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Factors influencing the chiral imprinting in perovskite nanoparticles

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Chiral perovskites have emerged as a new class of nanomaterials for manipulation and control of spin polarized current and circularly polarized light for applications in spintronics, chiro-optoelectronics, and chiral photonics. While significant effort has been made in discovering and optimizing strategies to synthesize different forms of chiral perovskites, the mechanism through which chirality is imbedded onto the perovskites by chiral surface ligands remains unclear. In this minireview, we provide a detailed discussion of one of the proposed mechanisms, electronic imprinting from a chiral ligand.

Introduction

Since the seminal work by Moon and coworkers, which reported chiroptical signatures in two-dimensional hybrid organic–inorganic perovskite (HOIP) films,¹ interest in the development and understanding of chirality in perovskite materials has grown dramatically.^{2,3} This interest stems from the additional functionality that chirality imbues into the optoelectronic properties of perovskites, *i.e.*, their tunable emission over the entire visible spectral region,⁴ large defect tolerance,⁵ near unity photon emission quantum yields,⁶ and high charge carrier mobility.⁷ Therefore, in addition to achiral perovskites being at the forefront of sources for light emitting diodes (LEDs),⁸ lasers,⁹ and photovoltaics,¹⁰ chiral perovskites could become the next-generation nanomaterials for opto-spintronic devices,¹¹ circularly polarized light sources^{12,13} and detectors;¹⁴ and they provide a platform for examining the chiral induced spin selectivity effect¹⁵ through demonstrations of spin polarized charge transport,^{16–18} as well as spin dependent photogalvanic and photovoltaic responses in optoelectronic devices.¹⁹

The general strategies employed to generate colloidal chiral perovskite nanoparticles (NPs) can be broadly classified into two main categories: (a) a direct synthetic approach in which the chiral ligands, along with the cationic and anionic precursors in the presence of appropriate surface functionalizing ligands and solvents, are reacted to form the chiral perovskite NPs, and (b) a post-synthetic modification of achiral perovskite NPs with chiral ligands.^{20–22} Note that direct synthetic strat-

egies can involve ligand assisted precipitation, sonochemical synthesis, non-solvent crystallization, slow evaporation crystallization, cooling crystallization, or anti-solvent vapor assisted crystallization. The reader is directed to recent review articles which discuss these different synthetic approaches for inducing chirality in perovskite NPs in more detail.^{11,23–26} Understanding the origin and mechanism of chirality in perovskites is paramount for maximizing their performance in applications. Thus, the synthetic approaches and the resulting morphologies ought to play a critical role in this regard.

The major mechanisms that have been proposed for the induction of chirality in perovskites include the following:

- **Surface distortion of the perovskite from interaction with the chiral ligand:** surface distortions have been hypothesized to explain the circular dichroism (CD) and circularly polarized luminescence (CPL) activity of *R*- and *S*- α -octylamine functionalized cesium lead bromide (CsPbBr₃) NPs.²⁷ This idea was later corroborated by computational studies which showed that chiral ligands attached to the surface of perovskite NPs can cause noncentrosymmetric distortion of the surface lattice, penetrating up to five unit cells, thereby inducing chiro-optical properties.²⁸

- **Chiral crystal structure mediated by the chiral organic ligands:** the report by Moon and coworkers (*vide supra*) represents this category where the chiral spacers *i.e.* *R*- or *S*-methylbenzylamine (MBA) positioned between layers of lead iodide frameworks in a two-dimensional perovskite imparts chirality to the whole crystal structure.¹ Similar findings were shown by Chiu and coworkers on 2D HOIPs synthesized with a series of *para* substituted halogen organic spacers [(*p*-XMBA)₂PbI₄], where X = F, Cl, Br, and I], where a direct correlation of the spacing between the inorganic layers and the halogen–halogen interaction between organic cations and inorganic sheets was shown to modulate the CD and CPL signals.²⁹

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• **Chiral assemblies of perovskites:** in 2018, Shi *et al.* reported strong tunable CPL emission over the visible range from a co-assembly of achiral CsPbX_3 ($\text{X} = \text{Cl}, \text{Br}, \text{and I}$) perovskite NCs with the chiral organic lipid N,N' -bis(octadecyl)- L -glutamic diamide (LGAm) and its enantiomer DGAm.³⁰ While CD features were not observed due to scattering from the gel, the two enantiomers of the composite gel produced mirror image CPL spectra that could be modulated through temperature. Similar chirality transfer has been reported in other composite assemblies of achiral perovskite NPs with chiral matrices, such as chiral amino-acid containing gels,³¹ chiral metal-organic frameworks,³² and liquid crystal films^{33,34} among others.

• **Electronic interaction between a chiral ligand and an achiral perovskite:** in 2018, we showed that using a combination of chiral R - or S -phenylethylammonium and achiral octylamine ligands for the direct synthesis of methylammonium lead bromide perovskite nanoplatelets (NPLs) resulted in the generation of chiral NPLs.³⁵ The temperature and concentration dependent CD spectra of the NPLs showed that the chiro-optical activity results from chiral imprinting on the electronic states of the quantum confined NPLs and not explicitly from aggregation or surface structural distortion phenomena. Subsequent reports on the generation of 3D quantum confined chiral CsPbBr_3 nanocubes corroborated the effect of electronic coupling on chiral imprinting in inorganic metal halide perovskite quantum dots.^{20–22} Fig. 1 outlines the

different chiral induction mechanisms proposed by researchers to date. Although these examples provide a loose guide about the origin and mechanism of chirality in perovskite NPs, a more thorough understanding is necessary to guide materials development.

In this minireview, we emphasize experimental studies into how electronic interactions influence chiral imprinting. We begin with a discussion on the effect of halide composition and perovskite stoichiometry (section 2.1) and then delve into the role of ligand identity (section 2.2) before discussing how ligand coverage and orientation affects chiroptical properties (section 2.3) and the importance of quantum confinement (section 2.4) on chiral imprinting. These experimental discussions are linked to model-based understanding of electronic imprinting which dominated the theoretical literature until the past few years, during which more realistic quantum chemical approaches have begun to appear.

2.1 Sensitivity of chiral imprinting on perovskite composition and stoichiometry

The impressive color tunability over the visible region demonstrated for achiral perovskites, achieved through choice of halide, has been shown to manifest for chiral perovskites and their CD spectra. Fig. 2A shows absorbance (left) and corresponding CD spectra (right) for the direct synthesis of methylammonium (MA) lead halide perovskite NPLs synthesized with



Fig. 1 Panel A shows a schematic diagram of chirality induction through surface distortion in R - and S - α -octylamine capped CsPbBr_3 NPs. Panel B shows an example of a chiral crystalline structure that can manifest upon incorporation of R and S -MBA organic cations into lead iodide perovskite octahedral sheets. Panel C shows an illustration for the induction of chirality in perovskites through the co-assembly of achiral CsPbX_3 NCs onto helical lipid structures. Panel D shows a schematic diagram for electronic chiral imprinting between chiral surface ligands and 2 nm sized CsPbBr_3 NPs through wavefunction hybridization. Panel A is adapted from ref. 27 with permission; Copyright 2019, American Chemical Society. Panel B is adapted from ref. 1 with permission; Copyright 2017, Royal Society of Chemistry. Panel C is reproduced from ref. 30 with permission; Copyright 2018, John Wiley and Sons. Panel D is reproduced from ref. 22; Copyright 2024, Springer Nature/the authors.





Fig. 2 Panel A shows absorbance (left) and CD spectra (right) of methylammonium lead halide perovskite NPLs with different halide compositions. Panels B & C show CD spectra of CsPbBr₃ perovskite NPLs upon ligand exchange using S-PEA-Cl (B) and S-PEA-I (C) salts. Panels D & E show absorbance and CD spectra, respectively, of methylammonium lead halide NPLs containing 71% chloride and 29% bromide ions. The black spectra are for NPLs synthesized by mixing pure lead bromide and chloride ligand precursor salts in the desired proportions, and the red spectra represent NPLs synthesized with 71 : 29 Cl : Br stoichiometric ratio of all reagents. Note, the absorbance data are offset for clarity. Panels A, D, & E are adapted from ref. 36 with permission; Copyright 2022, University of Pittsburgh. Panels B & C are reproduced from ref. 37 with permission; Copyright 2022, American Chemical Society.

chiral phenylethylamine (PEA) ligands.³⁶ The first excitonic transition of the 100% Cl perovskite, ~350 nm, can be shifted to ~450 nm with a 20 : 80 I : Br halide composition and maintain its strong chiroptical features. Note that deviations in the classical bisignate structure of the CD signal occur for some of the mixed halide compositions and are attributed to deviations in packing of the Pb octahedra leading to orientational effects of the chiral ligands (see section 2.3 for more detail). Similar tunability of the perovskite's chiroptical properties can be achieved through halide exchange. Jiang *et al.* synthesized cesium lead bromide NPLs and exchanged the native ligands with *R/S*- α -PEA-X and *R/S*- β -PEA-X (X = Cl, I) salts. The halide exchange with iodine and chlorine resulted in a red and blue shift of the first excitonic peak, respectively, with the magnitude of the shift determined by the amount of salt added; see Fig. 2B and C.³⁷

It is important to note that the chiral imprinting appears to persist independent of the perovskite NP's shape and the cation identity; studies report chiroptical activity in nanocubes,^{20–22} nanorods, and nanowires,³⁸ as well as in systems comprising formamidinium³⁹ and cesium^{40,41} cations in place of methyl ammonium. Elucidating trends between the CD response and stoichiometry/composition of perovskites, however, remains challenging. In part, this can be attributed to the lack of appropriate studies which consider factors known to affect the intensity of the CD, *e.g.*, ligand coverage and orientation (section 2.3); however, data also need to be reported in CD units which account for the changes in the extinction coefficient of perovskites with different composition and stoichiometry to make valid comparisons.

Further complications to understanding chiroptical properties arise in perovskites with mixed halide compositions. Consider for example a methylammonium lead halide NPL with a 71 : 29 percent ratio of Cl : Br synthesized in two ways: (1) where all of the reagents, *e.g.* PbX₂, MAX, and PEAX, comprise a 71 : 29 ratio of Cl to Br, and (2) PbBr₂ is used as the sole Br-source and MAcl and PEACl are used as the cation and ligand salt, respectively. Fig. 2D shows overlapping absorption spectra for the two synthetic approaches, those with the 71 : 29 stoichiometric ratio of all reagents (red) and those made with a pure PbBr₂ source (black), and indicate that the halide composition for the different preparations is approximately the same.³⁶ Interestingly, however, an opposite CD-response is observed despite the same enantiomeric form of the ligand, *R*-PEA, for the synthesis (Fig. 2E). We posit that the behavior is related to differences in the homogeneity of the NPL surface leading to changes in the ligand packing, akin to that shown for chiral CdSe nanoparticles with different crystalline structure showing opposite Cotton effects;⁴² however, more rigorous studies are necessary to quantify the ligand coverage, orientation, and structural ordering of the perovskites to validate this hypothesis. Regardless of the underlying cause, this study uniquely highlights the sensitivity of chiral imprinting to perovskite composition and preparation.

2.2 Ligand identity and chiral imprinting

The influence of chiral ligand identity on chiroptical properties manifests in *n* = 2, methylammonium lead halide NPLs with *R/S*-2-octylammonium (*R*- or *S*-2OA) and *R/S*-naphthylethylammonium (*R*- or *S*-NEA) ligand shells.⁴³



While the absorption profiles of the *R*- or *S*-NEA and *R*- or *S*-2OA NPLs show similar first excitonic transitions (Fig. 3A), their CD spectra show a markedly different response (Fig. 3B and C). The *R*- (dotted line) and *S*-NEA capped NPLs (solid line) show a bisignate feature in the 400–500 nm region in which the peak and trough have approximately the same area (Fig. 3B); however, the *R*- (dotted line) and *S*-2OA NPLs (solid line) at the same excitonic transition exhibit very different areas (Fig. 3C). These findings are consistent with other reports in which changes in the CD structure of methylammonium lead bromide NPLs is observed with 4-dimethylbenzylamine, methylbenzylamine, ethylbenzylamine, and cyclohexylethylamine ligands.⁴⁴ Note that, similar ligand identity dependent changes in the bisignate lobes of *R/S*-NEA and *R/S*-2OA was also observed in 2 nm chiral CsPbBr₃ nanocubes synthesized through ligand exchange and therefore imply that the perovskite – ligand interaction is important for observing the phenomenon and not the synthetic method.²⁰ While an exact mechanism responsible for these observations has yet to be identified, researchers have attributed the effect to ligand binding affinity, ligand binding geometry, π - π stacking, or long range ordering on the nanomaterial surface being induced by the chiral ligands.^{44–48}

In addition to affecting the shape of the chiroptical features, the difference between the peak and the trough of the bisignate feature in the CD spectrum is affected by the ligand identity. Such an effect was observed for chiral 2 nm sized CsPbBr₃ NPs capped with *R/S*-4-*X*-phenethylammonium bromide (*R/S*-4-*X*-PEABr, where *X* = CH₃, H, F, Br).²² Here, the

amplitude of the CD spectra of the NPs capped with *R/S*-4-*X*-PEABr showed a variation in intensity which followed the trend CH₃ > H > F > Br and were found to correlate well with the Hammett parameter (σ_{para}), *i.e.* logarithm of the ratio of the ionization equilibrium constant of benzoic acid with CH₃, F, and Br at the *para* position to that with H at the *para* position, a metric for the withdrawal of electron density from the ammonium group across the phenyl ring and the ligand protonation energy (Fig. 3D). Note that, the large error bars in Fig. 3D are associated with synthetic batch-to-batch variation in the amplitude of the CD; however systematic trends with ligand protonation energy are observed for each synthetic batch. The relationship between CD amplitude and ligand protonation energy could arise from an increase (or decrease) in wavefunction overlap between the molecular orbitals of the chiral ligand and those on the perovskite NP and/or differences in the resonance between the electronic state energies of the perovskite and the ligands. An estimation of the energy alignment between the perovskite valence band (VB) and conduction band (CB) to that of the HOMO and LUMO of the bound *R/S*-4-*X*-PEABr are shown in Fig. 3E, and show that the HOMO approaches resonance with the valence band of the perovskite as the electron donating character of the functional group improves.²² A more thorough understanding of this trend necessitates additional experimental and theoretical studies involving a more robust class of ligands with larger variations in wavefunction overlap and electronic state energies.

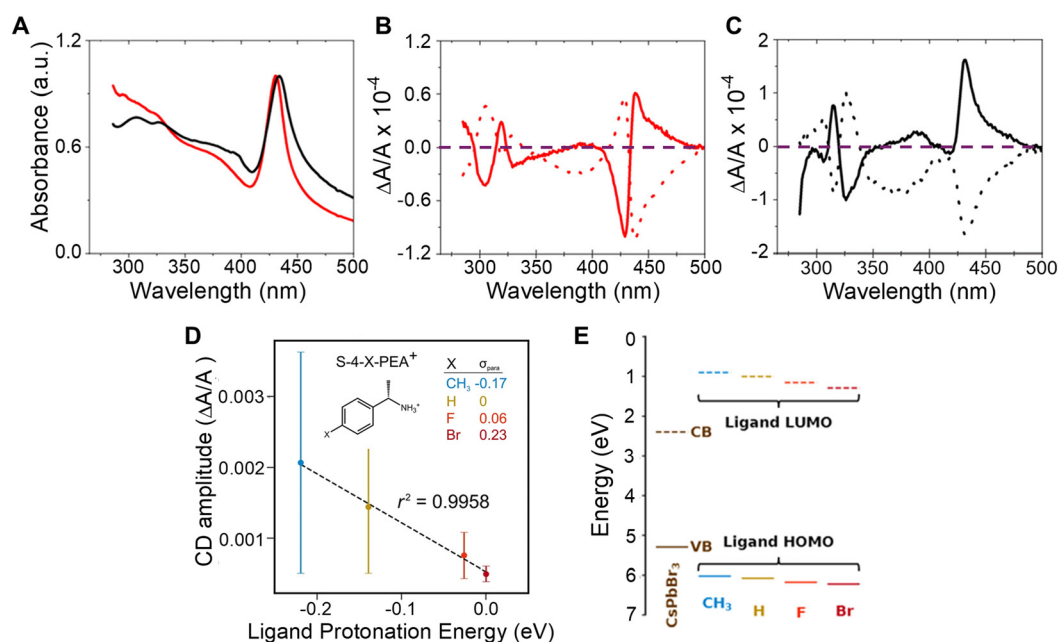


Fig. 3 Panel A shows UV-visible absorption spectra of CH₃NH₃Pb₂Br₇ NPLs capped with chiral 2OA (black) and chiral NEA (red) ligands. Panels B and C show CD spectra of the *R*- (dotted) and *S*-NEA (solid) CH₃NH₃Pb₂Br₇ NPLs and *R*- (dotted) and *S*-2OA (solid) CH₃NH₃Pb₂Br₇ NPLs respectively. The purple dashed line demarcates the position in the plots where the CD intensity is zero. Panel D shows the correlation between CD amplitudes and ligand protonation energy in *R*- or *S*-4-*X*-PEABr capped CsPbBr₃ NPs. Panel E shows the variation in energy level alignment between CsPbBr₃ NPs and *R/S*-4-*X*-PEABr⁺-Br⁻ ion pair complexes. Panel A–C are reproduced from ref. 43; Copyright 2022 American Chemical Society. Panels D & E are adapted from ref. 22; Copyright 2024, Springer Nature/the authors.



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tonic transitions of the QDs, and attributed the phenomenon to hybridization between the QD valence states with the HOMO orbitals of the chiral ligands.⁵⁶ Subsequent time-dependent density functional theory calculations corroborate this model,⁴² and can explain the experimentally observed changes in chiro-optical properties with QD size,⁵⁷ chiral ligand type,^{58–60} and shell thickness.⁶¹

To date, theoretical studies of chiral imprinting on perovskite NPs argue that (1) ligand induced structural distortions are responsible for chirality in perovskites,^{27,28,49} analogous to that shown for 2D hybrid organic–inorganic perovskites;^{51,62,63} or (2) wavefunction hybridization or dipolar coupling between the chiral ligand shell and the perovskite leads to chiral induction.^{22,37,43,64} The preponderance of experimental studies imply that multiple mechanisms can occur simultaneously.²¹ Although theoretical models have been able to rationalize experimental trends for chiral imprinting,^{22,43} *ab initio* quantum chemistry calculations on realistic nanoparticle sizes is lacking. DFT approaches are beginning to reach experimentally relevant sizes, however; and recent studies have identified a number of different mechanisms for electronic imprinting, which include electronic hybridization between the ligand and NP exciton,³⁷ static ligand dipole to exciton transition dipole coupling,⁶⁴ and modulation in the density of electronic states.⁶⁵ Such first principles calculations, which can reproduce experimentally observed Cotton effects and trends at relevant wavelengths, promise to reveal the mechanistic features responsible for electronic chiral imprinting.

Much progress has been made with regards to understanding the factors that affect electronic chiral imprinting in perovskite NPs. It is now clear that the choice of chiral ligand and its subsequent orientation and coverage, when bound to the perovskite, strongly influence the chiro-optical response. Moreover, quantum confinement is shown to be critical for imprinting chirality through electronic interactions. A more thorough understanding of chirality in perovskites, however, requires more rigorous studies which can deconvolute the different mechanisms discussed here.

Data availability

This manuscript is a mini-review and uses data that has been already published as journal articles or PhD theses.

Conflicts of interest

The authors declare no competing financial interests.

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