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## Nanowaste management in laboratory practice – a technical guideline

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The management of waste containing nanomaterials, here termed “nanowaste”, is not yet sufficiently regulated at both national and international levels. Here, we provide a comprehensive review of nanowaste management, situating laboratory practices within the broader regulatory context, with special attention to the Basel Convention. We then discuss potential measures to avoid or minimize nanowaste, options for nanowaste recovery and recycling, nanowaste risk assessment, protective equipment, categorization, collection, storage, labeling, and ultimately, disposal. Building on occupational health legislation and practical laboratory experience, we propose initial technical guidelines tailored to research environments and small and medium-sized enterprises (SMEs), where relatively small but highly diverse volumes of nanowaste are generated. To illustrate their application, we supplement four case studies, including the disposal of orphaned samples, small-scale and large-scale disposal, and nanomaterial spills. To strengthen trust in nanotechnology and support responsible innovation, we emphasize the importance of applying the precautionary principle and treating nanowaste with unknown properties as potentially hazardous to both human health and the environment. By explicitly linking laboratory-level practices with national and international frameworks, this guideline serves both as an immediately applicable tool for researchers and SMEs and as a technical foundation to inform future Basel Convention Technical Guidelines on nanowaste.

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### Environmental significance

Engineered nanomaterials are increasingly embedded in industrial products and research applications, yet their end-of-life management remains insufficiently defined. While research laboratories generate comparatively small volumes of nanowaste, these streams are highly diverse, frequently novel, and often lack comprehensive hazard data, creating uncertainty for human and environmental protection. Early-stage handling practices are therefore critical to preventing unintentional environmental release and occupational exposure. This tutorial provides structured, precautionary guidance for nanowaste management in laboratories and SMEs, linking bench-level decision-making with international waste governance frameworks. By strengthening waste practices at the point of material innovation, the work supports responsible nanotechnology development and contributes to long-term environmental stewardship.

### Introduction and objectives

Waste containing nanomaterials, here termed nanowaste, can no longer be considered an exception. Engineered nanomaterials already represent a significant and rapidly growing<sup>1–3</sup> fraction of global material flows, with a market growth rate of 18.2% between 2016 and 2021.<sup>4</sup> In 2010, it was estimated that ~63–91% of globally produced nanomaterials, *i.e.*, up to 281 000 metric tons, ended up in landfills,<sup>2</sup> raising

concerns about long-term environmental pollution, while highlighting the need for proportionate and precautionary management of nanowaste generated in research environments. These estimates do not even consider the emerging category of nanomaterials such as nanoplastics, which is now recognized as a major environmental issue.<sup>2,5</sup> It should be noted that the environment itself contains enormous quantities of naturally occurring nanoparticles that play key roles in geochemical and biological processes; however, engineered nanomaterials differ in composition, functionality, and exposure pathways, necessitating specific consideration of their waste management.<sup>6,7</sup>

While large-scale industrial sources contribute to these numbers, a notable share of engineered nanomaterials is produced in research laboratories and SMEs, where novel materials are designed, synthesized, and tested. These environments are therefore not only a starting point of

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innovation but also at the frontline of nanowaste generation and its safe management during the lifecycle in a laboratory.

An estimated 1–20% of the engineered nanomaterials are produced by academia and other research institutions worldwide, primarily at laboratory scale and for research purposes.<sup>2</sup> Research laboratories not only generate nanowaste but also train the next generation of scientists in how to handle it. As such, they play a dual role: developing disposal practices for novel materials and transmitting these practices to a broader community. To date, however, beyond the commercially available CEN/TS 17275:2018 standard (which mainly addresses terminology and definitions)<sup>8</sup> and a number of gap analyses and classification proposals,<sup>3,9–14</sup> there are no practical guidelines for nanowaste management tailored to laboratory practice.<sup>3,15</sup> This lack of guidance is striking because laboratories often deal with small but diverse waste streams that require rapid, pragmatic solutions.

Unlike conventional laboratory waste streams, nanowaste can consist of complex mixtures, including (but not limited to) carbon, polymers (nanoplastics), and heavy metals, and can have unique shapes and structures as in the cases of nanotubes and -wires, fullerenes (buckyballs), graphene (sheets), and quantum dots (quasi-spherical) with partially still unknown hazard properties (Fig. S1).<sup>1,16</sup> Due to the different properties such as the nanomaterials' size, composition, surface charge, shape, and dissolution rate in aqueous and lipid-rich environments, nanowaste can exhibit vastly different physicochemical behaviors and hazards compared to the bulk material waste, and therefore requires case-by-case assessment and, where appropriate, different treatment compared to regular chemical or biological waste. Importantly, not all nanomaterials are intrinsically hazardous; however, in research settings, hazard data are often incomplete, justifying a precautionary approach.

To ensure the sustainable and safe utilization of nanomaterials in research environments, a thorough evaluation of potential hazards and risks is required throughout their entire lifecycle, from resource extraction and production to use and disposal. Anticipating risks early allows researchers to make informed design and handling choices and to embed mitigation strategies directly into material development, thereby protecting people and the environment.<sup>17</sup> In recent years, user-friendly methods for assessing precautionary risk levels in laboratory settings have been developed and should be applied at the earliest stages of research.<sup>4,18</sup> Building on this foundation, the present tutorial review examines existing and missing regulations and provides practical guidance for nanowaste disposal, including risk assessment, classification, labeling, collection, storage, transport, recycling, deactivation, and elimination.

Our focus is on practices grounded in the precautionary principle and applicable to a broad range of nanomaterials: nanoparticles with external dimensions between 1–100 nm, other nano-objects such as graphene and most multi-walled carbon nanotubes  $\leq 500$  nm in diameter, as well as larger hazardous respirable fibers (2–50  $\mu\text{m}$  long,  $\leq 3$   $\mu\text{m}$  in

diameter, aspect ratio  $L/D > 3$ ).<sup>19,20</sup> The disposal practices discussed here are intended for intentionally engineered nanoparticles and nanomaterials.<sup>18</sup> For brevity, the term “nanomaterials” will be used throughout, while “nanowaste” will refer to waste generated from nanomaterial production, nano-enabled products at the end of life, and materials contaminated by nanomaterials (see SI section “What is Nanowaste?”).<sup>9,15</sup>

This tutorial review serves as a technical guideline for researchers, laboratory managers, technical staff, safety officers, regulatory specialists, and other stakeholders seeking in-depth information on nanowaste management in laboratories. At the same time, it is written with a second audience in mind: policymakers and regulators, especially those engaged with the Basel Convention. Laboratory practices cannot be considered in isolation, since effective nanowaste management must ultimately align with international governance frameworks. Although the Convention regulates the movement and disposal of hazardous wastes, it does not yet explicitly address nanomaterials, leaving considerable ambiguity. By situating laboratory-level practices in this wider context, the tutorial serves a dual purpose: providing actionable guidance for researchers while contributing to the policy discussions that may lead to future Technical Guidelines on nanowaste under the Basel Convention. It should be noted that waste streams from consumer products are not addressed here, as the scope of this guideline is explicitly limited to laboratory and SME environments.

## History and regulatory context – the Basel Convention

### Early awareness of nanoscale hazards

Before nanotechnology was defined as such, particulate materials with nanoscale fractions were already recognized as hazardous in the workplace. A prominent example is cellulose fibers from sugarcane or cotton dust in textile factories, which caused respiratory disease and prompted some of the earliest workplace hygiene measures more than a century ago.<sup>21,22</sup> This historical case illustrates that nanoscale exposures are not new phenomena, even if their classification as “nanomaterials” is recent. Today, research laboratories and SMEs occupy a similar frontier: they handle novel engineered nanomaterials with unknown hazards and risks and must therefore implement protective practices in the absence of explicit regulatory guidance. This also illustrates that prior occupational hygiene knowledge (‘prior art’) on dusts and fibers remains directly relevant and can inform today's nanowaste management practices.

### The Basel Convention as global framework

Internationally, the Basel Convention provides the principal framework for controlling hazardous waste management and transboundary movement, as illustrated in Fig. 1.<sup>23–25</sup>



Entering into force in 1992, it regulates most categories of hazardous chemical waste, with exceptions such as radioactive materials and ship discharges covered elsewhere.<sup>25</sup> The Convention has been continuously amended through annual meetings of its parties,<sup>23</sup> and most recently strengthened by the 2019 Ban Amendment, which prohibits the export of hazardous waste from OECD and EU countries to less wealthy nations.<sup>23,24,26</sup> (As of 2024, the Convention has 191 Parties, the majority of which are low- and middle-income countries, underscoring the importance of guidance that is applicable across diverse resource settings.) Although primarily focused on large-scale industrial waste flows, the Convention indirectly shapes how all countries, including those hosting research institutions and SMEs, classify and dispose of waste, even if nanowaste is not yet explicitly mentioned.

### How nanowaste fits — implicitly<sup>25</sup>

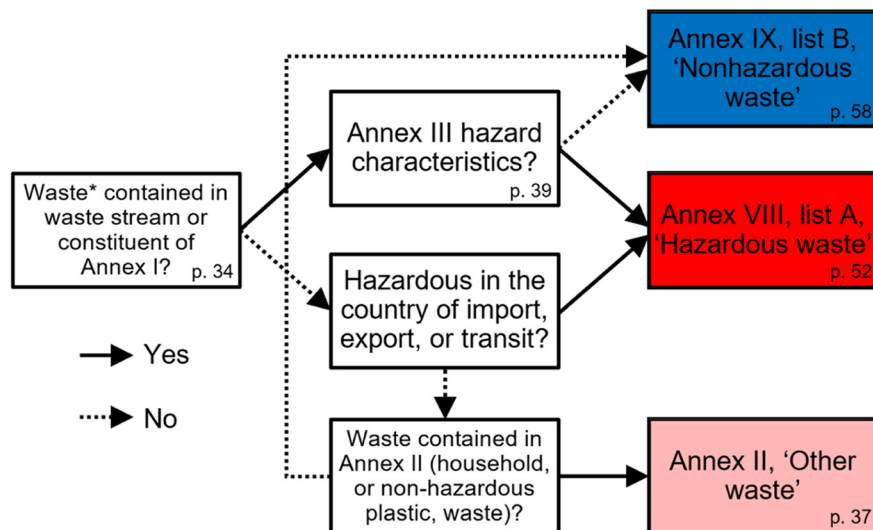
To analyze how nanowaste might be covered, it is necessary to examine the Basel annexes. Annex I lists 45 waste streams and constituents requiring control, including laboratory waste from R&D and teaching (Y14) and dusts and fibers (Y36). Annex II covers “other waste”, such as household and plastic waste; annex III defines hazard characteristics (e.g. explosive H1, toxic chronic H11, ecotoxic H12); and annexes VIII and IX list specific hazardous and non-hazardous wastes. (For completeness, though not directly relevant for nanowaste, annex IV lists disposal operations, annex V specifies the information required for notification and movement documents, annex VI sets arbitration procedures, and annex VII lists parties subject to additional obligations.) Article 1 of the Convention specifies that wastes subject to

transboundary movement are deemed hazardous if they fall within annex I and display annex III hazard properties, or if a country of import/export deems them hazardous.<sup>26</sup> In this sense, nanowaste can already fall into any of these categories, *i.e.*, hazardous, non-hazardous, or “other waste”, depending on its composition and behavior.

### Case example: nano-TiO<sub>2</sub>

The ambiguity can be illustrated by the widely used nanomaterial titanium dioxide (nano-TiO<sub>2</sub>), found in paints, cosmetics, pharmaceuticals, and sunscreens (Table 1). While not explicitly mentioned in the Convention,<sup>25</sup> nano-TiO<sub>2</sub> can occur in multiple annex I streams (e.g., Y1–Y5, Y7, Y9, Y12–Y14, Y18, Y34–Y35), as well as annex II household/plastics categories (Y46–Y47). Many of these streams can also display hazard properties under annex III (e.g., H6.1, H11–H13). Therefore, major waste streams and constituents discussed in the Basel Convention implicitly cover nano-TiO<sub>2</sub> waste. This regulatory gray area raises a practical question: can nanowaste in annex II (e.g., household waste) or annex IX (e.g., B1120 spent catalysts) be genuinely treated as non-hazardous?

For laboratory and SME environments, this means that a common material such as nano-TiO<sub>2</sub> can shift between hazardous and non-hazardous status depending on interpretation, leaving researchers and safety officers with considerable uncertainty. This ambiguity complicates compliance and may result in inconsistent practices across institutions and countries. Similar ambiguities exist for other nanomaterials. As with nano-TiO<sub>2</sub>, they are implicitly covered by the Basel Convention due to their presence in annex I waste streams and their annex III hazard characteristics. As a



\*excluding radioactive or ship discharge waste regulated in other international control systems

**Fig. 1** Graphical abstract of the Basel Convention. Adapted from the 2019 edition of the Basel Convention.<sup>25</sup> White boxes show the decision scheme in article 1 of the convention. Colored boxes represent the three main waste groups (hazardous, non-hazardous, other waste), all of which can implicitly cover nanomaterials. Page numbers refer to the 2019 edition. Abbreviations: UN = United Nations.



**Table 1** Overview of engineered nanomaterials and their implicit coverage under the Basel Convention. Nanomaterials are matched to relevant annex I waste streams, annex III hazard characteristics, and their possible inclusion in annex VIII (hazardous) and annex IX (non-hazardous) categories. This table illustrates regulatory ambiguities, as the same nanomaterial can appear in both hazardous and non-hazardous annexes. The nanomaterials are grouped into unknown mixtures (yellow), functionalized nanomaterials (blue), minerals (white), heavy metals (red), and carbon-containing nanomaterials (gray)<sup>25</sup>

Nanomaterial	Typical applications and sources of waste	Implicit mentions in BC annex(es) I, VIII, and IX	Hazardous characteristics (annex III BC)
Unknown mixtures of uncapped and non-functionalized nanomaterials	Paints and pigments, wood treatment, plastic additives, food additives, cosmetics, agriculture, pharmaceuticals, R&D activities	Annex I Y1, Y2-Y3, Y4, Y5, Y9, Y10, Y12, Y13, Y14, Y18, Y24-45; annex II Y46-Y47; annex VIII A1; annex IX B1 'Metal and metal-bearing wastes', particularly B1031 (waste in metallic dispersible form containing Mo, W, Ti, Ta, Nb, Re), B1160	H6.1, H11-H13, other hazard characteristics to be determined on individual basis
Stabilized, capped, or otherwise functionalized nanomaterials with hazardous elements (Pb, Cd, etc.)	Paints and pigments, electronics (LED screens, solar cells, batteries), pharmaceuticals, R&D activities	Annex I Y1, Y2-Y3, Y4, Y5, Y9, Y10, Y12, Y13, Y14, Y18, Y19-21, Y24-45; annex II Y46-Y47; annex VIII A1; annex IX B1 'Metal and metal-bearing wastes'	H6.1, H11-H13, other hazard characteristics to be determined on individual basis
Stabilized, capped, or otherwise functionalized nanomaterials without hazardous elements	Paints and pigments, agriculture, pharmaceuticals, R&D activities	Annex I Y1, Y4, Y2-Y3, Y5, Y9, Y12, Y13, Y14, Y18; annex II Y46-Y47; annex VIII A1, annex IX B1 'Metal and metal-bearing wastes', particularly B1031 (waste in metallic dispersible form containing Mo, W, Ti, Ta, Nb, Re)	H6.1, H11-H13, other hazard characteristics to be determined on individual basis
Al <sub>2</sub> O <sub>3</sub> and clays	Ceramics, mining industry, agriculture & gardening, polishing and abrasive applications, pharmaceuticals, cosmetics, filters and membranes, catalysts, plastic additives, R&D activities	Annex I Y1, Y2-Y3, Y4, Y13, Y14, Y18, Y36 (asbestos dust and fibres); annex II Y46-Y47; annex IX B1 'Metal and metal-bearing wastes', B1100, B2100, B2110, B2120	H6.1, H11-H13
TiO <sub>2</sub>	Paints and pigments, pharmaceuticals, cosmetics (sunscreens, toothpaste), food industry (food color), R&D activities	Annex I Y1-Y5, Y7, Y9, Y12, Y13, Y14, Y18, Y34, Y35; annex II Y46-Y47; annex IX B1 'Metal and metal-bearing wastes', B1031 (waste in metallic dispersible form), B1120, B1210	H6.1, H11-H13
SiO <sub>2</sub>	Coatings, paints and pigments, pharmaceuticals, food industry (anticoagulant), electronics & optics, catalysts, agriculture, R&D activities	Annex I Y1, Y2, Y3, Y4, Y5, Y12, Y14, Y18, Y46-Y47; annex II Y47; annex VIII A4070; annex IX B2010 'silica wastes in solid form'	H6.1, H11-13
ZnO	Paints and pigments, pharmaceuticals, food industry, refining of metals, electronics, cosmetics (sunscreens), R&D activities	Annex I Y2-Y3, Y12, Y14, Y18, Y23; annex II Y46-Y47; annex VIII A1070, A1080; annex IX B1080, B1090, B1100, B1110, B1120, B1220	H6.1, H8, H11-13
Fe + Fe oxides	Iron manufacturing, electronics & optics, pharmaceuticals (iron infusions), melting, smelting, and refining of metals, catalysts, R&D activities	Annex I Y1, Y4, Y2-Y3, Y12, Y14, Y17, Y18; annex II Y46-Y47; annex IX B1110, B1120, B1200, B1210, B1230, B2040	H6.1, H11-13
CeO <sub>2</sub>	Fuel additive, catalysis, pigments, electronics, R&D activities	Annex I Y1, Y12, Y14, Y17, Y18; annex II Y46-Y47; annex IX B1110, B1240, B2030	H6.1, H11-13
Cu + Cu oxides	Electronics & optics, wood treatment, agriculture, melting, smelting, and refining of metals, catalysts, R&D activities	Annex I Y1, Y4, Y5, Y14, Y17, Y18, Y22; annex II Y47; annex IX B1070, B1100, B1110, B1120	H6.1, H8, H11-13
Ag	Textiles, cosmetics, pharmaceuticals, food industry, incineration, melting, smelting, and refining of metals, catalysts, electronics & optics, R&D activities	Annex II, Y1, Y4, Y2-Y3, Y14, Y16; annex II Y46-47; annex VIII A1150; annex IX B1100, B1110, B1130, B1140, B1150, B1160, B1170, B1180, B1190	H6.1, H11-13
Au	Medical devices, incineration, melting, smelting, and refining of metals, catalysts, electronics & optics, R&D activities	Annex I Y1-3, Y14; annex VIII A1, A1150; annex IX B1100, B1130, B1140, B1150, B1160	H6.1, H11-13
Carbonaceous nanomaterials including buckyballs, soot, graphite/graphene, MWCNTs, SWCNTs	Paints and pigments, plastic additives (tyre rubber), electronics (semiconductors), solar cells, R&D activities, (coal) mining, [natural sources: forest fires, volcano eruptions]	Annex I Y1, Y2, Y3, Y4, Y9, Y10, Y11, Y12, Y13, Y14, Y18; annex II Y47; annex VIII A4070; annex IX B2130, B3120, 'Wastes consisting of food dyes', B3140, B4010 'water-based/latex paints'	H6.1, H11-13
Nanoplastics	Textile industry, paints and pigments, plastics industry (packaging), plastic use (abrasion, decomposition), cosmetics, R&D activities	Annex I Y1, Y4, Y12-13, Y14, Y17-18; annex II Y45-47; B3010, B3011	Hazard assessment research in progress
Cellulose, carbon nanodots	Textile and paper industry, biomass processing, food industry, cosmetics, pharmaceuticals (filler), agriculture, R&D activities	Annex I Y1, Y2, Y3, Y4, Y5, Y9, Y11, Y12, Y13, Y14, Y17-18; annex II Y46; annex VIII A3060; annex IX B3020, B3030, B3050, B2060 'carbon resulting from the treatment of potable water and processes of the food industry and vitamin production'	H6.1, H11-13

result, many nanomaterials are *de facto* included in both annex VIII (hazardous wastes) and annex IX (non-hazardous wastes). Table 1 summarizes these nanomaterials, their implicit coverage under the Basel Convention, and their hazard characteristics.

### Regulatory gaps and calls for action

Awareness of these gaps is not new. Since 2015,<sup>27</sup> reports have highlighted the uncertainty of nanowaste coverage under the Basel Convention,<sup>15,28</sup> and in 2019 the parties issued Decision BC-14/14, inviting submissions on national activities and strategies for nanowaste management.<sup>26</sup> These inputs, alongside work by the OECD (Organisation for Economic Co-operation and Development), UNITAR (United Nations Institute for Training and Research), WHO (World Health Organization), and ILO (International Labour Organization), are now being discussed at the level of Basel's Open-ended Working Group. The objective is to reduce

ambiguity and provide clearer international guidance. Two main pathways exist:

1. Explicit inclusion of nanowaste in one or more Basel annexes. This would carry legal weight but would require consensus among all parties, a process that could take many years.
2. Development of Technical Guidelines on nanowaste, modeled on earlier guidance for used oil (1995), tires (2011), and persistent organic pollutants (2019).<sup>23,29</sup> These guidelines, while not legally binding, provide practice-oriented standards that accelerate harmonized implementation across countries.

### Implications for laboratories and SMEs

For research and SME environments, the current situation means that nanowaste is implicitly regulated but poorly defined, leaving safety officers and regulators with significant interpretive leeway. This makes pragmatic laboratory



guidance essential, as provided in this tutorial. At the same time, laboratory-level practices, once standardized, could directly inform the drafting of future Basel Technical Guidelines on nanowaste. In this way, the research and SME community can play a bottom-up role in shaping national and international policy, while ensuring immediate protection of workers and the environment.

## Pragmatic approaches to determine nanowaste risks

When regulatory data about a nanomaterial are missing, as is often the case in research laboratories, the risk of a material for people and the environment may need to be assessed under extreme time pressure, sometimes for many nanomaterials without available safety data sheets (SDS).<sup>14</sup> In such cases, performing full nanomaterial hazard and risk assessments<sup>4,18</sup> can be challenging in practice, particularly due to data gaps and time constraints. Instead, it is often necessary to understand the potential hazards while handling nanowaste, based on the waste type (Table S1).<sup>16</sup> In general, the risk posed by nanowaste decreases as the proportion of “free” nanomaterials diminishes: from dry powders (high risk), to aerosols, suspensions, and finally composites (lower risk).<sup>14,16</sup> If more time is available, and to establish more general rules for the laboratory, calculating a risk quotient can help to judge the safety of a nanomaterial<sup>1</sup> or a nanowaste disposal practice.<sup>14</sup> The risk quotient (sometimes called risk characterization ratio) is the ratio of the predicted environmental concentration (PEC) of the nanomaterial to its “predicted no-effect concentration (PNEC)”.<sup>1</sup> A lower risk quotient (<1) indicates a lower level of concern.<sup>1</sup> Where human toxicity data are unavailable, a safety factor (typically 10–1000) must be applied. A worked case study demonstrating how to calculate the risk quotient for transmission electron microscopy (TEM) grid disposal with nanomaterials is provided in the SI.

Nanomaterials suspected of having a high risk of causing harm to human health or the environment, for example, respirable fibers 2–50  $\mu\text{m}$  long with diameters  $\leq 3 \mu\text{m}$ , or with aspect ratios  $L/D > 3$ ,<sup>19,20</sup> as well as nanomaterials containing highly hazardous substances, should be treated as hazardous nanowaste according to the precautionary principle.<sup>30,31</sup> For nanowaste with concerning or entirely unknown toxicological properties, a more detailed risk assessment can be conducted based on the bulk material's SDS, acknowledging the assumptions involved, as described by Groso and co-workers<sup>18</sup> and Buitrago and co-workers.<sup>4</sup> For larger-scale applications, manufacturers and traders can also use governmental tools such as Switzerland's Precautionary Matrix for synthetic nanomaterials<sup>32</sup> to evaluate nanomaterial-specific health and environmental risks, the EU's NANoREG decision-support frameworks, or the OECD's guidance tools. Such resources are currently more available in high-income countries; in less wealthy nations, equivalent

software is often lacking, which may exacerbate disparities in safe nanowaste management.

## Guiding principles for the management of nanowaste

We recently proposed six guiding principles for the management of nanowaste,<sup>14</sup> based on the precautionary principle. Guiding principles are essential because regulations remain incomplete and often lack the specificity required for managing nanowaste; these principles provide a clear framework that laboratories and SMEs can apply immediately to reduce risks and ensure precautionary handling:

1. Use an inclusive definition for nanomaterials including particles  $\leq 500 \text{ nm}$  in diameter and 2–50  $\mu\text{m}$  in length and  $\leq 3 \mu\text{m}$  in diameter for hazardous respirable fibers.<sup>19,20</sup>
2. Apply safe-by-design principles when designing and engineering novel nanomaterials.
3. Minimize upstream nanowaste generation, *i.e.*, avoid producing nanowaste, including safe-by-design and *in situ* approaches where feasible.
4. Reduce aerosolization by suspending/embedding nanomaterials in liquid/solid matrices.
5. Treat unavoidable nanowaste as a hazardous material.
6. Where proven effective, apply low-energy inactivation routes (*e.g.*, hydrolysis, embedding into solid matrices). Otherwise, render nanowaste inert by precipitation or pyrolysis at 1200–1300  $^{\circ}\text{C}$  in specialized waste treatment facilities.<sup>33</sup>

## Determining categories

Nanowaste categories differ between laboratories, but it is generally useful to start by distinguishing liquid from solid waste. In line with global regulations on hazardous materials,<sup>34</sup> waste can then be further classified according to material properties and hazards (solid, liquid, gas, biohazardous, toxic by inhalation, flammable, oxidizing, corrosive, acidic, basic, organic, inorganic).<sup>14,34,35</sup> Importantly, classification must also account for whether nanomaterials are uncapped or capped/functionalized, as surface modifications (*e.g.*, stabilizing agents, coatings, capping ligands) strongly influence their aggregation behavior, persistence, and hazard potential. A detailed discussion of these aspects is provided in our earlier work.<sup>4</sup> The key rule is that only chemically compatible nanowastes may be collected in the same container, and the container itself must be compatible with the waste.<sup>34</sup> Ideally, hazard classification should be carried out on an individual material basis. However, given the rapidly expanding diversity of nanomaterials and frequent data gaps, this is not always feasible. In such cases, grouping by material class (*e.g.*, metal oxides, carbon-based nanomaterials, polymers) and applying the precautionary principle provides a pragmatic alternative until more specific data become available. Further subcategories depend on specific research activities and hazards. Special categories apply to radioactive materials, biohazardous contaminated materials (biosafety class  $\geq 2$ ), or



**1. Prioritization: biohazardous > highly hazardous\* > nano > hazardous waste.**

**2. If the waste contains material from more than one waste category, use the decision matrix below.**

#### Color codes

White: aqueous waste, solid nanowaste

Yellow: nonhalogenated

Green: halogenated solvent

Blue + yellow lid: solid biohazardous nanowaste

Yellow + red lid: sharps

### SOLID waste that contains...

Nanoparticles	Hazardous chemicals*	Biohazardous material**	Double-bag	Color code	Treatment
✓	✓	✓	✓	Blue	Blue mixed waste bucket with yellow lid
✓	✓	-	✓	White	White NANOWaste bucket
✓	-	✓	✓	Blue	Blue mixed waste bucket with yellow lid
✓	-	-	✓	White	White NANOWaste bucket
-	✓	✓	✓	Blue	Blue mixed waste bucket with yellow lid
-	✓	-	-	White	White CHEMICAL waste bucket
-	-	✓	-	-	Biohazardous waste bag → autoclave → remove biohazard label → normal household trash (beware of odor)
-	-	-	-	-	Regular recycling containers or household trash

### LIQUID waste that contains...

Nanoparticles	Hazardous chemicals*	Biohazardous material**	Color code	Treatment
✓	✓	✓	white	Inactivate cells with disinfectant → white NANOWaste canister
✓	✓	-	White, yellow, green	Use NANOWaste canisters* (color depends on solvent, color code explained above)
✓	-	✓	White	Inactivate cells with disinfectant → white NANOWaste canister
✓	-	-	White, yellow, green	Use NANOWaste canisters (color depends on solvent, color code explained above)
-	✓	✓	White	Inactivate cells with disinfectant → white CHEMICAL waste canister
-	✓	-	White, yellow, green	Use CHEMICAL waste canisters (color depends on solvent, color code explained above)
-	-	✓	White	Inactivate cells with disinfectant → white CHEMICAL waste canister
-	-	-	-	Neutralize to pH 5-9 and flush down the drain

\*Highly hazardous materials that can cause permanent short- or long-term health damage, for example, HF, OsO<sub>4</sub>, and materials containing Cr, As, Se, Cd, Sb, Hg, Tl, Pb, must be collected separately, irrespective of the presence of nanomaterials, and after consultation with the safety officer, in a non-permeable bottle with label. The safety officer coordinates the disposal with the chemical waste treatment company.

\*\*Biohazardous material includes anything containing (traces of) nonsterile potentially pathogenic material such as cells, bacteria, fungi, spores, eggs, viruses, prions, and similar biomaterial (biosafety level ≥2).

**Fig. 2** Example of a laboratory waste disposal decision matrix. The purpose of the decision matrix is for workers to quickly choose the correct waste category without consulting lengthy regulations. This decision matrix is designed to function as a flowchart-like tool, incorporating “if/else” branching scenarios (e.g., liquid vs. solid, hazardous vs. non-hazardous, biohazardous vs. non-biohazardous) to provide practical guidance for researchers. The waste color codes shown here are examples and are not legally binding. For liquid waste, the same color codes may apply to different combinations because these converge into the same downstream disposal route; the colors indicate the appropriate collection container rather than the chemical identity of the waste. Reading example (highlighted blue categories): a researcher conducts a bioassay with cholera toxin, suspended gold nanoparticles, and living macrophage cultures on inserts from untested human blood. According to the prioritization, the biohazardous nature of the waste is to be dealt with first. The waste also contains nanomaterials and hazardous chemicals, which could go into multiple waste categories, so the researcher consults the decision matrix. The liquid nanoparticle-cell suspension containing hazardous cholera toxin can be placed, after inactivation by a disinfectant, into a liquid nanowaste canister. The solid insert contains cells, nanoparticles, and cholera toxin, cannot be autoclaved due to the risk of nanoparticle aerosolization, and thus has to be placed into a mixed biohazardous and nanowaste bucket. Note: where nanomaterials are capped or functionalized (e.g., with polymers, surfactants, or ligands), the properties of the capping agents must also be considered in the categorization step. Where nanomaterials lose their nanoscale nature, either naturally (e.g., dissolution, degradation of liposomes or micelles) or by deliberate treatment (e.g., sterilization, embedding, pyrolysis), the waste may be reassigned to conventional hazardous or biohazard categories rather than treated as nanowaste.



other highly hazardous substances.<sup>36</sup> Details on chemical incompatibilities and container requirements are provided in the section “collection and storage”.

Although it is legally possible to define very large numbers of categories,<sup>14,34,35</sup> this can be counterproductive: too many categories reduce compliance, especially if linked to lengthy documentation or non-functional websites (dead links). Instead, simple and standardized decision tools, such as decision matrices (Fig. 2), can help laboratory staff categorize nanowaste quickly and ensure integration with existing chemical waste management procedures. Where hazard information is lacking, the precautionary scheme in Fig. 2 provides a pragmatic fallback, applying the precautionary principle to ensure that nanowaste with unknown properties is provisionally treated as hazardous until further data are available.

For liquid nanowaste, categories include purely aqueous nanowaste, aqueous nanowaste mixed with biohazardous materials, nanowaste in non-halogenated organic solvents, and nanowaste in halogenated organic solvents. Nanomaterials in suspension are categorized as liquid waste even if minor flocculation or precipitation occurs, since the dominant phase remains liquid. Solid waste refers to powders or other dry materials and can be categorized into regular solid chemical or nanowaste and solid nanowaste mixed with biohazardous materials (Fig. 2 and Table 2).

Special cases include sharps (*e.g.*, needles, razor blades), glass (microscopy slides, pipettes, solvent bottles), paper and cardboard, solid metals, and highly hazardous materials (Table 2). Highly hazardous waste, such as electron microscopy fixatives (sodium cacodylate, osmium tetroxide, uranyl acetate, lead citrate), or chromium and mercury compounds, must be collected separately by specially trained personnel, under the supervision of a local safety officer (safety advisor).<sup>34</sup> In some cases, nanowaste can be converted into less problematic categories.<sup>14,37</sup> For example, sterilization (autoclaving, heat treatment, addition of disinfectants) can transform liquid biohazardous nanowaste into regular liquid nanowaste. Where autoclaving is used, closed-container systems must be available to prevent aerosolization of nanoparticles;<sup>14</sup> since most laboratories lack such autoclaves, chemical treatments are usually preferred. For example, >600 mg L<sup>-1</sup> peracetic acid (*e.g.*, 2% Sekusept™

CLASSIC) can sterilize liquid nanowaste containing biohazardous materials. Embedding nanowaste into concrete has been proposed as another innovative inactivation method,<sup>38,39</sup> but studies show that nanomaterials may be released during aging processes.<sup>40</sup> Thus, new methods require independent validation before adoption. Similarly, embedding reactive nanomaterials into inexpensive polymers, or admixing inexpensive monomers to form a polymeric matrix, has been suggested as a potentially more sustainable containment option. However, as with concrete, such approaches require independent validation to ensure long-term safety before adoption.

### When nanoscale properties are lost

In cases where the nanoscale nature of a material is demonstrably lost, the waste may be reassigned into conventional hazardous or biohazard categories rather than being treated as nanowaste. This loss of nanoscale properties can occur naturally (*e.g.*, dissolution, aggregation, or the degradation of liposomes and micelles) or through deliberate treatment (*e.g.*, sterilization, embedding, pyrolysis). Such reclassification provides a pragmatic route for reducing the burden of nanowaste management when nanospecific risks no longer apply. However, the transformation must be well-documented and scientifically justified, as incomplete or unstable degradation processes may still release nanoscale fractions.

### Collection and storage

The size, shape, color, and number of nanowaste containers are not legally standardized and therefore vary between laboratories (see section categories, Fig. 3 and 4).<sup>14</sup> What is mandatory is that all containers are clearly labeled and compliant with at least national standards for hazardous goods storage, ideally also United Nations (UN) standards, to ensure safety and readiness for transport.<sup>34</sup> As a further precaution, nanowaste should always be stored in a well-ventilated space, such as a chemical cupboard or cabinet, to minimize chronic personnel exposure and avoid the accumulation of volatile fumes. A key principle is the strict separation of chemically incompatible nanowaste during storage.<sup>14,35</sup> This prevents dangerous incidents such as heat

**Table 2** Example of disposal instructions for four typical nanowaste categories. Adapted from Schwab *et al.*<sup>14</sup> with permission from Springer Nature, *Nature Nanotechnology*, 18(4):317–321, copyright 2023. Examples are shown in Fig. 3. Once containers are ~90% full, they are sealed, transferred to a central waste collection area (Fig. 4), and collected by a licensed waste treatment company

Type of waste	Example of a collection container
<b>Solids</b>	
Nanomaterial-contaminated only	White nanowaste bin
Nanomaterial-contaminated metal sharps ( <i>e.g.</i> , needles, knife blades)	White nanowaste bin (wrapped in paper before disposal)
Biohazardous solids contaminated with nanomaterials	Blue bins with yellow lid
<b>Liquids</b>	
Aqueous suspensions of nanomaterials, including sterilized liquid biohazardous nanowaste	White 10 L canister





**Fig. 3** Representative containers for nanowaste storage and transport. Adapted from Schwab *et al.* with permission from Springer Nature, *Nature Nanotechnology*, 18(4):317–321, copyright 2023.<sup>14</sup> a: White bin for solid nanowaste. b: Blue bin for solid biohazardous nanowaste. c: Example of dual storage/transport container with ADR<sup>34</sup> biohazard pictogram. The United Nations code 3291 stands for not otherwise specified clinical waste. d: White canister for aqueous nanowaste. e: Green container for nanomaterials in halogenated solvents. Contents must always be explicitly listed on the label.<sup>35,36</sup> Waste colors shown are examples and not legally binding. For more details on labeling, refer to the section ‘labels for storage and transport’ and the SI section ‘details on labeling nanowaste’.

generation, fire, explosions, toxic fume release, or spills. The relevant incompatibilities can usually be derived from the bulk material's properties or, for liquid nanowaste, from the solvent used. Substances that must always be stored separately include strong acids, bases, redox-active materials, and solvents prone to forming explosive mixtures. In cases of uncertainty, global<sup>34,35</sup> and local<sup>36,41</sup> legislation may provide detailed guidance on hazardous goods management. Further examples and incompatibility rules are summarized in the SI. To minimize workplace risk, storage of flammable liquids or nanomaterial powders should be kept as low as practicable,

especially where explosive dusts may form (see SI, “Specific Rules for Flammable Nanowaste”).

At this stage, coordination with an institutional safety officer (or safety advisor) is essential, as transport regulations for hazardous goods differ from storage regulations and require professional oversight (see section Labels for storage and transport). Finally, because each laboratory faces unique conditions, waste practices should be tailored to local infrastructure and hazards. For example, Table 2 illustrates the containers used for four typical nanowaste categories in our own research setting.

### Solid nanowaste

All solid materials containing nanomaterials or hazardous chemicals must be disposed of in sealable, approved containers such as the plastic bins shown in Fig. 3a–c. Once the containers reach ~90% capacity, they must be sealed and transferred to a central special waste collection room (Fig. 4). Under no circumstances may solid nanowaste be discarded with ordinary household waste. Special solid waste includes any items that have come into contact with (bio)hazardous chemicals or nanomaterial powders or suspensions, such as empty chemical containers, disposable pipettes and tips, wipes, Petri dishes, chamber slides, microscope slides with



**Fig. 4** Ventilated central storage for special waste, including nanowaste. a: Collection area for full nanowaste containers prior to pick-up by a treatment company. b: Example of a storage room built to comply with ATEX regulations (explosive atmospheres). Note the secure design (watertight floor, restricted access, device drop-off box for ignition sources).



cells, or well plates. If cleaning or sterilization is not possible, these items must be placed in designated nanowaste containers (Fig. 3a–c). Improper disposal (e.g., placing chemical-contaminated containers into unventilated municipal glass bins) poses serious risks to laboratory and cleaning personnel. For ergonomic reasons, solid waste may first be collected in smaller zipper bags inside the working area (e.g., a fume hood). However, once removed from the laboratory, these bags must be double-bagged and sealed before transfer to larger nanowaste containers, to prevent contamination during handling and transport. Direct disposal into containers located in the same laboratory does not require double-bagging. Solid biohazardous nanowaste (materials containing both nanomaterials and biohazardous material of class  $\geq 2$ ) poses additional challenges. Even trace amounts of biohazardous material require separate collection in containers labeled explicitly as “solid biohazardous nanowaste” (Fig. 3b, c and 2; Table 2). Unless a specialized autoclave capable of sterilizing closed containers is available (rare outside biological or medical laboratories), this waste can only be eliminated by certified special waste treatment companies. Once free of nanomaterials, biohazardous waste may be transferred to conventional autoclavable biohazardous waste streams (Table 2).

### Liquid nanowaste

Liquid suspensions containing nanomaterials must always be collected separately in a research laboratory setting. Ideally, they are stored in  $\sim 10$  L canisters that are easy to transport and clearly labeled as liquid nanowaste (Fig. 3d and e). These containers must be kept in a well-ventilated area, as they are frequently opened for disposal and may release aerosols or volatile chemicals. Even aqueous suspensions require ventilation because of the risk of nanoparticle aerosolization. Regulations for organic (flammable) nanowaste vary by country. For example, in Switzerland, containers for organic nanowaste (Fig. 3e) must be stored like other flammable liquids: inside a fire-rated, ventilated cabinet, with total stored volume not exceeding the institutional safety limit<sup>36</sup> (e.g., 100 kg). As with solid nanowaste, liquid containers should never be filled beyond 90% capacity. Once full, they should be transferred to a central waste collection area (Fig. 4) for temporary storage until pick-up by a licensed waste treatment company. Pouring liquid nanowaste down the sink is strictly prohibited under all circumstances.

### Labels for storage and transport

All nanowaste containers must be clearly labeled (Fig. 5). At present, no globally harmonized system (GHS) exists specifically for nanowaste.<sup>14,34,35</sup> Instead, labeling follows the rules for conventional chemical waste under international frameworks (see section “History and regulatory context”). It is essential to distinguish between storage and transport requirements. For storage, labels must follow the GHS<sup>35</sup> and, in the EU, its CLP (Classification, Labelling and Packaging)



Fig. 5 Example of a single-packaging nanowaste label. Adapted from Schwab *et al.*<sup>14</sup> with permission from Springer Nature, *Nature Nanotechnology*, 18(4):317–321, copyright 2023. The label is compliant with the Globally Harmonized System (GHS)<sup>35</sup> and the agreement concerning the International Carriage of Dangerous Goods by Road (ADR).<sup>34</sup> Such combined labels can be used when nanowaste is both stored and transported in the same container.<sup>34,35</sup> Blue text: elements required by different regulations. Blue dotted lines: transport elements required only by ADR (see section labels for storage and transport and SI, case study pilot-scale nanoparticle disposal). For storage-only containers, the transport section is not required. Abbreviations: UN = United Nations. n.o.s. = not otherwise specified. In this example, the country-specific information complies with Swiss regulations.<sup>37,41–43</sup> If the waste contained a larger fraction of organic nanomaterials relative to inorganic nanomaterials, the UN code would change to 2811 (Toxic solid, inorganic, n.o.s.), and the VeVA code would be 16 03 05.



adaptation. For transport, the Agreement concerning the International Carriage of Dangerous Goods by Road (ADR)<sup>34</sup> applies. Containers certified for transport (Fig. 3b and c) require additional ADR pictograms and UN numbers. To simplify practice, laboratories may use transport-certified containers from the start and apply combined GHS/ADR labels (Fig. 5).<sup>14</sup> At a minimum, storage labels must include: a clear title (*e.g.*, Solid Nanowaste), a complete list of known ingredients (Composition), a signal word (Danger or Warning), applicable hazard statements (H-phrases, standardized ‘Hazard statements’ under the GHS) and precautionary statements (P-phrases, standardized ‘Precautionary statements’ under the GHS), GHS pictograms, quantity, and contact information (responsible person/lab).<sup>14,35,36</sup> If SDS are available, they should be used to determine the correct signal words and pictograms. If SDS data are missing (common for novel nanomaterials), regulatory databases such as ECHA’s Substance Infocards should be consulted. For transport labels, ADR pictograms and UN numbers must be clearly distinguished from storage labels and placed on the outermost visible container surface. ADR pictograms must be larger to ensure hazard recognition from a distance (Fig. 3c and 5).<sup>35</sup> Packaging and labeling for transport are generally shared between the local safety officer and the waste treatment company.<sup>34</sup>

Responsibility, however, remains with the waste producer until collection by the treatment facility. Clear, concise labeling is essential not only for compliance but also for safety. Well-designed labels ensure that laboratory staff, building managers, firefighters, and spill response teams can immediately recognize hazards during routine work or emergencies.

## Protective measures and personal protective equipment (PPE)

In accordance with the hierarchy of control measures applied to hazardous substances, exposure to nanomaterials and nanowaste should be controlled first through engineering controls (*e.g.*, enclosed processes, local exhaust ventilation), followed by administrative and organizational measures (*e.g.*, standard operating procedures, training, restricted access). Personal protective equipment (PPE) constitutes the last line of measure and is applied where residual risks cannot be eliminated by engineering or administrative controls.

Protective measures are required whenever nanomaterials or nanowaste are handled or present in the laboratory. Detailed guidelines exist for selecting appropriate protective strategies depending on nanomaterial type.<sup>16,18</sup> At a minimum, laboratory coats, protective gloves, and safety goggles must be worn at all times, particularly when hazard data are incomplete or uncertain.<sup>18,44</sup> Where available, the SDS provides guidance for selecting PPE. In the absence of an SDS, regulatory databases (*e.g.*, ECHA Substance Infocards, <https://echa.europa.eu/>) should be consulted for information on the base materials.

Particular caution is required when using gloves. A widespread misconception is that nitrile gloves provide strong, long-term protection. In reality, most glove materials only protect for a limited time against splashes of nanomaterial suspensions or solvents. Details on glove permeability and breakthrough times are provided in the SI (“on the permeability of protective gloves”).

If there is a risk of aerosolization, additional measures are mandatory, including the use of an FFP3 respirator, hair cover, and shoe covers. Respirators must be treated as personal equipment and should not be shared. They must be fit-tested, properly maintained, and stored away from contaminated areas. Common misuse, such as wearing respirators for more than 2 hours without replacement, failing to replace expired cartridges, or skipping fit tests, can severely reduce protection. At the end of their life cycle, respirators and cartridges must be disposed of as solid nanowaste (see “Solid nanowaste”).

Pregnant or breastfeeding women, as well as women planning pregnancy, must undergo an occupational health risk assessment to evaluate workplace safety before being permitted to handle nanowaste. This precaution recognizes the particular uncertainties in the reproductive toxicity of many nanomaterials, including the limited availability of data on foetal exposure pathways. In situations of significant uncertainty, restricting exposure of pregnant workers may be considered an appropriate application of the Precautionary Principle.

Overall, PPE is the last line of defense within a comprehensive safety concept. Engineering controls (*e.g.*, ventilated storage, fume hoods, sealed containers) and organizational measures (*e.g.*, training, waste segregation, clear labeling) should always take priority. PPE complements, but never replaces, these upstream protections.

## Conclusions, gap analysis, and outlook

This guideline provides a comprehensive overview of laboratory practices for the safe handling of nanowaste in research environments, particularly where small-to-medium volumes of diverse nanomaterials are used. In practice, this typically means milligrams to a few grams of solids per experiment, or milliliters to a few tens of milliliters of suspensions. These guidelines are therefore tailored to laboratory and SME settings rather than industrial waste streams. The main message is clear: the necessary laboratory tools and procedures already exist. What is often missing is their consistent application to nanomaterials, and this guideline demonstrates how to do so. Research institutions, SMEs, and nanomaterial manufacturers worldwide can therefore implement safe nanowaste management today. Nevertheless, several critical gaps must be addressed to harmonize practices and strengthen global governance:

(a) A global consensus on nanowaste categorization, labeling, and assignment of clear and, ideally, nano-



specific UN codes, GHS, and ADR pictograms, which remain ambiguous.

(b) Official guidelines on nanowaste management tailored to research and SME environments, potentially elaborated as a Technical Guideline under the Basel Convention.

(c) Strategies for environmentally sound nanowaste management in low- and middle-income countries; and

(d) Validated protocols for recycling or deactivating nanomaterials to eliminate nanoscale properties.

The Basel Convention offers a unique framework to address these issues and prevent fragmented or unequal approaches. Our analysis shows that nanowaste is already implicitly covered in its annexes, but explicit recognition and technical guidance would close current regulatory gray zones and accelerate harmonized practice. In parallel, pragmatic preventive procedures must be applied immediately. Delaying implementation risks unnecessary exposure of laboratory staff, waste handlers, and the environment to unknown hazards.

Importantly, regulators should also recognize that some nanomaterials, particularly biodegradable ones, may represent promising alternatives to persistent conventional chemicals (“forever chemicals”). Complex regulatory requirements, such as those under REACH, may unintentionally discourage innovation and transparency if they become overly burdensome and may lead some companies to underreport or avoid developing nano-enabled products. Future regulation should therefore be proportionate, clear, and enabling: strict enough to ensure protection but streamlined enough to foster safe-by-design innovation and proper declaration.

In summary, this tutorial review is intended as both an immediately usable manual for laboratory practice and as a draft technical basis for future Basel Convention Technical Guidelines. By bridging hands-on laboratory practice with international governance, we aim to support researchers, safety officers, and policymakers in advancing safe, harmonized, and sustainable nanowaste management worldwide.

## Author contributions

B. R.-R., A. P.-F., and F. S. conceived the idea of the article. A. P.-F. re-wrote the manuscript written by F. S. with contributions from B. B. K. who both gathered most of the research articles included in the references with contributions from B. R.-R. A. S. and T. M. contributed with their insights and experience as workplace hygienists and safety officers at the University of Fribourg and the Ecole Polytechnique Fédérale de Lausanne (EPFL), respectively.

## Disclaimer

The information in this guideline was compiled with utmost attention to correctness. Nevertheless, it is not a legal document and cannot replace local regulations that may differ from global regulations. No responsibility is taken for the correctness of the information and any resulting adverse

implications. Any opinions, findings, conclusions, or recommendations expressed in this material are those of the authors. They do not necessarily reflect the views of the Swiss government. This work has not been subjected to review by the Swiss government, and no official endorsement should be inferred.

## Conflicts of interest

F. S. is an inventor on patent WO2020212526A1 (SiO<sub>2</sub> nanoparticle plant biostimulant) and received funding *via* Innosuisse (38515.1 IP-EE). This work was also supported by the United Nations Institute for Training and Research (UNITAR), the Swiss Federal Office for the Environment, the Adolphe Merkle Foundation, and the University of Fribourg. The authors have no further competing interests to disclose and are responsible for the content and writing of the article.

## Data availability

No new data were generated or analysed during this study. Ref. 45–73 are cited in the supplementary information (SI).

Supplementary information: additional information is provided in Fig. S1; Tables S1 and S2. Further, four case studies are presented, and more details on nanowaste management are provided in the sections Definition of terms, Characterization methods, What is nanowaste? In-house treatment and recycling to prevent upstream nanowaste, Specific rules for flammable nanowaste, Details on labeling nanowaste, and on the permeability of protective gloves. See DOI: <https://doi.org/10.1039/d6en00013d>.

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