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## Mg<sub>3</sub>(Bi,Sb)<sub>2</sub> single crystals towards high thermoelectric performance†

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The rapid growth of the thermoelectric cooler market makes the development of novel room temperature thermoelectric materials of great importance. Ternary n-type Mg<sub>3</sub>(Bi,Sb)<sub>2</sub> alloys are promising alternatives to the state-of-the-art Bi<sub>2</sub>(Te,Se)<sub>3</sub> alloys but grain boundary resistance is the most important limitation. n-type Mg<sub>3</sub>(Bi,Sb)<sub>2</sub> single crystals with negligible grain boundaries are expected to have particularly high *zT* but have rarely been realized due to the demanding Mg-rich growth conditions required. Here, we report, for the first time, the thermoelectric properties of n-type Mg<sub>3</sub>(Bi,Sb)<sub>2</sub> alloyed single crystals grown by a one-step Mg-flux method using sealed tantalum tubes. High weighted mobility  $\sim 140 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  and a high *zT* of 0.82 at 315 K are achieved in Y-doped Mg<sub>3</sub>Bi<sub>1.25</sub>Sb<sub>0.75</sub> single crystals. Through both experimental angle-resolved photoemission spectroscopy and theoretical calculations, we denote the origin of the high thermoelectric performance from a point of view of band widening effect and electronegativity, as well as the necessity to form high Bi/Sb ratio ternary Mg<sub>3</sub>(Bi,Sb)<sub>2</sub> alloys. The present work paves the way for further development of Mg<sub>3</sub>(Bi,Sb)<sub>2</sub> for near room temperature thermoelectric applications.

## Introduction

Thermoelectric materials possess great potential in waste heat recovery and as static state Peltier coolers, since they are able to

### Broader context

There are only few thermoelectric materials show high performance in the temperature range of 300–500 K, especially for the n-type. Bi<sub>2</sub>Te<sub>3</sub>-based alloys have been the only commercialized thermoelectric alloys for more than 50 years. Searching for thermoelectric materials with high figure of merit at 300–500 K has great significance for low-grade waste-heat recovery and solid-state cooling. In recent years, n-type Mg<sub>3</sub>(Bi,Sb)<sub>2</sub> ternary alloys are found to be promising as an alternative for the n-type Bi<sub>2</sub>(Te,Se)<sub>3</sub> thermoelectric alloys. Successful growth of the single crystals of n-type Mg<sub>3</sub>(Bi,Sb)<sub>2</sub> alloys can enable the investigation of band structure analysis by ARPES, as well as the grain boundary effect on the transport of charge carriers and phonons which greatly affect the room temperature thermoelectric figure of merit. These insights into the fundamental physics of Mg<sub>3</sub>(Bi,Sb)<sub>2</sub> alloys could shed light on further strategies for enhancing the thermoelectric performance, and thus promote their application in waste-heat recovery and solid-state cooling.

convert heat into electricity and *vice versa*.<sup>1,2</sup> Application of thermoelectric technology near room temperature offers a great opportunity for energy saving and solid-state cooling.<sup>3</sup> Wide application of thermoelectric technology is constrained by the energy conversion efficiency, which is closely related to the material's dimensionless figure of merit *zT*, defined as  $zT = \alpha^2 \sigma T / (\kappa_L + \kappa_e)$ , where  $\alpha$ ,  $\sigma$ ,  $\kappa_L$ ,  $\kappa_e$ , and  $T$  are Seebeck coefficient, electrical conductivity, lattice thermal conductivity, electronic thermal conductivity, and absolute temperature, respectively.<sup>4,5</sup> To date, most good thermoelectric materials achieve peak *zT* values at middle-to-high temperatures.<sup>6–11</sup> Only a few materials exhibit high *zT* at or near room temperature, for example, the conventional (Bi,Sb)<sub>2</sub>(Te,Se)<sub>3</sub> alloys,<sup>12,13</sup> MgAgSb,<sup>14,15</sup> and the very recently reported n-type Mg<sub>3</sub>(Bi,Sb)<sub>2</sub> compounds.<sup>16,17</sup>

The high *zT* in n-type Mg<sub>3</sub>(Bi,Sb)<sub>2</sub> alloys stems from the high degeneracy of the conduction band, and the intrinsic low thermal conductivity due to soft and highly anharmonic transverse phonons.<sup>18,19</sup> However, only until recently n-type ternary polycrystalline samples are achieved due to the lack of understanding of the Mg vacancies acting as “electron killers”<sup>20</sup> as well as the importance of Bi alloying which advantages electron doping.

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The remarkable  $zT$ , which is greater than 1.5 at high temperatures (700 K),<sup>20,21</sup> has led to extensive studies on  $\text{Mg}_3(\text{Bi,Sb})_2$ , in which excess Mg is commonly added to compensate for evaporation.<sup>22–26</sup> Then notably in 2018 grain size was found to significantly limit the room temperature mobility as well as the  $zT$ .<sup>27</sup> Thereafter, room temperature  $zT$  values have been considerably enhanced in coarse-grained samples by either increasing the sintering temperature or high temperature annealing.<sup>16,17,28,29</sup> Nevertheless, few n-type single crystals have been reported, and none for ternary solid solutions exhibiting high  $zT$ .<sup>30,31</sup>

Previously reported  $\text{Mg}_3\text{Bi}_2$  or  $\text{Mg}_3\text{Sb}_2$  single crystals are binary compounds that cannot result in high  $zT$ . First, they exhibit p-type transport properties as grown under Mg-poor conditions,<sup>32</sup> and must later be annealed under Mg-atmosphere to become n-type.<sup>33</sup> Second, even with annealing, it is difficult to obtain ternary  $\text{Mg}_3(\text{Bi,Sb})_2$  by starting with Bi or Sb as the flux, as it is difficult to control the Bi/Sb ratio; this results in binary compounds with maximum  $zT$  limited to 0.4 at 300 K.<sup>33</sup> Therefore, it would be of great significance to grow n-type ternary  $\text{Mg}_3(\text{Bi,Sb})_2$  single crystals for both the understanding of the thermoelectric transport and also further enhancement in the thermoelectric performance. This would enable the experimental investigations of the grain boundary effect (which was proved to inhibit charge carrier mobility at room temperature<sup>34</sup>) in ternary  $\text{Mg}_3(\text{Bi,Sb})_2$  by directly removing the grain boundaries, according to which higher  $zT$  is expected for ternary  $\text{Mg}_3(\text{Bi,Sb})_2$  single crystals. Furthermore, the successful growth of single crystals provides an opportunity to unravel the band structure of  $\text{Mg}_3(\text{Bi,Sb})_2$  by angle-resolved photoemission spectroscopy (ARPES), which can give a deeper understanding of the origin of the excellent thermoelectric performance of  $\text{Mg}_3(\text{Bi,Sb})_2$ .

In this work, we report the successful growth of n-type ternary  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  and binary  $\text{Mg}_3\text{Bi}_2$  and  $\text{Mg}_3\text{Sb}_2$  single crystals using a Mg-flux method. A  $zT$  of 0.82 is achieved at 315 K in Y-doped  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  single crystals, which is much higher than values seen for fine-grained polycrystalline samples. Moreover, the present n-type single crystals grown under the Mg-flux condition avoid adding uncontrollable Mg as in polycrystals, which would be advantageous for the stability of the single crystals. Furthermore, we investigate for the first time the band structure of this material with ARPES. The high thermoelectric performance of  $\text{Mg}_3(\text{Bi,Sb})_2$  can be attributed to the large band degeneracy since the lowest conduction band shifts off the high symmetry point. Notably, the alloying of  $\text{Mg}_3\text{Bi}_2$  and  $\text{Mg}_3\text{Sb}_2$  is essential for the high thermoelectric performance, not only because of the commonly known alloying effect in reducing thermal conductivity, but more importantly, because  $\text{Mg}_3\text{Bi}_2$  contributes to higher mobility and  $\text{Mg}_3\text{Sb}_2$  can enlarge the band gap to suppress the bipolar effect. The present work advances the understanding and development of the important  $\text{Mg}_3(\text{Bi,Sb})_2$  thermoelectric alloys in several significant respects, including the evolution of band structure with alloying, the effect of grain boundary on both charge carriers and phonons, as well as a time-saving one-step synthesis of n-type ternary single crystals with high  $zT$  at room temperature for cooling applications.

## Experimental

$\text{Mg}_3\text{Bi}_2$ ,  $\text{Mg}_3\text{Sb}_2$ , and  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  single crystals were grown using a flux method, employing Mg as the flux. Starting elements Mg (pieces, 99.8%), Bi (ingot, 99.9999%) and Sb (shot, 99.9999%) were weighed and mixed with molar ratios of Mg:Bi = 5:2, Mg:Sb = 8:2 and Mg:Bi:Sb = 11:3:1, respectively. Approximately 0.2 mol% of yttrium is added as an efficient n-type dopant (to occupy Mg sites) for optimizing electron concentration.<sup>35,36</sup> The mixtures were then sealed in tantalum tubes under a partial pressure of argon in a glove box, where a specially designed tantalum filter is used for the separation of grown crystals and the liquid flux during centrifugation. The tantalum tubes were then sealed in quartz tubes under partial argon pressure. Excess Mg melts prevent the reaction between Sb and Ta, and thus protect the Ta tube and filter. Temperature procedures are as follows: for  $\text{Mg}_3\text{Bi}_2$ , the sample was first heated up to 750 °C for over 30 h, kept for 48 h, then slowly cooled to 600 °C at the rate of 2 °C h<sup>-1</sup>; for  $\text{Mg}_3\text{Sb}_2$  and  $\text{Mg}_3\text{Bi}_2$ - $\text{Mg}_3\text{Sb}_2$  alloys, samples were first heated up to 900 °C for 30 h, kept for 48 h, then slowly cooled to 700 °C with a rate of 2 °C h<sup>-1</sup>. Subsequently, single crystals were obtained by removing the liquid flux in a centrifugation process.

The orientation of the single crystals was determined by the backscattering Laue X-ray diffraction method. Chemical compositions were examined by scanning electron microscope (SEM) with an attached energy-dispersive X-ray spectrometer, and results agreed with the nominal compositions. Resistivity and Hall resistivity were measured simultaneously using a physical property measurement system (PPMS, Quantum Design) in the AC transport option. Seebeck coefficient was measured with PPMS, using the thermal transport option with one-heater and two-thermometer configurations. Thermal conductivity was measured in a home-built high vacuum cryostat using the standard four-contact steady-state method. The sample was glued onto the thermal bath with DeltaBond™ 152 adhesive, which is electrically insulating. Temperature gradient was measured with differential AuFe/Chromel thermocouples (Fig. S1, ESI†). All the transport properties were measured in the *ab* plane. Different samples are measured to check the consistency of the sample quality (Fig. S2, ESI†), since Seebeck coefficient is measured on a sample different from the piece used for resistivity and thermal conductivity measurements. ARPES experiments were carried out at the Berliner Elektronenspeicherring für Synchrotronstrahlung (BESSY) (beamline UE112-PGM-1) with a Scienta Omicron R8000 analyzer, and at beam line 13U of the National Synchrotron Radiation Laboratory with a Scienta Omicron DA30 analyzer. The single crystals were cleaved *in situ* below 15 K, under a pressure of  $\sim 1 \times 10^{-10}$  mbar.

The density functional theory (DFT) calculations were performed using projector-augmented wave method as implemented in the Vienna *ab initio* Simulation Package.<sup>37,38</sup> The exchange and correlation functional was treated by the Perdew–Burke–Ernzerhof (PBE)-generalized gradient approximation.<sup>39</sup> The  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  was obtained by Special Quasirandom Structure



method<sup>40</sup> in a supercell with 160 atoms ( $4 \times 4 \times 2$  unit cell). The band structure calculations for  $\text{Mg}_3\text{Bi}_2$ ,  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  and  $\text{Mg}_3\text{Sb}_2$  were conducted using the modified Becke–Johnson (mBJ) potential<sup>41</sup> to get more accurate band gaps. Spin-orbit coupling was considered throughout this study. The energy and Hellmann–Feynman force convergences were less than  $10^{-4}$  eV and  $0.01 \text{ eV \AA}^{-1}$ , respectively. The Brillouin zones were sampled by an  $11 \times 11 \times 7$   $\Gamma$ -centred  $k$ -mesh for the relaxations of  $\text{Mg}_3\text{Bi}_2$  and  $\text{Mg}_3\text{Sb}_2$  unit cells. The Fermi surface of  $\text{Mg}_3\text{Bi}_2$  was calculated with a dense  $40 \times 40 \times 25$   $k$ -mesh and plotted with XCrySDen.<sup>42</sup> The band structure of  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  was determined by adopting the band unfolding method.<sup>43</sup>

## Results and discussion

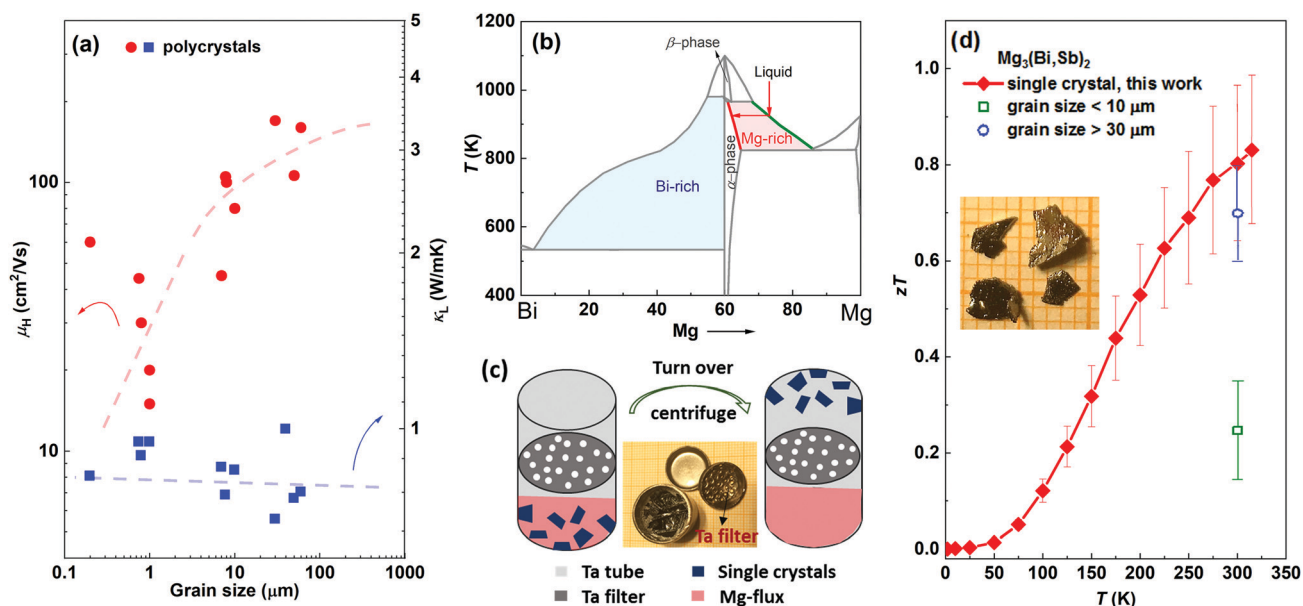
### High $zT$ in $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$ single crystal

n-Type ternary  $\text{Mg}_3(\text{Bi},\text{Sb})_2$  single crystals are highly desired for achieving optimal thermoelectric performance at around room temperature. Firstly, only ternary  $\text{Mg}_3(\text{Bi},\text{Sb})_2$  compounds show exceptional  $zT$  values, instead of binary  $\text{Mg}_3\text{Bi}_2$  or  $\text{Mg}_3\text{Sb}_2$ . Secondly,  $zT$  of this system is found to be strongly affected by the grain size.<sup>27</sup> Fig. 1(a) summarizes the grain size dependence of electron mobility ( $\mu_{\text{H}}$ ) and lattice thermal conductivity ( $\kappa_{\text{L}}$ ) for n-type  $\text{Mg}_3(\text{Bi},\text{Sb})_2$  polycrystalline samples reported in the literature.<sup>17,20,27,28,44–46</sup> It is obvious that  $\kappa_{\text{L}}$  is nearly independent of grain size, whereas  $\mu_{\text{H}}$  exhibits a significant increase with larger grain size. Therefore, the ratio of  $\mu_{\text{H}}/\kappa_{\text{L}}$  exhibits a positive correlation with grain size. Along with the independence of Seebeck coefficient on grain boundaries,

indicate that single crystals show great potential to exhibit higher  $zT$  values.

The difficulties in growing n-type  $\text{Mg}_3(\text{Bi},\text{Sb})_2$  single crystals lie in the complexity of the phase diagram and the high chemical reactivity of Mg. Taking  $\text{Mg}_3\text{Bi}_2$  as an example ( $\text{Mg}_3\text{Sb}_2$  is similar (Fig. S3, ESI<sup>†</sup>)), it is not a line compound and undergoes a phase transition from a high-temperature  $\beta$ -phase (cubic) to a low-temperature  $\alpha$ -phase (trigonal) (Fig. 1(b)).<sup>47</sup> Hence, it would be difficult to grow high-quality  $\alpha$ -phase single crystals (desired for thermoelectrics) using high-temperature methods, as the structural transition during cooling would lead to a multi-domain  $\alpha$ -phase polycrystalline sample. Instead, a low-temperature flux method can avoid structural change by maintaining the growth temperature below the phase transition point. Previous studies using Bi- or Sb-flux methods only result in p-type (and only binary) single crystals because of the Mg-deficient environment.<sup>32,48</sup> A probable solution for growing n-type (both binary and ternary) single crystals involves using the Mg-flux method. However, this is extremely challenging since Mg is highly reactive with most conventional crucibles, including quartz, graphite, and the  $\text{Al}_2\text{O}_3$  crucibles which are commonly used for flux growth. Tantalum metal, however, is stable in the presence of Mg melts, but there is no commercially available Ta filter for use with the molten flux method. To solve this problem, a self-designed tantalum filter was employed to separate the single crystals from the Mg-flux, as illustrated in Fig. 1(c).

The Ta filter setup and the use of Mg as the flux allowed the preparation of ternary alloyed  $\text{Mg}_3(\text{Bi},\text{Sb})_2$  single crystals which should possess high  $zT$ . n-Type  $\text{Mg}_3\text{Bi}_2$  and  $\text{Mg}_3\text{Sb}_2$  single crystals were also successfully grown. The single crystals show a typical



**Fig. 1** (a) Charge carrier mobility and lattice thermal conductivity versus grain size for polycrystalline  $\text{Mg}_3(\text{Bi},\text{Sb})_2$  samples, the data are collected from the literature.<sup>17,20,27,28,44–46</sup> Although the data points are scattered, which can be due to different charge carrier concentration and Bi/Sb ratios, or the effects of differing amounts of excess Mg on the sample quality, the trends as guided by the dashed lines are clear. Schematics of (b) Mg–Bi phase diagram, (c) Mg-flux method using a Ta tube with self-designed Ta filter made from a Ta cap. (d)  $zT$  of Y-doped  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  single crystal (an error bar of 20% is added), with a comparison to the  $\text{Mg}_3(\text{Bi},\text{Sb})_2$  polycrystalline samples whose grain sizes are larger than  $30 \mu\text{m}$  (blue) and below  $10 \mu\text{m}$  (green).<sup>17,20,27,28,44–46</sup> The inset image shows the single crystals.



layered structure with widths ranging from a few millimeters to one centimeter and a thickness of  $\sim 0.2$  mm (Fig. 1(d)). The backscattering Laue XRD diffraction patterns show clear diffraction spots, indicating the high quality and crystallinity of the as-grown single crystals (Fig. S4, ESI<sup>†</sup>). Further SEM and EDX analysis demonstrate that the chemical compositions of  $\text{Mg}_3\text{Bi}_2$  and  $\text{Mg}_3\text{Sb}_2$  agree well with the stoichiometric compositions (Fig. S5, ESI<sup>†</sup>). The actual composition of the ternary compound is determined to be  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  by the EDX analysis. It is worth noting that the compositions of the single crystals are very close to the  $\text{Mg}:\text{X} = 3:2$  relationship ( $\text{X} = \text{Bi}, \text{Sb}$ ), without the uncontrollable large excess of Mg seen in polycrystalline samples. Excess Mg impurities would reduce the stability due to its high reactivity, and also impair the thermoelectric performance.<sup>49</sup> Consequently, the high-quality n-type single crystal results in a high  $zT$  over 0.82 at 315 K for Y-doped  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$ , as shown in Fig. 1(e). This  $zT$  value is much higher than that of the fine-grained polycrystalline samples and surpasses most coarse-grained samples, demonstrating that  $zT$  is closely related to the density of grain boundaries. Further  $zT$  enhancement is possible by tuning the Bi/Sb ratio and Fermi level. Moreover, the high thermoelectric performance of n-type  $\text{Mg}_3(\text{Bi},\text{Sb})_2$  rivals that of n-type  $\text{Bi}_2(\text{Te},\text{Se})_3$  samples,<sup>50–53</sup> which is of great significance since  $\text{Bi}_2(\text{Te},\text{Se})_3$  is the only commercial n-type thermoelectric material used near room temperature.

### Thermoelectric transport properties

The Y-doped  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  and  $\text{Mg}_3\text{Sb}_2$  crystals are n-type and show metallic transport behaviour down to the lowest

temperatures measured (Fig. 2(a)). The Y-doped  $\text{Mg}_3\text{Bi}_2$  displays a mixed conduction character of two types of charge carriers, in which the major carrier changes from hole to electron at temperatures above  $\sim 200$  K. Hence, only the carrier concentration above 200 K is given for Y-doped  $\text{Mg}_3\text{Bi}_2$ . All samples show a Hall electron concentration of  $\sim 10^{19} \text{ cm}^{-3}$  (Fig. 2(b)). In contrast, pure  $\text{Mg}_3\text{Sb}_2$  (without Y doping) displays p-type semiconducting behavior (Fig. S6, ESI<sup>†</sup>), clarifying that Mg-excess growth condition cannot directly lead to n-type conduction but can only guarantee effective n-type doping, which is instructive for further research on this system.

The single crystal outperforms traditional polycrystals because of the higher mobility with comparable thermal conductivity. As shown in Fig. 2(c), the charge carrier mobility of  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  and  $\text{Mg}_3\text{Sb}_2$  single crystals exhibit a  $T^{-1.5}$  dependence, indicating a phonon-dominated scattering mechanism. Notably, previously reported fine-grained ( $< 10 \mu\text{m}$ ) samples usually show lower mobility with decreasing temperature under 400 K,<sup>20,27,44,45</sup> which has been initially and widely attributed to the ionized impurity scattering mechanism. The present results demonstrate the absence of ionized impurity scattering in limiting low temperature charge carrier mobility for ternary  $\text{Mg}_3(\text{Bi},\text{Sb})_2$  alloys. Nevertheless, the Seebeck coefficient (Fig. 2(d)) would be nearly independent on the grain size. As a result, the single crystal shows more than three times higher weighted mobility than that of the fine-grained samples, and also better than the coarse-grained samples, as shown in Fig. 2(e). Conversely, the thermal conductivity of the  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  single crystal is still low, and is only slightly

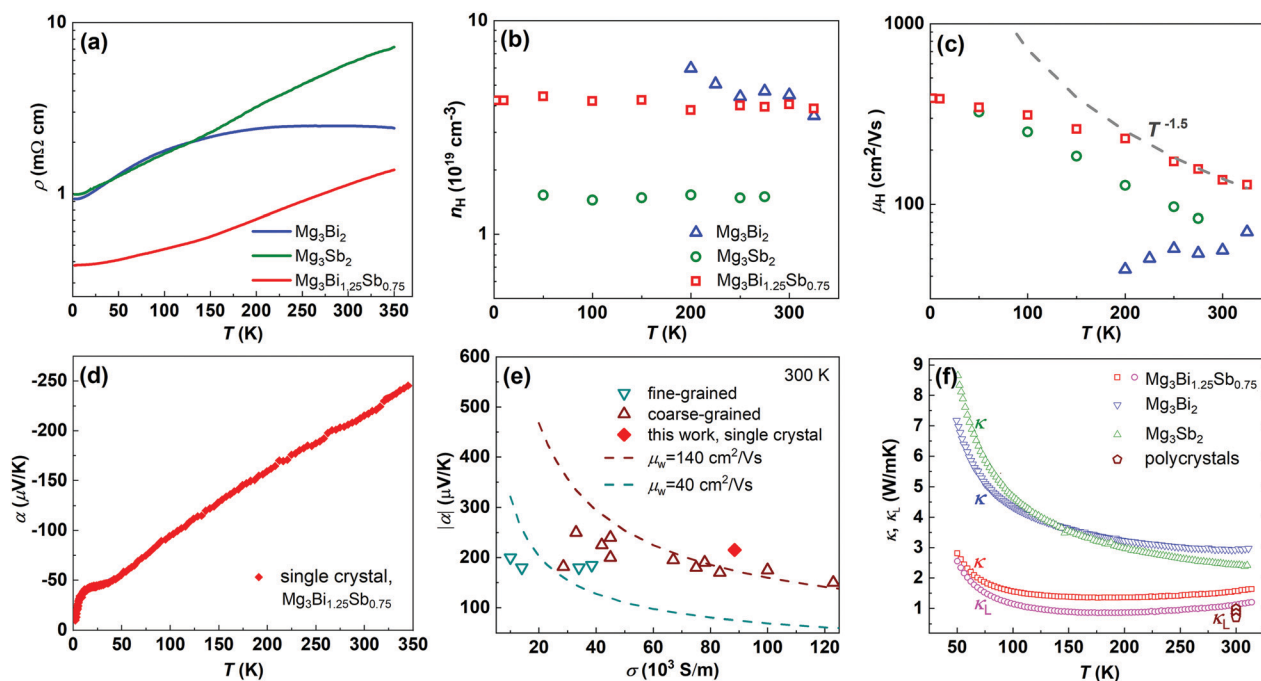


Fig. 2 Temperature dependence of (a) resistivity, (b) Hall charge carrier concentration, (c) Hall charge carrier mobility, (d) Seebeck coefficient, (e) conductivity dependence of Seebeck coefficient of Y-doped  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  single crystal at 300 K, with a comparison to polycrystalline samples,<sup>16,17,20,23,26–28,44,46</sup> and (f) temperature dependence of total and lattice thermal conductivity, with a comparison to the lattice thermal conductivity of polycrystalline samples.<sup>17,20,27,28,44–46</sup>



increased compared to the polycrystalline samples (Fig. 2(f)),<sup>17,20,27,28,44–46</sup> directly demonstrating that grain boundaries have very small effect on the phonon transport. The higher weighted mobility, together with the comparably low thermal conductivity, leading to the excellent thermoelectric performance in single crystals.

### Band structure analysis by ARPES and DFT

The origin of the exceptional thermoelectric performance is further investigated by analyzing the electronic band structure. In addition to DFT calculations, the high-quality single crystals enable experimental investigation of the electronic structure by ARPES.<sup>54</sup> The crystal structure and corresponding Brillouin zone of  $\text{Mg}_3\text{X}_2$  ( $\text{X} = \text{Bi}$  and  $\text{Sb}$ ) are illustrated in Fig. 3(a) and (b). For ARPES experiments, the crystals were cleaved *in situ* along  $ab$  plane, corresponding to the  $k_x$ - $k_y$  plane in the reciprocal space. For each sample, ARPES spectra were first measured along the  $\Gamma$ - $M$ - $K$  plane. As shown in Fig. 3(c)–(e), all three compounds show an obvious increase in the electron states with rising binding energy (absolute value of  $E - E_F$ ), indicating the hole pockets at around the  $\Gamma$  point. From  $\text{Mg}_3\text{Bi}_2$  to  $\text{Mg}_3\text{Sb}_2$ , the electron states near  $E_F$  disappear and the top of the valence band shifts dramatically to higher binding energy, leading to a significant expansion in the band gap. No obvious electron states were observed at lower binding energy, which may be due to the shift of the conduction band from the  $\Gamma$ - $M$ - $K$  plane. To further probe the conduction band, we changed the photon energy to acquire the ARPES spectra in the entire  $\Gamma$ - $M$ - $A$ - $L$

plane; while no electron pockets were found (Fig. S7, ESI<sup>†</sup>), although all of the crystals have an electron density larger than  $10^{19} \text{ cm}^{-3}$ .

The observation of conduction bands is very challenging. First, DFT calculations indicate that the lowest conduction band is located at  $U^*$  (in the  $\Gamma^*$ - $M^*$  direction), not at the high-symmetry points of the Brillouin zone. This, to some extent, makes it difficult to observe the conduction bands. Second, the  $E_F$  of the single crystals might not be high enough to guarantee that there are sufficient electron states to be observed by ARPES, suggesting the necessity of further n-type doping to elevate the  $E_F$ . Therefore, potassium deposition, generally employed to raise the  $E_F$  of samples during the ARPES experiments, was attempted for  $\text{Mg}_3(\text{Bi,Sb})_2$ . Nonetheless, there was no obvious improvement of the  $E_F$  after several minutes of potassium deposition (Fig. S8, ESI<sup>†</sup>). These attempts demonstrate the difficulties in observing the electron pockets of the  $\text{Mg}_3\text{Bi}_2$ - $\text{Mg}_3\text{Sb}_2$  system with ARPES. Future ARPES experiments may require heavily-doped single crystals with higher  $E_F$  and larger electron density of states, with, for example, an electron density of  $\sim 10^{20} \text{ cm}^{-3}$ .

Nevertheless, the valence bands of all three compounds have been clearly observed along different directions. As shown in Fig. 3(f)–(h), the ARPES intensities along the  $\Gamma$ - $M$  and  $\Gamma$ - $A$  directions are resolved. The band dispersion in ARPES spectra along  $\Gamma$ - $A$  is steeper than that along  $\Gamma$ - $M$ , indicating a smaller effective mass (Fig. S9, ESI<sup>†</sup>) and higher hole velocity along the  $k_z$  direction, corresponding to the  $c$ -axis in real space. Moreover,

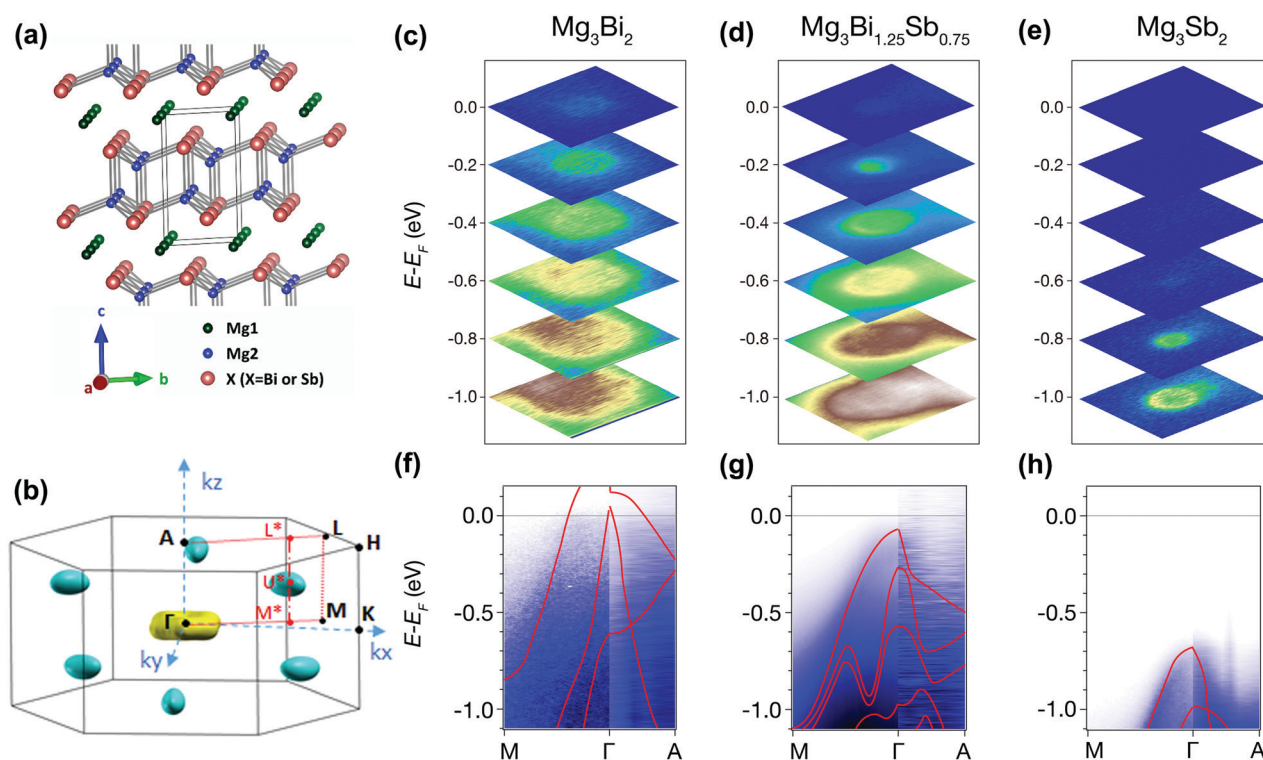


Fig. 3 (a) Crystal structure and (b) Brillouin zone and Fermi surface of  $\text{Mg}_3\text{X}_2$  system ( $\text{X} = \text{Bi}$  as an example). Series constant energy maps (c)–(e) and ARPES spectra along high symmetry directions (f)–(h); corresponding calculated band structures are overlaid (red lines) in (f)–(h).



the ARPES spectra observed for all three compounds are consistent with the calculated valence bands (red lines in Fig. 3(f)–(h)), corroborating the reliability of our DFT calculations.

A molecular orbital analysis was performed to develop an intuitive understanding of the enlargement of the band gap (as observed in ARPES) from the bonding interactions,<sup>55</sup> as depicted in Fig. 4(a) and (b). This schematic illustration sheds light on two important aspects. First, the band gap can be described as in the following equation:

$$E_g = E_0 - \frac{2}{3}\Delta - \frac{1}{2}(W_1 + W_2)$$

where  $E_g$  is the band gap,  $E_0$  is the energy difference between the bonding orbitals,  $\Delta$  is the energy difference between  $p_z$  and  $p_x/p_y$  due to orbital splitting, and  $W_1$  and  $W_2$  are the band widths of the s and p orbitals, respectively. A smaller  $E_g$  is expected for  $\text{Mg}_3\text{Bi}_2$  due to a smaller  $E_0$ , larger  $\Delta$  and larger  $W_1$ ,  $W_2$ , resulting from the lower electronegativity and the stronger spin orbit interaction of bismuth. Second, the larger band widening effect of the 6p orbit in Bi leads to a larger  $W_2$ , as well as  $W_1$  though it is the 3s orbital of Mg due to the bonding interaction, and therefore a larger band curvature of  $\text{Mg}_3\text{Bi}_2$ , compared to 5p orbit of Sb (as shown by the energy vs. DOS figures in Fig. 4(a) and (b)). This explains the reduction in the effective mass and higher mobility for  $\text{Mg}_3\text{Bi}_2$  than  $\text{Mg}_3\text{Sb}_2$ . Noteworthy, this is a contributing factor to the higher weighted mobility in ternary  $\text{Mg}_3\text{Sb}_2$ – $\text{Mg}_3\text{Bi}_2$  alloys<sup>17</sup> with higher Bi content. Consequently, Bi/Sb ratio is significant for the band gap as well as the mobility and eventually electrical performance of  $\text{Mg}_3(\text{Bi,Sb})_2$  compounds.

Further DFT calculations resolve more details of the band evolution from  $\text{Mg}_3\text{Bi}_2$  to  $\text{Mg}_3\text{Sb}_2$ , and therefore explain how the high thermoelectric performance of  $\text{Mg}_3(\text{Bi,Sb})_2$  is rooted in the band structure. The DFT-calculated band structures of  $\text{Mg}_3\text{Bi}_2$ ,  $\text{Mg}_3\text{Sb}_2$ , and  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  are shown in Fig. 4(c)–(e), respectively.

The band gap values are slightly lower than that observed by ARPES, due to the typical underestimation of band gaps in DFT calculations. But the trend in band gap variation is identical, ranging from a negative band gap for  $\text{Mg}_3\text{Bi}_2$ , to a near-zero gap for  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  and  $\sim 0.45$  eV for  $\text{Mg}_3\text{Sb}_2$ . More important, the conduction band minimum is located at  $U^*$  (along  $L^*$ – $M^*$ ) for all of the compounds investigated, since it is mainly dominated by Mg–Mg bonding interactions<sup>56,57</sup> and is relatively independent of Bi or Sb composition. The shift of the conduction band bottom off a high symmetry point contributes to a large band degeneracy, favoring a large quality factor and also  $zT$ . Although the large band degeneracy that favors the high thermoelectric performance of  $\text{Mg}_3(\text{Bi,Sb})_2$  stems from the Mg–Mg interaction introduced off-high-symmetry-point conduction band, it is worth noting that the Bi/Sb ratio is also of great significance. This is because  $\text{Mg}_3\text{Bi}_2$  can exhibit higher mobility, and  $\text{Mg}_3\text{Sb}_2$  is needed to enlarge the band gap and suppress the detrimental bipolar effect. Therefore, at near room temperatures, we emphasize that larger  $\text{Mg}_3\text{Bi}_2$  content is needed to achieve higher  $zT$  in  $\text{Mg}_3(\text{Bi,Sb})_2$ , as long as the band gap is large enough to avoid intrinsic excitation. This ensures the high  $zT$  observed in the Y-doped  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  single crystals studied here and also suggests future paths to better thermoelectric performance.

## Conclusions

High-quality n-type ternary  $\text{Mg}_3(\text{Bi,Sb})_2$  single crystals are synthesized using a facile Mg-flux method. The single crystals show higher mobility and low lattice thermal conductivity comparable to that of polycrystalline samples, indicating the unfavourable nature of grain boundaries. A high  $zT$  over 0.82 at 315 K is achieved in a Y-doped  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$  single crystal, demonstrating its great potential in application of low-grade waste heat recovery and room temperature Peltier coolers.

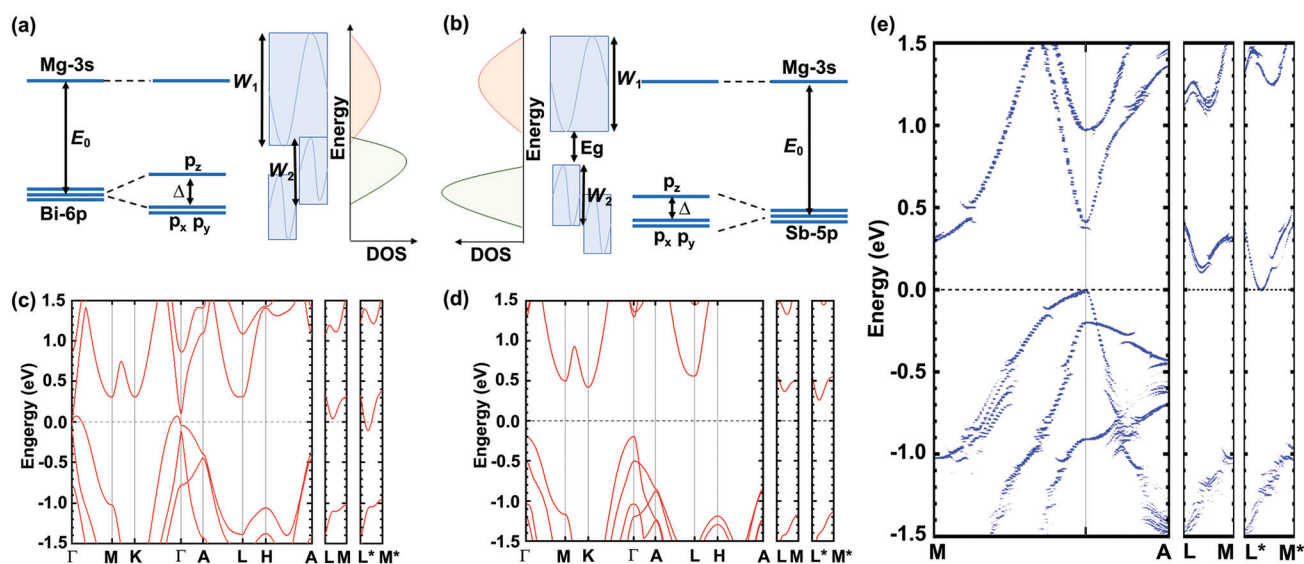


Fig. 4 (a) and (b) Schematic illustration of band widening effect and (c)–(e) DFT-calculated band structures of  $\text{Mg}_3\text{Bi}_2$ ,  $\text{Mg}_3\text{Sb}_2$ , and  $\text{Mg}_3\text{Bi}_{1.25}\text{Sb}_{0.75}$ , respectively.



Moreover, ARPES combined with DFT calculations denote the band evolution from  $\text{Mg}_3\text{Bi}_2$  to  $\text{Mg}_3\text{Sb}_2$ , and consequently indicate the need for a large Bi/Sb ratio for high thermoelectric performance of  $\text{Mg}_3(\text{Bi,Sb})_2$ . These findings provide future avenues to higher thermoelectric performance.

## Author contributions

Y. P. designed the experiment, synthesized the samples, measured the electrical transport properties; Y. M. conducted the ARPES; X. H. and C. H. measured the thermal conductivity; Y. Z., F. F. and J. Y. completed the DFT calculations; K. I. confirmed the Hall data; Y. P. and C. Fu wrote and edited the manuscript; G. J. S. analysed the experimental data and all authors edited the manuscript.

## Conflicts of interest

There are no conflicts to declare.

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