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# A light-responsive, self-immolative linker for controlled drug delivery *via* peptide- and protein-drug conjugates†‡

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When designing prodrugs, choosing an appropriate linker is the key to achieving efficient, controlled drug delivery. Herein, we report the use of a photocaged C4'-oxidized abasic site (PC4AP) as a light-responsive, self-immolative linker. Any amine- or hydroxyl-bearing drug can be loaded onto the linker *via* a carbamate or carbonate bond, and the linker is then conjugated to a carrier peptide or protein *via* an alkyl chain. The PC4AP linker is stable under physiologically relevant conditions. However, photodecaging of the linker generates an active intermediate that reacts intramolecularly with a primary amine (the  $\epsilon$ -amine of a lysine residue and the N-terminal amine) on the carrier, leading to rapid and efficient release of the drug *via* an addition–elimination cascade, without generating any toxic side products. We demonstrated that the use of this self-immolative linker to conjugate the anticancer drug doxorubicin to a cell-penetrating peptide or an antibody enabled targeted, controlled delivery of the drug to cells. Our results suggest that the linker can be used with a broad range of carriers, such as cell-penetrating peptides, proteins, antibodies, and amine-functionalized polymers, and thus will find a wide range of practical applications.

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## Introduction

In drug design and development, prodrug strategies are widely used to improve the pharmacokinetic properties of drugs, especially targeted delivery.<sup>1,2</sup> A prodrug is generally constructed by conjugation of a drug molecule to a carrier *via* a linker containing a trigger moiety. After delivery of the prodrug to the target cells or tissues, the active drug is released *via* cleavage of the linker, either by an endogenous stimulus such as a pH change,<sup>3,4</sup> a redox reaction,<sup>5,6</sup> or an enzyme,<sup>7,8</sup> or by an exogenous stimulus such as light<sup>9–11</sup> or a small-molecule trigger.<sup>2,12</sup> The key to achieving efficient, controlled drug release is to choose an appropriate linker.<sup>13,14</sup>

In some cases, the close proximity of the drug and the carrier impairs linker cleavage by the stimulus. This problem can be overcome by introducing an additional linker, referred to as a self-immolative linker, between the trigger and the drug.<sup>15,16</sup> Removal or cleavage of the trigger by an appropriate stimulus

induces a cascade of disassembly reactions that ultimately lead to drug release. So far, only two types of self-immolative linkers have gained wide acceptance, and both types undergo self-immolative elimination, cyclization, or both to release the conjugated drug.<sup>16,17</sup> However, disassembly of these self-immolative linkers can generate toxic side products such as quinone methides, which can have unwanted side effects.<sup>18</sup> Therefore, the development of biocompatible self-immolative linkers has attracted considerable attention.<sup>11,19,20</sup>

We and others have shown that primary amines can catalyze DNA cleavage at C4'-oxidized abasic sites (C4APs, which are formed by abstraction of the C4'-H from 2'-deoxyribose; Fig. 1A).<sup>21–26</sup> Specifically, addition of a primary amine to the C1 of a C4AP *via* Schiff base formation leads to sequential elimination of the C3 and C5 phosphates, generating the 5-methylene pyrrolone (5MP) derivative of the primary amine.<sup>23</sup> On the basis of these addition–elimination cascade reactions, we designed a photocaged C4AP (PC4AP, Fig. 1B) as a novel light-responsive, self-immolative linker for controlled drug delivery *via* peptide- and protein-drug conjugates.

## Results and discussion

### Design of the PC4AP linker

The PC4AP linker is constructed by protection of the C1–OH and C4–OH of the C4AP with photolabile *O*-nitrobenzyl groups (–ONv),<sup>27,28</sup> which make the system light-responsive.<sup>22,29</sup> The C3–

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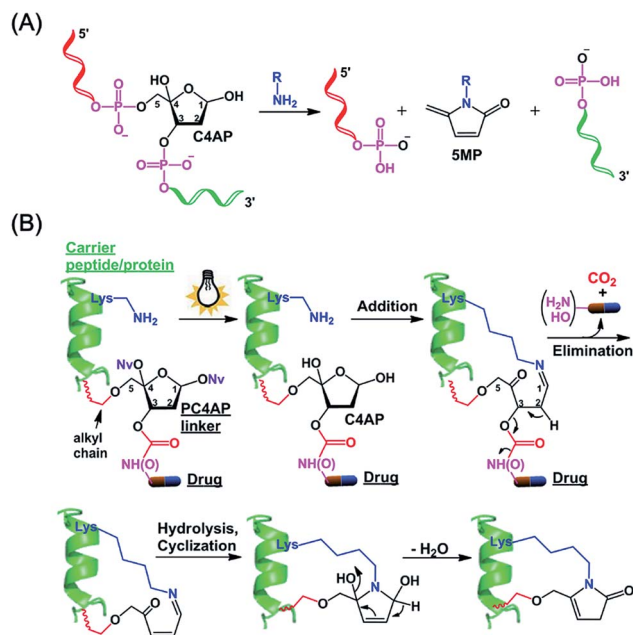


Fig. 1 Design of a photocaged C4'-oxidized abasic site (PC4AP) as a light-responsive, self-immolative linker for controlled drug delivery via peptide- and protein-drug conjugates. (A) Primary-amine-catalyzed DNA cleavage at the C4'-oxidized abasic site (C4AP). (B) Principle of PC4AP-based drug delivery via peptide- and protein-drug conjugates.

OH and C5-OH are used to load the drug and the carrier, respectively (Fig. 1B). Specifically, an amine- or hydroxyl-bearing drug payload is attached to the C3-OH via a carbamate or carbonate bond, and the C5-OH of the PC4AP linker is conjugated to a carrier peptide or protein via an alkyl chain. Upon photocleaving, the C4AP moiety undergoes an intramolecular addition reaction with any nearby amine on the carrier, and a subsequent elimination reaction leads to cleavage of the

carbamate or carbonate bond and concomitant release of the active drug, along with nontoxic CO<sub>2</sub>. Because C5-OH is linked to the carrier via a stable O-C bond, cleavage of the linker via departure of C5-O<sup>-</sup> is disfavored. Therefore, the linker remains attached to the carrier, and a cyclic species is generated.

### Synthesis of a doxorubicin prodrug based on the PC4AP linker

Doxorubicin (DOX) is a cytotoxic anthracycline antibiotic and anticancer drug. Because of its natural fluorescence, DOX is widely used as a model cytotoxin for cellular delivery studies.<sup>30–32</sup> Here, in a proof-of-principle experiment, DOX was employed to examine the efficacy of controlled drug delivery by means of the PC4AP linker. To this end, we designed and synthesized Mal-PC4AP-DOX (9, Scheme 1), in which DOX and a maleimide (Mal) moiety are bridged by the PC4AP linker. The purpose of the maleimide moiety was to enable site-specific bioconjugation of 9 to a Cys residue in carrier peptides and proteins.

The synthesis of 9 started from acetal 1, in which the C5-OH is protected with a benzoyl group. After protection of the C3-OH as a *t*-butyldiphenylsilyl ether, the benzoyl group was selectively removed by treatment with NaOMe, and the C5-OH was then allowed to react with propargyl bromide to afford alkyne 3, which was transformed into dithiane 4 in 85% yield. After oxidation of the C4-OH to a ketone, the dithiane moiety of 5 was oxidatively cleaved in the presence of 4,5-dimethoxy-2-nitrobenzyl alcohol (NvOH) to afford protected PC4AP 6 as a mixture of four stereoisomers.<sup>29</sup> The C3-OH was then deprotected by treatment with tetra-*n*-butylammonium fluoride, and three isomers of 7 were isolated and characterized by NMR spectroscopy. The predominant isomer, 7a, was subjected to further transformations. Specifically, treatment of 7a with triphosgene converted the C3-OH to the corresponding carbonochloridate (8'), which, without purification, was allowed to react selectively with the amino group of DOX to give 8 in 65%



Scheme 1 Synthesis of Mal-PC4AP-DOX (9).





17 and 18 (Fig. 2A).<sup>25</sup> It is known that NaBH<sub>3</sub>CN is unreactive toward aldehydes and ketones but can reduce C=N to C–N. Therefore, NaBH<sub>3</sub>CN would reduce intermediate 13 to give 17, the C2–H of which is not acidic enough for rapid elimination of the C3-carbamate. Instead, 17 would undergo rapid cyclization followed by another reduction to afford observed product 16.

Intermediates 13–15, 17, and 18 were not observed either in the presence or in the absence of NaBH<sub>3</sub>CN, suggesting that once the C=N bond was formed by the reaction of the C4AP with a primary amine, the drug was rapidly released *via* intramolecular reactions.

Similarly, photoirradiation of 10 generated intermediate 19, which decomposed to release PyrCH<sub>2</sub>OH quantitatively upon treatment with BnNH<sub>2</sub> for 5 min (Fig. 2B, E and S4†). This result indicates that a payload attached to the PC4AP linker *via* a carbonate bond could be released as efficiently as a payload attached *via* a carbamate bond.

### Requirement of either a lysine residue or an N-terminal amine for drug release from peptide-PC4AP-drug conjugates

The above-described results confirmed that the intermolecular reaction with BnNH<sub>2</sub> could trigger rapid disassembly of the PC4AP linker. To determine whether a primary amine in a peptide, such as the  $\epsilon$ -amine of a Lys residue or the N-terminal amine, could induce efficient drug release from a peptide-PC4AP-drug conjugate *via* an intramolecular process, we prepared peptide-PC4AP-DOX conjugates 25–29 by attaching 9 to the Cys residues of peptides 20–24 (Fig. 3A). Irradiation of 25, the Cys residue of which is flanked by the N-terminal Gly and

a Lys residue, followed by incubation in phosphate buffer (pH 7.5) at 37 °C for 5 min led to the formation of intermediate 30 and release of approximately 50% of the DOX (Fig. 3B). The amount of intermediate 30 decreased with increasing incubation time, and complete release of DOX was achieved after incubation for 1 h. Simultaneously, the peptide moiety was transformed into a cyclic species (Fig. S5A†). In contrast, irradiation of conjugate 26, which lacks the N-terminal amine and has no Lys residues, followed by incubation in phosphate buffer for 0.5 h produced intermediate 31 quantitatively, and none of the DOX was released (Fig. 3C). We also found that photoirradiation of conjugates 27 and 28, which contain either an N-terminal amine or a Lys residue, respectively (but not both), resulted in efficient release of DOX (Fig. S5C and D†). Taken together, these results confirm that only a primary amine in the peptides (*i.e.*, the  $\epsilon$ -amine of Lys and the N-terminal amine) could intramolecularly induce drug release from the peptide-PC4AP-drug conjugates.

We also demonstrated that DOX release from peptide-PC4AP-DOX conjugates was not noticeably affected by the distance between the Cys anchor and the Lys or the N-terminal amine. For instance, even though the Lys and the N-terminal Gly of peptide-PC4AP-DOX conjugate 29 are four and six residues, respectively, away from the Cys anchor, photoirradiation of 29 followed by incubation for 0.5 h led to release of 70% of the DOX (Fig. 3D), suggesting that the drug-release efficiency of this conjugate was similar to that of 25. Given that the PC4AP linker is anchored to the side chain of Cys *via* a flexible 25-atom-long chain, distance-independent drug release is reasonable.



Fig. 3 Light-triggered release of DOX from peptide-PC4AP-DOX conjugates. (A) Overview of DOX release from peptide-PC4AP-DOX conjugates 25–29 upon photoirradiation. (B) UPLC-MS analyses of light-triggered decomposition of conjugate 25. (C) UPLC-MS analyses of light-triggered decomposition of conjugate 26. (D) UPLC-MS analyses of light-triggered decomposition of conjugate 29. Reaction conditions: a solution of each conjugate (1 mM) in phosphate buffer (20 mM, pH 7.5) was photoirradiated at 365 nm for 3 min and then incubated in the dark.



## Efficient cellular delivery of DOX by means of an H3-PC4AP-DOX conjugate

Cell-penetrating peptides (CPPs), which are short peptides that can translocate across cell membranes, have been extensively used for intracellular cargo transport both *in vitro* and *in vivo*.<sup>36</sup> We therefore conjugated **9** to a small CPP, histone H3,<sup>38</sup> and demonstrated the utility of the resulting conjugate for controlled cellular uptake of DOX. Specifically, the H3 mutant H3-V35C, which contains 13 Lys residues but only one Cys (at position 35),<sup>37</sup> was treated with 1.1 equiv. of **9** in HEPES buffer (pH 7.5) to afford H3-PC4AP-DOX (**36**) after purification by gel filtration (Fig. 4A and S6†). A solution of **36** in phosphate buffer (20 mM, pH 7.5) was irradiated at 365 nm for 3 min and then incubated at 37 °C for 2 h. UPLC-MS analysis of the reaction mixture showed that more than 70% of the DOX was released (Fig. 4B). The remaining carrier protein exhibited a  $[\text{MH}]^+$  value of 15 746, which is consistent with the proposed cyclic structure **38** ( $[\text{MH}]^+$ , calcd 15 746). A very small amount of photodecaged intermediate **37** ( $[\text{MH}]^+$ , calcd 16 351, found 16 351) was observed as well (Fig. S7†).

We also assessed the kinetics of DOX release. Specifically, a solution of **36** in phosphate buffer (20 mM, pH 7.5) was irradiated at 365 nm for 5 min and then incubated in the dark at 37 °C. Aliquots were periodically removed, quenched with

$\text{NaBH}_3\text{CN}$ , and analyzed by means of 15% SDS-PAGE (Fig. S8†). Quantification of the fluorescence intensity of each band revealed that 75% of the DOX was released after 5 min of incubation, and the amount reached 85% at 1 h (Fig. 4C). In contrast, little, if any, DOX was released when the irradiation step was omitted. Taken together, these results confirm that the PC4AP linker was stable under neutral conditions but after photodecaging, the self-immolative process occurred spontaneously, releasing the cargo from the carrier protein rapidly and efficiently.

Any intermediate **37** present in the aliquots removed from the reaction would have been quenched by the  $\text{NaBH}_3\text{CN}$  to afford a stabilized product (as **16** in Fig. 2A), which would have prevented release of DOX from H3 during SDS-PAGE analysis. Thus, rapid release of DOX observed by SDS-PAGE analysis can be attributed solely to intramolecular Lys-catalyzed reactions. DOX release from H3-PC4AP-DOX (**36**) upon photoirradiation was even faster than release from peptide-PC4AP-DOX conjugate **25**. This result led us to speculate that in addition to the Lys residue and the N-terminal amine group, other residues in the carrier protein may also have promoted linker disassembly. For instance, acidic residues may have catalyzed Schiff base formation between the linker and a primary amine, and basic residues may have catalyzed the elimination step (see Fig. 2A).

To demonstrate the utility of our strategy for cellular delivery of drugs, we treated HeLa cells with 10  $\mu\text{M}$  H3-PC4AP-DOX (**36**) or free DOX for 3 h at 37 °C. Fluorescence confocal microscopy analysis of the treated cells showed that, as expected,<sup>38</sup> free DOX was efficiently transported to the nucleus, where it bound to genomic DNA (Fig. 5A, left panel); in contrast, in cells treated with **36**, the red fluorescence of DOX was observed predominantly in the cytoplasm, indicating that the protein-drug conjugate successfully transported DOX to the cytoplasm, where it was not released in the absence of irradiation (Fig. S9†). After medium removal and addition of fresh DMEM (Dulbecco's Modified Eagle Medium), the **36**-treated cells were irradiated at 365 nm for 5 min and then incubated at 37 °C for another 3 h; under these conditions, DOX was transported to the nucleus (Fig. 5A, middle panel). Given that DOX remained in the cytoplasm of unirradiated cells (Fig. 5A, right panel), the DOX transport to the nucleus of the irradiated cells can be attributed to photo triggered release of free DOX from the H3 carrier protein.

Cell counting kit-8 assays showed that incubation of HeLa cells with H3-PC4AP-DOX (**36**) followed by photoirradiation inhibited cell growth by up to 20%. In contrast, no cytotoxicity was observed in the absence of light, even at high concentrations of the conjugate (Fig. 5B). These results once again confirm that **36** was stable inside cells but that it released active DOX upon photoirradiation.

## Targeted cellular delivery of DOX by an antibody-PC4AP-DOX conjugate

The above-described results inspired us to determine whether the PC4AP linker could be used for targeted drug delivery with an antibody as the carrier.<sup>39,40</sup> As the antibody, we chose

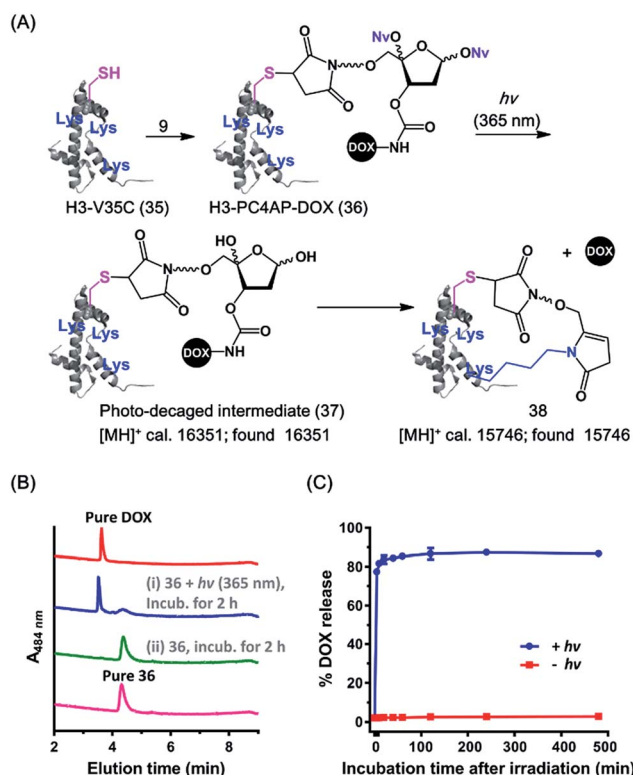


Fig. 4 Preparation and light-triggered disassembly of H3-PC4AP-DOX (**36**). (A) Structures of **36** and its decomposition products. (B) UPLC-MS analyses of light-triggered disassembly of **36** in phosphate buffer (20 mM, pH 7.5) at 37 °C. (C) Kinetics and photoirradiation-dependence of DOX release from **36** in phosphate buffer (20 mM, pH 7.5) at 37 °C.



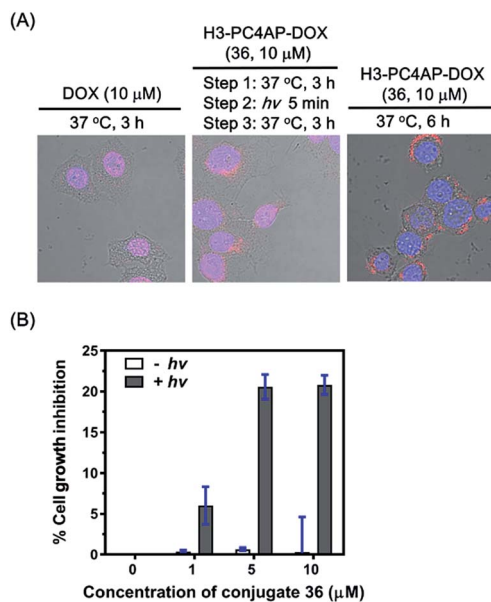


Fig. 5 Controlled delivery of DOX into HeLa cells by H3-PC4AP-DOX (36). (A) Fluorescence confocal microscopy analyses showing the cellular location of DOX after treatment of HeLa cells with DOX and 36. The images were obtained by overlaying the DAPI channel (excitation at 408 nm and emission at 425–475 nm), the DOX channel (excitation at 561 nm and emission at 570–620 nm), and the DIC channel. (B) Light-dependence of 36 cytotoxicity to HeLa cells.

trastuzumab, a clinical monoclonal IgG that recognizes and induces internalization of HER2, a receptor that is highly expressed in some breast cancer cell lines.<sup>41,42</sup> To prepare trastuzumab-DOX conjugates with the PC4AP linker, we treated trastuzumab with 4 equiv. of tris(2-carboxyethyl)phosphine (TCEP) in phosphate-buffered saline for 2 h to reduce the interchain disulfide bonds, and then incubated it with 8 equiv. of Mal-PC4AP-DOX (9) at 37 °C for 1 h to give antibody-drug conjugate (ADC) 39, which was purified by gel filtration. LC-MS analysis indicated the drug/antibody ratio to be 8 (Fig. 6A and S10†).

The linker of an ADC must be stable enough to prevent off-target effects. To test the stability of the PC4AP linker in ADC 39, we incubated it in human serum at 37 °C. Aliquots were removed periodically, quenched with NaBH<sub>3</sub>CN, and analyzed by means of 12% reducing glycine-SDS-PAGE (Fig. S11†). The heavy and light chains appeared as two separated bands in the gel, and quantification of the fluorescence intensities of the bands revealed that DOX was released very slowly from both chains (Fig. 6B and S11†); approximately 85% of the DOX remained attached to the antibody after 72 h of incubation. Note, however, that DOX release from the antibody was not attributed to cleavage of the PC4AP linker, given that no released free DOX was observed. Instead, we detected some slower-migrating fluorescent bands (Fig. S11†), which we attributed to transfer of the conjugated moiety (Mal-PC4AP-DOX, 9) from the antibody to other serum proteins (e.g., human serum albumin) via thiol exchange reactions.<sup>43,44</sup> That is, the PC4AP linker itself was stable in human serum.

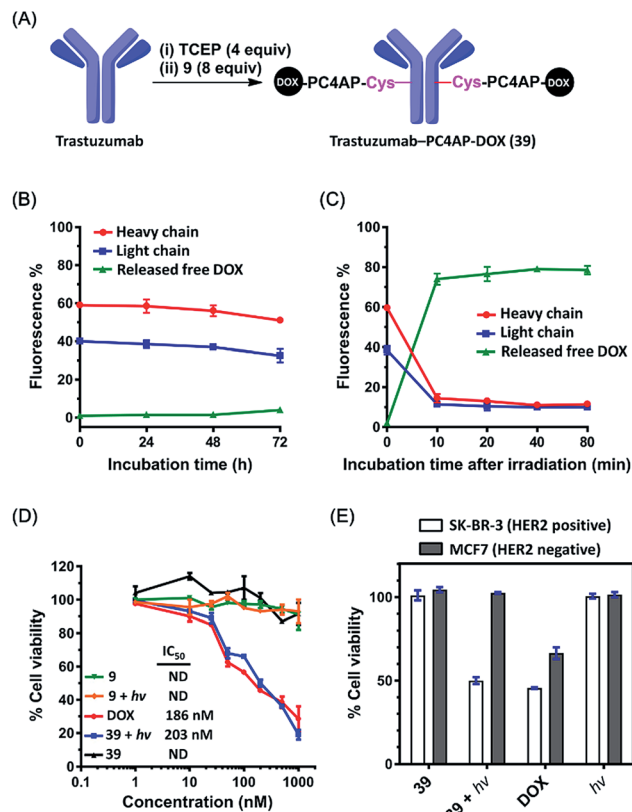


Fig. 6 Targeted delivery of DOX to breast cancer cell lines via a trastuzumab-PC4AP-DOX conjugate (39). (A) Preparation of 39. (B) DOX fluorescence quantification revealed the kinetics of DOX release from the heavy and light chains of 39 upon incubation in human serum. (C) DOX fluorescence quantification revealed the kinetics of DOX release from the heavy and light chains of 39 upon photoirradiation and subsequent incubation in human serum. (D) Light- and concentration-dependent cytotoxicities of 9, 39, and DOX against SK-BR-3 cells (HER2 positive). (E) Comparison of the cytotoxicities of 39 (200 nM) against SK-BR-3 (HER2 positive) and MCF7 (HER2 negative) cell lines.

Photoirradiation of 39 at 365 nm for 5 min and subsequent incubation in human serum led to release of 80% of the free DOX from the antibody after incubation for 10 min (Fig. 6C and S12†), confirming that once the photocage was removed, self-immolative disassembly of the C4AP linker in the ADC was rapid and efficient.

Zhang *et al.* conjugated DOX to trastuzumab via an acid-labile MMCCH linker.<sup>42</sup> At pH 7.4, both linker degradation and drug release were rather slow (the release rate was 25% per day), but in a lysosomal environment (pH 5.4), 80% of the DOX was released from the ADC after 24 h. Our trastuzumab-PC4AP-DOX conjugate was much more stable than the trastuzumab-MMCCH-DOX conjugate under physiologically relevant conditions. In addition, after being triggered by a biocompatible stimulus, drug release was more efficient from the former than from the latter. Thus, the PC4AP linker can be expected to be useful for ADC development.

Next, we evaluated the cytotoxicity of the trastuzumab-PC4AP-DOX conjugate (39). After incubation of two fixed breast cancer cell lines, SK-BR-3 (HER2 positive) and MCF7



(HER2 negative), with ADC **39**, an immunofluorescence assay showed that **39** bound specifically to the antigen HER2 on the surface of the SK-BR-3 cells (Fig. S13†). Having confirmed the antigen-specific binding, we determined the cytotoxicity of **39** to the SK-BR-3 cells over a wide concentration range. ADC **39** showed marginal cytotoxicity in the absence of light (Fig. 6D), whereas incubation of the cells with **39** and subsequent irradiation led to decreased cell viability in a dose-dependent manner; the potency of **39** ( $IC_{50} = 203$  nM) was comparable to that of free DOX ( $IC_{50} = 186$  nM). Furthermore, we found that Mal-PC4AP-DOX (**9**) was efficiently taken up by the SK-BR-3 cells but showed no obvious cytotoxicity either in the presence or in the absence of light. Given that the free amino group of DOX is vital for its cytotoxicity,<sup>45</sup> this result indicates that irradiation did not release active DOX from **9**. That is, photodecaging was not sufficient to release DOX from the PC4AP linker in the absence of intramolecular catalysis by a primary amine inside the cells. In other words, light-triggered cellular release of DOX from the antibody-PC4AP-DOX conjugate (**39**) occurred by means of intramolecular catalysis, as we proposed.

Finally, we evaluated the cell line specificity of ADC **39** (Fig. 6E). SK-BR-3 (HER2 positive) and MCF7 (HER2 negative) cells were preincubated with 200 nM **39** for 6 h, the medium was changed to fresh DMEM, and the cells were photoirradiated and then incubated for an additional 48 h. Cell viability assays showed that the irradiated SK-BR-3 cells displayed remarkably decreased cell viability, whereas little, if any, effect on the MCF7 cells was observed, whether they were irradiated or not. This result confirms that conjugation of the drug to the antibody *via* the PC4AP linker enabled targeted drug delivery in a controlled manner.

## Conclusions

In summary, we have described the use of a PC4AP as a light-responsive, self-immolative linker for controlled drug delivery. Amine- or hydroxyl-bearing drugs can be easily introduced at C3 of the linker by means of a carbamate or carbonate bond, and the C5-OH is functionalized for bioconjugation to a carrier peptide, a protein, or an antibody. After cellular uptake of the conjugate, photoirradiation removes the photolabile *O*-nitrobenzyl groups to generate a C4AP intermediate. Any nearby primary amine, including the  $\epsilon$ -amine of Lys and the N-terminal amine, on the carrier peptide or protein spontaneously catalyzes release of the drug *via* an addition-elimination cascade.

The PC4AP linker described herein has several merits. First, because it is stable under physiologically relevant conditions, off-target effects induced by unwanted release of the payload are minimal. Second, after photodecaging, self-catalyzed drug release is rapid and efficient. No toxic side products are generated during linkage disassembly. Third, the PC4AP linker is cleaved by photoirradiation. Because of the high spatiotemporal resolution and noninvasive nature of light-mediated cleavage, the PC4AP linker has great potential utility for manipulation of biological processes *in vivo*. Moreover, changing the *O*-nitrobenzyl group to some other protecting group would be an easy way to make the system responsive toward other stimuli or to

shift the activation wavelength, indicating the versatility of this linker. Fourth, Lys is the most abundant amino acid residue in typical proteins; it is widely distributed in CPPs and on the outer surface of proteins. In addition to Lys, any other primary amine, including the N-terminal amine, can catalyze disassembly of the PC4AP linker. Therefore, this linker could be used with a broad range of carriers, including CPPs, proteins, antibodies, amine-bearing polymers such as polyethyleneimine, and amine-functionalized nanoparticles. These advantages make the PC4AP linker invaluable for controlled cargo delivery, and it can be expected to find a wide range of practical applications.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

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## Notes and references

§ In compound **16**, the DOX moiety was reduced to DOX<sup>R</sup> by NaBH<sub>3</sub>CN. Treatment of free DOX with NaBH<sub>3</sub>CN gave the same result (Fig. 2D). UPLC-MS analysis showed that DOX<sup>R</sup> contains two more hydrogen atoms than DOX (Fig. S3†).

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