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High-efficiency X-ray emission spectroscopy of cold-compressed Fe₂O₃ and laser-heated pressurized FeCO₃ using a von Hámos spectrometer†

Christian Albers,^a Robin Sakrowski,^a Nicola Thiering,^a Lélia Libon,^b Georg Spiekermann,^c Johannes M. Kaa,^{ad} Hlynur Gretarsson,^{ef} Martin Sundermann,^{ef} Metin Tolan,^g Max Wilke^b and Christian Sternemann^a

X-ray spectroscopy of iron-bearing compounds under high pressure and high temperature is an important tool to understand geological processes in the deep Earth. However, the sample environment using a diamond anvil cell complicates spectroscopic measurements and leads to long data acquisition times. We present a setup for resonant and non-resonant X-ray emission spectroscopy and showcase its capabilities for *in situ* studies at high pressure and high temperature. Spin-state imaging of laser-heated FeCO₃ at 75 GPa via Kβ_{1,3} emission spectroscopy demonstrates the great potential of this setup with measurement times within seconds for robust spin-state analysis results. The results of Kβ_{1,3} emission spectroscopy of cold-compressed Fe₂O₃ reveal a two-step spin transition with the ζ-phase between 57 GPa and 64 GPa, having iron in different spin states at the different iron sites. The phase transition via ζ- to Θ-phase causes a delocalization of the electronic states, which is supported by 1s2p resonant X-ray emission spectroscopy.

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1 Introduction

The study of iron in iron-bearing compounds at extreme conditions with spectroscopic methods is of high relevance, as iron is the most abundant transition metal in the Earth's deep mantle. Due to its complex electronic structure, it plays a key role in a variety of geological processes. Iron is present in different oxidation states as ferrous (Fe²⁺) and ferric (Fe³⁺) iron and can undergo a spin transition from high spin (HS) to low spin (LS) or *vice versa*, depending on its local environment and the thermodynamic conditions. Numerous studies have addressed the pressure induced spin transition in iron-bearing compounds.^{1–6} This transition can influence many physical and chemical properties⁷ such as sound velocity,⁸ conductivity,⁹

compressibility,¹⁰ material transport^{11–14} or element partitioning.^{15–19} Thus, a detailed understanding of the electronic structure of iron-bearing compounds *in situ* at extreme conditions, *i.e.*, high temperature and high pressure, is crucial to understand and interpret the material's properties and chemistry of the interior of the Earth and other terrestrial planets. Besides Mössbauer spectroscopy^{20–22} and X-ray absorption spectroscopy,^{4,23} X-ray emission spectroscopy, resonant^{24,25} and non-resonant,^{5,6,25–30} is widely used to determine spin state, covalency, oxidation state, electronic structure and structural changes.

The thermodynamic conditions in the vicinity of the core-mantle-boundary reach 136 GPa and 3000 K. Such static high-pressure-high-temperature (HPHT) conditions can only be achieved by laser-heated diamond anvil cells (LHDACs) (Anzellini *et al.*³¹ and references therein) or X-ray heating, exploiting fs X-ray pulses from an X-ray free electron laser.^{30,32–34} However, X-ray emission spectroscopy of samples contained in such highly absorbing environment is extremely challenging, as the diamond anvils or gaskets reduce the intensity of the incident and scattered X-rays significantly and often require relatively long measuring times. Here, we present applications for fast resonant and non-resonant X-ray emission spectroscopy ((R)XES) at lower mantle conditions, utilizing a wavelength dispersive von Hámos spectrometer⁶ in combination with a dedicated sample preparation. The preparation process is specifically optimized to experiments that require non-X-ray-transparent gasket materials, *e.g.*,

^aFakultät Physik/DELTA, Technische Universität Dortmund, 44227 Dortmund, Germany. E-mail: christian2.albers@tu-dortmund.de; christian.sternemann@tu-dortmund.de

^bInstitut für Geowissenschaften, Universität Potsdam, 14476 Potsdam, Potsdam, Germany

^cInstitut für Geochemie und Petrologie, ETH Zürich, 8092 Zürich, Switzerland

^dEuropean X-Ray Free-Electron Laser Facility GmbH, 22869 Schenefeld, Germany

^eMax Planck Institute for Chemical Physics of Solids, 01187 Dresden, Germany

^fPETRA III, Deutsches Elektronen-Synchrotron (DESY), 22607 Hamburg, Germany

^gUniversität Göttingen, 37073 Göttingen, Germany

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For *in situ* experiments at HPHT conditions, a portable double-sided Yb:YAG laser system dedicated for the setup at beamline P01 at PETRA III was used. The laser provides a maximum output power of 100 W at a wavelength of 1070 nm. The complete laser-heating setup is described in detail by Spiekermann *et al.*⁶² For the experiments discussed here, one-sided heating was performed on the downstream side of the sample. The temperature was determined by a Planck fit of the grey body radiation detected from the hot spot of the sample.

2.3 Data analysis

The data extraction is illustrated in Fig. 2. For each crystal with the same scattering geometry, a region of interest (ROI) is defined that includes all of the sample's intensity, but should be as small as possible to reduce the background in the data. To extract the background from the data, the ROI is shifted in p_x (blue) next to the data ROI (red). Both ROIs are summed up individually in p_x direction. Afterwards, the background is subtracted from the data and the p_y -scale is energy calibrated as explained in the experimental setup. For the RXES measurements, an XES spectrum is analyzed for each incident energy and merged to a 2D image with the incident energy on the x -axis and the energy transfer on the y -axis.

An estimation of the data quality provides the signal-to-noise ratio (SNR), which is calculated here by

$$\text{SNR} = \frac{I_p - I_b}{\sqrt{I_b}}$$

with the maximum intensity I_p and the intensity of the background I_b . The background intensity is calculated at the same

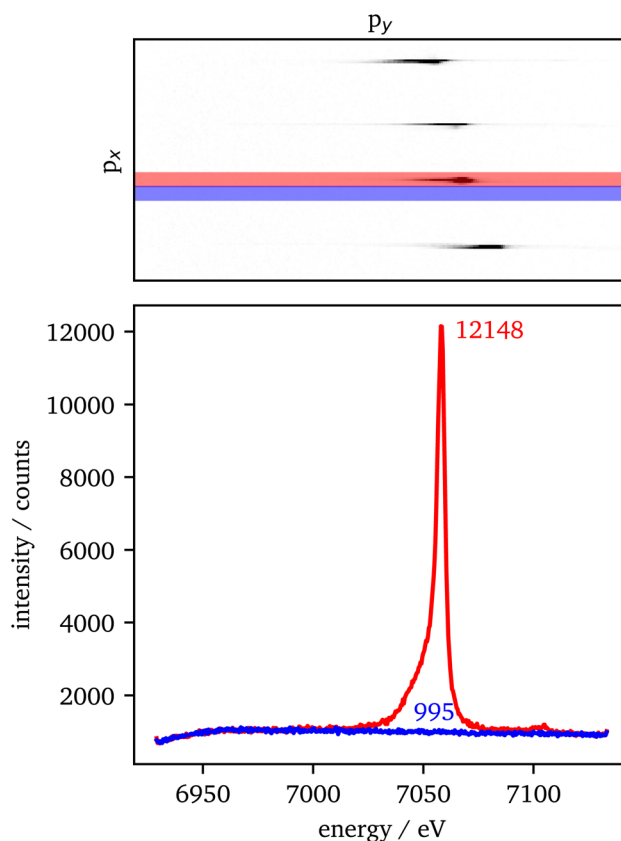


Fig. 2 (Top) Detector image with signal of 4 analyzer crystals using the Si(440) reflection for a 2 minutes measurement of Fe_2O_3 at 75 GPa. The red and blue shaded areas define the ROI for the signal and background intensity, respectively. Both ROIs have the same size of 10 pixels in p_x -direction. (Bottom) Integrated intensities of the ROIs with maximum intensity and the background within the plot. The background was averaged in a small eV range around the maximum position. The values result in a SNR of ~ 354 .

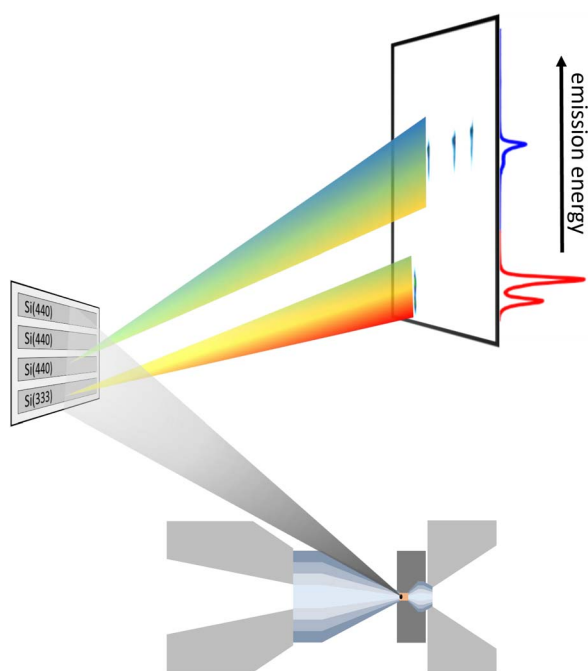


Fig. 1 Representation of the scattering geometry for a two-color experiment using one Si(333) and three Si(440) reflections measuring the Fe K α (red) and K β emission (blue), respectively.

energy position but using the background ROI. Fig. 2 shows an example for a 2 minutes measurement of Fe_2O_3 at 75 GPa.

The spin state is analyzed using the Integral of the Absolute Difference (IAD) method.⁶³ Therefore, the spectra are shifted in emission energy so that their center-of-gravity (COG) is positioned at 7055 eV to account for energy scale uncertainties of data that are measured during different experiments. The IAD value is then calculated in the energy range between 7030 eV and 7070 eV with a spectrum of FeCO_3 measured at 75 GPa as LS reference. As an error estimation the IAD value between FeCO_3 measured at 75 GPa and 82 GPa is used, *i.e.*, Fe being in LS state in both samples. The resulting IAD value is $\sim 8\%$ of a complete spin transition. Moreover, the position of the First Momentum (M_1) is calculated considering the intensity of the $\text{K}\beta_{1,3}$ emission down to 50% of its maximum value.⁶⁴

RXES measurements are analyzed *via* constant emission energy (CEE) and constant incident energy (CIE) cuts. The CEE-cuts are calculated for an energy range of ~ 1.1 eV (3 pixel), whereas the CIE-cuts are analyzed for each incident energy, *i.e.*, 0.2 eV steps.

3 Results

3.1 X-ray emission at pressure and temperature: FeCO_3

Spin-state imaging²⁹ was performed *in situ* on laser-heated FeCO_3 at 75 GPa. The sample was heated to 2800 ± 300 K on one side. During spin-state imaging, the step size was $7 \mu\text{m}$ in between measurements. Fig. 3(a) shows $\text{K}\beta_{1,3}$ XES spectra for a traverse across the heated sample, ranging from the unheated part to the heating spot. Each spectrum was measured for 5 minutes. Underneath the XES spectra the difference to the unheated sample at 75 GPa for IAD analysis is shown, measured prior to the heating process. The data exhibit a consistent trend from LS to HS state, while going from the cold to the hot part of the sample. Furthermore, in (b) the vtc regions of each spectrum is plotted. The short measurement times of only 5 minutes do not allow for a dedicated analysis of the vtc. However, a clear trend in the $\text{K}\beta_{2,5}$ -peak can be detected as the peak's position is shifting to higher emission energies along with decreasing intensity, related to the changes in Fe–O bonding distance. Since the first and last spectra were measured at the rim of the sample, the data quality is insufficient even for a qualitative analysis and are therefore not shown in the graph.

3.2 X-ray emission at pressure: Fe_2O_3

3.2.1 Non-resonant X-ray emission. Fe $\text{K}\beta$ XES of Fe_2O_3 was performed in a pressure range of 11 GPa to 75 GPa during two different experiments. Selected emission spectra are shown in Fig. 4(a) for the $\text{K}\beta_{1,3}$ emission. The IAD results are shown in (b) along with the IAD value of FeCO_3 at 82 GPa, which serves as an error estimation. Up to a pressure of 42 GPa, the sample is in HS state within the error. For higher pressures, the IAD values drop to around 40% of their previous values. Between 57 GPa and 64 GPa the spin state is constant and drops again at 75 GPa. However, the sample might not be completely in a LS state at the highest pressure.

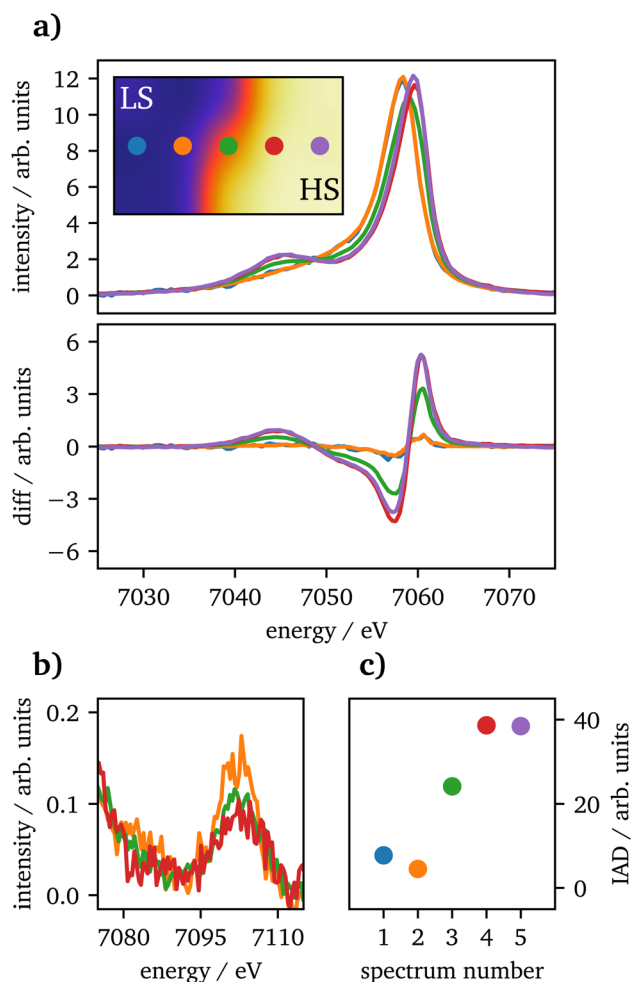


Fig. 3 (a) $\text{K}\beta_{1,3}$ XES spectra for laser-heated FeCO_3 at 75 GPa for a traverse across the sample going from the unheated part to the heating spot with increasing spin state. Data acquisition was performed for 5 minutes in total, separated into 1 minute measurements. The inset shows the spin distribution on the sample with the dots indicating the measurement position. Difference to the LS reference, *i.e.*, long measurement of the unheated sample, is shown underneath the spectra. In (b) the corresponding vtc spectra are shown, the first and last spectrum are missing due to the low data quality. (c) IAD values corresponding to the spectra shown in (a) with the same color code.

The vtc spectra are shown in Fig. 5(a) for the same pressures as in Fig. 4(a). The $\text{K}\beta''$ peak originates from the hybridization between oxygen 2s and iron 3d states and its intensity is connected to the Fe–O bonding distance.⁶⁵ Its integrated intensity is shown in Fig. 5(b) for all pressures. Up to 42 GPa, no significant change was observed in the data. At higher pressures the $\text{K}\beta''$'s peak-intensity is significantly increasing, which is indicative for a decreased Fe–O bonding distance, starting with the onset of the spin changes.

3.2.2 Resonant X-ray emission. 1s2p RXES maps were measured for selected pressures up to 75 GPa. Results for pressures in the vicinity of the phase transition at 50 GPa will be discussed within this paragraph (spectra for all pressures are presented in the ESI, Fig. S1†). The RXES data are shown in Fig. 6. The RXES maps with a zoom on the $\text{K}\alpha_1$ emission in the

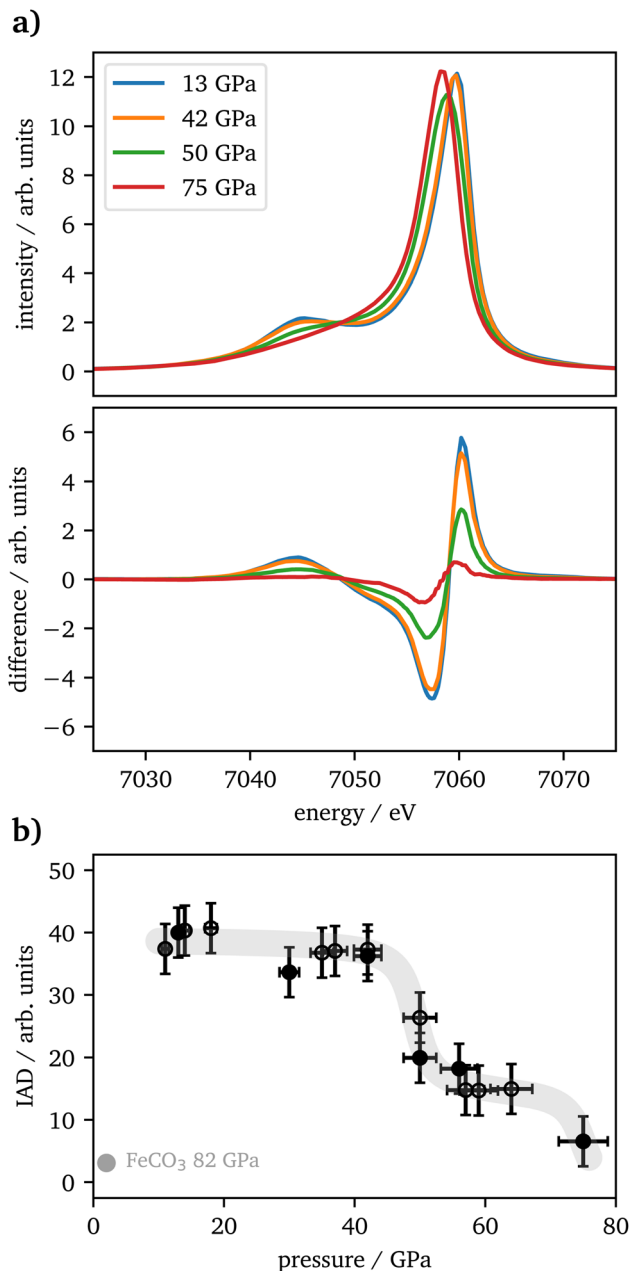


Fig. 4 (a) Selected $K\beta_{1,3}$ spectra of Fe_2O_3 between 11 GPa and 75 GPa. The difference to the LS reference is shown underneath the spectra. (b) IAD values for all pressures along with the reference value for FeCO_3 at 82 GPa in gray. Open symbols represent the second experiment. The gray line is a guide to the eye.

pre-edge region are visualized in (a) with the resulting CEE-cuts in (b). At 46 GPa, the sample is in HS state and octahedral coordination as the CEE-cuts contain both electronic states for this phase, ${}^5T_{2g}$ and 5E_g .⁶⁶ The peak positions at 46 GPa are at 7112.4 ± 0.1 eV and 7114.2 ± 0.1 eV, which results in a crystal field splitting of 1.8 ± 0.1 eV. With the onset of the spin-state changes at higher pressures, the electronic structure changes drastically with a shift of the pre-edge peaks to lower incident energies. At 50 GPa the ${}^5T_{2g}$ and 5E_g transitions are still observable but an underlying new feature is rising, which

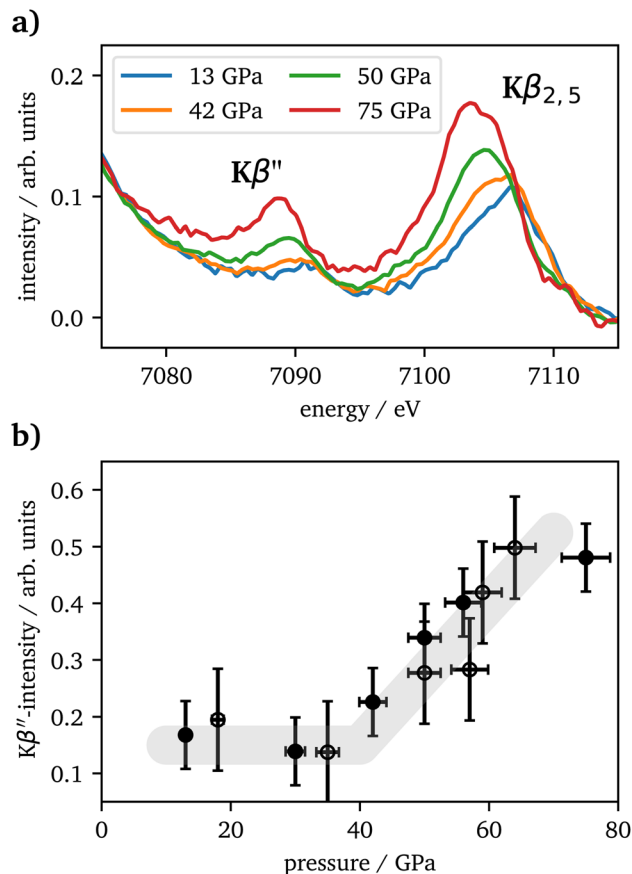


Fig. 5 (a) Selected vtc spectra of Fe_2O_3 between 11 GPa and 75 GPa. (b) Integrated $K\beta''$ -intensities for all pressures. Open symbols represent the second experiment. The gray line is a guide to the eye.

replaces the Fe^{3+} HS features at 57 GPa completely. The CEE-cut of 50 GPa can be reproduced with a reasonable accuracy by a mixture of 46 GPa and 57 GPa. The best fit between 7110 eV and 7116 eV results in an abundance of 57% of the HS spectrum and is in mutual agreement with the spin-state results of $\sim 50\%$.

CIE-cuts for the pre-edge region are visualized in Fig. 6(c) and support the previous findings. The colored dots indicate the maximum positions of the emission, which are also shown in (d). A constant energy transfer can be indicative for localized states, whereas a linear dispersion can indicate delocalized states.^{44,67–69} Nevertheless, this assignment is not unambiguous.⁷⁰ The data in (d) show that the ${}^5T_{2g}$ and 5E_g transitions in 46 GPa and 50 GPa are discrete localized states and the states at higher incident energies are delocalized *via* bridging hybridization to neighbouring Fe atoms ($\text{Fe}_1(3d)\text{-O}(2p)\text{-Fe}_2(4p)$) (ref. 67 and references therein). At 57 GPa the maxima of the pre-edge region are almost completely dispersing with the incident energy, except for a small energy region at the onset of the pre-edge region.

4 Discussion

4.1 FeCO_3

Laser-heated FeCO_3 in the given pressure range undergoes a phase transition into $\text{Fe}_4^{3+}\text{C}_3\text{O}_{12}$ and $\text{Fe}_2^{2+}\text{Fe}_2^{3+}\text{C}_4\text{O}_{13}$.^{21,35–41}

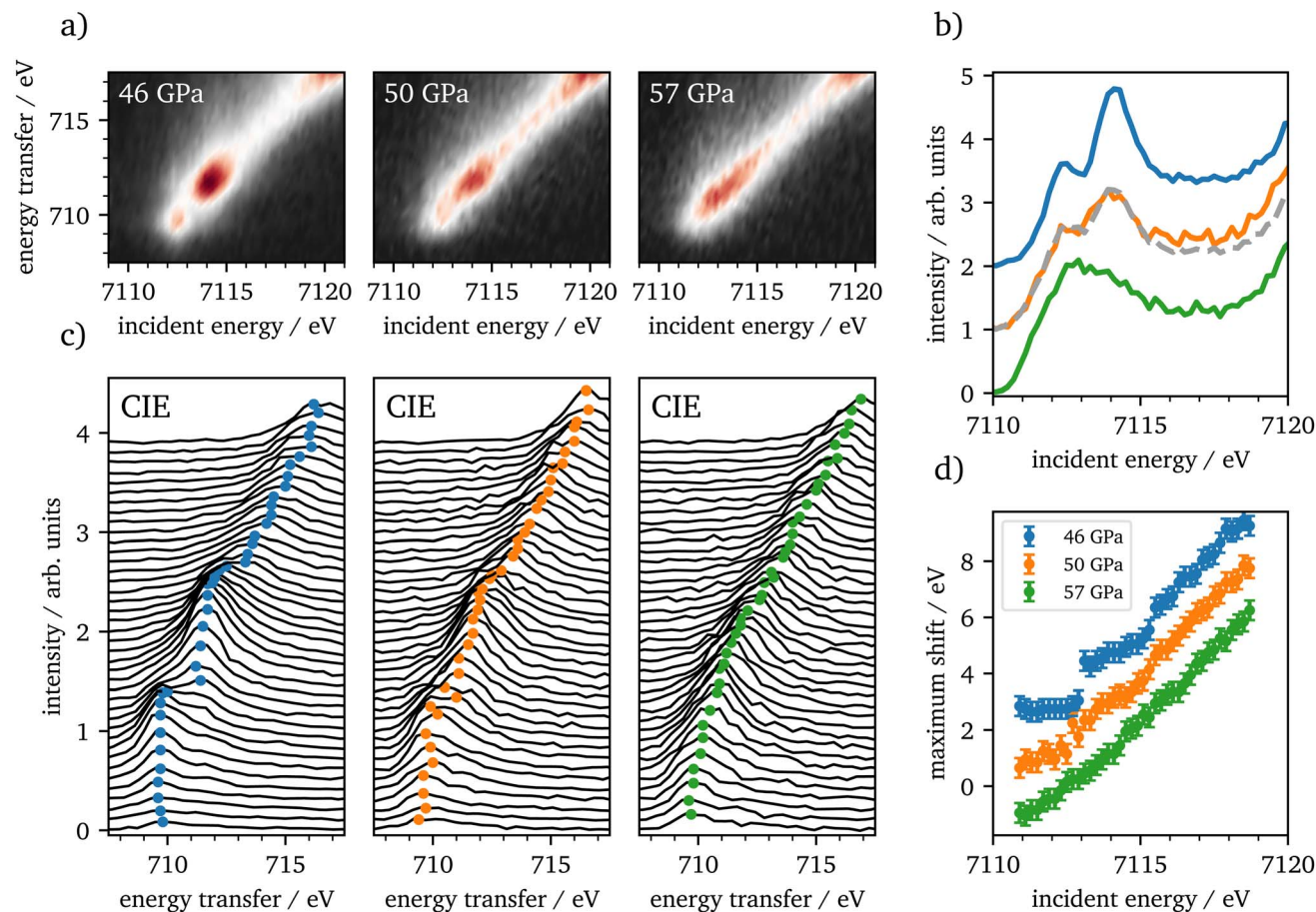


Fig. 6 (a) XRES planes of Fe₂O₃ at high pressures of 46 GPa, 50 GPa and 57 GPa. (b) CEE-cuts of the pre-edge region are shown and shifted vertically for clarity. The grey dashed line is a superposition of 46 GPa and 57 GPa with 57% abundance of the HS spectrum. (c) CIE-cuts of each pressure in the pre-edge region, shifted vertically with increasing incident energy. The colored dots indicate the maximum position for each CIE-cut and are visualized in (d), shifted vertically for clarity.

Recently Albers *et al.*²⁹ and Kaa *et al.*³⁰ have shown experimentally, that both phases are in HS state. The findings in this study are in accordance with the spin changes for laser-heated FeCO₃. However, as the sample was not post-characterized after temperature quenching, an exact phase transition could not be verified. Nevertheless, the LS and HS state regions in the sample were conserved after temperature quenching (not shown). Thus, a transition into Fe₄³⁺C₃O₁₂ and Fe₂²⁺Fe₂³⁺C₄O₁₃ is likely.

4.2 Fe₂O₃

Kβ_{1,3}-XES measurements of Fe₂O₃ show a drop in spin state between 46 GPa and 57 GPa with intermediate spin values in between and a subsequent further drop between 64 GPa and 75 GPa. The IAD values in the intermediate plateau are at 40% of the maximum value, whereas a transition of one iron site should result in an IAD value of 50%. This might be based on a symmetry reduction of the trigonal-prismatic HS site, as seen also for FeO, which undergoes a transition from octahedral to rhombohedral distorted coordination under pressure. The resulting Kβ_{1,3} peak-shape change results in an IAD value of around 20% of a complete HS–LS transition²⁵ and, translated to

this case, would perfectly fit the difference of 10% to the expected value, as only half of the iron is impacted by the distortion. These findings are in good agreement with recently published literature.^{4,20,22}

At around 50 GPa a transition from the α-phase (hematite) into the ζ-phase with a perovskite structure occurs, incorporating two distinct iron sites, an octahedral LS site (A) and a trigonal-prismatic HS site (B). Mössbauer spectroscopy results published by Bykova *et al.*²⁰ show a constant decrease of magnetic components in favor of non-magnetic components, but the lack of sufficient data points allows no final assignment to the phase transition from α-Fe₂O₃ via ζ-Fe₂O₃ to Θ-Fe₂O₃, which was reported in detail by their XRD data. Sanson *et al.*⁴ and Greenberg *et al.*²² report a completed phase transition into the ζ-phase at around 56 GPa with a subsequent plateau to 80 GPa or 62 GPa, respectively.

At higher pressures Bykova *et al.*²⁰ and Greenberg *et al.*²² both report the transition into the Θ-phase with an Aba2-structure having all iron in LS-state and trigonal-prismatic coordination. Bykova *et al.*²⁰ see a completed transition already at 75 GPa whereas Greenberg *et al.*²² report around 70% of the high-pressure phase to be present at this pressure. In contrast,



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and 1 second if four crystals are summed up. However, the exact signal from a cell depends on various factors such as the accuracy of gasket preparation, the size of the sample, the quality of shielding the incident photons or the type of upstream diamond⁵⁸ and varies between different samples, DACs and experiments. The discussed sample may be close to the ideal configuration and thus, longer measurement times should be assumed. For comparison, the measurement of Fe₂O₃ at 75 GPa provided a SNR of around 350 per crystal within two minutes, which results in a data acquisition time of 10 seconds and 5 seconds for one and four crystals, respectively.

In contrast to Kβ_{1,3}, the intensity of the vtc emission is significantly lower by a factor of ~80 for Kβ_{2,5} emission and thus, longer data acquisition times are required. In Fig. 8 the vtc region of Fe₂O₃ at 75 GPa is shown for different integration times and four crystals summed up. The data show, that even after 2 minutes the signal is strong enough to identify Kβ'' and Kβ_{2,5} emission and after 10 minutes the data quality allows for a reasonable determination of the peak's position and intensity. With higher integration times the data quality improves more and more slowly. The data quality might be further improved by binning of consecutive data points as discussed *via* Fig. S3 in the ESI.†

A substantial improvement of this setup was the utilization of mini diamonds on the upstream side. At 10 400 eV, the incident energy for Kβ_{1,3}-XES, the transmission through the upstream diamond is more than doubled. However, the most important improvement is achieved for RXES measurements. At an incident energy of 7100 eV the intensity gains a factor of 15 compared to a regular sized BA diamond of 1720 μm. The

substantially increased SNR and thus decreased data acquisition time allows for RXES measurements with an incident energy resolution of 0.2 eV in about 2 hours for samples with a high iron content such as Fe₂O₃. The extent of the improved data quality allows also for *in situ* RXES when combined with a long term stable laser-heating system. Apart from that, the scattering geometry allows for simultaneous 1s2p and 1s3p RXES measurements as indicated in Fig. 1. However, this increases the data acquisition times again, as the intensity of Kβ emission is significantly lower than Kα emission and only one crystal provides Kα emission instead of four. Contrary, the higher emission energy of the Kβ_{1,3} peak results in a decreased absorption of the downstream diamond. All in all, this leads to a roughly equal intensity of Kα and Kβ emission when using one Si(111) and three Si(110) analyzer crystals.

5 Conclusion

We combined a wavelength dispersive von Hámos spectrometer and an area detector along with a dedicated sample preparation to allow high-quality (resonant) X-ray emission spectroscopy. To showcase the great potential of this setup, *in situ* spin-state imaging of laser-heated FeCO₃ at 75 GPa and XES (including vtc) as well as RXES measurements of cold-compressed Fe₂O₃ up to 75 GPa were performed.

In situ spin-state imaging of laser-heated FeCO₃ shows a spatially separated spin-state distribution of LS in the unheated and HS in the heated area of the sample, likely due to a decomposition of FeCO₃ into Fe₄C₃O₁₂ and Fe₄C₄O₁₃.²⁹

The spin-state development of Fe₂O₃ shows a decrease in IAD value in the ζ-phase with a significant further drop at 75 GPa, *i.e.*, in the Θ-phase. The IAD value in the ζ-phase of 40% of the α-phase's value is likely based on a symmetry reduction from octahedral to trigonal-prismatic coordination on the HS site. These findings are in great accordance with the results of Bykova *et al.*²⁰ and Greenberg *et al.*,²² but show differences to Sanson *et al.*⁴ at higher pressures. The vtc intensity is significantly increasing after the onset of the phase transition, which is due to the changing Fe–O bonding distances and in line with structural data. The RXES measurements support the findings by Greenberg *et al.*²² of delocalized states in the high-pressure phases.

The derived SNR for short measurement times provides an estimate for future experiments and one can evaluate the feasibility of experiments, *e.g.*, for samples with a low iron content or beamlines with lower photon flux. There are different approaches for an improvement of the SNR of a measurement, which have a different impact on the result. A total increase of signal and background, *e.g.*, by increasing the measurement time or the photon flux on the sample, is scaling with the square root of the intensity, whereas on improvement of the signal by the sample itself, *e.g.*, by improving the opening angle of the cell or the iron content, is scaling linearly.

The setup's great potential for future applications is the combination of 1s2p and 1s3p RXES to extend the amount of information within a measurement. Furthermore, the combination of 1s2p with *in situ* laser-heating of iron-bearing samples

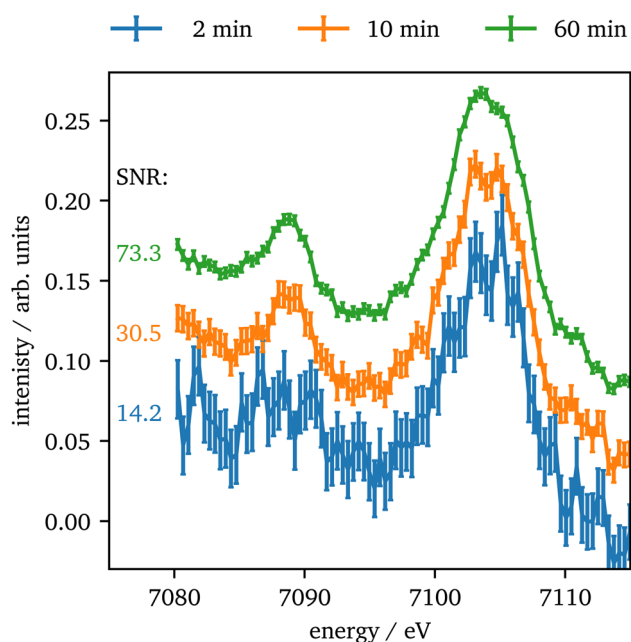


Fig. 8 Vtc spectra of Fe₂O₃ at 75 GPa for different integration times. The SNRs are calculated for the maximum of the Kβ_{2,5} emission with the background averaged between 7100 eV and 7110 eV. The data have been offset by 0.05 units for clarity.



will be feasible. Moreover, *in situ* spin-state imaging will be established as a standard tool for analyses of the electronic structure of iron under extreme conditions.

Author contributions

CA, MT, MW and CS designed the research. CA, RS, NT, LL, GS, JK, HG and MS conducted the experiments. LL and GS implemented the laser-heating device. CA analyzed the data. CA and CS wrote the manuscript with contributions of all authors.

Conflicts of interest

There are no conflicts to declare.

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