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Molecular Interactions of Nanomaterials and Organisms: Defining Biomarkers for Toxicity and High-Throughput Screening Using Traditional and Next-generation Sequencing Approaches

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Abstract

The toxicity of nanomaterials depends on the basic interaction of the chemistry of the material with the molecular pathways in an organism. To design safe and sustainable nanomaterials, more detailed information on the molecular interaction and biochemical machinery that is altered in an organism upon contact with a nanomaterial is needed. There are a multitude of papers now on the toxicity of nanomaterials to various model organisms from human to ecological models, but many focus on acute high dose exposures and research on the toxicity of other chemicals has shown that the dose of a chemical can have a tremendous impact on the pathways that are affected within the organism. The most common pathways investigated in nanotoxicity experiments are related to oxidative stress, yet oxidative stress can be a temporary and natural response

to an insult without a negative outcome. There are a multitude of other potential mechanisms that may be triggered in response to a toxin at sublethal exposures. Here we present a review documenting the evidence to date on the indicators of the molecular response to nanomaterials from in vitro and in vivo studies. Alternative pathways as indicated by single biomarker, global gene expression studies and next generation sequencing approaches are discussed as well as the impacts of nanomaterial type, dose, and the types of system studied. Specific mechanisms that are impacted by a nanomaterial can be used as the basis of better high-throughput methods for evaluating how nanomaterial chemistry impacts toxicity and support models to predict the toxicity of future nanomaterials.

Introduction

A major question in the field of nanoscience is whether nanomaterials will have a negative impact on human health and the environment due to their novel properties. There is some difficulty in answering this question due to the fact it is unclear as to whether those novel properties impart some unique toxicological impact that is different from other contaminants. In addition, nanoscience is somewhat in its infancy and it is anticipated that in the future nanomaterials will have more novel properties and will be more complex than what is currently being used and developed. Future materials have the potential to create unknown hazards, as they may be unlike the materials that are currently being evaluated for their impact on environmental health and safety. There is a clear need for a strategy to evaluate the impacts of not only today's nanomaterials but also those that have yet to be created.

There are currently many papers on the toxicology of nanomaterials that describe acute mortality to cells and a select number of organisms. These include a variety of human, mouse, and rat cell lines as well as whole mice and rat studies and limited studies on invertebrates and other non-human model organisms. Overall, it appears from the literature that many of the current suites of available nanomaterials are not acutely toxic. Estimated exposure to nanomaterials, based on data from similar sized particulates, estimated wastewater treatment effluents, and life-cycle modeling efforts are predicted to be orders of magnitude below what is considered lethal for many nanomaterials.[1] Select nanomaterials, such as metallic nanomaterials are toxic at lower doses, but this can be due to dissolution in certain aquatic environments rather than by the nanomaterial itself. Interactions with the environment can also increase toxicity, as is the case with metal oxides that are photoreactive.[2, 3] Realistically, the greatest impacts from nanomaterials will most likely be the result of long-term low-dose exposures. There is a significant gap regarding these types of potential impact.

Low-dose chronic exposures often have more subtle impacts and alter different biochemical pathways in an organism than corresponding to high-dose exposures. High concentration exposures of many chemicals initiate a “global” stress response that often includes oxidative stress and pathways associated with necrosis. At lower concentrations the same chemicals trigger reactions that can show a very different pattern of molecular response, including unique gene or protein expression patterns that reflect the specific interaction of a chemical with cellular components.[4, 5] Slight variations in the chemical composition of a drug or pollutant have also been shown change the molecular responses and these gene expression signatures are predictive

of impacts on important endpoints such as reproduction or development.[6-9] These low-dose chronic exposures have become particularly important in the study of other emerging contaminants as these chemicals, like many nanomaterials, are not acutely toxic. However, research over the last two decades has shown that there is a potential for these chemicals to exert impacts on pathways associated with the reproductive system, immune system, nervous system, cancer pathways, metabolic pathways and others, even at these low doses.[10, 11]

Long-term chronic studies do not readily lend themselves to rapid high-throughput analyses, which are desirable to quickly screen for potential impacts of exposures. Toxicity testing using molecular biomarkers that are known to be linked to negative outcomes may provide a mechanism to develop high-throughput tools that are predictive of long-term outcomes. Global gene expression patterns can be used as a sensitive tool to interrogate the interaction of the cell, tissue or whole organism to nanomaterials of differing chemistries and provide an indication of potential future impacts. Currently, there are a few individual biomarkers being explored in this capacity and they are often limited to pathways involved in oxidative stress, which is known to be a complicated biomarker. Here we review the current status of the field on the molecular impacts of nanomaterials, the limitations on the number of pathways that have been investigated to date, and the potential for next-generation sequencing platforms to provide novel information on the toxicity of nanomaterials. We discuss the potential impact of these methods on the development of high-throughput assays to evaluate the environmental health and safety of nanomaterials.

Biomarkers and molecular response

Biomarkers, such as mRNA transcripts, enzyme or protein expression have been proposed as health indicators for a variety of human diseases and now are being developed to indicate the health status of other model and non-model organisms in response to a xenobiotic insult.[5, 12, 13] The premise of the technology is that proteins, and mRNA that codes for those proteins, are expressed differently in an organism that has come in contact with a xenobiotic than an organism that has not been exposed (Figure 1). In addition, the genes or proteins that are differentially expressed give an indication of the specific pathways that are impacted in an exposed organism and potential negative impacts of the chemical on the organism.[5, 14] If many genes or proteins are used, differences in the global expression pattern can be used to differentiate chemicals with differing modes of action or even chemicals in the same class with similar modes of action.[6, 15] This is not a simple analysis and challenges include the fact that there is a time and dose-dependent impact on the expression of many genes and proteins. This adds variability in response, which makes it difficult to assign a specific gene expression state as a negative outcome. In addition, there are challenges in using genomic technologies in a regulatory framework due to the need to link genomic changes to physiological endpoints that are meaningful to the organism or the population of organisms.[4, 16] However, regulatory agencies in the United States and Europe are exploring the potential of gene and protein high-throughput assays as a screen for the thousands of existing chemicals in the marketplace where we have limited toxicity information. Efforts such as the U.S. EPA ToxCast initiative are exploring the potential for *in vitro* cellular assays to be used to extrapolate simplified assays as

well as genomic and proteomic endpoints to predict whole organism impacts.[9] Implicit in these efforts are the current limitations to this type of concept including the insufficient number of toxicological pathways explored and the need for considering metabolism in modeling efforts.[17] There is some effort to include nanomaterials in these trials and various groups are exploring the extrapolation of select biomarkers such as oxidative stress into high-throughput nanomaterial testing as a key indicator of toxicity.[18]

Oxidative Stress as a molecular response to nanomaterial exposure

Currently, a majority of the research on molecular impacts of nanomaterials involves examining the response of oxidative stress and related. Free radical generation by certain nanomaterials has been documented in certain media and is thought to be the main route for oxidative stress responses.[12] However, free radical generation is not always present with nanomaterials and there are toxic responses with these materials in the absence of ROS generation, demonstrating the oxidative stress response is not always representative of the entirety of the interaction of the nanomaterial and the biological entity.[15, 17, 19] Toxicologically, it is well known that oxidative stress is highly temporal and can dissipate quickly and inflammatory mediators change in a time dependent manner.[20, 21] Nanomaterials may cause oxidative stress and inflammation over a 24 hour period but this effect subsides after this time period.[21, 22] For example, mouse lung cells upon exposure to 54 μg rutile TiO_2 (in 40 μl of a bronchoalveolar liquid suspension through an intratrachael installation) expressed immune, inflammatory and metabolic pathways on the first day after initial exposure. [20] Yet after three days this

response subsided and calcium signaling, actin cytoskeleton, and fatty acid metabolism pathways dominated. On day 28 in this same study calcium ion and cation homeostasis pathways and pathways important in muscle regulation were significantly affected. This result indicates that rutile TiO_2 might induce acute oxidative stress and lung inflammation but long-term effects relate more to smooth muscle activity. In some cases there may be a complete absence of oxidative stress response pathways associated with the interaction of nanomaterials with cells in organisms.[47]

Oxidative stress can also be dose dependent where high levels of a toxin can cause an initial oxidative stress response that ultimately indicates a decline in cell viability, but lower doses do not instigate the same response. For example, in mice exposed to silver nanoparticles at concentrations of 100, 500 and1000 mg/kg, only the highest concentrations significantly induced genes involved in oxidative stress in the frontal cortex, while the lowest dose administrated minimally affected the same genes.[23] Similarly, *E. coli* exposed to a high, medium and low concentration of TiO_2 and silver nanoparticles (1, 10, 50 ppm) exhibited dose-dependent differential gene expression, with higher concentrations inducing many oxidative stress related genes (50 and 42, respectively) and the low concentration affecting only a few genes involved in stress (four and three, respectively).[24]

Other pathways associated with oxidative stress include inflammation, apoptosis, and general stress pathways (Figure 1). Each of these mechanisms has been commonly studied in response to nanomaterial exposure across in vitro and in vivo study systems and also indicate that the effect of nanomaterials on these pathways is concentration and nanomaterial dependent (Table 1). Inflammation is a protective

immune associated response that serves to instigate phagocytosis of a foreign object or pathogen, destroy the invading organism using ROS mechanisms. It also stimulates the secondary immune system to recognize and destroy future similar invasions. In an acute time frame inflammation is a beneficial response, however, if stimulated chronically it can destroy surrounding tissues and create disease. Cytokines such as IL-1, IL-6 and TNF are generic primary immune responses and have been measured by several studies in vertebrates in response to mainly titanium dioxide nanomaterials, carbon black and silica nanomaterials but at relatively high concentrations. Some secondary immune pathways have also been studied including IL-5 and IL-10 indicating that the reaction to nanomaterials can move beyond a simple inflammatory reaction. Exposures of mice to TiO_2 indicate differential regulation of genes and proteins important in the COX-2 and MAPK/P13-k/Akt signaling pathways, apoptosis and inflammation at higher concentrations.[25]-[26]

At lower exposure doses, which are most likely the more realistic environmental exposure scenario for many organisms, nanomaterials illicit changes in a wider range of pathways (Table 1). For example mice exposed to three concentrations of TiO2 NPs (18, 54 and 162 µg/mouse), responded differently depending upon concentration. At 28 days, exposure of mice to 18 µg (the lowest dose) TiO_2 induced changes in muscle contraction and striated tissue development, whereas after the same 28-day duration, exposure to 162 µg (the highest dose) was dominated by the inflammatory response.[20]

Generalized responses to xenobiotics are also implicated in the nanotoxicity literature. Metabolizing enzymes such as CYP1A, involved in xenobiotic metabolism has been shown to be triggered by carbon black nanoparticles in cell cultures.[27] Some of

these genes are also related to antioxidant enzymes that protect the cells from oxidative stress from contaminants, including PRDX3 and BNIP3 which have been found to be expressed in cell lines in response to ZnO nanomaterials[28] or oxidize compounds such as CYP2d9 in response to TiO_2 nanomaterial exposure.[29]

Apoptosis is a normal process important in balancing cellular structure associated with growth and development within an organism. However apoptosis can also be associated with cellular damage and death due to injury. This can be due to oxidative or free radical damage, or it can be an independent signal of an inflammatory response that induces ROS generation by the primary immune cells of the organism. The apoptosis process also instigates cellular alterations such as shrinkage, DNA degradation, and cell surface alterations that trigger phagocytosis by other cells to remove foreign substances. In cell cultures a variety of nanomaterials have been shown to directly increase genes associated with apoptosis such as caspases and cytochrome C.[30-40] The suppression of certain gene families that in turn suppress cell death can also trigger apoptosis and immune response and in studies of titanium dioxide[25, 26, 29] these genes including Birc5 and Crap2 were suppressed after 90 day exposures which increased apoptosis in whole organism models. However, in contrast to in vitro cell line studies, whole organism studies to date do not suggest significant expression of pathways associated with apoptosis associated with nanomaterial exposures.

In addition to these studies, other studies show the same response in a variety of organisms and cell lines, with higher concentrations of nanoparticles inducing genes associated with general stress, oxidative stress, and apoptosis and lower concentrations affecting other molecular pathways such as groups of genes in the major

facilitator superfamily, such as drug resistance or detoxification genes and other cell signaling genes and transcription factors.[24, 41, 42] Variations in dose will differentially alter gene expression with low and high concentrations displaying a unique molecular fingerprint.[21, 43, 44] However, there are some exceptions of genomic overlapping, where higher concentrations trigger different genes but the same pathways of genes or affect the same genes.[27, 41, 45, 46]

These studies demonstrate the need for testing nanomaterials at lower exposure concentrations so the more specific interactions of nanomaterials and cells that occur at environmentally relevant concentrations can be elucidated. This shows that there are other non-oxidative stress mechanisms present regarding nanoparticle toxicity. Testing these materials at low, environmentally relevant concentrations will better enable the development of biomarkers for assessing nanomaterial toxicity.

Modeling the Impacts of Nanomaterials and the Need to Investigate Other Molecular Mechanisms

One of the goals of nanotoxicology research is to inform the design of safe and sustainable materials to minimize potential impacts to human health and the environment. If the properties that can make a given nanomaterial harmful can be predicted during the design process then production can shift to create a less harmful version. To make these predictions feasible, data is needed to model the interactions of these chemicals with organisms. Examining acute high dose interactions of nanomaterials with biological entities provides limited information as to the interaction of nanomaterials on a molecular level as is seen in the previous discussion. These

extreme exposures overwhelm cellular system and ultimately lead to tissue necrosis and failure. Pesticide studies, which include some of the most well studied toxins, have provided a wealth of information regarding the potential for modeling responses of chemicals. They have shown that examining acute exaggerated endpoints limit the ability to predict the impacts of new toxins through modeling such as quantitative structure activity relationships (QSAR). These models consistently overestimate toxicity when data is based on necrosis.[48, 49] For example Reuschenback and collaborators[50] found that using traditional ECOSAR data, 69% of 1000 industrial chemicals tested fell into the correct category. Mode-of-action based QSARs may more accurately predict effects, yet there is less information to feed these types of models given traditional testing strategies. [51] Ultimately the diversity of training compounds for a model and their corresponding molecular toxicology information may be the limiting factor in creating models that may consistently and accurately predicts effects.[52, 53] Therefore identifying a greater number of pathways involved in response to nanomaterials will provide more robust modeling and predictive power in determining the impacts of nanomaterials.

Other factors that limit current models include the measurement of a limited set of endpoints and time points as well as large dose exposures. Effects are also often time and chemical dependent therefore using an exaggerated response over a short time period introduces bias into modeling.[54] In addition, low-dose effects are often hormetic in nature, where low-dose exposures and high-dose exposures have opposite effects, Low can be stimulatory to many pathways and high doses cause a toxic response. As a result models using higher concentrations will inaccurately predict effects at doses that are most environmentally realistic. Developing assays that provide

unbiased parameters and give information regarding different mechanisms of action will ultimately provide better models. As has been seen with other emerging contaminants such as endocrine disruptors, alternative pathways of effect that occur over chronic low-dose exposures and potentially over generations may in the end be the ultimate concern. There is a need to test nanomaterials at multiple concentrations and time points to help develop biomarkers to assess nanomaterial toxicity. More relevant and useful information for modeling is garnered from low-dose exposure studies that examine multiple endpoints and pathways of impact. Sublethal concentrations elicit more specific biological pathway responses.

Evidence for Alternative Mechanisms of Nanomaterial Impact

The interaction of nanoparticles with other pathways that do not directly involve apoptosis, oxidation or inflammation is slowly being explored and reported in the literature but is still underrepresented compared to other pathways and mechanisms of action or effect. A simple survey of articles in Pubmed using nanomaterials, toxicity and specific pathways as key words shows a significant bias in the literature towards oxidative stress and inflammation (Table 2). Other pathways impacted by nanomaterial exposure include to a smaller extent include those involved in reproduction,[45, 55] metabolism,[56-58] cell cycle and cell proliferation [21, 59], membrane transport [24, 30, 60-62], cellular motility [61], steroidogenic pathways,[63] and others.

The types of nanomaterials that have been tested across different toxicity studies differ tremendously and the diversity of nanomaterials studied in any one study is very narrow. This makes it difficult to draw conclusions regarding which nanomaterial may

cause the greatest impact, what size and shape may be most toxic, and the pathways that may provide the most information. For example, individual studies have shown separately that pathways involved in cell cycle and cell proliferation have been shown to be impacted by TiO_2,[26, 29] SiO_2,[64] CdSi, [62] CuNP, [47] and Ag nanomaterials[65] at various concentrations and exposure conditions. Cell signaling pathways, which are heavily involved in disease and impact development and immunity as well as other functions have been shown to be impacted by only TiO_2[20, 29] and CdSi nanomaterials.[62] Pathways associated with metabolism transcription, translation and some metabolism pathways have been significantly less studied. The lack of information on these other pathways speaks not to the lack of impact on these pathways but to the general lack of data and consistency of evaluating multiple pathways of effect.

There are singular studies, most often cell-based assays, which have investigated impacts on other less commonly studied pathways. For example, in one study the response of adipocytes to superparamagnetic iron oxide nanoparticles included differential regulation of genes associated with lipid and glucose metabolism.[66] Expression of genes associated with the transduction signaling of TGF-beta pathway was altered after exposure of Fe_3O_4 nanoparticles in HeLa cells indicating interference with that pathway.[67] Other studies have indicated endoplasmic reticulum stress response, [68] transduction signaling of Epidermal Growth Factor (EGF) receptor,[69] and hypoxia associated responses to exposure to nanomaterials.[70]

Information obtained from molecular indicators: how nanomaterial characteristics may influence toxicity

Molecular indicators, such as gene or protein expression, have provided some useful information regarding the impact of specific nanomaterial properties on their toxicity. For example, molecular studies have shown that nanomaterial size has an impact on the general molecular response of a cell, tissue or organism to exposure. Depending on the assay and endpoint considered, studies suggest that smaller particles are able to cross cell barriers and induce a greater response or in some cases larger nanomaterials have a greater impact. For example, 14 nm carbon black (CB) nanoparticles cause an induction in proinflammatory cytokines, chemokines and monokines in the olfactory bulb of mice where 95nm CB particle do not.[71] In addition, human lung fibroblasts exposed to 20 nm SiO_2 nanoparticles induced p53, and Bax expression, inhibited Bcl-2 production and activated caspase-9 where 80 nm nanoparticles did not.[46] In contrast, 50 nm GNPs induce a larger immunotoxic response in liver cytokines and induce immune related IL-6 and TNF-a expression than the smaller 10 nm sized GNPs in the liver and kidney of injected rats.[22] Similarly 500nm silica nanoparticles elicited a greater response in murine macrophages than 10 nm nanoparticles.[64] Silica particles that are 500nm impact pathways in macrophage cells related to cell cycle progression, DNA transcription, inflammatory response, apoptosis, signal transduction and cell differentiation, which are not differentially expressed in 10nm particle exposure.[64] Yet overall the processes represented by these pathways are not enriched in one particle size or another and the authors hypothesize that any differences may be due to the either the level of disturbance to the cell membrane differing with surface area or the ability of smaller particles to enter the cell.[64]

Surface chemistry may play a large role in the interaction of a nanomaterial with an organism or cell. Some studies suggest that the charge of the surface of the nanomaterial is a big determinant of impact and that positively charged surfaces have caused a greater biological response. For example Yang and co-workers[72] found that *Azotobacter vinelandii (*a nitrogen fixing bacteria commonly found in wastewater treatment facilities) exposed to quantum dots (QD) coated with cationic polyethylenimine (PEI) exhibited the up-regulation of gene *cad R*, a gene associated with metal contamination, more so than QD coated with anionic polymaleic anhydride-alt-1-octadecene (PMAO). Additionally, the up-regulation of several types of nitrogenases (*nif D, nif H, anf D, anf K, vnf D* and *vnf H*) were observed in the QD-PEI (but not QD-PMAO) exposed bacteria, indicating that nitrogen fixation is stimulated upon exposure to the positively charged QD-PEI particles. [72] In another study QD COOH-pQDs were more toxic and instigated the expression of scavenger receptor (SRA) endocytic pathway and the downstream NF-kB signaling cascades which are involved in innate and adaptive immunity, inflammation, and stress responses, in comparison to NH_2-PEG-pQDs and HO-PEG-pQD which had an overexpression of p38 AP-1 cell signaling cascades instead.[73] In a whole organism study, *Daphnia magna* exposed to poly vinyl pyrrolidone (PVP) and citrate (CIT) capped silver nanoparticles reported differences in gene expression related to stress response upon exposure to the differently coated silver nanoparticles. PVP coated particles, although less toxic, induced stress genes metallothionein (MT) and DNA repair gene (REV1) significantly, while CIT coated particles did not.[74] Collectively, these results show that the various

surface coatings and functional groups of a variety of nanoparticles can affect the expression of genes involved in numerous pathways.

Molecular data has also indicated that other factors such as the interactions of nanomaterials with cellular media and endogenous biomolecules, differences in the tissue or cell line, and location of accumulation of nanomaterial can impact the molecular interaction with a nanomaterial. The interactions of nanoparticles with chemical compounds present in cell culture media and their subsequent impacts on toxicity tests and molecular response have been minimally explored. However several studies have shown that cellular media and molecules can coat nanomaterial and change their properties and their interactions with cells. For example, cytotoxicity decreases for citrate coated Au-NPs due to, in the presence of fetal bovine serum (FBS) medium. [75] The media components were shown to alter the citrate-coated gold crystals as Au crystals, naked and citrate-coated, deposited in FBS exhibited a frequency decrease that was higher than the Au crystals, naked or functionalized, incubated in RPMI without FBS present. Due to the importance of the interactions of nanoparticles with biological systems,[76, 77] there is a significant concern that there are only a handful of human cell studies that mention this interaction with free molecules present in the supplemented FBS media.

Differences among cell lines used for toxicity studies lead to differences regarding the pathways instigated by exposure to nanomaterials. Cancer cells lines such as U251 cells[78] and MCF7[79] are more susceptible to nanoparticle exposure than normal cells. The animal where the cell line originated is also important. For example murine macrophages were shown to be more sensitive than human cell lines when

exposed to BSA-stabilized silica nanoparticles[80] and silver-doped silica nanoparticles induce more toxicity in human hepatoma cell line (Huh7) than fibroblast-like fathead minnow (FHM, *Pimephales promelas*) cells.[68] Cell lines from different tissues of the same organism also respond differently to nanoparticle treatment. Toll-like receptor 2 (TLR-2) gene expression, a gene responsible for cell membrane receptors that recognize foreign substances, was expressed to a greater extent in in human chondrocytes (C28/I2) and periodontal ligament (PDL) cells in response to Ag-NPs exposure than in squamous cell carcinoma from the tongue (SCC-9).[31] Because of these differences among cell types one might expect that the deposition location in an organism can also impact the molecular response. If nanomaterials induce toxicity to vital organs, including the lung, brain, liver, kidney, spleen, and ovary, each of these tissues may be specific in their response due to their differing functionality. All of these factors point towards the need to explore a diversity of cells, tissues and organisms to fully understand these molecular interactions.

Microarrays and next-generation gene expression technologies to identify new mechanisms and biomarkers of effect

Global gene expression patterns generated using microarrays or more recently, next-generation sequencing technologies, hold promise for providing a more diverse profile of the impacts of nanomaterials on various biological systems. Using the pattern of expression of thousands of genes at once provides a systems overview of the

reaction of an organism or cell. In addition, these data provide a method to differentiate among nanomaterials as to their impact across a range of potential. Microarrays probe a pre-prescribed set of tens of thousands of genes at once. This technology is now relatively cheap with analysis costs of approximately $200 USD per sample, allowing for multiple comparisons across treatments or individuals within an experiment. Alternatively, using next-generation sequencing, direct sequencing representative of RNA expressed provides millions of data points per sample to compare responses across exposures. Next-generation sequencing analyses probes a multitude of genes and pathways at once with no preconceived idea of the genes that may be relevant and therefore the limitation of the predesigned array is removed. Semiquantification of transcripts and gene discovery can be done simultaneously. However, there is also a more significant expense associated with this type of analysis and quantification of gene transcripts need to be confirmed using quantitative PCR or similar quantitative analyses.

There have been several studies to date that have investigated the biological response of cells or organisms to nanomaterials using global gene expression patterns from microarrays that have provided some key insights to the molecular response to exposure.[20, 26, 29, 47, 62, 63] Similar to studies of other xenobiotics these studies have shown that when comparing a nanomaterial exposed organism or cell to a control there can be nanomaterial specific gene regulation[42, 56] and the gene expression patterns can be used to separate the effects of the nanomaterial from the other components in a suspension such as any metal ions that may be emitted from a metal nanomaterial.[47] Toxicity of metal nanomaterials has been investigated more than other nanomaterial types and such studies have identified that common pathways of impact include

oxidative stress as well as inflammation and apoptosis. Genomics tools have been used as a tool to separate the impacts of metal ions from the dissolution of metal nanomaterials in solution from the nanomaterial itself to determine the underlying mechanism of toxicity of nanomaterials. For example similar genomic profiles are expressed in silver nanomaterial or silver ion exposures suggesting that both types of exposures induce toxicity by similar mechanisms.[81, 82] However, other studies show that nanomaterial toxicity acts by mechanisms that are unique to the nanomaterial and not a consequence of metal ion dissolution. Some novel genetic pathways associated with metal nanomaterials versus their metal ion counterparts in these studies include apoptosis, cell proliferation and differentiation, and cancer progression for copper nanoparticles;[47] energy metabolism for copper nanoparticles;[83] ribosome activity and elongation factors for TiO_2 nanoparticles[47] and metal detoxification, metabolic processes, and radical scavenging for silver nanoparticles.[84] Variations in the results of these studies might be a result of the type of exposure (terrestrial, aqueous, or inhalation/instillation) and the type of particle, as different nanomaterials behave differently in various types of media.

Next generation sequencing technologies also hold promise for distinguishing the impacts of nanomaterials of differing properties. For example, our lab has investigated the differences in toxicity and associated molecular pathways instigated in response to fullerene and carbon nanotubes exposures with varying surface chemistries in several organisms. To examine global gene expression, RNA was extracted, cDNA created and sequenced using Roche 454 sequencer from the aquatic toxicology and genomic model *Daphnia magna* (Figure 3) that had been exposed to 50 ppm fullerene nanomaterials for

48 hours. This concentration has been shown to be at the LC25 for this species in our previous work but was also shown not to instigate oxidative stress[17] (for toxicity and nanomaterial characterization information see Klaper et al 2009, and Arndt et al. 2013).[17, 85] As a comparison, daphnids exposed to control water and 50 ppm of fullerols were also sequenced ($C_{60}(OH)_{24}$). Sequences were screened for quality control and approximately 500,000 fragments were sequenced for a pool of three replicates of 20 adult daphnids for each treatment. Sequences were compared to each other and overlapping sequences (with greater than 95% overlap) from all treatments were assembled into one set of contigs, or longer gene sequence fragments, and then annotation by comparing these longer sequences to public databases. Fragments that only appeared once or had no overlap with other sequences were excluded from analysis. Associated pathway information for each gene fragment was also identified. Approximately 30,000 contigs per treatment remained after this cleanup and were used in an RNA-Seq analysis, which compares the number of times a given sequence is represented between treatments. Sequences that were overrepresented in one treatment versus another were also analyzed with respect to pathways represented. Some of the key findings of this analysis include a significant overlap in the sequences represented across treatments with a large number of unique sequences represented in each treatment (Figure 4). In addition, when the sequences are analyzed for their associated molecular pathways it is clear that although overlaps exist the difference in the surface chemistry of these two particles causes not only a difference in the toxicity but at sublethal concentrations it causes a difference in the molecular pathways that are expressed (Figure 5). Genomic analyses can separate not only the various impacts of

xenobiotic exposures but reaction to natural stressors compared to xenobiotic exposures as well. For this same experiment we compared the expression of genes in the nanomaterial exposures above to *Daphnia pulex* exposed to several different xenobiotic exposures and other stressor exposures in experiments related to our lab and the lab of others conducted through the Daphnia Genome Consortium and sequenced by the Joint Genome Institute. These included titanium dioxide and fullerene exposures as well as exposures to heavy metal exposures, hypoxia, temperature stress, exposure to pheromones from predators, and different life stages. When the *Daphnia magna* exposed to fullerenes and fullerols are compared to the *Daphnia pulex* exposures it is clear that the D. magna fullerene and fullerol nanomaterials related expression clusters with the *D. pulex* titanium dioxide and fullerene nanomaterial exposures as well as the other chemical exposures. They are less similar to hypoxia related stress or the more biological stressors of starvation and predator presence (Figure 6). This indicates that the genomic response to nanomaterials is more similar to other chemical exposures than more natural stressors. The types of genes expressed upon nanomaterial exposure could provide an indicator of the differences in the molecular pathways instigated by nanomaterials versus other stressors.

Genomic data may not provide all answers to the impacts of nanomaterials on organisms. There is some possibility that some of the pathways expressed may be similar across nanomaterials as there are a set of key responses that cells and tissues use to respond to xenobiotics. However, as shown previously the data available through in vitro cellular studies indicates the complete expression pattern can be used to distinguish among stressors. The current issue is in deciphering the endpoints related

with the global gene expression response. In addition, it should be noted that the data generated in genomic experiments is complicated by the volume of sequences generated and in organisms where the genome has not been sequenced and annotated full interpretation of the data will be incomplete. However, overall the molecular response described by examining the co-expression of these multiple biochemical pathways in a systems approach illustrates that the response is more complicated than oxidative stress and inflammation. It also provides a more complete idea of the response of an organism to nanomaterial exposure.

Concluding remarks

Molecular biomarkers provide key data regarding the way in which nanomaterials interact with cells, tissues and organisms. Data indicate that pathways expressed in response to nanomaterials differ among different types of nanomaterials, either due to the size, core chemistry or surface chemistry of the nanomaterials. In addition, the molecular response to nanomaterials can differ depending on the dose and exposure time. Collectively, the sum of the responses across experiments indicates that although many studies focus on oxidative stress to predict toxicity, organisms respond with more than an oxidative stress response. Given the impacts of sublethal chronic exposures that will most likely occur, including studies of a diversity of pathways will be necessary to ultimately determine how nanomaterials may impact organisms in more realistic exposure scenarios. Investigating a diversity of pathways can supplement modeling efforts in predicting the potential effect of new nanomaterials as they are developed. Global gene expression profiling will become an even more useful tool as more high-

throughput approaches are explored in evaluating the environmental health and safety aspects of nanomaterials.

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697 **Table 1: Please see associated file.**

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Table 2: Biochemical Pathway Search Results

Search results returned after using a combination of pathways/processes search terms with "nanomaterials" and "toxicity" as a search terms in PubMed. This literature review uses a sub-group of studies from these results to provide data on a variety of nanomaterial types, organisms, and exposure methods.

Processes	# Results
Cell function	2,470
Oxidative stress	694
Apoptosis	574
Cell proliferation	490
Inflammation	474
Biological development	449
Lipid metabolism	327
Immune	240
Reproductive	191
Cell cycle	184
Neurotransmitter	181
Cell signaling	173
Membrane transport	139
Translation	121
Transductional signaling	91
Transcription	89
Glucose metabolism	45
DNA repair	40
P450	11
Receptor mediated response	11
Ion homeostasis	9
Muscle regulation	5

Figure Legend:

Figure 1. Differential Gene Expression. RNA from organisms exposed to two different conditions are compared to determine the impacts of exposure. The biochemical pathways triggered under each condition, represented by RNA associated with a particular gene, provide insight into the effects of exposure.

Figure 2. Nanomaterials activate different biochemical pathways in living systems.

Nanomaterial stress-related activity is associated with the alteration of genes that are involved in pathways that have cyto-protective and pro-apoptotic counterparts that ultimately act together to protect an organism. Literature indicates that nanomaterial exposure is associated with the generation of ROS and oxidative stress, and with the activation of the immune/inflammatory response. The immune system can also generate ROS as a defense against foreign material and invading pathogens, creating a cycle that further activates the immune response. In addition, there are other pathways of interest that can respond to nanomaterial exposure. These additional pathways could have a role in the stress-related response, but they could also affect biochemical pathways that have roles outside of the stress response, leading to potentially unpredictable toxicity outcomes.

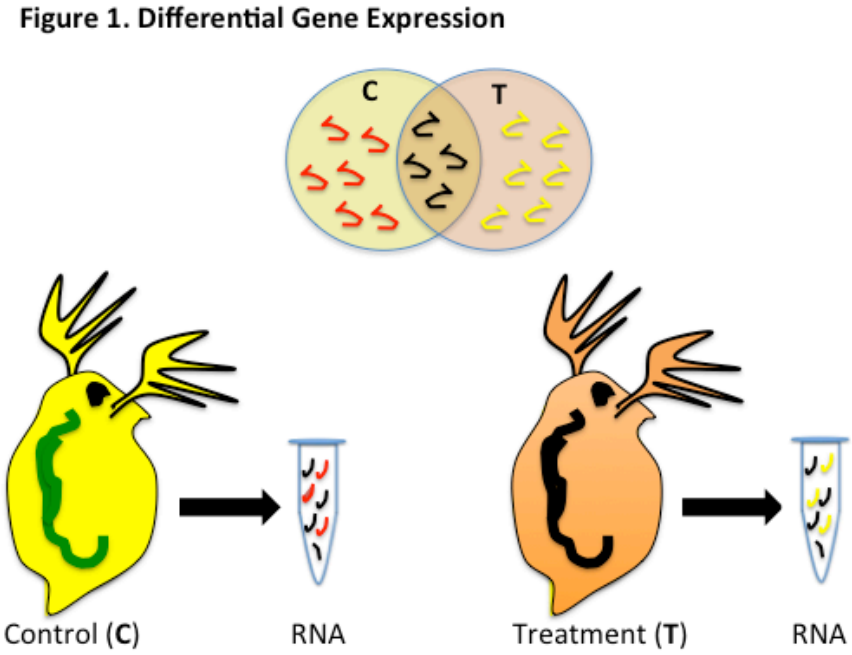
Figure 3. *Daphnia magna* is a model organism for toxicity and the interaction of the genome with the environment.

Figure 4. Differential expression of Daphnia exposed to nanomaterials. Gene expression among *D. magna* that have been exposed to fullerene or fullerol nanomaterial treatments versus control water show some overlap of the annotated genes expressed across treatments but also unique gene expression patterns in each treatment.

Figure 5. Degree of expression of key pathways differs across exposures. Gene expression as determined by the number of times a pathway is represented in sequences generated through next-generation sequencing indicates that fullerenes and fullerols differ in the degree to which key pathways are expressed in the organism. Bars represented to the left are expressed to a greater degree in C_{60} exposures and those to the right in $C_{60}(OH)_{24}$ exposures.

Figure 6. Next-generation sequencing comparison of nanomaterial exposures and gene expression libraries from other natural and xenobiotic stressors in D. magna. RNA expression patterns of dapnids exposed to nanomaterials most closely resemble those of other chemical exposures and are least like those of hypoxia, starvation and stress from exposure to predators. Bars represent the number of contig sequences that overlap with libraries of D. pulex that were exposed to a variety of stressors.

Figure 1.



760 **Figure 2**.

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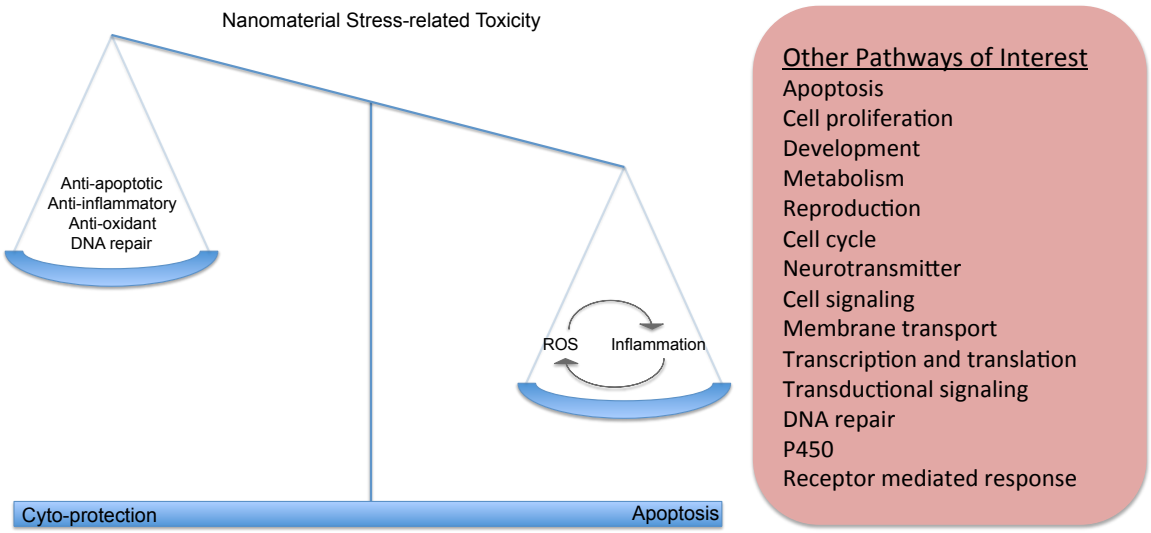

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Figure 3.

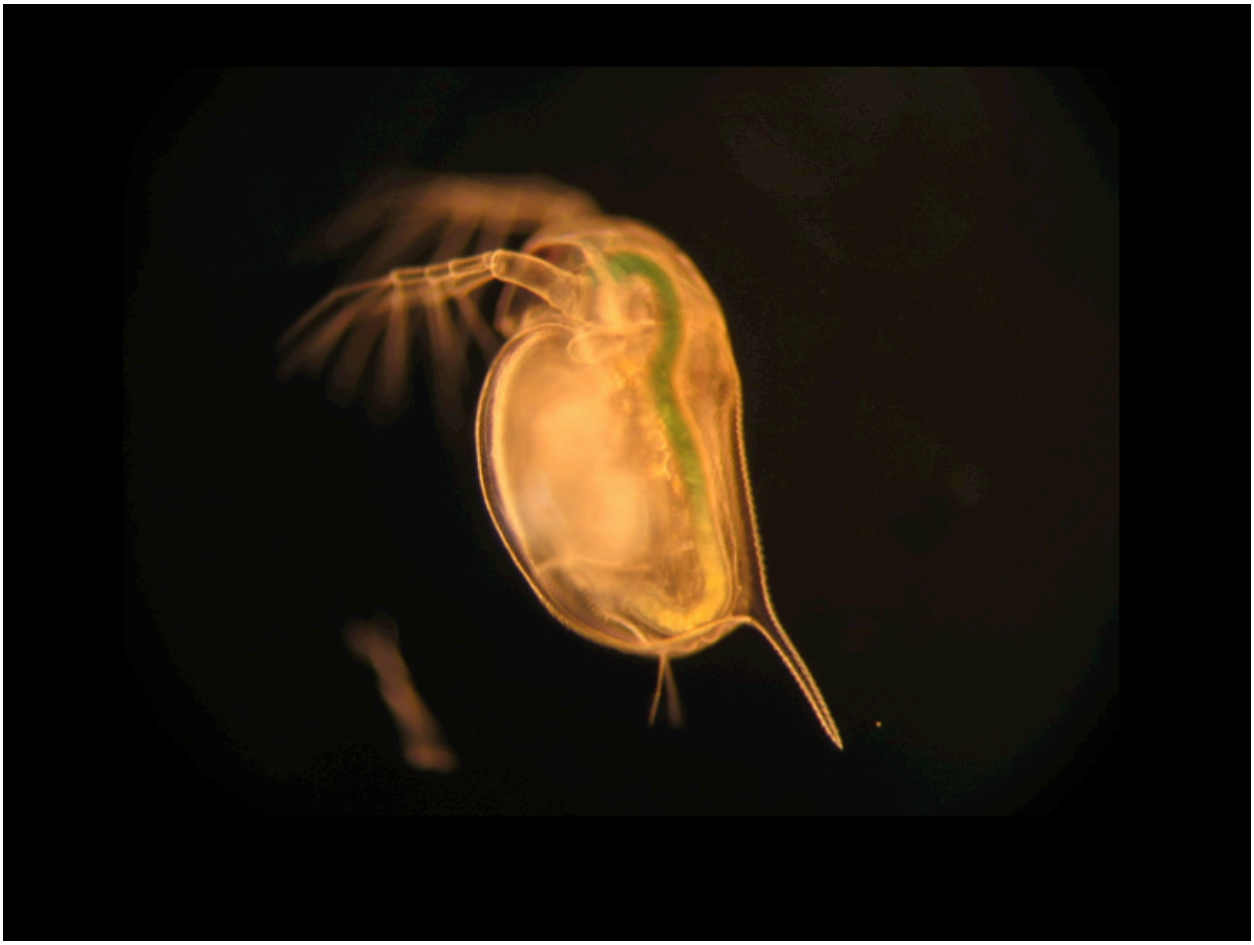

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Figure 4.

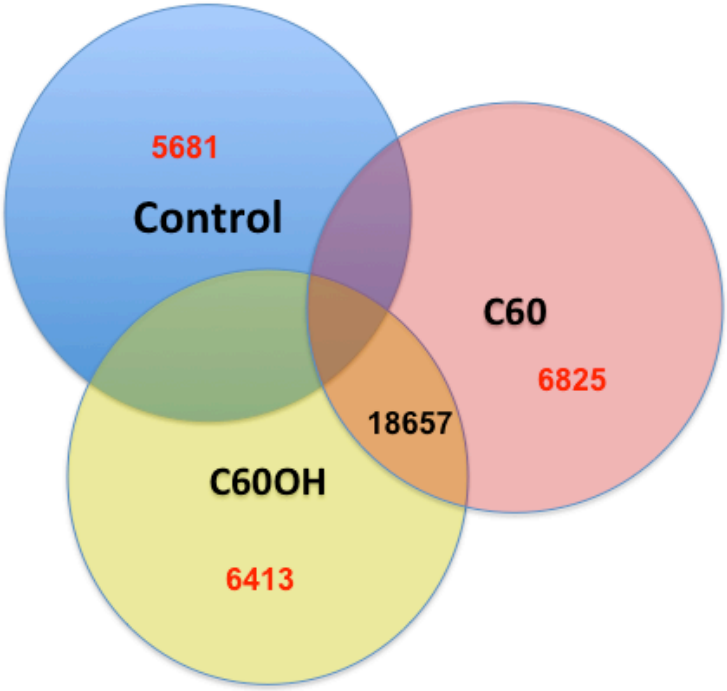

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Figure 5.

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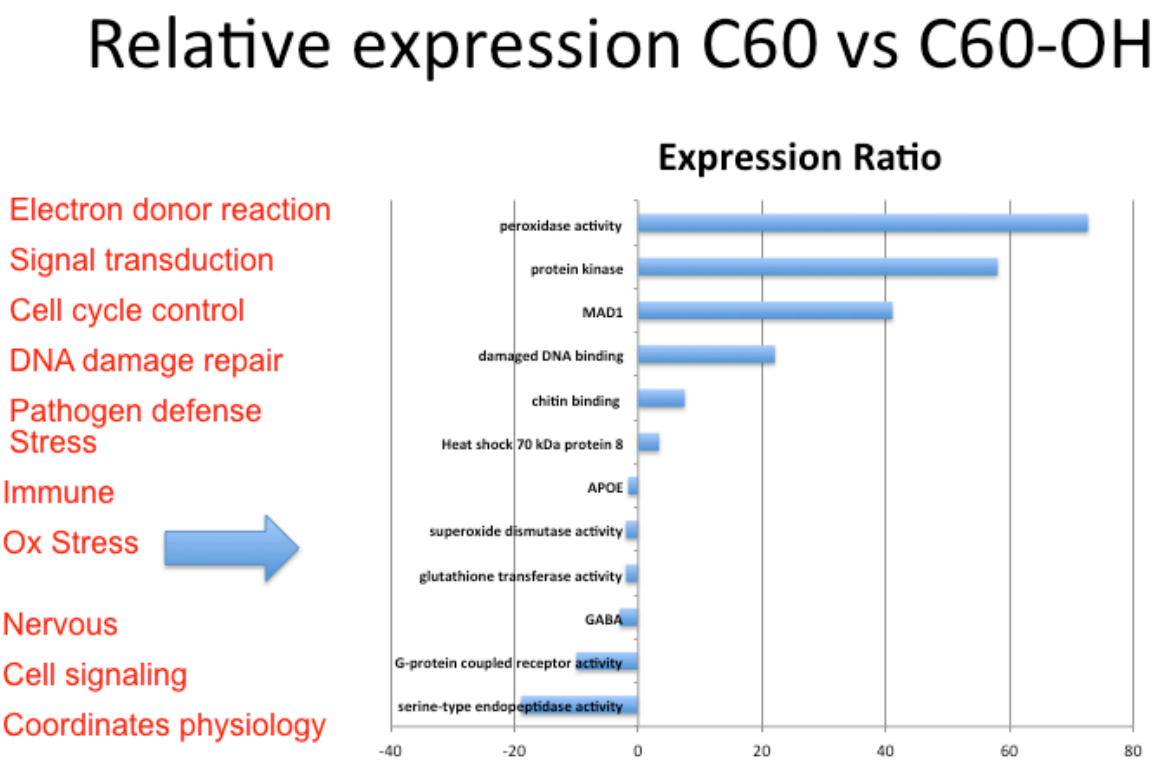

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Figure 6.

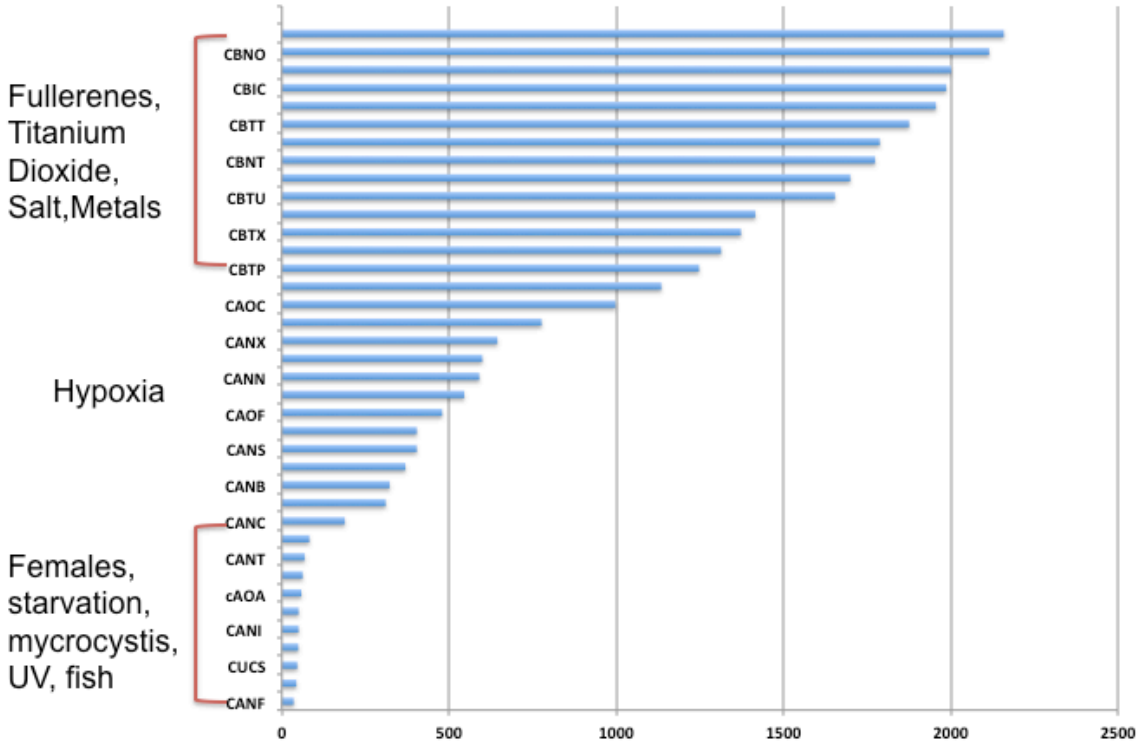


Table 1 Summary of in vivo and in vitro nanoparticle affected pathway results

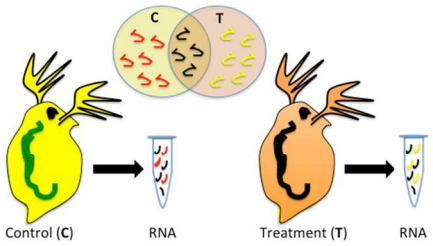
Pathways	Gene/protein	Model/cell line	Nanoparticle dimensions (nm); shape	Nanoparticle type[a]	Mode[b]	Dose	Duration[c]	Ref.
Apoptosis	TLR-2 and B-actin	C28/I2, PDL, SCC-9	56	Ag	AM	10 µg/mL	20 h	31
	p53, bax, parp-1 and smac/DIABLO, caspase-3,-7,-8 and -9	A549		Ag	AM	43 µg/mL	6 h	32
	p53, Bcl-xl, Bcl-2, NF-κB, CDkNIA, TNFSF10, TNFSR1A and GAPDH	CET	160	Al_2O_3	AM	280 µg/mL	12 h	34
	bax, bak, caspase-3, caspase-8 and GAPDH	A549, A431	17; spheres	Au	AM	48.9 (A549) and 65.2 (A431) ug/mL	24 h	75
	p53, bax, caspase-3 & caspase-9, bcl-2, GAPDH and b-actin	MCF-7	-	Au	AM	200 µg/mL	24 h	40
	Bax, caspase-3 and -8	HEPG2	5	CdTe	AM	10 µg/mL	24 h	39
	Bcl2-like 4, HyCasp3, foxO and Hsp-70	H. vulgaris	3.2	CdTe QDs	AM	10 nM	1-48 h	21
	Bax and p53	$CD14^+$	30	CeO_2	AM	5 µg/mL	20 and 40 h	37
	Akt, Procaspase-3, Bcl-2, Bax and Caspase-3	BGC-823	77; spheres	PEG-PCL-Paclitaxel/Tetrandrine	AM	0.05 and 10 µM	48 h	38
	MRP-1, LRP, bcl2 and NFkB	Y79	236	Polymer-folate-curcumin	AM	2 µg/mL	48 h	36
	Bax, caspase-3, Bcl-2 and p-p53	HUVEC	20; spheres	Si	AM	200 µg/mL	24 h	44
	p53, bax, bcl-2 and caspase-3	HEPG2	14	Si	AM	200 µg/mL	72 h	30
	Bcl-2, BclXL, Mcl-1, Bax, Bak and Bim	MM, PMB, U266	300; spheres	Si-snake venom	AM	10 ng/mL	12 h	35
	p53, cytochrome C, Bax, Bcl-2 and caspase 9	HFL-I	20 and 80	SiO_2	AM	100 mg/mL	24 h	46
	Pdai2 and ada	Mice (CD-1 ICR)	6	TiO_2	IN	10 mg/kg	90 d	26
	Birc5, Crap2 and Tfrc	Mice	6	TiO_2	IG	10 mg/kg	90 d	29
	BNIP3	BEAS-2B	14-25	ZnO	AM	5 µg/mL	24 h	28
Cell functions	adh5	Zebrafish (wild type WIK eggs)	10	Ag	AM	5 µg/L	24 and 48 h	81
	FliG, fliN, fliM, CheW, cheB, cheY, cheZ, cheR, cheA, and motB	E. coli	-	Au-DP	AM	10 mg/L	4 h	61
	CXC, CXCL9 and 1/CCL2	Mice (BALB/c)	14 and 95; spheres	Carbon Black (CB)	IN	125 ug	4 w	71

	c-Jun, Erk1/2 and p38	HEPG2	5	CdTe	AM	10 µg/ml	24 h	39
	c-Jun	SMMC-7721	20-30; hexahedrals	CeO_2	AM	50 µg/mL	24 h	55
	p-c-Jun and c-Jun	HUVEC	20; spheres	Si	AM	25-200 µg/mL	24 h	44
	sri-74, srv-7, srx-22 and srx-69	C. elegans	73, nanotubes	SWCNT	AM	500 mg/L	48 h	59
	CCL11, GM-CSF, IFN-g, CXCL1, CCL2, CCL3, CCL4, FGF-basic, MCSF and VEGF	Mice (C57BL/6)	-	TiO_2-Rutile	IT	18 54 and 162 ug	1, 3 and 28 d	20
Inflammation immune	IL-6, IL-8 and NFkB	IMR-90, U251	6-20	Ag	AM	400 µg/ml	48 h	78
	IL-1b and TNF-alpha	Rats (liver)	10 and 50; spheres and hexagons	Au	IP	22 ug/kg	1 d	22
	IL-6 and TNF-alpha	Rats (liver)	50 nm hexagons	Au	IP	22 ug/kg	1 d	22
	IL-6 and TNF-alpha	Rats (kidney)	50 nm hexagons	Au	IP	22 ug/kg	1 d	22
	1 alpha/CCL3,Il-1-beta and TNF-alfa	Mice (BALB/c)	14 and 95; spheres	CB	IN	125 ug	4 w	71
	Il1rn, Il1b, Il18pb, Il18, Ifi47, Igtp, Irf1, Irf8, RT1-A2, RT1CE12, RT1-M6-2, RT1-CE1 and RT1-M3-1	Rats	20; spheres	Cd doped Si	IT	1 mg	7 d	62
	IL-1b, TNF-a and CCL5	A549, THP-1	20	CdSe QDs-PEG-COOH	AM	2 nM	24 h	73
	p-ERK, ERK, p-JNK, JNK, p-p53 and NF-kB	HUVEC	20; spheres	Si	AM	200 µg/mL	24 h	44
	COX-2 MAPKs and P-13/Akt,JNK and ERK	Mice (CD-1 ICR)	5.5	TiO_2	IG	10 mg/kg	15, 30, 45, 60, 75, 90 d	25
	Def-b4, H2-Oa, Chi313, Alox5ap, and IL1b	Mice (CD-1 ICR)	6	TiO_2	IN	10 mg/kg	90 d	26
	Bcl6, Cfi and Cfd	Mice	6	TiO_2	IG	10 mg/kg	90 d	29
	Akr1c18	Mice (CD-1 ICR)	6	TiO_2	IG	10 mg/kg	90 d	63
	Ccl3, Ccl6, clec5a, cxcl1, Il1r2	Mice (C57BL/6)	-	TiO_2-Rutile	IA	54 ug	1 day	20

	C3, Ccl2, Ccl3, Ccl4, Ccl7, Ccl8, Ccl9, Ccl9, Ccl12, Ccl17, Ccl20, Ccl22, Ccr1, Ccr2, Ccr3, Ccr4, Ccr5, clec5a, Cxcl1, Cxcl9, Cxcl10, Cxcr2, Cxcr3, Ifng, Il10ra, Il1a, Il1b, Ilr2, Il4, Itgam, spp1, TgFb1, Timp1, Tnf, Tnfrsf1b	Mice (C57BL/6)	-	TiO_2-Rutile	IA	162 ug	1 day	20
	C3, Ccl2, Ccl3, Ccl4, Ccl9, Ccr5, Ccr8, clec5a, Cxcl5, Oas1f, spp1, Timp1, Vnn1	Mice (C57BL/6)	-	TiO_2-Rutile	IA	162 ug	28 days	20
Cell cycle/proliferation	cyclin B, cyclin E, CDC2 and CDK5R21	IMR-90, U251	6-20	Ag	AM	400 µg/ml	48 h	78
	Pim3, Cdkn1b, Ptprf, Fkbp1a, GClm, Adamts1, Ddx24, Usp7, Uba5, Rchy1	Rats	20; spheres	Cd doped Si	IT	1 mg	30 d	62
	Hymyc1	H. vulgaris	3.2	CdTe Qds	AM	10 nM	1-48 h	21
	akt-1, cbp-1, daf-2, daf-12, daf-21, mek-2 and mpk-1	C. elegans	73; nanotubes	SWCNT	AM	500 mg/L	48 h	59
Metabolism	atpD and atpA	E. coli	-	Au-DP	AM	10 mg/L	4 h	61
	fruA, fruK, nuoK , nuoG , nuoF, frdD, frdC and frdB	S. typhimurium (TA100)	71	C60	AM	2 mg/L	24 h	57
	CYP1A and POR	MSC	<50	CB	AM	50 and 100 µg/mL	24 h	27
	rnt, thiS, cysW, yciW, cysI, ilvG, cysN, and pyrB	E. coli	6-40	CeO_2	AM	100 mg/L	1 h	56
	GULP1, SLC30A8, NEGR1, SEC16B, MTCH2, MAF, MC4R,TMEM195, INSIG2, NAMPT, MTMR9, PFKP, KCTD15, LPL and GNPDA2	Adipocytes	-	FeO	AM	-	30 h	66
	cytochrome C	HFL-I	20 and 80	SiO_2	AM	100 mg/mL	24 h	46
	Cdkn1a and Cdkn1c	Mice (CD-1 ICR)	6	TiO_2	IN	10 mg/kg	90 d	26
	Cyp171a	Mice (CD-1 ICR)	6	TiO_2	IG	10 mg/kg	90 d	63
	Ch25h, Fabp3, Aldob	Mice (C57BL/6)	-	TiO_2-Rutile	IA	54 ug	28 d	20
Membrane transport	ar, tsr and tap	E. coli	-	Au-DP	AM	10 mg/L	4 h	61
	Slc25a30, Rgn, Tmlhe, Sec62, Cldn16, Ktn1	Rats	20; spheres	Cd doped Si	IT	1 mg	30 d	62
	cmr, yajr, and emrE, and dnaK	E. coli (k12)	10	TiO_2	AM	10 mg/L	2 h	24

DNA repair	recN, uvrA, ybfE, yebG, ssb, sbmc and nfo	E. coli (k12)	60	Ag	AM	10 mg/L	2 h	24
	XRCC1, XRCC3, FEN1, RED51C and RPA1	IMR-90, U251	6-20	Ag	AM	400 µg/ml	48 h	78
	recA and lexA	E. coli (k12)	10	TiO_2	AM	10 mg/L	2 h	24
Oxidative stress/general stress response	sodA, sodC, and katE	E. coli (k12)	60	Ag	AM	10 mg/L	2 h	24
	sod-3	C. elegans	<100; spheres	Ag	AM	0.1 mg/L	24 h	41
	pkm2a, pkmb2 and etv5a	Zebrafish (WT/ WIK eggs)	10	Ag	AM	5 ug/L	24 and 48 h	81
	AhpC	E coli	-	Au-DP	AM	10 mg/L	4 h	61
	CAT, GST	D. magna	100	C60-Hx, C60-OH and TiO_2	AM	7.5 (C60-Hx) 100 (C60-OH) and 7.5 mg/L (TiO_2)	24 h	17
	GSTM3 and GSR	MSC	<50	CB	AM	50 and 100 µg/mL	24 h	27
	GSH, SOD, CAT, GST, and Nrf2	HEPG2	5	CdTe	AM	10 µg/mL	24 h	39
	ERK 1/2, p38, GAPDH, MDA, SOD, GSH and CAT	SMMC-7721	20-30; hexahedrals	CeO_2	AM	50 µg/mL	24 h	55
	sod-3, hsp- 70, cyp-35A2, dnaK and katG	C. elegans	73, nanotubes	SWCNT	AM	500 mg/L	48 h	59
	Axud1, Cyp4a12a, Cyp4a12b, Cyp4a14 and Cyp2d9	Mice	6	TiO_2	IG	10 mg/kg	90 d	29
	Cryab and Alkbh7	Mice (CD-1 ICR)	6	TiO_2	IN	10 mg/kg	90 d	26
	PRDX3, PRNP and TXNRD1	BEAS-2B	14-25	ZnO	AM	5 µg/mL	24 h	28
Reproduction	CrEcR	C. riparius (larvae)	-	Ag	AM	0.2 mg/L	1-72 h	45
	chc-1, col-51, col-183, flna1, lit and par-3	C. elegans	73, nanotubes	SWCNT	AM	500 mg/L	48 h	59

The following abreviations are used in Table 2. [a]Nanoparticle/ NP functional group: QDs- quantum dots, DP- 4, 6-diamino-2-pyrimidinethiol, SWCNT- single walled carbon nanotubes, CIT- citrate, PEI- polycationic polyethylenimine, PMAO- polyanionic polymaleic anhydride-alt-1-octadecene, PVP- poly vinyl pyrrolidone, OH- hydroxylated,THF- tetrahydrofuran. [b]Mode of administration: IV- intravenous, IN- intranasal, IG- intragastric, IT- intratracheal, IP- intraperitoneal, O- oral, IH- inhalation, AM- added to media. [c]Exposure duration: h-hour, d-day, w-week.



The expression of molecular pathways in an organism provides a clue as to the potential impacts of exposure to nanomaterials.