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Choice of photo-excitation conditions in xanthate-supported photo-iniferter (XPI) RAFT polymerization†

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The use of photo-controlled reversible deactivation radical polymerization (RDRP) techniques offers several advantages regarding the control of polymerization processes and material synthesis. Reversible addition–fragmentation chain-transfer (RAFT) polymerization is particularly interesting in this context as the chain transfer agent (CTA) used in these processes can be activated by light directly in a photo-iniferter (PI) process. We have recently introduced a method where a xanthate (a particularly active iniferter) is combined with a second CTA resulting in a xanthate supported (X)PI-RAFT process. Herein conducted DFT calculations suggest a significant difference in orbital contributions to the β -scission process when comparing xanthates and trithiocarbonates (TTC), offering an explanation for the improved performance of xanthate. Experimentally, we investigate how the choice of wavelength, light intensity and irradiation setup influences the control over the polymerization and in particular its livingness (end group fidelity). This is probed *via* the synthesis of multiblock and diblock copolymers where livingness is essential and can be accessed *via* deconvolution of the SEC traces. While a change in wavelength to more selectively activate the xanthate while not exciting the π – π^* transition of the TTC does not seem to improve livingness, the light intensity was found to have a tremendous impact, with oversaturation being detrimental for chain end fidelity. Also using a flow-chemistry setup did not help to overcome this limitation. As such, the choice of light intensity was isolated as a highly important factor in XPI-RAFT polymerization.

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Introduction

Since the advent of reversible deactivation radical polymerization (RDRP), the design of macromolecules with defined molar mass and architecture has become increasingly accessible. In particular, reversible addition–fragmentation chain transfer (RAFT) polymerization¹ offers robustness and straightforward polymerization conditions.² Many chain transfer agents (CTAs) are commercially available and enable control over the polymerization of different monomer families.³ Moreover, the use of light to activate such polymerizations is a versatile method with many advantages.⁴

The photo iniferter (PI) technique developed by Otsu in the 1980's⁵ has many similarities with the RAFT process, as

both use thiocarbonylthio compounds. In a photo-iniferter polymerization, light is used to excite a thiocarbonylthio molecule (that can also act as CTA), which subsequently fragments into a persistent thiocarbonylthio radical and a transient R-radical.^{6,7} The latter can propagate in the presence of monomers and also interact with other CTA molecules to engage in chain transfer equilibria, that provide control over molar mass and dispersity similar to the RAFT methodology. (Note: Due to this similarity, in recent years this process was often referred to as PI-RAFT polymerization,⁶ whereas it can also be argued that the absence of an exogenous radical source distinguishes the method from RAFT-polymerization and it should be termed PI polymerization.⁷) In turn, persistent and transient radicals recombine (deactivation), a process which ensures a high livingness in PI-(RAFT) polymerization.⁸ This is in sharp contrast to conventional RAFT polymerization where (irreversible) termination is inevitable and creates a number of dead chains equal to the number of previously propagating radicals.⁹ Respectively a share of macromolecules lacks a CTA end group and cannot be reactivated and is hence not available for chain extension in the synthesis of block copolymers.

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Fig. 1 (A) Energy levels of electronic transitions in Xan and PABTC from DFT calculations. (B) Illustration of the NTO of the S₂ state for Xan. (C & D) UV Vis spectra of both CTAs in DMSO ($c = 0.156$ mM (C), $c = 1.25$ mM (D)) with indications of the light wavelengths used in this work. (E) Schematic representation of the XPI-RAFT concept.

while starting from non-bonding π orbital ($n\pi \rightarrow \sigma^*$) and free-electron pair p-orbital ($p \rightarrow \sigma^*$), respectively.

For PABTC, the calculations show three transitions within the experimentally addressable wavelength window. All of these show two discrete orbitals involved in the transition. Hence, there is no difference between NTO and CMO (ESI Table S9 and Fig. S11†). Moreover, they all end up in the same anti-bonding π^* orbital. The most bathochromically shifted transition at 419 nm into the S₁ state starts from the p-orbital of the free electron pair ($p \rightarrow \pi^*$) with a diminutive oscillator strength of 0.0001. The transition into S₂ and S₃ are significantly hypsochromically shifted compared to the first transition. The tran-

sition into S₂ is calculated to occur at 311 nm with an oscillator strength of 0.1910. It started from a non-binding π orbital into the anti-bonding π ($n\pi_1 \rightarrow \pi^*$). The transition into S₃ is calculated to occur at 291 nm with an oscillator strength of 0.1621. The transition starts from a second non-binding π orbital into the anti-bonding π ($n\pi_2 \rightarrow \pi^*$). The NTO of the high energy transition at 262 nm, with an oscillator strength of 0.0085, is dominated by the antibonding Sigma orbital while starting from the free-electron pair p-orbital ($p \rightarrow \sigma^*$).

The low-lying T₁ and T₂ states in both Xan and PABTC make intersystem crossing after excitation a possible path for both β -scission or slow non-radiative decay. In fact, previous



studies by Kwon and coworkers argue that the triplet states are too low in energy to participate in the β -scission and point toward a reaction through a conical intersection of S0 and 1.³⁴

For Xan, the antibonding orbital interaction at the dissociating CS single bond in the NTO of the S2 state (Fig. 1B) makes a β -scission from the singlet state a feasible option, too, as considered for benzodithioate and trithiocarbonate earlier by Falvey and coworker.³⁵ However, our study provides no indication that an antibonding Sigma orbital contributes to the S1 or S2 state of PABTC. This difference in the nature of the orbitals could be a hint at the reason for the significantly improved PI efficiency of Xan compared to PABTC, as observed in experiments.^{8,28} Both studies by Kwon and coworkers, as well as Falvey and coworkers, do not examine xanthates. Here, further studies are needed to analyze the excited state potential energy surface, including the S2 state.

Following up on our previous experimental work,²⁸ we now aimed to elucidate the impact of light wavelength and intensity in XPI-polymerization further. Our initial investigations on PI and XPI-polymerization were conducted with UV hand lamps (365 nm) at relatively low intensities ($\sim 4 \text{ mW cm}^{-2}$). In the present study, we utilize a photoreactor capable of varying both the intensity and wavelength of irradiation to investigate their effects on the livingness of chain transfer agents (CTAs) and the molecular weight distribution of the resulting polymers. This is particularly interesting as in XPI-polymerization a mixture of CTAs is used, where both components are photo-

active. While at 365 nm xanthate is excited in its $n-\pi^*$ transition, the trithiocarbonate PABTC is excited *via* its $\pi-\pi^*$ band (Fig. 1C and D). As previously described, this difference could in principle influence the tendency for unwanted side reactions. In addition to a variation in light intensity, a second solution could be the use of light with a different wavelength (395 nm). While the absorption band of the Xan $n-\pi^*$ transition is still excited, there is in principle no contribution of the $\pi-\pi^*$ band of PABTC, thus potentially limiting side reactions.

To probe these hypotheses, the synthesis of pseudo-multi-block copolymers through XPI-RAFT polymerization was performed (Fig. 2). A sequence NAM was produced with a degree of polymerization (DP) ranging from 25 to 200 for 10 blocks (Tables S1–S3[†]), using a 2:8 mixture of MeXan and PABTC (Fig. 2). The conversion for each step was driven to above 90% to render an intermediate purification unnecessary.

Three different irradiation conditions at 365 nm with low (25 mW cm^{-2}) and high (182 mW cm^{-2}), as well as 395 nm with high (211 mW cm^{-2}) intensity were employed (each measured at sample position) within a PhotoCube photoreactor (Fig. 2B). Reaction rates differed between the three setups (Fig. S1[†]) in the order 365 nm (high) > 395 nm (high) > 365 nm (low).

The size exclusion chromatography (SEC) curves (Fig. 2C) reveal that different wavelengths and intensities significantly influence the polymerization process and the molecular

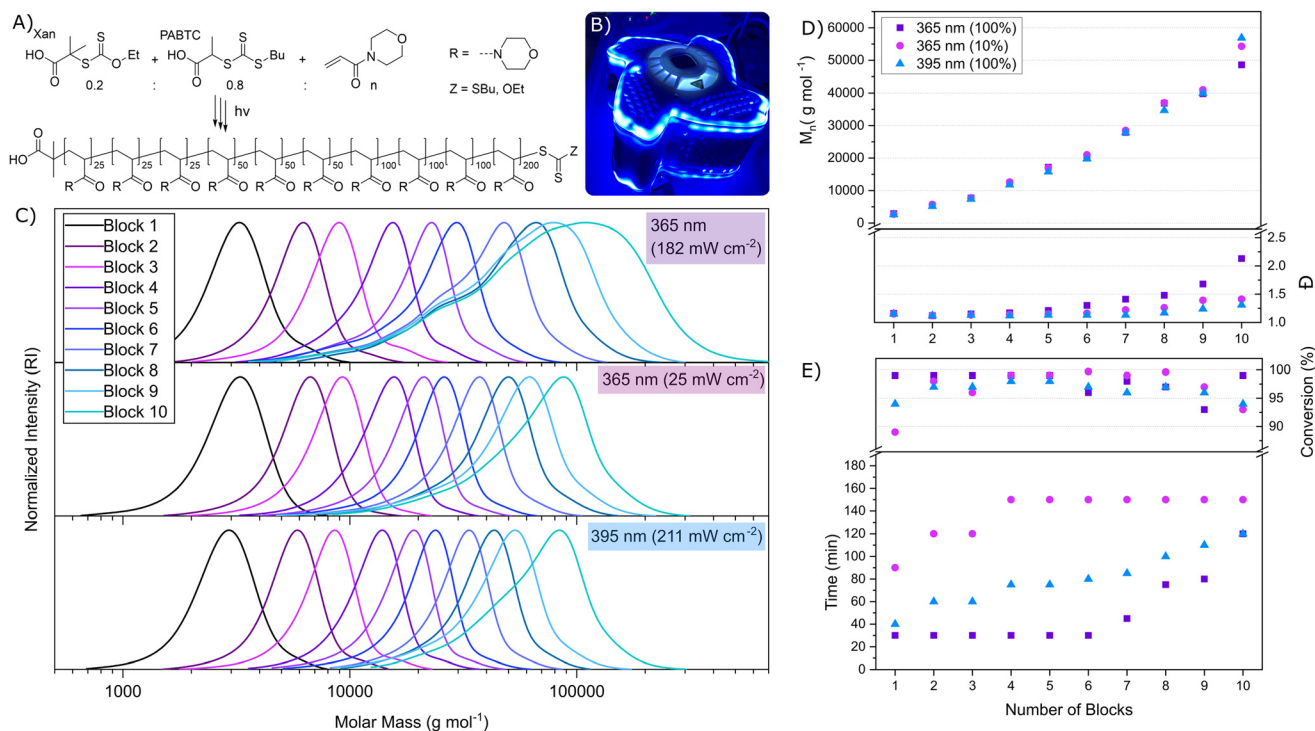


Fig. 2 Synthesis of pseudo block copolymers using NAM, Xan and PABTC at different irradiation conditions. (A) Schematic overview of the synthesis and target structure. (B) PhotoCube from ThalesNano used to conduct photopolymerizations. (C) SEC traces calibrated (THF, PS calibration) showing chain extension for 10 blocks. (D) Evolution of M_n and $\bar{D}$ upon chain extension, (E) reaction time necessary to reach a conversion of >90% for each block and irradiation condition.



weight distribution of the synthesized polymers. Each color in the SEC curves corresponds to a different number of blocks, ranging from 1 to 10. Notably, the molecular weight increases with each additional block, indicative of successful chain extension under all conditions. However, significant differences are observed in the width and modality of the molecular weight distributions among the three different irradiation conditions.

At 365 nm with high intensity (top panel), the SEC traces reveal broad molecular weight distribution with significant presence of multiple distributions attributed to dead chains. This suggests a loss of control over the polymerization process, likely due to the degradation of the CTA under high-intensity irradiation. The high energy of the 365 nm light at full intensity can cause photodegradation of the CTAs, leading to premature termination of the polymer chains and reduced livingness. In contrast, at 365 nm with low intensity (middle panel), the SEC traces are narrower compared to those obtained at high intensity. The lower intensity reduces the energy input, mitigating the degradation of the CTAs and maintaining better control over the polymerization process. This condition produces polymers with a more controlled molecular weight distribution, although still with a significant presence of dead chains.

The control over polymer molecular weight at 395 nm with 100% intensity appears similar to that observed at 365 nm with low intensity, as reflected by the SEC traces. While dispersities are slightly lower, also required reaction times are reduced to reach the same result. This wavelength thus strikes a favorable balance between adequate energy to drive the polymerization forward and sufficient control to prevent significant CTA degradation, though it is comparable in performance to the lower-intensity 365 nm condition.

The evolutions of the number-average molecular weight (M_n) as a function of the number of blocks for each irradiation conditions (Fig. 2D) demonstrates a steady increase in M_n with the number of blocks, consistent with a controlled living polymerization process. The increased D to 2.13 for later blocks under 365 nm high intensity is indicative of dead chain formation. In contrast, the 365 nm at low intensity condition maintains a dispersity around 1.41, showing improved control and reduced side reactions. The best control over polymerization in terms of D is observed under 395 nm irradiation at high intensity, where the $D = 1.31$, maintaining the highest degree of livingness during polymerization. It is noteworthy that the final DP of polymer chain is with 725 relatively high for any of the discussed cases. Still, later blocks will likely possess an increased dispersity when compared to the overall system.³⁶ Given the still considerable time necessary to reach high conversion even for 365 nm with high intensities (Fig. 2E), it is likely that in this case photoactivation outcompetes the rate of polymerization and that the velocity of the overall process is capped by chain growth. However, this also leads to more significant CTA degradation, causing broader molecular weight distributions and loss of control over the polymerization. In contrast, the 395 nm (high intensity) con-

dition seems to offer a more balanced approach, while the polymerization rate is only slightly decreased.

To further investigate the observed differences in polymerization performance, we conducted a series of experiments where we chose to limit the system to one chain extension of PNAM with NAM to create pseudo diblock copolymers. This method enabled precise quantification of dead chain formation *via* deconvolution of SEC traces. Copolymers of varying DPs (50 + 50, 100 + 100, 250 + 250, and 500 + 500) were produced by sequential addition of monomer. The general irradiation times are provided in Table S4,† highlighting a trend where 365 nm (high intensity) achieved approximately twice the rate of polymerization relative to 365 nm (low intensity) and 395 nm (high intensity).

Fig. 3 presents SEC traces for all three polymerization conditions, with all reactions achieving near-complete monomer conversion (approximately 100%) except for PNAM₅₀₀-PNAM₅₀₀ under 395 nm irradiation, which reaches 97% conversion (Table S4†). The dispersity increases consistently with DP across all conditions, from 1.13 for PNAM₅₀-PNAM₅₀ to 1.29 for PNAM₅₀₀-PNAM₅₀₀. These findings suggest that dispersity alone may not serve as a straightforward optimization metric, given its sensitivity to various reaction conditions.

To evaluate chain-end fidelity, SEC trace deconvolution was performed on each diblock copolymer's extended block (Fig. 4A). A Gauss deconvolution model was applied, anchoring the first peak's maximum to the elution volume of the initial block and the second peak to the maximum of the extended block (an example is shown in Fig. 4C for PNAM₅₀₀-PNAM₅₀₀).

Complete deconvolution results are presented in Fig. S2-S4,† with summary data in Table S6.† Notably, the highest livingness is achieved with 365 nm (low intensity), reaching a minimum of 73.5% for DP = 500. In contrast, 395 nm (high) demonstrated only 63.5% livingness. At 365 nm (high intensity), livingness drops to 56.4%, similar to that of the pseudo-multiblock system, where the highest dispersity index is also observed. The more pronounced loss in livingness of 395 nm (high) and 365 nm (low) could also be observed in photobleaching experiments (Fig. S5†). To quantitatively assess the photodegradation (bleaching) of CTAs during irradiation, we adopted the methodology of separating absorption and scattering contributions to extinction using Rayleigh scattering correction.^{37,38}

These results underscore the importance of carefully controlled irradiation conditions to achieve both high livingness and narrow molecular weight distributions in photoiniferter polymerizations. The initial hypothesis, stating that highest livingness would be achieved if PABTC is not excited directly (under 395 nm light) seems unlikely based on these results.

The better performance of 365 nm light at low intensities when compared to irradiation at 395 nm points toward a connection of livingness and oversaturation. More precisely, the livingness decreases when photo excitation surpasses the threshold that is given by the velocity of the polymerization itself (limited by k_p and monomer concentration). Every





Fig. 3 SEC traces of pseudo-diblock copolymers of NAM at different irradiation conditions and DPs (solvent: THF with PS calibration).

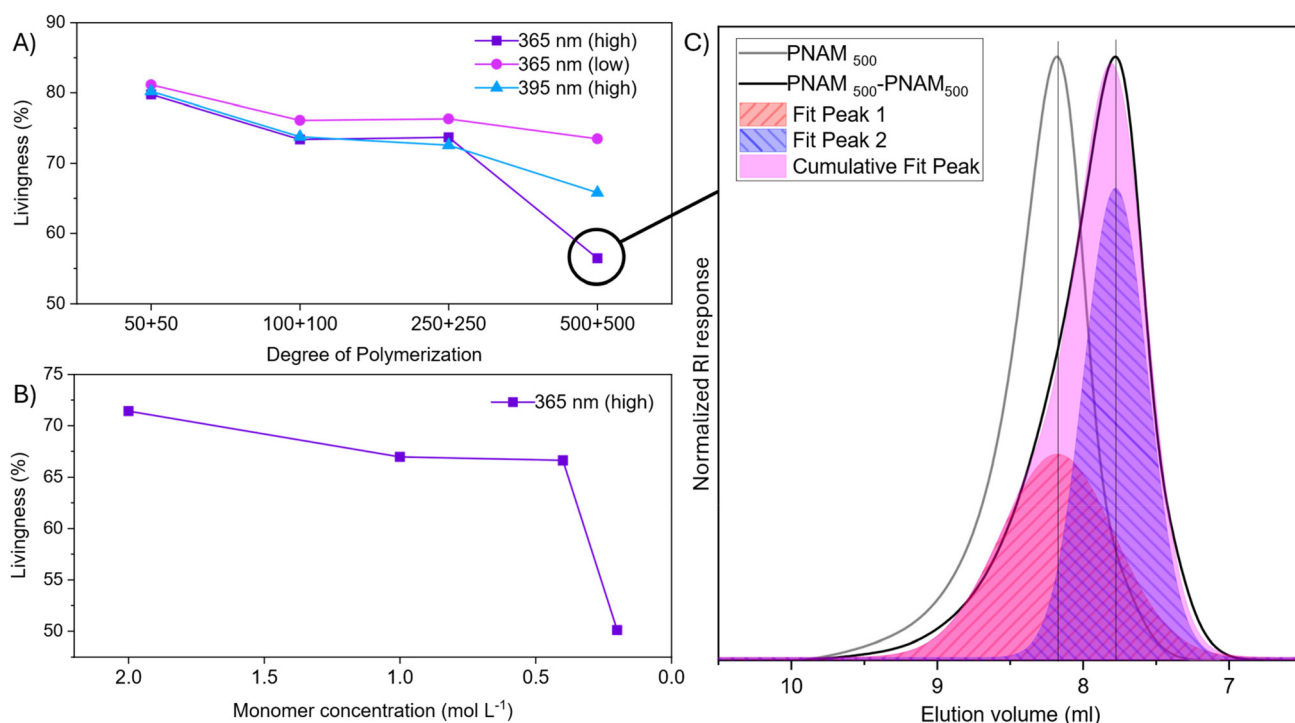


Fig. 4 Livingness of pseudo diblock copolymers based on XPI-RAFT polymerization at different reaction conditions. (A) Livingness as extrapolated from deconvolution of SEC traces for polymerization reactions at different DP values and irradiation conditions. (B) Livingness at constant DP (500 + 500) as a function of monomer (and CTA) concentration. (C) Exemplary deconvolution of SEC traces where peak maxima are based on the maxima of first and second block (performed in Origin software).

additional photon is only increasing the likelihood for side reactions leading to decreased chain end fidelity. If the excitation of the PABTC π - π^* band would have been the main issue, the change in wavelength to 395 nm should have resulted in superior results.

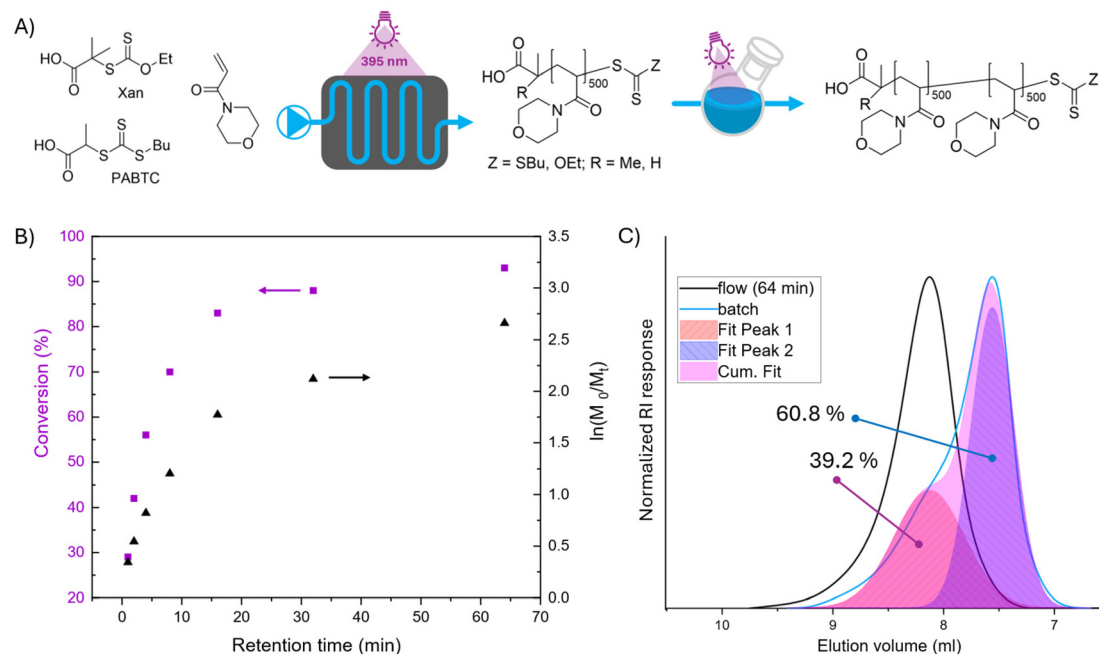
For all irradiation conditions, a decrease in livingness with increasing DP is observed. While monomer concentration was

kept constant for all mixtures, the increase in DP is connected to a decrease in the total CTA concentration. It can be assumed that polymerization rate is not changing but that overall radical concentration is lower due to the lower concentration of CTA molecules. Overall, the values achieved for livingness are relatively low with a maximum of ~80%. However, this might be underestimated by the deconvolution method



Thus, it can be concluded that under constant irradiation conditions, a decreased amount of CTA also leads to increased amount of side reactions. This supports the hypothesis of over-saturation as with lower concentrations of CTA, the ratio of photons to CTA is also increased drastically. Moreover, at the coinciding lower concentrations of monomer, the polymerization cannot progress as fast, limiting achievable velocity and making it easier to reach a condition where additional irradiation is not productive anymore. This is consistent with work on PI polymerization using visible light.²²

To then probe the livingness of the first block under conditions most comparable to the polymerizations carried out before, chain extension was performed in batch. This was also necessary as the viscosity of the solution made a chain extension in flow challenging. The first segment (retention time (T_r): 64 min) was used as the macroCTA under otherwise identical conditions as utilized in flow (targeted DP 500, monomer



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concentration = 1 mol L⁻¹, 395 nm, 100%). Still the result should be indicative about the chain end fidelity of the macro-CTA as after chain extension any chain ends degraded during flow reaction would not be chain extended and present themselves as a low molar mass shoulder (Fig. S9†).

Indeed, as shown in Fig. 5C, the livingness is with about 60% relatively low and also lower as the comparable experiment that was conducted entirely in batch (where a livingness of 66% was achieved). As such even with precise control over the reaction and exposure time chain end degradation is pronounced. The decrease in livingness could be explained by the higher surface area of the reaction solution in flow when compared to batch experiments, which again could lead to local overexposure and hence loss in chain end fidelity.

Conclusions

This contribution investigates the role of wavelength and light intensity in XPI-RAFT polymerization and its influence on polymer livingness. An NTO analysis by TD-DFT calculations has been conducted, showing a significant difference in the nature of the S2 excited state of Xan and PABTC. The xanthate shows the contribution of the σ^* orbital relevant for β -scission, which can explain the improved PI performance. Experimentally, long irradiation times can lead to CTA degradation, especially in multiblock syntheses involving longer sequences. A change in wavelength to activate the Xan more exclusively did not lead to the anticipated increase in livingness, while a reduction in intensity did (without substantially increased reaction times). As such over-saturation, as also shown for PI polymerization,²² is likely to cause loss in end group fidelity as it leads to unproductive excitation. Hence in an XPI process aiming for multiblock copolymers or other complex structures that require chain extension, a very low UV light intensity (as also used for multiblock production in PI polymerization⁸) is recommended. Still, for homopolymer synthesis high intensities are suitable and enable fast polymerization.

The concentration of CTA is also a factor to be considered and similar to multiblock synthesis using RAFT polymerization,⁴⁴ a high monomer and CTA concentration is beneficial. Since precise reaction time control in a flow chemistry setup did not lead to any improvements either, it can be concluded that photon flux density (in relation to CTA) is the most important parameter to optimized for highly living XPI polymerizations. While the aspect hasn't been tested in this study, a link to the type of monomer is likely. The maximum productive photon flux density is likely capped by how fast the respective monomer can propagate. As such oversaturation would be easier to reach for *e.g.* methacrylates (which is consistent with findings about the monomer scope in XPI-polymerization²⁸).

Data availability

The raw data has been uploaded as ESI.†

Conflicts of interest

There are no conflicts to declare.

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