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Characterizing the hygroscopicity and volatility of single levitated aerosol droplets *via* optical tweezers-Raman spectroscopy†

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The hygroscopicity and volatility of airborne particles depict the thermodynamic process of the gas-particle partition of semivolatile organic components, which then have substantial impacts on the atmospheric chemistry and lifetime of aerosols. Characterizing relevant physical and chemical parameters is the base for understanding the aerosol evolution process, analyzing evolution motivation and predicting the evolution path. In this work, we captured single levitated aerosol droplets without any contact using a self-developed aerosol optical tweezers (AOT) system, simulated the hygroscopic-volatilization thermodynamic evolution process of ambient aerosols by changing the relative humidity around the trapped droplet, and measured key thermodynamic parameters *via* Raman spectroscopy including the particle size, refractive index, diffusion coefficient, volatile flux, and vapor pressure. Moreover, possible phase transitions in the aerosol droplets such as glassy and gel transitions were discussed, which provided an important reference for understanding the behaviors of actual atmospheric aerosols.

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Environmental significance

The mass transfer process is inextricable from the atmospheric evolution of aerosol droplets. The hygroscopicity and volatility are two chief mass transfer processes that influence the lifetime, gas-particle partition and heterogeneous chemistry of aerosols. However, characterizing the mass transfer process is quite challenging because of the nonlinear boundary problem of the convection-diffusion equation, especially for the diffusion coefficient. Herein, we *in situ* measured some key mass transfer coefficients *via* a self-constructed aerosol optical tweezers system, which has possible implications on mass transfer in actual atmospheric particles.

1 Introduction

Aerosols comprising various inorganic/organic components are ubiquitous in the ambient atmosphere, especially in the troposphere. Due to the complex chemical compositions and diverse morphologies, the physicochemical evolution of aerosol droplets is strikingly different from that of bulk solutions.¹⁻⁵ To effectively analyze the driving forces of air pollution and predict climate changes, it is crucial to investigate the response behaviors of aerosols to environmental changes, such as mass transfer, volatility, phase separation, and cloud nucleation.

Conventional studies on physicochemical properties of aerosols usually utilize particle ensembles and bulk phase samples as research targets. For example, Ovadnevaite *et al.*⁶ analyzed the impact of surface tension on cloud droplet

activation by observing the size distribution of nascent ultrafine mode particles in North Atlantic marine air masses; Estillore *et al.*⁷ investigated the hygroscopic growth of organosulfate aerosol ensembles using a Multi-Analysis Aerosol Reactor System. Although these studies investigated the properties of aerosols by analyzing statistical indicators (*e.g.* size distribution and number concentration) of copious bulk phase aerosol particles, they cannot provide indisputable evidence of the evolving process of aerosols on an individual particle basis.

Of note, some other techniques have been developed to study the properties of single aerosol particles such as aerosol time-of-flight mass spectrometry (A-ToF),^{8,9} single-particle soot photometry (SP2),^{10,11} electrodynamic balance-Raman spectroscopy (EDB-Raman),^{12,13} and Bessel beam traps (BBTs).^{10,14-16} A-ToF uses two laser beams to respectively desorb and ionize the particle. The particle transit time – which is a function of the particle aerodynamic diameter – between these two laser beams is then measured by PMTs and used for particle sizing. The particle composition is characterized by mass analyzing the ions using a time-of-flight mass spectrometer. One advantage of this technique is that the target aerosol samples are introduced into the instrument under atmospheric conditions, which is

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similar to the airborne state of real aerosols. However, A-ToF is a destructive method for target particles and is only capable of determining the particle size and composition, unavailable for observing the evolution of single aerosols. The SP2 is exclusive for detecting the mass concentration of black carbon (BC) in individual soot particles. The BC mass is achieved through the use of laser-induced incandescence for strongly absorbing black carbon. However, it is also a destructive technique in that the particle is vaporized in the process of incandescence. EDB-Raman and BBT are two *in situ* observation techniques which can trap single levitated particles for static detection. Nonetheless, EDB-Raman employs electrodes to construct a trap to capture a levitated particle which demands the particle to be charged in advance. The actually detected particles are distinct from the natural aerosol particles which are electroneutral. Electrostatic effects should not be neglected especially for the micro-scaled aerosols with various electrolyte salts.^{17,18} Moreover, EDB-Raman uses two different systems to respectively trap and detect the particles that also increases the operation complexity. The BBT utilizes Bessel beams which can maintain tight focus over relatively long distances to trap single levitated particles. One prominent advantage of this method is that it is available to trap and study airborne submicron particles down to ~600 nm at which the size of chief ambient aerosols, particularly in the accumulation mode, locates. A caveat, however, is that the BBT needs a gas phase flow to maintain an axial *z* position (height) balance, rendering its *z* position stability weaker than that of optical tweezing. Besides, considering that the trapped particle in BBT is submicron, the Ohnesorge number of which will be close to 1 so that the aerodynamic disturbance from the gas flow to the evolution of the particle, especially to the heterogeneous chemical process occurred at the surface of the particle, cannot be overlooked.

Recently, two novel single particle techniques have been widely used to characterize ambient aerosols which are atomic force microscopy (AFM)^{19–21} and confocal microscopy.^{22–24} One key step of these techniques is generating super-micrometer droplets *via* a pneumatic micro-dispenser and depositing a single droplet on a hydrophobic substrate. These methods can acquire the information of a single particle; however, the contact with nanoneedle tips or substrate limits their utility of simulating the thermodynamic process of ambient aerosols.

The optical tweezers system, which can capture a single particle without contact in a three-dimensional laser trap, has been extensively used in the manipulation of micron sized particles such as cells and bacteria.^{25–30} The timescale of the consecutive stable trapping is up to hours which provides an excellent approach to mimic the airborne state and explicitly investigate the evolution process of natural aerosols.³¹ A fair amount of studies on the mass transfer of single aerosol particles have been conducted using aerosol optical tweezers. Leng *et al.*³² imposed rapid pulsed relative humidity (RH) processes on substrate-deposited microdroplets and observed the dynamic hygroscopicity *via* a rapid scan vacuum FTIR spectrometer. Preston *et al.*³³ put an optically-trapped aerosol droplet in a sinusoidal RH oscillation and found that the radius of the droplet would sinusoidally change following the RH with

the same frequency, and the amplitude and frequency of the size fluctuation were dictated by the RH frequency and the diffusion coefficient of water molecules. Cai *et al.*^{34,35} used the time-resolved Raman spectra of optically-trapped droplets over the course of humidity steps and measured the vapor pressures of copious organic aerosols, including maleic acid, citric acid, glycerol *etc.* Moridnejad *et al.*³⁶ researched the hygroscopicity of a high-viscosity aerosol and found that the morphology-dependent resonances in its Raman spectra underwent abrupt changes which indicated that the phase of the aerosol might transform into a glassy state. Notably, the isotopic tracer method is another ingenious approach which can directly unveil the hygroscopic process of aerosol droplets.^{12,37–39} In this method, the moisture (H₂O) used to maintain the ambient RH is changed into D₂O vapor after the droplet reaches an equilibrium with the environment; thus the process of mass transfer can be observed according to the time evolution of the H₂O peak and D₂O peak in the Raman spectra of the droplet.

In this work, we constructed an aerosol optical tweezers system and investigated the hygroscopicity and volatility of various inorganic/organic aerosol droplets. We first researched and presented the time evolution of the radius and refractive index (RI) in aqueous NaCl droplets under RH steps. Afterwards, we discussed the obstruction of mass transfer under arid conditions in aqueous sucrose droplets and measured the diffusion coefficient of moisture. Finally, the volatility of aqueous citric acid droplets under constant RH was investigated; the volatile flux and vapor pressure of citric acid droplets were also measured.

2 Experimental

2.1 Reagents and sample preparation

Three types of mother aqueous solution were used in this work to generate aerosol droplets, including sodium chloride (NaCl), sucrose (C₁₂H₂₂O₁₁), and citric acid (CA, C₆H₈O₇). Solute NaCl was purchased from Tong Guang Fine Chemical Co. (Beijing, China). Solute sucrose was purchased from Beijing Chemical Works (Beijing, China), and CA was purchased from Beijing Modern Oriental Fine Chemical Co., Ltd. (Beijing, China). The molarities of NaCl, sucrose and CA were 1 mol L⁻¹, 0.5 mol L⁻¹, and 0.5 mol L⁻¹, respectively. The solutions were prepared with double-distilled water (18.2 MΩ cm; Milli-Q; MilliporeSigma, Burlington, MA, USA).

2.2 Aerosol optical tweezers system

A schematic of the AOT system is shown in Fig. 1. A 532 nm laser beam (Excelsior-532-200, Spectra Physics) was used to both trap the aerosol droplet and excite its Raman signal. The power of the laser beams used was ~20 mW. The laser beam is first expanded by a pair of confocal lenses to make it slightly wider than the rear aperture of the microscope objective lens. Then the beam is reflected by a dichroic mirror and coupled into the objective lens of an inverted microscope (Axiovert 200, Zeiss), forming an optical trap in a tailored chamber mounted above the objective. The objective is responsible for both focusing the



viscosity droplets. The mass transfer of a spherical droplet can be modeled as

$$\frac{m_t}{m_\infty} = \frac{r^3 - r_0^3}{r_\infty^3 - r_0^3} \approx \frac{6}{r_0} \sqrt{\frac{D_{\text{ap}} t}{\pi}} \quad (2)$$

where r is the real-time radius of the droplet, r_0 is the initial radius, r_∞ is the final radius when the droplet achieves a balance with the ambient moisture after sufficient mass transfer, t is the time, m_t is the real-time mass of the droplet, m_∞ is the final mass, and D_{ap} is the apparent water diffusion coefficient.

Based on the droplet radii retrieved from the WGM signals in the measured Raman spectra, the water diffusion coefficient D_{ap} can then be calculated by linear-fitting $r^3 - r_0^3$ and the square root of time, \sqrt{t} .

2.5 Characterizing the volatility

The volatility depicts the mass transfer process that semivolatile species escape from the particulate phase to the gaseous phase and can be assessed from the volatile flux and vapor pressure of the semivolatile species. The volatile flux F is defined as

$$F = \frac{4/3\pi(r_0^3 - r^3)c_0}{4\pi r^2 t} = \frac{(r_0^3 - r^3)c_0}{3r^2 t} \quad (3)$$

and can be calculated by linear-fitting $(r_0^3 - r^3)c_0/3r^2$ and t , where c_0 is the initial molarity of the semivolatile component.

Moreover, assuming that the vapor pressure of the semivolatile component, i , at infinite distance is zero, the vapor pressure above the droplet surface, $p_{i,r}$, can be estimated according to the specific Maxwell equation^{34,52,53}

$$\frac{dr^2}{dt} = -\frac{2MD}{RT\rho F_i} p_{i,r} \quad (4)$$

where M is the molecular mass of i , D is the diffusion coefficient of i in the surrounding gas, R is the ideal gas constant, T is the temperature, ρ is the density of the droplet, and F_i is the mass fraction of i in the droplet.

3 Results and discussion

Here, we first investigated the hygroscopicity of aerosols of aqueous NaCl and sucrose at room temperature. Then, we measured the volatile flux and vapor pressure of CA microdroplets.

3.1 Quantitative analysis of hygroscopicity

We captured single aerosol droplets in the AOT system, modulated the RH in the trapping chamber, and investigated the size response of the droplets when undergoing RH steps. After reaching the preset RH value, the fluctuation of RH is less than $\pm 0.5\%$. Fig. 2 shows a series of representative stills of the Raman spectra of CA droplets. It can be seen that the spectra recorded under different RHs has appreciable differences. Fig. 2(b) shows three snapshots of the Raman spectra at a constant RH value. It can be seen that although the RH is constant, the spectra at different times are still different due to the thermodynamic evolution. For example, new WGM peaks sprouted in the spectrum, and the existing peaks also shifted, which reflected the potential volatilization dynamics of CA droplets. This will be discussed in detail in Section 3.2.

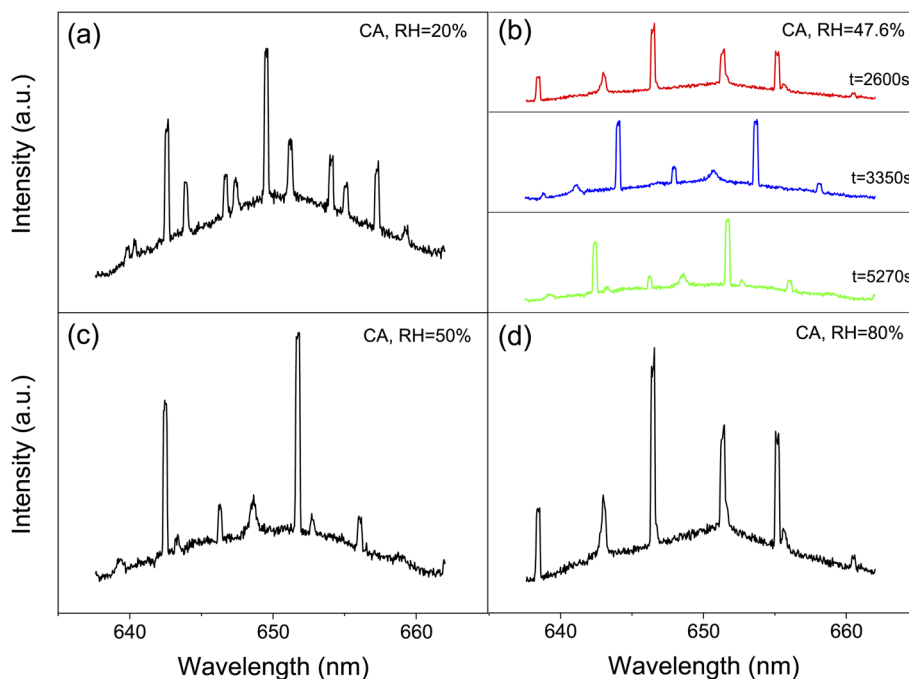


Fig. 2 Representative stills of Raman spectra of citric acid droplets. Raman spectra of CA at RH = 20% (a), 50% (c), and 80% (d). Raman spectra snapshots of CA at RH = 47.6% during the process of volatility (b). The sharp peaks overlapped on the spectra are the so-called whispering gallery modes (WGMs).



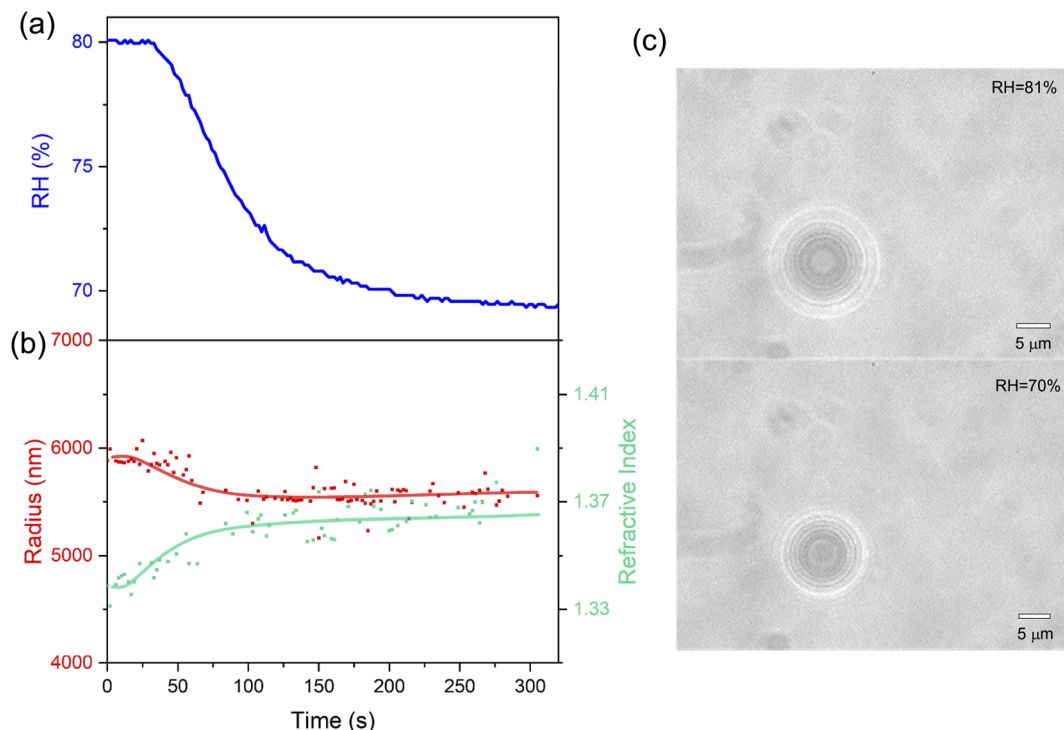


Fig. 3 Dehumidifying process of a single optically-trapped sodium chloride droplet. (a) Variation of RH in the trapping chamber. (b) Time evolution of the radius and RI of the droplet when RH decreased. (c) Bright field images of the droplet at the onset and completion of the dehumidifying process.

3.1.1 Water transfer in NaCl. Fig. 3 shows the evaporation of water in an aqueous NaCl droplet when RH reduced from 81% to 70%. From Fig. 3(b), it can be seen that the radius of the droplet reduced from 5900 nm to 5700 nm during the dehumidifying process. Meanwhile, the RI of the droplet increased from 1.33 to 1.36. This is because the water transferred from the particulate phase to the gaseous phase in this process and increased the concentration of the solute. The bright-field images in Fig. 3(c) presents the shrinkage of the droplet vividly, which agrees well with the variation of the measured radius and RI. Besides, the ratio of the half-time for the response to the size of the droplet to the half-time for the RH probe response (short for Response Time Ratio, RTR) is around 1.04, which indicates that there is no obvious hygroscopic suppression in the droplet. Aerosols of NaCl can grow or shrink immediately when the ambient RH changes.

3.1.2 Water transfer in sucrose. Fig. 4(a1) presents the hygroscopic growth of sucrose droplets. It can be seen that when RH increased from 27% to 30%, the droplet grew slowly from 5400 nm to 5700 nm. It took about 1600 seconds to complete a dilation of 200 nm, which was obviously longer than the NaCl droplet. The retrieved RTR of the sucrose droplets is 33.45, which is far greater than that of NaCl. This indicates that there is a heavy hysteresis between the hygroscopic growth of sucrose and the change of RH. Fig. 4(a2) presents the evaporation of water in sucrose droplets. The droplet shrank from 5900 nm to 5700 nm in a period of 1100 seconds, which was faster than the hygroscopic growth. The measured water diffusion

coefficient of this process is also higher than that of the hygroscopic process (see Table 1). The inevitable heating effect of the trapping laser beams may promote the water transfer during the dehumidifying process and accelerate the evaporation. Nonetheless, the RTR of this process is 28.57, which is also higher than that of NaCl. Bones *et al.*⁵⁴ researched the hygroscopicity of the droplets of sucrose/NaCl/water and found that the logarithmic RTR could even be higher than 4.5 at ultra-low RH, which indicated that the response of the hygroscopic growth was 100 times slower than that of RH changes. Previous studies^{55,56} show that the phase of the droplets of sucrose will transit to glassy at $RH < 31\%$. Thus, the inhibition of water transfer in the droplet of sucrose may be attributed to its glassy transition.

Moreover, as can be seen from Fig. 4(b1) and (b2), the calculated $r^3 - r_0^3$ is linear with \sqrt{t} perfectly. The measured water diffusion coefficients are shown in Table 1. Our results are in line with previous studies where the D_{ap} was measured using different methods. To acquire high-quality cavity-enhanced Raman spectra, a high-power laser beam was used, which may accelerate the transfer of water and lead to measured diffusion coefficients higher than the true values. In spite of this, the deviation caused by the laser heating effect is lower than one order of magnitude. Considering the relatively wide discrepancy of the literature values listed in Table 1, the measured values herein are still meaningful. More discussion on this problem can be seen in Section S4 in the ESI.† The



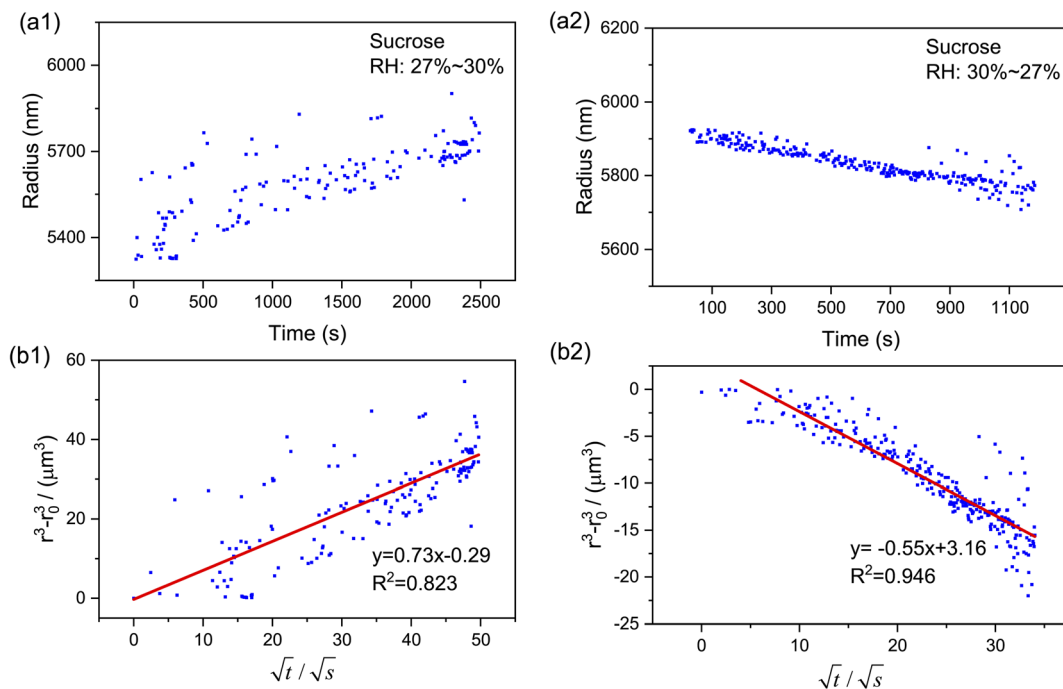


Fig. 4 Size-response of the sucrose droplets to RH changes. Time evolution of the radius when RH changed from 27% to 30% (a1) and from 30% to 27% (a2), respectively. Relationship of $r^3 - r_0^3$ and \sqrt{t} when RH changed from 27% to 30% (b1) and from 30% to 27% (b2), respectively. Linear regressions are plotted in red lines.

Table 1 Water diffusion coefficient (D_{ap}) in sucrose droplets measured through various methods

Solute	RH (%)	D_{ap} ($m^2 s^{-1}$)	Ref.
Sucrose	10	2.92×10^{-16}	Preston <i>et al.</i> ³³
	26	4.52×10^{-16}	Nadler <i>et al.</i> ¹²
	30	2.39×10^{-15}	Preston <i>et al.</i> ³³
	32	1.60×10^{-15}	Davies <i>et al.</i> ³⁸
	40	1.00×10^{-13}	Bastelberger <i>et al.</i> ⁵⁷
	27 → 30	4.74×10^{-16}	This work
	30 → 27	5.03×10^{-16}	This work
	37 → 40	5.73×10^{-15}	This work
	40 → 37	7.25×10^{-15}	This work
	10 → 27	4.62×10^{-16}	This work

mixing time, τ_{mixing} , by diffusion of volatile molecules within an aerosol can be estimated from the diffusion coefficient,^{23,37,58}

$$\tau_{mixing} = \frac{r^2}{\pi^2 D_{ap}} \quad (5)$$

where r is the droplet radius. Thus, for a droplet with a radius of 6 μm , according to the measured diffusion coefficients, the calculated τ_{mixing} is 2.14 hours at RH = 27–30% and 11 minutes at RH = 37–40%, respectively. This indicates that as RH decreases to an ultra-low range (below 30%), the mixing time increases exponentially, which reveals the dramatic inhibition of water transfer in glassy sucrose aerosols.

3.2 Volatility of citric acid

The pervasive secondary organic aerosols (SOAs) are confirmed to have diverse semivolatile components (SVOCs), which are influential in the atmospheric lifetime, long-range transport and optical properties of aerosols.⁵⁹ Most of the SVOCs are humic-like substances, including dicarboxylic acids, polyols, esters, and ethers. Herein, we used citric acid as the surrogate SVOCs and measured its volatile flux and vapor pressure.

Fig. 5 shows the time evolution of the radius of single levitated CA droplets at constant RH values. It can be seen that although RH is constant, the radius of CA keeps decreasing in the thermodynamic process of volatility. Besides, the rate of radius reduction at higher RH (80%) is higher than that at lower RH (50%). Fig. 6(a1) and (a2) show the relationship of $(r_0^3 - r^3)c_0/3r^2$ and t under moist and arid conditions, respectively. It can be seen that at RH = 80%, the slope of $(r_0^3 - r^3)c_0/3r^2$ versus t is one order of magnitude higher compared with RH = 20%, which indicates that more volatile molecules transfer from the particulate phase to the gaseous phase at equal time intervals. Moreover, a similar discrepancy in the slope of r^2 and t can also be seen in Fig. 6(b1) and (b2), which means the vapor pressure of the volatile components (*i.e.*, CA) at RH = 80% is higher than that at RH = 20%. The measured values of volatile flux and vapor pressure are summarized in Tables 2 and 3. Previous studies measured the vapor pressures of CA under diverse conditions which led to high deviations among the results. The values of our measurements lay between those of Cai *et al.*³⁴ and Booth *et al.*⁶⁰

Shi *et al.*⁶³ found that at low RH (RH < 40%), CA would transit to a gel state whose viscosity largely increased. It is plausible to



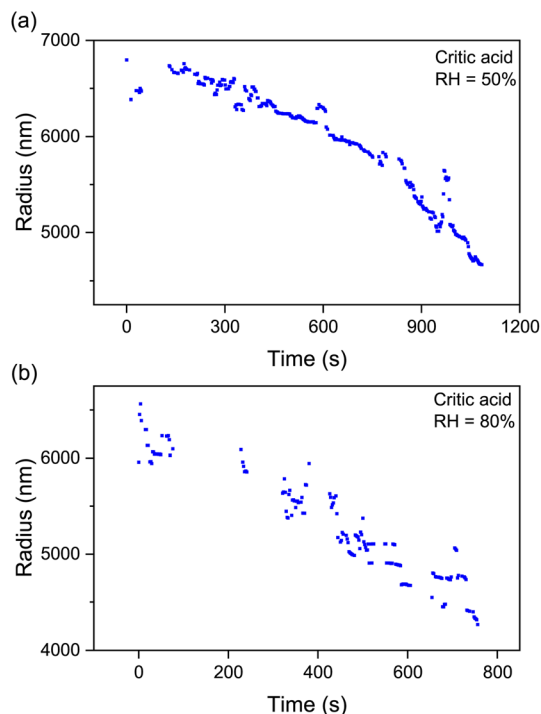


Fig. 5 Time evolution of the radius of droplets of citric acid at constant RH values: (a) RH = 50%; (b) RH = 80%. The sustained decrease of the radius indicates the volatility of CA.

Table 2 The measured volatile flux in citric acid droplets. The error coefficients mean the ratios of estimated values to the measured values (see Section S4 in the ESI)

Solute	RH (%)	Volatile flux mol (m ⁻² s ⁻¹)	Error coefficient
Citric acid	20	2.88×10^{-7}	0.1
	50	7.3×10^{-7}	0.1
	80	1.13×10^{-6}	0.1

Table 3 The measured vapor pressure in citric acid droplets

Solute	Vapor (Pa)	
	This work	Literature values
Citric acid	1.06×10^{-4} (RH = 20%)	4.50×10^{-5} (ref. 34)
	3.61×10^{-4} (RH = 50%)	4.80×10^{-5} (ref. 34)
	5.59×10^{-4} (RH = 80%)	3.10×10^{-3} (ref. 60)
		2.05×10^{-8} (ref. 61)
		4.30×10^{-8} (ref. 62)

speculate that the CA droplet trapped at RH = 20% in this work underwent phase transition after reaching an equilibrium moisture content with the gas phase, which impeded the gas-particle partition of CA and led to an inhibition in volatility.

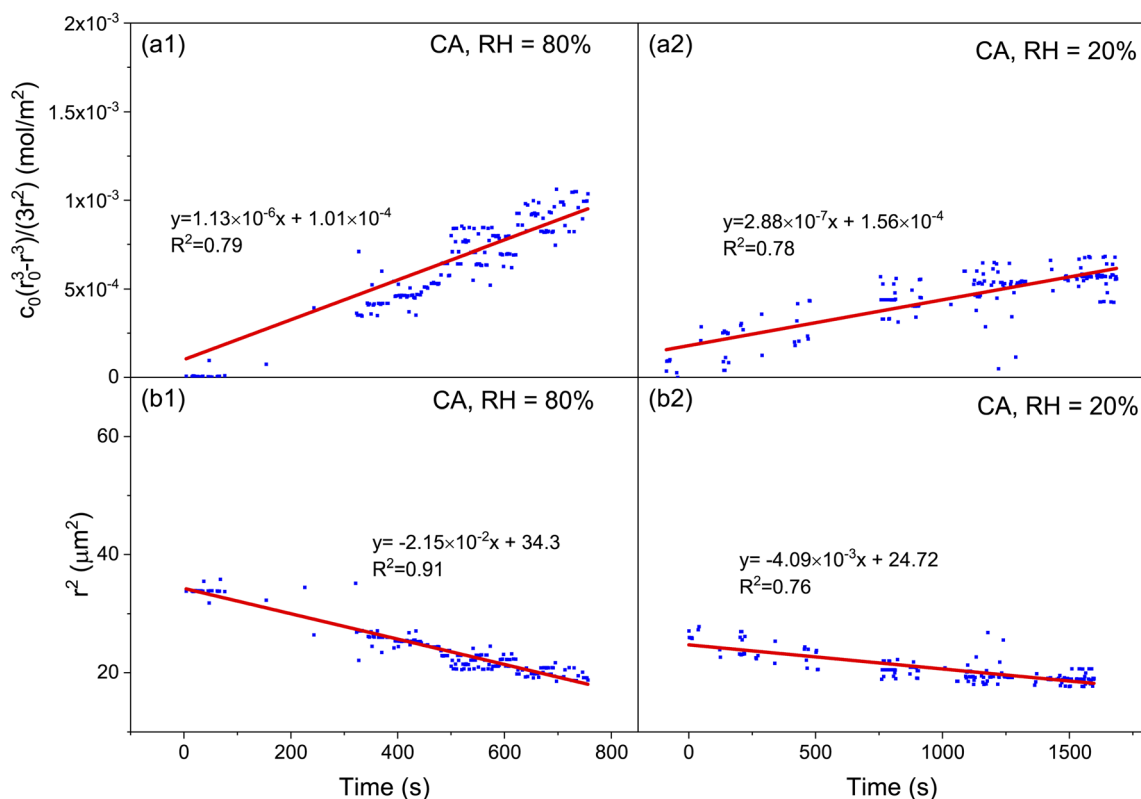


Fig. 6 The volatility of citric acid droplets at constant RH values. Relationship of $(r_0^3 - r^3)c_0/3r^2$ and t at RH = 80% (a1) and 20% (a2), which is used to measure the volatile flux. Relationship of r^2 and t at RH = 80% (b1) and 20% (b2), which is used to measure the vapor pressure. Linear regressions are plotted in red lines.



4 Conclusions

In this work, we constructed an optical tweezers system and investigated the hygroscopicity-volatility properties of various levitated inorganic/organic droplets. The system was simple and highly efficient compared with EDB-Raman and BBT. The results show that the RH has no obvious effect on the hygroscopicity of inorganic aerosol droplets such as those of sodium chloride, and its droplet radius can promptly respond to the change of ambient RH. When RH decreases, the droplet of NaCl loses water leading to a reduction of the radius and an increase of the refractive index. The hygroscopicity of organic aerosol droplets (e.g., sucrose) changes significantly at low RH, and its radial response lags far behind the change of RH, indicating that the water transfer in sucrose droplets under low RH is blocked (glass phase transition may occur). Besides, volatility of semivolatile aerosols (e.g., citric acid) was detected by measuring the volatile flux and vapor pressure. The results indicate that the volatilization flux at low RH (20%) is one order of magnitude lower than that at high RH (80%). This may be attributed to the fact that citric acid droplets undergo gel phase transition at low RH, which leads to the blockage of mass transfer.

The optical tweezing technique is subject to several caveats. One is that real aerosols have appreciable amounts of photo-sensitive substances which not only deteriorate the trapping efficiency but also mask the Raman signals. Moreover, the optical tweezers can only capture primary supermicron particles which limit their utilization in secondary submicron aerosols. Considerable work remains to be done to overcome these disadvantages.

Besides, the composition of natural aerosols is incredibly complex, and the hygroscopicity and volatility of multi-component aerosols need to be researched in the future. The lubrication of the molecular driving factors related to these properties is also imperative.

Author contributions

Yu-Kai Tong proposed the idea of the project, performed the measurements, conducted the data analysis, and led in writing the manuscript. Anpei Ye contributed to funding the research, constructed the optical tweezers system, provided instructions for the experiments and revised the manuscript. Teng Fang co-conducted the data analysis. Zhijun Wu and Min Hu were responsible for the conceptualization of aerosol droplets and discussion of methodology.

Conflicts of interest

The authors declare that they have no conflict of interest.

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