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# Characterization of Vaginal *Lactobacillus* in Biologically Relevant Fluid using Surface-Enhanced Raman Spectroscopy

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## Abstract:

The native vaginal microbiome plays a crucial role in maintaining vaginal health and disruption can have significant consequences for women during their lifetime. While the composition of the vaginal microbiome is important, current methods for monitoring this community are lacking. Clinically used techniques routinely rely on subjective analysis of vaginal fluid characteristics or time-consuming microorganism culturing. Surface-enhanced Raman spectroscopy (SERS) can aid in filling this gap in timely detection of alterations in the vaginal microbiome as it can discriminate between bacterial species in complex solutions including bacterial mixtures and biofluids. SERS has not previously been applied to study variations in vaginal *Lactobacillus*, the most common species found in the vaginal microbiome, in complex solutions. Herein, the SERS spectra of *Lactobacillus crispatus* (*L. crispatus*) and *Lactobacillus iners* (*L. iners*), two of the most common vaginal bacteria, was characterized at physiological concentrations. Subsequently, the ability of SERS to detect *L. crispatus* and *L. iners* in both pure mixtures and when mixed with a synthetic vaginal fluid mimicking solution was determined. In both pure and complex solutions, SERS coupled with partial least squares regression predicted the ratiometric bacterial content with 10% or less error and strong goodness of prediction ( $Q^2 > 0.9$ ). This developed method highlights the applicability of SERS to predict the dominant *Lactobacillus* in the vaginal micro-environment toward the monitoring of this community.

## Introduction:

The vaginal microbiome is a robust community of microorganisms that are vital in maintaining the health of the reproductive system. This community is dominated by *Lactobacillus* species with other species present in low abundance<sup>1-5</sup>. *Lactobacillus crispatus* (*L. crispatus*) and *Lactobacillus iners* (*L. iners*) have been reported to be the most dominant species in numerous studies<sup>1,6-10</sup>. While the biocomposition of the vaginal microbiome may change in relation to age, menarche, time in the menstrual cycle, use of and type



of contraception, and frequency of sexual intercourse, the dominance of *Lactobacillus* has been consistently shown<sup>1,2,11</sup>. *Lactobacillus* functions in the vagina to inhibit pathogenic growth by reducing the pH of the vagina via the production of lactic acid, and by producing hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), bacteriocins, and other antimicrobial compounds<sup>12</sup>. The reduction in *Lactobacillus* concentration in the vagina, known as vaginal dysbiosis, results in an increased risk of a multitude of negative health conditions. Vaginal dysbiosis can lead to bacterial vaginosis, vulvovaginal candidiasis (colloquially known as “yeast infection”), trichomoniasis, aerobic vaginitis, increased risk of acquiring sexually transmitted infections (STIs) and urinary tract infections (UTIs) and can reduce the conception rate during in vitro fertilization (IVF)<sup>1,13–15</sup>. Additionally, during pregnancy, vaginal dysbiosis can lead to preterm birth, premature rupture of membranes, and neonatal sepsis<sup>16,17</sup>.

Currently, three clinical techniques are used for assessing vaginal health and the vaginal microbiome: the Nugent criteria, the Amsel criteria, and culturing of vaginal fluid. The Nugent criteria is based on visual identification of bacteria morphotypes relating to the healthy microbiome and pathogenic species using microscopy and is generally considered the gold standard<sup>18</sup>. The second commonly used method is Amsel scoring, which relies on identification of visual and olfactory characteristics of vaginal fluid<sup>19,20</sup>. Finally, culturing of vaginal fluid for identification of microbes is used to analyze the presence of vaginal dysbiosis. Culturing includes streaking vaginal swabs on solid agar plates and incubating to promote microbial growth<sup>21–24</sup>. All three methods exhibit numerous limitations. Both Nugent and Amsel scoring have shown significant subjectivity and variability, including interobserver, interobserver, and intercenter variability<sup>25–28</sup>. Microbial culture may fail to show any microbial growth or require a lengthy wait time (typically 5 days), and results may be misconstrued from culture growth of normal vaginal microbes<sup>21,24</sup>. Despite the importance of *Lactobacillus* in the vaginal microbiome, current methods are lacking for rapid detection and monitoring of vaginal *Lactobacillus*. Vibrational spectroscopy, such as Raman spectroscopy and surface-enhanced Raman spectroscopy (SERS), shows great promise for filling the gap in the timely detection of vaginal *Lactobacillus* as these are label-free and nondestructive methods that can provide results in real-time<sup>29</sup>. Additionally, the biochemical sensitivity of these techniques can allow for the detection of vaginal bacteria from vaginal fluid with minimal sample preparation.

Raman spectroscopy is an inelastic scattering technique that provides a spectral fingerprint representative of the chemical bonds present in a sample<sup>30</sup>. Raman spectroscopy can be used *in vivo* or *ex vivo*, and biomedical Raman spectroscopy has shown the ability to detect diseased tissues and biofluids along with other medically relevant information<sup>31–33</sup>. Raman scattering is an inherently weak phenomenon, and this weakness leads to the need for highly sensitive equipment or long exposure times, reducing the clinical usability of conventional Raman spectroscopy<sup>34–37</sup>. The chemical sensitivity of Raman spectroscopy

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3 is retained in SERS while the signal strength is enhanced up to  $10^{14}$  times by positioning the analytes near  
4 roughened metallic surfaces (e.g., gold or silver films) or metallic colloids (e.g., gold or silver  
5 nanoparticles)<sup>38</sup>. The sensitivity and biochemical specificity of SERS has resulted in vast research for the  
6 use of this technique in biomedical detection and diagnostics.  
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10 There is widespread interest in the use of SERS in the field of microbiology and infectious diseases.  
11 Specifically, SERS has been widely used to study the unique biochemical differences between bacterial  
12 species and strains<sup>39</sup>. Liu et al showed discrimination and identification of specific species based on their  
13 unique spectra<sup>40</sup>. Strain level discrimination has also been achieved using nine strains of the foodborne  
14 pathogen *Listeria monocytogenes*<sup>41</sup>. Previous reports also highlight the source of the SERS signal. When  
15 the plasmonic substrate or nanoparticles are extracellularly interacting with bacterial cells, cell wall  
16 components such as peptidoglycan, membrane proteins, small molecules such as adenine, and metabolites  
17 of purine degradation, are spectrally enhanced<sup>39,41</sup>. This technique has also been reported to be advantageous  
18 over conventional Raman spectroscopy for the identification of bacteria due to higher diversity in the cell  
19 wall of the bacteria compared to the whole cells<sup>42</sup>. Vaginal microbes and pathogens have previously been  
20 spectrally characterized using SERS<sup>43</sup>. Numerous vaginal bacteria, including *L. crispatus* and *L. iners*, were  
21 characterized individually and partial least squares regression was utilized to investigate the potential of  
22 SERS to discriminate between vaginal bacterial species. While this work demonstrated discrimination of  
23 the vaginal bacteria, only a single bacterial concentration was characterized and provided no discrimination  
24 of the vaginal bacteria when mixed in co-cultures or from a complex biofluid matrix, such as the native  
25 vaginal fluid. These two factors will greatly influence the ability of specific species detection in clinically  
26 relevant settings. Therefore, investigation into the ability of SERS to measure *Lactobacillus* when present  
27 in complex environments, such as mixed bacterial solutions or vaginal fluid, is warranted toward the  
28 development of a SERS-based method for monitoring the health of the vaginal microbiome.  
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41 This work aims to utilize SERS to characterize the spectra of two key vaginal *Lactobacillus* species,  
42 *L. crispatus* and *L. iners*, at physiologically relevant concentrations and detect these species in both mixed  
43 cultures and complex media. Herein, we investigate spectral changes in the *L. crispatus* and *L. iners* spectra  
44 from  $10^6$  to  $10^9$  CFU/mL. Further, we evaluated the ability of SERS to detect each species when mixed in  
45 pure solution and in complex media that mimics the vaginal fluid environment. Partial least squares  
46 regression was then utilized to predict the ratiometric content of *L. crispatus* and *L. iners* in mixtures based  
47 on the resulting SERS spectra. To the best of our knowledge, this work demonstrates the first discrimination  
48 of vaginal *Lactobacillus* in complex solutions using SERS.  
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## 54 **Methods:**

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## Materials

Trisodium citrate, gold (III) chloride, agar powder, potassium hydroxide, bovine serum albumin, urea, glycerol, and nitric acid were purchased from Sigma-Aldrich (St. Louis, MO). De Man–Rogosa–Sharpe (MRS) broth powder, MRS agar powder, hydrochloric acid, (4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid) (HEPES), proteose peptone, heat-inactivated horse serum, yeast extract, dextrose, calcium hydroxide, lactic acid, acetic acid, and sodium chloride were all purchased from Fisher Scientific (Hampton, NH). *L. crispatus* (strain VPI 7635, ATCC #33197) and *L. iners* (strain AB107, ATCC #55195) were purchased from American Type Culture Collection (ATCC) (Manassas, VA). Standard aluminum foil (Reynolds Wrap, Reynolds, Lake Forest, IL) was purchased from a local supermarket. All chemicals were of analytical grade and used with no further purification.

## Gold Nanoparticle Synthesis


Citrate-stabilized gold nanoparticles (AuNPs) were synthesized for this study following previously published methods by Bastus et al<sup>44</sup>. Briefly, 150 mL of trisodium citrate (1.8 mM) was heated to boiling in a round bottom flask outfitted with a condenser after cleaning with aqua regia (3:1 HCl: HNO<sub>3</sub>). After boiling, 1 mL of gold (III) chloride (25mM) was injected into the flask while vigorously stirring. This was allowed to react for 15 minutes and a color change from clear to pale red was observed, indicating AuNPs seed formation. The temperature was reduced to 90°C and the solution was allowed to react for one hour. Following the 1-hour reaction time, three growth steps were introduced to the AuNPs seeds by adding 1 mL of trisodium citrate (48 mM) and 1 mL of gold (III) chloride to the flask with a 30-minute incubation time between each growth step. The diameter of the AuNPs was determined by dynamic light scattering (DLS) (Malvern Panalytical Advance Series Ultra; United Kingdom). The AuNPs were further characterized by measuring the zeta potential (Malvern Panalytical Advance Series Ultra; United Kingdom) to determine the surface charge. The localized surface plasmon resonance (LSPR) peak, and nanoparticle concentration was determined using ultraviolet–visible–near-infrared (UV-Vis-NIR) spectrophotometry (Cary 7000, Agilent Technologies; Santa Clara, California)<sup>45</sup>. After three growth steps, the AuNPs had a final diameter of 45 nm, LSPR peak of 530 nm, and surface charge of -42.5 mV.

## Bacterial Culturing

*L. crispatus* was cultured from a frozen stock on MRS agar plates at 37 °C under 5% CO<sub>2</sub> for 24 hours. Following the colony growth, a liquid culture was grown by transferring a single colony to 5 mL of MRS broth and was allowed to incubate for 24 hours (37 °C and 5% CO<sub>2</sub>). *L. iners* was cultured using NYC III media following the same procedure. The cultures were then washed with sterile deionized (DI) water twice using centrifugation at 3,300rcf for 8 min, and 1 mL of washed culture was collected for optical density (OD) readings at 600 nm to quantify the bacterial concentration using UV–vis–NIR spectrophotometry.

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### SERS Characterization of *L. crispatus* and *L. iners*

To collect SERS spectra of *L. crispatus* and *L. iners* individually, liquid cultures of each species were grown and washed following the previously mentioned procedures. Using the OD, the washed cultures were adjusted to the desired physiological concentrations ( $10^6$ - $10^9$  CFU/mL)<sup>46</sup>. The washed liquid cultures were mixed with AuNPs (1.4 nM) at a 1:4 volumetric ratio (bacteria: AuNPs), vortexed for 2-3 seconds to ensure adequate mixing of the two solutions and allowed to interact for 15 minutes. A 1  $\mu$ L droplet of this solution was applied to an aluminum foil covered glass slide and allowed to dry. The final bacteria concentrations measured were  $2 \times 10^2$ - $2 \times 10^5$  CFUs/droplet after taking into account bacteria dilution when mixing with AuNPs. After drying, SERS spectra were collected using a Renishaw inVia Raman microscope (Renishaw; United Kingdom) equipped with 785 nm laser excitation. Point spectra were taken under 5X magnification (NA=0.12) with  $\sim 40$  mW of laser power measured at the sample and a total integration time of 10 seconds. Three droplets were measured from each bacterial solution and five spectra were taken from each droplet. All bacterial concentrations were measured in triplicate experiments (n=45 spectra for each bacterial concentration).

### SERS of *Lactobacillus* Mixtures


*L. crispatus* and *L. iners* were cultured following procedures outlined previously. The OD of each bacteria culture was used to adjust the concentration of each washed bacteria solution to  $1 \times 10^8$  CFU/mL then were mixed at volumetric ratios (v:v) to achieve the following mixtures as shown in **Table 1**. Each bacteria solution was vortexed for 2-3 seconds to ensure adequate sample mixing. After mixing the two bacteria, AuNPs (1.4 nM) were added to the mixtures at a 1:4 volumetric ratio (bacteria: AuNPs) by pipetting and solutions were again vortexed for 2-3 seconds; solutions were allowed to sit for 15 minutes to allow time for AuNPs and bacteria interaction. Then, three 1  $\mu$ L droplets of the final solution were dropped on a glass slide coated with aluminum foil and allowed to fully dry. The total concentration of bacteria in each droplet was  $2 \times 10^4$  CFUs/droplet. After drying, SERS spectra were collected using the same parameters described above. All bacterial solutions were made in triplicate and spectra were recorded from each experiment (n=45 spectra for each ratio).

**Table 1.** Ratios of *L. crispatus* and *L. iners* used for mixture analysis.

<i>L. crispatus</i>	<i>L. iners</i>
100%	0%
95%	5%
90%	10%
75%	25%
50%	50%
25%	75%
10%	90%
5%	95%

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### SERS of *Lactobacillus* in Complex Media

To determine the ability of SERS to discriminate *Lactobacillus* from a complex solution that mimics biologically relevant fluid, a synthetic vaginal fluid solution (SVF) that contains the major components found in human vaginal fluid was chosen. The SVF solution was adapted from a previously reported SVF recipe<sup>47</sup>. The components of the SVF and concentrations can be found in **Table 2**. The SERS spectra of SVF was collected by mixing SVF with AuNPs (1.4 nM) at a 1:4 volumetric ratio (SVF:AuNPs). The same sample volume and SERS spectral collection protocol as above was utilized. Toward determining the ability of SERS to detect *L. crispatus* and *L. iners* in a complex fluid, the

**Table 2.** Chemical components and concentrations utilized for making SVF solution.

Chemical	Concentration (g/L)
Potassium hydroxide	1.4
Calcium hydroxide	0.222
Bovine serum albumin	10.0
Lactic acid	2.0
Acetic acid	1.0
Urea	0.4

*Lactobacillus* was mixed with SVF as follows. *L. crispatus* and *L. iners* were grown from frozen stocks and washed with DI water according to protocols detailed above. The OD of each washed cultured was measured and adjusted to  $1 \times 10^8$  CFU/mL. Finally, the adjusted bacteria solutions were centrifuged at 3,300 RCF for 8 mins and the supernatant was replaced with an equal volume of SVF. After suspending each species in SVF, the bacteria in SVF solutions were mixed at the following volumetric ratios using the same mixing protocols previously described: 100:0, 75:25, 50:50, 25:75, and 0:100 (% *L. crispatus*: % *L. iners*). SERS spectra were collected in the same manner as described previously. Experiments were carried out in triplicate with SERS spectra being collected from each experiment (n=45 spectra for each ratio).

### Spectral Processing & Data Analysis

All spectra were processed using a Savitsky-Golay filter (2<sup>nd</sup> order, window size of 7) for noise smoothing and asymmetric least squares regression ( $p=0.001$ ,  $\lambda=7500$ ) for removal of the fluorescent background<sup>48,49</sup>. Spectra were then normalized via standard normal variate normalization<sup>50</sup>. The limit of detection (LOD) of each species using this experimental design was calculated using the 734/1435  $\text{cm}^{-1}$  peak ratio (*L. crispatus*) and 1025/1541  $\text{cm}^{-1}$  peak ratio (*L. iners*) and performing a linear fit. Equations reported by Armbruster and Pry were utilized to calculate the LOD for each species<sup>51</sup>. The ability to determine the ratio of *L. crispatus* to *L. iners* in pure solutions and in complex media was evaluated using partial least squares regression (PLSR)<sup>52</sup>. All spectra were truncated to 700-1650  $\text{cm}^{-1}$  prior to being input into the regression model. Next, two dedicated PLSR models were built, one for pure solution and one for complex media due to the numerous spectral features noted from the SVF solution. For each model, the number of loading vectors used was determined via stabilization of the root mean square error of calibration; 9 loading vectors for the

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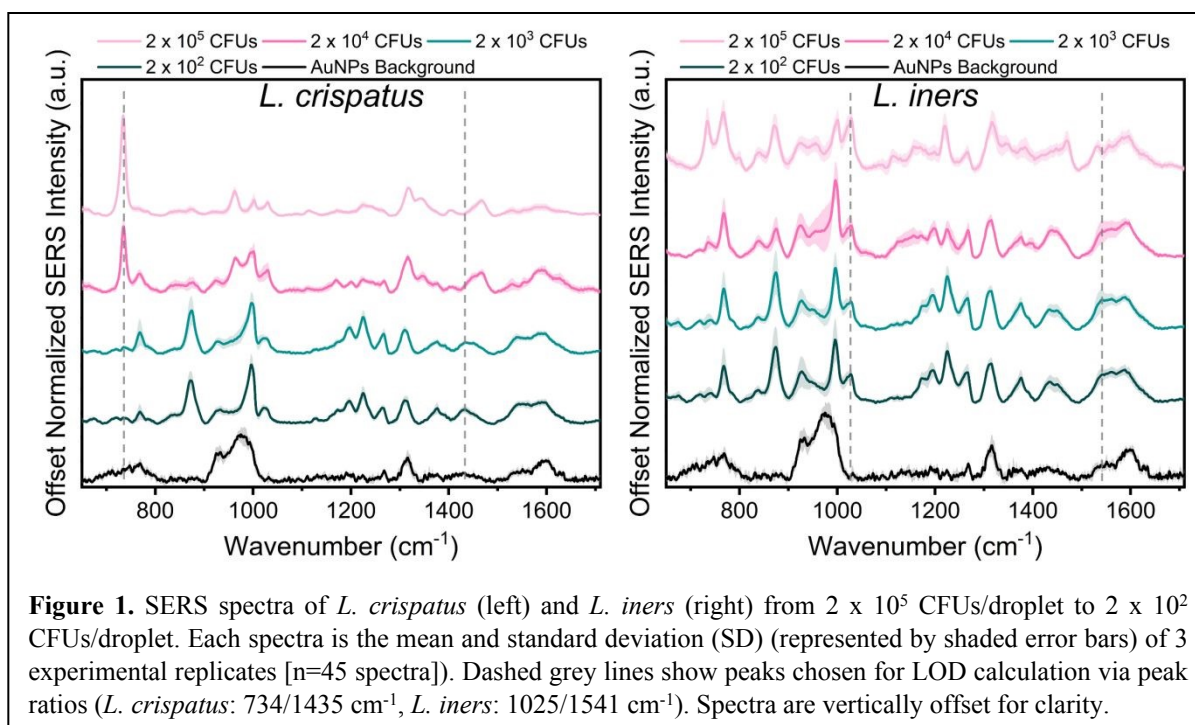
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3 pure solution dataset and 19 loading vectors for the complex media were utilized. Each regression model  
4 was trained using leave-one-ratio-out cross validation, which leaves all spectra from a certain ratio out  
5 while training the model then applies the model to the left-out ratio spectra. Model performance was  
6 evaluated using goodness of prediction ( $Q^2$ ) between the predicted and known bacteria ratio of the cross  
7 validation set (designated between values of 0 to 1) and the root mean square error of prediction (RMSEP)  
8 for each model. Spectral features that contributed significantly to the PLSR model were determined via  
9 variable importance in projection (VIP) which is derived from the PLS weights, and the amount of variance  
10 explained by each predictor<sup>52,53</sup>. Spectral processing, normalization, and PLSR were performed using  
11 MATLAB (MathWorks; Natick, MA). Curve fitting to determine the LOD was performed in Excel  
12 (Microsoft; Redmond, WA) and Origin (OriginLab; Northampton, MA) was utilized for all spectral plotting  
13 and figure generation.

## 14 Results & Discussion:

### 15 *L. crispatus* & *L. iners* Spectral Characterization

16 *Lactobacillus* in the vaginal microbiome is reported to be present at a physiological concentration range of  
17  $10^7$ - $10^8$  CFU/mL. Herein, we characterized the SERS spectra of the two dominant vaginal *Lactobacillus*,  
18 *L. crispatus* and *L. iners*, within the concentration range of  $10^6$ - $10^9$  CFU/mL to include one concentration  
19 above and below the physiological concentration<sup>46</sup>. **Figure 1** shows the SERS spectra of both species were  
20 dominated by commonly reported bacterial peaks including the 734, 1025, and 1465  $\text{cm}^{-1}$  peaks at the  
21 highest bacterial concentration. The peak at 734  $\text{cm}^{-1}$  is reported in literature as C-N stretching, the  
22 glycosidic ring vibrations, or in plane breathing mode of adenine. This peak may be indicative of adenine  
23 containing compounds (FAD, NAD, NADH, DNA) or peptidoglycan in the bacterial cell wall<sup>39,43,54,55</sup>. The  
24 peak at 1025  $\text{cm}^{-1}$ , arising from C-N or C-C stretching, or C-H in plane bending, may stem from biochemical  
25 components such as phospholipids, carbohydrates, and amino acids<sup>43</sup>. Finally, the 1465  $\text{cm}^{-1}$  peak showing  
26  $\text{CH}_2$  and C-H vibrations may indicate protein, lipid, and phospholipid content<sup>43</sup>. Additionally, spectral  
27 differences are also evident between the two bacteria. Of note, the 734  $\text{cm}^{-1}$  peak is not the most dominant  
28 feature in the *L. iners* spectrum, which is a common feature in SERS spectra of bacteria. This is  
29 hypothesized to result from the thinness of the *L. iners* cell wall previously studied using transmission  
30 electron microscopy (TEM)<sup>56</sup>.



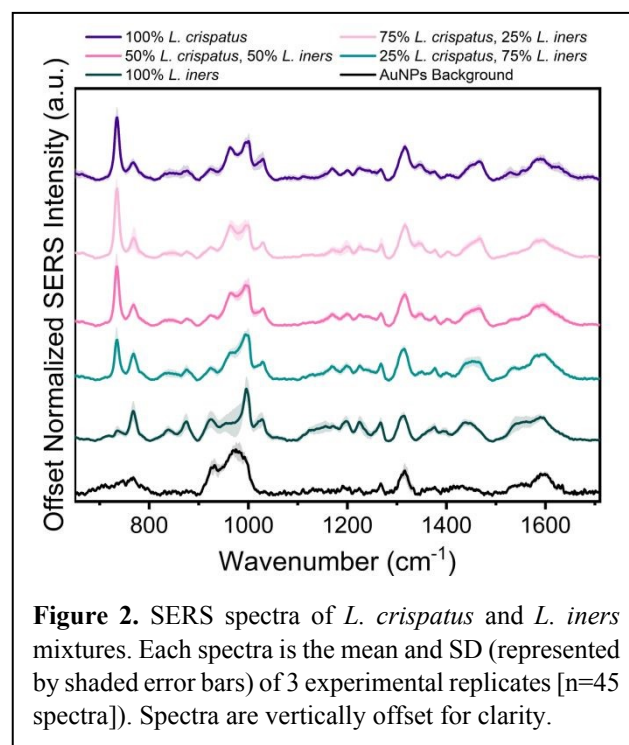


When reducing the bacterial concentration, we identified a shift in the relative intensity of certain peaks in the SERS spectra of both species (**Figure 1**). The spectra of *L. crispatus* shows a reduction in the height of the 734  $\text{cm}^{-1}$  and 1470  $\text{cm}^{-1}$  features and an increase in the intensity of numerous peaks such as 768, 875, 1195, 1225, 1375, and 1435  $\text{cm}^{-1}$  as the bacterial concentration decreases. A similar trend is identified in the spectra of *L. iners* at decreasing bacterial concentrations. Additionally, we identified an increase in peaks from the nanoparticle background between 920-995, 1317, and 1590  $\text{cm}^{-1}$  at lower bacterial concentrations. The LOD of *L. crispatus* was calculated as  $3.4 \times 10^3$  CFUs/droplet ( $1.7 \times 10^7$  CFU/mL) using the ratio of 734  $\text{cm}^{-1}$ /1435  $\text{cm}^{-1}$  (**Supplemental Figure 1A**). The *L. iners* LOD was calculated to be  $7.9 \times 10^3$  CFUs/droplet ( $3.94 \times 10^7$  CFU/mL) using the ratio of the 1025  $\text{cm}^{-1}$ /1541  $\text{cm}^{-1}$  peak (**Supplemental Figure 1B**). The shift in peak intensities as the bacterial concentration decreased are hypothesized to be due to differences in AuNPs binding and density on the bacterial cell wall at the different bacterial concentrations. Transmission electron microscopy was performed with *L. crispatus* at low ( $2 \times 10^3$  CFUs/droplet) and high ( $2 \times 10^5$  CFUs/droplet) bacterial loads to confirm this hypothesis. These images show much higher AuNPs density on the *L. crispatus* cell wall at low bacterial concentrations as compared to the higher bacterial concentration (**Supplemental Figure 2A-B**). This is due to the AuNPs concentration being held at a constant as bacterial concentration was altered, resulting in less bacteria for the AuNPs to bind to. Therefore, as the bacterial concentration decreased, the bacterial cell wall became saturated with AuNPs and no additional AuNPs could attach to the cell wall, limiting the lower value of

bacteria that could be measured. Furthermore, this hypothesis explains why an increase in AuNPs background is seen at lower concentrations as there may be an accumulation of unbound AuNPs after the bacteria cell wall is saturated. While this could limit the lower bacterial concentrations that can be measured, the AuNPs concentration or ratio can be further optimized to shift the measurable bacterial concentration range for specific applications. However, the bacterial LOD measured here is near the lower limit of the physiological concentration of *Lactobacillus* in the healthy microbiome, so it is satisfactory for this application. Finally, the SERS spectra of *L. iners* was found to have less signal to noise than the spectra collected from *L. crispatus* (**Figure 1**). Transmission electron microscopy imaging was used to investigate the source of this and revealed differences in the size distribution of the two bacteria. *L. crispatus* displayed long rod morphology while *L. iners* showed short rod morphology (**Supplemental Figure 2C**). These differences in bacteria size and shape could result in differing AuNPs coverage of the two bacteria, influencing the signal strength and noise.

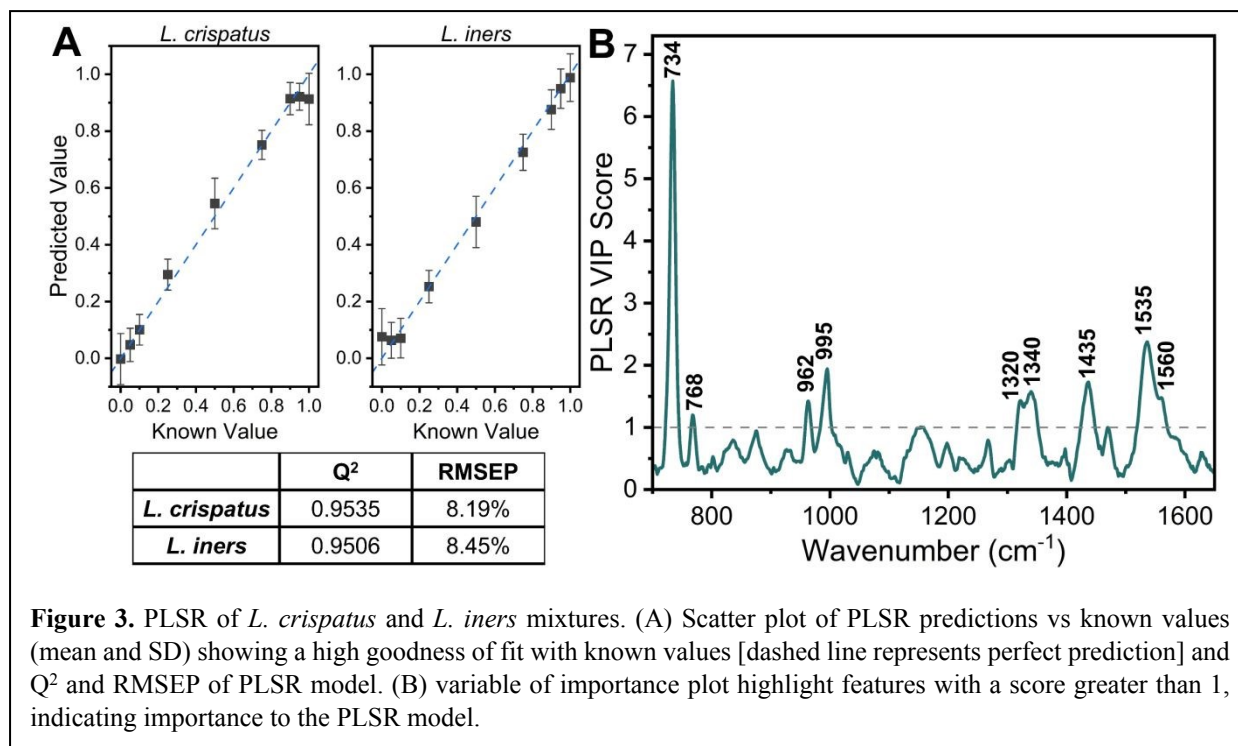
### SERS of *Lactobacillus* Mixtures

Following spectral characterization of *L. crispatus* and *L. iners* at four physiologically relevant concentrations, mixtures of the two *Lactobacillus* were measured in order to investigate the ability of SERS to determine the dominant microbe in complex environments. The two bacteria were mixed in pure solution (DI water) and the resulting spectral changes were determined. **Figure 2** shows that the  $734\text{ cm}^{-1}$  peak is the most prominent feature in the SERS spectra when *L. crispatus* was the dominant species in the mixture. As the amount of *L. crispatus* decreased and *L. iners* increased, peaks including the  $768\text{ cm}^{-1}$  and  $1540\text{ cm}^{-1}$ , assigned to ring breathing and amide carbonyl group vibrations, respectively, increase which may indicate variation in amino acid and protein content<sup>30,57,58</sup>. Additionally, a peak shift in the region between  $1420\text{--}1490\text{ cm}^{-1}$  is observed, with spectra from mixtures dominated by *L. crispatus* having a peak centered at  $1465\text{ cm}^{-1}$  ( $\text{CH}_2$  and C-H vibrations) while mixtures with more *L. iners* content exhibited a more blue-shifted peak centered at  $1435\text{ cm}^{-1}$  ( $\text{CH}_2$  scissoring and deformation). This spectral change may highlight differences in the protein and lipid cell wall content of the two bacterial species<sup>30,43</sup>.



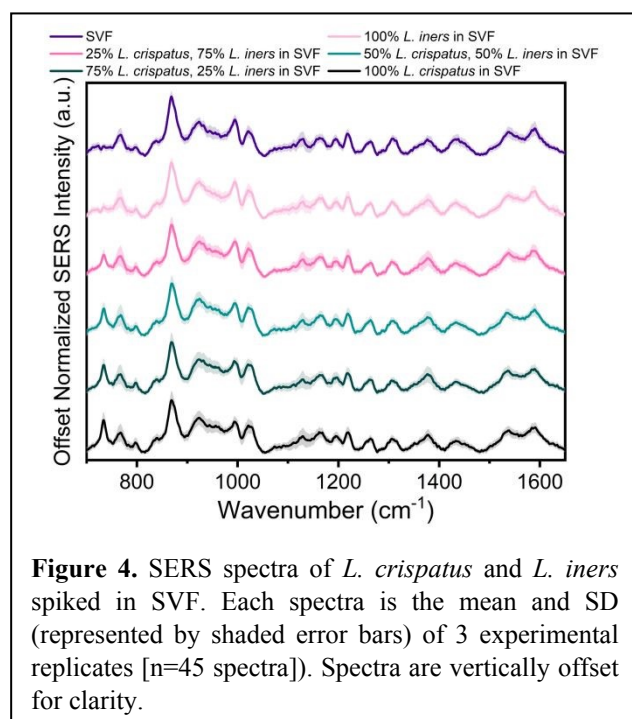
**Figure 2.** SERS spectra of *L. crispatus* and *L. iners* mixtures. Each spectra is the mean and SD (represented by shaded error bars) of 3 experimental replicates [ $n=45$  spectra]). Spectra are vertically offset for clarity.

Additional analysis was performed via PLSR with the goal of predicting the ratio of *L. crispatus* to *L. iners* based on the resulting SERS spectra. This is a chemometric technique that combines feature decomposition and linear regression and is commonly used to analyze Raman and SERS spectra. It is advantageous for this application because it has been shown to perform well using colinear datasets and datasets that have high dimensionality, two common features of spectroscopic data<sup>52,59,60</sup>. A PLSR model was generated in this study with 45 spectra for each ratio of *L. crispatus*:*L. iners* using leave-one-ratio-out cross validation. High goodness of prediction is found between the PLSR model and the known ratio values ( $Q^2 = 0.9535$ ) with a RMSEP of 8.19% when predicting the ratiometric amount of *L. crispatus* in each mixture, denoted as a value between 0-1 representing 0% to 100% (**Figure 3A**). Similar performance is found when predicting *L. iners* ratiometric content with a  $Q^2$  value of 0.9506 and RMSEP of 8.45%. The spectral features that were most utilized for determining the ratio of *L. crispatus* and *L. iners* were identified using the VIP of the PLSR model (**Figure 3B**). Features with a VIP score greater than 1 contribute significantly to the model prediction<sup>61,62</sup>. The feature that is most heavily weighted is  $734\text{ cm}^{-1}$ , which shows a significant decrease in intensity as *L. crispatus* content decreases in the ratiometric mixtures (**Figure 2**). Other peaks identified by the VIP plot that contributed substantially to the model predictions include 962, 995, 1320, 1340, 1435, 1535, and  $1560\text{ cm}^{-1}$  which are representative of proteins, lipids, phospholipids, amino acids, and carbohydrate content (**Supplemental Table 1**). Further, to test the model on unseen data, a model was generated while leaving 10% of the dataset out of the training and cross-validation datasets. The withheld spectra were then used to test the model to investigate the overfitting of the model. For the withheld dataset, the RMSEP for *L. crispatus* was 7.31% and 8.02% for *L. iners*. These results demonstrate that SERS coupled with PLSR can predict the ratiometric values of *L. crispatus* and *L. iners* when mixed in pure solution with low error. This is promising because the ability to determine the dominant *Lactobacillus* species in the vaginal microbiome is clinically relevant as it has been reported that there are differences in the protection capabilities of *L. crispatus* and *L. iners*. *L. crispatus* has been correlated with a stable microbiome, whereas *L. iners* has been reported to be the most prevalent *Lactobacillus* associated with vaginal dysbiosis<sup>63,64</sup>. Identifying the dominant species or transition from *L. crispatus* dominance to *L. iners* dominance using a spectroscopic approach such as that shown here may aid in vaginal microbiome monitoring or the early detection of the transition to vaginal dysbiosis.



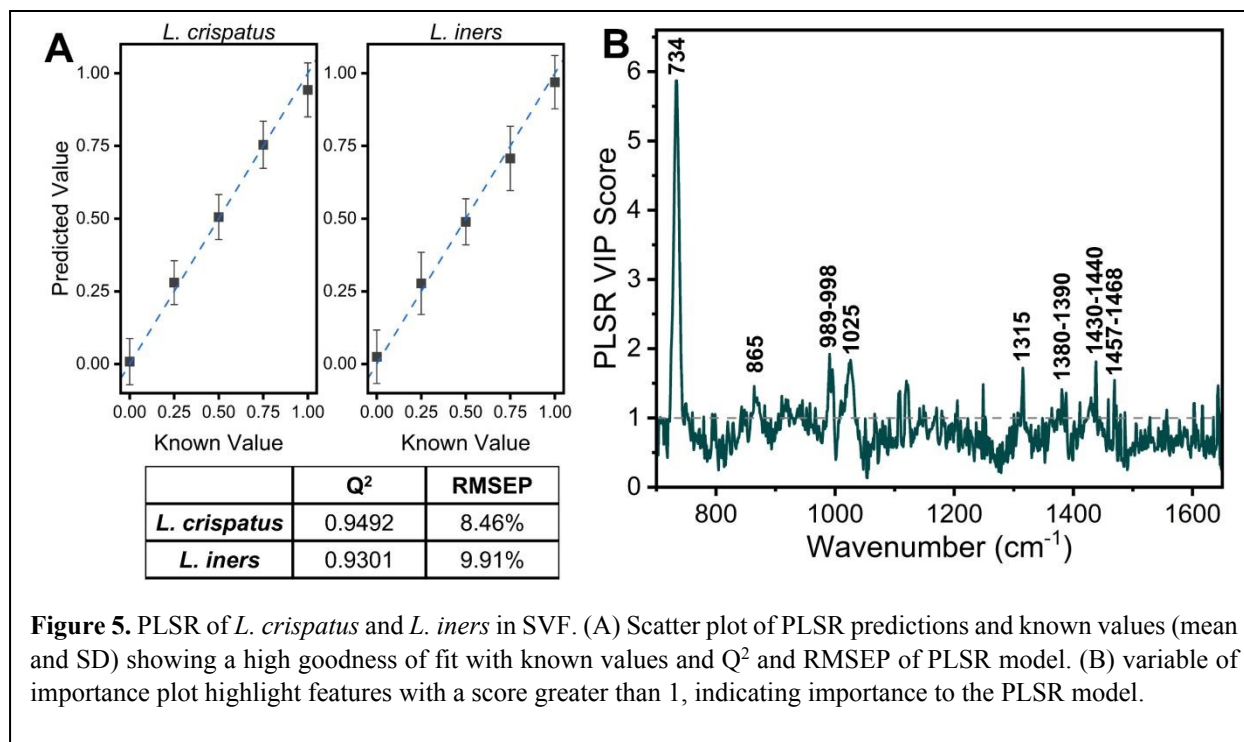
### SERS of Lactobacillus Mixtures in Complex Media

The applicability of SERS to determine the bacterial content in complex media, such as vaginal fluid, without the need for sample purification or separation, is clinically relevant. Toward this goal, synthetic vaginal fluid mimicking solution (**Table 2**) was utilized to investigate the ability of SERS to monitor *Lactobacillus*. The SERS profile of the SVF was first characterized prior to mixing the *Lactobacillus* and SVF (**Supplemental Figure 3**). In both the spectra of SVF and SVF+*Lactobacillus*, the most dominant spectral feature is at 870 cm<sup>-1</sup>, reported to be from indole ring scissoring, indole N-H displacement, indole ring vibration with N-H bending, C-H stretching. This peak may be representative of amino acids and glucose in the SVF<sup>65–67</sup> (**Figure 4** and **Supplemental Figure 2**). Although **Figure 4** shows substantial overlap between bacterial and SVF spectral features, there



are still identifiable peaks relating to the presence of the bacteria, such as the 734 and 1435  $\text{cm}^{-1}$  peaks. The 734  $\text{cm}^{-1}$  peak, a peak that is unique to bacteria and not found in the spectra of SVF, shows an observable change in intensity as the bacterial content in the mixtures was varied. This peak can be identified in the spectra of 100% *L. crispatus* in SVF and steadily decreases as the *L. crispatus* content in the mixtures decreases. This correlates with our previous results where this feature was dominant in the *L. crispatus* spectra but not as strong in the *L. iners* spectra (**Figure 1**). The 1435  $\text{cm}^{-1}$  peak ( $\text{CH}_2$  scissoring and deformation<sup>43</sup>) is more prominent at higher *L. iners* ratios, as seen when the bacteria are mixed in pure solution when the peak shifts from 1470  $\text{cm}^{-1}$  to 1435  $\text{cm}^{-1}$  (**Figure 2**). Therefore, identifiable features from the different mixture components (e.g. *L. crispatus*, *L. iners*, and SVF) are evident, despite the significant overlap between the biochemical profiles of *Lactobacillus* and SVF. Further analysis of the *Lactobacillus* mixtures in SVF spectra was performed using PLSR to improve the identification of the two species in complex environments.

The PLSR modeling results in  $Q^2$  values greater than 0.90 (*L. crispatus*=0.9492, *L. iners*=0.9301) when predicting the ratiometric value of *L. crispatus* and *L. iners* despite the addition of the complex media (**Figure 5A**). Additionally, the RMSEP values remain low with the *L. crispatus* RMSEP equal to 8.46% and the *L. iners* RMSEP equal to 9.91%. We believe that the *L. iners* RMSEP was higher than the *L. crispatus* RMSEP due to more distinct spectral features being present when *L. crispatus* is dominant in the mixtures (**Figure 4**). Also, previous discussion of *L. crispatus* spectra having higher enhancement due to its shape and size could potentially lead to lower error when predicting *L. crispatus*. To identify features that significantly contributed to the PLSR model predictions the variable importance plot was again utilized (**Figure 5B**). Similar to before, the 734  $\text{cm}^{-1}$  peak is the dominant feature for model prediction. Seven other features have VIP values greater than 1 and may describe variations in protein, lipid, and amino acid contributions (**Supplemental Table 1**). Two of these features, 865, and 1435  $\text{cm}^{-1}$ , were visually identifiable and discussed previously, and the model was able to further extract additional peaks that differ across the six mixtures.



The impact of the complex media in predicting the ratio of *L. crispatus* and *L. iners* was determined by comparing the Q<sup>2</sup> and RMSEP values for the two PLSR models developed (Figure 3 and Figure 5). There is less than a 3% decrease in the Q<sup>2</sup> values when moving to the complex media (0.38% [*L. crispatus*] and 2.16% [*L. iners*]), and all values remain above 0.90, indicating strong goodness of prediction for the PLSR model. Additionally, the RMSEP of the predicted *L. crispatus* and *L. iners* ratio values show minimal change when in pure or complex media (0.33% [*L. crispatus*], 1.73% [*L. iners*] increase). Moreover, despite a higher spectral overlap of *L. iners* with the SVF resulting in a larger increase in the RMSEP for *L. iners*, there is still a strong goodness of prediction and a low RMSEP. The VIP plots from each PLSR model also highlight many of the same spectral features that contributed significantly to the models in both pure solution and complex fluid. Overall, four spectral features, relating to cell wall components, adenine, amino acids, and protein content, had significant contributions for both models (Supplemental Table 1).

Herein, SERS coupled with PLSR has been shown to perform well (Q<sup>2</sup>>0.9, RMSEP<10%) for identifying the underlying spectral changes related to the differences in the two bacterial species and their relative content in solution, despite the significant spectral overlap. This highlights the strength of SERS in determining the ratiometric content of *L. crispatus* and *L. iners* in complex environments. Utilizing SERS can be beneficial for the detection or monitoring of vaginal bacteria without the need for separation from the complex biofluid, thereby simplifying sample preparation procedures and highlights the potential for

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future use of SERS in clinical settings to detect and monitor the vaginal microbiome. Future work will aim to ensure the addition of other bacterial species does not significantly affect the ability of SERS to determine the dominant *Lactobacillus* in both mixed samples and in human vaginal fluid.

## Conclusion:

In this work, the concentration dependent SERS spectra of *L. crispatus* and *L. iners* have been characterized across physiologically relevant concentrations in which these bacteria would be found in the vaginal microbiome. Further, SERS coupled with PLSR performs with high goodness of prediction and low error to predict the ratiometric value of each bacteria when mixed in solution and in complex media mimicking human vaginal fluid. To the best of our knowledge, this is the first published report to characterize the SERS spectra of two key vaginal *Lactobacillus* species at physiological concentration. Further, the ability of SERS to detect these two *Lactobacillus* in both pure solutions and complex media has not previously been reported in the literature. Overall, this study highlights the potential of SERS to detect the dominant *Lactobacillus* in the vaginal microbiome without the need for excessive sample preparation, thereby increasing the potential for timely monitoring of vaginal dysbiosis.

## Conflicts of Interest

The authors declare no conflicts of interest.

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