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## Green-to-UV photon upconversion enabled by new perovskite nanocrystal-transmitter-emitter combination†

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**The first example of triplet–triplet annihilation-based photon upconversion (TTA-UC) from green light to ultraviolet (UV) light sensitized by lead halide perovskite nanocrystals is demonstrated. The combination of a new transmitter that extracts triplet energy from perovskite and a UV emitter with a low triplet energy level lengthens the excitation wavelength of perovskite-sensitized upconverted UV emission.**

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Triplet–triplet annihilation-based photon upconversion (TTA-UC) is attracting attention because of its potential for a variety of applications, from energy to biotechnology.<sup>1–15</sup> In the common TTA-UC mechanism, the photo-excited singlet state  $S_1$  of molecular sensitizers undergoes intersystem crossing (ISC) to the triplet state  $T_1$ , which is followed by triplet energy transfer (TET) from the sensitizer to the emitter and inter-emitter TTA. In this conventional scheme, the UC spectral shift from the excitation wavelength to the emission peak is limited by energy loss due to ISC. To circumvent this energy loss, inorganic semiconductor nanocrystals such as quantum dots have recently been employed as the next-generation triplet sensitizers.<sup>16–21</sup> Their small singlet–triplet exchange splitting has enabled TTA-UC with large UC spectral shifts.

As a new family of inorganic triplet sensitizers, our group has reported the first example of TTA-UC sensitized by perovskite nanocrystals (PNCs).<sup>22</sup> Since then, TTA-UC at various wavelengths has been achieved using perovskite nanocrystals and thin films as sensitizers, and a deeper understanding of energy transfer from perovskites to molecules has been obtained.<sup>23–29</sup> The generation of ultraviolet (UV) light using visible light is useful for photocatalytic applications, but so far, perovskite-sensitized TTA-UC has been limited to excitation by blue light with wavelengths below 500 nm.<sup>25,26</sup> This is due to the lack of suitable transmitters that can receive triplet energy from green light-absorbing perovskites with small energy loss and emitters with low triplet energy and UV fluorescence.

Here, we report the first example of green-to-UV TTA-UC using PNCs as the triplet sensitizer (Fig. 1). We found that 4-(2-phenyloxazol-5-yl)benzenesulfonate (PPOS)<sup>30</sup> with a sulfonate group acts as transmitters that can receive triplet energy from green light-absorbing PNCs. The combination of PNCs-PPOS with the emitter TIPS-Nph, which was recently developed by our group to exhibit low triplet energy (2.12 eV) and good UV fluorescence,<sup>31</sup> was found to form a suitable energy cascade for green-to-UV TTA-UC.

Green light-absorbing  $\text{CsPbBr}_3$  PNCs were synthesized by the supersaturated recrystallization method following previous



**Fig. 1** Structures of PNCs, PPOS, and TIPS-Nph, and energy diagram for green-to-UV TTA-UC sensitized by PNCs.

studies,<sup>32</sup> and purified by centrifugation with ethyl acetate. The purified PNCs showed a photoluminescence peak at 513 nm (2.42 eV, Fig. 2a). As a transmitter, we employed an ionic compound composed of trihexyltetradecylphosphonium cation ( $P_{66614}$ ) and PPOS anion ( $P_{66614}$ PPOS).<sup>30</sup> The quaternary phosphonium cation with long alkyl chains is useful for complexing with PNCs by improving the solubility of the transmitter in non-polar solvents. Since sulfonate groups have been

reported to bind tightly to Pb ions,<sup>33,34</sup> we chose transmitter PPOS with a sulfonate group. To check the triplet energy level, we measured a phosphorescence spectrum of  $P_{66614}$ PPOS in toluene at 77 K (Fig. S1, ESI†). The observed phosphorescence peak at 528 nm (2.35 eV) locates between PNCs bandgap (2.42 eV) and TIPS-Nph  $T_1$  (2.12 eV),<sup>31</sup> confirming the appropriate energy level alignment for the cascade triplet energy transfer.

The surface of PNCs was modified with  $P_{66614}$ PPOS by stirring overnight in the presence of  $P_{66614}$ PPOS. After the surface modification, the absorption and emission spectra of PNCs showed slight changes in their spectral shapes, suggesting the binding of PPOS to the PNC surface while maintaining the PNC structure (Fig. 2a). The peak position of the PNC emission was not changed by this surface modification. Transmission electron microscopy (TEM) images showed that the size and morphology of PNCs did not change significantly between before and after the modification with  $P_{66614}$ PPOS (Fig. 2b and c).

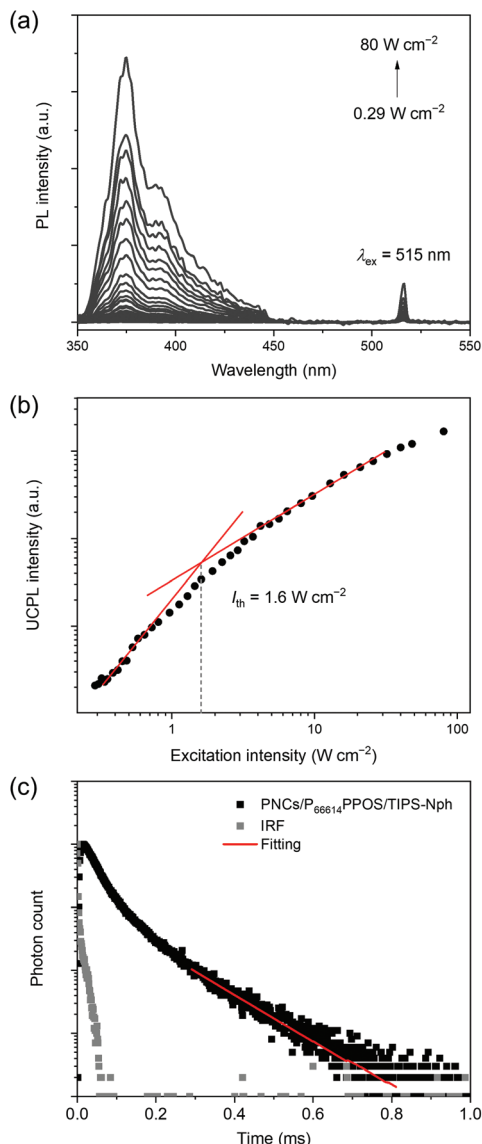
Under excitation by a 515 nm laser, a deaerated toluene solution of PNCs,  $P_{66614}$ PPOS (1 mM) and TIPS-Nph (10 mM) showed an upconverted emission at 375 nm with a large UC spectral shift of 0.90 eV (Fig. 3a). The UC emission peak matches well with the TIPS-Nph fluorescence peak. The energy loss of triplet sensitization was minimized by delicate energy level adjustment of PNCs, transmitters, and emitters, and the excitation light to obtain UV emission was successfully extended from previous blue (<500 nm)<sup>25,26</sup> to current green (>500 nm).

The UC mechanism based on TTA was verified by the excitation intensity dependence of the UC emission intensity and the UC emission decays. The excitation intensity dependence of the UC emission showed a quadratic to linear transition, which is characteristic of TTA-based UC (Fig. 3b). A threshold excitation intensity  $I_{th}$  of  $1.6 \text{ W cm}^{-2}$  was estimated from the intersection between two fitting lines. This  $I_{th}$  value is comparable to the previous examples of PNC-sensitized blue-to-UV TTA-UC ( $2\text{--}5 \text{ W cm}^{-2}$ ).<sup>25,26</sup> The triplet lifetime  $\tau_T$  of 0.23 ms was obtained based on the relationship of  $I_{UC}(t) \propto \exp(-2t/\tau_T)$  (Fig. 3c), confirming the mechanism *via* the long-lived excited triplet state. The TTA-UC efficiency ( $\eta_{UC}$ , the theoretical maximum is 100%) for the PNCs/ $P_{66614}$ PPOS/TIPS-Nph mixed solution was evaluated relative to a standard, Rhodamine 101 in deaerated ethanol (see the ESI†). The  $\eta_{UC}$  value was determined as 0.014% at  $I_{ex} = 16 \text{ W cm}^{-2}$ . The obtained  $\eta_{UC}$  value is inferior to those of blue-to-UV TTA-UC sensitized by PNCs (10.2%)<sup>26</sup> and using TIPS-Nph emitter (20.5%).<sup>31</sup>

In order to understand the low TTA-UC efficiency, we measured the photoluminescence quantum yield (PLQY) of PNCs. PLQY ( $\lambda_{ex} = 445 \text{ nm}$ ) of the PNCs showed an increase from 42.4% to 78.2% by the PPOS modification, and it was not affected by the further addition of TIPS-Nph (77.2%). The increase in PLQY of PNCs by modifying PPOS is consistent with previous reports in which sulfonate ligands bind strongly to Pb ions at the PNC surface to suppress exciton trapping probability due to bromide vacancies.<sup>33,34</sup> The high PLQY of PPOS-modified PNCs indicates the poor energy transfer efficiency, which should be one of the reasons for the low  $\eta_{UC}$ .



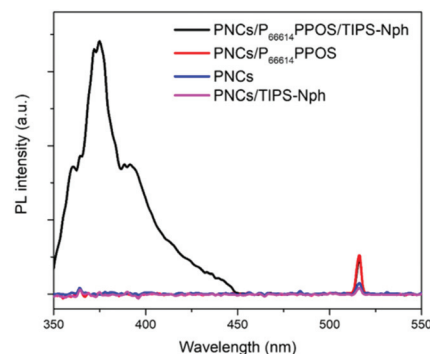
**Fig. 2** (a) Normalized absorption (solid lines, normalized at 445 nm) and photoluminescence (dashed lines,  $\lambda_{ex} = 445 \text{ nm}$ ) spectra of purified PNCs (black) and PNCs/ $P_{66614}$ PPOS (1 mM) (blue) in deaerated toluene. TEM images of (b) purified PNCs and (c) PNCs/ $P_{66614}$ PPOS.



**Fig. 3** (a) UC emission spectra of PNCs/P<sub>66614</sub>PPOS/TIPS-Nph at different excitation intensity in deaerated toluene ( $\lambda_{\text{ex}} = 515$  nm, 450 nm short-pass filter). (b) UC emission intensity at different excitation intensity of PNCs/P<sub>66614</sub>PPOS/TIPS-Nph in deaerated toluene ( $\lambda_{\text{ex}} = 515$  nm,  $\lambda_{\text{em}} = 374$  nm). (c) UC emission decay of PNCs/P<sub>66614</sub>PPOS/TIPS-Nph in deaerated toluene ( $\lambda_{\text{ex}} = 445$  nm,  $\lambda_{\text{em}} = 373$  nm, 400 nm short-pass filter).

Since the efficiency of energy transfer is highly dependent on the orbital overlap between PNCs and PPOS, it is expected that the optimization of the PNC structure and the molecular design of the transmitter would improve the energy transfer efficiency.<sup>23,35,36</sup> Another possible reason is the partial quenching of the TIPS-Nph triplet because the triplet lifetime of TIPS-Nph (0.23 ms) was shorter than the reported value (0.88 ms).<sup>31</sup> In addition, the large absorption of PNCs in the UV region should induce the reabsorption of upconverted emission.

The roles of the transmitter and emitter were further examined by control experiments in the absence of one of them.



**Fig. 4** UC emission spectra of PNCs/P<sub>66614</sub>PPOS/TIPS-Nph (black), PNCs/P<sub>66614</sub>PPOS (red), PNCs (blue) and PNCs/TIPS-Nph (pink) in deaerated toluene ( $\lambda_{\text{ex}} = 515$  nm, 450 nm short-pass filter,  $I_{\text{ex}} = 80.3$  W cm<sup>-2</sup>).

When the two-component solutions of PNCs/P<sub>66614</sub>PPOS and PNCs/TIPS-Nph were irradiated with a 515 nm laser, no UC emission was observed (Fig. 4). This suggests that PPOS acts as a transmitter to facilitate the energy transfer from PNCs to TIPS-Nph, and TIPS-Nph does not work as a transmitter. The triplet energy of TIPS-Nph is lower than that of PPOS, which may have partially prevented the back energy transfer and achieved the TTA-UC emission. This was also supported by that a weaker TTA-UC emission intensity was observed when 2,5-diphenyloxazole (PPO) with a higher  $T_1$  energy (2.37 eV)<sup>31</sup> was used as an emitter instead of TIPS-Nph (PNCs/P<sub>66614</sub>PPOS/PPO in Fig. S2, ESI†). The essential role of PPOS as the transmitter in the current system was confirmed by the absence of TTA-UC emission for PNCs/PPO and PNCs/PPO/TIPS-Nph (see the ESI† for each concentration), which is different from the case of TTA-UC sensitized by CdS/ZnS.<sup>37</sup>

In conclusion, we showed PNC-sensitized green-to-UV TTA-UC for the first time. This was achieved by combining a transmitter that can receive triplet energy from green-light-absorbing PNCs and an emitter that has an even lower triplet energy level than the transmitter but fluoresces in the UV region. The advantage of perovskites is that they can absorb light in a wide range of wavelengths, and the absorption wavelength can be easily tuned. If UV emitters with lower triplet energy are developed in the future, it is expected that even longer wavelengths of visible light can be upconverted into UV light, which is very useful for photocatalytic reactions.

## Author contributions

N. Y. conceived the project. M. K., N. H., K. O. and N. Y. designed the experiments. M. K. prepared PNCs and UC samples, with the input of K. O. N. H. synthesized TIPS-Nph. J. M. and S. H. prepared P<sub>66614</sub>PPOS. M. K. and N. H. performed the optical measurements. M. K. and N. Y. wrote the manuscript, with the input of N. H. and N. K. All authors contributed to and have approved the final version of the manuscript.

## Conflicts of interest

There are no conflicts to declare.

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