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Design principles for LiFePO_4 electrodes with improved recyclability†

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To improve sustainability of lithium-ion battery electrodes there is a need to design in recycling at the manufacturing stage. In this work, a method to improve LiFePO_4 recovery rates through binder and electrode microstructure design is presented. Electrodes are produced by tape cast and direct ink writing methods with biopolymer, aqueous binder systems: carboxy-methyl cellulose with styrene butene rubber, or sodium alginate, with and without a secondary solvent rheology modifier, octanol. The recovery rate of the active material is measured after a short low power ultrasound delamination process, performed in water. Electrodes which exhibit good wettability, as observed through low contact angles, and low tortuosity, delaminate faster with higher recovery rates. Improvements from 2% to 60% black mass recovery is observed with CMC-SBR electrodes with the addition of octanol in the electrode inks, and from 79% to 86% in direct-ink printed compared to tape cast electrodes when using alginate binders. These results highlight the importance of electrode design in the circular manufacturing and recycling of LIBs and lay the groundwork for future research into new design principles for printed electrodes.

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Introduction

Lithium-ion batteries (LIBs) have emerged as a vital technology for enabling a sustainable future, particularly in achieving Net Zero targets through electrification of transportation and the power grid. As the demand for energy storage continues to rise, the recycling of LIBs becomes crucial for resource conservation and the circular economy, with 70% recycling required by 2030.¹ To address this, it is imperative to develop sustainable and efficient recycling processes that adhere to green principles of sustainability, engineering, and chemistry.² The recycling of LIBs typically begins with the collection and sorting of batteries. Sorting batteries based on their chemistries plays a pivotal role in creating purer material streams and facilitating more effective recycling. The cells are typically shredded, and the waste then size separated. Physical separation methods, such as sieving and magnetic separation, can be employed as pre-treatment steps to segregate various components.³ These separation techniques enable the extraction of valuable components, such as coated aluminium and coated copper, while pre-separating graphite from transition metals, thus generating purer material streams for further processing and minimizing dissolution.⁴ Further separation and purification can be achieved through hydrometallurgical methods,

such as leaching and solvent extraction.⁵ One of the key challenges in LIB recycling is the separation of different materials in shredded battery waste to prevent cross-contamination. This necessitates the exploration of innovative techniques and processes for effective material separation. Disassembly techniques can enhance the separation of different components, leading to higher-purity material streams for downstream processing. The purity and quality of the recycling feedstock directly impact the value and sustainability of the recycling process, underscoring the importance of optimizing material recovery.^{6,7} To prevent waste, the recovery rates of all components within the cell require maximising. Current collectors and active material components can be recovered through 'design for disassembly' methods. This requires careful choices for solvents and binders used in the electrode fabrication processes. Renewable and biodegradable feedstocks, can be used with safer solvents and auxiliaries, such as water, for manufacture of electrodes, substituting for the harmful *N*-methyl pyrrolidone (NMP) solvent and polyvinylidene fluoride (PVDF) binder which has been traditionally used.⁸ PVDF is classed as a per and polyfluoroalkyl substance (PFAS) which has serious implications due to the future widespread ban on their uses and production in Europe.⁹ Fluorinated polymers can be made through emulsion-based reactions which can lead to PFAS contamination and has been documented to be harmful to health and the environment.¹⁰ PVDF is also known to be extremely stable and highly resistant to breakdown in an ambient environment, posing challenges in recycling and sustainability.¹¹ Microplastics and thermal degradation of these

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This work aims to provide a more holistic approach to electrode design for greater sustainability, considering both manufacturing and recycling processes together. A novel electrode design is proposed and demonstrated for LiFePO_4 (LFP).^{33–35} This design uses a 3D printing manufacturing process which produces less waste during the production of electrodes compared to current industrial processes, and the 3D electrode structure maximises the efficiency for material recovery when recycled using a low energy separation process. Renewable binder feedstocks with a safe and benign solvent, water, are used, these impacts both the manufacturing and recycling processes of LiFePO_4 electrodes. The ink requires modification and the rheological properties optimised, so that the structure of the print is retained, this is performed with a biodegradable secondary solvent. The binders can also biodegrade thus reducing contamination and waste upon recycling, and they substitute for PVDF which can only dissolve in limited solvents such as NMP, providing a more sustainable alternative binder-solvent system. The electrode designs provide good electrochemical performance whilst also allow for efficiency optimisation of the recycling process for production of high purity and quality recycling feedstock. Thus, this holistic approach can foster a more sustainable and circular economy for lithium-ion battery electrodes.

current collectors (CAL) were used for printed electrodes to improve its adhesion.

Electrochemistry tests

The coat weight of the electrodes was adjusted to achieve areal capacity of approx. 1 mA h cm⁻² for a specific capacity of 160 mA h g⁻¹. Dried coatings were processed in a dry room with a dew point of -45 °C. 2032 coin cells were constructed with positive electrode discs 14.8 mm in diameter (cathode half-cell), tri-layer 2025 separator (Celgard) and lithium metal disc acting as a counter electrodes (15.0 mm, 70 µm thick) filled with 70 µm of 1 mol L⁻¹ 1.0 M LiPF₆ in EC/EMC (ethylene carbonate/ethyl methyl carbonate) 3/7 (v/v) + 2 wt%. VC (vinylene carbonate) electrolyte and sealed with a hydraulic crimper (MSK-110, MTI Corporation, USA), as demonstrated in Fig. S2.† The coatings were tested electrochemically in the coin cells half-cells. Electrochemical testing was performed on a Bio-Logic BCS 805 series cycler. Initial capacities and formation were obtained by applying the following protocol: 10 mA g⁻¹ for the anode half cell: lithiation 1.0 V vs. Li/Li⁺ and delithiation to 2.5 V vs. Li/Li⁺, whereas for the cathode half-cells: charge to 3.95 V vs. Li/Li⁺ and discharge to 2.8 V vs. Li/Li⁺. For both types of cells, the same steps were repeated twice. The same test was repeated for three times in total for each type of the samples, where an average 1st cycle charge/discharge capacity can be obtained with standard deviation corresponding to the error bars.

Additionally, current density testing was performed by applying three cycles between rates of 0.25 C and 7.5 C, *i.e.* 40 mA g⁻¹, 80 mA g⁻¹, 160 mA g⁻¹, 320 mA g⁻¹, 500 mA g⁻¹, 800 mA g⁻¹, 1200 mA g⁻¹ and 40 mA g⁻¹. Followed by cycling by setting 80 mA g⁻¹ (C/2 rate) at the charge and 160 mA g⁻¹ (C rate) for the discharge cycles, respectively, for total of 100 cycles for the test. The cycling tests were repeated for 3 times in total for each type of the cell, and the best performing cell among the repeats in this case will be chosen.

Electrode delamination (separation) and characterisation

The as-prepared samples were first cut into 65 mm × 45 mm pieces by scissors, in order to standardize the tests. Each sample then placed in a beaker inside an ultrasonic bath (MEB Total Limited). A varying active material to water ratio of 1 : 20, 1 : 50, and 1 : 100 was applied in this case for all types of the electrodes involved in this study. The ultrasonic power applied was 50 W under ambient temperatures for 1–10 minutes. The reclaimed powder was washed with distilled water to remove the residues and centrifuged at 4000 rpm for 20 minutes to recover the materials. Two further repeats were performed for each type of electrode, using the same active material to water ratio, to assess reproducibility. An average separation efficiency was calculated, with the standard deviations corresponding to the error bars. Any remaining active materials attached to the current collectors after washing were regarded as unseparated. The sediments were further dried and collected (black mass). The separation rate of active materials from the current collectors³⁶ was calculated using

eqn (1), where m_0 is the weight of the current collector of the same size, m_1 is the weight of electrode before separation and m_2 is the weight of electrode after separation (assuming the weight of the current collector stays constant).

$$\text{Peel-off efficiency} = \frac{m_1 - m_2}{m_1 - m_0} \times 100\%. \quad (1)$$

Contact angles

Contact angles were measured by a Contact Angle Goniometer (Ossila Contact Angle, UK) to investigate differences in wettability. Here, the samples were attached to the glass slide, and a droplet of 10 µL distilled water was dripped onto the sample surface in 5 different spots. The obtained contact angles were then averaged to achieve the final result, along with the standard deviation representing the error bars.

The surface morphology of the coating materials before and after separation process was characterized by **Scanning electron microscopy** (SEM, Hitachi 3030+ Desktop) and the element compositions were detected by Energy Dispersive X-ray Spectroscopy (EDS). Particularly, representative coatings were cross-sectioned and observed under SEM to identify the existence of microchannels. The cross-sectional micrographs were analyzed using the TauFactor add-in,³⁷ in Matlab to calculate tortuosity of the coatings, where the tortuosity of the coating can be automatically calculated with the input of a cross-sectional SEM image.

Evaluation

In the end, the results were collected and put into a self-designed assessment matrix **ERADE** (Electrode Recyclability and Design Evaluation), as shown in Table S1.† Several criteria, material range, ink readiness, design versatility, economic viability, electrochemical performance, recyclability, are considered and a score assessed for each type of coating produced by different methods:

- Starting from an initial score of 0, scores of 0–5 are given for both draw-down and printed electrodes in terms of each criterion on different aspects of electrode manufacturing, which are then summed.
- A higher score represents an electrode format that is more focussed on green chemistry principles.

Results and discussion

The two binders CMC/SBR or NaAlg, were investigated for suitability with LiFePO₄ using a standard draw-down method to produce electrodes. In order to modify the inks for suitability for direct ink writing, 1-octanol was added to decrease the flowability of the ink as performed previously,²⁸ this secondary solvent addition produced inks which were readily extruded, reduced slumping and produced patterned electrodes. Rheological properties of the inks are presented in Fig. 1(a) and (b), respectively. Viscosity vs. shear rate in Fig. 1(a) indicates shear thinning behaviour of all tested inks. NaAlg based



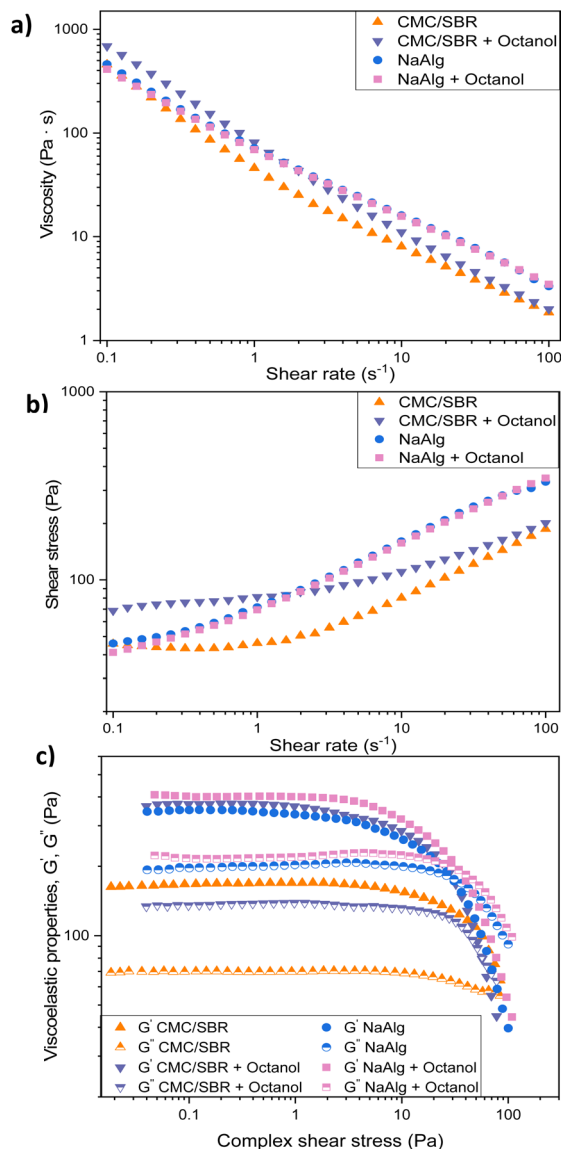


Fig. 1 Rheological properties of the LFP prepared inks, viscosity (a), shear stress (b) vs. shear rate and oscillatory rheology (c), respectively.

inks without and with the addition of 1-octanol, exhibited more Newtonian behaviour from approximately 2 s^{-1} . This can indicate that polymer chains of alginate macromolecules are oriented along the extrusion flow direction.³⁸ It can be also observed that CMC/SBR + octanol ink demonstrates higher viscosity than the same slurry but without the addition of the secondary fluid. This ink exhibits an ideal shear thinning behaviour at the tested shear rates. The oscillatory rheology, presented in Fig. 1(c), demonstrates that octanol addition to the slurries increase both G' and G'' for both binder system tested. However, ink prepared with CMC/SBR + octanol exhibits more significant increase in comparison with alginate-based ink. All the investigated slurries show G' and G'' crossover that allows to determine the yield stress, that indicates the force required to break up the structure and start the material to flow. The σ_0

values were obtained from the intersection of the low shear stress (in the linear range of viscoelasticity) and those at higher shear stress values (in the nonlinear range of viscoelasticity), derived from G' , presented in the Fig. 2c. It can be noted that the σ_0 increases for the NaAlg + octanol in comparison with slurry composed of NaAlg only and decreases when 1-octanol is added when CMC/SBR binder is applied. The highest value of σ_0 (25.6 Pa) was observed for the NaAlg + octanol ink that would indicate the strongest structure formed between the LFP, used binder and secondary fluid.

Fig. 2(a)–(c) shows examples of the produced printed electrodes during this study. The printing line spacing varied in different electrodes: $\sim 0.32 \text{ mm}$ spacing in Fig. 2(a), $\sim 0.64 \text{ mm}$ spacing in Fig. 2(b), and $\sim 0.64 \text{ mm}$ spacing in Fig. 2(c). However, the coat weight ($\sim 1 \text{ mA h cm}^{-2}$) was kept the same for electrodes to enable direct comparison. Meanwhile, Fig. 3(a)–(d) show the cross-sectional SEM micrographs of both coating produced by the draw-down and the printing method. As can be seen, channels were formed in the printed electrodes.

Fig. 4 shows the first cycle electrochemical performances of all the electrodes. As can be seen, the formation capacities, first cycle columbic efficiency (CE%) and the rate capability for all the electrodes were very similar, as expected with the areal coat-weights of $\sim 1 \text{ mA h cm}^{-2}$. The differences in transport properties and formation are likely more pronounced at higher mass loadings, as has been shown previously.³⁹ Fig. 5 shows comparable electrochemical performances after multiple cycles of testing. The printed NaAlg with octanol electrodes showcased the highest discharge capacity at 1C rate of approximately 120 mA h g^{-1} , along with a relatively stable long-term performance over 100 cycles (0% capacity fade). This discharge capacity was higher than that of the draw-down NaAlg with octanol electrodes, which exhibited a discharge capacity of approximately 112 mA h g^{-1} at 1C. Regarding the CMC/SBR electrodes without any octanol addition, they exhibited comparable cycling performances during the initial cycles. However, for the printed CMC/SBR electrodes, a decline in capacity was observed from the 80th cycle onwards. This

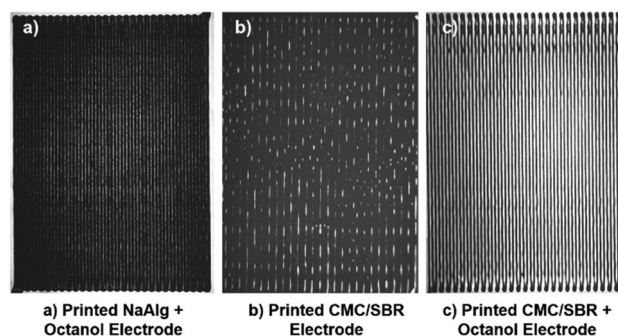


Fig. 2 Examples of printed electrodes in this work (a) sodium alginate (NaAlg) and octanol secondary solvent, (b) carboxy methyl cellulose (CMC) with styrene butene rubber (SBR) (c) carboxy methyl cellulose (CMC) with styrene butene rubber (SBR) and octanol secondary solvent.

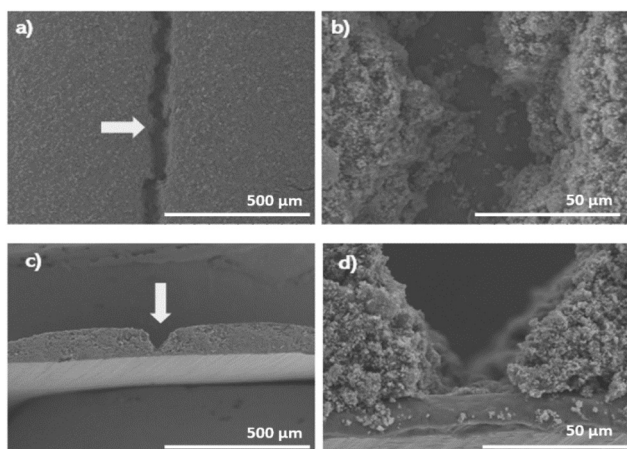


Fig. 3 SEM micrographs of the channels of LFP with alginate binder electrodes that were digitally printed, containing 0.5 wt% 1-octanol (a) top-view of the printed electrodes, revealing the existence of channels at a macro scale and (b) mesoscale. (c) Cross-sectional SEM micrograph of the printed electrodes at a macro scale and (d) mesoscale.

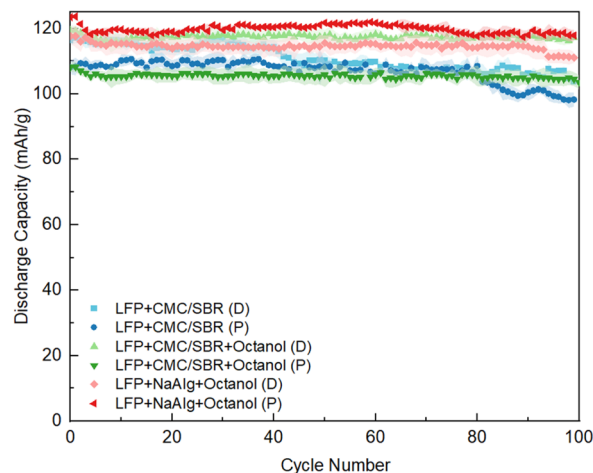


Fig. 5 The cycling performances of the samples involved in this project tested after 100 cycles. Here D is short for draw-down electrodes, P is short for printed electrodes; the shades of each plot indicate the error bars.

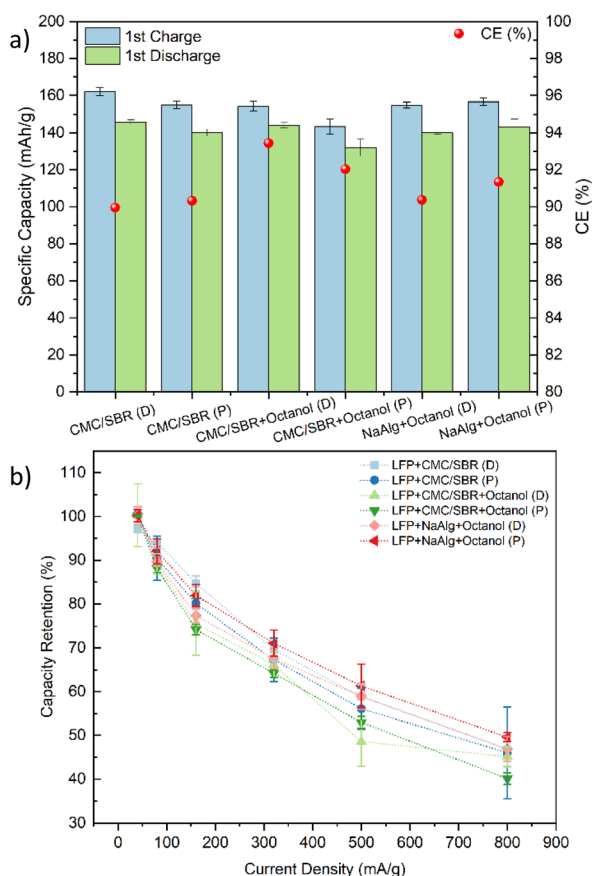
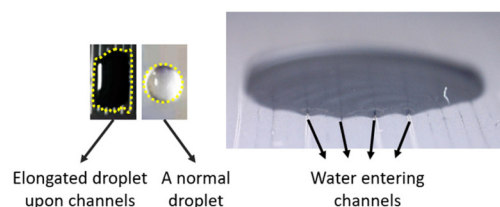


Fig. 4 (a) The capacity during formation tests and first cycle efficiencies of different electrodes (b) current density evaluations of the different electrode coatings. Here D is short for draw-down electrodes, P is short for printed electrodes.

decline in performance can be attributed to the CMC/SBR ink not being specifically designed for printing, resulting in an overall deterioration of the printed electrodes' performance. On the other hand, the CMC/SBR with octanol addition, intended to modify the ink rheology for printing, showed improvements in performance for the printed electrodes (discharge capacity of about 114 mA h g^{-1}) compared to the draw-down electrodes (discharge capacity of about 110 mA h g^{-1}). These results indicate that the printed LFP electrodes with alternative green binders exhibit electrochemical performances comparable to standard LFP cells using CMC/SBR binder materials *via* the casting method.

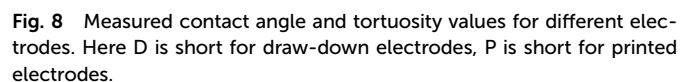
Another focus of this work was on the recovery rates and efficiencies for recovery of the materials from the electrodes during recycling processes. A flow chart which summarises the different electrode delamination approaches trialled in this work is shown in Fig. S3.† The energy inputs were evaluated, and the recovery efficiency maximised for the lowest energy inputs. The most efficient process was a low power ultrasound delamination, which combined the delamination and binder negation process for the black mass recovery, and thus preserved the complexity of the LFP particles, for possible direct recycling. The delamination and separation efficiencies are noted for all the electrodes, as shown in Fig. 6, it is evident that both draw-down and printed CMC/SBR electrodes without octanol additions exhibited very low separation efficiency ($\sim 0\%$) within 10 minutes, even with an increased active material to solvent ratio. Additional ultrasonic treatment for 20 minutes did not yield satisfactory separation efficiencies ($<10\%$) for these electrodes. Notably, for electrodes with similar mass loadings, the printed ones demonstrated higher separation efficiencies compared to draw-down electrodes when subjected to the same separation approaches. The superiority of printed NaAlg electrodes with octanol additions is particularly evident, achieving a separation efficiency of 85.81%

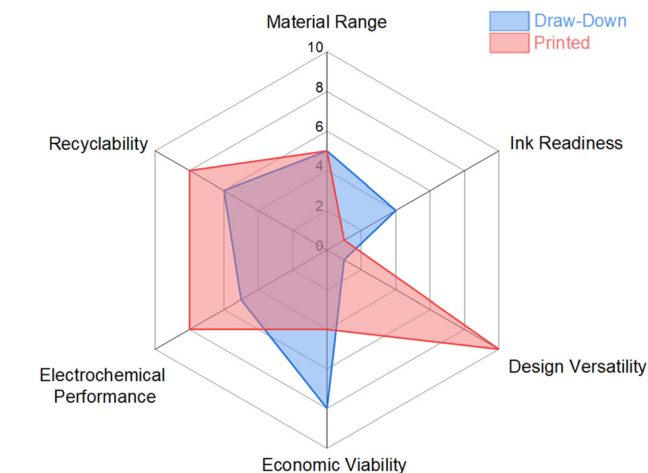


ation behaviours. However, the inclusion of octanol in the CMC/SBR coatings resulted in a significant increase in wettability, as evidenced by a remarkable rise in separation efficiency from 3% to 60%. This indicates that the addition of octanol played a crucial role in enhancing the wettability, thereby positively impacting the separation efficiency.

On the other hand, tortuosity is a measurement relating a curved or winding path compared to the direct or straight line route. In batteries it is used to understand the ion transportation pathway in the electrolyte.⁴⁴ The cross-sectional SEM images of both draw-down and printed LFP electrodes were compared. The results are presented in Fig. 8, and as anticipated, a substantial decrease (34%) in tortuosity is observed for the printed electrodes. This is because in draw-down electrodes (Fig. 9a), the tortuosity value tends to be high due to the random distribution of the coating particles, resulting in indirect pathways for solvent transport. In contrast, the printed electrodes (Fig. 9b) exhibit channels that allow for direct transport of the solvent into the electrode. This characteristic is not only relevant for electrolyte mass transport,^{45,46} but also plays a significant role in facilitating the imbibition of delamination solvents.

To compare the two electrode manufacturing processes data was compiled into the ERADE assessment matrix for com-





parative analysis (Table 1). As observed in Table 1, the printed electrodes, which utilise Na-alginate binders exhibited an overall higher score than their counterparts. A radar diagram summarizing the factors for both draw-down and printed electrodes is presented in Fig. 10. In general, while 3D printing techniques may incur higher costs during the initial stages, necessitating ink modification and equipment setup, they subsequently offer greater design flexibility, comparable electrochemical performances, and improved electrode separation with controlled disassembly and optimized recycling. This conclusion aligns with the findings of a study conducted by

Lyu *et al.*,³⁵ which highlighted the advantages of designing 3D printed electrodes to enhance performance outcomes. The design of electrodes can significantly impact the manufacturing and recycling of lithium iron phosphate electrodes. Water-based binder systems offer cost-effective and environmentally friendly approaches for manufacturing electrodes through tape casting or printing methods. However, the traditional CMC-SBR system, while exhibiting good adhesive properties, has sustainability concerns as SBR is derived from oil and is not water-soluble. SBR adversely affects the wettability of the electrode in water, leading to low recovery efficiencies. To address this issue, the wettability can be enhanced by incorporating a small quantity of a secondary solvent, octanol, at

		0–2 (inclusive)	3–5 (inclusive)	Draw-down electrodes	3D printed electrodes
Material range	Applicable to most types of materials?	No – certain types of materials are unavailable	Yes – applicable to most types of materials, <i>e.g.</i> metals, ceramics, <i>etc.</i>	5	5
Ink readiness	No requirement on further ink formulation (<i>e.g.</i> whether needs secondary fluid?)	No – requirement on ink formulation	Yes, or little requirement on ink formulation	4	1
Design versatility	Ability to produce patterns within electrode/construct complex electrode architecture?	No	Yes	0	5
	Ability to precisely deposit ink/ink controllability and electrode resolution?	No – little or no ink controllability, low resolution	Yes – excellent ink controllability, high resolution	1	5
Economic viability	Initial cost of Setup	More than £5000 ³⁴	Less than £5000	5	2
	Energy consumption	More than 1 kW h ³⁴	Less than 1 kW h	3	2
Electrochemical performance	Specific capacity and rate compared to that of draw-down electrodes with CMC/SBR	Capacity and rate not as good as CMC/SBR	Capacity and rate are similar or improved compared to CMC/SBR	3	3
	Tortuosity	>1	Able to reach a tortuosity of 1	2	5
Recyclability (Separation)	Separation efficiency <i>via</i> water immersion	<90% within 5 min (Na–Alg binder system)	≥90% within 5 min (Na–Alg binder system)	2	4
	Recovery rate of active material	<90%	≥90%	4	4
Total				30	38

the ink level. Octanol not only improves the final electrode's wettability but also modifies the fluid characteristics to facilitate successful printing and shape retention during the printing process. Consequently, the printed electrodes demonstrate improved wettability, as evidenced by lower contact angles, and possess lower tortuosity pathways for solvent imbibition. This combined effect leads to significantly improved recovery rates of the LiFePO_4 electrode during recycling. Overall, the study highlights that both wettability and tortuosity are crucial considerations in electrode design to achieve better recovery rates and enhance the sustainability and recycling efficiency of energy storage systems.

In this work we are **preventing waste** in two manners, the first is by reducing the waste which occurs during the manufacturing processes, and the second is by maximising the recovery of the materials. Typical ways to produce electrodes such as slot-die coatings produce double sided coating, which are then punched to make the electrodes to size.⁴⁷ In this work electrodes of exactly the right size can be printed, thus removing the need for cleaning of the tabs, and waste electrode. In addition, the electrodes are **designed for separation**, both the water-based binders, but also the microstructural design mean that the **efficiency** and recovery of the active material, LiFePO_4 and the aluminium current collector is **maximised**. The water-based binders, such as alginate, carboxy methyl cellulose and octanol biodegrade rapidly in aquatic environments. Since the binders and octanol are bio-degradable and the recovery of the electrode materials are maximised for recovery, the resulting water after separation will break down to innocuous degradation products such as water and CO_2 , which will not persist in the environment, therefore **designing for degradation**. **'Inherent rather than circumstantial'**, the electrode process manufacturing and recycling process has been designed to ensure that the materials and energy inputs and outputs are as non-hazardous as possible.

Conclusions

In this work, the ability to design electrodes for improved recovery rates of lithium iron phosphate has been investigated. Two water-based renewable bio-degradable binder systems, sodium alginate (NaAlg) and carboxy-methyl cellulose (CMC) the latter with styrene butene rubber (SBR) for flexibility, have been used for producing coatings using tape-cast and direct ink printing methods. The electrodes were printed at areal capacities of 1 mA h cm^{-2} for comparison, and both binder systems produced electrodes which exhibited comparable electrochemical results. To ensure successful direct ink writing, the printed electrode inks required rheological modification to prevent slumping, achieved by adding 0.5 wt% octanol, also biodegradable, as an additive to form an extrudable paste. The printed electrodes exhibited lower tortuosity due to the presence of channels in the coating. Across all cases, the printed electrodes demonstrated improved wettability, as confirmed by contact angle measurements. After a

10-minute delamination in a low power ultrasound bath, a higher separation efficiency was observed for printed alginate electrodes (86%) compared to draw-down electrodes (79%). For the CMC-SBR systems, the samples containing octanol showed a recovery improvement of more than 72% in the printed sample compared to only 3% recovery in the absence of octanol. The improved wettability and lower tortuosity facilitated the soaking of solvents into the electrode structure which helps to remove the water-soluble binders and hence improve recovery rates of LiFePO_4 . An assessment matrix for qualitatively evaluating the design, ERADE, is proposed, giving a score of 30 for draw-down techniques and 38 for 3D printing, suggesting that overall 3D printed electrodes are favourable from perspectives of designing electrode format for disassembly and recycling.

In conclusion, this study emphasizes the critical role of electrode design in the recycling of LIBs and lays the groundwork for future research into novel design principles for printed electrodes. Such designs have the potential to offer improved recycling properties without compromising electrochemical performance. This aspect is particularly relevant for low-value materials like LiFePO_4 or sodium-ion materials, where achieving economic materials recovery poses challenges. Overall, this study highlights the importance of considering electrode design in sustainable battery technologies.

Author contributions

Conceptualization, GD, EK.; methodology, GD, EK, LY.; formal analysis, GD, LY.; investigation, GD, LY.; writing – original draft preparation, LY, GD.; writing – review and editing, LY, GD, EK.; visualization, LY.; supervision, GD, EK.; project administration, EK.; funding acquisition, EK. All authors have read and agreed to the published version of the manuscript.

Conflicts of interest

There are no conflicts to declare.

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