

# Analytical Methods

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8 2 **Assessing Potato Chip Oil Quality using a Portable Infrared Spectrometer Combined with**  
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10 3 **Pattern Recognition Analysis**  
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**ABSTRACT**

The objective of this study was to evaluate the performance of a portable FT-IR spectrometer equipped with a 5-bounce heated ZnSe crystal to develop classification methods for authentication of potato chip frying oils and to generate prediction models for monitoring oil quality parameters for real-time and field-based applications. Oil from commercial potato chips (n=95) were expelled mechanically by a hydraulic press and their fatty acid profile determined by GC-FAME to identify the oil type used for chip manufacturing. Peroxide value (PV), free fatty acids (FFA), and *p*-anisidine value (*p*-AV) were also evaluated to determine quality parameters of the oils. IR spectra were collected using a portable FT-IR equipped with a heating stage (65°C) and analyzed by pattern recognition using Soft independent modeling of class analogy algorithm (SIMCA) and partial least squares regression (PLSR). SIMCA showed that different oil types successfully formed distinct clusters allowing detecting mislabeling of frying oils in commercial chips. PLSR models predicted fatty acid profile (GC-FAME) with excellent correlation ( $R_{\text{cal}} \geq 0.93$ ) and standard error of cross-validation (SECV) of ~1.0% for major fatty acids. Models for FFA, PV and *p*-AV gave  $R_{\text{cal}} \geq 0.93$  and SECV of 0.05%, 1.27 meq/kg, and 5.94 *p*-AV, respectively. Profits and trading advantages from mislabeling prejudice consumers and manufacturers, and our data supports that IR portable instruments present great potential for *in-situ* surveillance of vegetable oils used for potato chip frying.

Key words: Potato chips, oil, infrared spectroscopy, chemometrics, quality

## 1. INTRODUCTION

Potato chips has been a popular snack since its accidental birth in 1853 and reported \$5.7 billion sales annually in the US market, which represents 20% of total US snack market <sup>1,2</sup>. Lipids are a major component in potato chips representing between 35 to 44% of the product composition <sup>3</sup>. Vegetable oils serve as frying medium to promote heat transfer and give the desired texture and flavor <sup>4</sup> to the potato chips. Different types of vegetable, partially hydrogenated or blends of oils are used for deep fat frying <sup>6</sup>. Important characteristics in selecting frying oils are high oxidative stability, high smoke point, low foaming, low melting point, bland flavor, availability, nutritional value, and cost <sup>5</sup>. Most common frying oils in the potato chips industry come from corn, canola, sunflower (mid-oleic and high-oleic variants), high oleic (HO) safflower and cottonseed oils <sup>6</sup>. Although partially hydrogenated oil improves resistance to rancidity it is phasing out because of trans fat health concerns by consumers <sup>7</sup>. Preference of blended vegetable oils over a sole type is because of the economic purposes, improved resistance to oxidation and longer shelf life <sup>8</sup>. In addition, over the past years various oils have been developed with modified fatty acid composition through plant breeding improving their oxidative stability by accumulating oleic acid (>80%) and reducing the levels of polyunsaturated fatty acids (3-10%); some examples include low-linolenic soybean, HO sunflower, low-linolenic canola, HO canola, and HO corn oils <sup>9,10</sup>.

Edible oils and fats are one of the most counterfeited foods in the industry <sup>11</sup>. Canola, soybean, and palm oil are the cheapest oils in the market, and used as adulterants in the market <sup>12</sup>. To evaluate the quality parameters and authenticate oils and fats, the American Oil Chemists' Society (AOCS), Association of Official Analytical Chemistry (AOAC), the International Union of Pure and Applied Chemistry (IUPAC), and the Federation of Oil Seeds and Fats Association

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3 68 (FOSFA) have proposed different methods such as determination of fatty acid composition, *trans*  
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5 69 fatty acids, sterol composition and content or aliphatic alcohols by chromatography;  
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8 70 determination of free fatty acids and peroxide value by titrimetric methods; or using stable  
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10 71 isotope ratio analysis <sup>12,13</sup>. However, these traditional methods are time consuming, costly, use  
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12 72 toxic reagent, generate large amount of waste, and to obtain accurate results the analyst has to  
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14 73 follow rigid rules <sup>14</sup>.

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18 74 To discourage food fraud, analytical methods should be rapid, simple, reliable, cost effective,  
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20 75 and need minimum sample preparation <sup>14</sup>. Vibrational spectroscopy and chemometrics provide  
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22 76 an alternative to traditional techniques to characterize and authenticate oils and fats <sup>14,15</sup> and to  
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24 77 quantitate specific quality parameters including peroxide value, free fatty acids, *trans* fat  
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26 78 contents, iodine values, saponification number of edible oils <sup>16,17</sup>. **Table 1** summarizes the  
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28 79 performance characteristics of Fourier transform infrared (FT-IR) and near infrared (NIR)  
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30 80 spectroscopy for assessing lipid quality. Although, NIR spectroscopy has been reported for  
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32 81 classification of oil and fat products <sup>18</sup>, its broader and weaker bands provide less spectral details  
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34 82 than FT-IR that gives fingerprinting capabilities enabling unique structural identification <sup>18,19</sup>.  
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36 83 FT-IR with ATR or transmission cell accessories have been used to classify <sup>18</sup> and authenticate <sup>20</sup>  
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38 84 oils. Portable/handheld optical systems for chemical identification has incorporated the analytical  
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40 85 precision of spectroscopy to field applications with spectral resolution equivalent to bench-top  
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42 86 instruments. These portable devices have been successfully applied for predicting oil quality  
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44 87 parameters including monitoring total *trans*-fats <sup>21</sup>, authentication <sup>22</sup>, oil oxidative stability <sup>23</sup>,  
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46 88 and free fatty acids in edible oils <sup>24</sup>. Field-deployable fingerprinting approaches for  
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48 89 authentication and untargeted detection of economic adulteration can help to streamline quality  
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3 90 assurance detecting tainted ingredient before they have been diluted or combined with other  
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5 91 ingredients.

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9 92 The objective of this study was to evaluate the performance of a portable FT-IR spectrometer  
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11 93 equipped with a 5-bounce heated ZnSe crystal to develop reliable classification methods for  
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13 94 authentication of potato chip frying oils and to generate prediction models for monitoring oil  
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15 95 quality parameters for real-time and field-based applications.

## 16 17 18 19 96 **2. MATERIALS AND METHODS**

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22 97 A total of 95 potato chips samples were purchased from local grocery stores in Columbus, OH  
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24 98 and the oil extracted using hydraulic press (3851 Benchtop Laboratory Manual Press, Carver,  
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26 99 Inc. Wabash, IN). The stainless steel cylindrical container was filled with crushed potato chips,  
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29 100 placed under the press and pressure was applied until reaching 15,000 psi. The oil was collected  
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31 101 in a stainless steel plate, transferred to dark glass vials and stored at -18°C until further analysis.  
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33 102 In addition, 9 different vegetable oils (corn, canola, cottonseed, peanut, sunflower, expeller-  
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35 103 pressed sunflower, high oleic (HO) canola, HO sunflower, HO safflower) were kindly provided  
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37 104 by a snack food manufacturer.

### 38 39 40 41 42 105 ***2.1. Reference Methods***

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45 106 Determination of fatty acid profile was done using a fatty acid methyl ester (FAME) procedure<sup>25</sup>  
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47 107 with modifications. Methyl ester forms were generated by dissolving 100 µl oil sample with 10  
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49 108 ml of hexane into a glass tube, 100 µl 2N potassium hydroxide in methanol was added to the  
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51 109 tube and vortexed for 30 sec. 1.5 ml aliquot was placed in a micro centrifuge tube and rotated at  
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53 110 13.2 rpm for 5 minutes. The supernatant was transferred into a 2 ml glass vial and used for  
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55 111 further analysis. Methyl esters' analyses were carried out in duplicate by using an Agilent 6890

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3 112 series (Santa Clara, CA) gas chromatography (GC) equipped with a flame ionization detector  
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5 113 (FID) and a HP G1513A auto sampler and a tray. Fatty acids' separation was achieved by using  
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8 114 HP-88 60m x 0.25mm x 0.2 $\mu$ m column (Agilent 112-8867) by using helium, which was carrier  
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10 115 gas. The injection volume was 1  $\mu$ L with a split ratio of 20:1. The oven conditions were 110 $^{\circ}$ C  
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12 116 for 1 min, to 220 $^{\circ}$ C (5 $^{\circ}$ C/min) hold for 15 min. The injector temperature was 220 $^{\circ}$ C and the  
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14 117 detector temperature was 250 $^{\circ}$ C. Fatty acids were identified by comparing the retention times of  
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16 118 each peak against reference standards (Supelco $^{\circledR}$  37 Component FAME Mix, Sigma Aldrich, St.  
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18 119 Louis, MO, USA). GC-FAME analysis was done in duplicate. Saturated and polyunsaturated  
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20 120 (PUFA) fatty acids were calculated by adding palmitic and stearic acids, linoleic and linolenic  
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22 121 acids, respectively.  
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## 27 122 **2.2. Monitoring Oxidative Stability**

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31 123 AOCS official method Cd8-53 <sup>26</sup> was used to determine the peroxide value (PV) using a  
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33 124 Metrohm, 916 Ti-Touch (Herisau, Switzerland) automatic titrator. Free fatty acid (FFA) value  
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35 125 was determined by using the AOCS official method Ca 5a-40 <sup>27</sup> with European Pharmacopoeia  
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37 126 5.0 01/2005:20501 <sup>28</sup> modifications. The FFA analysis was performed using an automatic titrator  
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39 127 (Easy Plus Titration, Mettler Toledo, Greifensee, Switzerland). The *p*-anisidine value (*p*-AV)  
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41 128 was determined using AOCS Official Method Cd 18-90 <sup>29</sup> using a Varian spectrophotometer  
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43 129 (Agilent, Cary 50 Bio UV/Visible, Santa Clara, CA) to determine absorbance at 350 nm.  
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45 130 Oxidative stability tests were done in duplicate.  
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## 50 131 **2.3. FT-IR Spectroscopy**

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54 132 The samples were tempered to 65 $^{\circ}$ C prior to the measurements using a lab oven (Precision  
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56 133 Standard Incubator, PR205125G, Thermo Fisher Scientific, Waltham, MA, USA). All spectral  
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3 134 measurements of oils were done in duplicate. Spectra was collected with a portable (Cary 630,  
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5 135 Agilent Technologies Inc., Santa Clara, CA, USA) spectrometer equipped with a temperature  
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8 136 controlled, 5-bounce ZnSe crystal attenuated total reflectance (ATR) set to 65°C to prevent fat  
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10 137 solidification and 75µl oil aliquot was deposited onto the crystal as shown in **Figure 1a**. Oil  
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12 138 spectrum was collected over a range from 4000-700 cm<sup>-1</sup> at 4 cm<sup>-1</sup> resolution, and an  
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15 139 interferogram of 64 scans was co-added, to produce a final signal averaged spectrum with an  
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17 140 improved signal to noise ratio<sup>30</sup>. Spectral data was displayed in terms of absorbance and viewed  
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20 141 using Resolutions Pro Software (Varian, Palo Alto, CA, USA).

#### 21 22 23 142 **2.4. Data Analysis**

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26 143 The spectra were analyzed using multivariate statistical analysis software (Pirouette® version  
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28 144 4.0, Infometrix Inc., Woodville, WA, USA). FT-IR spectra were divide by (sample 2-norm) and  
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30 145 second derivative (second order poly-nominal filter with a 35 point window) transformed to  
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32 146 resolve peak overlap and eliminate baseline shifts<sup>31</sup>. Probability threshold was set as 0.95 for all  
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35 147 prediction models.

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39 148 Fatty acid composition, PV, FFA, *p*-AV reference values were correlated with the infrared  
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41 149 spectra using partial least squares regression (PLSR) model. PLSR models were evaluated using  
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43 150 leave-one-out cross validation. Integrity of fit was evaluated using correlation coefficient (R<sup>2</sup>),  
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46 151 standard error cross-validation (SECV), residual analysis, outlier diagnostics, leverage, and  
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48 152 standard error of prediction (SEP). The number of PLSR factors that gave the minimum SECV  
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50 153 value was considered to be the optimal factor for each model. Residual predictive deviation  
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52 154 (RPD), the ratio between the standard deviation (SD) of the reference data to the SEP, was used  
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55 155 to assess the model prediction performance. The higher the RPD, the more accurate the data  
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3 156 predicted by the calibration model, with RPD 2.5 to 4.9 considered satisfactory for screening, 5.0  
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6 157 to 6.4 categorized as a good prediction for quality control applications, while above 6.5 are  
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8 158 considered as an excellent prediction for process control applications<sup>32</sup>. To predict fatty acid  
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10 159 composition, PV, FFA, *p*-AV, that data set was divided into a calibration and validation set.  
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12 160 Validation set included the 20% of the total sample size for each test.  
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16 161 As illustrated in **Figure 1b**, when an unknown sample of potato chip oil belonging to the  
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18 162 independent validation set was deposited onto the crystal and the spectra was collected, all the  
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20 163 quality parameters that studied in this study were predicted simultaneously using the calibration  
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22 164 models loaded into the FT-IR spectrometer.  
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26 165 Soft independent modeling of class analogy algorithm (SIMCA), a classification procedure based  
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28 166 on the principal component analysis (PCA), was used to cluster oil samples based on their  
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30 167 vegetable sources. SIMCA's discriminating power plot was used to identify important infrared  
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32 168 bands associated with the sample classifications. If the interclass distances were above 3, classes  
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34 169 were considered as significantly different<sup>33</sup> from each other. Independent external validation set  
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36 170 used to evaluate the predictive accuracy of the model, 80% of the samples used to generate the  
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38 171 calibration models and 20% serve as an independent validation set.  
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### 43 172 **3. RESULTS AND DISCUSSION**

#### 44 173 **3.1. Vegetable Oil Classification**

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47 174 **Figure 2 a** and **b** shows the overlapped MIR spectra and second derivative spectra of three  
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49 175 different potato chip oils (corn, cottonseed and high oleic (HO) canola (II)) indicating the close  
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51 176 similarity in spectral characteristics of the vegetable oils. The most prominent absorption regions  
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53 177 were found in the 3010-2800 cm<sup>-1</sup> range associated with =C-H *cis* stretching, -C-H symmetric  
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3 178 and asymmetric stretching vibrations ( $\text{CH}_2$  and  $\text{CH}_3$ ), the band centered at  $1746\text{ cm}^{-1}$  related to –  
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5 179  $\text{C}=\text{O}$  ester stretching vibration <sup>19</sup>, the bands at  $1465$  and  $1377\text{ cm}^{-1}$  that corresponded to C-H  
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8 180 bending (symmetrical and scissoring) vibrations of  $\text{CH}_2$  and  $\text{CH}_3$  groups and the fingerprint  
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10 181 region from  $1200$ - $1000\text{ cm}^{-1}$  associated with stretching and bending vibrations of  $-\text{C}-\text{O}$  and –  
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12 182  $\text{CH}_2-$  vibration modes <sup>19,34</sup>. Although the oil spectral patterns were very similar, differences in  
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15 183 triglyceride fatty acid composition (chain length, PUFA/saturated ratio, substitution patterns)  
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17 184 resulted in slight changes in band intensities and shift in maximum absorbance frequencies for  
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20 185 functional groups <sup>19,22</sup>. Intensity of the olefinic band at  $3010\text{ cm}^{-1}$  indicated polyunsaturation  
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22 186 degree of the oils <sup>19</sup>, with corn and cottonseed oils showing increased band intensity than HO  
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24 187 canola oil because of their higher content of polyunsaturated (linoleic and linolenic) fatty acids.  
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27 188 Corn, cottonseed and HO canola oils contained 58, 57, and 20% polyunsaturated fatty acids,  
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29 189 respectively (**Table 2**). Another spectral difference among oils was observed at  $1118\text{ cm}^{-1}$ ,  
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31 190 associated with the stretching vibration of ether linkage in triacylglycerols <sup>19</sup>, and was inversely  
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34 191 related to the content of saturated acyl groups with HO canola (6%) having the most intense band  
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36 192 followed by corn (14%) and cottonseed (26%) oil that had the lowest band height (**Figure 2**).  
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39 193 To develop a calibration model for identification of the type of oil used for manufacturing of  
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41 194 potato chips, we first profiled all the oils by GC-FAME to detect the use of one or more  
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43 195 vegetable oils in the samples (**Table 2**). Overall, oleic and linoleic acids were the predominant  
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45 196 fatty acids with levels ranging from 15 to 86 ( $55\pm 19\%$ ) and 7 to 65% ( $32\pm 16\%$ ), respectively.  
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48 197 Out of 95 potato chip samples, we found 69 that contained a sole source of vegetable oils. HO  
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50 198 sunflower ( $80\pm 3\%$ ), HO safflower ( $76\pm 1\%$ ) and HO canola ( $74\pm 2\%$ ) showed the highest level of  
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53 199 oleic acid, while the rest ranged from 17 to 68%. Corn ( $57\pm 1\%$ ) and cottonseed ( $57\pm 2\%$ ) showed  
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56 200 the highest linoleic acid content. The fatty acid levels reported for the different vegetable oils  
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3 201 were in agreement with those reported in the literature <sup>35,36</sup>. In the case of canola oils, we found  
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5 202 three different fatty acid profiles associated with regular canola and HO canola oils. The canola  
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7 203 oil extracted from potato chips had slightly higher oleic acid ( $65\pm 1\%$ ) levels when compared  
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9 204 levels reported in literature ( $\sim 60\%$ ) <sup>37</sup>. Interestingly, we obtained two different profiles for HO  
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11 205 canola oils used in manufacturing potato chips with the main difference associated to their oleic  
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13 206 and linoleic acids contents (**Table 2**); HO canola (I) had lower oleic ( $68\pm 0\%$ ) but higher linoleic  
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15 207 ( $23\pm 0\%$ ) acid content than the HO canola (II) that showed levels of oleic and linoleic of  $74\pm 2\%$   
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17 208 and  $17\pm 2\%$ , respectively. Genetic mutation have segregated plant cultivars that accumulate  
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19 209 significantly more oleic acid ( $>70\%$ ) than the traditional varieties resulting in HO soybean oil,  
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21 210 HO sunflower oil, HO safflower, HO peanut oil and HO rapeseed (canola) cultivars <sup>38,39</sup>. HO  
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23 211 canola varieties with similar oil composition profiles to those found for HO canola (I) and (II)  
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25 212 have been reported by Xu, Tran, Palmer, White, & Salisbury (1999) <sup>40</sup> and Matthäus (2006) <sup>38</sup>,  
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27 213 respectively. Similarly, we found 2 groups of sunflower oils, a mid-oleic sunflower oil  
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29 214 containing  $65\pm 1\%$  oleic and  $26\pm 2\%$  linoleic and a HO sunflower with  $80\pm 3\%$  oleic and  $12\pm 2\%$   
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31 215 linoleic acid, in agreement to findings by Tarrago-Trani, Phillips, Lemar, & Holden (2006) <sup>41</sup>.  
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34 216 Soft Independent Modeling of Class Analogy (SIMCA) analysis of FT-IR spectra collected from  
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36 217 the different frying potato chip oils showed distinctive clustering patterns and 10 well-defined  
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38 218 groups for different sole source oils (**Figure 3**) based on GC-FAME profile. SIMCA's projection  
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40 219 plot using the first 3 principal components enabled to visualize the natural clustering of samples,  
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42 220 and the greater the cluster distances the greater the differences in their chemical composition.  
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44 221 The interclass distances (ICD) are Euclidian distances between centers of clusters and are good  
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46 222 indicators of class separation in a SIMCA model with  $ICD \geq 3$  are considered significant for  
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48 223 identification <sup>33</sup>. The ICD for vegetable oils ranged from 31.2 to 1.7 (**Table 3**). Corn, peanut and  
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3 224 cottonseed showed the largest ICD while some of the variants of canola and sunflower oils gave  
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5 225 ICD < 3 because of the subtle compositional differences among some of these oils. Mid-oleic  
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8 226 sunflower and HO canola (II) showed the lowest ICD (1.7), followed by canola vs HO canola (I)  
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10 227 (2.1), expeller-pressed sunflower vs HO canola (I) (2.3) and HO sunflower vs HO safflower  
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12 228 (2.3), and mid-oleic sunflower vs HO canola (I) (2.6). The discriminating power plot provided  
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15 229 important information regarding the functional groups responsible for the separation of oils into  
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17 230 distinct oil classes and higher discriminating power values indicate greater influence of those  
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19 231 wavenumbers in classifying the samples <sup>23</sup>. **Figure 3b** shows that most model variance was  
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21 232 explained with bands at 1073 cm<sup>-1</sup> corresponding to asymmetric stretching vibrations of ether  
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23 233 groups <sup>42</sup>, and the range of 2991 to 3047 cm<sup>-1</sup> related to the C–H stretching vibrations of methyl  
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25 234 and methylene groups and =C-H stretching vibrations of unsaturated aliphatic compounds  
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27 235 associated to differences in the fatty acid chain length and degree of unsaturation among oils <sup>22</sup>.  
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32 236 The predictive accuracy of the calibration model developed by portable FT-IR spectroscopy was  
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34 237 evaluated using an independent external validation set that included 13 commercial samples.  
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37 238 Assignments were correlated and confirmed with GC-FAME analysis results (**Table 2**). **Figure**  
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39 239 **4a** shows the SIMCA 3D projection plot for the validation set, while the predicted class  
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41 240 assignments and manufacturer label information is presented in **Figure 4b**. Based on the  
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43 241 manufacturer labeling information, 7 potato chip samples were processed with a sole type of oil  
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45 242 including sunflower (HO, EP, regular, seed) and canola oils and 6 samples indicated the use of 1  
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47 243 or more type of oils. Our GC-FAME results showed that 8 out of 13 samples contained only one  
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49 244 type of vegetable oil (ie. corn, sunflower, or canola) and 5 samples showed mixtures of oils  
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52 245 based on their fatty acid profiles. All SIMCA predictions correlated with the GC-FAME  
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54 246 assignments (**Figure 4b**) and showed 3 potato chip samples that had mislabeling of the oil  
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3 247 source. Sample **F**, which was labeled by the manufacturer as containing solely organic EP  
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5 248 sunflower oil was clustered close to cottonseed oil and the GC-FAME results indicated that  
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8 249 sample **F** includes cottonseed oil and at least one other type of oil. Sample **L** and **M**, same  
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10 250 product from different lots, which were labeled as containing only sunflower oil, yet both  
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12 251 samples clustered far from the sunflower oil at the SIMCA prediction plot and their GC-FAME  
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15 252 confirmed it was an oil mixture. By combining the spectra collected using a portable IR sensor  
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17 253 and pattern recognition analysis, a SIMCA classification allowed to rapidly (~1 min) identify the  
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20 254 frying oils in potato chips and flagged potential mislabeling problems. The potential profits and  
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22 255 trading advantages from mislabeling prejudice the interests of both consumers and honest  
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25 256 manufacturers, and the use IR portable instruments would allow for efficient *in-situ* surveillance  
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27 257 of high-value food ingredients such as vegetable oils.

### 258 **3.2. Development of Predictive Models for Estimation of Major Quality Parameters**

259 Partial least squares regression (PLSR) models were developed using the infrared spectra  
260 collected from a portable 5-bounce ATR unit and the reference values for fatty acid composition  
261 (GC-FAME) and rancidity tests (free fatty acids, peroxide value and *p*-anisidine). **Table 4** shows  
262 the performance statistics for the PLSR calibration and validation models obtained for the 5  
263 major fatty (palmitic, stearic, oleic, linoleic and linolenic) acids, total saturated and  
264 polyunsaturated (PUFA) fatty acids in vegetable oils and the rancidity parameters. Two models  
265 were developed for linolenic acid based on their levels, group I include canola oils with levels  
266 ranging from 1.1 to 8.7% and group II included the rest of the oils ranging from 0.1 to 0.9%. The  
267 standard error of cross validation (SECV) determined from the calibration set using the leave-  
268 one-out approach and the standard error of prediction (SEP) using a validation set not included in  
269 the calibration model showed very similar values for the different components evidencing the

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3 270 robustness of the prediction models. The SEP gave values ranging from 0.08 to 1.5% for the  
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5 271 major fatty acids, 0.68% for the saturated and 0.91% for the polyunsaturated fatty acid present in  
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8 272 the potato chip oil. **Figure 5** illustrates the very good correlations ( $R_{\text{cal}}$  0.93 - 1) obtained  
9  
10 273 between the infrared predicted values and the measured fatty acid composition by GC-FAME.  
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12 274 Our performance statistics for the portable infrared unit was comparable to those reported using  
13  
14 275 benchtop units and superior to a single-bounce handheld unit (**Table 1**).

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18 276 Vegetable oils that contains high levels of polyunsaturated fatty acid are highly susceptible to  
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20 277 hydrolysis, oxidation, and polymerization under frying environment <sup>4</sup>. Free fatty acids (FFA)  
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22 278 generated by triacylglycerol hydrolysis upon release of water from the food being fried <sup>43</sup> have  
23  
24 279 prooxidant action, exerted by the carboxylic molecular group, accelerating the rate of  
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26 280 decomposition of hydroperoxides <sup>44</sup> and is an index used by the industry to monitor the quality of  
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28 281 frying oil <sup>4</sup>. Our results showed that FFA levels ranged from 0.0 to 1.3% (**Table 4**) with an  
29  
30 282 average of  $0.33 \pm 0.2\%$ , lower than the 1% FFA common industry criteria <sup>45</sup> and well below the  
31  
32 283 2% FFA maximum value set by the United States Department of Agriculture for discarding  
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34 284 frying oil <sup>46</sup>. However, two samples out of 80 showed 3.5 and 8.4% FFA levels and were  
35  
36 285 excluded from the PLSR model due to their high leverage. Most of the oils recovered from  
37  
38 286 commercial potato chips evidenced very low hydrolytic rancidity in contrast to FFA levels  
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40 287 reported in restaurant frying oils that showed an average of  $0.93 \pm 1.03\%$  FFA <sup>46</sup>. **Table 4** shows  
41  
42 288 the performance statistics of the PLSR model developed for estimating FFA in oils using a  
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44 289 portable FT-IR system. The calibration model gave high correlation coefficient ( $R_{\text{cal}}$  0.97) and  
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46 290 low standard error of prediction (SEP 0.07%) using 4 factors, and the RPD values for the model  
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48 291 was 3.7 allowing for quality control applications. The  $1750\text{-}1700\text{ cm}^{-1}$  spectral range was used to  
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50 292 predict the FFA value with major bands centered at  $1716$  and  $1746\text{ cm}^{-1}$  associated with carbonyl  
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3 293 bonds in acylglycerides and FFAs, respectively <sup>47</sup>. FFA quantification has been accomplished  
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5 294 using the band height at 1716 cm<sup>-1</sup> <sup>19,47</sup>.

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9 295 Peroxide value was used to monitor the formation of peroxides/hydroperoxides during the free  
10  
11 296 radical reaction with oxygen <sup>14</sup>, and as indicator of the frying oil freshness <sup>8</sup>. Peroxide value for  
12  
13 297 the deep fat frying oils should be ≤1 meq/kg at the time of purchase <sup>8</sup>, and oil with PV>10  
14  
15 298 meq/kg is considered rancid <sup>48</sup>. Our PV results ranged from 0.4 to 15.5 meq/kg (**Table 4**) with an  
16  
17 299 average of 6.80±3.7 meq/kg. Fifteen samples out of 86 had PV above >10 meq/kg and two  
18  
19 300 samples showed high PV levels (36.6 and 91.1 meq/kg) and were excluded from the models due  
20  
21 301 to their high leverage. The PLSR model for estimating PV gave a SEP of 1.46 meq/kg and  
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23 302 correlation coefficient (R) of 0.93, and similar model performances for peroxide values (1-20  
24  
25 303 meq/kg) have been reported in the literature (**Table 1**). **Figure 5** shows the correlation between  
26  
27 304 measured and predicted values for PV using the spectral range between 1650-900 cm<sup>-1</sup> and the  
28  
29 305 regression vector showed that the important bands for predicting PV were centered at 1114 and  
30  
31 306 914 cm<sup>-1</sup> associated with the formation of peroxy radical (O-O•) stretch between 1100 and 1200  
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33 307 cm<sup>-1</sup> and the C-O• stretch at around 900 cm<sup>-1</sup> <sup>49,50</sup>.

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40 308 Finally, *p*-Anisidine (*p*-AV) test was used to monitor secondary lipid oxidation products,  
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42 309 aldehydes (especially 2,4-dienals and 2-alkenals), in frying oils <sup>46,51</sup>. *p*-AV is particularly useful  
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44 310 to detect abused oils (e.g., deep-fat frying oils) with low PVs <sup>52</sup>. In our study, *p*-AV in oil  
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46 311 extracted from potato chips ranged from 4.8 to 83.3 (**Table 4**) with an average of 34.97±20.8 *p*-  
47  
48 312 AV, which are within the ranges reported in the literature for frying oils <sup>46,53</sup>. The PLSR models  
49  
50 313 gave a SEP for the *p*-AV test of 4.11 and correlation coefficient of 0.96 using 3 factors with a  
51  
52 314 RPD of 5.5 corresponding to a model suitable for quality control applications. The regression  
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54 315 vector showed that the 1030-940 cm<sup>-1</sup> spectral range was important in predicting the *p*-AV value  
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3 316 a major band centered at  $980\text{ cm}^{-1}$  associated with  $\delta$  RC=CH-HC=O vibration related with 2,4-  
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5 317 decadienal compound <sup>54</sup>.  
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#### 8 9 318 **4. CONCLUSION**

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11 319 Our data supports the application of a portable FT-IR spectrometer equipped with a 5-bounce  
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13 320 ATR (ZnSe crystal) accessory and temperature control instrument for assessing potato chip oil  
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15 321 quality in commercial potato chips. By using GC-FAME analysis, a total of 69 potato chip  
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17 322 samples were manufactured with a single source of oil (ie. Canola, sunflower, corn, cottonseed,  
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19 323 peanut, safflower or their high oleic variants). Combining the infrared spectra with pattern  
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21 324 recognition analysis, potato chip oils were clustered based on the type of vegetable oil used for  
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23 325 frying and a validation set showed 100% accurate predictions for the oils. Interestingly, we found  
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25 326 25% of the commercial potato chip samples had mislabeled information when reporting a single  
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27 327 source of oil; these findings were supported by the GC-FAME analysis. Furthermore, the same  
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29 328 spectra was used to develop PLSR models to estimate oil quality parameters showing strong  
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31 329 correlations ( $R_{\text{val}} \geq 0.95$ ) between reference tests and predicted values for major (palmitic,  
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33 330 stearic, oleic, linoleic, and linolenic), saturated and polyunsaturated fatty acids, FFA, PV, and *p*-  
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35 331 AV. Performance of the PLSR models are superior to models obtained from portable infrared  
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37 332 systems in other studies, and also comparable to results from benchtop infrared systems. A  
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39 333 portable spectrometer can provide the food industry with a rapid tool (~1 min) for oil screening  
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41 334 and quality assurance applications that requires minimal sample preparation and personnel  
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43 335 training and can be amenable for *in-plant* or *in-field* applications.  
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3 338 **5. ACKNOWLEDGEMENTS**  
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6 339 We are would like to thank Wyandot Snack Inc. to provide us vegetable oils. Finally, we would  
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8  
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11 341 State University.  
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342 **Table 1** Performances and statistics of composition and degradation products of edible oils analyzed by MIR and NIR techniques.

Oil Sample	Analysis	Method	Multivariate Analysis	Results	Reference #
Crude palm		Transmittance NIR <sup>f</sup>		PV: 2.2-10.3meq/kg; SEP <sup>o</sup> =0.2	55
Palm olein		Transmittance FTIR <sup>g</sup>		PV: 3.5-9.9meq/kg; SEP=0.2	56
Canola		FT-NIR <sup>h</sup>		PV: 0-15.1meq/kg; R <sup>p</sup> =0.98	57
Soybean, palm kernel olein		HATR-FTIR <sup>i</sup>		PV: 4-45meq/kg; RMSECV <sup>q</sup> =2.1	58
Canola, safflower, peanut, cottonseed, corn, sunflower	PV <sup>c</sup>	3-bounce ATR-MIR <sup>j</sup> 1-bounce ATR-MIR (portable)	PLSR <sup>m</sup>	PV: 1-20meq/kg; SECV <sup>r</sup> =1 PV: 1-20meq/kg; SECV=1	23
Virgin coconut		ATR-FTIR <sup>k</sup>		RMSEP <sup>s</sup> =0.5	59
Virgin olive		ATR-FTIR		PV: 5.7-15.7 meq/kg; RMSD <sup>t</sup> =0.7	60
Virgin olive		ATR-FTIR		PV: 11.1-49.7meq/kg; RMSECV=4	47
Corn, HO sunflower <sup>a</sup> , flax, sacha inchi		ATR-FTIR (benchtop) ATR-FTIR (portable)		PV: 1-66 meq/kg; SECV=2.1 PV: 1-66 meq/kg; SECV=5	22
Frying oil		NIR	PLSR	FFA: 0-0.6%; RPD <sup>u</sup> =2.5	61
Crude palm		Reflectance NIR	MLR <sup>n</sup>	FFA: 3.5-6.2; RMSEP=0.1	62
Palm olein	FFA <sup>d</sup>	Transmission FTIR		FFA: 0.1-1%; SEP=0	63
Virgin olive		ATR-FTIR	PLSR	FFA: 0.2-9.2%; RMSECV=0.2	47
Corn, HO sunflower, flax, sacha inchi		ATR-FTIR (benchtop) ATR-FTIR (portable)		FFA: 0-1%; SECV=0.1 FFA: 0-1%; SECV=0.1	22
Soybean oil	<i>p</i> -AV <sup>e</sup>	Transmission NIR	PLSR	<i>p</i> -AV: 0.5-1.8; SEP=0.6	64
Palm olein		Transmission FTIR		<i>p</i> -AV: 0.1-17.1; SEP=0.5	63
Canola, safflower, peanut, cottonseed, corn, sunflower		3-bounce ATR-MIR (benchtop) 1-bounce ATR-MIR (portable)		Saturated: 5.9-28.5%; SECV=0.2 PUFA <sup>v</sup> : 13.1-57.8%; SECV=0.6 Saturated: 5.9-28.5; SECV=1.1 PUFA: 13.1-57.8; SECV=2.2	23
Palm, sunflower, soybean, canola, cottonseed, rice bran, PHO <sup>b</sup>	Fatty acid profile	SB-ATR <sup>l</sup> , FTIR	PLSR	PUFA: 1.2-64.3%; RMSEP=1.2	65
Corn, HO sunflower, flax, sacha inchi		ATR-FTIR (benchtop) ATR-FTIR (portable) ATR-FTIR (benchtop) ATR-FTIR (portable)		Oleic: 9.6-76.6%; SECV=2 Oleic: 10.6-78.6%; SECV=2.7 Linoleic: 7.4-56%; SECV=1.1 Linoleic: 8.4-56%; SECV=2.7	22

343 <sup>a</sup>High oleic sunflower, <sup>b</sup>Partially hydrogenated oil, <sup>c</sup>Peroxide value, <sup>d</sup>Free fatty acids, <sup>e</sup>*p*-anisidine value, <sup>f</sup>Near infrared, <sup>g</sup>Fourier transform infrared, <sup>h</sup>Fourier transform near  
344 infrared, <sup>i</sup>Horizontal attenuated total reflectance Fourier transform infrared, <sup>j</sup>Attenuated total reflectance mid infrared, <sup>k</sup>Attenuated total reflectance Fourier transform infrared,  
345 <sup>l</sup>Single bounce attenuated total reflectance, <sup>m</sup>Partial least squares regression, <sup>n</sup>Multiple linear regression, <sup>o</sup>Standard error of prediction, <sup>p</sup>Correlation coefficient, <sup>q</sup>Root mean square  
346 error of cross validation, <sup>r</sup>Standard error of cross validation, <sup>s</sup>Root mean square error of prediction, <sup>t</sup>Root mean square deviation, <sup>u</sup>Residual predictive deviation, <sup>v</sup>Polyunsaturated  
347 fatty acids.

348 **Table 2** Fatty acid composition (%) for potato chips oil samples using fatty acid methyl ester  
 349 (FAME) procedure.

Sample	Palmitic	Stearic	Oleic	Linoleic	Linolenic
Corn	12±1	2±0	28±1	57±1	1±0
Canola	5±0	2±0	65±1	20±0	7±1
HO Canola (I) <sup>a</sup>	4±0	2±0	68±0	23±0	3±0
HO Canola (II) <sup>b</sup>	4±0	2±0	74±2	17±2	2±0
Peanut	11±0	3±0	58±1	27±1	0±0
HO Sunflower <sup>c</sup>	5±1	3±0	80±3	12±2	0±0
HO Safflower <sup>d</sup>	6±1	2±0	76±1	16±0	0±0
MO Sunflower <sup>e</sup>	5±0	4±0	65±1	26±2	0±0
Cottonseed	24±1	2±0	17±1	57±2	0±0
EP Sunflower <sup>f</sup>	6±1.2	3±0.7	61±1.4	30±2.1	0±0

350 <sup>a</sup>High oleic canola (I), <sup>b</sup>High oleic canola (II), <sup>c</sup>High oleic sunflower, <sup>d</sup>High oleic safflower, <sup>e</sup>Mid oleic sunflower, <sup>f</sup>Expeller  
 351 pressed sunflower.

**Table 3** Interclass distances between 10 potato chip frying oils based on SIMCA class projections of the FT-IR spectra collected at the 700-4000  $\text{cm}^{-1}$  region.

Groups <sup>a</sup>	1	2	3	4	5	6	7	8	9	10
1	0.0									
2	14.1	0.0								
3	15.4	2.1	0.0							
4	18.7	8.0	6.9	0.0						
5	21.2	5.1	2.6	4.9	0.0					
6	5.3	14.7	13.5	13.5	17.6	0.0				
7	25.0	6.5	3.8	5.2	1.7	22.8	0.0			
8	19.0	4.1	2.3	6.6	3.0	18.5	5.2	0.0		
9	28.1	10.1	7.0	2.3	5.0	23.7	5.1	9.3	0.0	
10	31.2	15.4	13.8	5.3	12.2	21.9	15.4	20.0	12.2	0.0

Groups<sup>a</sup> 1: Corn, 2: Canola, 3: High Oleic Canola (I), 4: High Oleic Sunflower, 5: Mid Oleic Sunflower, 6: Cottonseed, 7: High Oleic Canola (II), 8: Expeller Pressed Sunflower, 9: High Oleic Safflower, 10: Peanut

358 **Table 4** Performance of calibration and validation models developed by using portable FT-IR  
 359 instrument for estimating palmitic, stearic, oleic, linoleic, linolenic, saturated, PUFA, FFA, PV,  
 360 and *p*-AV levels in potato chips samples.

	Calibration model					Validation model				
	Range	n <sup>a</sup>	Factor	SECV <sup>b</sup>	r Cal	Range	n	SEP <sup>c</sup>	r Val	RPD <sup>d</sup>
Palmitic (%)	3.6-25.0	73	4	0.78	0.99	4.0-21.1	18	0.84	0.99	5.4
Stearic (%)	1.4-3.9	70	4	0.29	0.93	1.5-3.7	18	0.22	0.97	3.8
Oleic (%)	14.9-85.6	73	4	1.68	1.00	25.2-82.8	18	1.51	1.00	13.3
Linoleic (%)	7.3-65.0	76	4	1.50	1.00	10.3-58.2	19	1.00	1.00	16.5
Linolenic I (%)	1.1-8.7	25	5	0.35	0.99	NA <sup>g</sup>	NA	NA	NA	NA
Linolenic II (%)	0.1-0.9	47	3	0.09	0.96	0.1-0.9	12	0.08	0.96	3.6
Saturated <sup>e</sup> (%)	5.8-27.8	73	4	0.75	0.99	6.6-23.9	18	0.68	0.99	6.3
PUFA <sup>f</sup> (%)	7.6-65.1	76	4	1.26	1.00	10.7-59.0	19	0.91	1.00	18.1
FFA (%)	0.0-1.3	64	4	0.05	0.97	0.1-1.0	16	0.07	0.96	3.7
PV (meq/kg)	0.4-15.5	69	4	1.27	0.93	2.0-15.5	17	1.46	0.95	2.8
<i>p</i> -AV	4.8-83.3	68	3	5.94	0.96	6.3-76.5	17	4.11	0.98	5.5

361 <sup>a</sup>Number of samples, <sup>b</sup>Standard error of cross validation, <sup>c</sup>Standard error of prediction, <sup>d</sup>Residual predictive deviation, <sup>e</sup>Saturated  
 362 fatty acids, refers to the total concentration of palmitic and stearic acids, <sup>f</sup>Polyunsaturated fatty acids, refers to the total  
 363 concentration of linoleic and linolenic acids, <sup>g</sup>Validation model was not generated for Linolenic I due to its low number of samples  
 364 (n=25).

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3 368 **LIST OF FIGURES**  
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6 369 **Figure 1. (a)** Demonstration of typical spectrum collection using a portable FT-IR spectrometer  
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9 370 equipped with a 5-bounce heated ZnSe crystal. **(b)** Demonstration of FT-IR spectrometer screen  
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11 371 obtained when an unknown potato chip oil sample is deposited onto the crystal and all quality  
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13 372 parameters are predicted.  
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17 373 **Figure 2. (a)** FT-IR spectrum and band assignments of vegetable oils collected using a 5-bounce  
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19 374 Zn Se crystal ATR system equipped with a temperature-controlled accessory. **(b)** Second  
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21 375 derivative of the spectrum transformations for the corresponding vegetable oils.  
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25 376 **Figure 3. (a)** Soft independent modeling of class analogy (SIMCA) 3D projection plots of  
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27 377 second derivative-transformed spectral data collected by portable FT-IR spectrometer for frying  
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29 378 oils extracted from commercial potato chips. For SIMCA plots, boundaries marked around the  
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31 379 sample-clustered represents a 95% confidence interval for each class. Whether the residual  
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33 380 variance of a sample exceeds the boundary limit for the modeled class in the data set, it was not  
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35 381 assigned to any of the classes; either assigned as an outlier or belongs to a class not represented  
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37 382 in the data set. **(b)** SIMCA discriminating plot based on the mid-infrared spectra of oils using a  
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39 383 portable FT-IR spectrometer, showing bands and regions responsible for class separation.  
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44 384 **Figure 4. (a)** SIMCA class projections for the external validation set, letters from **A** to **M**  
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46 385 represent each validation set samples. The ellipses represent the class boundaries for the  
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48 386 vegetable oils (n=10) used in the calibration set. Class numbers represent vegetable oil groups as  
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50 387 followed; **1**: High oleic sunflower, **2**: High oleic safflower, **3**: Peanut, **4**: Mid oleic sunflower, **5**:  
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52 388 High oleic canola (II), **6**: Expeller pressed sunflower, **7**: High oleic canola (I), **8**: Canola, **9**:  
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3 389 Cottonseed, **10**: Corn (**b**) Manufacturer's label claims, GC-FAME assignments and SIMCA  
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6 390 predictions for external validation set.  
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9 391 **Figure 5.** Partial least squares regression (PLSR) calibration and validation plots for palmitic  
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11 392 (1030-1150, 2790-3000  $\text{cm}^{-1}$ )\* (**a**), oleic (1030-1170, 3000-3060  $\text{cm}^{-1}$ ) (**b**), linoleic (1040-1120  
12  
13 393  $\text{cm}^{-1}$ ) (**c**), free fatty acids (1700-1750  $\text{cm}^{-1}$ ) (**d**), peroxide value (900-1650  $\text{cm}^{-1}$ ) (**e**), *p*-anisidine  
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15 394 (940-1030  $\text{cm}^{-1}$ ) (**f**), saturated fatty acid (900-1210, 2766-3000  $\text{cm}^{-1}$ ) (**g**), and polyunsaturated  
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17 395 fatty acid (1045-1125, 2876-3055  $\text{cm}^{-1}$ ) (**h**) levels in potato chips samples using portable FT-IR  
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19 396 instrument. Grey squares represent samples in calibration groups; black squares represent  
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21 397 samples in validation groups. \*The part of the Mid-IR region used for the models.  
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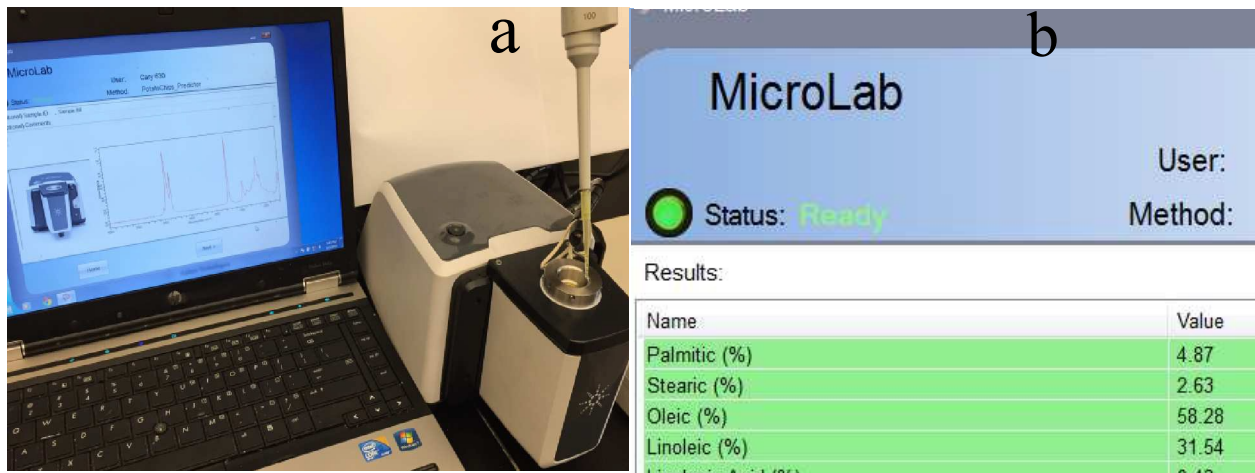


Figure 1.

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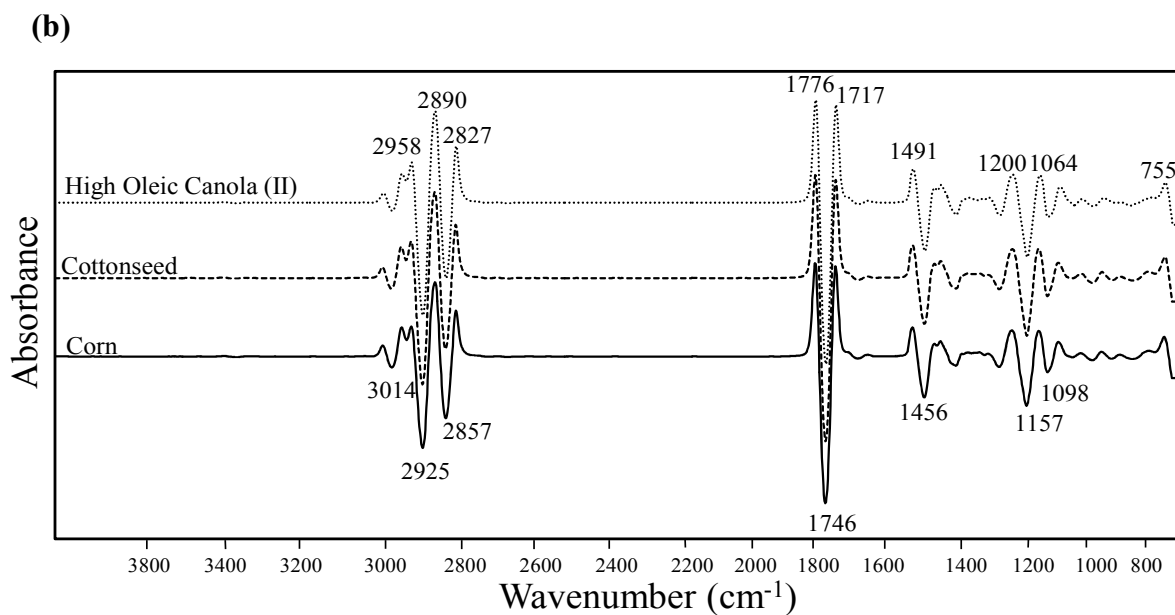
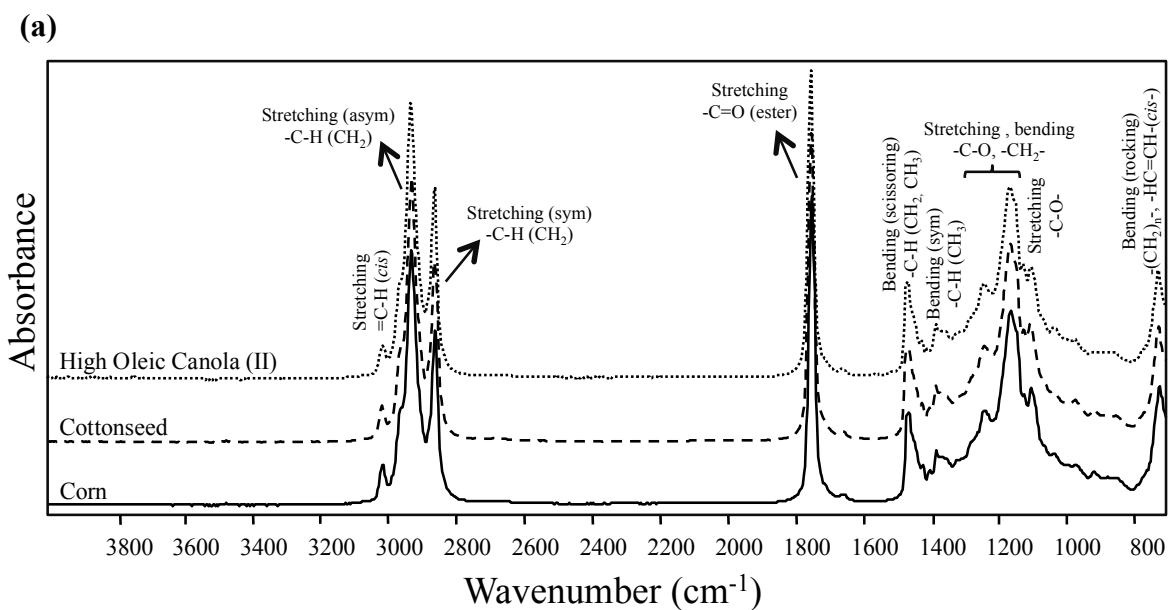


Figure 2.

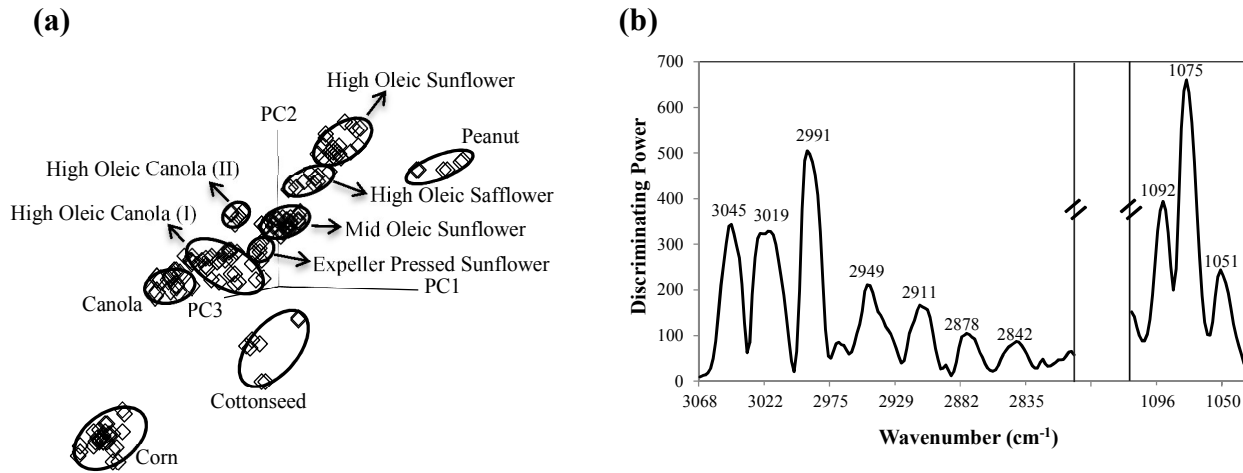
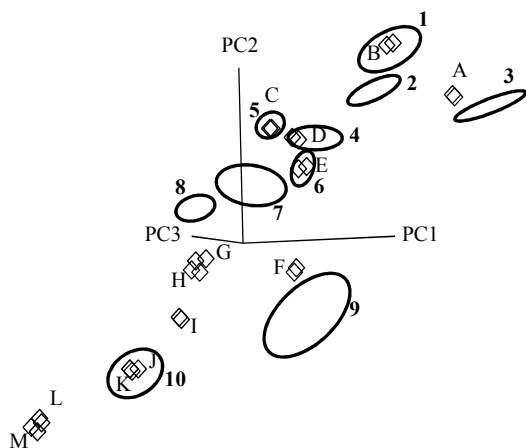


Figure 3.

(a)



(b)

Sample	Label	GC-FAME Assignment	SIMCA Prediction
A	Cottonseed, Peanut, Sunflower, Safflower, Canola a/o Corn	Mixture (main component peanut)	Mixture
B	HO Sunflower	HO Sunflower	HO Sunflower
C	Canola	HO Canola (II)	HO Canola (II)
D	Sunflower seed	MO Sunflower	MO Sunflower
E	EP Sunflower	EP Sunflower	EP Sunflower
F	Organic EP Sunflower	Mixture (main component cottonseed)	Mixture
G	Sunflower, Corn a/o Canola	Mixture	Mixture
H	Sunflower, Corn a/o Canola	Mixture	Mixture
I	Sunflower seed a/o EP Corn	Mixture (main component corn)	Mixture
J	Corn, Soybean a/o Sunflower	Corn	Corn
K	Corn, Peanut, Cottonseed, Soybean a/o Sunflower	Corn	Corn
L	Sunflower	Mixture	Mixture
M	Sunflower	Mixture	Mixture

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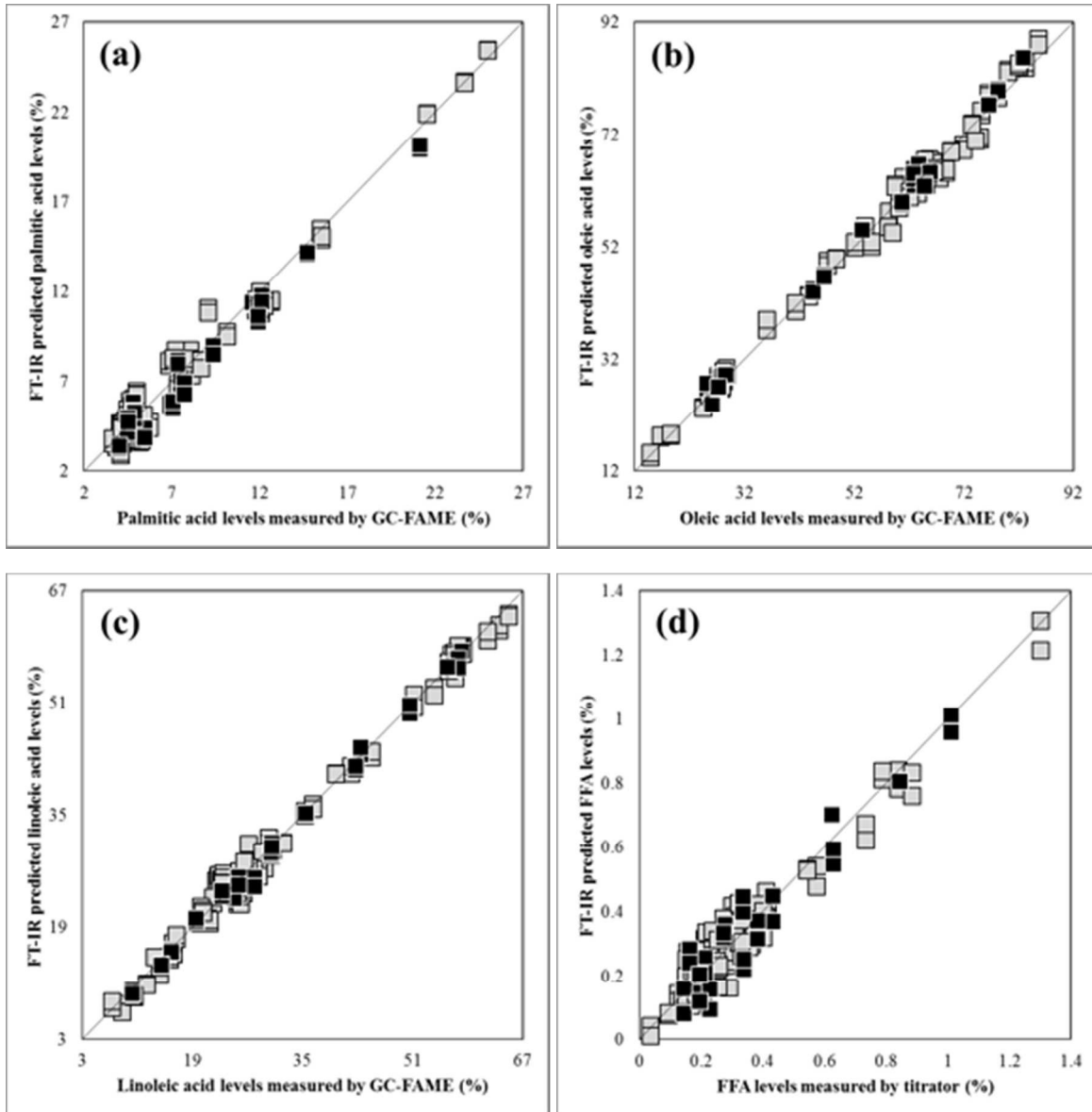
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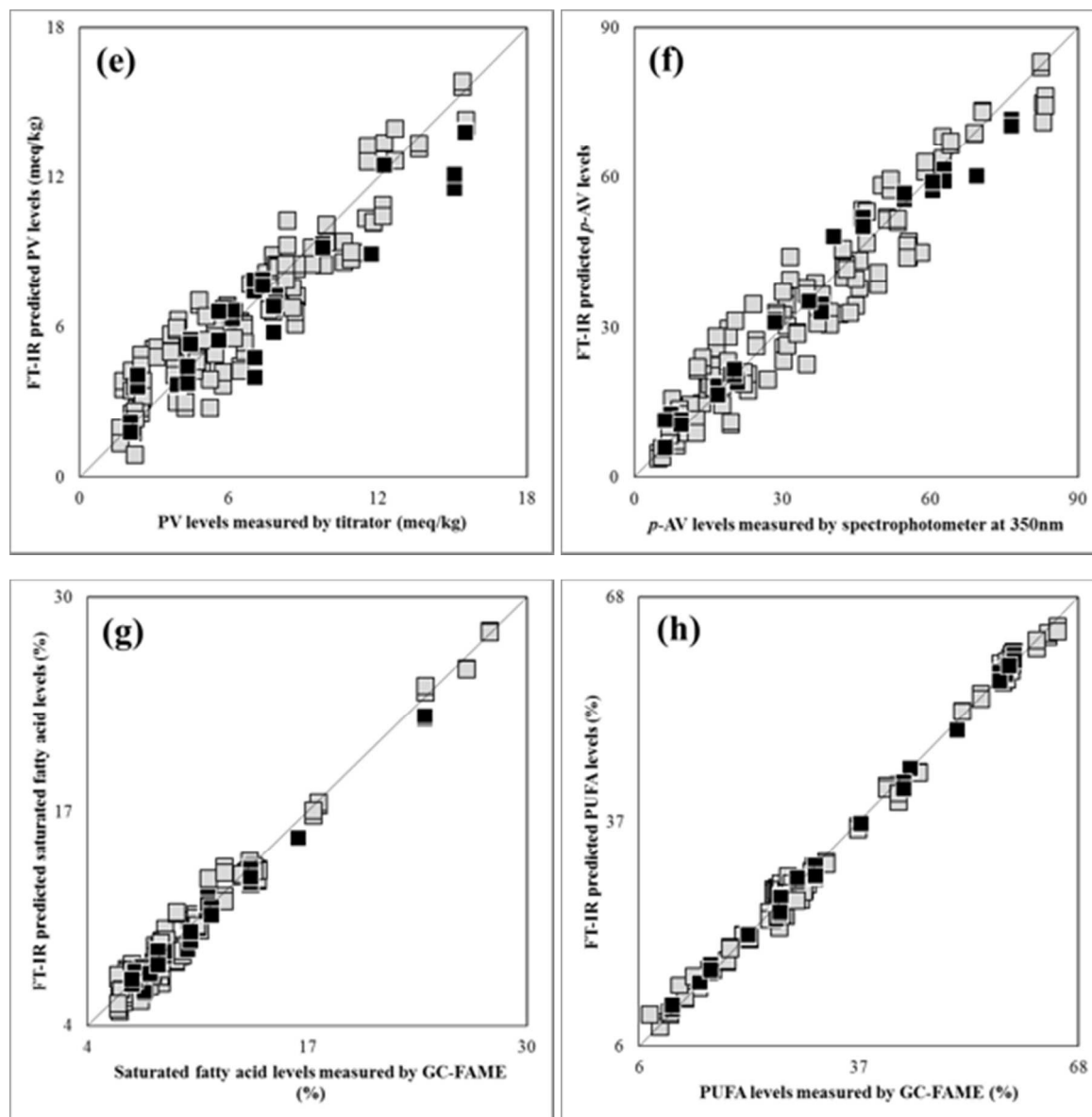
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Figure 4.



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Figure 5.

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