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Advances in solid-phase peptide synthesis in aqueous media (ASPPS)

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Peptides have been gaining ground in the pharmaceutical arena, with a total of 22 approvals over the last six years. These molecules are also present in antibody–drug conjugate constructs as linkers or payloads, or both. Solid-phase peptide synthesis (SPPS) is the method of choice for peptide synthesis. The introduction of an automatic synthesizer facilitated this methodology and helped in reducing the amounts of the required solvents. However, there are still concerns regarding the amounts, as well as the safety profiles, of the solvents involved in SPPS. Here, we discuss the work addressing the use of water as the greenest alternative to the common non-green solvents employed in various steps of the SPPS methodology. Various technologies were introduced which enabled the synthesis of di- and up to decapeptides in aqueous media with satisfactory yields and purities.

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1. Introduction

Peptides and proteins play key molecular roles in sustaining life.^{1,2} They are the final products of the central dogma of molecular genetics, which involves DNA transcription and mRNA translation to afford proteins³ that can be modified during or after the translation process, namely co- or post-translational modifications, respectively.⁴ Proteins and peptides are present in all living organisms and play major roles in many biological processes, including protection by acting as antibodies within the immune system, communication



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between cells, and the transport of a wide spectrum of substances through membranes. Therefore, countless studies have been carried out to gain insights into the mechanisms and principles underlying the structural and functional characteristics of biologically active proteins and peptides, which can ultimately be utilized for applications in the medicinal and pharmaceutical industries.^{5,6} Such scientific studies require the availability of pure peptides and proteins in reasonable amounts with purity. In this regard, significant research efforts have pursued such access. Generally, these molecules can be achieved by isolating them from natural sources and by using the recombinant techniques employed in biochemical protein expression and chemical synthesis, including chemical peptide synthesis and ligation, or a combination of all or some of these approaches.⁷ Beyond human peptides, medicinal chemistry has also played a key role in delivering peptides with diverse structures and applications.⁸ Interestingly, therapeutic peptides are considered a complement and, in several circumstances, more favorable alternatives to small molecules and biologics, where they provide a tolerable safety profile and can be used to address unmet medical challenges.⁹ More than 120 peptides have reached the market for the treatment of a wide spectrum of diseases, including diabetes, cancer, and HIV, among others.¹⁰ Of note, 22 peptides have been approved by the FDA over the last six years, either as peptide-based drugs or as payloads and/or linkers in antibody–drug conjugates (ADCs).¹¹

Given the above developments, there is now a strong demand for peptides for both research and production purposes. Thus, in 2018, peptides accounted for USD 25 billion in the global therapeutic market and they are expected to reach an estimated value of USD 27 billion by 2027.^{12,13} The main focus of the industrial era was to cover the needs of the popu-

lation. In contrast, the focus of current times is moving towards achieving sustainability, taking into account the 12 principles of Green Chemistry.¹⁴ The main goals of Green Chemistry are to protect humans and the environment and to comply with the legislation set out by regulatory agencies.¹⁵ Furthermore, if the circular economy (CE) is followed, Green Chemistry will be considered a truly significant improvement with respect to the cost of the overall manufacturing process (Fig. 1).^{16,17}

Solid-phase peptide synthesis (SPPS)¹⁸ is the most attractive method for preparing peptides. The 9-fluorenylmethoxycarbonyl solid-phase peptide synthesis (Fmoc-SPPS) approach¹⁹ is currently the most commonly used method for this purpose. Fmoc-SPPS is of friendlier nature in comparison with *tert*-butoxycarbonyl (Boc)-SPPS, which requires much harsher acidic treatments for the final global deprotection. For the recent advances in the SPPS field, consult the excellent review of Behrendt *et al.*²⁰

Solvents are considered the major components across all synthetic fields, but are more important in SPPS.²¹ In SPPS, the intermediates are not isolated and the only purification carried out through the elongation of the peptide chain is done by extensive washing. In general, the solvents widely used in SPPS are environmentally unfriendly.^{22–27} It involves the use of *N,N*-dimethyl formamide (DMF) and/or *N*-methyl pyrrolidone (NMP) as the main solvents for coupling and deprotection steps. A trending study performed by Ashcroft *et al.* concluded that the use of NMP increased three-fold between 1997 and 2002, while the use of DMF and DCM remained the same.²⁸

This excessive use of solvents in SPPS makes a significant contribution to industrial waste. In this context, sustainable green alternatives are highly desirable.^{13,29} Therefore, many



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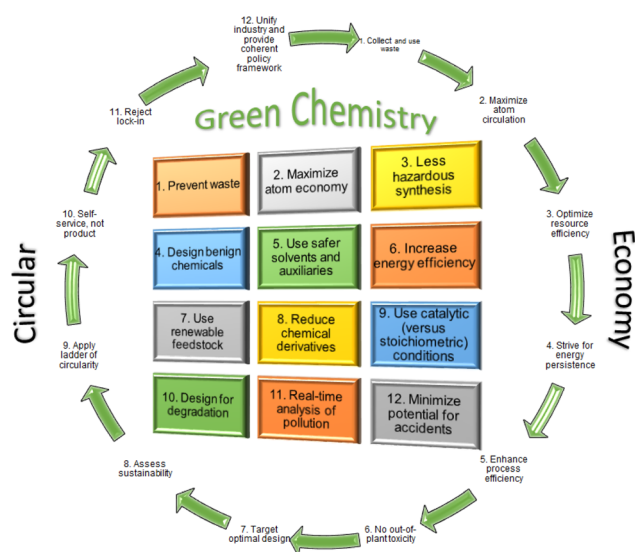


Fig. 1 The “24 principles” of green chemistry and the circular economy. Reproduced from ref. 17 with permission from the TKS publisher, copyright 2021.



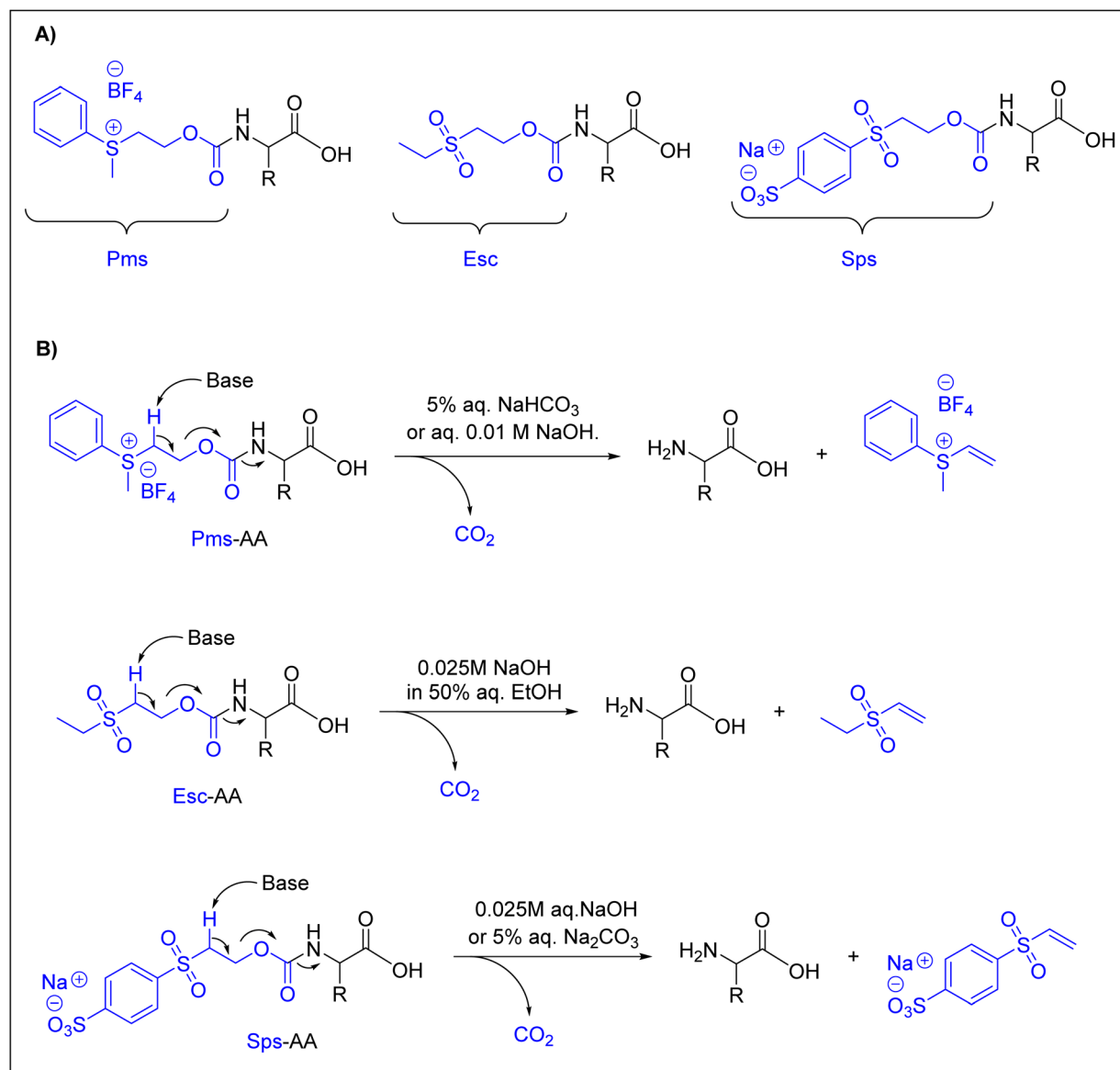


Fig. 3 (A) N^α -Amino protecting groups (Pms, Esc, and Sps) introduced by Kawasaki and co-workers. (B) Base-mediated removal of N^α -amino protecting groups. Pms = 2-[phenyl(methyl)sulfonio]ethoxycarbonyl tetrafluoroborate; Esc = *N*-ethanesulfonylethoxycarbonyl; Sps = 2-(4-sulfophenylsulfonyl)ethoxycarbonyl; aq. = aqueous.

2,7-disulfo-9-fluorenylmethoxycarbonyl (Smoc) N^α -amino protecting group, which is a derivative of the water-insoluble Fmoc group. As shown in (Fig. 4A), 2,7-disulfo-9-fluorenylmethoxycarbonyl chloride (Smoc-Cl) was synthesized by reacting 9-fluorenylmethoxycarbonyl chloride (Fmoc-Cl) with oleum ($\text{SO}_3/\text{H}_2\text{SO}_4$), with an overall yield of about 75%. Next, N^α -Smoc-protected amino acids were achieved by the reaction between N^α -unprotected amino acids and Smoc-Cl (Fig. 4B). This synthetic approach was successfully applied to all common amino acids and also to a number of important uncommon ones to obtain the desired N^α -Smoc protected amino acids in yields exceeding 85% in most cases.

As Smoc is a derivative of the Fmoc group, it follows the same base-mediated removal mechanism described for Fmoc.

The base-mediated removal of Smoc leads to the formation of the desired free amino component and the formation of a 2,7-disulfo-fulvene–base adduct as a result of the reaction between 2,7-disulfo-fulvene and the base used. Using these building blocks, Kolmar and co-workers applied ASPPS to prepare several short linear and cyclic peptides, such as acyl carrier protein (ACP) 65–74 decapeptide (10 residues), oxytocin, and vasopressin, in promising overall yields of up to ~72%. The authors⁹¹ used WSCD as a coupling reagent with different additives but found that OxymaPure was the most efficient additive, followed by HOPO. They used either the HMPB-ChemMatrix resin or H-Rink amide-ChemMatrix resin. All washing steps were performed with water and Smoc was removed with aqueous 1 M NaOH when a Rink amide-



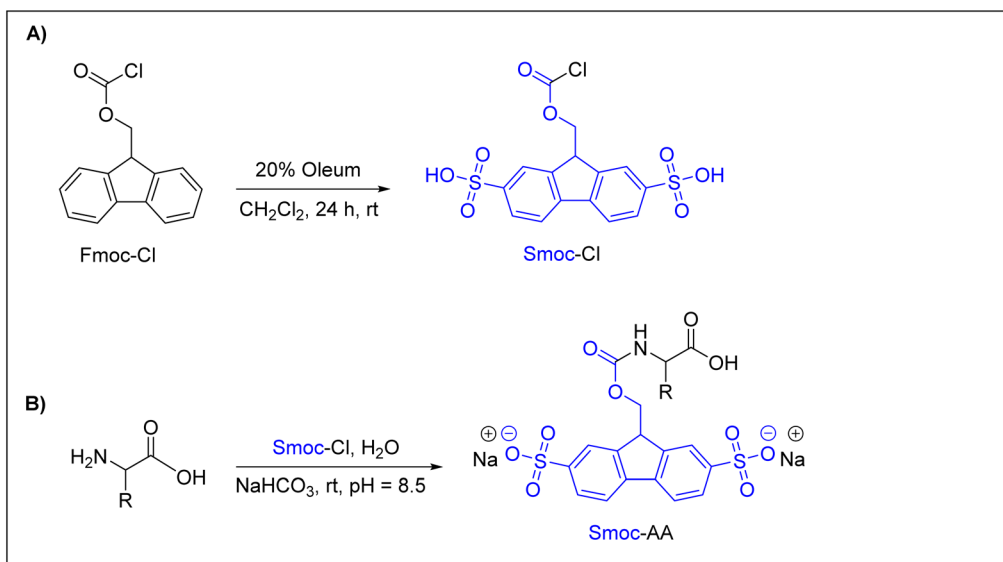


Fig. 4 (A) Preparation of Smoc-Cl, **28**. (B) Preparation of Smoc-protected amino acids **30**, Smoc-AA.

ChemMatrix resin was used and with 25% aqueous ethanol-amine when the HMPB-ChemMatrix resin was used. 5–10% aqueous piperazine was also used to remove the Smoc group from both resins. Of note, NaOH should be avoided in ASPPS if esters are applied as side-chain protecting groups or linkers.⁶² It is interesting to highlight that these authors have created a biotech company, Sulfotools GmbH, to develop its chemistry for manufacturing purposes.

4.2 Use of conventional N^α-amino protecting groups (Fmoc, Boc, Z, and N₃) in ASPPS

A sustainable alternative to the conventional SPPS approach requires the availability of water-compatible amino acid building blocks with N^α-amino protecting groups and side-chain protecting groups that are relatively polar to facilitate solubility in water.

Although commonly used Fmoc- and Boc-amino acids are sparingly water-soluble, Hojo *et al.* described ASPPS using water-dispersible Fmoc- and Boc-amino acid nanoparticles obtained *via* their innovative technology.^{63–65,107} They prepared

these nanoparticles by pulverization using a ball mill equipped with zirconium oxide beads in the presence of PEG as a dispersion agent. Fmoc-amino acid nanoparticles ranged from 250 to 500 nm while Boc-amino acid nanoparticles were between 500 to 750 nm (Fig. 5).

Using this technology, Hojo *et al.* prepared short peptides on the TentaGel resin, and the coupling was carried out using WSCD, HONB, and DIEA. The washing step was performed with water, and Fmoc was removed by treatment with 0.1 M NaOH in 90% ethanol. Parallely, two syntheses were performed, one with nanodispersed Fmoc-amino acids and a second one with the unprocessed ones. In this regard, a 67% yield of the pentapeptide was achieved with the former, while no peak was observed by HPLC while using the latter. Furthermore, the technology was also investigated in Boc-SPPS and an 89% yield of Leu-Enkephalin was achieved.¹⁰⁷ In the Boc mode, the coupling reaction was performed using DMT-MM along with NMM. The Boc group was removed with the aid of TFA and 4 M HCl in dioxane. As expected, these results can be attributed to the less hydrophobicity of Boc *vs.*

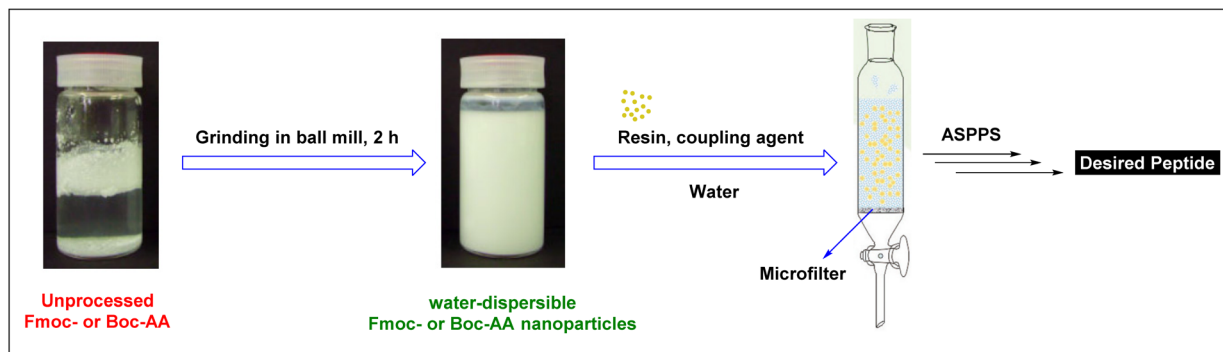


Fig. 5 Water-based SPPS using water-dispersible Fmoc- and Boc-amino acid nanoparticles.



Fmoc, hence better solubility and efficiency in aqueous settings. These authors were also able to extend this technology and synthesize more difficult longer peptides using a microwave-assisted mode.⁹⁸ However, in this case, and after testing several coupling reagents, they found that a combination of DMT-MM and NMM was the best choice.^{63,98} Furthermore, the aqueous microwave-assisted paradigm renders lower epimerization in comparison to the common paradigm with organic solvents. Provided that, in case of Cys(Acm), the epimerization was as low as 0.50% vs. 10.5%¹⁰⁸ and in the case of His(Trt); 3.3% vs. 13.8%.⁹⁹ Although this technology is promising, these authors reported the synthesis of only short peptides such as Leu-enkephalin amide, and upscaling was also limited.

Cortes-Clerget *et al.* used the carbobenzoxy group (Z) as an N^α-amino protecting group for the amino acids employed.^{40,100} They successfully prepared di- and tri-peptides in an aqueous micellar medium. They used 2% (w/w) TPGS-750-M-H₂O nanomicelles, whose core is lipophilic and can therefore accommodate the peptide synthesis reaction, as shown in Fig. 6.

On the other hand, the surface of this micelle is polar, thus enabling it to be solvated in water. This approach effectively overcomes the insolubility challenge of protected amino acids in water, hence it has been employed for synthesising decapeptide as well.⁴⁰

Grötli and co-workers reported the synthesis of Leu-enkephalin using ASPPS on Tentagel and CM resins using Boc, Fmoc and azido amino acids. After studying several coupling reagents, WSCD in combination with HNOB was found to be the best choice. Washing was performed with water and Boc was removed with 1 M HCl. Subsequent neutralization was achieved with 10% DIEA in water. Fmoc- or azido-rendered lower yields than the Boc-amino acid paradigm. This observation can be attributed to the higher hydrophilic nature of the Boc group in comparison with Fmoc or azido. Taking advantage of the microwave, the authors attempted this ASPPS with PS resins, obtaining low coupling efficiency, which was attributed to the lack of the PS resin swelling in water.⁴⁶

The superiority of microwave power was demonstrated by the relatively poor purity obtained for the same peptide using a normal heating protocol (hot plate) and the same temperature that was selected in the microwave synthesis (75 °C) was considered, in which, in case of normal heating, a higher temperature was needed to achieve comparable purity (83 °C).

The authors noticed the rapid formation of the active ester in the presence of HNOB. Thus, a short reaction time and short activation time (1 min) are recommended. HNOB undergoes a Loosen rearrangement after the protected-AA-ONB active ester is attacked by a nucleophile. A by-product with a mass of the desired peptide + 135, which corresponded to a β-alanine derivative, was detected during Leu-enkephalin synthesis in water using WSCD along with HNOB as an additive.

Table 1 Recommended side-chain protecting groups for Fmoc- and Smoc-SPPS

Amino acid	Fmoc strategy ^a	Smoc strategy ^a	Protection ^b
Asp (D)	<i>t</i> -Bu	<i>t</i> -Bu	Mandatory
Glu (E)	<i>t</i> -Bu	<i>t</i> -Bu	Mandatory
Trp (W)	Boc	Boc	Optional
Ser (S)	<i>t</i> -Bu	<i>t</i> -Bu	Optional
Thr (T)	<i>t</i> -Bu	<i>t</i> -Bu	Optional
Tyr (Y)	<i>t</i> -Bu	<i>t</i> -Bu	Optional
Cys (C)	Trt, Acm	Trt	Mandatory
His (H)	Trt	Trt	Optional
Lys (K)	Boc	Boc	Mandatory
Arg (R)	Mtr, Pmc, Pbf	—	Optional
Asn (N)	Trt	—	Optional
Gln (Q)	Trt	—	Optional

^a *t*-Bu = *tert*-butyl; Boc = *tert*-butyloxycarbonyl; Trt = Trityl; Acm = acetamidomethyl; Mtr = 4-methoxy-2,3,6-trimethyl-benzenesulfonyl; Pmc = 2,2,5,7,8-pentamethylchroman-6-sulfonyl; Pbf = 2,2,4,6,7-pentamethyl-dihydrobenzofuran-5-sulfonyl. ^b Side-chain protection is mandatory for these amino acids.

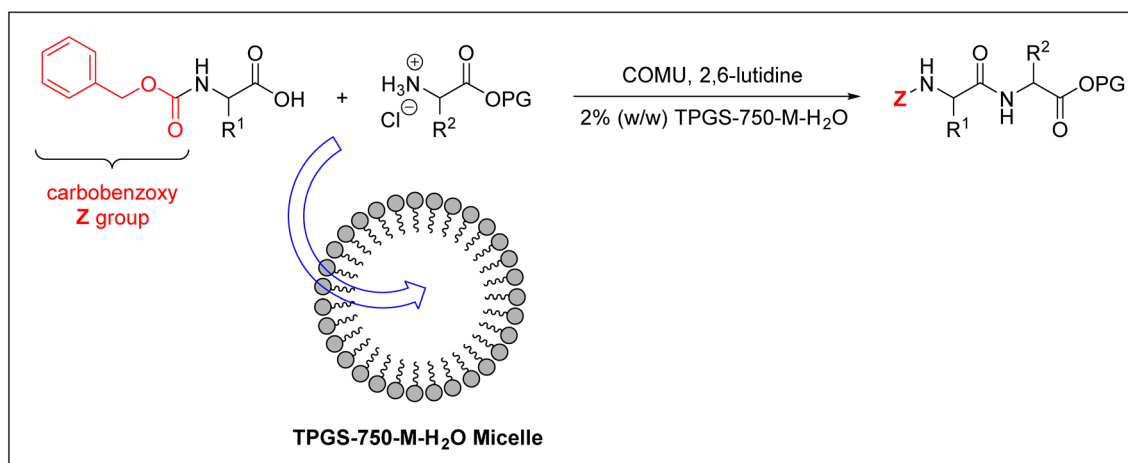


Fig. 6 Peptide synthesis inside TPGS-750-M-H₂O micelles. COMU = (1-cyano-2-ethoxy-2-oxoethylideneaminoxy)dimethylamino-morpholinocarbenium hexafluorophosphate; PG = protecting group.



Table 2 Selected peptides synthesized by the ASPPS approach

Entry	Peptide sequence	Peptide size	Synthesis strategy	Resin	Solvent	Washing	Cleavage	Ref.
1	Z-PL-OEt	2	Nano-micelles	TPGS-750-M (Surfactant)	THF : Water (10% : 90%)	-1 M HCl -aq. Na ₂ CO ₃	—	40 and 100
2	Z-AFL-OEt	3	Nano-micelles	TPGS-750-M (Surfactant) Rink amide-ChemMatrix	THF : Water (10% : 90%) Water	-1 M HCl -aq. Na ₂ CO ₃ Water	—	100
3	Smoc-VVIA-NH ₂	4	Smoc-SPPS	Rink amide-ChemMatrix	Water	Water	95% TFA	62
4	H-YGGFL-NH ₂ (Leu-Enkephalin amide)	5	Smoc-SPPS	Rink amide-ChemMatrix	Water	Water	96% TFA	62
5	H-YGGFM-OH (Met-Enkephalin)	5	Smoc-SPPS	HMPB-ChemMatrix resin	MeCN : H ₂ O (30% : 70%)	Water	20% HFIP (in DCM)	62
6	H-YGGFL-NH ₂ (Leu-Enkephalin amide)	5	Fmoc-SPPS	TentaGel S	PolarClean : Water (20% : 80)	i-PrOH	90% TFA	41
7	H-YGGFL-NH ₂ (Leu-Enkephalin amide)	5	Esc-SPPS	Rink amide-PEG resin	Water	Water	94% TFA	94 and 96
8	H-YGGFL-NH ₂ (Leu-Enkephalin amide)	5	Pms-SPPS	PEG-grafted Rink amide resin	Water	Water	94% TFA	92
9	H-YGGFL-NH ₂ (Leu-Enkephalin amide)	5	Sps-SPPS	Rink amide-TentaGel resin	EtOH : H ₂ O (50% : 50%)	Water	94% TFA	91
10	H-YGGFM-NH ₂ (Met-enkephalin amide)	5	Pms-SPPS	CLEAR resin	0.5% Triton X in water	Water	94% TFA	93
11	H-Y(p-A)GFL-OH (Leuphasyl)	5	Smoc-SPPS	HMPB-ChemMatrix	MeCN : H ₂ O (20% : 80%)	Water	1% TFA	62
12	H-YGGFL-NH ₂ (Leu-Enkephalin amide)	5	Fmoc-SPPS (using water-dispersible nanoparticulate Fmoc-amino acids)	Rink amide TentaGel resin	Water	-Water -EtOH : H ₂ O (50% : 50%)	92% TFA	97 and 98
13	H-VAVAG-OH	5	Boc-SPPS (using water-dispersible Boc-amino acids)	HMBA-PEG grafted resin	Water	0.05 M NaHCO ₃ solution (Neutralization), followed by washing with H ₂ O	0.5 M NaOH	63 and 64
14	H-YGGFL-OH (Leu-Enkephalin)	5	Boc-SPPS (using water-dispersible Boc-amino acids)	HMBA-PEG grafted resin	Water	0.05 M NaHCO ₃ solution (Neutralization), followed by washing with H ₂ O	0.5 M NaOH	64
15	H-YGGFL-OH (Leu-Enkephalin)	5	Boc-SPPS (Using microwave-assisted heating)	HZB-NovaGel	Water	-Water -0.5% Triton-X100 (aq)	Cu(OAc) ₂ ^a pyridine H ₂ O	46
16	H-YHTVGR-NH ₂ (Neuropeptide W-30 (10–15))	6	Fmoc-SPPS (using water-dispersible nanoparticulate Fmoc-amino acids and microwave-assisted heating)	Rink amide-PEG grafted resin	Water	Water then DMF	94% TFA	99
17	H-Y(p-A)FGYPS-NH ₂ (Dermorphinamide)	7	Fmoc-SPPS (using water-dispersible nanoparticulate Fmoc-amino acids)	Rink amide TentaGel resin	Water	-Water -EtOH : H ₂ O (50% : 50%)	92% TFA	98
18	H-RRRRRR-NH ₂ (heptaarginine cell-penetrating peptide)	7	Smoc-SPPS	Rink amide-ChemMatrix	MeCN : H ₂ O (30% : 70%)	Water	95% TFA	62
19	Z-(p-F)PV-Orn(Boc)L(p-F)PV-OMe	8	Nano-micelles	TPGS-750-M (Surfactant)	THF : Water (10% : 90%)	-1 M HCl -aq. Na ₂ CO ₃	—	40 and 100



Table 2 (Contd.)

Entry	Peptide sequence	Peptide size	Synthesis strategy	Resin	Solvent	Washing	Cleavage	Ref.
20	Vasopressin	9	Smoc-SPPS	Rink amide-ChemMatrix	MeCN : H ₂ O (30% : 70%)	Water	90% TFA	62
21	Oxytocin	9	Smoc-SPPS	Rink amide-ChemMatrix	MeCN : H ₂ O (30% : 70%)	Water	90% TFA	62
22	H-VQAAIDYING-NH ₂ ((ACP) 65–74)	10	Smoc-SPPS	Rink amide-ChemMatrix	MeCN : H ₂ O (50% : 50%)	Water	95% TFA	62
23	Z-(D-F)PV-Orn(Boc)L(D-F)PVOrn(Boc)L-OMe	10	Nano-micelles	TPGS-750-M (Surfactant)	THF : Water (10% : 90%)	-1 M HCl -aq. Na ₂ CO ₃	—	40 and 100
24	H-VQAAIDYING-NH ₂ ((ACP) 65–74)	10	Fmoc-SPPS (using water-dispersible nanoparticulate Fmoc-amino acids)	Rink amide TentaGel resin	Water	-Water -EtOH : H ₂ O (50% : 50%)	92% TFA	98

^a Cleavage cocktail is composed of 0.5 eq. of Cu(OAc)₂, 10 eq. of pyridine and 5 eq. of H₂O. CLEAR = Crosslinked ethoxylate acrylate resin; MeCN = Acetonitrile; i-PrOH = Isopropylalcohol, D-A = D-Alanine; Smoc = 2,7-disulfo-9-fluorenylmethoxycarbonyl; Esc = N-ethanesulfonyl/ethoxycarbonyl; HZB-NovaGel = Hydrazinobenzoyl NovaGel; PEG = Poly(ethylene glycol); Pms = 2-[phenyl(methyl)sulfonio]ethylloxycarbonyl tetrafluoroborate; Sps = 2-(4-sulfophenylsulfonyl)ethoxycarbonyl; Orn(Boc) = Boc-protected Ornithine amino acid; HFIP = Hexafluoroisopropanol, TFA = Trifluoroacetic acid.

Recently, by applying SPPS, Pawlas and Rasmussen used a binary mixture consisting of water–PolarClean (4 : 1) to prepare Leu-enkephalin amides, achieving up to 86% purity and 85% conversion.¹⁰⁹

Interestingly, these values were obtained by considering as low as 1.3 eq. of amino acids. They considered TentaGel S resin, TCFH and 2,4,6-collidine as the model resin, coupling reagent, and base, respectively. Moreover, they recycled the waste with the aid of a mixed ion exchange resin. They were able to reuse the recycled solvent in further syntheses, achieving purity and yields compared to those obtained from the virgin solvent.

4.3 Side-chain protecting groups used in ASPPS

Among the common 20 α -amino acids, only 12 should be considered in terms of side-chain protection; His, Arg, Lys, Asp, Glu, Trp, Cys, Ser, Thr, Tyr, Asn, and Gln. However, not all of these 12 amino acids require a mandatory protecting group. For instance, Trp, Asn, and Gln can be incorporated without side-chain protection, and even Ser, Thr, and Tyr don't require protection in the so-called minimal protection scheme.^{110–112} To date, ASPPS approaches have used the conventional side-chain protecting groups employed in Fmoc- and Boc-SPPS strategies. Knauer *et al.*⁶² found that only the side chains of four amino acids should be protected in the course of a water-based Smoc-SPPS approach. Provided that Hojo and co-worker have considered Pms-Tyr-OH and Esc-Tyr-OH with a free side chain and successfully synthesised Met-Enkephalin and Leu-Enkephalin, respectively.^{94,95}

Indeed, Lys, Glu, Asp and Cys need side-chain protection under aqueous conditions. However, such protection is recommended for other amino acids (His, Arg, Trp, Ser, Thr, Tyr, Asn, and Gln) to overcome potential challenges associated with unprotected side chains. Table 1 lists the recommended side-chain protecting groups for Fmoc-SPPS, and Smoc-SPPS and highlights the mandatory and optional protection possibilities. As appreciated, Smoc employs side-chain protecting groups similar to Fmoc-SPPS.

5. Examples of peptides synthesized by following the ASPPS strategy

Table 2 summarizes various peptides synthesised by following the ASPPS along with the resin used, washing solvent and the cleavage protocol.

As it can be noticed from Table 2, ASPPS is able to deliver various peptides from 2-mer to 10-mer in length. Provided that some syntheses showed lower racemization than those carried out in common organic solvents.^{99,108} Moreover, with the minimal protection strategy, the amount of TFA could be lowered as well leading to higher productivity and reducing the unnecessary consumption of solvents and the generated waste afterwards.^{94,95,112}



6. Conclusion and outlook

In the context of making SPPS greener, various groups have investigated the use of water as the principal solvent in the so-called ASPPS. As a starting point, reagents and strategies already available in the conventional SPPS toolbox have been used with some adaptation to the presence of water. Thus, from the resin perspective, PEG-based resins such as ChemMatrix, PEG-PS, TentaGel, and PEGA emerge as the most promising options. However, the recently described Li-resin,¹¹³ which is a fiber-based polyacrylamide resin with excellent swelling capacity in water, could provide an optimal alternative to PEG-based resins. All the above-mentioned resins are microporous and show good swelling in solvents used in SPPS. However, it would be interesting to address the use of solid supports that do not swell in any solvent. Regarding the coupling cocktail for ASPPS, while EDC-HCl emerges as the reagent of choice, the search for a more water-friendly additive is recommendable. While Sulfo-HOSu is water-compatible, HOSu derivatives are known to show poor reactivity compared with other additives.¹¹⁴ Thus, the use of glyceracetone-Oxyma, which is the water-compatible version of OxymaPure, deserves further attention. To date, up to decapeptides have been synthesized using this reagent and Z-, Boc-, Fmoc-, and Ac-amino acids.¹¹⁵ Furthermore, the development of some stand-alone water-compatible coupling reagents should be pursued. In this regard, a major transformation of the full protection scheme should be attempted. The pioneering work of Kawasaki and co-workers, followed by Hojo, and finally by Kolmar should materialize in the development of water-compatible side-chain protecting groups. Of course, the protection of the α -amino protecting group should be further evaluated and an acid-labile group may be relevant. This strategy would imply the development of side-chain protecting groups and linkers that are labile through another chemical mechanism. It is important to have in consideration that some research groups have proved the applicability of the so-called “minimal protection approach” in ASPPS as well.^{94,95} It is also important to highlight that the implementation of techniques and strategies from nanotechnology should continue.

In conclusion, although progress has been made in ASPPS, there is still considerable scope for both the optimization of current methodologies and the development of new approaches.

Conflicts of interest

There are no conflicts to declare.

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