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## Rechargeable non-aqueous metal-oxygen batteries

## PAPER

# Singlet oxygen formation in non-aqueous oxygen redox chemistry: direct spectroscopic evidence for formation pathways and reliability of chemical probes†

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Singlet oxygen ( $^1\text{O}_2$ ) formation is now recognised as a key aspect of non-aqueous oxygen redox chemistry. For identifying  $^1\text{O}_2$ , chemical trapping via 9,10-dimethylanthracene (DMA) to form the endoperoxide (DMA- $\text{O}_2$ ) has become the main method due to its sensitivity, selectivity, and ease of use. While DMA has been shown to be selective for  $^1\text{O}_2$ , rather than forming DMA- $\text{O}_2$  with a wide variety of potentially reactive O-containing species, false positives might hypothetically be obtained in the presence of previously overlooked species. Here, we first provide unequivocal direct spectroscopic proof via the  $^1\text{O}_2$ -specific near-infrared (NIR) emission at 1270 nm for the previously proposed  $^1\text{O}_2$  formation pathways, which centre around superoxide disproportionation. We then show that peroxocarbonates, common intermediates in metal- $\text{O}_2$  and metal carbonate electrochemistry, do not produce false-positive DMA- $\text{O}_2$ . Moreover, we identify a previously unreported  $^1\text{O}_2$ -forming pathway through the reaction of  $\text{CO}_2$  with superoxide. Overall, we provide unequivocal proof for  $^1\text{O}_2$  formation in non-aqueous oxygen redox chemistry and show that chemical trapping with DMA is a reliable method to assess  $^1\text{O}_2$  formation.

## Introduction

Exploiting oxygen redox chemistry in batteries holds enormous promise towards enabling a true step change in energy storage employing either transition-metal oxide (TMO) intercalation materials or metal- $\text{O}_2$  cells. However, both families of cell chemistries suffer from irreversible reactions originating from oxygen

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redox chemistry. Parasitic chemistry has long been ascribed to reactions of the electrolyte or carbon electrodes with superoxides or peroxides, owing to their radical nature, nucleophilicity, or basicity. However, in recent years this view has changed, and parasitic chemistry is now recognized to predominantly originate from the highly reactive singlet oxygen ( $^1\text{O}_2$  or  $^1\Delta_g$ ), the first excited state of ground-state triplet oxygen ( $^3\text{O}_2$  or  $^3\Sigma_g^-$ ). The exceptional importance of  $^1\text{O}_2$  formation in battery chemistry stems from its high reactivity towards, and degradation of, vital organic cell components, ultimately leading to cell failure.

$^1\text{O}_2$  was found to form at all stages of cycling in Li– $\text{O}_2$  and Na– $\text{O}_2$  cells at rates that correspond to the parasitic chemistries occurring in those cells.<sup>1,2</sup> A central insight was that  $^1\text{O}_2$  forms in the electrochemical system through chemical reactions,<sup>1,3–5</sup> with a key generation pathway being the disproportionation of superoxide to peroxide and oxygen (Fig. 1a) as shown experimentally,<sup>3,64</sup> and rationalized theoretically.<sup>3,7,8</sup> The  $^1\text{O}_2$  yield is further impacted by both Lewis<sup>6,9</sup> and Brønsted acids,<sup>8,10–12</sup> and electrochemical oxidation of  $\text{Li}_2\text{CO}_3$  has also been shown to be a source of this highly reactive species.<sup>5,13</sup> Understandably, these results have far-reaching implications for the long term cyclability of most currently studied battery cathodes,<sup>14</sup> and justifies the fervent interest in the field towards understanding and mitigating  $^1\text{O}_2$  formation.

The topic of  $^1\text{O}_2$  formation in non-aqueous electrochemistry goes back to 1973 when Mayeda and Bard observed signatures for  $^1\text{O}_2$  using a chemical trap whereby superoxide was itself oxidized by ferrocenium.<sup>15</sup> For metal– $\text{O}_2$  cells, possible  $^1\text{O}_2$  formation was first hypothesized at high charging voltages (>3.9 V) in 2010 by Armand and coworkers,<sup>16</sup> a theory which remained unverified for some time due to the difficulties in detecting  $^1\text{O}_2$ . Clarifying the involvement of  $^1\text{O}_2$  in battery chemistry necessitates the use of sensitive and reliable methods for its detection.

The most direct evidence for  $^1\text{O}_2$  formation are the characteristic light emissions at 1270 nm and 633 nm (Fig. 1b). Given the short lifetime of  $^1\text{O}_2$  and the low quantum yields, detecting these emissions is insensitive and the technique remains semi-quantitative, especially in heterogeneous systems.  $^1\text{O}_2$  detection based on chemical probes has therefore become more common.<sup>17</sup> Highly sensitive fluorescent  $^1\text{O}_2$  probes developed for life science are not stable in the required potential range of ~2 to 4 V for battery applications. The initially used chemical probes in non-aqueous electrochemistry, diphenylisobenzofuran<sup>15</sup> and 2,2,6,6-

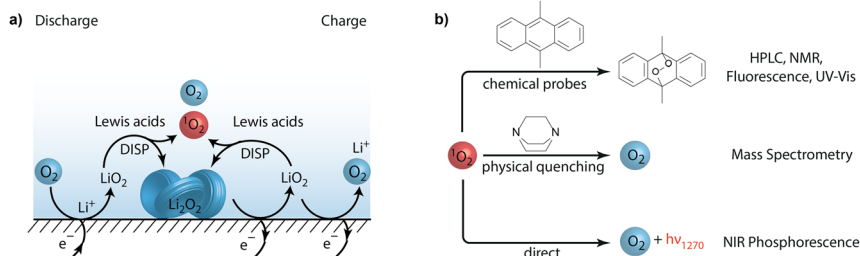


Fig. 1 (a) Superoxide disproportionation as the main source of  $^1\text{O}_2$  during charge and discharge of metal–air batteries. (b) Methods to detect singlet oxygen ( $^1\text{O}_2$ ) in non-aqueous oxygen redox systems.



tetramethyl-4-piperidone (4-oxo-TEMP)<sup>18</sup> were found to be prone to false positives for <sup>1</sup>O<sub>2</sub>.<sup>19,20</sup> For example, 4-oxo-TEMP reacts to form 4-oxo-TEMPO not only with <sup>1</sup>O<sub>2</sub> but also with peroxodicarbonates<sup>21</sup> and it is not electrochemically stable over the required potential range.

Current knowledge about <sup>1</sup>O<sub>2</sub> in metal–O<sub>2</sub> cells stems primarily from experiments with chemical trapping with 9,10-dimethylanthracene (DMA) to form its endoperoxide (DMA–O<sub>2</sub>) as shown in Fig. 1b. Its conversion can be followed by fluorescence, UV-Vis absorbance, HPLC, and NMR. Introduced by Mahne and coworkers<sup>1</sup> into battery chemistry, it has become the most widely used method<sup>1,3,4,6,12–14,22–33</sup> because of its selectivity, sensitivity, simplicity, and compatibility with the cell environment. DMA fulfils the requirement to rapidly form its endoperoxide (DMA–O<sub>2</sub>), which makes it sensitive to sub-percent <sup>1</sup>O<sub>2</sub> yields, and both DMA and DMA–O<sub>2</sub> are electrochemically stable between ~2–4 V vs. Li/Li<sup>+</sup>. DMA does not form DMA–O<sub>2</sub> with a wide variety of potentially reactive O-containing species: KO<sub>2</sub>, O<sub>2</sub><sup>•–</sup>, Li<sub>2</sub>O<sub>2</sub>, Li<sub>2</sub>CO<sub>3</sub>, CO<sub>2</sub>, O<sub>2</sub>, and H<sub>2</sub>O, as well as Li<sub>2</sub>O<sub>2</sub> and CO<sub>2</sub> in combination.<sup>1,5</sup> Hence, DMA does not produce false positives in the presence of any of these species. Complementary to trapping with DMA, <sup>1</sup>O<sub>2</sub> formation can be further verified by adding physical quenchers and measuring the change in evolved O<sub>2</sub> (Fig. 1b).<sup>5</sup>

Concerning the specificity of chemical probes, Cummins and coworkers,<sup>21</sup> as mentioned above, have shown 4-oxo-TEMP to be non-specific with regards to <sup>1</sup>O<sub>2</sub>, leading to false positives in the presence of peroxodicarbonates, which are commonly found species in environments where a peroxide or superoxide are present together with CO<sub>2</sub>. Therefore, the question arises whether DMA may equally produce false positives under such conditions. To prove the previously proposed <sup>1</sup>O<sub>2</sub> formation and underlying mechanisms independently of chemical probes, direct spectroscopic proof would be highly desirable.

Here, using the 1270 nm NIR emission, we give direct spectroscopic proof for <sup>1</sup>O<sub>2</sub> formation by chemical steps as previously proposed based on experiments with DMA. These pathways centre around superoxide disproportionation, influenced by the cations present. In accord with the validity of the previous findings, DMA does not produce false positive DMA–O<sub>2</sub> with peroxocarbonates. Moreover, we identify a previously unreported <sup>1</sup>O<sub>2</sub>-forming pathway through the reaction of CO<sub>2</sub> with superoxide.

## Experimental

### Materials and syntheses

Ethylene glycol dimethyl ether (DME, >99.0%), lithium hydroxide (LiOH, reagent grade, >98%), 9,10-dimethyl anthracene (DMA, 99%), titanium(IV) oxysulfate solution (TiOSO<sub>4</sub>, ~15 wt% in dilute sulfuric acid, 99.99% trace metals basis), potassium carbonate (K<sub>2</sub>CO<sub>3</sub>, >99.7%) and diethyl ether (anhydrous, >99.7%) were purchased from Sigma Aldrich. Hydrogen peroxide (30% v/v) and sulfuric acid (H<sub>2</sub>SO<sub>4</sub>) were purchased from Fisher Scientific. Potassium hydroxide (KOH pellets, >85%), potassium superoxide (KO<sub>2</sub>, 96%), and sodium carbonate were purchased from abcr. Sodium hydroxide (NaOH pellets, >98%) was bought from Honeywell. Ethanol was purchased from VWR. Lithium carbonate (Li<sub>2</sub>CO<sub>3</sub>, 99%) was purchased from Alfa Aesar. Deionised water, where used, was sourced from a Millipore purification unit. All non-aqueous solvents were distilled and dried



over molecular sieves (4 Å) prior to use. DMA was also recrystallized from ethanol under an inert atmosphere with light exclusion before use. All other chemicals were used as received. The studied peroxocarbonates were synthesized according to a previously reported procedure.<sup>34</sup>

**Sodium peroxodicarbonate (Na<sub>2</sub>C<sub>2</sub>O<sub>6</sub>·H<sub>2</sub>O).** An aqueous solution of 30% (v/v) H<sub>2</sub>O<sub>2</sub>, (8 mL, 52.5 mM) was stirred at −15 °C and a solution of NaOH (4.0 g, 100 mmol) in water (10 mL) was added dropwise. A thick white precipitate was observed to form and the temperature was then allowed to rise to −5 °C before a stream of CO<sub>2</sub> gas was passed over the surface of the stirred mixture for 2 hours at −5 °C. The product was filtered off, washed with ethanol and diethyl ether, and dried under vacuum. The synthesised product was then characterised by FTIR (Fig. S7, ESI<sup>†</sup>), TGA (Fig. S8, ESI<sup>†</sup>) and chemical analysis (Fig. S9 and S10, ESI<sup>†</sup>).

**Symmetric potassium peroxydicarbonate (KOC(O)OOC(O)OK, K<sub>2</sub>C<sub>2</sub>O<sub>6</sub>·H<sub>2</sub>O).** An aqueous solution of 30% (v/v) H<sub>2</sub>O<sub>2</sub>, (4.6 mL, 63 mM) was stirred at −15 °C and a solution of potassium hydroxide (7.0 g, 125 mmol) in water (10 mL) was slowly added dropwise. The clear solution was continually stirred at −20 °C whilst a stream of CO<sub>2</sub> gas was passed over the surface. Initially, a white solid film was observed to form. CO<sub>2</sub> exposure was terminated after 80 min during which a thick layer of pale-orange precipitate had formed. The product was filtered off, washed with ethanol and diethyl ether, and dried under vacuum. The synthesised product was then characterised by infrared spectroscopy (Fig. S7, ESI<sup>†</sup>), TGA (Fig. S8, ESI<sup>†</sup>) and chemical analysis (Fig. S9 and S10, ESI<sup>†</sup>). The compound was confirmed to be symmetric from FTIR (Fig. S7, ESI<sup>†</sup>), as has been reported by previous studies.<sup>34,35</sup>

**Potassium peroxodicarbonate (K<sub>2</sub>C<sub>2</sub>O<sub>6</sub>) by purging CO<sub>2</sub> over KO<sub>2</sub>.** Potassium superoxide (KO<sub>2</sub>, 19 mg) was vigorously stirred in acetonitrile (4 mL) at room temperature under a gentle flux of CO<sub>2</sub> for 36 h. The white solid was then isolated by centrifugation and dried under vacuum. The synthesised product was then characterised by FTIR (Fig. S12<sup>†</sup>). The synthesized product is a mixture of four compounds, which is discussed later in the Results and discussion section.

## Analytical methods

A UV-vis spectrophotometer (JASCO) was used to quantify the amount of peroxide in the peroxocarbonate samples. The product was dissolved in a Ti(IV)OSO<sub>4</sub> solution (2 wt%) in sulphuric acid (1 M) according to a previously reported method.<sup>36</sup> On mixing the sample with the TiOSO<sub>4</sub> solution (0.5 mL), the colourless solution changed to a deep orange indicating the presence of peroxide functionalities. A small volume (100 μL) of this solution was then further diluted with water (Millipore, 3 mL) and analysed by UV-vis spectroscopy.

High-performance liquid chromatography (HPLC) was used to determine the degree of the DMA-to-DMA-O<sub>2</sub> conversion.<sup>1</sup> The samples were analysed by a reversed-phase column (Poroshell 120 EC-C8, 3.0 mm × 100 mm, Ø 2.7 μm, Agilent Technology) using a gradient system of acetonitrile (solvent B) and water containing 0.01% formic acid (solvent A). A pre-column (UHPLC 3 PK, Poroshell 120 EC-C8 3.0 mm × 5 mm, Ø 2.7 μm, Agilent Technology) was connected before the reversed-phase column. The elution at a flow rate of 0.7 mL min<sup>−1</sup> started with 50% solvent B and was then increased to 100% solvent B within 7 minutes. The column was held at 20 °C throughout the measurements. The eluent was monitored *via* an UV-vis detector at a wavelength of 210 nm.



The mass spectrometry setup was developed and built in-house and is similar to the one described previously.<sup>36</sup> It consists of a commercial quadrupole mass spectrometer (Hiden Analytical) with a turbomolecular pump (Edwards), which was backed by a membrane pump and an in-house-made leak inlet, which samples from the purge gas stream. The setup was calibrated for different gases (Ar, O<sub>2</sub>, CO<sub>2</sub>, H<sub>2</sub>, N<sub>2</sub> and H<sub>2</sub>O) using calibration mixtures in steps over the anticipated concentration ranges to capture nonlinearity and cross-sensitivity. All calibrations and quantifications were performed using in-house software written in MATLAB. The purge gas system consists of a digital mass flow controller (Bronkhorst) and stainless steel or PEEK tubing.

The sample setup was as described previously<sup>36</sup> and consisted of a glass vial with a 5 mL volume equipped with a small stirring bar. A PEEK plug with glued-in PEEK tubes and an exchangeable septum was sealed against the glass vial using a flat silicone-rubber seal. The entire stack was then compressed using an aluminium clamp. During the measurement, the solutions were added through a septum using a gas-tight syringe and the gas flow was regulated using a four-way valve. The gas flow was fixed to 5 mL min<sup>-1</sup>. A few milligrams of compound was added to sulphuric acid (0.5 mL) and injected into the vial. Before the measurement, all solutions were degassed with nitrogen for at least 15 minutes to remove any carbon dioxide and oxygen that may have been dissolved in the solvent.

FTIR spectra were recorded on a diamond ATR crystal (Bruker Alpha). Thermogravimetric (TGA) analysis was performed on a Linseis TGA 1000 thermogravimetric analyser under an argon flow with a heating rate of 10 K min<sup>-1</sup> with the temperature ranging from 298–598 K (0–300 °C).

Chemiluminescence from <sup>1</sup>O<sub>2</sub> at 1270 nm was recorded using a NIR-sensitive photomultiplier tube (Hamamatsu H10330C-45-C3), which is sensitive from 950–1400 nm. A band-pass filter with transmission between 1200–1300 nm, constructed by combining a short-pass and a long-pass filter (Edmund optics), was placed directly in front of the detector. The cell was constructed from a 10 mm fluorescence high-precision quartz cuvette (Hellma Analytics) with a purpose-made, gas-tight PEEK cap connected with PTFE tubing to a loop valve for gas purging and for injection of the reaction solutions. A focusing mirror was placed behind the cuvette to guide a larger fraction of the emitted light to the detector. The signal was recorded from the detector using an oscilloscope (Pico Technology). All recorded data was normalised to a gain of 600 V (control voltage).

## Computational methods

Density functional theory (DFT) calculations were carried out using an in-house HPC linux cluster with the ORCA code.<sup>37–40</sup> Molecular models were built using the current stable Microsoft Windows version of Avogadro 1.97 and then relaxed using the universal force field offered by the Microsoft Windows version of Avogadro 1.2.<sup>41</sup> An initial estimate was made based on chemical intuition. The models were initially geometrically optimised at the B3LYP<sup>42</sup>/def2-SVP<sup>43–46</sup> level of theory with empirical dispersion D4.<sup>47</sup> The optimised geometry was then used as an initial estimate for further optimisation at the B3LYP<sup>42</sup>/def2-TZVPP<sup>43–46</sup> level of theory, again with dispersion corrections. The optimised structure was then taken as an initial estimate for calculation for optimisation at the B3LYP<sup>42</sup>/def2-QZVPP<sup>43–46</sup> level of theory. This relaxed structure was then optimised with implicit



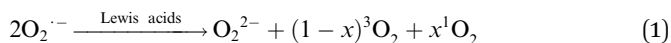


solvation Polarizable Continuum Model (PCM).<sup>48</sup> Frequencies were checked to ensure that the structures had successfully reached the ground state. The Gibbs free energies were used to estimate the reaction energies herein.

## Results and discussion

### Spectroscopic evidence for <sup>1</sup>O<sub>2</sub> from superoxide disproportionation

We start with revisiting the previously identified pathways for singlet oxygen (<sup>1</sup>O<sub>2</sub>) formation in non-aqueous media, which centre around superoxide disproportionation in the presence of Lewis acids.<sup>1,3,23</sup>



This reaction has been shown to be the dominant pathway to <sup>1</sup>O<sub>2</sub> generation during both charge and discharge of metal–O<sub>2</sub> batteries. The mechanism of superoxide disproportionation (eqn (1)) and the impact of Lewis acids have previously been indirectly investigated *via* chemical trapping of <sup>1</sup>O<sub>2</sub> using 9,10-dimethylanthracene (DMA).<sup>1,3,4,23</sup> Herein, these results will be independently verified by direct spectroscopic evidence.

Spectroscopic evidence of <sup>1</sup>O<sub>2</sub> formation can be obtained through two routes. The first is to observe the characteristic phosphorescence at 1270 nm (near-infrared, NIR) where a <sup>1</sup>O<sub>2</sub> molecule decays to a <sup>3</sup>O<sub>2</sub> molecule (monomol emission).<sup>49</sup> The second is to observe the visible emission at 633 nm, which originates from the collision of two <sup>1</sup>O<sub>2</sub> molecules (dimol emission).<sup>48</sup> Whilst it can be argued that visible light can be more sensitively detected than NIR radiation, the yield of the dimol emission scales with the square of the <sup>1</sup>O<sub>2</sub> concentration. This, when taken in combination with the short lifetime (in the order of μs) and correspondingly short diffusion lengths (sub-micron) of <sup>1</sup>O<sub>2</sub> results in low sensitivity at low concentrations.<sup>50,51</sup> Moreover, most other luminescent processes in multicomponent systems occur at wavelengths below ~1000 nm, which tends to preclude any unique assignment or attempt at identifying <sup>1</sup>O<sub>2</sub> formation through this. The NIR emission, however, is much preferred as the signal intensity is directly proportional to the <sup>1</sup>O<sub>2</sub> concentration, which makes it advantageous for quantification, especially at low <sup>1</sup>O<sub>2</sub> concentrations. The spectral separation of this process to other luminescent processes is also beneficial in this regard.

To sensitively probe the NIR emission from <sup>1</sup>O<sub>2</sub> generated in chemical reactions, we constructed a setup as detailed in the Experimental section. Briefly, it consisted of a sealed quartz cuvette, where solutions could be injected, a band-pass filter (1200–1300 nm) to sample the monomol emission at 1270 nm (Fig. S1, ESI†), and a commercial NIR photomultiplier tube (PMT), which has ~2% quantum efficiency between 950 and 1350 nm. To assess the setup, we used the reaction of sodium hypochlorite (NaOCl) with hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), which produces <sup>1</sup>O<sub>2</sub> with a yield of 70%.<sup>52</sup> Upon injecting H<sub>2</sub>O<sub>2</sub> solution into the NaOCl solution, the output signal increased sharply before decaying within a couple of seconds (green trace in Fig. 2a). The integrated signal was directly proportional to the amount of <sup>1</sup>O<sub>2</sub> as shown in Fig. S3 (ESI†). Upon changing the solvent from water (H<sub>2</sub>O) to deuterium oxide (D<sub>2</sub>O), the integrated signal



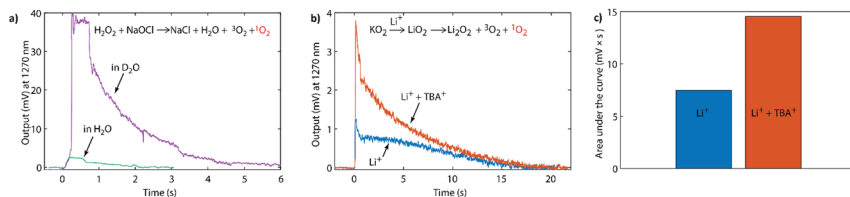


Fig. 2 (a) NIR-PMT signal upon injecting 0.5 mM NaOCl in water into 0.5 mM  $\text{H}_2\text{O}_2$  with the solvent being either  $\text{H}_2\text{O}$  or  $\text{D}_2\text{O}$ . (b) NIR-PMT signal upon injecting 100 mM LiTFSI in  $\text{CH}_3\text{CN}$ , or 100 mM  $\text{Li}^+ : \text{TBA}^+$  (1 : 9) TFSI $^-$  in  $\text{CH}_3\text{CN}$ , into 1 mL suspension of  $\text{KO}_2$  mixed with 50 mM 18-crown-6 in  $\text{CH}_3\text{CN}$ . (c) The integrated signals from (b).

increased by a factor of  $\sim 25$  (purple trace in Fig. 2a and S4, ESI $^\dagger$ ). This increase is in accord with the  $\sim 25$ -fold longer lifetime of  ${}^1\text{O}_2$  in  $\text{D}_2\text{O}$  compared to  $\text{H}_2\text{O}$ , $^{49}$  and the generally recognised increase in lifetime afforded by deuterated solvents when compared to their standard analogues. $^{53,54}$

This NIR setup was used to detect the phosphorescence of  ${}^1\text{O}_2$  generated by superoxide disproportionation in non-aqueous media. Strong Lewis acids (such as  $\text{Li}^+$ ,  $\text{Na}^+$ ) drive the overall reaction in eqn (1) by stabilizing the peroxide relative to the superoxide. $^3$  Weaker Lewis acids, in contrast, are unable to drive the disproportionation, but importantly reduce the energy barrier for  ${}^1\text{O}_2$  formation pathways and therefore strongly enhance the fraction of  ${}^1\text{O}_2$  produced. Weak Lewis acids for which this effect has been shown include organic cations found in ionic liquids, such as tetrabutylammonium $^3$  and the oxidized forms of redox mediators. $^4$  Potassium superoxide ( $\text{KO}_2$ ) is used as a convenient superoxide source due to its commercial availability.

Fig. 2b shows the intensity of the 1270 nm emission upon reacting  $\text{KO}_2$  with either pure  $\text{Li}^+$  electrolyte, pure  $\text{TBA}^+$  (tetra- $n$ -butylammonium) electrolyte, or electrolyte containing both  $\text{Li}^+$  and  $\text{TBA}^+$  salts.  $\text{KO}_2$  powder was added with a crown-ether (50 mM, 18-crown-6) solution in acetonitrile (1 mL) into the sealed cuvette. The crown ether was used to increase the solubility of superoxide by increasing the ion-pair separation and hence the reaction rate. Upon addition of a solution of lithium bis(trifluoromethanesulfonyl)imide (LiTFSI, 100 mM) in  $\text{CH}_3\text{CN}$  the NIR emission signal rose sharply, before decaying within  $\sim 20$  s. In contrast, when  $\text{KO}_2$  was added to the lithium-free,  $\text{TBA}^+$ -based solution no  ${}^1\text{O}_2$  emission was observed (Fig. S5, ESI $^\dagger$ ). However, reacting  $\text{KO}_2$  in a solution containing both  $\text{Li}^+$  and  $\text{TBA}^+$  enhanced the output signal compared to  $\text{Li}^+$  alone with a doubling of the integrated signal (Fig. 2b and c). This enhancement of  ${}^1\text{O}_2$  evolution in the presence of weak Lewis acids is in accord with our previous observations with organic cations $^3$  and oxidized redox mediators. $^4$

Additionally, if one compares the reaction traces between  $\text{KO}_2$  in the presence of LiTFSI (Fig. 2b), and  $\text{H}_2\text{O}_2$  and NaOCl (Fig. 2a), it is also possible to semi-quantitatively estimate the  ${}^1\text{O}_2$  fraction. Whilst one expects there to be a difference in reaction rates, the longer reaction time of the former may also in part stem from the incomplete dissolution of the  $\text{KO}_2$  powder. Nonetheless, comparison of the integrated signal together with the consideration that  ${}^1\text{O}_2$  exhibits a similar lifetime in both  $\text{D}_2\text{O}$  and  $\text{CH}_3\text{CN}$ , $^{49}$  suggests that the  ${}^1\text{O}_2$  yield from  $\text{KO}_2/\text{LiTFSI}$  can be estimated to be a few percent of the total expected oxygen yield based on





the amount of superoxide in the reaction. This is in accord with the previous quantification carried out by chemical trapping with DMA.<sup>1,3</sup> However, as with H<sub>2</sub>O<sub>2</sub> and NaOCl in Fig. 2a, it is possible to further enhance the signal. When changing the protic solvent to its deuterated analogue (CD<sub>3</sub>CN, see Fig. S6, ESI<sup>†</sup>), a ~10-fold enhancement in the integrated signal of KO<sub>2</sub>/LiTFSI was observed, which is in agreement with the longer <sup>1</sup>O<sub>2</sub> lifetime.<sup>54</sup> The fact that this isotope effect is observed can be seen as a further piece of evidence that the signal being observed at 1270 nm originates from <sup>1</sup>O<sub>2</sub>.

When considering all the above results, the data strongly points towards <sup>1</sup>O<sub>2</sub> evolution during disproportionation of superoxide to peroxide and dioxygen. This is a key reaction step that occurs during both discharge and charge of Li- and Na-O<sub>2</sub> cells (Fig. 1a). In situations where peroxide is the discharge product, superoxide disproportionation has been shown to be the dominant, or even exclusive, pathway in achieving full two-electron discharge<sup>3,23,55,56</sup> and charge.<sup>4,57</sup> Therefore, this reaction step, and its associated <sup>1</sup>O<sub>2</sub> generation mechanism, can be considered to be one of, if not the, major <sup>1</sup>O<sub>2</sub> forming pathways within metal-O<sub>2</sub> batteries. The NIR results also further confirm the prominent influence of organic cations<sup>3</sup> and oxidized redox mediators<sup>4</sup> on this <sup>1</sup>O<sub>2</sub> formation pathway.

### Reliability of DMA as a chemical <sup>1</sup>O<sub>2</sub> probe

While direct spectroscopic proof for <sup>1</sup>O<sub>2</sub> evolution as detailed above and before<sup>1</sup> is most definitely desirable, there are certain limitations pertaining to its implementation. Firstly, the required NIR-PMT setups are typically expensive and may more commonly be found in specialist spectroscopic labs. Secondly, the technique remains semi-quantitative, especially in heterogeneous systems. Finally, the sensitivity is low, and the absence of a detectable signal cannot be considered definitive proof for the absence of sizable <sup>1</sup>O<sub>2</sub> formation, which may still be detected *via* chemical probes.

Sodium and potassium peroxydicarbonate hydrates (Na<sub>2</sub>C<sub>2</sub>O<sub>6</sub>·H<sub>2</sub>O and K<sub>2</sub>C<sub>2</sub>O<sub>6</sub>·H<sub>2</sub>O), Fig. 3a, were synthesised using the method described by Jones *et al.*<sup>34</sup> The compounds were characterized using multiple techniques, which are themselves described in the Experimental section. The FT-IR (Fig. S7, ESI<sup>†</sup>) results agree with the previous reports.<sup>34,35</sup> FT-IR confirms the presence of OCO<sub>2</sub> vibrations around 800 cm<sup>-1</sup>. These signals are lost following thermogravimetric

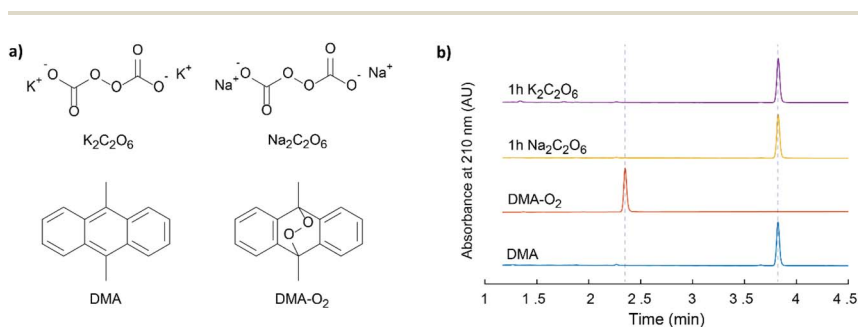


Fig. 3 (a) The structures of the symmetric Na and K peroxydicarbonates, DMA and DMA-O<sub>2</sub>. (b) HPLC elugrams of these peroxydicarbonates in contact with 30 mM DMA in DME together with reference elugrams of DMA and DMA-O<sub>2</sub>.



analysis of the sample, as the metal carbonate is formed. The mass loss upon TGA (Fig. S8b, ESI†) is in agreement with the initial compounds being dominantly  $\text{Na}_2\text{C}_2\text{O}_6 \cdot \text{H}_2\text{O}$  and  $\text{K}_2\text{C}_2\text{O}_6 \cdot \text{H}_2\text{O}$  and smaller metal carbonate fractions. The peroxodicarbonate hydrates were further confirmed through MS analysis of the gases evolved upon heating of the compounds, where  $\text{CO}_2$ ,  $\text{O}_2$ , and  $\text{H}_2\text{O}$  (Fig. S8a, ESI†) were observed. Expelled  $\text{CO}_2$  was quantified by mass spectrometry and the peroxy content by UV-vis spectroscopy of the Ti(IV)peroxy complex (Fig. S9, ESI†). Samples of  $\text{Na}_2\text{C}_2\text{O}_6 \cdot \text{H}_2\text{O}$  and  $\text{K}_2\text{C}_2\text{O}_6 \cdot \text{H}_2\text{O}$ , in a large excess, were exposed to a DMA (30 mM) solution in di-methoxy-ethane (DME) for 1 h and 2 days. The samples were analysed for the presence of DMA- $\text{O}_2$  by HPLC (Fig. 3b and S7, ESI†), where analysis revealed that no DMA- $\text{O}_2$  was generated, *i.e.*, no false-positives for  $^1\text{O}_2$  were observed.

To fully explore the selectivity of DMA and its possible false-positives, the reaction of  $\text{DMA}^{+\cdot}$  with superoxide was also considered.<sup>58,59</sup> This requires the simultaneous presence of two species, which have redox potentials of  $E_{\text{DMA}/\text{DMA}^{+\cdot}}^0 \sim 4.1 \text{ V vs. Li/Li}^+$  and  $E_{\text{O}_2/\text{O}_2^{\cdot-}}^0 \sim 2.6 \text{ V vs. Li/Li}^+$ . The original work by Amatore achieved this using separated micro-band electrodes at both oxidizing and reducing potentials applied to a solution of dimethylantracene in  $\text{O}_2$  saturated electrolyte, where  $\text{DMA}^{+\cdot}$  and superoxide could form in proximity.<sup>59</sup> This is an atypical situation for a single electrode in metal- $\text{O}_2$  cells. In the context of metal- $\text{O}_2$  cells, simultaneous  $\text{DMA}^{+\cdot}$  and superoxide production could potentially arise in two ways. First,  $\text{Li}_2\text{O}_2$  oxidation with an onset potential of  $\sim 3 \text{ V}$  has been shown to first produce  $\text{LiO}_2$ , which then disproportionates to liberate  $^3\text{O}_2$  and  $^1\text{O}_2$ .<sup>3,57</sup> Beyond  $\sim 4.1 \text{ V}$ ,  $\text{DMA}^{+\cdot}$  will form and could form DMA- $\text{O}_2$ . However, DMA- $\text{O}_2$  formation has been observed from the onset of oxidation at  $\sim 3 \text{ V}$  and below  $4.1 \text{ V}$ , where  $\text{DMA}^{+\cdot}$  cannot form electrochemically.<sup>3</sup> Second,  $\text{DMA}^{+\cdot}$  has been hypothesized to potentially form with peroxocarbonates as they were considered sufficiently oxidizing.<sup>58</sup> However, this contradicts the previously shown oxidation potentials of peroxomonocarbonate ( $\sim 2.9 \text{ V vs. Li/Li}^+$ ) and of peroxodicarbonate ( $\sim 3.3 \text{ V vs. Li/Li}^+$ ).<sup>60</sup> For either species, the initial intermediate on oxidation is the respective radical anion.<sup>61</sup> Thermodynamically, metal peroxo- or peroxodicarbonates and their radical anions cannot oxidise DMA because their oxidation potentials are well below the oxidation potential of DMA. To further confirm this, we exposed DMA to the synthesized peroxodicarbonates in DME solution and measured the UV-vis spectra of these solutions as well as the spectra of electrochemically formed  $\text{DMA}^{+\cdot}$ . Figure S11 (ESI†) shows the complete absence of any  $\text{DMA}^{+\cdot}$  in the DMA solution that has been in contact with the peroxocarbonates.

Overall, DMA- $\text{O}_2$  formation *via*  $\text{DMA}^{+\cdot}$  and superoxide can therefore be excluded for charging potentials below  $4.1 \text{ V vs. Li/Li}^+$ , as well as in the presence of peroxocarbonates.

### Oxygenation of carbon dioxide by the superoxide ion

The superoxide anion and  $\text{CO}_2$  are ubiquitous in the context of metal- $\text{O}_2$  batteries<sup>5,7,61,62</sup> and O-redox transition metal oxides.<sup>14,63</sup> Their reactions were first described by Sawyer<sup>64</sup> and later detailed by Compton to be an ECE or disproportionation (DISP) mechanism.<sup>65</sup> Fig. 4a summarizes the previously proposed reaction steps to form species I, II, and IV. The other steps and the  $^1\text{O}_2$  formation are added



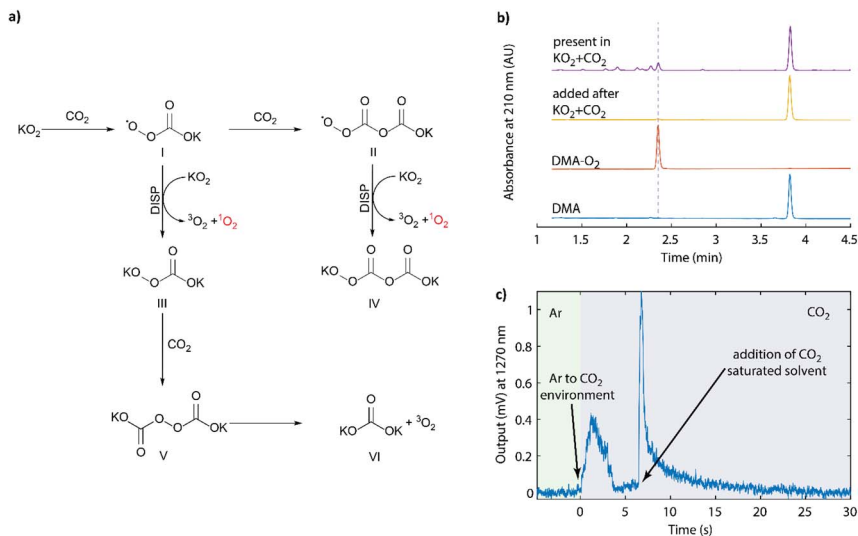


Fig. 4 (a) Reaction sequence upon reacting superoxide with  $\text{CO}_2$  as initially described by Sawyer.<sup>64</sup> The final DISP step forming  $^3\text{O}_2$  was identified in this work. (b) HPLC elugram of DMA exposed to asymmetric  $\text{K}_2\text{C}_2\text{O}_6$  (yellow trace) and DMA present during the reaction of  $\text{KO}_2$  and  $\text{CO}_2$  (purple trace). (c) NIR output signal around 1270 nm during the reaction of  $\text{KO}_2$  with  $\text{CO}_2$ . The  $\text{KO}_2$  and 50 mM 18-crown-6 suspension in  $\text{CH}_3\text{CN}$  was initially kept under Ar, then the headspace was purged by  $\text{CO}_2$ , and then  $\text{CO}_2$ -saturated  $\text{CH}_3\text{CN}$  was added.

based on our results below. First, nucleophilic addition of superoxide to  $\text{CO}_2$  to form the peroxocarbonate radical anion  $^{\cdot}\text{OOC}(\text{O})\text{O}^-$  or  $\text{CO}_4^{\cdot-}$  (I), which attacks another  $\text{CO}_2$  molecule to form the asymmetric peroxocarbonate radical anion  $^{\cdot}\text{OOC}(\text{O})\text{OC}(\text{O})\text{O}^-$  or  $\text{C}_2\text{O}_6^{\cdot-}$  (II). This radical is easily reduced to the dianion  $\text{C}_2\text{O}_6^{2-}$  (IV) either at an electrode or by another superoxide molecule, which evolves a dioxygen molecule. The latter steps can be regarded as DISP pathways given the superoxide state of the two involved O–O moieties, which then form peroxide and dioxygen. The peroxomonocarbonate radical anion  $\text{CO}_4^{\cdot-}$  (II) can also be reduced to its anionic form  $\text{CO}_4^{2-}$  (III), which could carry out nucleophilic attack on another  $\text{CO}_2$  molecule to form the symmetric peroxodicycarbonate anion  $\text{C}_2\text{O}_6^{2-}$  (V). Homolytic cleavage of this symmetric peroxodicycarbonate anion, to form the carbonate radical, could result in the formation of metal carbonate (VI) and dioxygen *via* reaction with another equivalent of superoxide. The previously cited works used the poorly coordinating tetramethylammonium superoxide and therefore argued that the reduction of  $\text{CO}_4^{\cdot-}$  by a superoxide to the  $^{\cdot}\text{OOC}(\text{O})\text{O}^-$  was disfavoured by Coulombic repulsion. However, in the presence of chelating cations, such as lithium, this reaction pathway may become more viable. Nevertheless, the intermediates and steps were well verified in the previous works by NMR, IR, Raman spectroscopy, microelectrode studies, and chemical analysis.<sup>64,66</sup> However, it remains unclear as to whether the asymmetric radical ( $\text{C}_2\text{O}_6^{\cdot-}$ ) or anion ( $\text{C}_2\text{O}_6^{2-}$ ) could falsely produce  $\text{DMA-O}_2$  and what the multiplicity of the evolved dioxygen molecule might be. Therefore, we attempted to clarify these questions herein.



First we produced the potassium salt of  $C_2O_6^{2-}$  by reacting  $KO_2$  and  $CO_2$  in DME as detailed in the Experimental section. The initially yellow suspension turned white within 20 h. The product was then isolated and analysed by FT-IR, which confirmed the formation of the asymmetric  $K_2C_2O_6$  along with some fraction of symmetric  $K_2C_2O_6$ ,  $K_2CO_3$ , and  $K_2CO_4$  (Fig. S12, ESI†). This mixture of products can be easily explained when one considers the reactions depicted in Fig. 4a. The product was then stirred for 2 days in DMA (30 mM) solution in DME, and the filtered solution was then analysed by HPLC (Fig. 4b, yellow trace). Similar to the symmetric  $K_2C_2O_6$  discussed above, no  $DMA-O_2$  was observed indicating that the fully anionic peroxodicarbonate neither directly attacks DMA, nor forms  $DMA-O_2$  via other reaction pathways.

To check the reactivity of the radical intermediates, we purged the headspace over a  $KO_2$  suspension in the presence of DMA with  $CO_2$ . HPLC analysis showed that  $DMA-O_2$  formed in a large quantity (Fig. 4b, purple trace). The formation of a large quantity of  $DMA-O_2$  coupled with the absence of reactivity between  $K_2C_2O_6$  and DMA alone suggests that one of the intermediate species along the  $KO_2/CO_2$  reaction pathway results in  $DMA-O_2$  formation. There are two possibilities to explain this behaviour: Firstly, one of the peroxocarbonate radical anions reacts with DMA to form  $DMA-O_2$ , which would result in a false positive for  $^1O_2$  detection. Secondly, disproportionation of the peroxy-radicals I or II with  $KO_2$  to form the peroxy-carbonates III or IV could generate  $^1O_2$ .

To probe whether  $^1O_2$  forms upon reacting  $KO_2$  with  $CO_2$ , we detected the chemiluminescence around 1270 nm during the reaction in an analogous manner to Fig. 2a and b. The results are shown in Fig. 4c. The  $KO_2$ /crown-ether (50 mM) suspension was prepared in acetonitrile as before and placed into the sealed cuvette under an argon atmosphere. After collecting a baseline output signal, the headspace gas in the cuvette was changed from argon to  $CO_2$ , which resulted in a  $^1O_2$ -specific NIR signal. Further  $CO_2$  was then added by adding  $CO_2$ -saturated acetonitrile, which resulted in a sharp increase in the signal immediately after injection, followed by the expected decay. The result strongly suggests that the DISP step in the reaction sequence results in  $^1O_2$  formation. Tentatively, in combination with literature observations, we assign this to the reaction  $^{\bullet}OOC(O)OC(O)OK + KO_2 \rightarrow KOOC(O)OC(O)OK + ^1O_2$ , but it could also result from the initially formed  $KCO_4^{\bullet}$ .<sup>64</sup>

DFT calculations were undertaken to understand the energetics of the  $^1O_2$  pathway from oxygenation of  $CO_2$  by the superoxide ion. The relative Gibbs free energies  $\Delta_rG$  of the various reaction intermediates from Fig. 4a were considered for the lithiated, sodiated and potassiated superoxides and peroxomonocarbonates (see Fig. S13, ESI†) and the molecular species were used as proxies for the periodic structures. In all cases, formation of the mono-metallated peroxomonocarbonate radical resulted in an increase in the Gibbs free energy (Li: +68.71, Na: +42.36, K: +39.87  $\text{kJ mol}^{-1}$ ) while formation of the di-metallated peroxomonocarbonate with a release of  $^3O_2$  resulted in a lowering of the Gibbs free energy (Li: -57.86, Na: -61.26, K: -29.80  $\text{kJ mol}^{-1}$ ) relative to the energy of two superoxide molecules and a single molecule of carbon dioxide. This reduction in energy facilitates the overall disproportionation reaction of the two superoxide molecules resulting in oxygen release and peroxomonocarbonate formation. However, release of  $^1O_2$  by the analogous reaction path was found to result in an increase in free energy across all three alkali metal cations (Li: +103.72,



Na: +100.32, K: +131.78 kJ mol<sup>-1</sup>). This may initially indicate a trend running in opposition to the experimental results presented here. However, when considering that these initial calculations do not incorporate the significant enthalpic driving force of peroxomonocarbonate crystallisation and the fact that the  $\Delta_r G$  of <sup>1</sup>O<sub>2</sub> release from LiO<sub>2</sub> and LiCO<sub>4</sub> disproportionation only has an energetic penalty of 35.01 kJ mol<sup>-1</sup>, it is not difficult to imagine that the true driving force for the disproportionation carries enough thermodynamic driving force to facilitate an observable fraction of <sup>1</sup>O<sub>2</sub> to be produced.

The discovery of <sup>1</sup>O<sub>2</sub> from the reaction of superoxide with CO<sub>2</sub> has far-reaching implications as a <sup>1</sup>O<sub>2</sub>-forming pathway in metal–O<sub>2</sub>/CO<sub>2</sub> batteries and on transition metal oxide intercalation materials. It helps explain <sup>1</sup>O<sub>2</sub> formation upon carbonate oxidation<sup>5,7</sup> and may be the key to the so far inconclusively explained degradation reactions on transition metal oxides<sup>14,67,68</sup> and <sup>1</sup>O<sub>2</sub> generation mechanism.<sup>18,69</sup>

## Conclusions

In conclusion, we have shown direct spectroscopic evidence of the previously identified pathways for singlet oxygen (<sup>1</sup>O<sub>2</sub>) formation in non-aqueous media, which centre around superoxide disproportionation in the presence of Lewis acids. The mechanism of superoxide disproportionation for <sup>1</sup>O<sub>2</sub> formation was previously studied by using DMA as a chemical trap owing to its selectivity, sensitivity, simplicity, and compatibility with the cell environment. The results described herein further support the previous studies and verify that the results were not due to the formation of false-positive endoperoxide (DMA–O<sub>2</sub>). Moreover, we rule out several potential pathways to false-positive DMA–O<sub>2</sub>, including exposure to the commonly observed peroxocarbonate anions. Through this, we further demonstrate the efficacy of DMA as a chemical trap for <sup>1</sup>O<sub>2</sub> detection. Finally, through our efforts here we identify through spectroscopy that the peroxocarbonate radical anion is capable of <sup>1</sup>O<sub>2</sub> formation and that this pathway likely proceeds *via* a disproportionation of the radical anion either with another molecule in this class, or with a molecule of superoxide.

## Author contributions

S. M. and B. P. carried out the synthesis and characterization. S. M. and R. H. developed the NIR setup and S. M. carried out the chemiluminescence study. R. B. J. carried out the DFT calculations. S. M. and S. A. F. wrote the initial version of the manuscript. S. A. F. conceptualized and supervised the work. S. M., R. B. J., and S. A. F. contributed to the interpretation of the results and manuscript revision.

## Conflicts of interest

There are no conflicts to declare.

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