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24 **Abstract**

25 Freeze drying and spray drying are conventional methods for converting milk into
26 powder in the dairy industry. The purpose of this study was to investigate the effects of
27 these drying methods on the physical, chemical and structural features of milk fat
28 globules (MFGs). The globule sizes increased after freeze drying and spray drying, but
29 the integrity of their microstructures was still maintained. Compared with that in
30 untreated MFGs, the composition of the fatty acids underwent fewer changes under the
31 two different drying conditions. The main phospholipids showed some differences
32 between the freeze-dried milk and spray-dried milk, and the saturation of the fatty acids
33 of phospholipids was increased after the drying treatments. A reduction in the
34 cholesterol content was observed after the freeze-drying and spray-drying processes.
35 The level of unsaturation decreased as the diameter of the globules increased. The
36 results indicated that freeze drying had a significant effect on the liquid-ordered
37 domains in the MFG membrane, which was formed by sphingolipid and cholesterol.
38 However, spray drying influenced the liquid-disordered domains, which were formed
39 by glycerophospholipid. Thus, the drying method appears to affect the physical,
40 chemical and microstructure characteristics of MFGs, which may affect the stability of
41 the globules in milk and the way the milk is digested.

42

43 **Keywords:** Milk fat globules, Freeze drying, Spray drying, Structure, Composition

44

45 **Introduction**

46 Milk secreted by mammals can supply nutrition and immunological protection to the
47 young. However, the milk preservation period is generally limited due to staling and
48 spoilage caused by microbial growth at high water activity. Microbial spoilage is by far
49 the most common cause of spoilage as indicated by visible growth (slime, colonies),
50 textural changes (polymer degradation) or off-odours and off-flavours.¹ The
51 preservation techniques for liquid milk are especially important and necessary.

52 Applications of freeze drying or spray drying to convert milk into powder without
53 changing its nutritional and sensory characteristics to extend the shelf-life of milk have
54 been documented.²⁻⁴ Freeze drying, which is time consuming and expensive, is an
55 important drying process for milk to conserve its flavour, bioactivities and other
56 properties.⁵ However, the spray-drying process only requires a very short time.⁶ Both
57 the freeze-drying and spray-drying methods can enhance the apparent solubility of milk
58 concentrate powders.

59 The influence of the drying methods on the surface properties and morphological
60 characteristics of milk fat globules (MFGs) has been reported. However, previous
61 studies emphasised the changes in size, shape and surface proteins of milk powders
62 produced by spray drying.⁷⁻¹¹ The choice of drying methods affects some physical and
63 chemical characteristics of milk. Both freeze-dried and spray-dried milk powders have
64 essentially the same flavour characteristics. However, freeze-dried milk powder
65 frequently acquires a fruity flavour that is not apparent in spray-dried milk.¹²
66 Freeze-dried and spray-dried MFG membranes (MFGM) are morphologically different
67 when examined under light microscopy. The freeze-dried MFGM are irregular flaky
68 translucent sheets with sharp edges, whereas the spray-dried MFGM are spherical
69 particles.¹³ Drying methods also increase the degree of protein denaturation and surface

70 fat coverage.¹⁴ It had been proved that adverse effects are reduced when using lower
71 temperature than is conventionally used in spray drying.¹⁵ The drying methods also can
72 alter the thermodynamic state of the phospholipids in MFGM.¹³ To our knowledge,
73 there are few studies of the composition and changes in the microstructure of
74 freeze-dried and spray-dried MFGM. Moreover, the chemical fingerprint of individual
75 MFGs of different sizes from freeze drying and spray drying are also poorly described.

76 In the present study, the microstructure and lipid composition of freeze-dried and
77 spray-dried milk were measured and compared. The differences in composition of the
78 MFGs according to their sizes obtained with these methods were also reported. Our
79 research will provide insight into the influence of the different methods of drying on
80 MFGs.

81

82 **Materials and Methods**

83 **Milk samples**

84 Raw milk (RM): Cow milk samples from Chinese Holstein cows were purchased from
85 a local producer (Tianzi Dairy Industry Co., Ltd., Wuxi, Jiangsu, China). The milk was
86 left to cool at room temperature and was characterised within 12 h after milking.

87 Freeze-dried milk (FDM): Raw milk was frozen at -20°C and then freeze-dried for 24 h
88 at 5×10^{-3} mbar and -45°C in a Freeze Dry System (Labconco, Kansas City, MO, USA).

89 One gram of dried milk was then dissolved in 10 mL of deionised water at room
90 temperature. Spray-dried milk (SDM): Raw milk was spray-dried in a laboratory-scale
91 SD-1500 spray dryer (Voldy Science & Technology Co., Ltd., Shanghai, China). The
92 milk was fed into the main chamber through a peristaltic pump, and the feed flow rate
93 was controlled by the pump rotation speed. The inlet air temperature was 160°C and the
94 outlet air temperature was 80°C. The spray-dried powder (1 g) was then dispersed in 10

95 mL of deionised water for analysis.

96

97 **Particle size measurements**

98 The MFG size distributions were determined by laser light scattering using a
99 Mastersizer 2000 (Malvern Instruments, Malvern, UK), equipped with a He/Ne laser
100 ($\lambda=633$ nm) and an electroluminescent diode ($\lambda=466$ nm). The refractive index of milk
101 fat was taken to be 1.460 at 466 nm and 1.458 at 633 nm. The milk samples were diluted
102 in about 100 mL of water directly in the measurement cell of the apparatus to reach 10%
103 obscuration. The casein micelles were dissociated by adding 1 mL (35 mM
104 EDTA/NaOH, pH 7.0) buffer to the milk in the apparatus. The size distributions of
105 MFGs were characterised by the volume-weighted mean diameter $D_{4,3}$, defined as
106 $\sum n_i d_i^4 / \sum n_i d_i^3$, and the volume/surface mean diameter $D_{3,2}$, defined as
107 $\sum n_i d_i^3 / \sum n_i d_i^2$, where n_i is the number of fat globules of diameter d_i .

108

109 **Apparent zeta potential**

110 MFG electrophoretic mobility was measured by electrophoretic light scattering using a
111 Malvern Zetasizer 2000 (Malvern Instruments, Worcestershire, UK). Samples were
112 prepared by suspending 10 μ L milk in 10 mL buffer (20 mM imidazole, 50 mM NaCl, 5
113 mM CaCl₂, pH 7.0), and the zeta potential was measured at 25°C. The averages of three
114 measurements were reported as zeta potentials.

115

116 **Surface tension**

117 Surface tension of the milk was determined by a DCAT21 surface tension meter
118 (DataPhysics, Filderstadt, Germany). The surface temperature of both the raw and dried
119 milk remained constant at 25°C.

120

121 Confocal laser scanning microscopy (CLSM)

122 The microstructures of MFGs were analysed with a Zeiss LSM 710 Meta confocal
123 microscope. A 63 × (NA 1.4) oil immersion objective was used for all images. Confocal
124 experiments were performed using an argon laser operating at 488 nm excitation
125 wavelength and a He-Ne laser operating at 543 nm excitation wavelength. The milk
126 sample for observation was prepared as previously reported.¹⁶ Lipid-soluble Nile Red
127 fluorescent dye (9-diethylamino-5H-benzo[alpha]-phenoxazine-5-one; Sigma-Aldrich,
128 St. Louis, MO, USA) (42 µg/mL in acetone) was used to stain the triacylglycerol core
129 of the MFGs. The fluorescent dye N-(lissamine rhodamine B sulfonyl)
130 dioleoylphosphatidylethanolamine (Rh-DOPE; Avanti Polar Lipids, Inc., Alabaster,
131 AL, USA) (1 mg/mL in chloroform) was used to label the phospholipids.

132

133 Extraction of total lipids

134 Total lipids of milk were extracted by homogenising with 2:1 chloroform-methanol
135 (v/v).¹⁷ The homogenate was treated by ultrasonic waves for 10 min and then
136 centrifuged for 10 min at 4500 × g. The organic phase, which contained the milk lipids,
137 was shaken and equilibrated with one-fourth volume of a saline solution (NaCl 0.86%,
138 w/w). The extract was moved to a separatory funnel for 20 min, and the liquid at the
139 bottom was filtered and evaporated under vacuum.

140

141 Gas chromatography (GC) analysis of fatty acids

142 Twenty milligrams of milk fat in 2 mL hexane and 500 µL of 2 mol/L KOH-CH₃OH
143 were added in a screw-capped tube. The reagents were incubated for 5 min at room
144 temperature, and then 5 mL of deionised water was added. The upper layer was

145 recovered and analysed by GC.

146 Fatty acid methyl esters were measured on an Agilent 7820 GC (Agilent Corp.,
147 USA) equipped with a flame ionisation detector and a capillary column TRACE
148 TR-FAME (60 m × 0.25 mm × 0.25 µm, Thermo Fisher, USA). The oven temperature
149 was programmed as follows: 60°C for 3 min, then raised to 175°C at 5°C min⁻¹ and held
150 for 15 min, and finally raised to 220°C at 2°C min⁻¹ and held for 10 min. The injector
151 and detector temperatures were 230°C and 250°C, respectively.

152

153 **High-performance liquid chromatography analysis of phospholipids**

154 Phospholipids were analysed with a high-performance liquid chromatograph equipped
155 with an evaporative light-scattering detector.¹⁸ A silica column (4.6 mm × 250 mm, 5
156 µm particle size) conjugated with a precolumn was used in this study. Nitrogen was
157 used as the nebulising gas at a flow rate of 1 L min⁻¹, and the evaporating temperature
158 was 85°C. The elution programme was carried out under isocratic conditions with
159 87.5:12:0.5 (v/v/v) chloroform/methanol/triethylamine buffer (pH 3, 1 M formic acid)
160 from 0 to 10 min and then a linear gradient with 87.5:12:0.5 (v/v/v) at 11 min to
161 28:60:12 (v/v/v) at 45 min. The mobile phase was brought back to the initial conditions
162 at 47 min, and the column was allowed to equilibrate until the next injection at 55 min.
163 The flow rate was maintained at 0.5 mL min⁻¹, the injection volume was 10 µL, and the
164 samples and the column were equilibrated at 40°C.

165

166 **Analysis of the fatty acids of phospholipids**

167 Phospholipids classes were separated by one-dimensional double-development
168 high-performance thin-layer chromatography using hexane/diethyl ether/acetic acid
169 (80:20:1, v/v/v). Bands of absorbent containing the phospholipids fraction were

170 scraped off the plates into test tubes. Then, the phospholipids were extracted three times
171 with chloroform (1 mL each). Fatty acid methyl esters of the phospholipids were
172 prepared with a method adapted from Lopez et al.¹⁹ The procedure was then continued
173 as described above for the analysis of total fatty acids.

174

175 **Analysis of sterols**

176 Sterols of milk samples were extracted according to the method of Fraga.²⁰ Sterols
177 samples were analysed by a Thermo Scientific France DSQ GC-MS equipped with a
178 DB-5 MS capillary column (30 m; 0.25-mm i.d., 0.52- μ m film thickness; Agilent
179 Corp.). The oven temperature was held at 150°C for 1 min and then increased to 300°C
180 at a rate of 10°C min⁻¹ and held for 15 min at 300°C.

181

182 **Confocal Raman microscopy analysis**

183 Milk samples (50 μ L) were deposited onto a microscopic slide, and 50 μ L of 0.5% (w/v)
184 agarose was added to fix the samples, which were then analysed by confocal Raman
185 microscopy (HORIBA Jobin Yvon SAS, Longjumeau, France).²¹ The spectral region
186 recorded was 400-3200 cm⁻¹ for the MFGs. The Raman spectral data acquisition was
187 performed using Labspec6 software (HORIBA Jobin Yvon SAS). The peak intensity
188 was measured, and the average peak intensity of the MFGs of the same size was
189 calculated. The intensities of the Raman spectral bands were analysed and calculated
190 using Matlab software (The MathWorks, Natick, MA, USA).²²

191

192 **Statistical Analysis**

193 All sample results are expressed as mean \pm standard deviation (SD). The experiments
194 were run in triplicate. Statistical analysis software (version 9.0, SAS Institute, Inc.,

195 Cary, NC) was used for data treatment. Results were considered statistically significant
196 at $P < 0.05$.

197

198 **Results and Discussion**

199 **Size distribution, zeta potential and surface tension of MFGs**

200 To determine the influence of the drying processes used in the preparation of milk
201 powder on MFGs, their size distribution, zeta potential and surface tension were
202 measured and compared (Table 1). The size distributions of MFGs in RM (control),
203 FDM and SDM are shown in Figure 1.

204 The size distribution in RM was characterised by a main peak at $3.89 \mu\text{m}$, which
205 was similar to previous results.²³ This corresponded to $D_{3,2}$ and $D_{4,3}$ values of $3.31 \pm$
206 0.11 and $3.70 \pm 0.31 \mu\text{m}$, respectively. The shapes of the size distributions changed
207 markedly with the treatments of freeze drying and spray drying, with significant
208 decreases in the main peak at $3.89\text{-}5.04 \mu\text{m}$. Particle size distribution curves were
209 polydispersed and multimodal in FDM samples, with 3 peaks at 3.89 , 11.99 and 16.96
210 μm , whereas the samples of SDM had bimodal size distributions at 5.04 and $13.08 \mu\text{m}$.
211 High values of $D_{3,2}$ and $D_{4,3}$ were characteristic of FDM and SDM that exhibited peaks
212 that corresponded to high size values in the particle size distribution curves. These
213 changes were commonly attributed to the formation of a small amount of MFGs bigger
214 than those of the control. The size increases after heat treatment were presumably due
215 to coalescence of the globules and increasing protein denaturation.²⁴ The coalescence
216 might be related to altered protein-lipid interactions in the membrane. Freezing was
217 found to be very important in affecting the particle size. Two explanations for the
218 growth of globule size during the freezing process have been proposed: (1) the ice
219 crystal formation repelling foreign material away from the interstitials causes the

220 aggregation of globules; and (2) the osmotic de-swelling of the globules causes them to
221 recombine into larger globules.²⁵ The drying processes caused a range of structural and
222 physicochemical modifications, which in turn influenced the reconstitution and
223 absorption of milk proteins and caused the increase in MFG sizes.

224 The zeta potential was thought to be particularly suitable to estimate the degree
225 of MFG surface coverage by plasma proteins as an indicator of the degree of damage.²⁶
226 The apparent zeta potential calculated for RM of -9.44 ± 0.66 mV was not significantly
227 different from that of -9.72 ± 0.43 mV for FDM. However, the zeta potential of SDM
228 (-10.35 ± 0.72 mV) was significantly higher than that of RM and FDM. The increase of
229 about 9.6% in the absolute value of the apparent zeta potential may be related to the
230 adsorption of casein micelles and whey proteins to the MFGM.²⁷ This means that
231 possible damage to MFGs during the spray-drying process may result in variation of the
232 interface of the fat globules. Particularly, the heat treatment process can alter the
233 composition of the membrane that may be accompanied by changes in the surface
234 charge of the fat globules.

235 Surface tension can also be used to evaluate the degree of damage of MFGM. The
236 values of surface tension of RM, FDM and SDM were measured and can be compared
237 in Table 1. The values obtained in RM and FDM were not significantly different,
238 whereas the value in SDM was lower than that in RM, which indicated that some
239 surface-active materials were lost from the MFGM. Phospholipids, which have a polar
240 head group and two hydrophobic hydrocarbon tails, are the most abundant lipids of
241 MFGM. During the spray-drying process, the high temperature and high shear stress
242 may cause the phospholipids to become a kind of surfactant that reduces the surface
243 tension.

244

245 **Microstructure of MFGs**

246 CLSM was used to visually assess the structural changes of the MFGs during the
247 different drying processes, and the structural details of the MFGs and MFGM could be
248 observed. Figure 2 shows the CLSM images of RM, FDM and SDM, which were
249 stained using Nile red fluorescent probe for the triacylglycerol core of MFG and
250 Rh-DOPE for MFGM, respectively. The MFGs in RM and the two drying samples were
251 present in the form of spherical droplets with polydispersed size distributions. This was
252 in agreement with the results of laser light-scattering measurements, which showed that
253 parts of the MFGs in FDM and SDM were bigger than those in RM (Figure 2A₁, B₁,
254 C₁).

255 The emission fluorescence of Rh-DOPE in the form of red rings at the periphery of
256 the MFGs was still detected after both the cold- and heat-drying methods (Figure 2B₂,
257 C₂), indicating that the MFGM still maintained their structural integrity after processing.
258 Despite the fact that no significant changes or disruption was found in the MFGM of the
259 FDM and SDM samples, some small damage was still present in the globules. As
260 observed in Figure 2B₂, the surfaces of some MFGs after freeze drying became thicker
261 than those of RM. A possible explanation may be that the amphiphilic phospholipids
262 tend to accumulate on the surface and then the fat globules coalesce with each other
263 during the freeze-drying process.

264 Figure 2C₂ shows that some MFGs aggregated rather than evenly dispersed
265 throughout an aqueous phase. At the same time, the results also revealed that
266 freeze-dried milk powder dissolved in water showed better stability than spray-dried
267 milk powder. One reason may be that with the changes that occur in the physical and
268 chemical properties of MFGM with rapid water removal during spray drying, the
269 powder particles are likely to join together due to changes in their surface properties.

270

271 **Lipid Composition of MFGs**

272 Cow milk contains about 3-5% total lipids. Milk fat is a complex mixture of compounds
273 with a wide range of polarities and structures. Over 98% of the lipids in all milk types
274 are in the form of triglycerides, with the phospholipids and sterols accounting for less
275 than 1% and 0.5%, respectively, of the total lipids. Given the fact that they are the main
276 lipids of MFGs, the assessment of their stabilities after different drying methods is of
277 interest. Because the results from physical and microstructural studies still do not
278 adequately explain the differences in the MFGs between RM, FDM and SDM, the
279 chemical compositions were also compared in our study to examine the effects of spray
280 drying and freeze drying on the composition of these lipid compounds.

281

282 ***Fatty acids***

283 The compositions of fatty acids detected in the control and drying-processed samples
284 are shown in Table 2. The predominant fatty acids of cow milk were myristic (C14:0),
285 palmitic (C16:0), stearic (C18:0) and oleic (C18:1) acids, and the values were
286 consistent with those previously reported.²⁸ Saturated fatty acids (SFA) constituted
287 71.75% of the total fatty acids, and monounsaturated fatty acids (MUFA) accounted for
288 27.31%. The difference between the SFA and unsaturated fatty acids (UFA) observed in
289 this study could be due to the different treatments. Low and high temperatures at the
290 later stages of the drying processes may inactivate milk lipases, which means that
291 triglycerides in spray-dried and freeze-dried powder will not be hydrolysed by the
292 action of these enzymes and therefore will be less susceptible to further oxidation. As
293 expected, the fatty acid proportions of both the spray-dried and freeze-dried samples
294 had no statistically significant differences compared with the control samples.

295

296 ***Phospholipids***

297 Phospholipids interacting with membrane proteins and sterols determine the structure,
298 stability and fluidity of MFGM. They are the backbones of the membrane due to their
299 amphiphilic structure and emulsifying properties. The main phospholipids located in
300 the MFGM are phosphatidylcholine (PC), sphingomyelin (SM),
301 phosphatidylethanolamine (PE), phosphatidylinositol (PI) and phosphatidylserine (PS).

302 The compositional data reported in Table 3 provided evidence that the drying
303 methods affected the phospholipids. The proportion of phospholipids in FDM and
304 SDM were significantly changed. We observed decreases in SM (from 34% to 29%)
305 and PS (from 12% to 7%) in FDM ($P<0.01$). However, the changes in FDM were
306 different from those in SDM. Decreases were observed in the proportion of PC (from
307 29% to 26%) and PS (from 12% to 9%) in SDM ($P<0.01$). SM in SDM pointed to high
308 stability, whereas the relative distribution of PE and PI were increased.

309 The changes in the compositions of phospholipids seemed to be attributable to the
310 locations of the phospholipids in the membrane. Native MFGs are enveloped by
311 tri-layer membranes, with the inner layer originating from the endoplasmic reticulum
312 and the outer bilayer originating from regions of the apical plasma membrane of
313 mammary epithelial cells. PC and SM are present predominantly in the outer leaflet. PE
314 and PI reside mainly in the inner leaflet, whereas PS is located almost exclusively in the
315 inner leaflet of the plasma membrane.²⁹ Therefore, PC and SM located in the outer
316 leaflet of the membrane are more susceptible to treatment. According to the recent
317 structural model of MFGM, the liquid-disordered phase is composed of the
318 glycerophospholipids (PE, PC, PI and PS), whereas the liquid-ordered phase domain is
319 composed of SM.³⁰ In light of our results, we suspect that the liquid-disordered phase is

320 more influenced by high temperature, but the liquid-ordered phase is more sensitive to
321 low temperature. Whether the reported decreases in the respective contents of SM and
322 PC in FDM and SDM are valid will require further investigation.

323

324 *Fatty acids of phospholipids*

325 The total fatty acids composition of phospholipids in the RM, FDM and SDM is
326 presented in Table 4. The main fatty acids present in the phospholipids were C14:0,
327 C16:0, C18:0, C18:1 and C18:2. These results were consistent with the report by
328 Fong.³¹ Some significant differences were also found between the dried samples and
329 control samples. The SFA of phospholipids in FDM and SDM were 66.03% and
330 61.36%, respectively, versus 57.18% in RM. Phospholipids of RM were less saturated
331 than those in the FDM and SDM. The MUFA of phospholipids were 19.98% in FDM,
332 23.89% in SDM and 30.51% in RM. Finally, the contents of polyunsaturated fatty acids
333 of the phospholipids were 13.99% and 14.75% in FDM and SDM, respectively, but
334 only 12.31% in RM.

335 Regarding the SFA composition of the phospholipids, C16:0 and C18:0 were
336 significantly increased, whereas the UFA of C16:1 and C18:1 were significantly
337 decreased in FDM and SDM. According to the data reported in the literature, SM was
338 found to contain high amounts of long-chain fatty acids, with C16:0 being the major
339 fatty acid ($\geq 25\%$). C16:0 and C18:1 were the main fatty acids in PC, and a high amount
340 of UFA existed as PE (C18:1 $\geq 50\%$).^{31, 32} Therefore, the significant differences of fatty
341 acids of phospholipids were related to the changes in the phospholipids profile during
342 the drying processing. The increased SFA in FDM and SDM might be due to the
343 respective decreases of PC and SM. Drying treatments may lead the PC, SM and PE to
344 convert to phosphatidic acids, which are easy to extract.

345

346 *Minor sterols*

347 Cholesterol is mainly distributed on the entire plasma membrane, and it also
348 concentrates in specialised sphingolipid-rich domains called liquid-ordered phase
349 domains of MFGM. The content of cholesterol in RM was 292 mg/100 g, and it
350 decreased to 233 mg/100 g in FDM and to 240 mg/100 g in SDM (Figure 3). The
351 greatest reduction in cholesterol content was caused by the drying treatment.
352 Cholesterol is a monounsaturated lipid with a double bond on carbon-5 and is
353 susceptible to oxidation by a free radical mechanism.³³ The decrease in cholesterol in
354 FDM probably results from oxidation during the heat treatment. However, the change
355 in cholesterol in SDM may be caused by the alteration of the membrane structure
356 during the low-temperature process because freeze drying results in osmotic shock
357 and loss of membrane integrity from intracellular ice formation and recrystallisation.³⁴
358 The changes in the cholesterol content were in accordance with the changes in the SM
359 content during freeze drying. Thus, we demonstrated the damage that occurs on the
360 sphingolipid-cholesterol membrane domains by freeze drying. Lanosterol and
361 lathosterol, the other sterols found in milk, accounted for 4.6 mg/100 g and 5.6 mg/100
362 g of the milk fat in RM, respectively, but only small changes were observed in these
363 sterols during the drying processes.

364 Squalene is a precursor of cholesterol, and it may be viewed as a more flexible
365 structure in the membrane than in the rigid cholesterol molecule. The function of
366 squalene in the membrane is not yet clear, but it appears to have some roles in
367 stabilising the membrane structure. The content of squalene increased from 9.1 mg/100
368 g in RM to 28.3 mg/100 g in FDM and to 12.3 mg/100 g in SDM under the effects of
369 drying. The reason for the increase of squalene in FDM and SDM was unclear. This

370 observed increase illustrates that modification of the structure of the MFGM during the
371 drying processes resulted in increasing solubility in the extraction solvents.

372

373 **Chemical fingerprints of RM, FDM and SDM by confocal Raman microscopy**

374 Raman spectroscopy is ideally suited to the characterisation of different sized MFGs as
375 it allows the study of aqueous samples in situ.³⁵ Therefore, Raman spectroscopy
376 combined with optical microscopy was applied here with the aim of comparing lipid
377 profiles of MFGs in the two drying methods and among different size classes. Raman
378 spectra were generated and interpreted for comparison of the chemical composition of
379 three different MFG size classes (small for globules less than 3 μm , medium for
380 globules between 3-7 μm and large for globules over 7 μm in diameter) in RM, FDM
381 and SDM.

382 The Raman spectra of MFGs in RM, FDM and SDM were different according to
383 the size of the globules. As shown in Figures 4 and 5, where visual differences in the
384 peak heights of the spectra were noted, the relevant literature was consulted for
385 information about the nature of these peaks. The prominent peaks in the Raman spectra
386 were found at 1654 cm^{-1} ($\nu(\text{C}=\text{C})$ cis double bond stretching of $\text{RHC}=\text{CHR}$), 1443 cm^{-1}
387 ($\delta(\text{C}-\text{H})$ scissoring of $-\text{CH}_2$), 1269 cm^{-1} ($\delta(\text{C}-\text{H})$ bending at the cis double bond in $\text{R}-$
388 $\text{HC}=\text{CH}-\text{R}$), 1303 cm^{-1} ($\text{C}-\text{H}$ twisting of the $-\text{CH}_2$ group) and 1742 cm^{-1} ($\nu(\text{C}=\text{O})$
389 stretching of $\text{RC}=\text{OOR}$).³⁶

390 The peak at 860 cm^{-1} , which is quite broad (from 810 to 897 cm^{-1}) (Figure 4), was
391 due to the contributions from the complex mixture of the polar head groups from the
392 phospholipids³⁷. The intensity of the band at 860 cm^{-1} was decreased after the
393 freeze-drying and spray-drying processes. According to the model proposed by Gallier
394 et al.³⁵, the two ratios I_{1654}/I_{1742} and I_{1654}/I_{1443} are indicative of the degree of

395 unsaturation of the samples. The band at 1269 cm^{-1} is a last indicator of the
396 unsaturation level. In Figure 4, the relative intensity of the band at 1269 cm^{-1} was
397 weak compared with that of the band at 1303 cm^{-1} , which was characteristic of the
398 low degree of unsaturation. The Raman spectra of MFGs in RM, FDM and SDM
399 showed decreased levels of unsaturation as the globule size increased (Figure 5). This
400 could be explained by the content of the triglyceride core increasing with the
401 increasing size of the MFGs. The changing trends in the degree of unsaturation were
402 similar under the freeze-drying and spray-drying processes for the small and medium
403 MFGs, whereas the degree of unsaturation demonstrated a decreasing trend for MFGs
404 with larger diameters.

405 Three intense bands near 1010 , 1160 and 1530 cm^{-1} corresponded to the aromatic
406 compounds of carotenoids.³⁸ This carotenoid band appeared very strong for the small,
407 medium and large MFGs in RM. However, the FDM and SDM presented very weak
408 bands. This suggests the concentration of carotenoids was decreased by both the high-
409 and low-temperature processing.

410 The Raman spectra in the region around 2600 - 3200 cm^{-1} contain information
411 about the mobility and structure of the hydrocarbon chains of lipids. The C-C mobility
412 of the hydrocarbon chains indicated by the intensities of the bands at 1065 , 1080 and
413 1130 cm^{-1} and the increased intensity of the band at 2850 cm^{-1} relative to the band at
414 2885 cm^{-1} are indicative of higher mobility of the hydrocarbon chains. The change in
415 the intensities of these bands after the freeze-drying and spray-drying processes
416 indicated that the fatty acid composition of globules of different sizes varied during
417 the drying processes. Therefore, MFGs of different sizes may have different melting
418 points and could be at different states at the same temperature.

419

420 **Conclusions**

421 This study revealed the changes in physical, chemical and structural properties of
422 MFGs caused by the freeze-drying and spray-drying methods. The sizes of the fat
423 globules increased as a result of coalescence and gathering of the MFGs during the
424 drying processes, and CLSM clearly showed evidence of microstructural changes of
425 the MFGs undergoing freeze drying and spray drying. Our results indicated that neither
426 freeze drying nor spray drying significantly affected the contents of the fatty acids.
427 However, the changes in the phospholipids, the fatty acids of the phospholipids and the
428 sterol profiles were obvious. The peak intensities of the MFGs of different sizes also
429 showed some changes between RM, FDM and SDM by Raman spectroscopy. The
430 present study provided evidence that both the freeze-drying and spray-drying processes
431 can affect the MFGs. The effect of the two drying methods needs to be taken into
432 consideration when researching dairy powder properties because they may affect the
433 stability of the globules in milk and the way the reconstituted milk is digested.

434

435 **Conflict of interest**

436 The authors declare that there are no conflicts of interest.

437

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442

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512

513 **Figure Captions**

514 **Figure 1.** Particle size distribution of the milk fat globules at different drying methods
515 determined by laser light scattering.

516

517 **Figure 2.** CLSM images of milk fat globules from raw milk (A1, A2), freeze-dried milk
518 (B1, B2) and spray-dried milk (C1, C2). A1, B1 and C1 stained with Nile Red. A2, B2
519 and C2 stained with Rh-DOPE. Scale bar = 10 μm .

520

521 **Figure 3.** Comparison of sterols in raw milk, freeze-dried milk and spray-dried milk.

522

523 **Figure 4.** Raman spectra of milk fat globules in raw milk, freeze-dried milk and
524 spray-dried milk of small size ($\leq 3 \mu\text{m}$), medium size ($> 3 \mu\text{m}$ and $< 7 \mu\text{m}$) and large size
525 ($\geq 7 \mu\text{m}$) in the region $400\text{-}1800 \text{ cm}^{-1}$ (left) and in the CH region $2600\text{-}3200 \text{ cm}^{-1}$ (right).
526 (a.u.: arbitrary units).

527

528 **Figure 5.** Qualitative evaluation of the unsaturation level and the liquid/crystal fat
529 ratio of RM, FDM and SDM fat globules with different sizes.

530

531 **Table 1** Size distribution, zeta-potentials and surface tension of milk fat globules after
532 different drying methods.

Size parameters	Raw milk	Freeze-dried milk	Spray-dried milk
D_{3,2} (μm)	3.31±0.11	8.67±0.23	6.95±0.41
D_{4,3} (μm)	3.70±0.31	5.02±0.34	4.50±0.33
zeta-potentials (mV)	-9.44±0.66	-9.72±0.43	-10.35±0.72
surface tension (mN/m)	41.207±0.023	41.993±0.030	35.414±0.029

533 D_{3,2}, average size of surface area; D_{4,3}, average size of volume.

534

535 **Table 2** Fatty acid composition (expressed as percentage of total fatty acids) of milk fat
 536 globules in raw milk, spray-dried milk and freeze-dried milk ^a.

Fatty acid (%)	Raw milk	Freeze-dried milk	Spray-dried milk
C4:0	1.00±0.04	1.49±0.03 **	1.44±0.06 **
C8:0	1.00±0.03	1.16±0.04 **	1.09±0.03 *
C10:0	2.86±0.09	3.10±0.08 *	3.00±0.07
C11:0	0.08±0.01	0.08±0.01	0.10±0.02
C12:0	3.88±0.09	4.00±0.08	3.99±0.07
C13:0	0.14±0.02	0.15±0.02	0.15±0.04
C14:0	12.89±0.23	12.77±0.14	12.78±0.15
C14:1	1.44±0.08	1.45±0.05	1.46±0.05
C15:0	1.45±0.13	1.43±0.03	1.44±0.06
C15:1	0.02±0.00	0.02±0.00	0.02±0.00
C16:0	35.65±0.24	35.09±0.16	35.11±0.11
C16:1	1.95±0.10	1.82±0.04	1.81±0.05
C17:0	0.79±0.07	0.78±0.04	0.79±0.04
C17:1	0.30±0.02	0.29±0.03	0.30±0.04
C18:0	11.71±0.11	11.67±0.09	11.60±0.13
C18:1t	0.42±0.05	0.43±0.03	0.46±0.04
C18:1	23.17±0.15	23.06±0.19	23.24±0.13
C18:2t	0.27±0.02	0.36±0.02 **	0.28±0.03
C18:3n-6	0.06±0.01	0.05±0.01	0.07±0.01
C18:3n-3	0.30±0.03	0.30±0.03	0.33±0.02
C20:0	0.22±0.02	0.20±0.02	0.21±0.01
C21:0	0.04±0.01	0.04±0.01	0.05±0.01
C20:3n-6	0.15±0.01	0.14±0.03	0.15±0.02
C22:1	0.01±0.00	0.01±0.00	0.02±0.00
C20:5n-3(EPA)	0.14±0.02	0.05±0.01 **	0.06±0.01 **
C23:0	0.05±0.01	0.04±0.01	0.05±0.01
C22:2	0.01±0.00	0.01±0.00	0.00±0.00
SFA	71.75±0.87	72.00±0.76	71.80±0.81
MUFA	27.31±0.40	27.08±0.34	27.31±0.31
PUFA	0.94±0.09	0.91±0.10	0.89±0.09

537 SFA: saturated fatty acids; MUFA: total monounsaturated fatty acids; PUFA: total
 538 polyunsaturated fatty acids. Values are means ± standard. Calculations were based on 5
 539 samples with three replicate measurement per milk sample.

540 ^aThe contents of fatty acids in freeze-dried milk and spray-dried milk were compared
 541 with the control (raw milk) separately. Means with different superscript letters are
 542 significantly different (* $P < 0.05$, ** $P < 0.01$).

543

544 **Table 3** Phospholipids composition of cow milk fat globules after spray-drying and
 545 freeze-drying.

Phospholipids (%)	Raw milk	Freeze-dried milk	Spray-dried milk
PE	18.09±1.65	26.63±2.21 **	22.92±1.55 **
PI	5.76±0.46	6.04±0.37 *	6.73±0.42 **
PS	12.29±0.79	7.23±0.51 **	9.77±0.73 **
PC	29.72±1.42	30.62±1.27 **	26.59±1.72 **
SM	34.15±1.38	29.48±1.66 **	33.99±1.84

546 PE, phosphatidylethanolamine; PI, phosphatidylinositol; PS, phosphatidylserine; PC,
 547 phosphatidylcholine; SM, sphingomyelin. Values are means ± standard. Calculations
 548 were based on 5 samples with three replicate measurement per milk sample. The
 549 contents of phospholipids in freeze-dried milk and spray-dried milk were compared
 550 with the control (raw milk) separately. Means with different superscript letters are
 551 significantly different (* $P < 0.05$, ** $P < 0.01$).

553

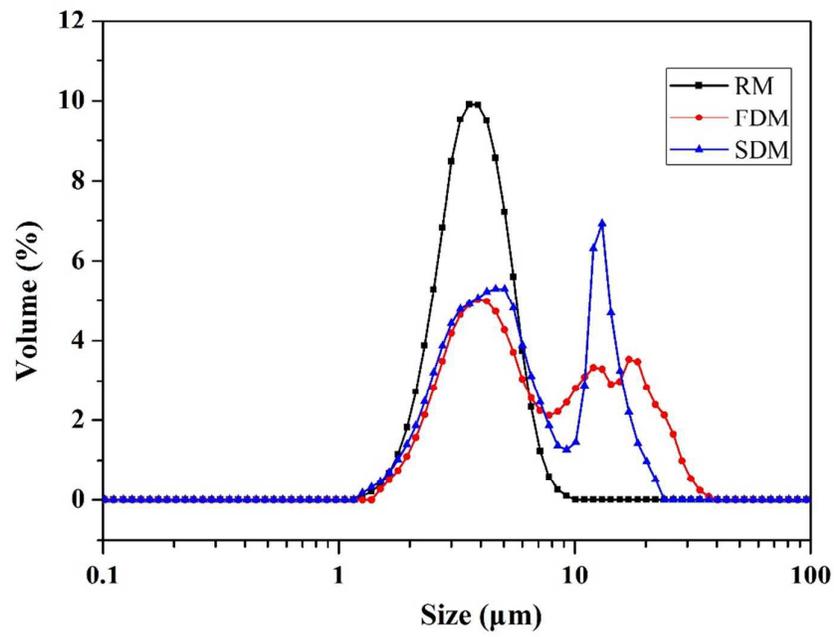
554 **Table 4** Fatty acid composition of phospholipids of milk fat globules in raw milk,
 555 spray-dried milk and freeze-dried milk ^a.

Fatty acid	Raw milk (%)	Freeze-dried milk (%)	Spray-dried milk (%)
C4:0	0.14±0.02	0.47±0.04 **	0.54±0.04 **
C6:0	0.16±0.01	0.22±0.02 **	0.57±0.05 **
C8:0	0.19±0.04	0.18±0.02	0.66±0.04 **
C10:0	0.59±0.06	1.84±0.07 **	1.22±0.04 **
C11:0	0.11±0.02	0.37±0.03 **	0.26±0.02 **
C12:0	1.19±0.04	1.60±0.03 **	1.76±0.11 **
C13:0	0.16±0.02	0.46±0.06	0.39±0.04
C14:0	6.87±0.08	3.51±0.10 **	5.66±0.02 **
C14:1	0.47±0.02	0.38±0.02 **	0.59±0.11 **
C15:0	1.12±0.04	0.53±0.03 **	1.14±0.04
C15:1	0.20±0.01	0.00±0.00 **	0.21±0.01
C16:0	27.71±0.12	31.78±0.16 **	28.73±0.13 **
C16:1	1.80±0.04	1.10±0.20 **	1.43±0.05 **
C17:0	0.82±0.03	0.51±0.04 **	0.91±0.06
C17:1	0.30±0.03	0.19±0.02 **	0.50±0.06 **
C18:0	16.40±0.19	21.02±0.22 **	18.45±0.12 **
C18:1	27.48±0.23	16.52±0.19 **	20.91±0.59 **
C18:2t	0.38±0.04	0.54±0.04 **	4.62±0.44 **
C18:2	9.81±0.12	11.26±0.24 **	8.84±0.14 **
C18:3n-6	0.10±0.02	0.02±0.00 **	0.11±0.01
C18:3n-3	0.64±0.03	0.86±0.09 **	0.62±0.08
C20:0	0.61±0.03	0.77±0.07 **	0.48±0.06 **
C21:0	0.15±0.02	0.26±0.03 **	0.13±0.02
C20:3n-6	0.65±0.04	1.32±0.02 **	0.56±0.04
C22:1	0.27±0.03	1.80±0.11 **	0.26±0.03
C20:5n-3EPA	0.64±0.03	0.00±0.00 **	0.00±0.00 **
C23:0	0.98±0.10	2.54±0.06 **	0.48±0.04 **
C22:2	0.09±0.01	0.00±0.00 **	0.00±0.00 **
SFA	57.18±0.82	66.03±0.98	61.36±0.87
MUFA	30.51±0.36	19.98±0.54	23.89±0.78
PUFA	12.31±0.29	13.99±0.39	14.75±0.71

556 SFA: saturated fatty acids; MUFA: total monounsaturated fatty acids; PUFA: total
 557 polyunsaturated fatty acids. Values are means ± standard. Calculations were based on 5
 558 samples with three replicate measurement per milk sample.

559 ^a The contents of fatty acids of phospholipids in freeze-dried milk and spray-dried milk
 560 were compared with the control (raw milk) separately. Means with different superscript
 561 letters are significantly different (* $P < 0.05$, ** $P < 0.01$).

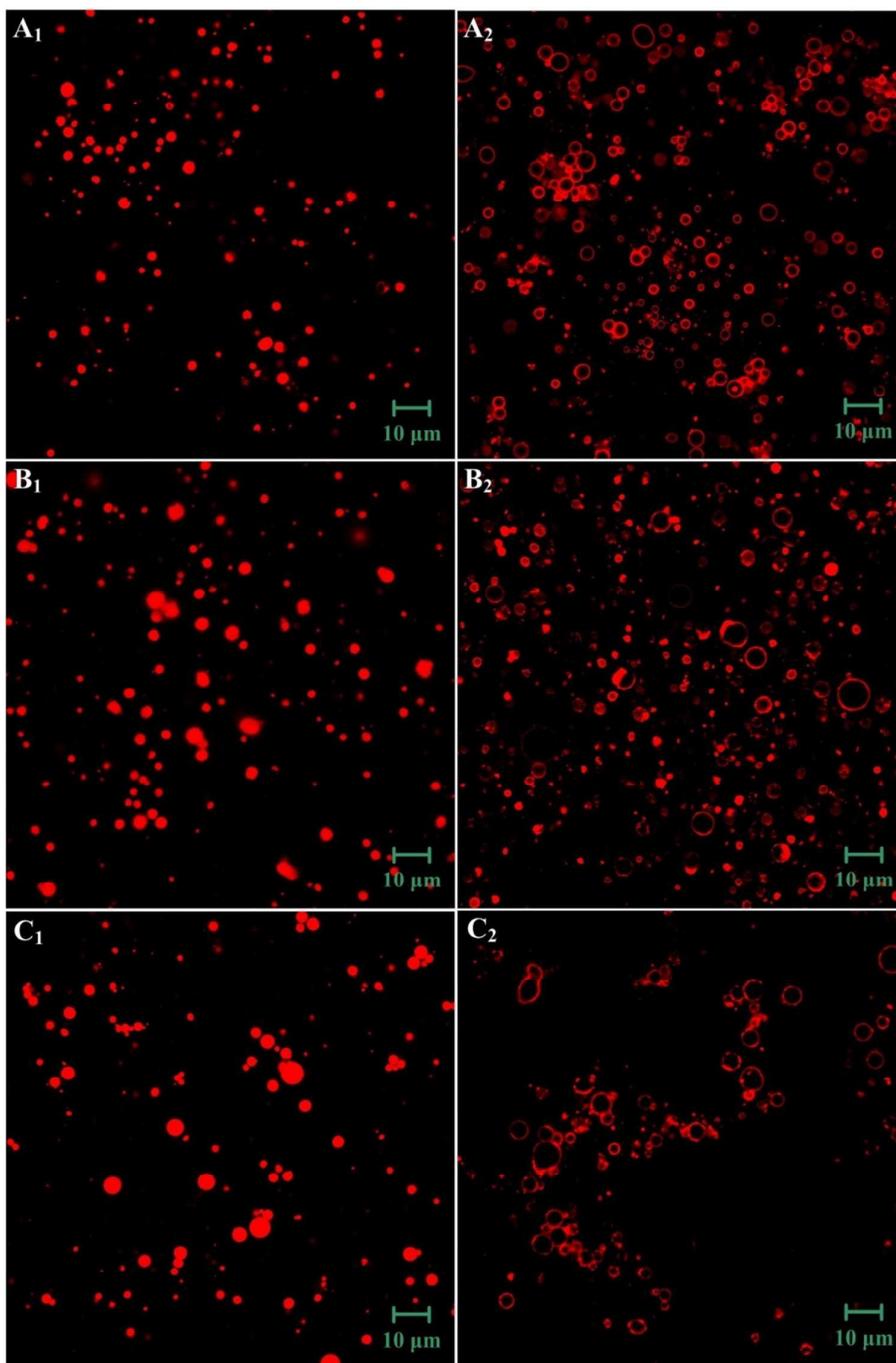
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563 **Figure 1**



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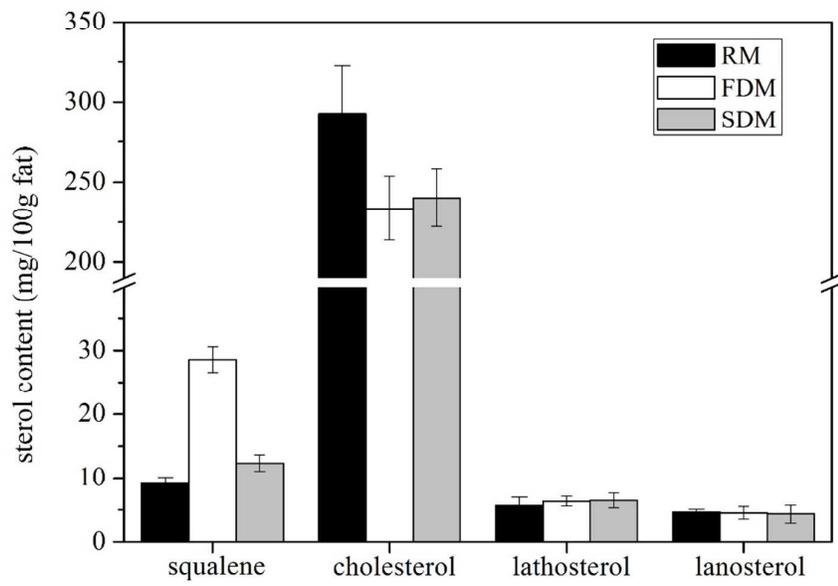
566 Figure 2



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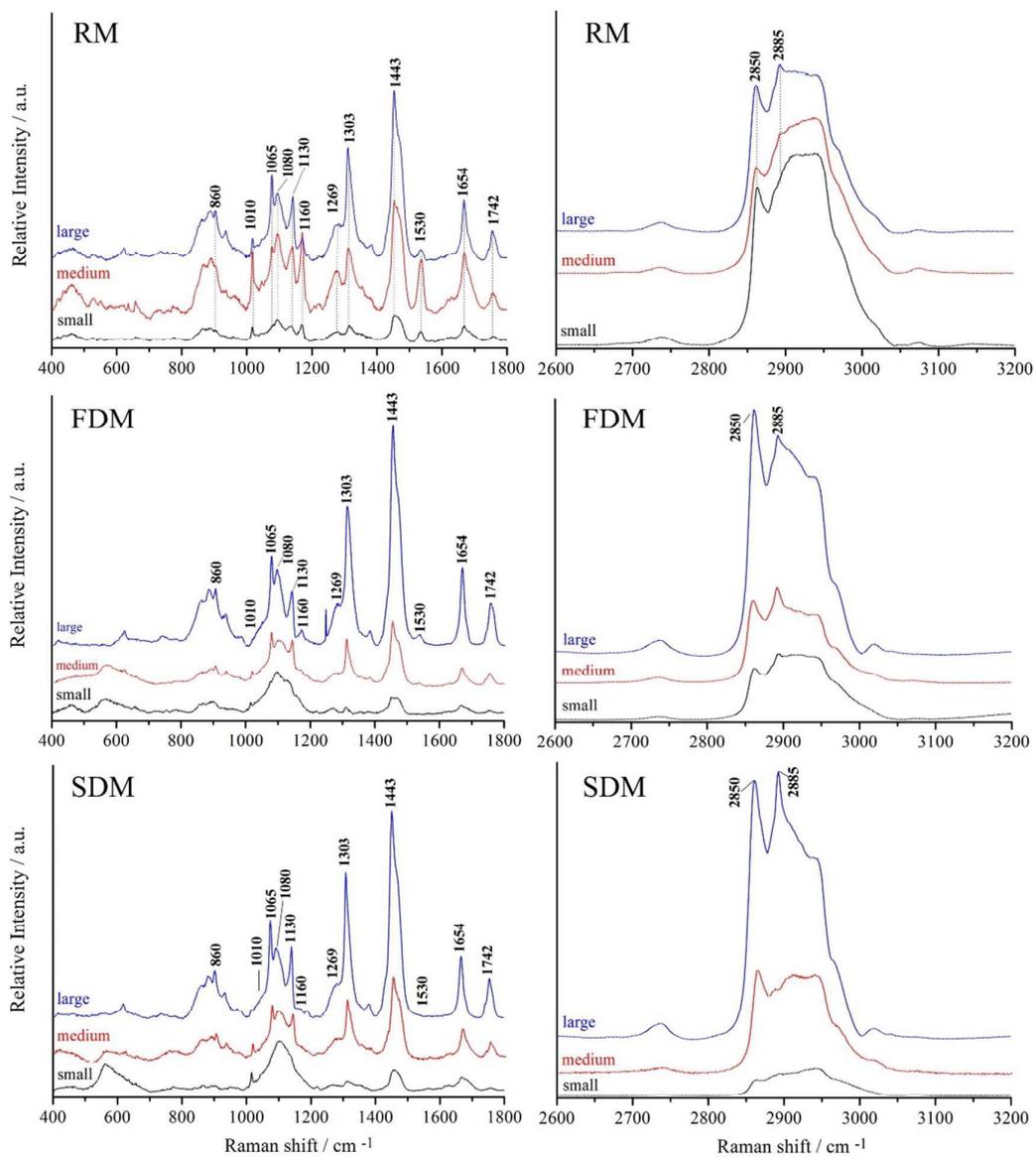
569

570 **Figure 3**

571

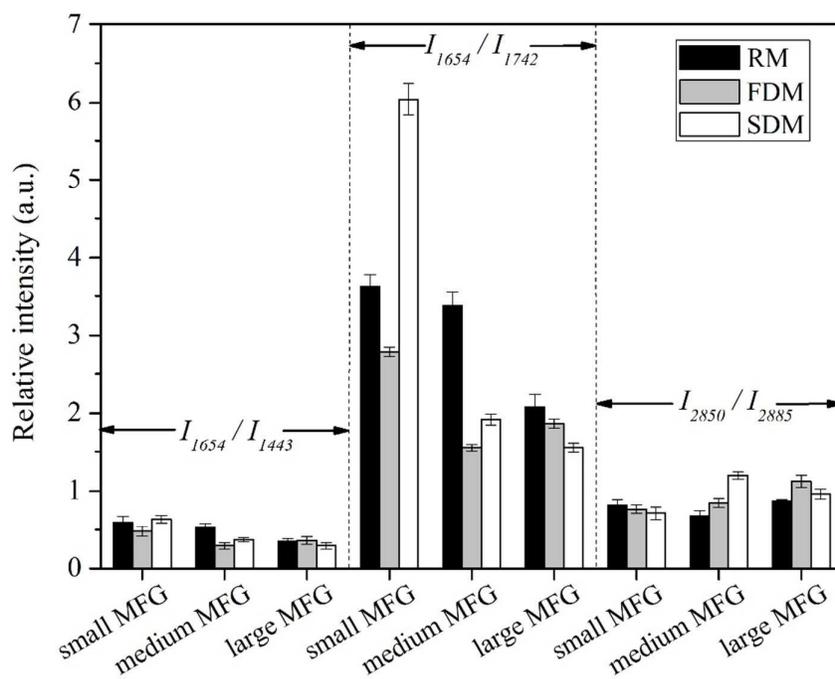
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574 **Figure 4**

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577 **Figure 5**

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