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Preparation, characterization, and thermal properties of novel fire-resistant microencapsulated phase change materials based on paraffin and a polystyrene shell

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Paraffin and paraffin mixtures that are preferred as phase change materials in many thermal energy storage applications are highly flammable. Microencapsulation of paraffin in a polymeric shell can decrease flammability, however, breaking of the shell under fire conditions can still cause a high risk. In the current paper, microencapsulated paraffin with a polystyrene shell is prepared and halogen-free flame retardants (*ortho*-phosphoric acid and pentaerythritol) were applied with the novel approach of direct incorporation during the microencapsulation process. Thermal energy storage and fire retardancy properties were characterized before and after fire-retardant addition. The fire behavior of samples in concrete blocks was determined with standardized methods in order to assess their suitability in building applications. *ortho*-Phosphoric acid as a flame retardant in microencapsulated phase change material was tested for the first time in this study. The results support that the improved flame retardancy and thermal energy storage properties were achieved with the incorporation of a flame retardant on microcapsules for energy storing concrete samples.

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1. Introduction

Phase Change Materials (PCMs), used for storing thermal energy as latent heat with high storage density can provide flexible solutions in various applications such as heating, cooling, and thermal management. Different kinds of paraffin available at a wide range of temperatures (−125 to 100 °C) are preferred as PCM in many of these applications.¹ Among the reasons behind the popularity of paraffin are its reliable phase change behavior, commercial availability, and low-cost. Little or no supercooling, no phase segregation, self-nucleating behavior, thermal and chemical stability, non-toxicity, and small volume change are the main features of paraffin that provide reproducible phase changes.² On the other hand, the main drawback of paraffin is its high flammability, which makes it difficult to comply with safety standards and hinders further utilization. This should be taken into account in all PCM applications with the risk of fire hazards.^{3,4} In particular, in recent building codes more rigorous safety codes and flammability requirements are enforced.

In recent passive building applications, PCM is incorporated into concrete to increase energy density and efficiency.^{5–8} One

problem of direct use of PCMs in building materials is leakage from the porous structure, which might result in spreading the fire much faster. Hence, the recent trend is microencapsulating PCMs to avoid leakage problems.^{9,10} In the review of PCMs in building applications by Cabeza *et al.*,¹¹ it can be seen that paraffin is among the most preferred PCMs both in scientific reports and commercial products. There are some microencapsulated paraffin and gypsum wallboard incorporated with microencapsulated paraffin products on the market. Fire retardance methods applied to PCM incorporated wallboards are: adding an inflammable layer on the surface like aluminum foil or rigid polyvinyl chloride film, treating wallboard with liquid fire retardant like Fyrol CEF following incorporation of PCM, using brominated hexadecane and octadecane as PCM, using fire retardant surface coatings.¹² Polymeric shells used in microencapsulated paraffin can decrease the flammability. However, it has been shown that polymeric shells of microencapsulated paraffin can crack and cause paraffin to leak out under fire conditions. Hence, microencapsulation cannot stop the fire hazard of paraffin used in the gypsum wallboard.¹³ The results of another study showed that using microencapsulated paraffin in mortar increased the flammability of mortar.¹⁴

The fire retardants that can be used for PCMs, as well as other products, generally can be categorized into three groups according to their effects as fire quenchers, heat absorbers, and intumescent fire retardants.¹⁵ Combinations of these can also be used to provide a synergistic effect. Further categorization

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In this study, we developed a microencapsulated phase change material (mPCM) based on paraffin and polystyrene shell. As a novel approach, we added fire retardants during the encapsulation process. In this way, it is expected that fire retardant will exist with PCM in core material as well as immobilized on the shell material of microcapsules. The aim is to develop a product that will not require further treatment of fire retardant of the mPCM, making it easier for the user to apply and achieve more effective use of fire-retardant material. The effects of fire retardant on thermal characteristics of mPCM

Table 1 Previous studies on fire-retardant applications to PCMs. mPCM: microencapsulated PCM, FS: form stabilized

PCM	Type	Form/shell	Fire retardant	Result	Reference
Paraffin	mPCM	Gelatin + Na-alginate	Clay-nano particles applied in the shell material during microencapsulation	Ignition time of treated textile increased by 25–50%	22
Paraffin	mPCM	Polymetacrylic acid-co-ethyl methacrylate	Diethyl ethylphosphonate (DEEP) applied with the PCM during microencapsulation	Limiting oxygen index (LOI) value of treated foam increased by 6–9%	23
Paraffin or fatty acid	mPCM	Melamine-formaldehyde resin, gelatin, polyurea, polyurethane, urea-formaldehyde resin, and combinations	Boric acid, sodium carbonate, and sodium silicate applied on the surface of the microcapsule after microencapsulation	NA	25
Methyl ester	mPCM	NA	Applied as a coating on the microcapsule during drying	NA	24
Palmitic acid	FS-PCM	SiO ₂	Melamine: in 24 g PCM (palmitic acid) 0.5 g or 2 g of melamine		26
Paraffin	FS	High-density polyethylene (HDPE)	9 different formulations of Mg(OH) ₂ , Al(OH) ₃ , APP, PER, EG,		15
Paraffin	FS-PCM	HDPE	APP + PER + melamine (2 : 1 : 1) 15–20–25% wt, EG		27
Paraffin	FS-PCM	HDPE	APP, PER, Fe	Heat release rate (HRR) decreased by 40–56%	28
Paraffin	FS-PCM	HDPE	APP, expanded graphite (EG), zinc borate	HRR decreased by 60%	29
Paraffin	FS-PCM	HDPE	APP, EG, zinc borate	HRR decreased by 60–68%	30
<i>n</i> -Octadecane	Nano encapsulated	Melamine-formaldehyde	Phosphorus–nitrogen containing diamine (PNDA)	pHRR decreased by 32.8% THR decreased by 30.3% TSR decreased by 18.6%	31
<i>n</i> -Octadecane	Nano encapsulated	Poly(methylmethacrylate)	Diethyl bis(2-hydroxyethyl acrylate) amino methylphosphonate	pHRR decreased by 39.7% THR decreased by 18.4% TSR decreased by 12.2% LOI increased from 19.5% to 25.1%	32
Micronal	Polyurethane foam		Melamine, APP or EG		33
Hexadecanol	Blended PCM	—	Phenylphosphonic dichloride (BPOD) and phosphorus oxychloride (POCl ₃)	pHRR decreased by 56.2%	34
Paraffin	Shape stabilized		Acrylic resin/expandable graphite (EG), alkyd resin/EG and epoxy resin/EG	pHRR decreased by 62–84%	35
1-Tetradecanol, 1-hexadecanol, 1-octadecanol, diethyl phosphite	FS-PCM	PHA and KH-560	Phosphorus and silicon	Residual char. was increased up to 16.3%	36
Polyethylene glycol (PEG) – 10 000	Shape stabilized composite	Polyether	TBBP-A and/or DBDPE	LOI reached 21.3	37
PEG-4000	Shape stabilized	Wood–plastic	EG	LOI increased to 30.5%	38
Paraffin	Shape stabilized	High-density polyethylene (HDPE) and styrene–butadiene–styrene copolymer	Organomontmorillonite, EG	pHRR decreased by up to 72.7%	39
Paraffin chlorinated paraffin	FS-PCM	HDPE	EG, antimony trioxide	pHRR decreased up to ~50%	40
Decanoic/palmitic acid eutectic mixture		Plywood	Silicon fume geopolymer-based coating	Fire growth index (FGI) decreased from	41



Table 1 (Contd.)

PCM	Type	Form/shell	Fire retardant	Result	Reference
Paraffin (70%)	FS-composite	Olefin block	Acrylic resin/EG, glass fibers	1.97 to 0.71 kW m ⁻² s ⁻¹ pHRR decreased by 58.8%	42
Paraffin	FS-PCM	Epoxy resin	PEPA-TMA	pHRR decreased by 45%, LOI reached 29.8%	43

m³ concrete mixture is given in Table 2. Polycarboxylate ether was used as a superplasticizer. mPCM was replaced with the aggregates at a constant cement ratio. All the dry ingredients were mixed using a mechanical mixer. Then, water and superplasticizer added to the mixture and mixed for 5 min. mPCM was added in the last step to avoid breaking of microcapsules under mixing stress.

2.5. Characterization

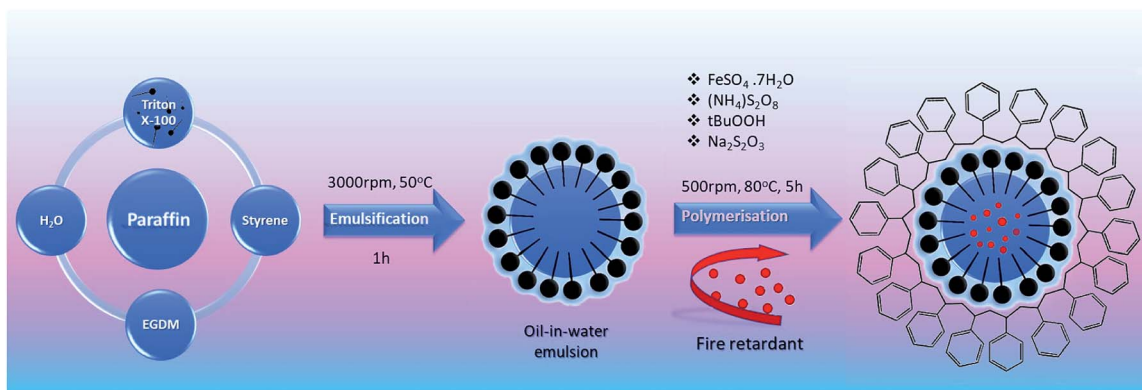
2.5.1. Thermal analysis. The thermal characterization of the synthesized microcapsules was achieved by a simultaneous thermal analysis device (PerkinElmer STA-6000). Simultaneous thermal analysis (STA) provides thermogravimetric analysis (TGA) and differential thermal analysis (DTA) measurements of a sample at the same time. Analyses were carried out with 5 mg of sample, under inert nitrogen flowing, and also in an oxygen atmosphere for thermal stability and thermo-oxidative stability tests, at 20 mL min⁻¹ flow rate. The temperature range was 30–600 °C at a heating rate of 20 °C min⁻¹. All STA measurements were repeated three times. The accuracy of the method is ±0.5 °C and ±0.02% precision.

2.5.2. Chemical analysis. FTIR spectroscopy was employed to detect any possible changes in the chemical structure of microcapsules before and after fire-retardant treatment. FTIR spectra were obtained within a frequency range of 365–4000 cm⁻¹ using a Thermo Scientific FTIR instrument.

2.5.3. Morphology. Structural characterization was determined by SEM, Zeiss Supra 55 scanning electron microscope (SEM). Prior to SEM analysis, samples were coated with Pt.

2.5.4. Fire retardancy tests. The reference and samples treated with fire retardants were tested according to methods described by ISO standards of TS EN ISO 1716 (determination of the gross heat of combustion, QPCS),⁴⁶ TS EN ISO 1182 (non-combustibility test),⁴⁷ and TS EN ISO 11925-2 (single-flame source test)⁴⁸ as summarized in Table 3. The heat of combustion (calorific value) tests were carried out according to the method described in TS EN ISO 1716 using a bomb calorimeter (Parr 6200)⁴⁶ for determination of gross heat of combustion. For this aim, 0.5 g of powdered sample and 0.5 g benzoic acid were placed into a pot. The pot was placed into the calorimeter and an ignition wire was placed in contact with the powdered sample. Then, the test was carried out with an oxygen bomb and heat of combustion value (MJ kg⁻¹) was measured.

The non-combustibility test was performed according to ISO 1182.⁴⁷ This standard describes a test technique for determining the non-combustibility performance of homogeneous building products and important apparatuses of non-homogeneous building products. For the experiments, cylindrical concrete samples with a diameter of 45 mm and a height of 50 mm were prepared. Specimens were placed into an oven at 750 °C for 30 minutes. The samples were kept in a desiccator until reaching room temperature and weight changes were measured.



Scheme 1 The fabrication process of paraffin-based microencapsulated PCM with fire-resistant additive.



Table 2 Composition of standard cubic concrete samples

Materials	Reference (0%) specimen (kg)	10% PCM specimen (kg)
Superplasticizer	1.9	1.9
Paraffin	0	5.4
0–3 crushed fine aggregates	376.6	161.4
5–10 crushed fine aggregates	258.4	221.4
Water	65.8	64
Cement	157.6	157.6
Total weight	860.3	773.1

Single-flame source tests were conducted according to ISO 11925-2 “Ignitability of building products subjected to direct impingement of flame” using an oven with a flame source.⁴⁸ The sample size was 250 mm × 90 mm × 60 mm. In this method, the specimen was vertically placed in a test chamber and subjected to a gas flame (20 ± 0.1 mm) with a 45-degree angle for 15 and 30 seconds.

The mPCM concrete samples were classified according to European standard EN 13501-1+A1:2009 “Fire classification of construction products and building elements”. This standard gives information about the fire hazard classification method for all construction materials and building products. According to the standard, construction materials are classified as stated in the test methods in Euroclasses of A1, A2, B, C, D, E, and F. The non-combustible products are classified in A1 and A2 classes, and the combustible products are classified in ascending order from B to F.

3. Results and discussion

3.1. Thermal analysis

Thermal characteristics (phase-change temperatures, latent heat capacities, encapsulation ratio, and thermal stabilities) of microcapsules were studied by STA analysis giving DTA and TGA results. The thermograms corresponded to DTA were overlapped in Fig. 1 and summarized in Table 4. Melting temperatures (determined from the peak points of DTA curves) of paraffin, mPCM, oPA-85, oPA-90, and PER were determined as 45.1, 46.7, 46.9, 46.1, and 45.6 °C, respectively. The latent heats of paraffin, mPCM, oPA-85, oPA-90, and PER were measured as 82.3, 32.8, 45.1, 56.6, and 36.7 J g^{−1}, respectively. The latent heat capacities of microcapsules varied by the amount of PCM core material which can be described by encapsulation ratio. Encapsulation ratios of microcapsules were calculated using the following eqn (1):

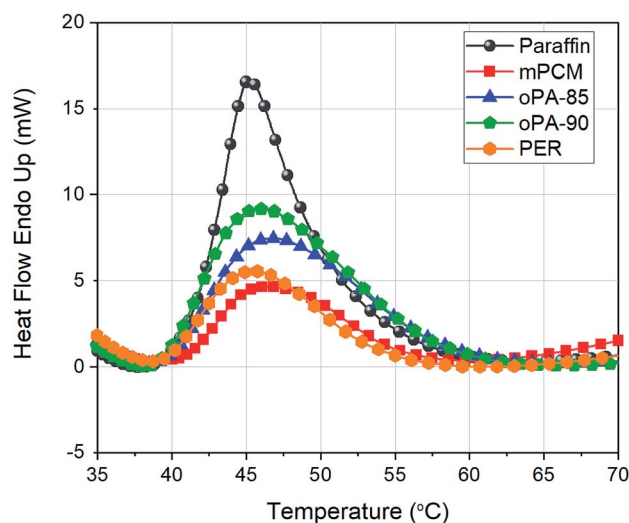


Fig. 1 DTA curves of microcapsules.

$$\text{Encapsulation ratio} = \frac{\Delta H_{\text{mPCM}}}{\Delta H_{\text{PCM}}} \quad (1)$$

where, ΔH_{PCM} is the latent heat capacity of core material (J g^{−1}), ΔH_{mPCM} is the latent heat capacity for microcapsules (J g^{−1}).

Encapsulation ratios (from highest to low) were found to be oPA-90 > oPA-85 > PER > mPCM. Results indicated that fire retardant additives had a positive effect on the encapsulation ratio, this might be due to two reasons: (i) thinner shell thickness of microcapsules (ii) improved interactions between paraffin and polystyrene shell *via* fire retardant addition and temperature change. Also, in oPA samples, the encapsulation ratio is increased with the temperature increase from 85 °C to 90 °C. This might be due to the fact that during polymerization, the bonds between polymer chains become wider and increase the volume at higher temperatures which is close to polystyrene's glass transition temperature of 97 °C.

TGA analysis has importance to evaluate thermal stability, degradation steps, and determination of the operational temperature ranges. TGA analyses were conducted in two different gas combinations: inert N₂ atmosphere, and N₂/O₂ gas switch mode. The thermal stability of the microcapsules under N₂ gas atmosphere was shown in Fig. 2(a). According to results, paraffin subjected to one-step weight loss profile in a temperature range of 220–300 °C, corresponded to its evaporation. mPCM and fire retardant added microcapsules exhibited a two-step degradation profile, the first step starting at approximately 230 °C is related to the evaporation of paraffin core material and

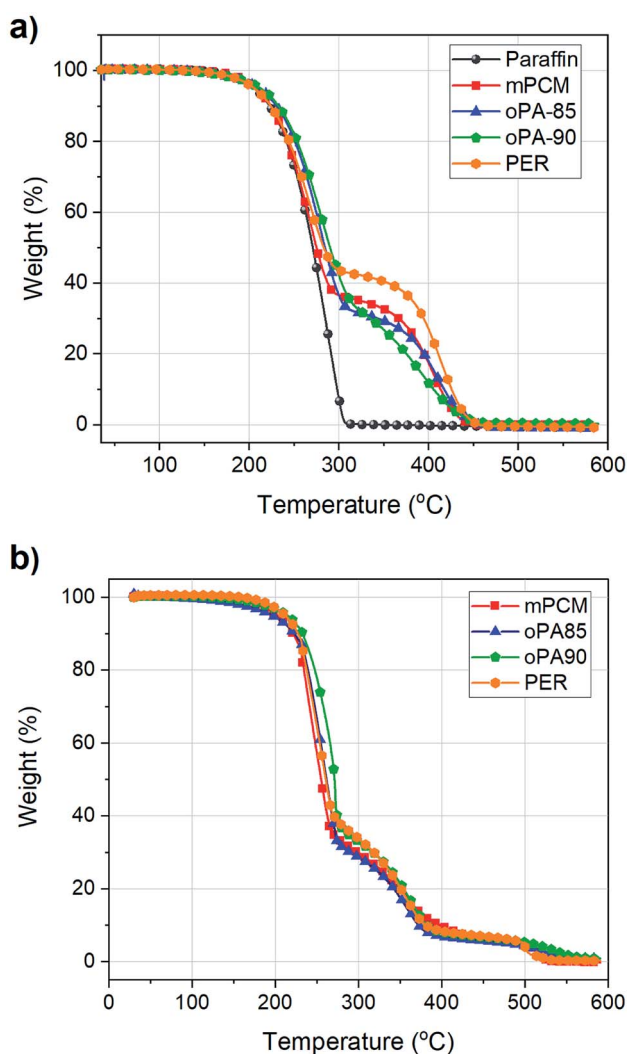
Table 3 The methods utilized for fire retardancy properties of the samples

Test name	Measurement (unit)	Fire resistance test standard
Determination of the gross heat of combustion (QPCS) (calorific value)	Heat of combustion (MJ kg ^{−1})	EN ISO 1716 (ref. 46)
Non-combustibility test	Weight change (mass loss%)	EN ISO 1182 (ref. 47)
Single-flame source test	Ignition time (s)	EN ISO 11925-2 (ref. 48)
Fire classification		EN 13501-1:2007+A1:2009 (ref. 49)



Table 4 Comparison of thermal properties of microencapsulated samples

Sample	DTA			Latent heat (J kg ⁻¹)	Encapsulation ratio (%)
	Onset (°C)	Peak (°C)	End (°C)		
Paraffin	42	45.1	52	82.3	—
mPCM	41	46.7	56	32.8	40
oPA-85	40	46.9	58	45.1	55
oPA-90	39	46.1	56	56.6	68
PER	40	45.6	54	36	44

Fig. 2 TGA curves obtained under (a) N₂ gas flow (c) switch mode of N₂/O₂ gas flow.

the differences between the amount of weight loss are due to the different encapsulation ratios as explained above. The second step that occurred at a temperature range of 310–450 °C corresponds to the deterioration of the polystyrene shell material. Also, in this step, it can be clearly seen that weight loss started and finished at higher temperatures in PER and oPA added samples. Among the tested samples, the best stability was

achieved with oPA-90 sample which has the best encapsulation ratio and higher initial weight loss temperatures. The increase in the degradation temperatures indicates that fire retardants used in this study increased the thermal stability of the mPCM.

In order to investigate the effect of the fire retardants on thermo-oxidative properties of polystyrene shell material of microcapsules, a switch gas analysis also carried out as shown in Fig. 2(b). For this aim, initially N₂ gas was purged until a temperature of 275 °C at which paraffin completely evaporated and before the second weight loss step began. After that, the purge gas was switched to O₂. Thermo-oxidative stabilities of microcapsules were found to be in the order of oPA-90 > PER > oPA-85 > mPCM. The TGA curves obtained with the switch gas purge exhibited similar trends as in the N₂ gas purge. However, it should be noted that an extra residual carbonaceous char in the condensed phase around 500 °C was observed only in O₂ atmosphere. This result indicates that the formation of a char layer can be successfully achieved with the use of fire retardants used in this study. Moreover, the degradation temperatures of microcapsules under O₂ atmosphere were lower than those in N₂ atmosphere. This indicates that oxygen promotes a faster degradation of polystyrene. In addition, when the char residue amounts were compared at 600 °C, the most stable microcapsule was found to be oPA-90 which supports the thermal stability of oPA-90 being higher than that of the other microcapsule samples. The TGA and DTA results revealed that there was a synergistic effect between the fire retardant (oPA) and shell structure which reduced the thermal degradation at higher temperatures, flame retardancy as well as encapsulation ratio.

Fig. 3 shows the FTIR spectra of the microcapsule samples. The C–H stretching and bending absorption bands related to aliphatic carbon-hydrogen bonds are at 2854–2900 cm⁻¹ and 1400 cm⁻¹, respectively. Moreover, CH₂ rocking absorption band at around 725 cm⁻¹ confirms the structure of the paraffin.

For the mPCM, in addition to peaks from paraffin, aromatic ring originated C=C and C–H peaks were observed at 1601 cm⁻¹ and 699 cm⁻¹, respectively. In oPA treated microcapsule samples, P=O and P–O peaks, related to phosphoric acid, were detected around 1400 cm⁻¹ and 946 cm⁻¹. FTIR results confirm that microencapsulation of paraffin along with the fire retardants was successfully achieved.

The SEM images of microcapsule samples were shown in Fig. 4(a–d). Microcapsules are mostly spherical and embedded



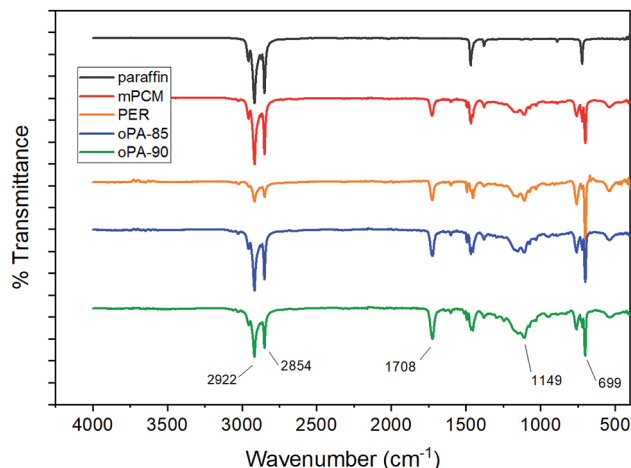


Fig. 3 FT-IR analysis.

in the polymer matrix. All the microcapsule samples have an average diameter of less than 1 μm . The surrounding polymer matrix thickens the shell structure and caused a lower encapsulation ratio. However, in Fig. 4(b), for oPA-85 samples, microcapsules were more separated with a cauliflower-like structure. This is due to the synergistic effect of oPA that provides a thinner shell structure and improved encapsulation efficiency.

3.2. Fire retardant properties of microcapsules

3.2.1. The heat of combustion (calorific value). The determination of the gross heat of combustion (QPCS) was achieved with reference concrete specimen and concrete with a microcapsule specimen, as shown in Fig. 5(a). The determination of the heat of combustion is crucial for comparing the flammability of the samples. In this method, the gross heat of combustion of specimens at constant volume was determined in an oxygen bomb calorimeter, which ensures the complete combustion of the specimens. QPCS values of reference specimen, mPCM, PER, oPA-85 and oPA-90 samples were found to be $-0.39(\sim 0)$ MJ kg^{-1} , 0.09 MJ kg^{-1} , 0.32 MJ kg^{-1} , 0.92 MJ kg^{-1} , and 0.03 MJ kg^{-1} , respectively. The results of the oxygen bomb tests showed that only the concrete sample containing oPA-90 has reduced the QPCS value compared to mPCM and exhibited very low flammability properties. All the concrete samples conform to the requirements of A1 class ($\leq 2.0 \text{ MJ kg}^{-1}$) according to EN 3501-1:2007+A1:2009.

The heat of combustion tests also repeated for bare-microcapsule samples as shown in Fig. 5(b). QPCS values of mPCM, PER, oPA-85, and oPA-90 samples were found to be 30.54 MJ kg^{-1} , 42.31 MJ kg^{-1} , 42.23 MJ kg^{-1} , and 41.78 MJ kg^{-1} , respectively. The calorific value of mPCM (without any flame retardant) is lower than those with fire retardants. This behavior can be explained with the higher microencapsulation ratio of the samples with fire retardants compared to mPCM that increases the paraffin in the microcapsules. As a result of this, more heat of combustion was supplied with an increasing

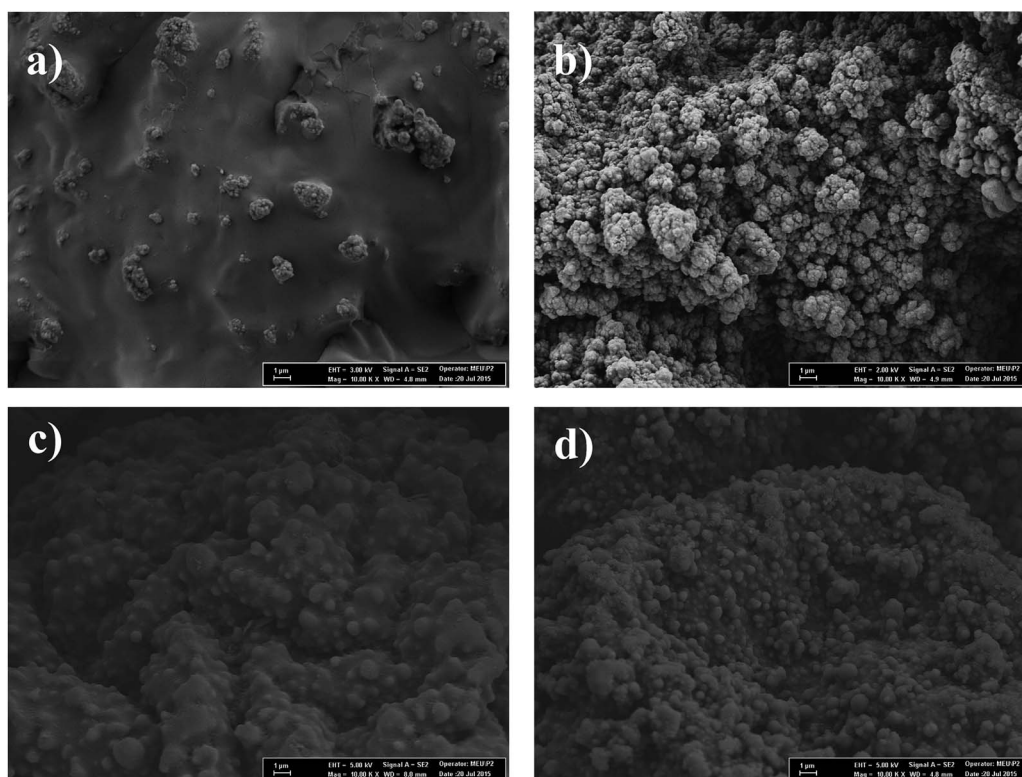


Fig. 4 SEM pictures of (a) mPCM (b) oPA-85 (c) oPA-90 (d) PER.



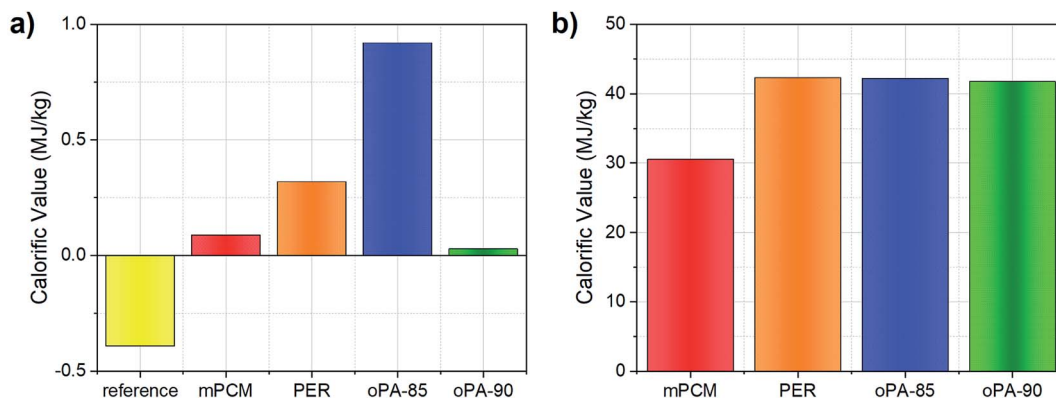


Fig. 5 QPCS tests of (a) concrete samples, (b) bare-microcapsule samples.

amount of paraffin. However, *o*PA-90 sample, which has the highest paraffin content among them, gave similar results with the other fire retardant added samples which indicate that it showed more fire retardancy effect compared to the other microcapsules.

3.2.2. Non-combustibility test. The mass losses of the concrete materials due to combustion in the furnace were investigated according to the procedure described in ISO 1182 standard. For this aim, cylindrical concrete specimens with a diameter of 45 mm and 50 mm height were prepared. Mass losses for reference, mPCM, PER, *o*PA-85 and *o*PA-90 were found to be 6.2%, 10.5%, 10.0%, 7.7%, and 7.6%, respectively. No continuous flame was observed in specimens. According to the results, the mass loss percentage was increased by the microcapsule addition into concrete. However, this mass loss increase was limited by the addition of different fire-retardants and the best non-combustibility results were obtained with *o*PA added samples (Fig. 6).

3.2.3. Single-flame source test. Ignitability of concrete specimens was tested according to ISO 11925-2 test method. The concrete specimens with and without microcapsule were

cast in 12.5 mm thickness and subjected to direct impingement of flame. Single-flame source tests carried out in a test chamber. The specimen is exposed to a gas flame. During the time of the ignition period, there were no burning droplets or flames of the test specimens. The single-flame source test complies with “d0” class according to EN 13501-1 standard.

4. Conclusions

In this study, *o*PA and PER, halogen-free flame retardants, were successfully encapsulated to improve the flame retardancy properties of paraffin-based microcapsules with polystyrene shell material. *o*PA, as a nontoxic and eco-friendly flame retardant in microencapsulated phase change materials, was tested for the first time in literature. Paraffin containing microcapsules were fabricated by emulsion polymerization technique and the reaction-to-fire's performance was evaluated and analyzed according to ISO standards (ISO 1716, ISO 1182, ISO 11925-2, and 13501-1). The variables including gross heat of combustion, mass loss, ignition time, and energy storage properties for concrete specimens and mPCM samples with and without flame retardants were taken into consideration. QPCS value of mPCM in concrete was reduced from 0.09 MJ kg^{-1} to 0.03 MJ kg^{-1} by the addition of *o*PA. Compared to unmodified-mPCM, the heat of combustion was reduced by 67%, and mass loss percentage was reduced from 10.5% to 7.6%, which indicates the non-combustibility properties of microcapsules were improved by the addition of fire-retardants. TGA results indicate that compared to raw paraffin, microencapsulation process and fire retardant addition was improved the thermal stability. Besides, one of the most interesting results, the encapsulation ratio of the microcapsules increased from 40% to 68% which increase the energy density of *o*PA added microcapsules as a result of synergetic interaction with polymeric shell material of microcapsules. Results showed that the introduction of fire retardants during microencapsulation of paraffin not only served enhanced fire retardancy properties but also provided improved thermal energy storage characteristics. The best results for the fire retardancy and thermal storage properties were obtained for the sample *o*PA-90. According to European classification of reaction to the fire EN 13501-1:2007+A1:2009,

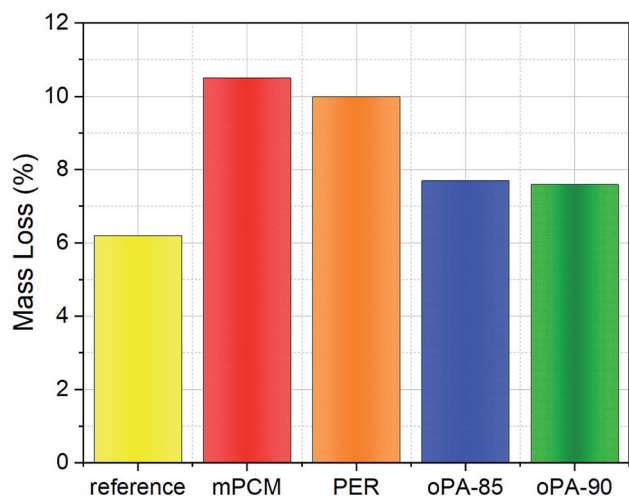


Fig. 6 Noncombustibility tests of concrete samples.

the PCM added concrete panels were classified as A2/B, d0 that makes them suitable to use in building envelopes for thermal regulation purposes.

Conflicts of interest

There are no conflicts to declare.

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