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Novel near-infrared II aggregation-induced emission dots for *in vivo* bioimaging†

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Near-infrared II fluorescence imaging holds great promise for *in vivo* imaging and imaging-guided surgery with deep penetration and high spatiotemporal resolution. However, most NIR-II aromatic luminophores suffer from the notorious aggregation-caused quenching (ACQ) effect in the aqueous solution, which largely hinders their biomedical application *in vivo*. In this study, the first NIR-II organic aggregation-induced emission (AIE) fluorophore (HLZ-BTED), encapsulated as nanoparticles (HLZ-BTED dots) for *in vivo* biomedical imaging, was designed and synthesized. The NIR-II AIE HLZ-BTED dots showed high temporal resolution, high photostability, outstanding water-solubility and biocompatibility *in vitro* and *in vivo*. The HLZ-BTED dots were further used for long-term breast tumor imaging and visualizing tumor-feeding blood vessels, long-term hind limb vasculature and incomplete hind limb ischemia. More importantly, as a proof-of-concept, this is the first time that non-invasive and real-time NIR-II imaging of the gastrointestinal tract in health and disease has been performed, making the AIE dots a promising tool for gastrointestinal (GI) tract research, such as understanding the healthy status of GI peristalsis, diagnosing and evaluating intestinal motility dysfunction, and assessing drug effects on intestinal obstruction.

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Introduction

Optical fluorescence imaging in the near-infrared window (NIR, 700–1700 nm) is a well-established and powerful tool for biomedical application in scientific research and clinical practice, such as noninvasive *in vivo* vascular imaging,¹ lymphatic mapping,² cancer diagnosis,³ and image-guided surgery.⁴ Compared with the conventional first near-infrared window (NIR-I, 700–900 nm) fluorescence imaging, fluorescence imaging in the second near-infrared window (NIR-II, 1000–1700 nm) has deeper penetration and higher spatiotemporal resolution due to low photon absorption and scattering and low auto-fluorescence of normal biological tissues.⁵ Numerous organic or inorganic nanostructured NIR-II contrast agents

such as rare earth nanoparticles (NPs),⁶ quantum dots (QDs),⁷ carbon nanotubes (CNTs),⁸ and conjugated polymer NPs⁹ have been reported for biological imaging. Recently, the first rapidly renally excreted NIR-II fluorophore **CH1055** has been developed based on a benzobisthiadiazole (BBTD)-based donor–acceptor–donor (D–A–D) structure for high-quality NIR-II biomedical imaging.¹⁰ After that, a series of small-molecule organic NIR-II dyes were reported for use in bioimaging with or without the BBTD core.¹¹ However, most of these NIR-II aromatic luminophores suffer from the notorious aggregation-caused quenching (ACQ) effect, with strong emission as isolated molecules but poor emission efficiency in the aggregate state due to intense intermolecular π – π stacking interactions, which largely hinders their biomedical application in NIR-II imaging.

Research efforts to solve the ACQ problem by hampering chromophore aggregation have ended with limited success until 2001.¹² A novel AIE phenomenon, an exactly opposite phenomenon to overcome the notorious ACQ effect was reported by Tang and his co-workers.¹² The luminescent molecules with AIE characteristics usually show strong fluorescence emission upon aggregation, and weak or non-emissive fluorescence in dilute solvents. So far, a variety of small fluorescent organic molecules have been developed as AIE fluorogens (AIEgens) with visible (Vis) or NIR-I fluorescence emission.¹³ Recently, two short-wave infrared (SWIR, 900–1700 nm) AIEgens **TQ-BPN** and **TB1** were reported with the maximum fluorescence

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emission peak at 810 nm and 975 nm for high-resolution bio-imaging and dual fluorescence and photoacoustic imaging for precise brain cancer diagnosis.^{14,15} Hence, many efforts have been made to optimize the brightness of small-molecule NIR-II fluorophores and increase the emission wavelengths of NIR-II AIEgens.

Herein, a novel NIR-II small-molecule organic fluorogen **HLZ-BTED** with AIE characteristics based on BBTD and tetraphenylethylene (TPE) was designed and facilely prepared.¹⁶ The fluorescence emission wavelength of encapsulated **HLZ-BTED** dots was longer than 1000 nm. The **HLZ-BTED** dots were used for passive targeted long-term NIR-II fluorescence imaging of breast cancer based on the enhanced permeability and retention (EPR) effect, and *in vivo* visualizing of tumor-feeding arteries, long-term hind limb vasculature and incomplete hind limb ischemia. In a proof-of-concept study, we have developed a new concept for the real-time imaging of normal gastrointestinal motility and intestinal obstruction in a mouse model using the NIR-II **HLZ-BTED** dots, which could be a powerful biomedical research tool for identifying gastrointestinal function and diseases.

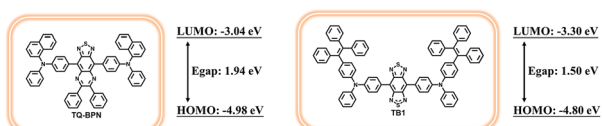
Results and discussion

A new type of NIR-II AIE dye **HLZ-BTED** with a different core structure from **TB1** was designed and synthesized. Based on the D-A-D scaffold, herein we incorporated a 3,4-ethylenedioxythiophene (EDOT) spacer and 4,4'-(2,2-diphenylethene-1,1-diyl)bis(*N,N*-diethylaniline) as the AIE functional group for the fluorescent compound **HLZ-BTED** and investigated the relationship between the structure and absorption/fluorescence properties. DFT calculations with the B3LYP exchange functional employing 6-31G(d, p) basis sets were performed to obtain the highest occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO) of **TQ-BPN**, **TB1** and **HLZ-BTED**. The HOMOs of **HLZ-BTED** are delocalized on the whole molecular backbone including BBTD, EDOT, and TPE units, while the LUMOs are mainly localized on the electron-deficient BBTD acceptor and the EDOT donor (Fig. 1B). As shown in Fig. S1A and S1B,[†] the electronic

structures of **TQ-BPN** and **TB1** are similar to that of the **HLZ-BTED** fluorophore. However, the energy bandgaps of **HLZ-BTED** (1.15 eV) are lower than those of **TQ-BPN** (1.94 eV) and **TB1** (1.50 eV). Thus, **HLZ-BTED** showed larger red-shifts of the absorption spectra and fluorescence emission peaks compared to **TQ-BPN** and **TB1** (Fig. 1 and S1C[†]).

The small-molecule AIE fluorophore, **HLZ-BTED**, was facilely prepared according to the synthetic route shown in Fig. 2A. 1-(4-Bromophenyl)-1-phenyl-2,2-bis(4-diethylamino)phenylethene **2** was prepared *via* a McMurry coupling reaction between 4-bromobenzophenone and 4,4'-bis(diethylamino)benzophenone using titanium tetrachloride as the catalyst and zinc dust as the reducing agent.¹⁷ The boric acid ester substrate **3** was then obtained from compound **2** in the presence of bis(pinacolato)diboron and PdCl₂(dppf) in dioxane. A Suzuki cross-coupling reaction between compound **4** (ref. 18) and compound **3** using PdCl₂(dppf)CH₂Cl₂ as the catalyst in THF to afford the coupling adduct **5**, followed by zinc reduction and *N*-thionylaniline-induced ring closure resulted in the formation of **HLZ-BTED**. The structure of **HLZ-BTED** was characterized using NMR and MALDI-TOF-MS (Fig. S11–S17[†]). The AIE properties of **HLZ-BTED** were investigated in THF–water mixtures with changing water volume fractions (*f_w*s). As shown in Fig. 2B, **HLZ-BTED** exhibited weak NIR-II fluorescence emission in the pure THF solution, but extremely strong fluorescence emission in the THF–water mixed solution with 95% *f_w*. To further confirm the AIE properties of **HLZ-BTED**, the fluorescence emission spectra of the fluorophore in THF–water mixtures with changing *f_w*s were subsequently collected under 785 nm excitation (Fig. 2C) and investigated. The fluorescence (FL) intensity of **HLZ-BTED** significantly decreased with the gradual increase of *f_w* from 0 to 40%, which was possibly due to the increased solvent polarity. The FL intensity of **HLZ-BTED** increased sharply on further increasing the *f_w* from 40 to 90%, indicating a typical AIE characteristic, and remained stable with the increase of *f_w* from

A) Previous Works



B) This Work

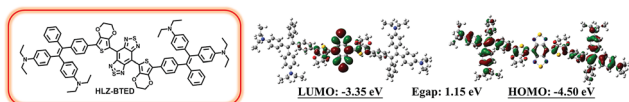


Fig. 1 (A) Chemical structures and electronic properties of previously reported SWIR AIE fluorogens (**TQ-BPN** and **TB1**). (B) Chemical structure, frontier molecular orbital amplitude plots and electronic properties of the BBTD-based NIR-II AIE fluorogen. All molecular fluorophores were calculated using B3LYP/6-31G(d, p).



Fig. 2 (A) Synthetic routes of the AIE fluorophore **HLZ-BTED** based on BBTD and TPE. (B) NIR-II fluorescence images (808 nm excitation, 1000 LP, 10 ms) of **HLZ-BTED** in pure tetrahydrofuran (THF) and a THF/water mixture with 95% water volume fraction (*f_w*). The concentration is 2×10^{-5} M. (C) Fluorescence (FL) emission spectra of **HLZ-BTED** in THF/water mixtures with different *f_w* (0% to 95%). Excitation wavelength at 785 nm. (D) FL intensity ratio (calculated from Fig. 2C) (I/I_0) of the **HLZ-BTED** fluorophore in different *f_w*s. I_0 is the FL intensity of **HLZ-BTED** in pure THF.



90 to 95% (Fig. 2D). This phenomenon may stem from the insolubility of the organic fluorophore in water and results in efficient aggregate emission with a high f_w . The molecular fluorophore **HLZ-BTED** with efficient aggregate emission in water is eligible for further NIR-II biomedical fluorescence imaging.

To illustrate the feasibility of **HLZ-BTED** for bioimaging application, we fabricated water-soluble and biocompatible AIE dots (**HLZ-BTED** dots) through a nanoprecipitation method by using 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-*N*-(methoxy(polyethylene glycol)-5000) (DSPE-PEG₅₀₀₀) as the encapsulation matrix (Fig. 3A). Briefly, a **HLZ-BTED**/THF mixture was quickly added into the DSPE-PEG₅₀₀₀ deionized water solution in an ice bath under continuous sonication. Then, the remaining THF in the mixture was removed completely under a nitrogen flow. The redundant DSPE-PEG₅₀₀₀ was removed by ultrafiltration using 50 kDa centrifugal filter devices to obtain **HLZ-BTED** dots. The synthesized **HLZ-BTED** dots showed high monodispersity and homogeneity with an average particle size of ~ 50 nm as determined by transmission electron microscopy (TEM, Fig. 3B) and a hydrodynamic diameter of ~ 60 nm as determined by dynamic light scattering (DLS, Fig. S3A†). The zeta potential distribution of the **HLZ-BTED** dots was measured and the colloidal stability of the AIE dots under physiological conditions was evaluated. The results have demonstrated that the nanoparticles have negative surface

charges and excellent stability in water and physiological media (Fig. S3B and S4†). The UV-vis-NIR absorption and NIR-II fluorescence emission spectra of the **HLZ-BTED** dots in water were investigated under 785 nm excitation. As shown in Fig. 3C, the absorption peak was at ~ 805 nm, while the fluorescence emission peak was at ~ 1034 nm with a 229 nm Stokes shift. In Fig. S2,† the NIR-II quantum yield (QY) of the **HLZ-BTED** dots in water was measured to be 0.18% under 785 nm laser excitation using IR-26 dye as a reference (QY = 0.5%), which was around two-fold higher than that of the fluorophore in THF (QY = 0.1%) and matched well with the results for exploring the AIE property in Fig. 2D. The large Stokes shift and appropriate fluorescence efficiency of the **HLZ-BTED** dots are remarkably beneficial for NIR-II AIE bioimaging.

The **HLZ-BTED** dots showed superior photostability in water, phosphate buffered saline (PBS), and fetal bovine serum (FBS) under continuous 808 nm laser irradiation for 1 h at a power density of 180 mW cm^{-2} , while indocyanine green (ICG), which is approved by the FDA for NIR-I biomedical fluorescence imaging in water, showed a drastic decrease in fluorescence intensity using the same measurement method (Fig. 3D). To explore time-dependent fluorescence stability in different media, the variation in fluorescence intensity of the **HLZ-BTED** dots in water, PBS, and FBS was observed at different time points (2 min, 60 min and 30 h) after incubation at room temperature, exhibiting excellent temporal stability without an obvious change in the FL intensity (Fig. 3E). These photo and temporal stability results demonstrated that the **HLZ-BTED** dots could be useful for long-term *in vivo* imaging.

To further demonstrate the capability of the **HLZ-BTED** dots as a NIR-II imaging contrast agent *in vivo*, the pharmacokinetics and potential toxicity of the **HLZ-BTED** dots were carefully evaluated. The pharmacokinetics were studied *via* the measurement of the blood circulation half-life. The half-life time of the **HLZ-BTED** dots was 204 min (Fig. S5†). The long circulation time of the AIE dots in blood was mainly due to the strong interaction between the **HLZ-BTED** dots and serum albumin (Fig. S6,† $K_D = 0.0303 \text{ nM}$), and allowed them to reach their imaging target. The cytotoxicity of the **HLZ-BTED** dots to 4T1 mammary cancer and L929 mouse fibroblast cell lines was demonstrated using the standard 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT) assay. As shown in Fig. 4A, no obvious cytotoxicity was observed even when the concentration was increased to $150 \mu\text{g mL}^{-1}$. The long-term potential toxicity of the **HLZ-BTED** dots in normal KM mice was evaluated. A solution of PBS (0.2 mL, $n = 3$) and medium dose **HLZ-BTED** dots (0.2 mL, 7.5 mg kg^{-1} , $n = 3$), or high dose **HLZ-BTED** dots (0.2 mL, 15 mg kg^{-1} , $n = 3$) was injected into normal KM mice by intravenous injection (i.v.) *via* the tail vein. No significant difference in body weight was observed between the PBS control group and the **HLZ-BTED** dot administered groups during the research process (31 days) (Fig. 4B). Moreover, the major organs of the mice, including the heart, liver, spleen, lungs and kidneys were obtained and stained with hematoxylin and eosin (H&E) for histopathological study at 31 days after treatment. The H&E staining results shown in Fig. 4C exhibited no obvious necrosis or inflammation lesions in all the major



Fig. 3 (A) Schematic illustration of the preparation method of NIR-II AIE nanoparticles (**HLZ-BTED** dots) *via* micellization. (B) Representative TEM image of the **HLZ-BTED** dots. Scale bar: 100 nm. (C) UV-vis-NIR absorption spectrum and NIR-II fluorescence emission spectrum (785 nm laser excitation) of the **HLZ-BTED** dots in aqueous solution. (D) Photo-stability test of the AIE **HLZ-BTED** dots in water, PBS, fetal bovine serum (FBS) and ICG in water under continuous 808 nm laser (180 mW cm^{-2}) irradiation for 60 min. (E) Quantitative analysis of the NIR-II fluorescence intensity of the **HLZ-BTED** dots in water, PBS, and FBS after 2 min to 30 h incubation.





Fig. 6 (A and B) *In vivo* visualization of tumor-feeding vessels. NIR-II tumor blood vessel fluorescence images of the 4T1 breast tumor-bearing mice were obtained at 2 min post tail vein injection of the HLZ-BTED dots (0.2 mL, 10 mg kg⁻¹) with 1000 nm (A), 200 ms, 808 nm excitation, 90 mW cm⁻² and 1250 nm (B), 800 ms, 808 nm excitation, 180 mW cm⁻² long-pass filters, respectively. The red circles indicate the location of the tumor, and yellow arrows indicate the tumor-feeding arteries. (C and D) NIR-II fluorescence images of the hind limb vessels in C57BL/6 mice at 2 min after tail vein injection of the HLZ-BTED dots (0.2 mL, 15 mg kg⁻¹) with 1000 nm (C), 60 ms, 808 nm excitation, 90 mW cm⁻² and 1250 nm (D), 500 ms, 808 nm excitation, 180 mW cm⁻² long-pass filters, respectively. (E) *In vivo* NIR-II fluorescence images (1250 nm LP, 400 ms, 808 nm excitation, 180 mW cm⁻²) of incomplete left hind limb ischemia pre-injection and 60 s, 120 s, and 180 s after HLZ-BTED dot injection, where the white arrow located the occlusion site in the femoral artery. (F) Intravital long-term hind limb vasculature NIR-II imaging (1250 nm LP, 400 ms, 808 nm excitation, 180 mW cm⁻²) from 0 min to 240 min after tail vein injection of the HLZ-BTED dots. Scale bar (A–F): 1 cm. (G) The vessel FWHM width based on the cross-sectional intensity profile measured along the white line in (F) (1 min) with the peak fitted to Gaussian functions (the red curve is the Gaussian fit to the profile).

vasculature was investigated *via* the Gaussian-fitted full width at half maximum (FWHM), which further confirmed the excellent ability of the HLZ-BTED dots to precisely map vascular vessels with NIR-II imaging (Fig. 6G).

The gastrointestinal (GI) tract is an organ system, which includes all organ structures between the mouth and the anus, such as the esophagus, stomach, small intestine, and large intestine. The GI tract is responsible for ingesting and digesting food, extracting and absorbing nutrients, and expelling the waste as feces. Recently, non-invasive imaging modalities such as positron emission tomography (PET),²² magnetic resonance imaging (MRI),²³ X-ray computed tomography (CT),²⁴ and photoacoustic (PA)²² imaging, have played an important role in GI function research and the diagnosis and prognosis of GI diseases. However, these imaging modalities are limited by their serious drawbacks, notably radiation risk, expensive instrument cost, long imaging times and limited spatial resolution for real-time gut function study such as physiological or

pathological intestinal motility. NIR-II fluorescence imaging may provide a reliable method for monitoring intestinal motility disorders or dysfunction and providing evaluation information of GI therapeutic agents *in vivo* due to superior temporal-spatial resolution.²⁵ Therefore, as a proof of concept, we demonstrated the feasibility of the AIE HLZ-BTED dots for *in vivo* NIR-II imaging of the GI tract by oral administration. First, the fluorescence intensity and hydrodynamic sizes of the HLZ-BTED dots in different pH buffers ranging from 1 to 9 or in gastric pH 1 buffer were investigated respectively. No significant difference was observed between different conditions, demonstrating the excellent stability and capability of the AIE dots as a GI tract contrast agent (Fig. S10†). Second, healthy BALB/c mice ($n = 3$) were gavaged with the HLZ-BTED dots (0.1 mL, 5 mg kg⁻¹) for real-time imaging of intestinal motility and monitoring the behavior of micelles within the GI tract. NIR-II fluorescence images at different time points (5, 10, 20, 30, 60, 90, 180, 480, 600 and 1440 min) were obtained under 808 nm laser irradiation (180 mW cm⁻², within the safety limits (329 mW cm⁻²) determined by the International Commission on Non-ionizing Radiation Protection) through an InGaAs camera (200 ms exposure time) with a 1250 nm long-pass filter. As shown in Fig. 7A, from 5 min to 480 min after gavaging, the fluorescent signals were observed in the stomach, duodenum, jejunum, ileum, cecum, and colon. During the imaging procedure of the intestine, the contractile function of the intestine was demonstrated by the recorded Movie S1.† The fluorescence intensity movement in the small intestine indicated vigorous



Fig. 7 (A and B) Representative non-invasive NIR-II fluorescence images (1250 nm LP, 200 ms, 808 nm excitation, 180 mW cm⁻²) of the gastrointestinal tract (GI tract) in BALB/c mice gavaged with the HLZ-BTED dots (0.1 mL, 5 mg kg⁻¹) for real-time monitoring of gastrointestinal peristalsis of normal mice (A) and mice anesthetized (B) using pentobarbital sodium ($n = 3$ mice). The white arrow indicates the feces of mice (Fig. 7A, 600 min). Scale bar: 1 cm.



contractility with peristalsis and segmental contractions of the intestine at 330 min after gavaging. By fluorescence intensity map analysis of regions of interest (ROIs),^{25f} the intestine peristalsis frequency and propagation velocity was about 28–40 cycles min^{-1} and $2.61 \pm 0.23 \text{ cm s}^{-1}$, respectively. At 600 min after gavaging, the fluorescent signal was first detected in feces, indicating that the NIR-II dots moved out of the body from the rectum and anus. At 24 h after gavaging, no fluorescent signal of the abdomen was detected. These results illustrated that the **HLZ-BTED** dots can be totally excreted through the GI tract within 24 h and are not absorbed into the body through the intestine. The **HLZ-BTED** dots used in GI tract imaging are excreted quickly due to low absorption in the GI tract while the **HLZ-BTED** dots would interact with serum plasma and the immune system by i.v. injection, leading to the slow clearance routes of the **HLZ-BTED** dots through the hepatobiliary system. Moreover, in Fig. 7B and 8C, the experimental results showed that the use of an anesthetic, pentobarbital sodium, could suppress duodenum motility and gastric emptying, indicating that the NIR-II **HLZ-BTED** dots could be a powerful tool for assessing the effects of drugs on the GI tract.

To investigate the ability of the **HLZ-BTED** dots to diagnose intestinal diseases such as obstructions which impede or block peristaltic movement, we built a mouse model of intestinal partial obstruction according to the reported methods.²⁶ Then, we gavaged the mice with intestinal obstruction with the **HLZ-BTED** dots (0.1 mL, 5 mg kg^{-1}). The NIR-II images shown in Fig. 8A and 7A exhibited differences in the intestinal distribution of dots between the mice with obstruction and healthy mice. At 5 h after gavaging, the lower small intestine was fully filled and distended after dissection, and the fluorescent signal was strong in the upper and medial small intestine (Fig. 8B), indicating that the **HLZ-BTED** dots were accumulated in these parts and are suitable for diagnosing intestinal obstruction.



Fig. 8 (A) Representative non-invasive NIR-II fluorescence images (1250 nm LP, 200 ms, 808 nm excitation, 180 mW cm^{-2}) of the gastrointestinal tract in BALB/c mice gavaged with the **HLZ-BTED** dots (0.1 mL , 5 mg kg^{-1}) for visualizing intestinal obstruction ($n = 3$ mice). (B) *Ex vivo* NIR-II image (200 ms, 1250 nm LP) of the GI tract in normal mice or mice with intestinal obstruction at 5 h after gavage. (C) Representative *ex vivo* NIR-II fluorescence image (1250 nm LP, 200 ms) of the GI tract in BALB/c mice which are normal or anesthetized by pentobarbital sodium at 2 h after gavage. Scale bar: 1 cm.

Experimental section

All animal experiments were performed according to the Chinese Regulations for the Administration of Affairs Concerning Experimental Animals and approved by the Institutional Animal Care and Use Committee (IACUC) of Wuhan University. And all experimental details are provided in the ESI.†

Conclusions

In conclusion, we have successfully designed and synthesized a novel NIR-II organic fluorophore **HLZ-BTED** with AIE features. The organic fluorophore could be encapsulated as **HLZ-BTED** dots with good water-solubility and biocompatibility for *in vivo* NIR-II biomedical imaging. We further performed *in vivo* NIR-II imaging experiments that revealed the excellent passive targeted long-term NIR-II fluorescence imaging performance of the **HLZ-BTED** dots in breast tumor-bearing mice. Moreover, the **HLZ-BTED** dots were an excellent NIR-II imaging contrast agent for superior spatial-temporal resolution of tumor-feeding vessels imaged with a 1250 nm long-pass filter, precisely monitoring the occlusion site of incomplete hind limb ischemia and long-term hind limb vasculature, and have the potential capability for image-guided transcatheter arterial embolization. To the best of our knowledge, as a proof-of-concept, this is the first time that non-invasive and real-time NIR-II imaging of the gastrointestinal tract in health and in disease has been performed. Furthermore, dynamic NIR-II fluorescence imaging with gavage of the **HLZ-BTED** dots can be used to monitor intestinal motility in real-time, and thus provides a method for the diagnosis of intestinal motility disorders and information on the response of the intestine to therapeutic agents.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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