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A critical analysis of the α , β and γ phases in poly(vinylidene fluoride) using FTIR†

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Poly(vinylidene fluoride) (PVDF) has been widely utilized in scientific research and the manufacturing industry for its unique piezoelectric properties. In the past few decades, the vibrational spectra of PVDF polymorphic polymers *via* FTIR (Fourier transform infrared spectroscopy) have been extensively investigated and documented. However, reports on the analysis of α , β and γ phases often have conflicting views based on measured data. In this work, we analyze the FTIR vibrational bands of PVDF materials fabricated by different processes with detailed XRD (X-ray diffraction) characterization to identify the structural α , β and γ phases. By examining the results in this work and extensively reviewing published research reports in the literature, a universal phase identification procedure using only the FTIR results is proposed and validated. Specifically, this procedure can differentiate the three phases by checking the bands around 763 and/or 614, 1275, and 1234 cm^{-1} for the α , β and γ phases, respectively. The rule for assignment of the 840* and 510* cm^{-1} bands is provided for the first time and an integrated quantification methodology for individual β and γ phase in mixed systems is also demonstrated.

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Introduction

Since the discovery of piezoelectricity in poly(vinylidene fluoride) (PVDF),¹ strong interest has been focused on the processing of the semi-crystalline polymer^{2–6} and at least five distinct polymorphs, α (TGTG', form II), β (TTTT, form I), γ (T₃GT₃G', form III), δ and ϵ have been constructed depending on the molecular chain conformation during the fabrication processes. Processing parameters, such as mechanical, thermal, electrical and chemical treatments all affect the final PVDF properties, including but not limited to electroactivity, dielectric and mechanical properties, antifouling behavior, and evoking cellular behavior.^{7–12} Although FTIR has been widely used in characterizing PVDF,^{13–23} reports in the existing literature (sometimes by the same authors) have many conflicting characterization results based on FTIR results (Table 1), especially in the electroactive β and γ phases. Two main reasons have resulted in this divergence. First, many authors directly assigned the FTIR bands at around 840 and 510 cm^{-1} to the β and/or γ phases without providing sufficient

evidence.^{12,16–18,24–33} Second, several bands exclusive to the β and γ phases in the wavenumber range of 400–1500 cm^{-1} (or smaller range) have seldom been taken into consideration previously.

Furthermore, the relative amounts of the electroactive β and γ phases have been quantified in the works of Gregorio *et al.*²⁴ and Lopes *et al.*,³⁴ for samples containing only two-phase of α and β ; or α and γ without considering the cases for three phases (α , β , and γ), or the β and γ two-phase systems. Although a recent report has proposed the quantification of β and γ phases system,³⁵ the procedure is rather complex. In the present contribution, the procedure for the identification of α , β and γ phases using the FTIR vibrational spectrum is proposed and demonstrated with an integrated quantification methodology for individual β and γ phase for PVDF materials made of various α , β and γ phase compositions. Both FTIR and XRD data have been utilized to validate and identify the phases of various PVDF polymeric systems, because the nonelectroactive α phase and the electroactive β phase can be clearly identified by FTIR and XRD, respectively.^{4,5,20}

Procedure for phase identification

By sorting out more than 100 prior publications, the FTIR absorption peaks for the three main α , β and γ polymorphs of PVDF can be classified into three major categories: (1) common peaks that appear in all three phases; (2) exclusive peaks that only appear in one of the three phases; and (3) dual peaks that could come from two different phases. In general, spectrum peaks around 881, 1071, 1176 and 1401 cm^{-1} with high

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Table 1 Divergence in the assignment of some typical bands^a

Wave number (cm ⁻¹)	Crystalline phase	Reference
431	β	23, 36–38
	γ	4, 18, 22, 24, 29, 39–41
	β + γ	28
482	β	42–45
	γ	14–15, 17, 20, 22, 23 and 46
510	α	47–49
	β	12, 14, 16, 17, 19, 28, 40, 50–52
	γ	24, 53–56
840	β + γ	35, 39, 41, 42, 57–60
	α	47 and 48
	β	12, 16, 17, 24, 26, 27, 51, 52, 61–67
881	γ	15, 68–72
	β + γ	4, 9, 15, 18, 28, 35, 39, 57, 58, 60, 73–76
	β	77
1071	γ	48, 51 and 72
	β + γ	60 and 78
	α + β	62
	α + β + γ	73
	α	79
1176	β	14, 79–84
	β + γ	60
	α + β + γ	21, 22, 46, 65, 73, 74 and 85
	α	47, 48, 86–88
1234	β	34, 52, 62 and 86
	γ	42
1275	β + γ	14 and 60
	β	36, 37, 89–94
	γ	9, 15, 19, 21, 22, 57, 65, 73, 76, 95–99
1401	β + γ	18, 42 and 58
	β	14–15, 19, 22, 23, 42, 73, 76, 94, 96–98 and 100
	γ	71 and 72
1431	β	77, 84, 86–88
	γ	48
	β + γ	60
	α	79, 101 and 102

^a Note: the assignment of some other bands such as 833 and 1431 cm⁻¹ is also in dispute.

intensity were previously used to characterize the crystal phases in some papers (see Table 1). However, peaks in the range of 876–885, 1067–1075, 1171–1182 and 1398–1404 cm⁻¹ have similar characteristics in samples of α-, β- and γ-phases or other mixed systems.^{19,21,22,46,65,66,73,74,100,103} In other words, these are common peaks that appear in all three phases. The exclusive peaks can be used to identify the corresponding crystalline phases, whereas the dual peaks may be the results of experimental uncertainty for small wavenumber shifts (typically within 2 cm⁻¹), or truly different phases depending on experimental conditions. Specifically, the exclusive peaks for the α phase (characteristic bands of the α phase) are around at 410, 489, 532, 614, 763, 795, 854, 975, 1149, 1209, 1383 and 1423 cm⁻¹; the exclusive peaks for the β phase are around at 445, 473 and 1275 cm⁻¹; and the exclusive peaks for the γ phase are around at 431, 482, 811 and 1234 cm⁻¹. In contrast, the peaks in

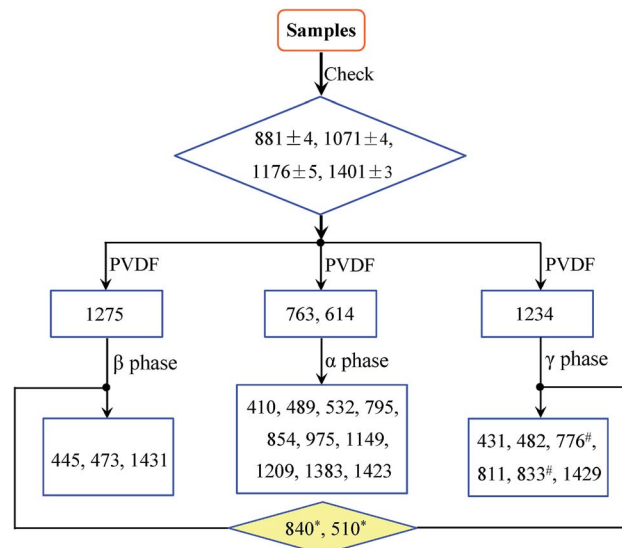


Fig. 1 Flow diagram for the identification of α, β and γ phases; 840* and 510* represents bands in the range of 837–841 and 508–512 cm⁻¹, respectively; 776# and 833# reflects possible variations based on specific processes.

the range of 837–841 and 508–512 cm⁻¹, although may appear in many different samples, the absorbance for the β- and γ-phase is much stronger than that of the α-phase. These two peaks (below using 840* and 510* cm⁻¹ for simplicity) can therefore be used to characterize the electroactive β and/or γ phases (detailed in assignment of the 840* and 510* cm⁻¹ bands). Although the β and γ phases show very close peaks in the range of 1428–1432 cm⁻¹, various results support that the peaks at 1431 and 1429 cm⁻¹ can be used as the characteristic bands of the β and γ phases, respectively.^{20,80,85,104,105} The 600 cm⁻¹ band that was previously assigned to the β-phase by some authors,^{17,38,101,106} should not be used to characterize PVDF as this band is commonly showed up in many samples, including α-phase ones, due to the other intensive peak around at 613 cm⁻¹.^{11,12,16,18,20,29,31,46,51,107,108} It is noted that the 776 and 833 cm⁻¹ bands exclusively shown in high temperature crystallization γ-phase,^{18,68,109} are rather seldom observed in other γ-phase domination samples.^{14,19,40,42,55,69,70,85}

Since the amounts of the three phases can be in any percentage, establishing a universal but simplified procedure to trace these phases is therefore of great significance. It is found that the bands around at 763 and/or 614, 1275, and 1234 cm⁻¹ can be consistently used to differentiate and identify the α, β and γ phases, respectively. Therefore, the procedure for the identification of α, β and γ phases can be summarized as illustrated in Fig. 1.

Experimental

Polymer solutions

PVDF (D692, from Shanghai Sensure Chemical Co. Ltd, with a molecular weight of 625 000) powders were dissolved in *N*-methyl-2-pyrrolidinone (NMP) and acetone to prepare the



polymer solutions comprising 8 and 12 wt% PVDF with various NMP/acetone volume ratios ($V_{\text{NMP}}/V_{\text{acetone}}$). All chemicals and solvents were used directly without further purification. Experimentally, the polymer solution was obtained by continuously stirring the mixture at 50 °C for a few hours in a Parafilm-sealed frosted glass bottle until transparent, and then degassed to remove bubbles for the membrane preparation.

Membrane preparation

PVDF nanofibrous membranes were fabricated by electrospinning technique reported elsewhere.¹¹⁰ Non-nanofibrous membranes were prepared either by casting or spin coating onto RCA-cleaned silicon wafers at room temperature and some of them were further treated by a stretching process. Specifically, electrospinning experiments were performed in indoor atmosphere with fixed tip-to-collector distance of 10 cm and applied voltage of 7.5 kV, while casting and spin coating experiments were done in a clean room. All polymer membranes were dried at room temperature or in a temperature-controlled oven for the subsequent characterizations.

Characterization techniques

Infrared spectra of the above polymer membranes and the raw PVDF powder were taken *via* a Thermo Scientific Nicolet iS50 FTIR spectrometer in the range of 400–1500 cm^{-1} with a resolution of 2 cm^{-1} , where the transmission mode was adopted for most samples except the cast membranes in the ATR (attenuated total reflection) mode. XRD results were obtained using a PANalytical X'Pert PRO X-ray diffractometer with $\text{Cu-K}\alpha$ radiation (wavelength 0.154 nm) operated at 40 kV and 30 mA. The samples were scanned in the 2θ range of 10° to 45° with a step interval of 0.0167°.

Results and discussion

XRD and FTIR analyses of raw PVDF powder

It is well known that in typical PVDF samples the crystalline content is no more than 50–60%. However, there is still no concrete information how the amorphous part of PVDF influences the XRD and IR spectra. Therefore, the discussion in this work concentrates on the crystalline phase, especially on the most common α , β , and γ phases. As shown in the XRD characterization in Fig. 2(A), the PVDF powder is mainly made of the α phase, as evidenced by two intensive diffraction peaks at 18.4 and 20.0° and a medium peak at 26.6°, corresponding to 020, 110 and 021 reflections of the monoclinic α -phase crystal, respectively.^{20,111–113} It is noted from Fig. 2(A) that the powder also presents a peak at 20.6° (inset) corresponding to 110/200 reflection of the orthorhombic β -phase and four weak peaks at 33.2, 35.9, 38.8, and 41.1° corresponding to 130, 200, 002, and 111 reflection of the monoclinic α -phase, respectively.^{94,112,114} The corresponding FTIR spectrum shown in Fig. 2(B) is in good agreement with the XRD results to indicate strong α -phase crystal based on the scheme in Fig. 1. Specifically, there are two intensive peaks at 763 and 614 cm^{-1} (characteristics of the α phase) with a weak peak at 1275 cm^{-1} exclusive for the β phase and the

absence of the peak at 1234 cm^{-1} exclusive for the γ phase (inset). Hence, it can be concluded that strong α -phase with some traces of β phase coexists in the neat PVDF powder as evidenced by the small peaks at 1275, 841 and 510 cm^{-1} for the β phase.

Electrospun nanofibrous membranes

As demonstrated in previous reports, PVDF nanofibers produced by the electrospinning technique are in favor of β phase due to the large mechanical elongation and strong electrical field during this process.^{64,101,115} However, different phases can also be constructed by tuning electrospinning parameters as evidenced from the XRD characterizations in Fig. 3(A) with enlarged views of two key areas of 17–22° and 35–42° as shown in Fig. 3(B), where three types of electrospun membranes have been constructed: (a) 12 wt% PVDF, $V_{\text{NMP}}/V_{\text{acetone}} = 9/1$, under a flow rate of 60 $\mu\text{L h}^{-1}$ and collected at 60 °C (black curves); (b) 12 wt% PVDF, $V_{\text{NMP}}/V_{\text{acetone}} = 9/1$, under a flow rate of 2000 $\mu\text{L h}^{-1}$ and collected at room temperature (red curves); and (c) 12 wt% PVDF, $V_{\text{NMP}}/V_{\text{acetone}} = 5/5$, under a flow rate of 60 $\mu\text{L h}^{-1}$ and collected at room temperature (blue curves). It is found that the type (a) membrane is mainly in α phase as indicated by the presence of two intensive peaks at 18.4 and 20.0° with two weak peaks at 26.6 and 35.9°, similar to that of the raw PVDF powder in Fig. 2(A), corresponding to 020, 110, 021 and 200 reflections of the monoclinic α -phase crystal.^{20,111,112,114} The crystalline phase of the type (b) membrane is mainly in γ phase as XRD exhibits a strong peak at 20.3° and two medium peaks around 18.5 and 39.0°, which are diffraction peaks on planes (110/101), (020), and (211) of monoclinic γ -phase crystal, respectively. Several prior reports have also described the formations of γ phase by using the electrospinning process.^{19,20,61,64,74} On the other hand, the type (c) membrane shows a very strong diffraction peak at 20.6° and a weak peak at 36.3° and can be categorized as mainly dominated in β phase.^{20,111,112,114}

Fig. 3(C) shows spectra results from FTIR and Fig. 3(D) shows the enlarged views of three areas around 510, 840, and 1430 cm^{-1} . Specifically, if one follows the scheme in Fig. 1, the black curve for membrane (a) shows strong α phase signal as it has intensive peaks at 763, and 614 cm^{-1} (characteristics of the α phase) without clear peaks at 1276 cm^{-1} (exclusive for the β phase) and 1233 cm^{-1} (exclusive for the γ phase). The red curve for membrane (b) shows strong γ phase signal as it has the clear peak at 1233 cm^{-1} without peaks at 614/763 cm^{-1} (exclusive for α phase) or 1276 cm^{-1} (exclusive for β phase). Furthermore, one can also identify some of the characteristic bands of the γ phase at 482, 812, and 1429 cm^{-1} as labeled in Fig. 3(D). The blue curve for membrane (c) shows strong β phase signal as it has the clear peak at 1276 cm^{-1} (exclusive for β phase) without peaks at 614/763 cm^{-1} (exclusive for α phase) or 1233 cm^{-1} (exclusive for γ phase). Furthermore, one can also identify some of the characteristic bands of β phase are at 473 and 1431 cm^{-1} (Fig. 3(D)). It is not surprising that the 1233 cm^{-1} band also appears weakly since the relaxation process ($\beta \rightarrow \gamma$) normally occurs in the formation of electrospun PVDF samples.¹¹⁶ It is noted that characteristic bands of α , β and γ



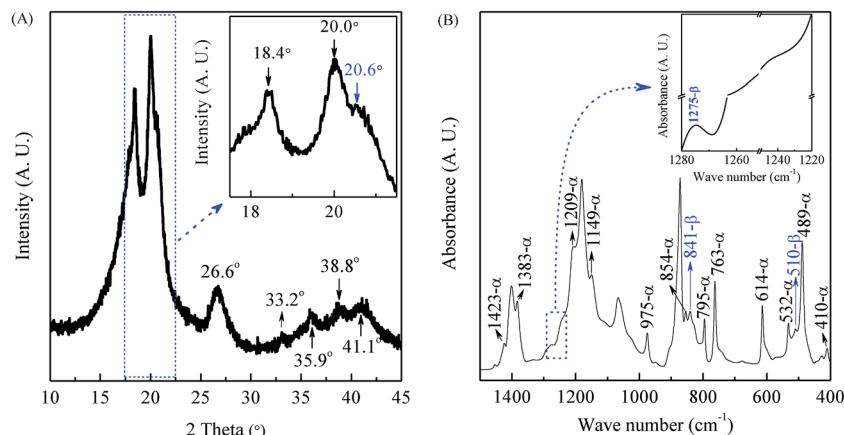


Fig. 2 XRD pattern (A) and FTIR spectrum (B) of the raw PVDF powders.

phases in the 400–460 cm^{-1} range have many experimental uncertainties, thus these bands have been seldom reported in the characterizations of electrospun PVDF previously.^{19,101,115}

Solution-cast membranes

Unlike electrospun membranes, the crystalline phase of membranes prepared by the solution casting method without experiencing any mechanical stretching is closely related to the crystallization rate of the solution. Numerous works have demonstrated that low-temperature solution crystallization ($T < 70\text{ }^\circ\text{C}$) resulted in α or γ phase or their mixture, depending on the type of solvents,^{13,14,22,39,69,85,96,102,112} while some other works

reported β phase under similar conditions.^{18,24,28} Here, a large number of casting experiments have been conducted by changing the solution concentration, solvent component, and the crystallization temperature with detailed characterizations.

The XRD patterns and FTIR spectra of membranes cast from 8 wt% PVDF solution with $V_{\text{NMP}}/V_{\text{acetone}}$ at 9/1 but dried and processed at different temperatures are shown in Fig. 4. It is found that the samples dried at 150 (black curves) and 40 $^\circ\text{C}$ (red curves) are dominated by the α and γ phases, respectively, as evidenced by the corresponding two intensive diffraction peaks at 18.4 and 19.9 $^\circ$ and a remarkable peak at 26.6 $^\circ$ (black curve in Fig. 4(A)), and the corresponding two dull peaks at 18.5 and 20.2 $^\circ$ and a remarkable peak at 39.0 $^\circ$ (red curve in Fig. 4(A)). On the other hand, the sample dried at 40 $^\circ\text{C}$ and further mechanically drawn at 80 $^\circ\text{C}$ with a draw ratio of 4 is dominated by the β phase, as revealed by a very strong diffraction peak at 20.6 $^\circ$ (inset in the blue curve in Fig. 4(A)). The draw velocity used was 3 mm min^{-1} and the draw ratio was the ratio of the final and initial sample length. Using the scheme in Fig. 1, one can also use the FTIR spectra in Fig. 4(B) to characterize these films. First, the black curve in Fig. 4(B) has strong peaks at 614 and 763 cm^{-1} and should be strong in α phase; the red curve has a good peak at 1232 cm^{-1} and should be strong in γ phase; and the blue curve has a good peak at 1275 cm^{-1} and should be strong in β phase – these correspond very well with the XRD results. One can also check some of the characteristic bands in the three curves in Fig. 4(B) following the scheme in Fig. 1 for α , β and γ phase, respectively, with good agreements. For example, the 447, 472 and 1431 cm^{-1} bands all show up in the blue curve c in Fig. 4(B) as the strong supporting evidence for the β phase crystal. It is noted that the 1234 cm^{-1} band also appears as a tiny peak in this blue curve in Fig. 4(B), since the relaxation process ($\beta \rightarrow \gamma$) often occurs in stretched PVDF samples.¹¹⁶ Interestingly, it is also noted that the spin-coated PVDF films have very similar XRD and FTIR spectra with those of the cast films (Part I in ESI[†]).

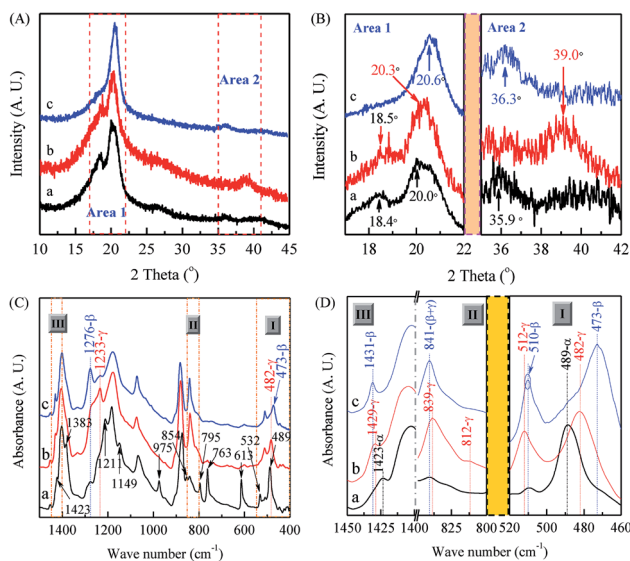


Fig. 3 XRD patterns (A) & (B) and FTIR spectra (C) & (D) of three types of electrospun PVDF membranes: curve 'a' (black color) is sample made from 12 wt% PVDF, $V_{\text{NMP}}/V_{\text{acetone}} = 9/1$, under a flow rate of 60 $\mu\text{L h}^{-1}$ and collected at 60 $^\circ\text{C}$; curve 'b' (red color) is sample made from 12 wt% PVDF, $V_{\text{NMP}}/V_{\text{acetone}} = 9/1$, under a flow rate of 2000 $\mu\text{L h}^{-1}$ at room temperature; curve 'c' (red color) is sample made from 12 wt% PVDF, $V_{\text{NMP}}/V_{\text{acetone}} = 5/5$, under a flow rate of 60 $\mu\text{L h}^{-1}$ at room temperature.

Assignment of the 840* and 510* cm^{-1} bands

From the literature survey, the 840* and 510* cm^{-1} bands have often yielded conflicting conclusions in assigning crystal



from Fig. 6 that the β and γ phases coexist in these samples, as evidenced by the simultaneous presence of the 1275 and 1234 cm^{-1} bands. According to the inset figures, higher NMP/acetone ratio results in the increment and decrement of the absorption intensities of the 1275 and 1234 cm^{-1} bands (left inset), respectively. A corresponding red shift from 479 to 475 cm^{-1} (from the closest γ phase band of 482 cm^{-1} to the closest β phase band of 473 cm^{-1}) is observed for the peaks in the range of 473–482 cm^{-1} (right inset). These observations reveal that the higher absorption intensity of the 1275 and 1234 cm^{-1} bands implies more β and γ crystals, respectively. Hence, the quantification of individual β and γ phases could be performed by using the absorbance (peak area or peak height) of the two bands (1275 and 1234 cm^{-1}). However, a much more preferable method is proposed by calculating the peak-to-valley height ratio (P2VHR) between the peaks around at 1275 and 1234 cm^{-1} and their nearest valleys (Part II in ESI[†]), as demonstrated in equations of (2a) and (2b), respectively.

$$F(\beta) = F_{\text{EA}} \times \left(\frac{\Delta H_{\beta'}}{\Delta H_{\beta'} + \Delta H_{\gamma'}} \right) \times 100\% \quad (2a)$$

$$F(\gamma) = F_{\text{EA}} \times \left(\frac{\Delta H_{\gamma'}}{\Delta H_{\beta'} + \Delta H_{\gamma'}} \right) \times 100\% \quad (2b)$$

where, $\Delta H_{\beta'}$ and $\Delta H_{\gamma'}$ are the height differences (absorbance differences) between the peak around at 1275 cm^{-1} and the nearest valley around at 1260 cm^{-1} , and the peak around at 1234 cm^{-1} and the nearest valley around at 1225 cm^{-1} , respectively.

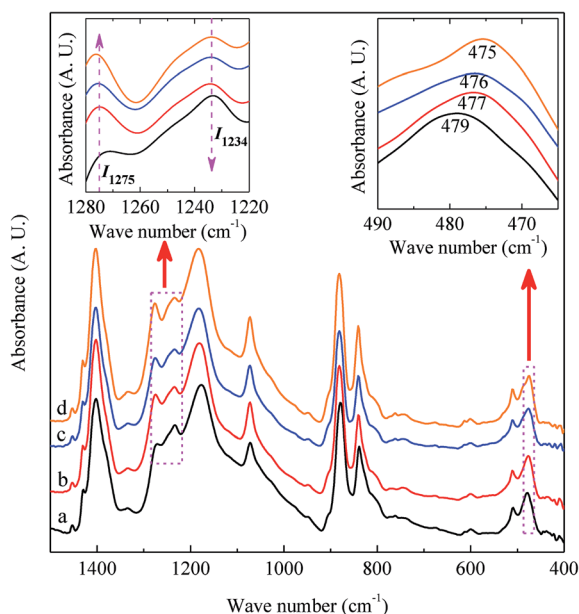


Fig. 6 FTIR spectra of electrospun membranes using 12 wt% of PVDF mixed with different $V_{\text{NMP}}/V_{\text{acetone}}$ at 3/7, 4/6, 5/5, and 6/4 as labeled with 'a', 'b', 'c' and 'd', respectively. Insets are the magnification of frequency regions in 1220–1280 cm^{-1} (left) and 465–490 cm^{-1} (right). The arrows in the left inset indicate the direction of an increase in absorption.

Based on eqn (1), (2a) and (2b), the relative fraction of the electroactive β and γ phases for all samples presented in the main article was calculated (Part III in ESI[†]). A very high fraction of the γ phase, namely 99.3%, was obtained for sample in Fig. 4(B)-curve b, while a lower but still comparatively high fraction of the β phase, namely 94.3%, was for sample in Fig. 3(C)-curve c. These results indicate that by taking some treatments on PVDF materials, high-performance PVDF membranes with good electroactivities can be achieved for various applications.

Conclusions

The characteristic bands of the α , β and γ phases in the 400–1500 cm^{-1} range have been determined by comparatively studying and summarizing the FTIR vibrational bands from reports published in the literature as well as new experimental samples characterized in this work. The characteristic bands of α phase are around at 410, 489, 532, 614, 763, 795, 854, 975, 1149, 1209, 1383 and 1423 cm^{-1} , whereas characteristic bands of the β and γ phases are around at 445, 473, 1275 and 1431 cm^{-1} and around at 431, 482, 811, 1234 and 1429 cm^{-1} , respectively. One can differentiate the three phases by checking the bands around 763 and 614 cm^{-1} for the α phase; 1275 cm^{-1} for the β phase, and 1234 cm^{-1} for the γ phase, respectively. Furthermore, the often confused crystal phase characterizations for the 840* and 510* cm^{-1} bands in prior publications are clarified by checking the bands around at 1275 and 1234 cm^{-1} to identify the existence of the β and γ phases, respectively. Finally, an integrated procedure is demonstrated to quantify the content of electroactive phases by using the peak-to-valley height ratio (P2VHR) between the peaks around at 1275 and 1234 cm^{-1} and their nearest valleys. This investigation provides clear guidelines to solve the long-term problems in the phase characterizations of PVDF using FTIR, but also delivers a simple methodology to quantify individual β and γ phase in PVDF polymers with multiple phases based on the FTIR spectrum.

Acknowledgements

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