptors used for fluorescent labelling of cell surface proteins.

Label-free biophysical metrics, such as cell deformability are essential for discerning functional differences,<sup>13,14</sup> but they lack the ability to carry out distinctions based on subcellular properties.<sup>15</sup> Cell electrophysiology, on the other hand, is a

biophysical property that exhibits sensitive variations as a function of cellular electrical size and subcellular features, such as membrane morphology and interior structure. Using an external electric field at a frequency wherein particular cells are selectively polarized based on their subcellular electrophysiology characteristics, cells of interest can be separated under dielectrophoresis (DEP) using spatial field non-uniformities,<sup>16</sup> with positive DEP (pDEP) translation towards the high field due to polarization of the cell or negative DEP (nDEP) translation away from the high field due to polarization of the media around the cell.<sup>17,18</sup> While the applied electric field is screened completely around the cell at low frequencies<sup>19</sup> to cause separations based on cell size, membrane polarization occurs at successively higher frequencies to enable separations based on electrical capacitance differences that depend on cellular membrane morphology<sup>20,21</sup> and activation.<sup>22</sup> At even higher frequencies wherein the membrane is short circuited, cytoplasmic conductivity differences drive the separation based on the cellular interior structure.<sup>23</sup>

While DEP separations have been demonstrated using a wide variety of device geometries over the last couple of decades, the field non-uniformities can be broadly classified as those based either on: (i) electrode-based planar geometries that are highly effective for field coupling,<sup>24</sup> but extend over only a limited channel depth; or (ii) insulator-based geometries that extend over the entire device depth,<sup>25</sup> but exhibit weak field coupling due to the physically distant electrodes from the field non-uniformity.<sup>26</sup> Hence, limitations in the spatial extent of the field in the former case or in magnitude of field coupling in the latter case have led to the poor throughput of DEP separations, in spite of the high subcellular phenotypic

<sup>a</sup> Electrical & Computer Engineering, University of Virginia, Charlottesville, USA.

<sup>b</sup> Biology, University of Virginia, Charlottesville, USA.

<sup>c</sup> Chemistry, University of Virginia, Charlottesville, USA.

† Electronic Supplementary Information (ESI) available: on field profile simulations, image and statistical analysis, membrane capacitance validation, impedance cytometry methods and full videos of the separations from Fig. 6 – Fig. 8. See DOI: 10.1039/x0xx00000x

specificity of DEP, due to its frequency-selective polarization ability.<sup>27</sup> This low throughput is apparent in terms of the low flow rates<sup>28,29</sup> (sub- $\mu\text{L}/\text{min}$  scale) and/or low sample concentrations<sup>30</sup> ( $<10^5$  cells/mL) typically used in DEP separations, to ensure sufficient time for each cell to interact with the limited field non-uniformities points in the device for enabling effective DEP deflection. Alternate approaches that create field non-uniformities using media conductivity gradients to enable cell separation based on their iso-dielectric point at the crossover frequency,<sup>31</sup> lack tunability for pDEP and nDEP. Several 3D metal electrode patterning approaches have emerged to enhance the field extent across the channel depth,<sup>32,33</sup> while maintaining the high field coupling, but these geometries have posed various fabrication challenges, such as the need for labour-intensive interlayer alignment and highly specialized deposition methods that are available only in limited facilities. Easier fabrication methods based on Ag-PDMS electrodes<sup>34,35</sup> exhibit lower conductivity that reduces field coupling, while recessed planar electrodes at orifices<sup>36</sup> do not enable separation under high flow rates.

An emerging strategy for facile fabrication of 3D metal geometries in the sample microchannel is based on co-fabrication of adjoining electrode and sample channels,<sup>37</sup> so that the liquid metal alloy filled in the electrode channel after room temperature solidification can function to stimulate or measure the contents in the sample channel.<sup>38</sup> The chief advantage of this strategy is that it creates patterned metal sidewall electrodes extending over the entire device depth, without the need for interlayer alignment between the metal and microchannel layers.<sup>39</sup> Such an approach has been used for electrodes in microfluidic channels for free-flow electrophoresis,<sup>39</sup> electrical measurement of deforming cells,<sup>40,41</sup> and electrical manipulation of cell stretching.<sup>42</sup> However, dielectrophoresis requires a spatial field non-uniformity that

should ideally extend laterally across the entire sample microchannel width and be invariant over the sample microchannel depth. Furthermore, these lateral field non-uniformities need to be sequentially placed along the length of the microchannel for enabling repeated deflections.<sup>43</sup> Hence, electric field lines in the sample channel need to be created sequentially using adjoining electrode channels with a sharp profile on one side and a wide profile on the other side, so that the cells of interest can be progressively deflected from their streamlines for enabling separation and collection at different outlets of the channel.

We present the realization of this flow through geometry for progressive DEP deflection (**Fig. 1a** and **1b**) by using an array of orifices to create high field points and posts on the other side to contain the metal over a uniform profile. This creates self-aligned sequential field non-uniformities extending laterally across the sample channel width (100  $\mu\text{m}$ ), with a facile method for patterning metal at the sidewalls of the sample channel over its entire depth (50  $\mu\text{m}$ ). In this manner, the sequentially patterned field non-uniformities created using a single lithography step can progressively deflect cells by DEP for enabling separations at enhanced flow rates and at greater cell concentration levels in the sample channel, with minimal dependence on the cell's starting position, its orientation with respect to the field and intercellular interactions. Based on 3D images of the microchannel sidewall to validate fabrication of this structure, electric field and particle flow simulations are used to optimize the architecture for placement of field non-uniformities to enable nDEP (**Fig. 1c**) and pDEP deflection (**Fig. 1d**) in the same device structure. Device operation for nDEP and pDEP deflection is validated using homogeneous samples of healthy human red blood cells (h-RBCs) at concentration of levels of  $1.13 \times 10^8$  cells/mL and at flow rates in the 0.24-12  $\mu\text{L}/\text{min}$  range (sample throughput of  $6.78 \times 10^5$  cells/min), with

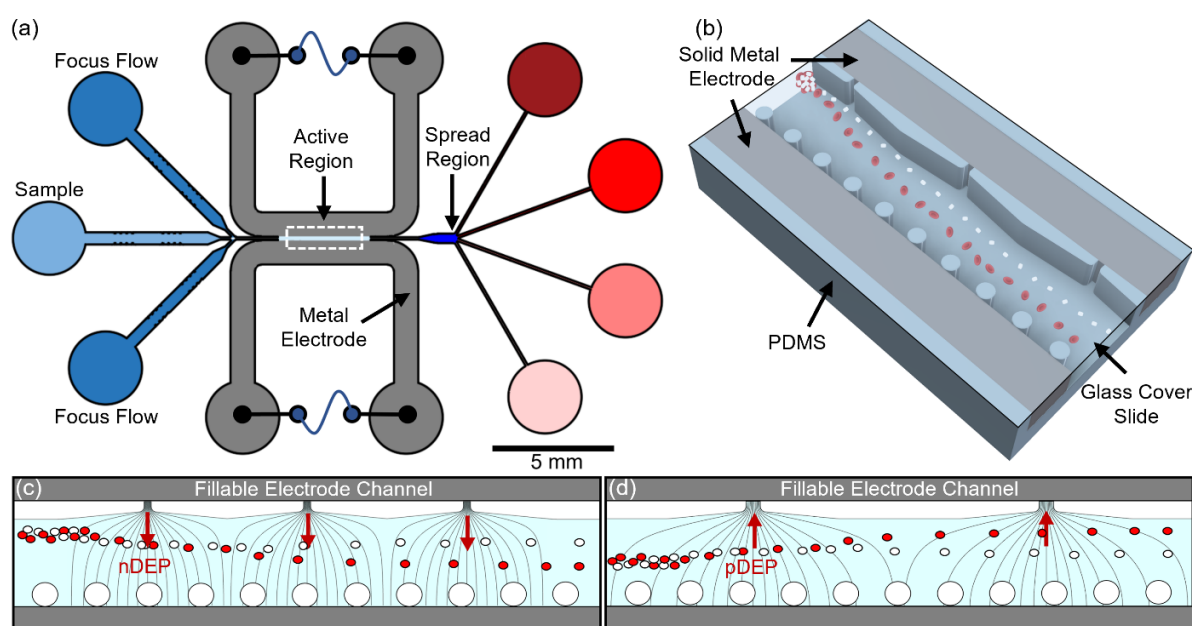


Figure 1. (a) Schematic of microfluidic device with focusing flow on input sample to fix its position with respect to the sequential field non-uniformities for separation. (b) The 3D field non-uniformity created across channel width by orifices on one side and posts on the other side for field generated by the solidified liquid metal electrodes. Field lines to cause particle deflection from initial focused position by: (c) negative DEP (nDEP) and (d) positive DEP.

a clear separation of superimposed streamlines of h-RBCs versus 1% glutaraldehyde-fixed RBCs (f-RBCs). Phenotype-specific cell separation on this device is validated using heterogeneous samples of h-RBCs and f-RBCs (50% each), based on electrical opacity differences<sup>44,45,46</sup> between the respective collected fractions after DEP separation that reflects the expected capacitance differences, while invariance of electrical opacity of DEP collected versus input h-RBCs confirms the maintenance of cell functionality after DEP separation. Based on this, we envision application of this device configuration in future work for selective cell isolation towards quantifying phenotypic heterogeneity of cellular systems.

## MATERIALS AND METHODS

### Device Design

A single-layer PDMS microchannel structure was designed for a sample channel (light blue region of **Fig. 1a**) flanked by two so-called electrode channels<sup>47</sup> (grey region of **Fig. 1a**), with inlet regions for sample and focusing flows, an active region with the field non-uniformity for selective deflection, a spread region to enhance spatial separations and collection regions for each separated fraction. The active region (3D view in **Fig. 1b**) with the field non-uniformities extends 4 mm in length, 100  $\mu\text{m}$  in width and 50  $\mu\text{m}$  in depth. For applying the electric field, recessed electrodes (150  $\mu\text{m}$  apart) were constructed by filling liquid metal in the electrode channels, followed by room temperature solidification. To create and tune the field non-uniformity, the field lines were patterned across the sample channel (**Fig. 1c** and **Fig. 1d**) using orifices on one side (each 10  $\mu\text{m}$  or 20  $\mu\text{m}$  orifices separated by 215  $\mu\text{m}$  and 430  $\mu\text{m}$  from each other for nDEP and pDEP, respectively) and posts on the other side (an array of 25  $\mu\text{m}$  diameter posts spaced 35  $\mu\text{m}$  apart). The orifices, designed with rounded edges to avoid electroosmotic vortexing, act to create parallelized high field points, while the post side acts to reduce fields from the neighbouring electrode, with a critical level of post separation needed to contain the liquid metal in the electrode channel due to its high surface tension. In this manner, by optimizing the geometry of the field non-uniformity (number and periodicity of the orifices) and the initial focusing position of the cells based on flow rates of focusing versus sample flow, the geometry can be designed for nDEP (**Fig. 1c**) and pDEP (**Fig. 1d**).

### Device Fabrication

The device was fabricated using SU-8 patterned photoresist (SU-8 3050, Kayaku Advanced Materials) master constructed on a 4" silicon wafer (University Wafer, Inc), per **Fig. 2a**. The exposure and alignment step were done by a mask aligner (EVG 620, EV Group). A 5:1 PDMS base to PDMS crosslinker (SylgardTM 184, DOW Corning) was used for micromolding into the SU-8 master mold, per **Fig. 2b**. The PDMS and substrate were crosslinked at 60  $^{\circ}\text{C}$  for 12 hours. After demolding, a biopsy punch was used to create the inlets and outlets for the sample channels and electrode channel. The chip was then oxygen plasma bonded to glass with plasma system (Tergeo, Pie

Scientific) for 30 seconds at 20 W power, per **Fig. 2c**. The electrode channels were filled with liquid metal (Field's Metal RotoMetals) using a syringe under an estimated positive pressure of 13 kPa while the device was submerged in a 65  $^{\circ}\text{C}$  water bath (**Fig. 2d**). The chip was removed from the water bath and cooled to room temperature allowing the Field's metal to solidify. Wire leads were made to interface with the in-channel electrodes. Field's metal is a low melting indium alloy (InBiSn 51% In, 32.5% Bi, 16.5% Sn) that is filled in the electrode channel as a viscous liquid at 65 $^{\circ}\text{C}$ , but its high surface tension restrains its flow around the orifices on one side and around the separated posts on the other side, thereby self-aligning the recessed electrodes at a pre-determined distance across the sample channel upon room temperature solidification.

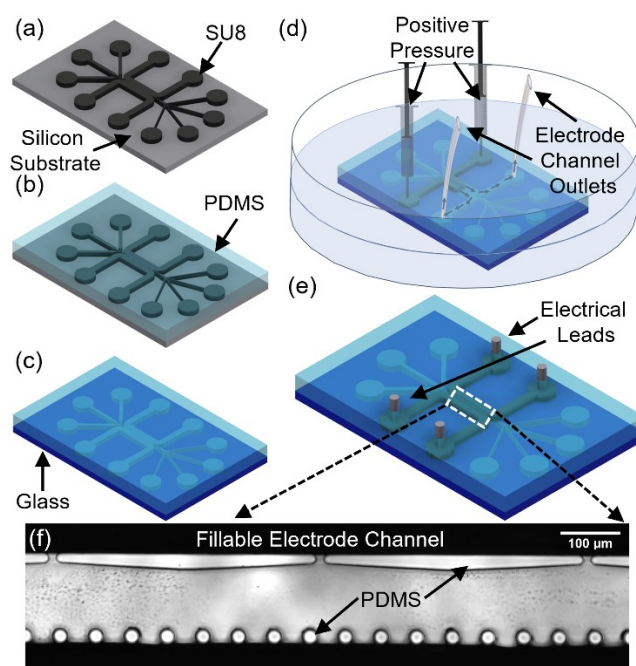


Figure 2. Microfabrication process flow. (a) SU-8 lithography. (b) PDMS micromolding. (c) Oxygen plasma bonding of PDMS to glass. (d) Metal introduced in its melted state into the electrode channel under positive pressure (estimated at  $\sim 13$  kPa<sup>38</sup>) is applied to syringes until the electrode channel is filled with metal. (e) Final device with leads ready for electrical interface. (f) Inverted microscope image of the DEP active region.

### Biological Sample preparation

Healthy RBCs (h-RBCs) were from stock solution of blood type A+ human RBCs from Valley Biomedical (Winchester, VA) and suspended in RPMI 1640 HEPES (Sigma Aldrich, St. Louis, MO), supplemented with 0.5% Albumax II Lipid-Rich BSA (Sigma Aldrich, St. Louis, MO) and 50mg/L hypoxanthine (Thermo Fisher Scientific, Waltham, MA) for storage and diluted as needed ( $1.13 \times 10^8$  cells/mL). The f-RBCs were prepared by washing diluted h-RBCs in 1X PBS three times and resuspending the packed cells in 1 mL of 1X PBS mixed with 20  $\mu\text{L}$  of glutaraldehyde, followed by incubation at room temperature for an hour for the membrane fixation process. The h-RBCs and f-RBCs were washed three times and resuspended in the DEP buffer, composed of: 8% sucrose, 1% BSA, and 1X PBS that is adjusted to conductivity levels of 280  $\mu\text{S}/\text{cm}$ , 450  $\mu\text{S}/\text{cm}$ , 570  $\mu\text{S}/\text{cm}$ , 720  $\mu\text{S}/\text{cm}$ , based on three independent measurements with a conductivity meter (LAQUAtwin, Horiba).

### Microfluidic Operation and Cytometry

Two syringe pumps (Nemesys, Cetoni GmbH) were used to drive the sample and focusing flows. A function/arbitrary waveform generator (33220A LXI, Agilent technologies) coupled with an amplifier<sup>48, 49</sup> (A400DI, FLC Electronics) was used to deliver  $\sim 80$  V<sub>pp</sub> over the investigated frequency range. The cells were imaged using a CMOS camera (Orca flash 4.0 V2, Hamamatsu) connected to an inverted microscope (Axio Observer 7, Zeiss). Post processing of cell position measurements were made using ImageJ (see S2 ESI<sup>†</sup> for details). The collected sample at each outlet after DEP deflection was analysed by single-cell impedance cytometry<sup>44,47</sup> to study the phenotypes based on their electrical opacity (see S6 ESI<sup>†</sup> for details).

### COMSOL Simulation

COMSOL Multiphysics (COMSOL Inc.) was used to study the geometric effects of parallelized asymmetric electrodes and its DEP response in both a 2D and 3D model, for optimizing the design and to analyze the effectiveness of three dimensional and planar electrodes, respectively. The electric current module was used to study the electric field extent from the high field point. The electric current module was coupled with the laminar flow and particle tracing modules to study the DEP force deflection on RBCs (5  $\mu$ m diameter) and Platelets (1.8  $\mu$ m diameter). (See S7 ESI<sup>†</sup> for simulation parameters)

## RESULTS

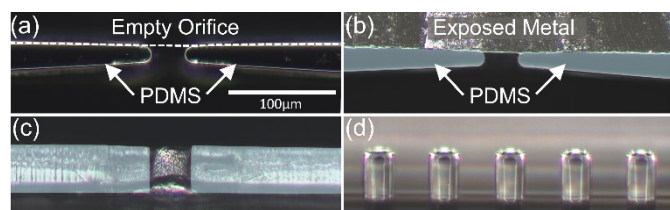


Figure 3. Optical microscopy of the microfabricated channel with solidified liquid metal, showing the PDMS mold of a single orifice with an empty (a) and filled (b) electrode channel. (c) Cross-section view of an orifice with exposed metal (d) Cross-section view of the PDMS post designed to confine the liquid metal across from the orifices.

### Optimizing field non-uniformities

The micro-devices could be fabricated with a high degree of control on the placement of the solidified liquid metal, as recessed electrodes (Fig. 3a & 3b) that extend across the depth

of the sample channel (Fig. 3c & 3d). To optimize the field non-uniformity across the sample channel for enabling the appropriate geometries for nDEP and pDEP deflection of cells, we explored electric field and particle tracing simulations.

Specifically, we present two geometries to highlight the ability to modulate the electric field profile based on the orifice size and its periodicity within a fixed device layout. Per the simulations in Fig. 4, geometry I consists of 10  $\mu$ m wide orifices that are spaced 215  $\mu$ m apart and geometry II consists of 20  $\mu$ m wide orifices that are spaced 430  $\mu$ m. Based on this, it is apparent that geometry I enables significant differences in DEP deflection levels of model RBCs and platelets, as indicated by the streamlines after the focused heterogeneous sample passes beyond the orifices. Since magnitude of the high field point increases sharply with decreasing orifice size, we choose 10  $\mu$ m orifices in Fig. 4a to ensure a force level that is just sufficient for nDEP deflection of the focused heterogeneous sample of cells, while avoiding the likelihood of pDEP trapping by utilizing higher flow rates, higher media conductivity levels and lower field frequencies. However, for cell separation by deflection under pDEP, cells must be focused at a critical distance from the orifices to avoid pDEP trapping, which can disrupt the field profile for subsequent cell streamlines. Per Fig. 4b, geometry I is unable to cause sufficient levels of deflection of the respective cells under pDEP, due to the limited spatial extent of the field non-uniformity across the sample channel width. Hence, to cause the field non-uniformity to extend across a greater proportion of the sample channel width, geometry II with wider orifices (20  $\mu$ m) and greater spacing (430  $\mu$ m) was designed. Per Fig. 4c, this enhanced spatial extent of the field from the orifice is sufficient to cause differential levels of pDEP deflection of the respective cells that are focused at the center of the sample channel, thereby providing a wider spatial range for cell separations under pDEP deflection (up to half of the sample channel width). The line profiles of the field in Fig. 4d show that the spatial extent of the electric field can be enhanced by geometry II, through increasing the orifice size and decreasing the periodicity. The criterion of the minimum field level for pDEP deflection (horizontal dashed line in Fig. 4d) is set as the level just sufficient to cause a displacement level to a particle

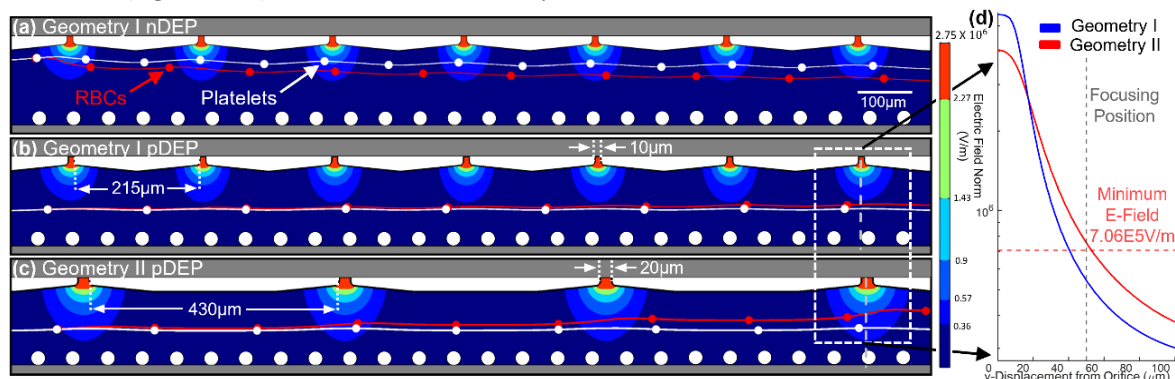


Figure 4. 2D simulation of the electric field normal and particle trajectories under DEP force in geometry I (10  $\mu$ m wide orifices and 215  $\mu$ m apart) versus geometry II (20  $\mu$ m wide orifices and 430  $\mu$ m apart) for application towards: (a) nDEP (150 V<sub>pp</sub> and 40 kHz) in geometry I; (b) pDEP (150 V<sub>pp</sub> and 500 kHz) in geometry I; and (c) pDEP in geometry II. (d) Line profile of the Electric Field in Geometry I versus Geometry II along the center of the orifices extending across the sample channel.

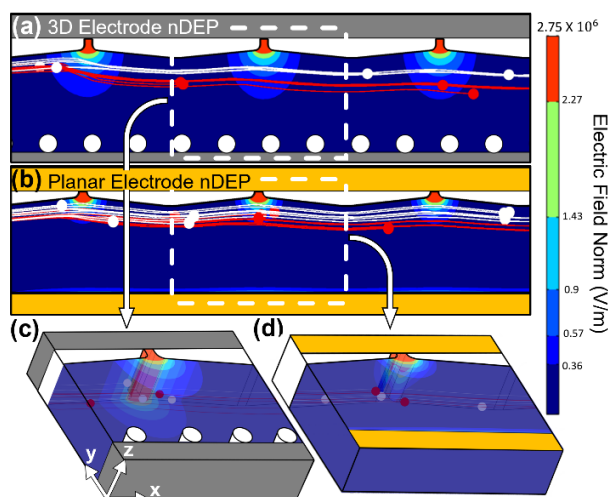


Figure 5. 3D simulation of electric field norm and particle trajectory under DEP force generated with: (a) 3D versus (b) planar electrodes by  $150 V_{pp}$  at 40 kHz. Isometric view of the respective electric field norm of a single orifice (dashed box) along the depth and across the width of the channel with: (c) 3D and (d) planar electrodes.

that is at least one diameter of itself in the lateral direction, since this will make the pDEP deflection distinguishable from the trajectory of an undeflected particle (details in S1 section of ESI<sup>†</sup>). This minimum field level for pDEP deflection is available for cells focused up to  $53 \mu\text{m}$  away from the orifice for geometry II versus only up to  $40 \mu\text{m}$  away from the orifice for geometry I. Hence, the self-aligned field non-uniformity in the sample channel due to field from the electrode channel can be geometrically tuned for nDEP deflection (smaller orifices of high periodicity) or for pDEP deflection (larger orifices of low periodicity), with up to half of the sample channel width available for successive DEP deflection to further separate the spatial streamlines of the deflected cells.

In order to highlight the role of the 3D field profile from metal electrodes that extend over the entire depth of the sample channel, as obtained within our microfabricated devices in Fig. 3c, we compare the field profiles obtained using geometry I (3D electrodes) to that obtained from a representative device with the same active region, but with a planar gold electrode layer. Based on the standard levels of alignment precision in microfluidics, these planar electrodes are assumed to protrude into the sample channel by  $5 \mu\text{m}$  on either side of the sample channel. Comparing the field and particle tracing simulations for the 3D electrode design, per the top-view of Fig. 5a and the cross-sectional view of Fig. 5c, versus for the planar electrode design, per the top-view of Fig. 5b and the cross-sectional view of Fig. 5d, the far greater spatial separation of model RBCs from platelets is apparent using the 3D versus the planar electrode design. The improved separation is due to the field non-uniformity in the x-y plane extending uniformly down the entire depth in the z plane of the channel Fig. 5c, whereas for planar electrodes, the x-y field non-uniformity is only high near the top surface of the sample channel and steadily drops over the channel depth Fig. 5d. Hence, only cells close to the top surface with the planar electrodes are

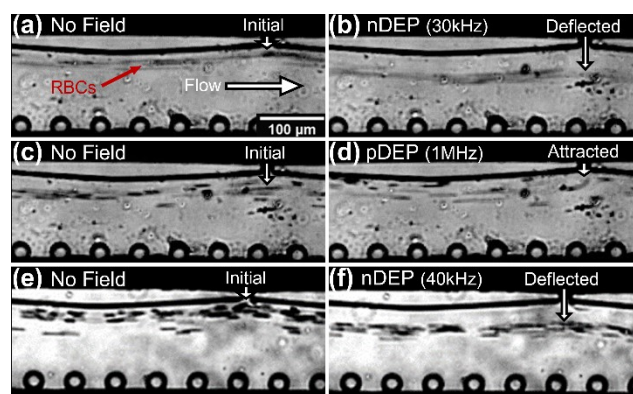


Figure 6: Dielectrophoretic (DEP) deflection of h-RBCs: (a) before ( $0.24 \mu\text{L}/\text{min}$  sample flow) versus (b) after nDEP deflection initiated by  $80 V_{pp}$  at 30 kHz, for cells in buffer of  $280 \mu\text{S}/\text{cm}$  media conductivity, to cause net displacement of  $\sim 30 \mu\text{m}$  from the original sample streamline; (c) before ( $0.24 \mu\text{L}/\text{min}$  sample flow) versus (d) after pDEP deflection initiated by  $80 V_{pp}$  at 1 MHz, for cells in buffer of  $280 \mu\text{S}/\text{cm}$  media conductivity, to cause net displacement of  $\sim 10 \mu\text{m}$  from the original sample streamline. The ability to cause nDEP at even higher sample flow rates ( $12 \mu\text{L}/\text{min}$ ) is apparent from images before (e) versus after nDEP (f), using  $100 V_{pp}$  at 40 kHz to displace RBCs in buffer of  $570 \mu\text{S}/\text{cm}$  media conductivity to  $\sim 30 \mu\text{m}$  from the original sample streamline (video in ESI). deflected, whereas cells at any z position are deflected by the 3D field profile in the presented device.

#### High throughput DEP deflection

Application of the sequential field non-uniformities in the microfluidic device for high throughput and phenotype-selective cell deflection is presented based on nDEP and pDEP deflection of red blood cell streamlines at flow rates in the  $0.2$ - $12 \mu\text{L}/\text{min}$  range (sample throughput of  $10^5$ - $10^6$  cells/min based on an RBC concentration of  $1.13 \times 10^8/\text{mL}$ ). Using a sample of healthy RBCs (h-RBCs) suspended in media of different conductivities ( $280 \mu\text{S}/\text{cm}$ ,  $450 \mu\text{S}/\text{cm}$ ,  $540 \mu\text{S}/\text{cm}$  and  $720 \mu\text{S}/\text{cm}$ ), the crossover frequency was determined based on a frequency sweep. This was used to compute the h-RBC membrane capacitance as:  $11.13 \pm 1.28 \text{ mF}/\text{m}^2$  (see ESI: S3<sup>†</sup>), which is close to that of prior work<sup>27,50,51</sup>, thereby validating the frequency selectivity of DEP manipulation of RBCs within this device. Choosing a media conductivity of  $280 \mu\text{S}/\text{cm}$ , the flow through streamlines of h-RBCs at  $0.24 \mu\text{L}/\text{min}$  are shown before (Fig. 6a) and after field application (Fig. 6b) at  $80 V_{pp}$  at 30 kHz, to initiate nDEP. In fact, this causes a net displacement of  $\sim 30 \mu\text{m}$  in the sample streamlines to enable its facile collection into an alternate outlet point from the original undeflected flow. The same media conductivity is used to demonstrate pDEP deflection of the hRBC flow streamlines at  $0.24 \mu\text{L}/\text{min}$ , using  $80 V_{pp}$  at 1 MHz, as shown before Fig. 6c and after field application Fig. 6d. Under pDEP, the RBCs are deflected by  $\sim 10 \mu\text{m}$  towards the orifices, from an initial streamline position of  $20 \mu\text{m}$  in the lateral direction from the orifice to enable collection into an alternate outlet point from the original undeflected flow. To demonstrate the potential of this device for cell deflection at even higher throughput levels ( $12 \mu\text{L}/\text{min}$ ), the nDEP levels were increased using a higher stimulation signal ( $100$

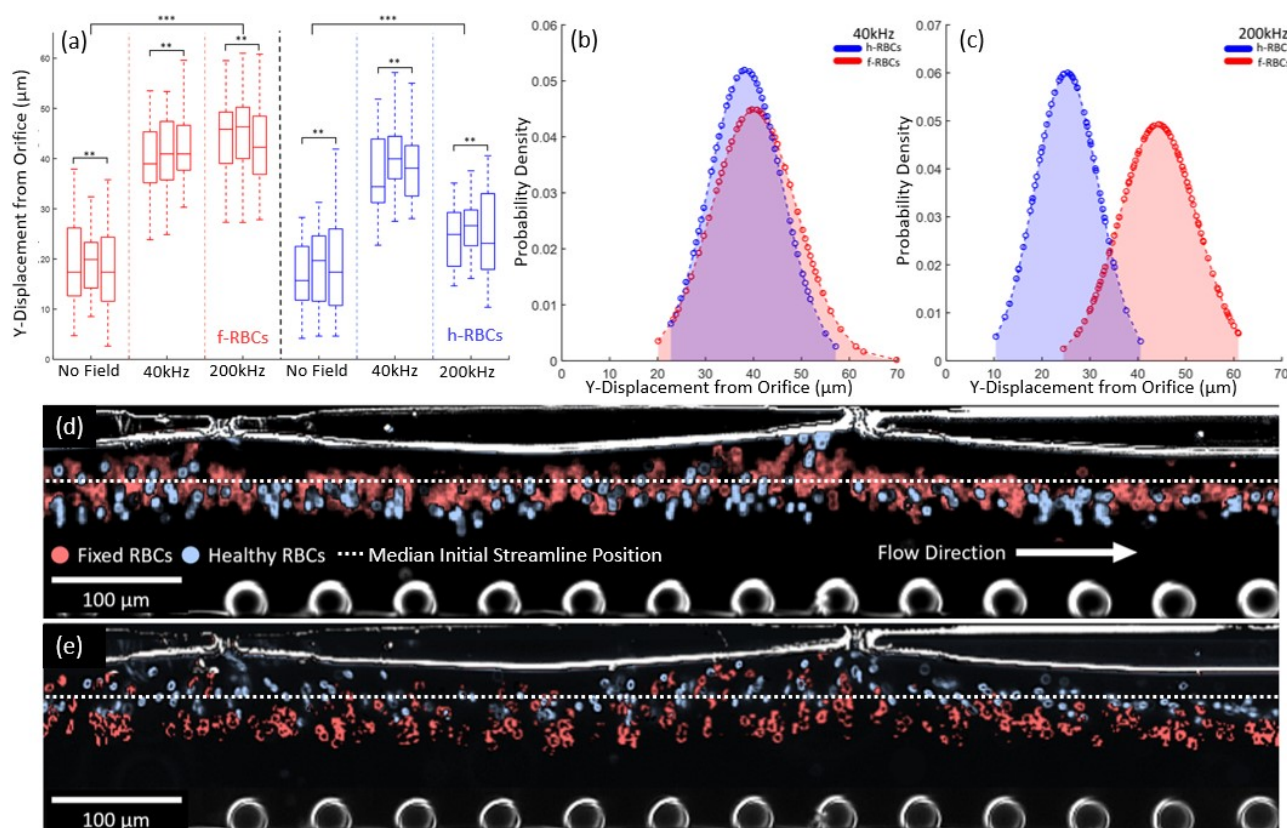


Figure 7. (a) Box plot of lateral displacement of streamlines from orifice for samples of healthy RBCs (h-RBCs) and fixed RBCs (f-RBCs) (1% glutaraldehyde) under conditions of: no stimulation and  $80V_{pp}$  stimulation at 40kHz and 200kHz, to indicate the spatial separation under the respective conditions. Normalized distance probability densities under: (b)  $80V_{pp}$  at 40kHz stimulation wherein h-RBCs and f-RBCs show nDEP; and (c)  $80V_{pp}$  at 200kHz wherein h-RBCs show pDEP and f-RBCs show nDEP. Color corrected stacked images of h-RBCs and f-RBCs under  $80V_{pp}$  at: (d) 40kHz; and (e) 200 kHz (video in ESI).

$V_{pp}$  at 40 kHz). Comparison of Fig. 6e versus Fig. 6f shows that these alterations in nDEP conditions allowed for the maintenance of equivalent levels of net displacement in the sample streamlines ( $\sim 30 \mu\text{m}$ ) at the  $\sim 50$ -fold higher flow rates that are comparable to hydrodynamic separation strategies.

#### Phenotypic selectivity of DEP deflection:

To demonstrate cell phenotype-selective DEP deflection, we compare DEP deflection for a sample of h-RBCs versus of f-RBCs. This is performed by a frequency sweep at  $80 V_{pp}$  for cells suspended in  $450 \mu\text{s}/\text{cm}$  buffer, using a lowered flow rate of  $0.144 \mu\text{L}/\text{min}$  to improve image distinction. While h-RBCs show strong nDEP at 40kHz, with a mean lateral displacement of  $21 \mu\text{m}$  (p value of  $7.1 \times 10^{-5}$  per ESI S4<sup>†</sup>) from their starting position along the channel wall adjoining the orifice, the crossover frequency was reached at 200kHz, as apparent from a mean lateral displacement of  $6.4 \mu\text{m}$  (p value of 0.003 per ESI S4<sup>†</sup>) from their starting position (Fig. 7a & 7b). On the other hand, f-RBCs show nDEP over this measured frequency range, with mean lateral displacement levels of  $\sim 40 \mu\text{m}$  in the 40-200 kHz range. Fixation of RBCs is known to lower ion mobility at the cell membrane to significantly lower the membrane capacitance of f-RBCs<sup>44</sup>, which likely increases their crossover to well-beyond 200 kHz and explains the nDEP observations presented herein. Furthermore, based on three sets of images taken from three independent frames of the video demonstrating DEP for the respective samples at frequencies of 40 kHz and 200 kHz, the data was processed by ImageJ to determine the position of their

respective streamlines away from the channel wall adjoining the orifice (Example of 1 frame in ESI S2<sup>†</sup>). Based on deflected distances determined from the three images for each set, a mean deflection distance and standard deviation was calculated for the f-RBCs and h-RBCs at 40 kHz and at 200 kHz. From the determined mean and standard deviation of the positional data, a normal probability density function was used to compare the normalized deflected events under nDEP and pDEP of h-RBCs and f-RBCs at the respective frequencies, per Fig. 7b and 7c. Based on this, it is clear that while the Gaussian functions for net positions of h-RBCs and f-RBCs strongly overlap at 40 kHz due to their strong nDEP behaviour (Fig. 7b), the respective Gaussian functions show a high degree of separation at 200 kHz, with h-RBCs continuing to exhibit pDEP and f-RBCs continuing to exhibit strong nDEP. Hence, a collection gate can be set at  $34 \mu\text{m}$  from the channel wall adjoining the orifices for obtaining h-RBCs at a separation purity of 88% on one side and f-RBCs on the other side at a separation purity of 91.5%. To visually present this data, colour correction images of h-RBCs (blue) and f-RBCs (red) from selected frames under DEP deflection were stacked to simulate a heterogeneous sample of h-RBCs and f-RBCs at 40 kHz Fig. 7d and 200 kHz Fig. 7e. It is noteworthy that cell deflection was not strongly influenced by vortices due to electroosmotic flow fields<sup>52,53</sup>, which we attribute to the recessed profile of the metal and the rounded PDMS edges (Figure 3b) and to the use of fields in the higher frequency range (30 kHz – 1 MHz).

#### DEP separation of heterogeneous samples:

Based on these collection gates, outlets were designed to separate a heterogeneous sample of h-RBCs and f-RBCs (50%-50%) under 3.6  $\mu\text{L}/\text{min}$  flow rate using 100  $V_{pp}$  at 300 kHz, so that sufficient levels of each separated fraction (**Fig. 8a & 8b**) could be collected for downstream phenotypic measurement by single-cell impedance cytometry in differential mode<sup>54</sup>. In this manner, cells in each separated fraction can be characterized based on their electrical opacity levels (inverse membrane capacitance) to ascertain: (i) maintenance of cell membrane fidelity after DEP separation by comparison to the sample before DEP separation; and (ii) selectivity of separation for membrane capacitance differences. At low frequencies (e.g. 0.5 MHz), the lipid cell membrane has an insulator-like behaviour, as the field screens around the cell, which can be used to estimate their size. However, for increasing frequencies (e.g. 2 MHz), capacitive coupling across the cell membrane renders cells to become more conductive and present a Debye relaxation. Electrical opacity, calculated as

the ratio of impedance magnitude at mid-frequency (2 MHz) to that at low frequency (0.5 MHz), is used as a size-normalized metric for inverse of the cell membrane capacitance to compare the respective cells<sup>55</sup>. The high degree of overlap of the histograms ( $\sim 10,000$  measured events) for h-RBCs before and after DEP collection (**Fig. 8c**) validates the maintenance of cell viability during DEP separation in this device. Alternate metrics of electrical diameter and impedance phase at 2 MHz to compare h-RBCs before vs. after DEP are shown in ESI: Fig. S5. On the other hand, the higher electrical opacity of the nDEP collected versus the pDEP collected fraction (**Fig. 8d**) is attributed to the nDEP fraction composed of f-RBCs and pDEP fraction composed of h-RBCs, as shown in ESI: Fig. S4. This validates the phenotypic selectivity of the DEP separation of cells in this device, based on phenotypic metrics determined from impedance cytometry.

## Conclusion

We present a facile method to create a sequential set of self-aligned field non-uniformities across the sample channel for enabling progressive dielectrophoretic deflection of cells under nDEP and pDEP, as obtained by controlling frequency of the applied field. The geometry of the field non-uniformity and focusing position of the starting sample could be optimized to enable nDEP and pDEP deflection within the same device structure. The metal electrodes in the microfabricated device extend along the entire depth of the channel (50  $\mu\text{m}$ ) and the sequential field non-uniformities extend over much of the channel width (100  $\mu\text{m}$ ). As a result, by adjusting the stimulation field to low frequencies (40 kHz), nDEP deflection of healthy RBCs was possible at high sample flow rates (12  $\mu\text{L}/\text{min}$ ) and high sample throughput levels ( $6.78 \times 10^5$  cells/min), while pDEP was apparent at higher frequencies ( $>200$  kHz) using intermediate flow rate levels (0.24-5  $\mu\text{L}/\text{min}$ ). Based on this, a collection gate was set to separate the streamlines of healthy RBCs that exhibit pDEP versus those of fixed RBCs that exhibit nDEP at 200 kHz stimulation voltage. Phenotype-specific cell separation on this device is validated using heterogeneous samples of healthy and fixed RBCs (50% each), based on electrical opacity differences between the respective collected fractions after DEP separation that reflects their expected capacitance differences, while invariance of electrical opacity of DEP collected versus input h-RBCs confirms the maintenance of cell functionality after DEP separation.

## Conflicts of interest

The authors have no financial interests related to this work.

## Acknowledgements

This research was sponsored by the Office of the Secretary of Defense under Agreement Number W911NF-17-3-003 (Subcontract T0163) and National Center for Advancing Translational Sciences of the National Institutes of Health (NIH)

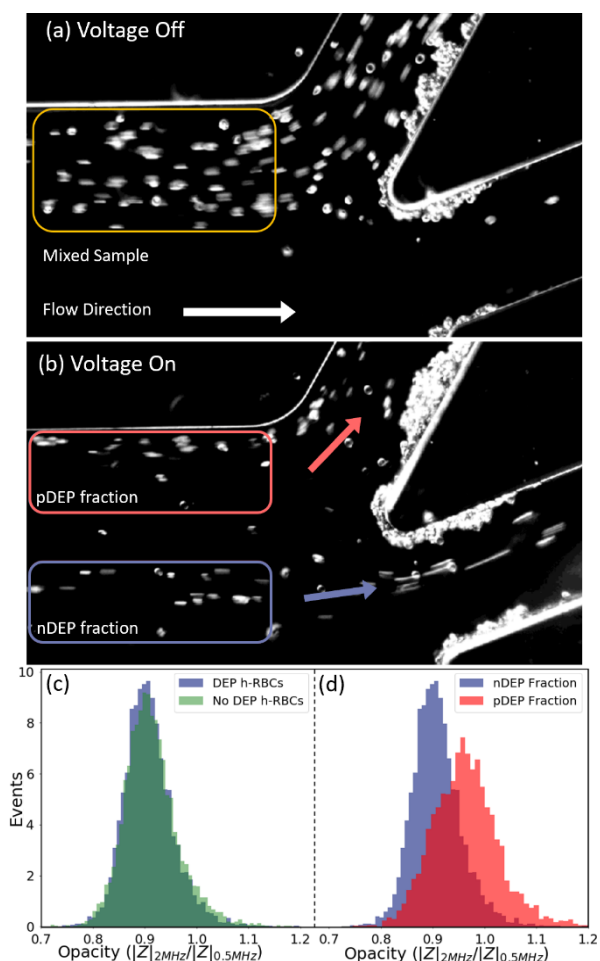


Figure 8. Imaged cell streamlines at the collection channels before (a) and after (b) DEP separation, shows a low membrane capacitance fraction (presumably f-RBCs) exhibiting nDEP and a high membrane capacitance fraction (presumably h-RBCs) exhibiting pDEP under 100  $V_{pp}$  at 300 kHz, at a sample flow rate of 3.6  $\mu\text{L}/\text{min}$ . The separated fractions measured downstream by single-cell impedance cytometry (10,000 events for each cell type) show: (c) invariance of electrical opacity of collected h-RBCs before versus after DEP through the device; (d) distinctly higher electrical opacity (lower membrane capacitance) for the collected nDEP fraction versus the pDEP fractions. (video in ESI)



under Award Number UL1TR003015, and Seed Grants from University of Virginia's Cancer Center, the Global Infectious Diseases Institute and the 3 Cavalier's grant.

## Notes and references

- 1 T. J. Perkins and P. S. Swain, *Mol Syst Biol*, 2009, **5**, 326.
- 2 K. K and F. F, *Anal Chim Acta*, 2013, **800**, 12–21.
- 3 S. S. Rubakhin, E. V. Romanova, P. Nemes and J. V. Sweedler, *Nat Methods*, 2011, **8**, S20-29.
- 4 J. Cros, J. Raffenne, A. Couvelard and N. Poté, *PAT*, 2018, **85**, 64–71.
- 5 D. Wlodkowic, J. Skommer and Z. Darzynkiewicz, *Cytometry A*, 2010, **77**, 591–606.
- 6 A. A. Friedman, A. Letai, D. E. Fisher and K. T. Flaherty, *Nat Rev Cancer*, 2015, **15**, 747–756.
- 7 A. P. Lee, M. Aghaamoo, T. N. G. Adams and L. A. Flanagan, *Curr Stem Cell Rep*, 2018, **4**, 116–126.
- 8 J. S. McGrath, C. Honrado, J. H. Moore, S. J. Adair, W. B. Varhue, A. Salahi, V. Farmehini, B. J. Goudreau, S. Nagdas, E. M. Blais, T. W. Bauer and N. S. Swami, *Anal Chim Acta*, 2020, **1101**, 90–98.
- 9 A. R. Yale, J. L. Nourse, K. R. Lee, S. N. Ahmed, J. Arulmoli, A. Y. L. Jiang, L. P. McDonnell, G. A. Botten, A. P. Lee, E. S. Monuki, M. Demetriou and L. A. Flanagan, *Stem Cell Reports*, 2018, **11**, 869–882.
- 10 C. Petchakup, H. M. Tay, K. H. H. Li and H. W. Hou, *Lab Chip*, 2019, **19**, 1736–1746.
- 11 D. Wlodkowic and J. M. Cooper, *Anal Bioanal Chem*, 2010, **398**, 193–209.
- 12 R. Yang, L. Fu and H. H. Hou, *Sensors and Actuators B: Chemical*, 2018, **266**, 26–45
- 13 J. Guck, S. Schinkinger, B. Lincoln, F. Wottawah, S. Ebert, M. Romeyke, D. Lenz, H. M. Erickson, R. Ananthkrishnan, D. Mitchell, J. Käs, S. Ulvick and C. Bilby, *Biophysical Journal*, 2005, **88**, 3689–3698.
- 14 C. M. Krating-Rush, J. P. Califano and C. A. Reinhart-King, *PLOS ONE*, 2012, **7**, e32572.
- 15 P. R. C. Gascoyne and S. Shim, *Cancers (Basel)*, 2014, **6**, 545–579.
- 16 H. A. Pohl, *Journal of Applied Physics*, 1951, **22**, 869–871.
- 17 R. Pethig, *Biomicrofluidics*, 2010, **4**, 022811.
- 18 H. Morgan and N. G. Green, *AC electrokinetics: Colloids and Nanoparticles*, Research Studies Press, Philadelphia, PA, 2003
- 19 Z. R. Gagnon, *Electrophoresis*, 2011, **32**, 2466–2487.
- 20 P. R. C. Gascoyne, S. Shim, J. Noshari, F. F. Becker and K. Stemke-Hale, *Electrophoresis*, 2013, **34**, 1042–1050.
- 21 R. E. Fernandez, A. Rohani, V. Farmehini and N. S. Swami, *Anal Chim Acta*, 2017, **966**, 11–33.
- 22 B. Jundi, H. Ryu, D.-H. Lee, R.-E. E. Abdounour, B. D. Engstrom, M. G. Duvall, A. Higuera, M. Pinilla-Vera, M. E. Benson, J. Lee, N. Krishnamoorthy, R. M. Baron, J. Han, J. Voldman and B. D. Levy, *Nat Biomed Eng*, 2019, **3**, 961–973.
- 23 A. Rohani, J. H. Moore, J. A. Kashatus, H. Sesaki, D. F. Kashatus and N. S. Swami, *Anal. Chem.*, 2017, **89**, 5757–5764.
- 24 R. Martinez-Duarte, *Electrophoresis*, 2012, **33**, 3110–3132.
- 25 E. B. Cummings and A. K. Singh, *Anal. Chem.*, 2003, **75**, 4724–4731.
- 26 B. H. Lapizco-Encinas, *Electrophoresis*, 2019, **40**, 358–375.
- 27 X.-B. Wang, J. Yang, Y. Huang, J. Vykoukal, F. F. Becker and P. R. C. Gascoyne, *Anal Chem*, 2000, **72**, 832–839.
- 28 N. Piacentini, G. Mernier, R. Tornay and P. Renaud, *Biomicrofluidics*, 2011, **5**, 034122-034122–8.
- 29 M. Sun, P. Agarwal, S. Zhao, Y. Zhao, X. Lu and X. He, *Anal Chem*, 2016, **88**, 8264–8271.
- 30 J. Zhang, D. Yuan, Q. Zhao, S. Yan, S.-Y. Tang, S. H. Tan, J. Guo, H. Xia, N.-T. Nguyen and W. Li, *Sensors and Actuators B: Chemical*, 2018, **267**, 14–25.
- 31 M. D. Vahey and J. Voldman, *Anal Chem*, 2009, **81**, 2446–2455.
- 32 L. Wang, J. Lu, S. A. Marchenko, E. S. Monuki, L. A. Flanagan, A. P. Lee, *Electrophoresis*, 2009, **30**, 782–791
- 33 J. P. Beech, K. Keim, B. D. Ho, C. Guiducci and J. O. Tegenfeldt, *Advanced Materials Technologies*, 2019, **4**, 1900339.
- 34 N. Lewpiriyawong, C. Yang and Y. C. Lam, *Electrophoresis*, 2010, **31**, 2622–2631.
- 35 N. Lewpiriyawong, K. Kandaswamy, C. Yang, V. Ivanov and R. Stocker, *Anal Chem*, 2011, **83**, 9579–9585.
- 36 K. Zhao, Larasati, B. P. Duncker and D. Li, *Anal. Chem.*, 2019, **91**, 6304–6314.
- 37 A. C. Siegel, S. K. Y. Tang, C. A. Nijhuis, M. Hashimoto, S. T. Phillips, M. D. Dickey and G. M. Whitesides, *Acc Chem Res*, 2010, **43**, 518–528.
- 38 J.-H. So and M. D. Dickey, *Lab Chip*, 2011, **11**, 905–911.
- 39 T. W. Herling, T. Müller, L. Rajah, J. N. Skepper, M. Vendruscolo and T. P. J. Knowles, *Appl. Phys. Lett.*, 2013, **102**, 184102.
- 40 D. Yang, Y. Zhou, Y. Zhou, J. Han and Y. Ai, *Biosens Bioelectron*, 2019, **133**, 16–23.
- 41 J. Panwar, R. Roy, *Microelectronic Engineering*, 2019, **215**, 111010.
- 42 B. Zhu, Y. Cai, Z. Wu, F. Niu and H. Yang, *IEEE Access*, 2019, **7**, 152224–152232.
- 43 H.-S. Moon, K. Kwon, S.-I. Kim, H. Han, J. Sohn, S. Lee and H.-I. Jung, *Lab Chip*, 2011, **11**, 1118–1125.
- 44 K. Cheung, S. Gawad, P. Renaud, *Cytometry Part A*, 2005, **65**, 124–132.
- 45 C. Honrado, J.S. McGrath, R. Reale, P. Bisegna, N.S. Swami, F. Caselli, *Anal Bioanal Chem*, 2020, **412**, 3835–3845
- 46 J. H. Moore, A. Salahi, C. Honrado, C. Warburton, C. A. Warren, N. S. Swami, *Biosensors and Bioelectronics*, 2020, **15**, 166: 112440.
- 47 A. Salahi, W. B. Varhue, V. Farmehini, A. R. Hyler, E. M. Schmelz, R. V. Davalos, N. S. Swami, *Analytical and bioanalytical chemistry*, 2020, **412** (16), 3881–3889.
- 48 V. Farmehini, A. Rohani, Y.-H. Su, N.S. Swami; *Lab Chip*, 2014, **14**, 4183 – 4187
- 49 V. Farmehini, W. Varhue, A. Salahi, A. R. Hyler, J. Čemažar, R. Davalos and N. S. Swami, *IEEE Trans. Biomed. Eng.*, 2020, **67**, 1664 – 1671.
- 50 K. Torres-Castro, C. Honrado, W.B. Varhue, V. Farmehini, N. S. Swami, *Anal. Bioanal. Chem.*, 2020, **412**, 3847–3857.
- 51 J. Cottet, O. Fabregue, C. Berger, F. Buret, P. Renaud, M. Frénéa-Robin, *Biophysical journal*, 2019, **116**, 12-8.
- 52 Y. Ren, W. Liu, Y. Jia, Y. Tao, J. Shao, Y. Ding, H. Jiang, *Lab Chip*. 2015; **15**, 2181–2191.
- 53 Y. Ren, W. Liu, Y. Tao, M. Hui, Q. Wu, *Micromachines* 2018, **9**, 102.
- 54 C. Honrado, P. Bisegna, N.S. Swami, F. Caselli, *Lab Chip* 2021, **21**, 22-54.
- 55 C. Honrado, N. Michel, J.H. Moore, A. Salahi, V. Porterfield, M.J. McConnell, and N.S. Swami, *ACS Sensors* 2021, DOI: 10.1021/acssensors.0c02022.