

PAPER

[View Article Online](#)
[View Journal](#) | [View Issue](#)

Introductory lecture: origins and applications of efficient visible photoluminescence from silicon-based nanostructures

Leigh Canham 

Received 31st January 2020, Accepted 20th April 2020

DOI: 10.1039/d0fd00018c

A variety of silicon-based nanostructures with dimensions in the 1–5 nm range now emit tunable photoluminescence (PL) spanning the visible range. Achievement of high photoluminescence quantum efficiency (PLQY) relies critically on their surface chemistry passivation and an impressive “tool box” of options have been developed. Two distinct PL bands are dominant. The “S-Band” (red–green emission with *Slow* microsecond decay) has PLQY that has steadily improved from ~3% in 1990 to $65 \pm 5\%$ by 2017. The “F-Band” (blue–yellow with *Fast* nanosecond decay) has reported PLQY values that have improved from ~0.1% in 1994 to as high as ~90% by 2016. The vast literature on both bands is surveyed and for the S-band, size-structure-PL correlations and selective photo-excitation studies are highlighted. Resonant photoexcitation and single quantum dot studies have revealed the key role of quantum confinement and the excitonic phonon-assisted nature of the radiative transitions. For the F-band, in contrast, specific phenomenological studies are highlighted that demonstrate similar emission without the presence of silicon nanostructures. Low PLQY F-band emission from pure silicon–silica core shell systems is probably associated with oxide-related defects, but ultrahigh PLQY from many lower temperature synthesis routes is likely to be from carbon-based nanostructures or chromophores, not silicon nanostructures. Potential applications for both PL bands include sensing, medical imaging, theranostics, photovoltaics, LED colour converters and nano-thermometry. Emerging “green” synthesis routes are mentioned. If scalability and cost are significantly improved then a number of other proposed uses of ultra-efficient PL from “nano-Si” could become viable in cosmetics, catalysis, security and forensics.

1. Introduction

1.1. Scope of review

Nanostructuring has introduced an exciting new capability to semiconducting silicon: the ability to emit visible light very efficiently under photoexcitation

School of Physics and Astronomy, University of Birmingham, Edgbaston, Birmingham, B15 2TT, UK. E-mail: l.t. canham@bham.ac.uk



(photoluminescence (PL)). This property was quickly recognized in the early 1990s as a route to extend the functionality of an already powerful silicon technology. The topic has thus received intense study over the last 30 years, with now thousands of publications. This review focuses on the visible photoluminescence of silicon nanostructures; collating both experimental and theoretical work on most types of nanostructure; providing quantitative performance metrics, particularly on photoluminescence quantum yield (PLQY); photoluminescence wavelength tunability (PLWT) and photoluminescence decay times (PLDT).

There are two dominant PL emissions in the visible (see Fig. 1): the “Slow” (S) band in the red–yellow spectral range with long microsecond decay times (see Section 2) and the “Fast” (F) band in the blue–green with faster nanosecond decay times (see Section 3). In a given nanostructure, one or the other, or both can be present. Often, as shown in Fig. 1, the intensity of the blue emission will often increase with storage time. The origins of such behaviour have received intense study.

This review highlights many spectroscopy-based studies and selected phenomenological studies that provide insight into their likely PL mechanisms. The review also covers six application areas of efficient visible PL: sensing, photovoltaics, medical imaging, theranostics, white LEDs and nano-thermometry. It also proposes a number of ways in which the field might further progress, and other application areas that might emerge if cost and scalability issues are surmounted. There is no detailed discussion of



Fig. 1 The S-band and F-band photoluminescence of silicon-based nanostructures.¹ (a) Photographs of nanocrystal suspensions under UV illumination. Freshly etched nanocrystals: orange emission. After one day of air exposure: blue emission. (b) Evolution of S-band and F-band spectra with varying air exposure of the times indicated. Adapted with permission from A. Gupta and H. Wiggers, *Nanotechnology*, 2011, **22**, 055707. Copyright IOP Publishing, 2011. All rights reserved.



nanostructure synthesis techniques and surface chemistry manipulation, as these have already been the focus of many prior reviews that are referenced.

Data on electrical transport, electroluminescence, optical gain, and thereby optoelectronics, are also omitted in order to entirely focus here on the potential of photoluminescent properties. Such a platform property is however often combined with other crucial ones for specific uses, such as biodegradability and biocompatibility for medical applications. These additional properties are covered briefly in the relevant application sections. In summary, this review collates and discusses some of the very large amount of data now available on many types of photoluminescent silicon nanostructures and many applications thereof. It is hoped to be of use both as a broad introduction to the vast literature of this maturing field, but should also stimulate specific areas of discussion and perhaps further research in highlighted topics.

1.2. Electron–hole recombination processes in bulk silicon

When a semiconductor such as silicon is stimulated by light (photoexcitation) it may return to equilibrium by a variety of processes.² Some involve the re-emission of light (photoluminescence or fluorescence – these terms are used interchangeably in the literature on luminescent silicon nanostructures) and are so called *radiative* transitions. Others redistribute the absorbed energy amongst vibrational modes of the lattice or electronic excitations and ultimately generate heat – so-called *non-radiative* transitions.

Radiative processes in silicon include recombination from electrons and holes³ free excitons,⁴ biexcitons or excitonic molecules,^{5–7} polyexcitons,⁸ electron–hole liquids,^{9,10} bound excitons¹¹ and donor–acceptor pair luminescence.^{12–14} Free excitons will diffuse through the silicon lattice¹⁵ and can be trapped and emit light at isolated dopants,¹⁶ clusters of dopants,¹⁷ isoelectronic traps¹⁸ and sometimes near extended defects^{19–22} such as dislocations and precipitates. Such trapping can occur in the bulk and near surfaces. The majority of these radiative excitonic processes however are only observed at very low cryogenic temperatures, due to the small binding energy of the exciton. Not only that, but their efficiency is also normally low. The efficiency of a radiative process generally depends on the electronic bandstructure of the solid and for crystalline semiconductors the perfection of its lattice and surfaces, since most defects and surfaces promote non-radiative processes. Non-radiative processes²³ in silicon are dominated by Auger recombination²⁴ and recombination at “deep level” defects such as transition metal impurities in the bulk²⁵ or defects at surfaces and interfaces such as that created by ambient or thermal oxidation of silicon.²⁶

1.3. Photoluminescence of crystalline bulk silicon

It is instructive to first survey the PL of bulk Si because this reveals certain “spectroscopic fingerprints” of radiative excitonic recombination processes in this crystalline material. The fundamental indirect energy gap in crystalline bulk silicon, between the top of the valence band and lowest conduction band is 1.170 eV at 0 K, decreasing monotonically to 1.125 eV at 300 K.²⁷ Interband near infrared photoluminescence from silicon was first observed by Haynes and co-workers in the 1950s.³ At room temperature its near bandgap emission consists of a single weak band at ~1.09 eV (1134 nm) with a full width at half maximum



(FWHM) that is about 100 meV.^{3,28,29} At cryogenic temperatures recombination becomes excitonic. The exciton in bulk silicon has a Bohr radius of 4.2 nm and a binding energy of only 14 meV.³⁰ Fig. 2 shows how the spectra broaden considerably above room temperature and sharpen considerably at cryogenic temperatures, with a spectral position that follows the temperature dependence of the silicon bandgap.

At low temperatures (<40 K) and in pure silicon, free exciton (FE) recombination totally dominates over bound exciton (BE) recombination (Fig. 3). Transition linewidths are now narrow enough to resolve fine structures corresponding to the TA and TO phonons as shown in Fig. 2 and 3. Two phonon replicas are very weak. Note the extremely weak “zero-phonon” peak I^0 near 1.16 eV in Fig. 3 where electron and hole recombine without a momentum conserving phonon. The spacings of the features I^{TA} and I^{TO} from I^0 in the spectrum are determined by the corresponding phonon energies. Currently accepted values for bulk silicon are: TA phonon = 18.4 ± 0.2 meV; LO phonon 56.2 ± 1 meV; TO phonon = 58.0 ± 1 meV. The relative ratios of the phonon-assisted peaks are temperature dependent but the TO mode is dominant with approximate values in Fig. 3 being 1 [TO] : 0.1 [LO] : 0.03 [TA].³¹

Concerning defect-related emission let us first consider the simplest point defects: substitutional donors, like P, As and Sb; interstitial donors like Li; and substitutional acceptors like B, Al, Ga and In. Free excitons migrating through the lattice can bind to the neutral donor or acceptor and binding energies lie in the range 3–50 meV.³¹ When the exciton is localised on the impurity, there is a relaxation of the wave vector selection rule so the zero phonon line is thus much stronger for bound excitons (*e.g.* In^0 in Fig. 4) than for free excitons (*e.g.* I^0 in Fig. 3).



Fig. 2 Normalized interband PL from bulk Si at 35–674 K.²⁹ BE stands for bound exciton, FE for free exciton (see text). Reprinted with permission from V. Alex, S. Finkbeiner and J. Weber, *J. Appl. Phys.*, 1996, **79**, 6943. Copyright AIP Publishing.



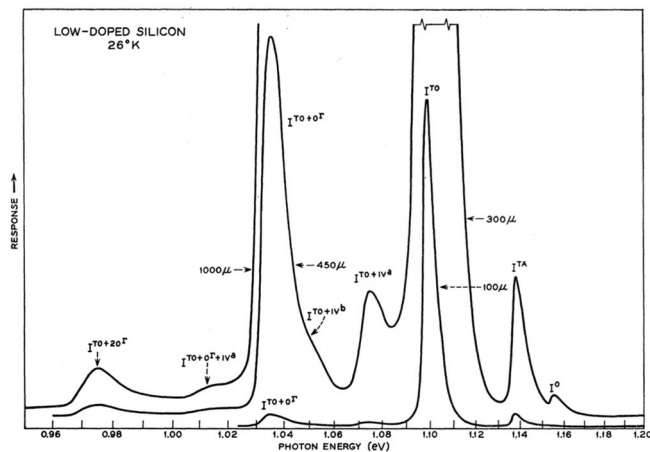


Fig. 3 Intrinsic free exciton PL from high purity silicon at 26 K.¹⁶ The LO and TO phonon components are not resolved. Reprinted with permission from P. J. Dean, J. R. Haynes and W. F. Flood, *Phys. Rev.*, **161**, 711–729. Copyright the American Physical Society, 1967.

Rapid progress in identifying many radiative defects began in the late 1970s when Ge photodetectors became available and were orders of magnitude more sensitive than PbS detectors in the near infrared.³¹ The huge increase in resolution enabled isotope shifts in sharp zero phonon line transitions to be studied, thereby unambiguously identifying which impurities were involved in specific vibronic band emission. When combined with uniaxial stress measurements to elucidate the defect symmetry, and correlations with other spectroscopic techniques, detailed models of radiative point defects emerged.³¹

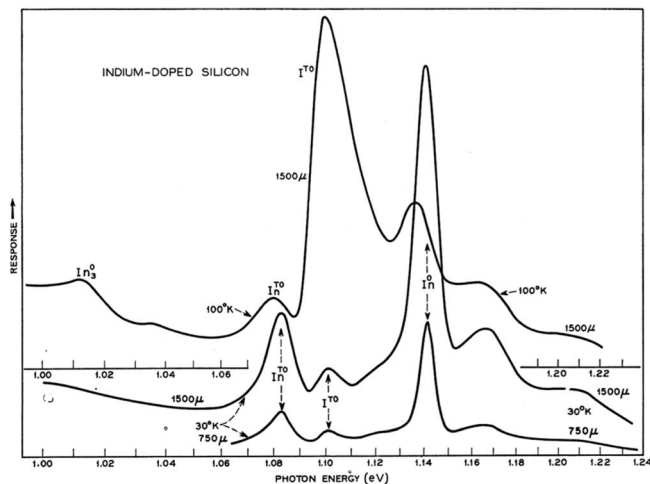


Fig. 4 30–100 K PL due to bound exciton recombination at indium atoms.¹⁶ Reprinted with permission from P. J. Dean, J. R. Haynes and W. F. Flood, *Phys. Rev.*, **161**, 711–729, 1967. Copyright the American Physical Society, 1967.

Unfortunately, some luminescent bulk silicon crystals also display broad featureless bands at sub-bandgap wavelengths^{19,21,32} providing a challenge for identification that researchers of today's silicon nanostructures are more than well aware. Fig. 5 shows an example of a broad and featureless band at 0.94 eV attributed to extended defects (hydrogen platelets) in bulk silicon.²¹

1.4. Surface passivation chemistries for bulk silicon

Surface or interface “passivation” refers to the removal of electrically or optically active defects and has become increasingly important in silicon solar cell technology due to the cost-driven reduction of cell thickness and corresponding increase in surface to volume ratio. There has been an associated significant effort in understanding the origin of, and minimizing, such non-radiative recombination. That literature is utilized here to identify some of the most useful passivation chemistries that could be applied to luminescent silicon nanostructures, and indeed many, but not all, have already been used. Given that nanostructures have huge surface areas, the importance of minimizing non-radiative surface recombination becomes of paramount importance if high PLQY is to be realized.

Surfaces disrupt the band structure, creating energy states in the bandgap *via* strained or un-terminated (“dangling”) bonds. These states can capture electrons or holes with capture velocities S_{n0} and S_{p0} that are governed by the product of carrier diffusion, capture cross-section and defect density, D_{it} . A surface will have different steady-state carrier concentrations (n_s, p_s) of electrons and holes to those in the bulk, and different to the intrinsic carrier concentration (n_i).

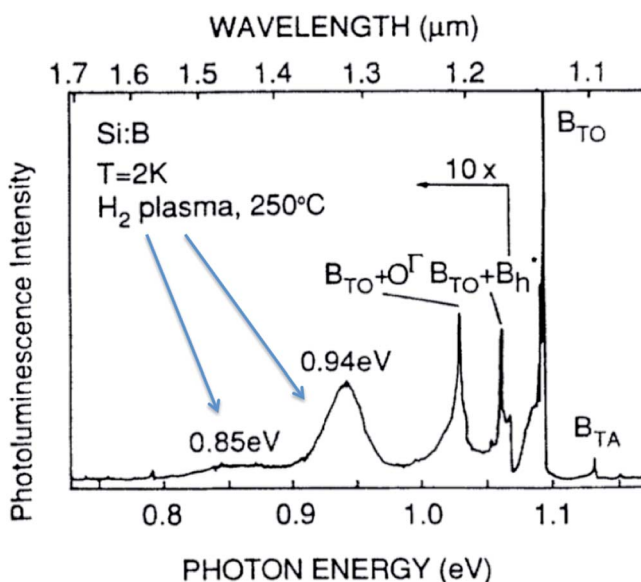


Fig. 5 Low temperature PL from plasma etched bulk silicon with high levels of hydrogen.²¹ Reprinted and adapted with permission from H. Weman, B. Monemar, G. S. Oehrlein and S. J. Jeng, *Phys. Rev. B*, **42**, 3109–3112, 1990. Copyright the American Physical Society, 1990.



The total recombination at a surface can be characterized by an effective “surface recombination velocity (SRV)” that *quantitatively* assesses surface or interface passivation:

$$S_{\text{eff}} = (1/\Delta n_d) \times (n_s p_s - n_i^2) / ((n_s + n_i)/S_{p0} + (p_s + n_i)/S_{n0}) \quad (1)$$

where Δn_d is the excess minority carrier concentration that dominates overall recombination rates.³³ What eqn (1) nicely illustrates are the two complementary techniques that have been investigated for reducing surface recombination in bulk silicon. One is “chemical” in the sense of new bonding arrangements of surface dielectric films or organic monolayers and chemical species like hydrogen. These can lower capture rates by having fewer bandgap states (defects) or lowering the capture cross sections of existing defects. They therefore lower the carrier capture velocities S_{p0} and S_{n0} . The other is “physical” in the sense of utilizing surface charge and thereby electric fields to lower the concentration of minority carriers at the surface or interface. This can be achieved by having a raised charge density at the surface or within the interfacial layer that acts to reduce the concentration of one type of carrier at the surface, where most defects reside. They therefore lower n_s or p_s or change their ratio. The lowest values of SRV for bulk silicon have been achieved by utilizing *both* techniques.³³ Table 1 shows SRV values for a range of bulk Si surface and interface chemistries.

Some observations from surveying this field and the data in Table 1:

Table 1 SRV values for a range of bulk Si surface and interface chemistries

Si structure	Surface chemistry	Passivation method	SRV (cm s ⁻¹)	References
Bulk Si (111)	Silicon hydride	<i>In situ</i> in HF and other acids	<1 (0.25)	(Yablonovitch 1986) ³⁴
Bulk Si	Silicon hydride	HF-based cleans	<0.05 → 0.1	(Sun 2018) ³⁵
Bulk Si (111)	Native oxide	HF and air exposure (24 days)	>1000	(Nemanik 2006) ³⁶
Bulk Si (100)	Thermal oxide	Annealed silica	<1	(Kerr 2002) ³⁷
Bulk Si	Thermal oxide	Charged annealed silica	<1 (0.44)	(Collet 2017) ³⁸
Bulk Si	Silicon nitride	PECVD	<1 (0.67)	(Wan 2013) ³⁹
Bulk Si	Alumina	Plasma ALD	2–6	(Hoex 2008) ⁴⁰
Bulk Si	Amorphous Si	PECVD a-Si/SiO _x /SiN _x	<1 (0.06)	(Bonilla 2016) ⁴¹
Bulk Si (111)	Alkyl groups	Chloro-alkylation	<25	(Royea 2000) ⁴²
Bulk Si (111)	Methyl, ethyl, propyl, butyl, phenyl	Chloro-alkylation and 24 days in air	<100 (44–80)	(Nemanik 2006) ³⁶
Bulk Si	Superacid	HF-dip coating	3–10	(Bullock 2016) ⁴³
Bulk Si (100)	Superacid	Pre-clean/etches-SA Soln. Coating	0.3–3	(Grant 2017) ⁴⁴
Bulk Si (100)	Nafion	Spin coating	1.5	(Chen 2018) ⁴⁵



- Hydride passivation is effective but susceptible to native oxide growth which can seriously raise non-radiative rates.³⁶
- Organic monolayers with Si–C bonding are also effective, but passivation also deteriorates with extended air exposure.³⁶
- SRV values below 1 cm s^{-1} are achievable at bulk Si interfaces with optimized a-Si, thermal oxides and nitride coatings. Alumina, titania and silicon carbide films can also passivate silicon surfaces but SRV values are generally a little higher.
- Interface densities are much lower for atomically smooth interfaces.⁴⁶
- Surface/interface charging has not been explored thoroughly *versus* PLQY of nanostructures.
- Superacids and Nafion can provide very low SRV, at least with bulk Si of moderate surface areas.^{43–45} They are yet to be explored with silicon nanostructures.
- SRV values are generally much higher for nanostructured silicon (see Section 5.1).

1.5. Luminescent Si nanostructure diversification

The easily reproduced demonstration of efficient⁴⁷ and wavelength-tunable^{47,48} visible emission from porous silicon and silicon nanocrystals around 1990 led to a wide range of silicon nanostructures being explored, together with a variety of synthesis techniques. Fig. 6 illustrates many of these schematically. Each class of nanostructure is at least mentioned in this review, but with emphasis that very much reflects their respective levels of study and development, and also their success in achieving high photoluminescence efficiency.

A variety of different terms for luminescent silicon nanostructures are used in the literature, so we will start by mentioning them here. The terms silicon “quantum” wells, wires, and dots are the smallest 1D, 2D and 3D confined crystalline silicon structures, respectively, with diameters below that of the Bohr exciton radius ($\sim 4\text{ nm}$) in bulk silicon (see Section 1.3). Such structures are expected to have bandstructures that are size-dependent due to quantum confinement effects as discussed in Section 1.6. Silicon “nanoparticles”, nanowires, nanopillars or nanorods can often, but not always, have larger diameters in the range 5–100 nm. These can exhibit carrier confinement effects on PL but their bandstructure is similar to that of bulk Si. In the literature both terms are used interchangeably. “Nanoclusters”, for example, often denote the tiniest structures



Fig. 6 Example classes of silicon nanostructures.



consisting of under 50 atoms. Likewise, “nanosheets” usually refer to free-standing layers of, at most, a few atoms thickness.

The experimental literature is currently dominated by luminescent silicon nanocrystals, porous silicon, silicon nanowires and silicon superlattices, in that order. The theoretical literature is dominated by calculations of silicon quantum wires and silicon quantum dots. Other silicon nanostructures have received some, but not substantial development. Examples of silicon nanostructures where efficient visible luminescence at 300 K is either not reported or not quantified to date include silicon nanoribbons,⁴⁹ nanoshells and nanotubes,⁵⁰ nanosheets,^{51–54} molecular clusters,⁵⁵ allotropes⁵⁶ and metastable polymorphs.⁵⁷

1.6. Theory of quantum confinement effects in Si nanostructures

Theoretical studies of how the silicon bandstructure evolves with size and shape in the critical 1–10 nm size range began in the early 1990s and there is now a substantial body of work. I am not aware of a prior review that tries to comprehensively analyze the considerable theoretical literature on silicon nanostructures and will not attempt to here. Numerous publications are provided here and a few concepts and trends are selected where there is a consensus with respect to issues that affect PLQY, PLWT and PLDT of silicon nanostructures.

Starting with the earliest calculations in 1992–1993 (ref. 58–60) and many others, theoretical work has continuously grown over the last 30 years, due to a myriad of potential applications for *nano-Si*, many of which do not utilize photoluminescence. Studies are grouped in Table 2, not by calculation technique, but by nanostructure morphology and surface/interface chemistry. The aim is to help readers access the available theory most relevant to their specific luminescent nanostructures. A large number of theoretical studies have focused on silicon quantum wires, but the literature on quantum dots is growing. Luminescent porous silicon has been modelled as idealized quantum wires and quantum dots or by introducing an artificial periodicity of holes *via* supercell techniques.¹⁰⁹ In reality, morphology is variable but often of mixed 2–3D confinement due to undulating wire thickness (see Section 2.1) combined with other geometrical shape variations.¹¹⁰

Surveying the theoretical data of Table 2 there would appear to be a consensus that:

Table 2 Theoretical literature on different classes of model Si nanostructures

Model nanostructure morphology	Surface chemistry	Size range studied	References
Quantum slabs (free-standing)	Si-H _x	0.3–6.0 nm	61–64
Quantum wells (embedded)	Si-SiO _x , Si-CaF ₂	0.3–6.0 nm	65–69
Quantum wires (free-standing)	Si-H _x	0.8–3.0 nm	70–85
Quantum wires (core-shell)	Si-SiO _x	1.0–3.0 nm core	86–88
Quantum wires	Si-CH ₃	1.0–3.0 nm	89
Quantum dots	Si-H _x	1.0–5.0 nm	74 and 90–94
Quantum dots	Si-SiO _x	1.0–5.0 nm	95–99
Quantum dots	Si-organic ligands	1.0–5.0 nm	100–106
Ultrasmall Si clusters	Si-H _x	1–50 atoms	107 and 108



• The silicon bandgap starts to widen significantly for quantum wells (slabs/nanosheets) with widths under 2 nm, quantum wires with diameters under 3 nm and quantum dots with diameters under 5 nm.

• In principle the entire visible range is accessible for quantum dots and wires, with bandgap tunability extending from 1 eV to 3 eV.

• Exciton binding energy is significantly enhanced due to quantum confinement reaching values as high as a few hundred meV for 1.5–3 nm diameter quantum dots or 1–2 nm diameter quantum wires.

• Surface chemistry significantly affects the bandstructure of smaller nanostructures, *e.g.* oxidation narrows the bandgap of hydride passivated nanostructures.

Table 3 provides some theoretical data for hydride passivated silicon quantum dots, quantifying how the bandgap and strength of exciton binding increase dramatically with decreasing size for 1–5 nm nanocrystals. Note how the predicted radiative recombination rates however, only become comparable with those of direct bandgap semiconductors for the smallest silicon nanocrystals around 1 nm diameter.

Aside from size, shape and surface chemistry, there are other factors that affect bandstructure and therefore PL. There have, for example, been a series of theoretical effects investigating the roles of strain.^{116–119} For quantum wires, crystallographic orientation also has a significant effect on bandstructure.^{75,77,83,120}

1.7. PL quantum yield (PLQY)

For any photoluminescent material or molecular species the efficiency of its radiative processes or “photoluminescence quantum yield” (PLQY) of its luminescence is an important quantitative property that will impact on its likely use. The PLQY depends on direct competition between the specific radiative process and all non-radiative processes:

Table 3 Theoretical estimates of bandgaps, exciton binding energies and radiative recombination rates for 1–5 nm diameter silicon nanocrystals (quantum dots) with hydride passivation

Size	1 nm	1.5 nm	2.0 nm	2.5 nm	3.0 nm	3.5 nm	4.0 nm	5.0 nm	References
Number of silicon atoms	29	87	191	389	705	1087	>1500	>3000	111
Number of hydrogen surface atoms	36	76	148	254	300	436	>500	>750	112
Excitonic bandgap (eV) (nm)	>3.5, <354, UV	>2.5, <496, blue	2.2, 563, green	1.9, 653, red	1.7, 729, red	1.6, 775, near IR	1.5, 827, near IR	1.35, 918, near IR	112
Exciton binding energy (meV)			~400	~300	200	160	125	95	113 and 114
Radiative recombination rate (s ⁻¹)	>10 ⁷	~10 ⁶	~10 ⁵	~10 ⁴	<10 ⁴				115



$$\text{PLQY (\%)} = \text{photons emitted/photons absorbed} = k_r/(k_r + \Sigma k_{nr}) \quad (2)$$

where k_r is the radiative recombination rate and k_{nr} the non-radiative rate.

Measurement of both PLQY η and luminescence decay time τ_{PL} enables one to separate the radiative and non-radiative lifetimes of excited states using the simple relation

$$\text{PLQY} = \eta = \tau_{\text{PL}}/\tau_r = 1/\tau_r/(1/\tau_r + 1/\tau_{nr}) \quad (3)$$

The intrinsic radiative recombination coefficient k_r of bulk c-Si has been estimated to be $2 \times 10^{-15} \text{ cm}^3 \text{ s}^{-1}$ which is about 100 000 times lower than direct bandgap semiconductors like GaAs. Absolute quantum efficiency estimates of interband recombination (see Fig. 2) in standard silicon crystals (wafers) with native oxide surfaces (see Table 1) typically range from 10^{-6} to 10^{-4} . However, eqn (3) emphasizes that if *all* non-radiative processes can be virtually removed, then PLQY can be quite high. This has been elegantly demonstrated by solar cell specialists (Green and co-workers). In 2003 they showed that in the highest purity float zone silicon with optimized surface passivation, PLQY of near infrared emission could be as high as $6.1 \pm 0.9\%$ at 300 K.¹²¹

Accurate measurement of PLQY is not as straightforward as measuring PL decay times and a number of different protocols exist, but they can be divided into *comparative* ones that utilize photoluminescence standards of known PLQY and *absolute* ones that directly measure the fraction of absorbed photons that are emitted as photoluminescence or the fraction of photons lost by non-radiative recombination. The latter use integrating spheres to collect all emitted light, or use photoacoustic/calorimetric methods to quantify heat production. Methodology can depend on the physical form of the photoluminescent material (*e.g.*, film *versus* dry powder *versus* colloidal solution) and the spectral positions of both excitation and emission. A number of important reviews are available that detail the theory, methodologies, standards, and sources of error involved.^{122–127} Of particular relevance here is the review by Valenta on PLQY measurements of nanomaterials.¹²⁷

For comparative methods, the most established standards are quinine sulphate in 0.5 M sulphuric acid, fluorescein in 0.1 M NaOH and rhodamine 6G in ethanol with peak emissions at 451, 515 and 552 nm, respectively. Their PLQY accuracy is better than 4% under well-defined conditions.¹²⁴ These standards are well suited to the spectral positions of the F-band (see Section 3).

The physical form of silicon nanostructures can have a pronounced effect on PLQY. For example, for thin solid films light entrapment effects due to total internal reflections and Fresnel transmission at the film–air interface can dramatically lower PLQY, even if the internal quantum efficiency (IQE) is very high. A light extraction efficiency Ex_{PL} for a thin film on an absorbing substrate has been estimated.¹²⁸ The escape probability of emitted light is strongly dependent on refractive index:

$$\text{Ex}_{\text{PL}} = 1/[n_c(n_c + 1)^2] \quad (4)$$

So, for example, with a solid silicon film of refractive index 3.5 only 1.4% of light escapes directly from the top surface. For silicon nanocrystals embedded in



silica films with a refractive index of 1.64, only 8.7% escapes internal reflections. For porous silicon nanostructures, PLQY and IQE values start to get closer as refractive index is lowered. High PLQY pSi structures have porosities in the range 70–90% with refractive indices as low as 1.1. Now more than 20% of light emitted internally can directly escape, lowering its self-absorption. Forming luminescent nanocomposites will often normally lower the perceived brightness due to refractive indices then being higher again.

Table 4 collates the highest PLQY values reported to date for all types of silicon nanostructure. It is clear that the highest PLQY values have been obtained in colloidal quantum dots, quantum dot-based superlattices and porous silicon and so these materials are given more consideration in this review. Amorphous nanoparticles have much lower PLQY than crystalline ones and are therefore not discussed. Molecular size clusters and *size-dependent* PL from ultrathin quantum wells have not had PLQY quantified to date. Nanotube studies have not reported visible PL.

2. S-Band photoluminescence

2.1. Porous Si (2D to 3D confinement)

Historically, relatively efficient (~3%) S-band emission at room temperature was first observed in high porosity silicon⁴⁷ which is why we first consider this nanostructure, despite its complex range of morphologies which make theoretical modelling a challenge. Freshly etched red-emitting high porosity silicon was found to contain “undulating” quantum wires¹⁴⁷ of below 3 nm average width (Fig. 7b).

Efficient S-band emissions are also evident in material where hydride passivation is replaced by native oxides,^{137,149} anodic oxides,¹⁵⁰ high temperature oxides³⁰ and organic monolayers.¹⁵¹ All these surface chemistries, with the notable exception of native oxides in some cases (see Table 1) produce low levels of non-radiative surface recombination in bulk Si. In oxide-free material tuning from the near infrared to the blue spectral region (1300 to 400 nm) has been achieved, as shown in Fig. 7a.^{148,152} In oxidized material, from the near infrared to the yellow (1300 to 590 nm).¹⁴⁸ Typical PL lifetimes (see Table 5) are in the microsecond range but depend on wavelength and surface passivation quality (SRV) (see eqn (3)).

The data in Table 5 shows variability in PLDT at given wavelengths, due to differing silicon skeleton morphology and interconnectivity, levels of polycrystallinity and surface passivation. Nonetheless, the longest PLDT values of Gelloz 2005 probably reflect primarily the lowest SRV values obtained to date by their wet oxide passivation technique.

2.2. Single quantum wells and nanosheets (1D confinement)

Takahashi *et al.*¹⁵⁷ and Saeta *et al.*¹⁵⁸ were the first to report visible emission from single 2D crystalline silicon structures. Both groups used SIMOX wafers, thinning the silicon layer with high precision *via* thermal oxidation. A red and near infrared emission band (1.65 eV) was observed with an intensity dependent on the well thickness but spectral position varying little. No luminescence was observed unless the quantum well thickness was less than 5–8 nm. PLQY peaked at ~2 nm





Table 4 Si nanostructure classes with the highest PL efficiencies (PLQY). For data on the F-band there is considerable uncertainty over the origin of the emission and specifically whether it arises from silicon nanostructures and question marks are included in the table to emphasize this (see Section 3)

Class of nanostructure	Structure details	PL peak position	PL efficiency (PLQY at 300 K) and PL band (F or S)	References
Si QDs (?)	4 nm NPs and fluorescein ligands in colloidal solution	522 nm, 2.38 eV	90% (F)	(Zhong 2019) ¹²⁹
Si QDs (?)	5 nm NPs and arylamine ligands in colloidal solution	555 nm, 2.23 eV	90% (F)	(Li 2016) ¹³⁰
Porous silicon nanoparticles	3 nm alkyl-Si NCs in colloidal solution	740 nm, 1.87 eV	68 ± 5% (S)	(Yuan 2017) ¹³¹
Si QDs	3 nm alkyl-Si NCs in colloidal solution	638 nm, 1.94 eV	66 ± 8% (S)	(Yang 2015) ¹³²
Si QD-based nanocomposites	4.5 nm alkyl-Si NC in polymer matrix	775 nm, 1.6 eV	65 ± 5% (S)	(Martinis 2017) ¹³³
Si QDs	5 nm methyl-Si NC colloidal solution	775 nm, 1.6 eV	65 ± 6% (S)	(Sangghaleh 2015) ¹³⁴
Si QDs	4 nm octadecyne-Si NC colloidal solution	789 nm, 1.57 eV	62 ± 11% (S)	(Jurbergs 2006) ¹³⁵
Si-QD based superlattices	Embedded 4.5 nm NC with 3 nm silica barriers	992 nm, 1.25 eV	50% (S)	(Valenta 2019) ¹³⁶
Porous Si microparticles	SCD pSi with <3 nm interlinked NCs and 1150 m ² g ⁻¹	685 nm, 1.81 eV	32% (S)	(Joo 2016) ¹³⁷
Si nanorods (?)	~20 nm wide NWs	500 nm, 2.48 eV	15% (F)	(Song 2016) ¹³⁸
Si nanosheets (?)	Buckled silicon monolayers	500 nm, 2.48 eV	9% (F)	(Ryan 2019) ¹³⁹
Porous Si nanowires	20–200 nm wide NWs with interlinked 3 nm NCs	500 nm, 2.48 eV	5% (S)	(Gonchar 2012) ¹⁴⁰
Solid Si quantum wires	3–4 nm wide NWs	740 nm, 1.68 eV	5% (S)	(Lu 2013) ¹⁴¹
a-Si:O _x QDs	Embedded 2 nm NPs in oxide	730 nm, 1.70 eV	4.3% (S)	(Goni 2014) ¹⁴²
a-Si:H QDs	Embedded 3–5 nm NPs	600 nm, 2.07 eV	1–4% (S)	(Anthony 2009) ¹⁴³
Solid Si quantum wires	5 nm Si NWs	850 nm, 1.46 eV	<2% (S)	(Irrera 2012) ¹⁴⁴
Si quantum wells	1.1–2.7 nm layers with silica barriers	750 nm, 1.65 eV	0.5% (S)	(Cho 2007) ¹⁴⁵
Si molecular clusters	Octasilacubane (Si ₈)-butyldimethylsilyl crystal	700 nm, 1.77 eV, 912 nm, 1.36 eV	—	(Kanemitsu 1995) ⁵⁵
Si nanosheet	Si ₆ H ₆ sheets in polystyrene	751 nm, 1.65 eV	—	(Helbich 2016) ¹⁴⁶
nanocomposite	—	510 nm, 2.43 eV	—	(Tang 2005) ⁵⁰
Solid Si nanotubes	3–7 nm c-Si tubular walls	—	—	—

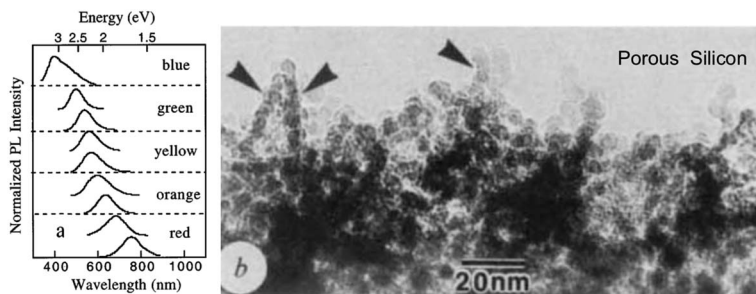


Fig. 7 (a) S-band PL tuning via porosity of hydride passivated porous silicon.¹⁴⁸ Reprinted and adapted with permission from M. V. Wolkin, J. Jorne, P. M. Fauchet, G. Allan and C. Delerue, *Phys. Rev. Lett.*, **82**(1), 197–200, 1999. Copyright 1999, the American Physical Society. (b) Complex morphology of photoluminescent porous silicon of 78% porosity.¹⁴⁷ Adapted with permission from A. G. Cullis and L. T. Canham, *Nature*, **353**, 335–337, 1991. Copyright 1991, Nature Publishing Group

Table 5 Experimental S-band decay times (PLDT) in porous silicon versus wavelength/energy for hydride or oxide passivation. SCD: SuperCritically Dried, HP: High Pressure

Wavelength (nm)	Energy (eV)	Decay					
		Decay time (μs)	Decay time (μs)	time (μs)	Decay time (μs)	Decay time (μs)	Decay time (μs)
Surface passivation		Native oxide	Native oxide	Hydride	Native oxide	SCD native oxide	HP wet oxide
References		153	154	152	155	137	156
800	1.55		10	20	50	65	100
700	1.77	9	10	12	30	42	50
600	2.07	2.5	5	9	12	20	20
550	2.25	1.5	1	3			10
500	2.48			0.7			2.5
450	2.76			0.1			0.2
400	3.10			0.02			

thickness but was very low at 10^{-4} .^{158,159} Okamoto *et al.*¹⁶⁰ reported 2 K PL from SIMOX-derived quantum wells of only 0.6 and 1.6 nm. Emission was again at 1.66 eV. Pauc *et al.*¹⁶¹ studied PL at 6 K from SIMOX derived wells of 3.9 down to 0.6 nm. A 1.6 eV band appeared for the narrowest wells with an estimated PLQY of only 0.1% at 6 K.

The first really size-tunable emission was achieved by Green and co-workers.¹⁶² They used ELTRAN structures with superior defect densities ($<50 \text{ cm}^{-2}$), atomically flat interfaces and lower SRVs. Fig. 8 shows TEM data and the 650–950 nm PL spectra as a function of well thickness over the range 1.1–2.7 nm. Their data on processed SIMOX wafers also reported an emission whose peak wavelength was insensitive to QW thickness but correlated instead with oxide thickness. Zhu *et al.*¹⁶³ studied (110) SIMOX wafers with ~ 1 –4.4 nm thick wells. Both QC and interface effects were discussed in relation to their 750–800 nm emission. Wagner



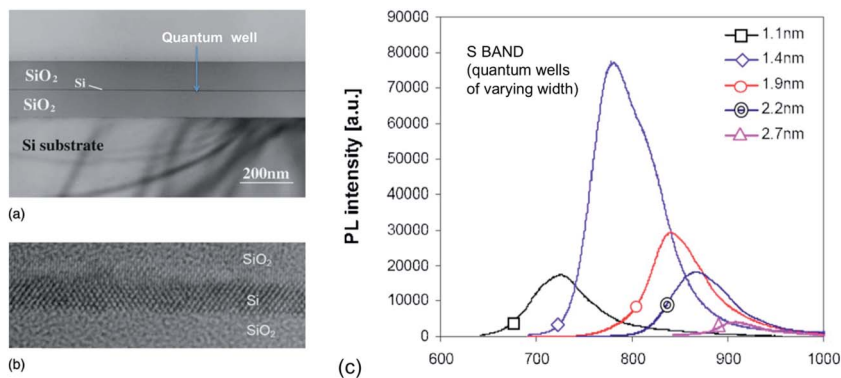


Fig. 8 Single silicon quantum well and associated S-band PL for varying well thickness.¹⁶² Reprinted and adapted with permission from E. C. Cho, M. A. Green, J. Xia, R. Corkish, P. Reece, M. Gal and S. H. Lee. *J. Appl. Phys.*, 2007, **101**, 024321. Copyright AIP Publishing.

*et al.*⁶⁸ also achieved size dependent 75 K emissions over 1.6 to 1.2 eV for 1–4 nm well widths.

2.3. Superlattices and multilayers (1D to 3D confinement)

Multilayer and superlattice structures have primarily been based on silica passivation,^{164–171} but photoluminescent lattice matched Si/CaF₂ superlattices^{172–174} have also been achieved. Lockwood and co-workers^{164,165} first reported a photoluminescent MBE grown Si/SiO₂ superlattice. The 6 period structure had 1.0–5.4 nm thick Si quantum wells with 1 nm thick oxide barriers. Visible emission was only observed for wells below 3 nm. PL peak energies were tunable over the 1.7–2.3 eV range but PLQY values were not given. A major advance was made by the Zacharias group in a series of



Fig. 9 Superlattice-based nanocrystals and their S-band photoluminescence.¹⁷¹ Reprinted and adapted with permission from M. Zacharias, J. Heitmann, R. Scholz, U. Kahler, M. Schmidt and J. Blasing, *Appl. Phys. Lett.*, 2002, **80**(4), 661–663. Copyright AIP Publishing.



papers^{171,175,176} using superlattices to exploit 3D rather than 1D confinement. They also dramatically raised PLQY *via* improved interface passivation, size control and nanocrystal density. Fig. 9 shows a typical structure and the tunability of the PL.¹⁷¹ Valenta *et al.*¹⁷⁶ provided detailed information on PLQY values, lying in the range 10–20% and doubling when the silica barrier layer thickness was increased from 1 to 3 nm. PLQY variation with temperature was also studied, peaking at 30% at 100 K. Very recently,¹³⁶ PLQY values reported for near infrared emission (1.35 eV/0.97 micron) corresponding to 4.5 nm nanocrystals were as high as 50%.

2.4. Nanowires and quantum wires (2D confinement)

The first free-standing isolated NWs of widths under 10 nm realized by lithographic etching techniques showed very weak photoluminescence^{177–179} or no visible luminescence at all, ascribed to insufficient surface passivation.¹⁸⁰ Using laser ablation to create 13 nm wide ultralong nanowires, and then oxidation to thin the silicon core to 3.5 nm, Feng and co-workers reported red emission of unknown PLQY.¹⁸¹ Complete oxidation of the wires removed the red emission but a distinct green emission band persisted (see Section 3.2). Brongersma and co-workers used catalysed CVD growth to create 20 nm wide nanowires and then oxidation to decrease core widths to below 5 nm. Tunable 800 to 720 nm emission with microsecond decay times was achieved.¹⁸²

Examination of Table 4 reveals that nanowire fabrication, excluding porous silicon, has been less successful to date with regards to achieving the highest PLQY values for S-band emission. Korgel's group reported a PLQY of 4.3% for 3–4 nm wide solid quantum wires.¹⁴¹ For nanowires we need to distinguish those that are solid silicon from those that have larger diameters but are themselves porous. The latter “porous silicon nanowire arrays” will behave in a similar manner to porous silicon films but with lowered nanocrystal densities and, to date, lower PLQY (see Table 4). Some much larger solid nanowires also have oxidized rough sidewalls containing nanocrystals that generate the visible photoluminescence.¹⁸³

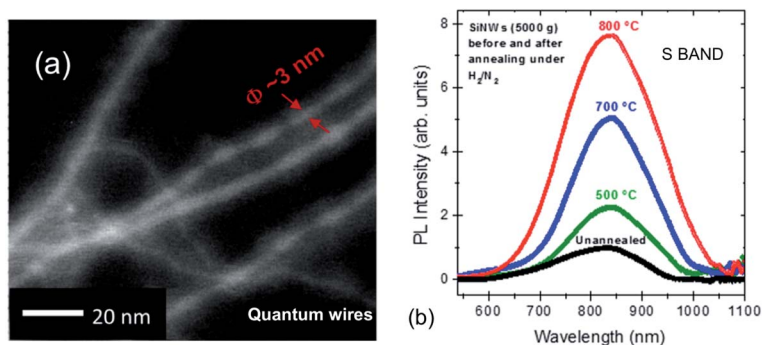


Fig. 10 Luminescent ultrathin silicon nanowires from plasma synthesis and their S-band 300 K PL at varying levels of surface passivation.¹⁸⁴ Adapted with permission from V. Le Borgne, M. Agati, S. Boninelli, P. Castrucci, M. de Crescenzi, R. Dolbec and M. A. E. Khakani, *Nanotechnology*, 2017, **28**, 285702. Copyright IOP Publishing. All rights reserved.



Fig. 10 shows an energy filtered TEM image of ultrathin luminescent solid quantum wires reported recently.¹⁸⁴ These were extracted by-products of a plasma torch spherodization of micro-particulate powder. Once extracted *via* centrifugation, the various fractions showed PL that shifted from 950 to 680 nm as the average Si core diameter evolved from ~ 5 to ~ 3 nm.

2.5. Nanoparticles and quantum dots (3D confinement)

S-band PL from visibly luminescent silicon nanocrystals (nanoparticles) synthesized by a broad range of techniques have now received a vast amount of study. Fabrication techniques include plasma-assisted decomposition of silane or silicon tetrachloride; laser pyrolysis of silane; liquid phase synthesis; laser ablation; high energy milling and microemulsion growth. The reviews of Mangolini,¹⁸⁵ Huan *et al.*¹⁸⁶ and Cheng *et al.*¹⁸⁷ provide details on the majority of these synthesis techniques. Highlighted here is the recent study of the Ceroni and Korgel Groups using dodecene passivated nanocrystals in colloidal suspension.¹⁸⁸ Fig. 11 illustrates the excellent control over size. Fig. 12 shows how spectral position smoothly “blueshifts” with decreasing nanocrystal size right from the 1.1 eV bandgap of bulk silicon (for 9.1 nm average size) to 2.1 eV (1.8 nm average size).

Table 6 shows the decay times from a number of nanocrystal studies and it is informative to compare values therein with Table 5 (data from porous silicon). For example, at 800 nm, pSi decay times (Table 5) lie in the range 10–100 microseconds; isolated nanocrystals emitting at 800 nm (Table 6) have much longer decay



Fig. 11 S-band photoluminescent silicon nanocrystals of controllable size.¹⁸⁸ Adapted with permission from Y. Yu, G. Fan, A. Fermi, R. Mazzaro, V. Morandi, P. Ceroni, D. M. Smilgies and B. A. Korgel, *J. Phys. Chem. C*, 2017, **121**, 23240–23248. Copyright 2007 American Chemical Society.





Fig. 12 S-band 300 K PL from the various-sized nanocrystals of Fig. 11.¹⁸⁸ Adapted with permission from Y. Yu, G. Fan, A. Fermi, R. Mazzaro, V. Morandi, P. Ceroni, D. M. Smilgies and B. A. Korgel, *J. Phys. Chem. C*, 2017, **121**, 23240–23248. Copyright 2007 American Chemical Society.

Table 6 Experimental S-band decay times (PLDT) in Si nanocrystals. Decay time units are in microseconds, as in Table 5

Wavelength (nm)	Energy (eV)	Ref. 154	Ref. 189	Ref. 190	Ref. 188	Ref. 191	Ref. 192
1000	1.24				600	800	
900	1.38		120		300	420	
800	1.55	100	80	175	100	200	247
700	1.77	35	40	90	30	100	134
600	2.07	8		40	6	40	69
550	2.25			25			36

times in the range 80–247 microseconds. It is likely that the internal surfaces of porous silicon nanostructures are more challenging to passivate than isolated or embedded nanocrystals.

2.6. PLQY of S-band

Table 7 summarizes the remarkable improvements made in raising the PLQY of S-band luminescence alone since 1990. Early values in the range 3–5% probably reflected the lower levels of size control, combined with higher SRV levels. The highly interconnected silicon skeleton of porous silicon facilitates rapid exciton migration to lower bandgap parts of the network that have slower radiative rates.^{137,193} Size control is thus key. This, in combination with differing light extraction efficiencies, explains why colloidal pSi nanoparticles typically have higher PLQY than microparticles and films (see Table 7).





Table 7 Improvements in the PLQY of the S-band over time (1990–2020)

Maximum PLQY (%)	Nanostructure	Peak PL wavelength (nm)	Mean size/size range (nm)	Surface chemistry	Fabrication technique	References
68	pSi NPs	740	3	Dodecyl	pSi ablation	(Yuan 2017) ¹³¹
66	NP	700	3	Dodecyl	HSQ decomposition	(Yang 2015) ¹³²
65	NP	690	4	Native oxide	Silane pyrolysis	(Potrick 2011) ¹⁹⁴
62	NP	789	4	Octadecene	Plasma synthesis	(Jurbergs 2006) ¹³⁵
62	NP	825	4	High pressure wet oxide	Plasma synthesis	(Gelloz 2019) ¹⁹²
50	SL	954	4.5	Thermal oxide	PECVD	(Valenta 2019) ¹³⁶
60	NP	775	3	Dodecyl	HSQ decomposition	(Sanggahelah 2015) ¹³⁴
59	NP	750	2 to 3	Thermal oxide	Ion implantation and anneal	(Walters 2006) ¹⁹⁵
45	NP	827	3.5	Dodecene	HSQ decomposition	(Yu 2017) ¹⁸⁸
43	NP	760	1.9 to 2.5	Allylbenzene	HSQ decomposition	(Mastronardi 2011) ¹⁹⁶
32	pSi particles	685	<2.5	Native oxide	Anodisation and supercritical drying	(Joo 2016) ¹³⁷
31	pSi films	735	<2.7	High pressure wet oxide	Anodisation	(Gelloz 2019) ¹⁹²
30	NP	600–850	2 to 7	Native oxide	Laser pyrolysis	(Ledoux 2002) ²⁰⁰
25	NP	650	1.6	Allylbenzene	HSQ decomposition	(Mastronardi 2011) ¹⁹⁶
19	SL	950	4.5	Thermal oxide	PECVD	(Valenta 2014) ¹⁷⁶
0.3	SL	1170	12	Thermal oxide	Sputtering	(Zacharias 1998) ¹⁹⁷
5	NP	600–800	1 to 2	Thermal oxide	Disilane pyrolysis	(Brus 1995) ¹⁹⁸
3	pSi films	700–850	2 to 10	Anodic oxide	Electrochemical etch and oxidation	(Vial 1992) ¹⁵⁰
3	pSi films	750–850	2 to 10	Hydride	Electrochemical etching	(Canham 1990–1991) ⁴⁷

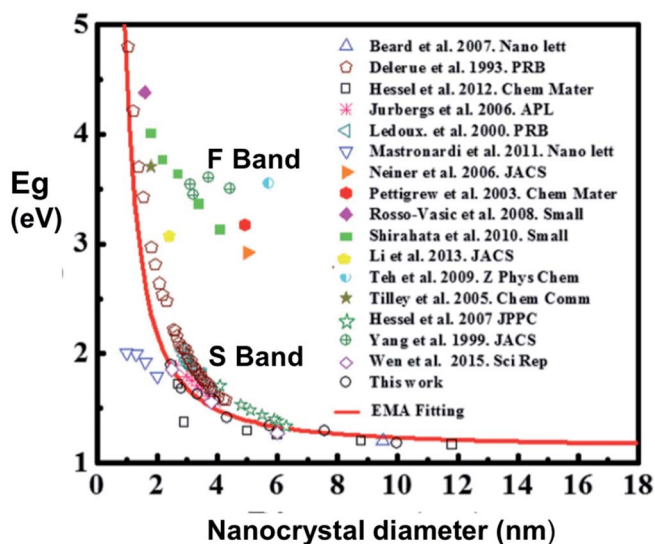


Fig. 13 Size dependence of photoluminescence for silicon quantum dots.²⁰⁸ Reprinted with permission from X. Liu, Y. Zhang, T. Yu, X. Qiao, R. Gresback, X. Pi and D. Yang. *Part. Part. Syst. Charact.*, **33**(1), 44–52, 2016. Copyright 2015, WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim. Some of the featured studies reported F-band data rather than S-band data, as highlighted here.

2.7. Wavelength tuning of S-band *via* nanostructure size and shape

A wealth of data now exists confirming that S-band spectral position depends on size distribution for a variety of nanostructures: 1.1–2.7 nm quantum wells within oxide;¹⁶² 3–5 nm quantum wires from plasma synthesis;¹⁸⁴ 3–8 nm nanocrystals from disilane pyrolysis;¹⁹⁹ 3.4–4.8 nm nanocrystals from silane pyrolysis;²⁰⁰ 3–8 nm and 4–9 nm nanocrystals embedded in silica *via* sputtering;^{200–202} 2–5 nm nanocrystals embedded in silica *via* ion implantation;²⁰³ 1.5–4 nm nanocrystals embedded in silica *via* PECVD;²⁰⁴ and hydride passivated porous silicon of varying porosity.^{47,148,152,205–207}

Fig. 13 presents a published and very useful compilation of PL data from 17 studies on nanocrystals made by a variety of techniques²⁰⁸ and effective mass approximation (EMA) fitting of nanocrystal bandgap *versus* size. There is clearly considerable scatter of quantitative data, partly because both S-band (this section) and F-band (see Section 3) data were used. For the S-band data, another complicating factor are the size-dependent optical absorption coefficients: smaller silicon nanocrystals have lower optical absorption which biases the PL spectra towards larger nanocrystals.¹⁸⁸ Fig. 14 illustrates how one parameter (nanocrystal size dispersion) can therefore strongly influence the theoretical EMA modelling of PL tunability *versus* average size. Good agreement between such theory and experimental data is achieved once this is taken into account.

2.8. Wavelength tuning of S-band *via* surface chemistry

The ability to tune PL emissions by surface chemistry passivation, as well as by nanostructure size, would be desirable, particularly for fabrication techniques





Fig. 14 Effective mass approximation (EMA) modeling of S-band spectral position *versus* nanocrystal size for various levels of size polydispersity (standard deviations).¹⁸⁸ Adapted with permission from Y. Yu, G. Fan, A. Fermi, R. Mazzaro, V. Morandi, P. Ceroni, D. M. Smilgies and B. A. Korgel, *J. Phys. Chem. C*, 2017, **121**, 23240–23248. Copyright 2007, American Chemical Society.

which offered high volume throughput, but lacked fine tunability of size. Theoretical calculations have shown that the bandstructure of ultrasmall nanostructures such as 1–1.5 nm diameter quantum dots and quantum wires are strongly affected by surface chemistry.^{86,95,96} Spectral position of the S-band might then also be tunable by surface chemistry. However direct experimental evidence for extensive S-band tuning is sparse. One study reported that for larger nanocrystals (1.64 eV PL corresponding to ~ 3.5 nm nanocrystals) changing from hydride to alkyl (dodecene) passivation had little effect on spectral position.²⁰⁹ Not surprisingly however, PLQY and PLDT were affected, as the SRV will differ. In contrast, a study of amide and alkoxy functionalization relative to alkyl did find a small but measurable effect on spectral position for 3.5–6.4 nm nanocrystals.²¹⁰ In addition, another study using alkynyl(aryl) groups also observed PL shifts (in the range 685–800 nm). They attributed the effect to “*surface-state induced bandgap changes*”.²¹¹ In instances where chemical tuning “*right across the visible range*” is claimed for silicon nanocrystals,^{212,213} it would be important to investigate whether the blue emission is in fact F-band emission by measuring PLDT as a function of tuning (see Section 3) and therefore of quite different origin to the yellow or red S-band emission. The extent to which the S-band can be tuned over a broad wavelength range *via* surface chemistry alone needs further investigation.

2.9. Selective photoexcitation of S-band emission

As we saw with many radiative transitions in bulk silicon (Section 1.3), sharp features in PL spectra can allow the determination of precise energies of electronic states, the symmetry of luminescent defects and the phonon energies involved. The large linewidth of the S-band PL obscures the spectroscopic information it contains. Photoexcitation at resonant wavelengths *via* tunable lasers can selectively excite subsets of the nanocrystal ensemble and lower the effects of



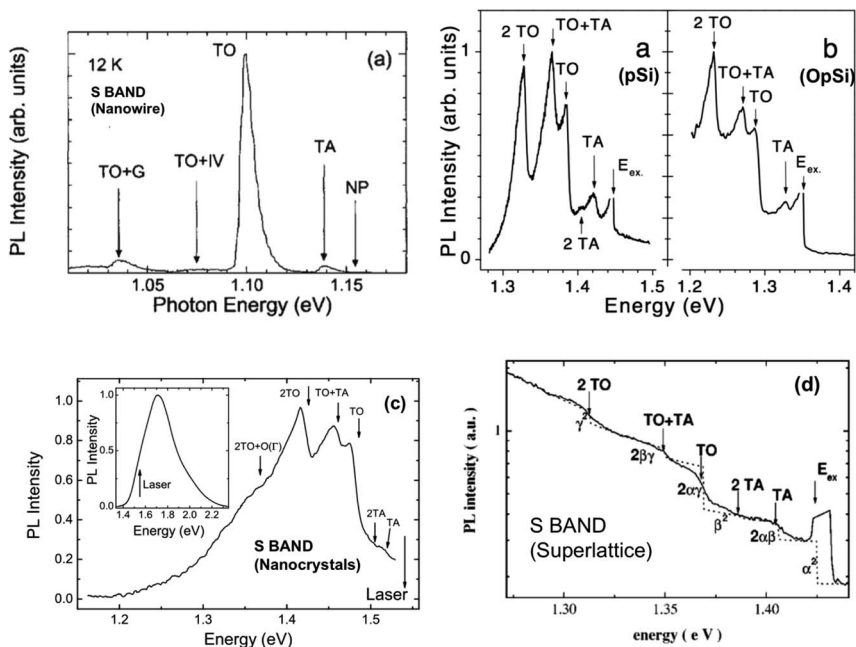


Fig. 15 Resonant photoexcitation of silicon nanostructures. (a) Large silicon nanowires,²²⁵ (b) freshly etched porous silicon (pSi) and oxidized porous silicon (OpSi),²²⁸ (c) free standing silicon nanocrystals,²³⁰ (d) silicon nanocrystals embedded in oxide-based superlattice.²²⁴ Adapted with permission from Y. Kanemitsu, H. Sato, S. Nihonyanagi and Y. Hirai, *Phys. Stat. Solidi*, **190**, 755–758, 2002; D. Kovalev, H. Heckler, M. Ben-Chorin, G. Polisski, M. Schwartzkopf and F. Koch, *Phys. Rev. Lett.*, 1998, **81**(13), 2803–2806; B. Goller, S. Polisski, H. Wiggers and D. Kovalev, *Appl. Phys. Lett.*, 2010, **97**, 041110 and J. Heitman, F. Muller, L. Yi, M. Zacharias, D. Kovalev and F. Eichhorn, *Phys. Rev. B*, 2004, **69**, 195309, respectively.

inhomogeneous broadening, thereby revealing otherwise hidden spectral features. Starting with the studies of Calcott, Nash and co-workers it has now been investigated extensively with luminescent porous silicon^{198,214–222} but also with silicon superlattice nanocrystals,^{223,224} large silicon nanowires,²²⁵ quantum wells²²⁶ and nanocrystals made by various other techniques.^{198,227–230} Initial concerns raised about its applicability to high PLQY porous silicon emission at room temperature and the precise number of phonon features were resolved by 1997.³⁰ Highlighted here in Fig. 15 are S-band resonant photoexcitation data from a range of different silicon nanostructures. All show the TO and TA phonon-related features so characteristic of exciton recombination in crystalline silicon. For the S-band PL from a given nanostructure, at increasing levels of confinement (shorter wavelength emission), the exciton binding energy increases (Table 3) and the no-phonon (NP) to phonon (TO, TA) intensity ratio increases, just as for localized bound excitons in bulk silicon (Fig. 3 and 4).

Even more revealing than resonant photoexcitation can be the photoexcitation of isolated individual nanostructures. Single nanostructure spectroscopy can reveal homogeneous linewidths and most previously hidden spectral features, but is experimentally challenging. The first such spectroscopy studies were performed on porous silicon particles in 1998 by Buratto and co-workers.²³¹ Progress has



been recently thoroughly reviewed by the Linnros group²³² so only a few comments will be made here that relate to PLQY of nanostructure ensembles and the origin of S-band PL:

- Individual nanocrystals “blink” (periods of no emission under constant photoexcitation) and some are completely “dark”; others are “bright” with near unity IQE. The ensemble PLQY can thus be raised significantly by lowering the density of dark silicon nanocrystals that have a very efficient non-radiative channel(s), by decreasing blinking rates, or by both routes.

- PL linewidths for single nanocrystals are substantially narrower than for the ensemble (typically 100–160 meV at 300 K but values as low as 17 meV are now being reported²³³). Both temperature and the medium surrounding the core have a strong influence.

- Substantial TO phonon involvement was again invoked to explain the emission spectral data.

2.10. Origin of S-band PL

The wavelength tuning *via* size (Section 2.7), right from the bulk silicon bandgap^{201,205} to at least the yellow spectral region, convinced many that quantum confinement was indeed responsible for S-band emission. Resonant photoexcitation experiments (Fig. 15), providing a spectroscopic signature of established silicon phonon-assisted transitions (Section 1.3), convinced many more. Single nanocrystal spectroscopy (Section 2.9) holds the most potential for unravelling the complexity of ensemble nanosystems where size and shape control is still improving, but not yet sufficient to avoid significant inhomogeneous broadening and rapid carrier migration effects.²³⁴ Nonetheless, after many hundreds of studies, there is now substantial evidence, both experimental and theoretical, supporting a quantum confinement model for S-band emission, at least for near infrared to green emission. Agreement between theory and experiment exists for PL position (excitonic bandgap) *versus* size and shape (Fig. 14), together with strongly wavelength-dependent measured decay times (Tables 5 and 6) and extracted radiative decay times. The reasons for rapid decline in PLQY for yellow to blue S-band emission; the ultimate PL linewidths achievable; the avoidance of “blinking”; improvements in SRV for smaller nanostructures – these are all topics requiring further study.

3. F-band photoluminescence

3.1. Porous Si

Reports of blue–green emission (“fast”-nanosecond decay time and hence “F-band emission”) from porous silicon started to appear^{235,236} in 1992. Kovalev and co-workers²³⁷ showed that its intensity could be significantly raised by rapid thermal oxidation and since then it has received a series of studies. It is noteworthy that even moderate PLQY requires oxidation²³⁸ and/or heat treatment of the porous silicon. Freshly oxidized porous silicon often does not display F-band emission and it only emerges after storage in ambient air.²³⁹

3.2. Nanowires

Growth of nanowires *via* catalyzed laser ablation VLS growth or thermal evaporation often results in short wavelength emission alone.^{240–244} A silicon oxide



phase is invariably present and often silicon core width greatly exceeds that required for silicon bandgap enlargement (<3 nm). Sometimes there is no silicon phase at all.²⁴⁰ PLQY values have not generally been provided.

3.3. Nanoparticles and quantum dots

For silicon quantum dots prepared by high temperature synthesis, such as silane decomposition, F-band emission also appears after air storage (see Fig. 1).

A very large number of reports of F-band emission of high PLQY (only some are in Table 8) have appeared since the 2013 report of “one-pot aqueous synthesis”²⁴⁵ by He and co-workers. Here, efficient blue–green emission is achieved immediately after reduction synthesis using inexpensive precursors, low temperatures (e.g. <200 °C) and short reaction times. Highlighted here though instead, is the recent reappraisal study of Oliynyk and co-workers.²⁴⁶ They cast doubt on the likelihood of such conditions generating crystalline silicon nanostructures.

Table 8 Improvements in the PLQY of the F-band over time (1994–2020)

Maximum PLQY (%)	Peak PL wavelength (nm)	Mean decay time (ns)	Mean size of NP component (nm)	Fabrication technique	References
90	522	—	4	Solution synthesis	(Zhong 2019) ¹²⁹
85	425	—	3.5	Solution synthesis	(Zheng 2019) ²⁴⁹
76	440	7	2.8	Laser ablation in organic solvents	(Wang 2019) ²⁵⁰
62	440	8.3	2	Solution synthesis	(Geng 2018) ²⁵¹
90	550	3	5.2	Solution synthesis	(Li 2016) ¹³⁰
50	600	1.0	3	Solution synthesis	(Zhong 2016) ²⁵²
82	450	14.3	3	Solution synthesis	(Wu 2015) ²⁵³
75	480	31	2.5	Solution synthesis	(Wang 2015) ²⁵⁴
85	520	3.9	3.5	Solution synthesis	(Cho 2014) ²⁵⁵
25	375	—	3	Solution synthesis	(Wang 2011) ²⁵⁶
23	425	—	3.4	Solution synthesis	(Atkins 2011) ²⁵⁷
60	425	—	<4	Ball milling in organic solvents	(Heintz 2009) ²⁵⁸
44	450	—	3	Electrochemical etching and sonication in organic solvents	(Portoles 2009) ²⁵⁹
18	480	<100	1.5	Silane decomposition and etching	(Fojtik 2006) ²⁶⁰
<1	500	—	3.7	Gas evaporation or vacuum sublimation	(Kimura 1999) ²⁶¹
0.1	470	1	<5	Electrochemical etching and oxidation	(Tsybeskov 1994) ²³⁸



Importantly, they also report that repeating the synthesis with a *control* (reducing agent and a silicon-free organic amine) produced remarkably similar photoluminescence. The de Cola group have also repeated such a synthesis under both short (15 minute) microwave irradiation and lengthy (300 minute) hydrothermal conditions.²⁴⁷ After thorough characterization of repeat and *control* formulations (lacking reducing agent or silicon source) they attribute the blue-emitting product to the formation of silica and carbon dots rather than silicon nanocrystals.

A further reappraisal study²⁴⁸ has now also been conducted on a different wet chemical synthesis route that uses a magnesium silicide precursor developed by the Kauzlarich group. Once again the key control run, where the source of silicon was omitted, led to similar F-band emission to where the silicon precursor was included. These three studies^{246–248} highlight the current uncertainty that surrounds the true origin(s) of F-band emission (see Section 3.5).

3.4. PLQY of F-band

Table 7 illustrates the dramatic rise in PLQY for the F-band over the last 5 years. In the first reports of emission from oxidized porous silicon films and microparticles, quantum yield was probably at best 0.1%.²³⁸ Colloidal NP emission prior to 2010 was much better, but in the range 1–60%. Since 2015 however there have been a number of reports of PLQY above 75%, even as high as 90%. The table also emphasizes the dominance of bottom-up solution synthesis techniques in achieving the highest PLQY values. The question is, as discussed in the previous section, to what extent does such high PLQY have anything to do with silicon nanocrystals?

3.5. Selective photoexcitation and “fine structure” of F-band

As with the S-band, selective photoexcitation studies of F-band PL might provide some insight by revealing hidden spectral features. Currently, very few studies have been conducted^{262,263} but these did reveal potentially useful fine structures that need further study. However, even under non-resonant excitation, some interesting structures of the emission band have been reported in some instances,²⁶⁴ especially for NPs made by laser ablation in solvents.^{265–267} By conducting *control* experiments where the solvent (*e.g.* toluene or octene) alone was UV irradiated, there appeared to be a good match of F-band spectra with those where silicon nanoparticles were created. Fluorescent solvent by-products need to be considered in such laser ablation studies.

The study of Kobayashi and co-workers²⁶⁸ is also particularly insightful with regards to the potential influence of nanostructure-adsorbed impurities at low concentrations. They utilized high energy milling to generate 5 nm NPs that were then suspended in hexane and generated a blue–green PL band with numerous sub-peaks. Control spectra of hexane alone, without NPs, yielded very much weaker spectra in the same location (Fig. 16). They attributed the PL to adsorbed dimethylantracene (DMA), a highly fluorescent impurity in hexane. DMA has been used as a standard to estimate comparative PLQY for blue-emitting silicon nanoparticles.²⁵⁸ Importantly, intentional addition of only a 20 nM solution of DMA in hexane to another DMA-free solvent containing silicon NPs was sufficient for the vibronic structure to appear in spectra. Exciting light absorption *via* energy transfer from the silicon NP was estimated to raise PL output of DMA in hexane by





Fig. 16 Photoluminescence of adsorbed fluorescent organic contaminants on silicon nanoparticles.²⁶⁸ Adapted with permission from T. Matsumoto, M. Maeda and H. Kobayashi, *Nanoscale Res. Lett.*, 2016, 11(7), available via an open access CC-BY license.

a factor of about 3000. This study highlights the need for extensive characterization of the purity of potentially luminescent silicon nanostructures.

3.6. Origin(s) of F-band PL

Studies focused on elucidating the origin of the F-band emission have broadly drawn four proposals to date: that the emission is connected to the core of small silicon NPs;^{269–273} radiative silicon–oxide interface states;^{1,274,275} defect states in pure oxide;^{1,276,277} or contamination of that oxide.^{239,278,279}

Valenta *et al.*²⁷⁰, for example, studied F-band emissions from small nanoparticles obtained by electrochemical etching, hydrogen peroxide treatment and filtration. They interpreted the very large spectral shifts of the band under varying photoexcitation wavelengths (270–420 nm) to the behaviour of Si QD core emission, rather than defective oxide states. Data was fitted to theoretical predictions of bandgap for small hydride-terminated nanoparticles. No FTIR data or chemical composition data was presented however, so the level of hydride remaining vs. oxide passivation (known to generate different bandgaps) is unknown.

F-band emission assignment to the oxide phase of oxidized silicon nanostructures is based on a number of observations, not least that it bears similarities to emissions from pure defective silica structures²⁸⁰ and can still be observed when the nanocrystalline silicon phase has been removed.²⁴⁰ It is interesting that high surface area silica, for example, shows similar “aging” and “regeneration” effects for its blue photoluminescence to oxidized porous silicon and nanocrystals from high temperature synthesis, attributed to interactions with water vapour and organic molecules in ambient air.^{281–283}

Are there specific contaminants that might be responsible? A very recent study²⁸⁴ used pulverized pSi particles to investigate the effects of nitrogen contamination on F-band emission, following the work of Dasog *et al.*²⁸⁵ reporting significant influence of nitrogen incorporation in nanocrystals made by other routes. The porous Si structures were heavily oxidized (FTIR spectra) and



intentionally subjected to environments rich in nitrate and nitrates. They found increased nitrogen levels diminished, rather than induced, blue emission.

The study of Chen *et al.*²⁸⁶ in 2013 instead provides strong support for a link to carbon contamination as one potential origin of the F-band in silicon nanostructures containing organic molecules that are subjected to oxidation, heat treatments, microwave treatments or UV laser treatments. They calcined mesoporous silica NPs containing organic molecules at 400 °C and observed a 450–475 nm emission band. The fluorescent particles had retained an ordered silica framework with 3 nm pores. When these ~80 nm mesoporous silica spheres were subsequently soaked in 37% HF to dissolve the silica phase, the blue output was however completely preserved. Under subsequent TEM examination, the residue (see Fig. 17) was found to contain a high density of carbon nanoparticles (~3 nm diameter). The presence of carbon dots does not show that they are necessarily the origin of the luminescence.^{287,288} It could be from molecular-sized organic chromophore by-products.

What this does demonstrate however, is that porous silica and oxidized porous silicon nanostructures can act as unintentional “nanoreactors” for carbon dot synthesis. Careful experimentation is required to check that F-band-like emission is not a result of carbon contamination of an initially hydrophobic and organophilic nanostructure. As pointed out many years ago, there is direct evidence of carbon contamination of hydride-terminated porous silicon from a variety of sources: extensive water rinsing used to remove HF residues;²⁸⁹ atmospheric and vacuum storage;¹⁴⁹ plastic wafer and sample container outgassing.²⁷⁹ Low levels of carbon contamination are likely to generate F-band emission that is detectable, but of low PLQY. Intentional carbon impregnation of mesoporous silica (*e.g.* by glycerol) is now used by the carbon dot scientific community to generate high PLQY²⁹⁰ in the blue–green spectral range. It would be informative to search for carbon dots as well as silicon quantum dots in the now varied protocols for realizing ultrahigh F-band PLQY (see Table 7). It is also noteworthy that the carbon dot research community has similar issues with regards to unintentional fluorescent impurities.^{287,288} Without sufficient purification steps, molecular carbon-based chromophores can contribute the majority of the emission from bottom-up carbon dot formulations. Intentional introduction of both silicon and



Fig. 17 F-band like photoluminescence and carbon dot contamination of porous silica.²⁸⁶ Adapted with permission from H. Chen, Z. Zhen, W. Tang, T. Todd, Y. Chuang, L. Wang, Z. Pan and J. Xie, *Theranostics*, 2013, 3(9), 650–657. Copyright IvySpring.



carbon dots into silica has led to white light emission (see Section 4.5), so optimizing PLQY for both S and F-bands can be of use, even if their origins are completely different.

4. PL applications

4.1. Sensing

Very soon after the demonstration of efficient visible photoluminescence from porous silicon⁴⁷ it became apparent that PL output could be sensitive to a range of adsorbates.²⁹¹ This has been the basis of many studies exploiting the photochemical properties of nanoscale silicon to attain sensors for gas and liquid analytes. Prior reviews include those of Sailor *et al.*,²⁹² Gonzalez *et al.*²⁹³ and Ji *et al.*²⁹⁴ Chemical toxicants, pollutants and biomedical analytes have been the primary targets. Both PL quenching^{295–299} and enhancements (“photobrightening”) have been reported.^{300,301} The vast majority of sensors have utilized PL quenching, which in many cases is reversible, but irreversible quenching has also been considered for single-use sensors.

Fig. 18 illustrates S-band sensing of various explosives with silicon nanocrystals embedded within a convenient paper substrate.

Quenching can be static (binding), dynamic (collisional), oxidation-induced or deposition-induced.^{302,303} Table 9 and 10 collate the majority of sensing studies performed together with Limit of Detection (LOD) values and separate them into those that use the S-band (red–yellow PL) from the many recent studies exploiting the F-band (blue–green PL).

General advantages of fluorimetric (PL) sensing include low background signals and thereby high sensitivity, fast data acquisition and low cost. General challenges include sensor stability, specificity, repeatability, linearity, response and recovery times, and calibration. For Si-based sensors (Tables 9 and 10), approaches to improve specificity have included the immobilization of enzymes;³¹⁹ ratiometric PL spectroscopy;³⁰² combining PL quenching at a single wavelength with peak shifts;³⁰³ or decay time changes.³⁰⁷ With porous Si, multilayer microcavities offer multiparametric sensing.³¹⁷ Recently, pSi-based biosensors have focussed on reflectance-based interferometry rather than photoluminescence-based analysis³²⁴ but as PLQY and more importantly, its stability, continues to improve, there could be a resurgence of interest in exploiting the S-band.



Fig. 18 S-band sensing of explosives.³¹⁴ Reproduced with permission from C. M. Gonzalez, M. Iqbal, M. Dasog, D. G. Piercey, R. Lockwood, T. M. Kipotke and J. G. C. Veinot, *Nanoscale*, 2014. Copyright Royal Society of Chemistry.



Table 9 Sensing with S-band (red–green) photoluminescence

Analyte class	Analyte	Sensing mode	LOD	Silicon nanostructure	References
Environmental contaminants and toxins	Aromatic hydrocarbons	PL quenching	0.5 mM	pSi-hydride	(Fisher 1995) ²⁹⁵
	Sulphur dioxide	PL quenching	440 ppb	pSi-oxide	(Kelly 1996) ³⁰⁴
	Nitrogen dioxide	PL quenching and conductance	5 ppm	pSi multilayer	(Baratto 2002) ³⁰⁵
	Alcohols	PL quenching	40 ppm	pSi-undecanoate	(Holec 2002) ³⁰⁶
	Ethanol	PL quenching and PL decay rates	<620 ppm (vapour)	pSi- native oxide	(Dian 2007) ³⁰⁷
	Alcohols	PL quenching	0.2 μ M (vapour)	pSi-undecanoate	(Dian 2010) ³⁰⁸
	Ethanol	PL quenching	380 ppm (vapour)	NP-hydride	(Zhang 2013) ³⁰⁹
	Heavy metals	Immunoassay	10 nM	pSi-enzyme	(Syshchyk 2014) ³¹⁰
	Copper	PL quenching	1 μ M	pSi-alkyl	(Hwang 2016) ³¹¹
	Aflatoxin	PL quenching	2.5 pg mL ⁻¹	pSi-Au-protein	(Myndrul 2017) ³¹²
Explosives	Metal ion discrimination	PL quenching and peak shift	10 μ M	pSi-native oxide	(Jin 2019) ³⁰³
	TNT, DNT	PL quenching	1–2 ppb	pSi-hydride	(Content 2000) ³¹³
	DNB, DNT	PL quenching and PL decay rates	<50 μ M	NP-oxide	(Germanenko 2001) ³¹⁴
	DNT	PL quenching	18.2 ng (solid)	NP-alkyl	(Gonzalez 2014) ³¹⁵
Medical analytes	DNT	PL quenching	6 ppb (vapour)	NP-alkyl	(Nguyen 2016) ³¹⁶
	Nitric oxide	PL quenching	2 ppb	pSi-hydride	(Harper 1996) ²⁹⁶
	Viral DNA	PL shift	194 fM	pSi multilayer	(Chan 2000) ³¹⁷
	Gram negative bacteria	PL shift	1.7 μ g	pSi multilayer	(Chan 2001) ³¹⁸
	Urea	PL quenching	30 μ M	pSi and enzyme	(Chaudhari 2005) ³¹⁹
	Ascorbic acid	PL quenching	2 mM	pSi-oxide	(La Ferrara 2012) ³²⁰
	Intracellular Cu	PL quenching	2.5 μ M	pSi-carboxyl	(Xia 2013) ³²¹
	AChE inhibitor	PL enhancement	1.25 μ g mL ⁻¹	pSi-enzyme	(Saleem 2014) ³²²
	Human IgG	PL quenching	500 fM	pSi-protein	(Cho 2015) ³²³
	Dopamine	Ratiometric PL quenching	4 μ M	pSi-silica	(Hollett 2019) ³⁰²



Table 10 Sensing with F-band (blue–yellow) photoluminescence

Analyte class	Analyte	Sensing mode	LOD	Silicon nanostructure	References
Environmental contaminants and toxins	Pesticides	PL enhancement	7–200 ng L ^{−1}	NP-enzyme	(Yi 2013) ³²⁵
	Mercury	PL quenching	50 nM	NP-amine	(Zhang 2014) ³²⁶
	Copper	PL quenching	8 nM	NP-hydride	(Zhao 2014) ³²⁷
	Tetracyclines		20–28 nM	NP-amine	(Lin 2015) ³²⁸
	Chromium	PL quenching	2.7 μM	NP-dendrimer	(Campos 2015) ³²⁹
	Copper		0.5 μM	NP-oxide	(Liao 2016) ³³⁰
	Sudan I	PL quenching	39 nM	NP-hexadecylamine	(Jose 2016) ³³¹
	Hypochlorite	PL quenching	10 nM	NP-amine	(Guo 2016) ³³²
	Chromium, hydrogen, sulphide	PL quenching and restoration	28 nM, 22 nM	NP-amine	(Zhu 2017) ³³³
	Crystal violet	PL quenching	25 ng mL ^{−1}	NP-amine	(Han 2018) ³³⁴
	Ethyl carbamate	RF-ELISA	2.6 μg L ^{−1}	NP-enzyme	(Luo 2018) ³³⁵
	Nitrophenol	PL quenching	29 nM	NP-amine	(Han 2019) ³³⁶
Explosives	TNT	PL quenching	1 nM	NP-amine	(Ban 2015) ³³⁷
Medical analytes	Extracellular pH	PL quenching	pH 4–10	NP-amine	(Feng 2014) ³³⁸
	Dopamine	PL quenching	0.3 nM	NP-amine	(Zhang 2015) ³³⁹
	Intracellular pH	Ratiometric	pH 4–10	NP-amine	(Chu 2016) ³⁴⁰
	ALP	PL quenching	1 U L ^{−1}	NP-carboxyl	(Li 2018) ³⁴¹
	Creatinine	PL quenching	0.14 μM	NP-amine	(Meng 2019) ³⁴²
	Hemoglobin	PL quenching	40 nM	NP-amine	(Li 2019) ³⁴³

4.2. *In vitro* and *in vivo* imaging

Cellular and biomolecular imaging *in vitro* enables contactless studies of numerous physiological processes. Medical imaging facilitates noninvasive imaging of disease progression *in vivo*. These are critical tools in clinical diagnostics, physiology and cell biology.

A significant volume of literature now exists on using photoluminescent silicon nanostructures for both *in vitro* and *in vivo* imaging. It has been the focus of a few prior reviews^{344–348} including a very recent one,³⁴⁹ so only a short overview will be given here.



The S-band emission has been used extensively *in vitro* as a “fluorescent label” in cell cultures.^{349–355} It is also increasingly being evaluated for *in vivo* use.^{357–366}

The facile synthesis for achieving very high PLQY of F-band emission has led to a recent surge in its use as a label *in vitro* and there is now also a significant body of work on this.^{367–380} In contrast, *in vivo* usage of F-band emission is very rare.³⁸¹

S-band emission can be used *in vitro* and *in vivo*, whereas F-band emission is generally restricted to *in vitro* use, because of high tissue absorption of both UV excitation wavelengths and blue–green emission wavelengths. Tissue has two “transmission windows” in the near infrared – 700–900 nm (“NIR 1”) and 1000–1700 nm (“NIR II”), where scattering and absorption of light is lower than adjacent wavelengths.³⁸² The S-band emission of Si nanostructures normally falls within the NIR1 window. Interestingly, with degenerate doping of nanocrystals to shrink their bandgap, room temperature PL output in NIR II has also been achieved, but the PLQY was relatively low at about 2%.³⁸³ An ideal luminescent *in vivo* probe would have high PLQY with both excitation and emission occurring within these windows. Unfortunately, efficient PLQY of S-band emission necessitates short wavelength 300–450 nm excitation which limits the depth that can be imaged *in vivo*. For this reason there have been recent studies exploring two-photon excitation of the S-band^{384,385} where both excitation and emission target the NIR I window. Sailor and co-workers have recently shown the feasibility of this with 850 nm excitation under two photon microscopy (TPM) and 560–740 nm emission. Although the efficiency of this process with 60 nm pSiNPs was significantly lower than an established TPM molecular probe like rhodamine 6G, the porous silicon probe showed much better photostability, the ability to target tumours and carry drug payloads.³⁶⁶

Gooding and co-workers have also utilized two-photon excitation, in combination with *in vitro* fluorescence lifetime imaging, to excite F-band emission.³⁸⁶

A wide range of luminescent nanostructured materials are being assessed for *in vivo* medical imaging³⁸⁷ so I will summarize the perceived merits and challenges of using either the S-band or the F-band PL for biomedical imaging. There are 3 primary drivers for using S-band luminescence in Si nanostructures for *in vivo* imaging:

- (a) Wavelength tunable and now very efficient luminescence output (see Table 7) within tissue window(s);
- (b) Time-gated imaging that exploits the microsecond decay times to improve contrast;^{357,388,389}
- (c) Biodegradability^{356,390} combined with good *in vivo* biocompatibility in different body sites.^{391–393}

Challenges for *in vivo* use of the S-band include low optical absorption coefficients, the relatively broad FWHM of PL, colloidal stability of the PL in body fluids, and one-photon excitation wavelengths lying outside the tissue windows.

When using the S-band for *in vitro* cell imaging the primary advantages are tunable emission, the low cytotoxicity of silicon nanoparticles^{394–400} and the flexible surface chemistry “toolbox” that has been realized (see Section 5.3).

For *in vitro* imaging exploiting the F-band emission, the primary advantages are very high PLQY (see Table 8), PL stability (less photobleaching and stable over a large pH range⁴⁰¹) and narrower FWHM of PL than the S-band. Challenges for the F-band include the short excitation and emission wavelengths which overlap



with tissue scattering and biological autofluorescence, and current uncertainties with regards to the origin of PL.

It should also be noted that whereas fluorescence labelling is a core technique used in *in vitro* cell biology, using luminescent agents *in vivo* is currently very much a developing research tool, rather than a mainstream clinical imaging modality. The latter point has relevance with regards to the likelihood of fast clinical translation of Si NP-based luminescence imaging and hence short-term impact. In this regard, the development of *biomodal imaging* probes, where photoluminescence imaging is combined with a more clinically established technique, has more potential for clinical translation. Unfortunately, silicon nanoparticles have low X-ray contrast compared to metals. Therefore more complex “nanoconstructs” are needed. There could be, for example, an opportunity for developing porous silicon with entrapped gas for a photoluminescent and biodegradable ultrasonic contrast agent. Table 11 provides examples from the literature – attention to date has been on accessing MRI rather than ultrasonic imaging.

4.3. Theranostics

Theranostic nanomedicine, where nanostructures simultaneously facilitate disease therapy and diagnosis, is currently dominated by drug delivery based therapies.⁴⁰⁷ In particular, the pre-clinical evaluation of pharmacokinetics and the target site and healthy organ accumulation of drugs.⁴⁰⁸ The potential of theranostics with nano-Si was initiated by the pioneering studies of Sailor and co-workers³⁵⁷ who demonstrated *in vivo* imaging of S-band and organ targeting. The field has been very recently reviewed³⁴⁹ so only a short perspective is given here.

Whilst for many therapies the luminescence provides only a means to track the nanostructures, the photosensitization of oxygen scales with PLQY.⁴⁰⁹ In contrast to photodynamic therapy, for photothermal therapy one wants to maximize non-radiative processes, so PL is unwanted. Thus luminescent Si nanostructures can

Table 11 Dual imaging with photoluminescent Si nanostructures

Photoluminescence emission	2 nd imaging mode	Silicon nanostructure	References
F-band (540 nm)	MRI	3 nm NPs with iron oxides	(Sato 2011) ⁴⁰²
F-band (430 nm)	MRI	3 nm NPs doped with iron	(Singh 2012) ⁴⁰⁵
S-band (750 nm)	MRI	170 nm complex with 3 nm NPs and chelated Gd ³⁺	(Erogbogbo 2012) ³⁶¹
S-band (500–800 nm)	MRI	pSi and iron oxide NPs	(Xia 2017) ⁴⁰³
F-band (460 nm)	MRI	3.85 nm NPs with ¹⁹ F ligands	(Li 2018) ⁴⁰⁴
F-band (400–510 nm)	MRI	6.6 nm NPs doped with gadolinium	(Li 2018) ³⁸¹
F-band	PET	2.4 nm NPs with NIR dye and ⁶⁴ Cu radiolabel	(Singh 2020) ⁴⁰⁶





Fig. 19 Theranostics with porous silicon nanoparticles.⁴¹² Reproduced with permission from V. Stojanovic, F. Cunin, J. O. Durand, M. Garcia and M. Gary-Bobo, *J. Mater. Chem. B*, 2016, 4, 7050–7059. Copyright Royal Society of Chemistry.

themselves provide therapy (photosensitization^{409,410}) or carry payloads that perform therapy (drugs, radioisotopes, metals³⁴⁹). Luminescent pSi is ideally suited to theranostics^{411,412} because of the much higher drug payloads achievable *via* filling the pore volume, compared to solid nanoparticles where the drug is attached only to the outer surfaces. At 80% porosity for example, drug payloads in pSi can exceed 50 wt% by melt loading techniques and the drug is itself nano-structured by entrapment within 5–50 nm mesopores. Control over pharmacokinetics is achieved *via* a combination of restricted diffusion and carrier biodegradability. Fig. 19 illustrates the multifunctionality of porous silicon nanoparticles (pSiNP).⁴¹²

4.4. Photovoltaics

A major limiting factor in the ultimate efficiency of silicon solar cells is the spectral mismatch between the energy distribution of the incident solar spectrum and the bandgap. An efficient photoluminescent material can act as a spectral converter to raise the efficiency of a solar cell by down-shifting (DS), quantum cutting (QC) or “upconversion” (UC) as shown schematically in Fig. 20.⁴¹³

Visibly photoluminescent silicon can provide “down-shifters”^{414–420} or quantum cutters^{421–425} for traditional solar cells.

A second, recently emerged potential use is in “luminescent solar concentrators” (LSCs) for building integrated photovoltaics.^{426–428} Here, the large Stokes shift between strong UV absorption and S-band emission is of great benefit in minimizing light re-absorption over long distances. Solar concentrators are large plastic plates filled with photoluminescent material (commonly organic dyes) that redirect and concentrate solar energy to small photovoltaic cells at their perimeter (see Fig. 21). A large fraction of the light can be effectively waveguided in the



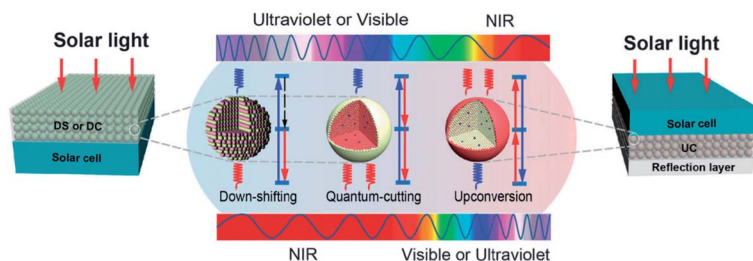


Fig. 20 Classes of spectral converters.⁴¹³ Reproduced with permission from C. X. Huang, S. Han, W. Huang and X. Liu, *Chem. Soc. Rev.*, 2013, **42**, 173–201. Copyright Royal Society of Chemistry.



Fig. 21 Luminescent solar concentrator using photoluminescent silicon nanocrystals.⁴²⁶ Reprinted with permission from F. Meinardi, S. Ehrenberg, L. Dharmo, F. Carulli, M. Mauri, F. Bruni, R. Simonutti, U. Kortshagen and S. Brovelli, *Nature Photonics.*, 2017, **11**, 177–186. Copyright 2017, Springer Nature.

plastic sheet due to total internal reflections. The tunable bandgaps of silicon nanostructures offer design freedom with regards to aesthetics and optical performance. Challenges for silicon solar concentrator use include low absorptivity, scattering due to nanocrystal agglomeration, scalability of production and above all, cost.

4.5. Colour converters for white LEDs

White light emitting LEDs of 5 lm W^{-1} brightness first became commercially available in 1996, and by 2010, brightness had already improved to the $150\text{--}250 \text{ lm W}^{-1}$ range. The performance and cost of white LEDs are now competitive for general lighting, automotive, communication and medical uses.⁴²⁹ There are 3 methods for generating white light *via* an LED: (a) combining blue, green and red



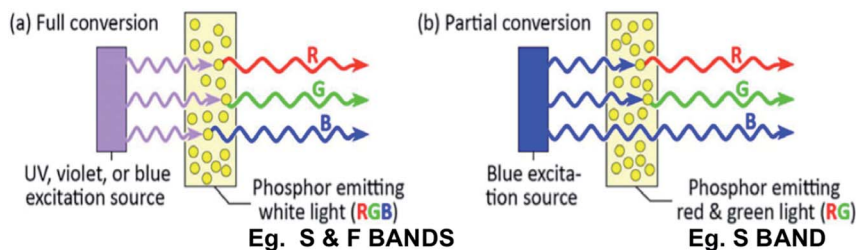


Fig. 22 White light generation using red, green or blue photoluminescent materials.⁴²⁹ Adapted with permission from J. Cho, J. H. Park, J. K. Kim and E. F. Schubert, *Laser Photonics. Rev.*, 2017, **11**(2), 1600147. Copyright John Wiley & Sons.

LEDs; (b) combining a UV LED with blue, green and red phosphors; and (c) using a blue LED to excite green or yellow and red phosphors. Fig. 22 illustrates methods (b) and (c). The latter approach (c) has received increasing use following the development of ultra-bright blue GaN LEDs in the 1990s.

For general lighting the total power emitted, power efficiency and “whiteness” (colour temperature and rendering) are critical technical parameters. A 60 W incandescent tungsten light bulb, for example, currently has a luminous flux of 1000 lumens (lm) and a luminous efficiency of 17 lm W^{-1} . The colour rendering index (CRI) is a quantitative measure of a light source’s ability to reproduce the colours of objects against natural or reference colours. Perfect performance is 100. Fluorescent lights typically have values in the range 50–98. LEDs are typically around 80. Colour correlated temperature (CCT) quantifies the temperature of an ideal blackbody radiating light of a colour comparable to the light source. Daylight (“cool”) white has a CCT values above 5000 K, neutral white 3000–5000 K, whilst incandescent (“warm”) white corresponds to a CCT value in the range 2500–3000 K.

In 2014 the start-up company Lumisands Inc, USA, reported the first use of visibly photoluminescent Si to generate “warm” white LEDs by method (b), and combined the use with other green and blue phosphors.⁴³⁰ The Si NPs were derived from porous silicon. Surprisingly high PLQY values for the S-band were estimated under blue (405 nm) excitation, especially since the authors reported their particles had “micron-size silicon cores with nanosize Si QD attached”. One would expect significant scattering and re-absorption of red emission with this type of structure. Colour rendering close to incandescent bulbs with a CCT ~ 2800 K and CRI ~ 95 were reported, without a value for luminous efficiency.

Broad band white PL has been reported from a number of silicon-containing nanostructures: heavily oxidized porous silicon,⁴³¹ oxidized and carbon containing porous silicon,^{432,433} carbon doped silicon-rich silicon oxide⁴³⁴ and silicon and carbon co-implanted silica films.⁴³⁵ Ghosh *et al.*⁴³⁶ have also used method (b) but with white-light emitting Si nanocrystals containing material derived from rice husks (see Section 5.4) whose broad PL (230 nm FWHM) was possibly a combination of the S-band and F-band. In this regard, of particular relevance is the study where laser ablation of organosilica also produced white-emitting nanoparticles.⁴³⁷ Both silicon and carbon nanoparticles were discovered by TEM and are jointly attributed with white light generation. The carbon dots were revealed after HF removal of the silica phase, as used by Chen *et al.*²⁸⁶ and discussed in Section 3.6.



A study with commercial blue LEDs and porous silicon NPs embedded in an overlying polymer film demonstrated the flexibility of colour tuning by varying Si NP concentrations.⁴³⁸ Luminous efficiency was again not reported. For maximizing brightness, thin down-converting films should have low refractive indices to maximize light extraction efficiencies.¹²⁸

The F-band has also been investigated for this application.^{439–441} Solution synthesis of nanoparticles was adapted to achieve broad yellow-green emissions centred at 540 nm under 410 nm excitation from a blue LED.⁴³⁹ The 43.5% EQE of the blue LED was reported to be lowered to 8% for the resulting white LED. A luminance efficiency value of 13 lm W⁻¹ was provided together with CCT of 7982 K and a CRI of 82. In a second study by He's group, luminescent nanorods achieved a similar luminance efficiency.⁴⁴⁰ In a third study, green emitting NPs with PLQY of 60%, derived from rice husks, were combined with blue and red emitting materials. Under 3 W UV LED excitation the composite film generated white light with a luminance efficiency of 75 lm W⁻¹. Photostability of the green-emitting material was monitored over 1 month (720 hours) and PLQY dropped by 12%.

However, high reliability and a very long operating lifetime (50 000–100 000 hours) is one of the key features of LEDs that have led to their remarkable uptake in recent years. Successful inorganic color-converting phosphors like YAG:Ce have ultrahigh chemical stability that can withstand continuous ~100 mW mm⁻² radiance from a blue LED. Long-term photostability testing under intense blue/UV excitation is needed for Si nanostructures, as this might be a daunting challenge for this application area.

4.6. Nanothermometry

Measuring temperature with nanometer scale spatial resolution has potential widespread use in electronics, photonics and biomedicine but also a range of other niche areas.⁴⁴² There are diverse methods but using luminescent probes of temperature are one of the most attractive, as they are non-invasive, contactless and easy to use.⁴⁴³ Most PL parameters are dependent to some extent on temperature: intensity (efficiency), spectral position, spectral shape, bandwidth, polarization and decay time. Which PL parameter(s) and which applications have the most potential for Si nanostructures? This topic is only starting to receive attention so it is difficult to gauge. Suggested specific applications to date range from tribology⁴⁴⁶ to cryosurgery.⁴⁴⁴

Researchers at INSA, Lyon, were the first to realize the potential of S-band PL in this regard and they chose tribological studies of mechanical contacts as the target application area.^{445–450} Functionalized 4–8 nm porous Si NPs with S-band emission were studied in alkanes and alkenes from 293–393 K. As expected, with increasing temperature, red shifts of PL peak position were universally observed but data analysis revealed that shift magnitude also depended on solvent viscosity. They attributed this to Brownian motion causing energy transfer between nanoparticles of different size.⁴⁵⁰ A consequence is that temperature sensitivity will have a complex dependence on liquid viscosity, NP concentration, NP size distribution and NP passivation. A significant advance was therefore shown by Ferreira and Kortshagen's groups⁴⁵¹ who demonstrated that with better size control (2.0 ± 0.2 nm) of surface oxidized NPs, exciton migration effects were



Table 12 Thermal sensitivities of S and F-band PL for potential nanothermometry applications

PL band and peak emission (nm)	PL parameter	Temperature range (K)	Thermal sensitivity	References
S (1.65 eV)	Decay time, peak position, intensity	293–393, 293–373	0.1–0.3 $\mu\text{s K}^{-1}$ ($<0.75\%/K$), 0.18 meV K^{-1} , –0.8%/K	(Ryabchikov 2012, 2013) ^{445–447}
F (480)	Decay time	273–333	–0.24 ns K^{-1}	(Li 2013) ⁴⁵²
F (2.35 eV)	Peak position, bandwidth	293–393	+0.97 meV K^{-1} , +1.2 meV K^{-1}	(Ryabchikov 2014) ⁴⁴⁸
S (630)	Peak position	303–383	–0.9 meV K^{-1}	(Hajjaji 2014) ⁴⁴⁹
S (1.7 eV)	Peak position	303–390	$<0.052\%/K$	(Hamza 2015) ⁴⁵⁰
S (650)	Peak position	13–480	–0.04%/K	(Botas 2016) ⁴⁵¹

greatly reduced and the emission thermal dependence mainly follows the shrinkage of the bandgap. A single calibration then suffices for different media.

Use of the blue–green F-band in thermometry has also been reported.^{448,452} The low temperature solution synthesis route⁴⁵² yielded very stable 480 nm emission with also very high 75% PLQY and monoexponential decay, but the PL origin is not established, and hence neither is the biodegradability of the luminescent entity (see Section 3.6). They chose to utilize decay times which linearly decreased from 23 ns at 0.5 °C to 8 ns at 60 °C, yielding a sensitivity of 0.24 $\text{ns } ^\circ\text{C}^{-1}$ with a thermal resolution under 1 °C. Interestingly, when Ryabchikov and co-workers⁴⁴⁸ compared the thermal sensitivity of Si NCs with carbon NPs (produced by anodization of Si and SiC wafers, respectively) they found spectral shifts to be 3–4 times higher for the Si nanomaterial and of opposite sign to those of carbon dots (–0.18 vs. +0.05 meV K^{-1} , respectively). Future comparative studies of the temperature responses of high PLQY F-band emissions from Si-based formulations and those of established “carbon dots” may thus provide further insight into the origin of the former.

The quantitative thermal sensitivity values reported to date for both S and F-bands are shown in Table 12. It is clear that, at least for the S-band for biomedical uses, the standard figures of merit are currently significantly lower than the highest values (1–5%/K) of other material systems.⁴⁴² Nonetheless, with further improvements of surface passivation, size control and PLQY, the thermal sensitivity should improve, and this combined with having a thermometer system of tunable biodegradability, makes this an interesting area for further development.

5. Summary and future perspectives

5.1. PL efficiency and passivation (stability)

Progress in improving PLQY of both the S-band (Table 7) and F-band (Table 8) over the last 30 years has been spectacular. Photostability of the S-band from derivatized silicon quantum dots is now competitive against many organic dyes with regards to biotagging. For applications like LED's and photovoltaics where very long term stability is essential, further improvements in passivation seem



Table 13 Surface passivation (SRV values) for large silicon nanolayers and nanowires

Silicon nanostructure	Surface chemistry	Technique	SRV (cm s^{-1})	References
2D Si nanolayer (90–200 nm)	Hydride	1% HF treatment	22.5 \rightarrow 399 (10 K) air storage	(Coustel 2011) ⁴⁵³
2D Si nanolayer (90–200 nm)	Alkyl groups	Hydrosilylation	62 \rightarrow 209 (10 K) air storage	(Coustel 2011) ⁴⁵³
Si nanowire (114, 224 nm diameter)	Thermal oxide (7–21 nm thick)	Diluted oxygen and forming gas at 960 °C	20	(Demichel 2010) ⁴⁵⁴
Si nanowire (25–125 nm diameter)	Thermal oxide (5–10 nm thick)	Diluted oxygen at 1000 °C	10 000–30 000	(Grumstrup 2014) ⁴⁵⁵
Si nanowire (50–90 nm diameter)	a-Si (10 nm thick)	VLS deposition	4500	(Dan 2011) ⁴⁵⁶

mandatory. How do the effectiveness of surface passivation chemistries developed by the PV community for bulk Si compare with those used on silicon nanostructures? There are only a few studies that have estimated SRV values for 1 and 2D confinement in relatively large nanostructures that hence showed no S-band emission, as shown in Table 13.

As for bulk silicon, hydride and organic monolayer passivation is metastable with SRV values rising with air storage. SRV values for nanowires can be remarkably high, reflecting perhaps challenges in avoiding defects along elongated nanostructures with highly curved interfaces.

5.2. Size control and wavelength tunability

If properties are size-dependent then size control and also metrology of nano-size distribution becomes critical.^{457,458} Control over size can be strived for during synthesis, or after synthesis, or a combination of the two. Some of the narrowest size distributions for as-synthesized silicon nanocrystals have been demonstrated with superlattice manipulation,⁴⁵⁹ gas phase laser ablation,⁴⁶⁰ plasma synthesis⁴⁶¹ and micro-emulsion techniques.^{462,463} Some data are shown in Table 14. Various methods have also been applied to narrow size distributions of already fabricated luminescent silicon nanostructures (see Table 15). These include gas phase selection techniques,^{460–462,465,466} size selective precipitation,⁴⁶³ size exclusion chromatography¹⁹⁹ and density gradient ultracentrifugation.^{196,468,469}

The S-band has been tuned over a wide spectral range but currently very high PLQY (>50%) is restricted to the 700–1000 nm red-near infrared region (see Table 7). The F-band has been tuned over a complementary spectral region with very high PLQY (>50%) in the 440–600 nm blue to yellow region (see Table 8).

5.3. Surface chemistry toolbox

The well-developed surface chemistry of bulk silicon⁴⁷⁰ was the platform from which many functionalization routes have been successfully applied to luminescent silicon nanostructures.⁴⁷¹ The availability of metastable hydride surfaces has been both a help and a hindrance in this regard: it has facilitated the grafting of a wide range of organic capping ligands but 100% substitution seems virtually impossible due to steric hindrance issues. The resulting derivatized surfaces then





Table 14 Size control via synthesis techniques

References	(Tilley 2005) ⁴⁶² , (Rosso-Vassie 2008) ⁴⁶³	(Patrone 2000) ⁴⁶⁰	(Tan 2011) ²⁶⁵	(Zacharias 2002) ¹⁷¹	(Kothermann 2019) ⁴⁵⁸	(Gresback 2011) ⁴⁶¹
Method	Reverse micelles	Laser ablation (gas phase)	Laser ablation (liquid phase)	Superlattice (reactive evaporation)	Superlattice (PECVD)	Plasma synthesis
Mean nanocrystal size (nm)	1.6–1.8	1.8	2.37	3.4	3.7	5.0
Size variation (SD nm)	0.2	0.2	0.56	0.5	0.7	1.0
PL emission band	F	S	F	S	S	S

Table 15 Size control via post-synthesis techniques

References	(Littau 1993) ¹⁹⁹	(Camata 1996) ⁴⁶⁴	(Mastronardi 2011) ⁴⁶⁷	(Miller 2012) ⁴⁶⁸	(Liu 2016) ²⁰⁸
Method	Size exclusion chromatography	Gas phase differential mobility	Size selective precipitation	Density gradient ultracentrifugation	Density gradient ultracentrifugation
Mean size (nm)	7.5	2.8	1.61	3.60	2.81
Size variation (SD nm)	2.5	0.6	0.26	0.35	0.25
PL emission band	S	—	S	S	S

have a mixed chemical nature with the desired Si–C bonding plus residual Si–H bonding. Oxidation is suppressed but not eliminated. Complex surface chemistries are more exploitable in *ex vivo* uses like sensing than *in vivo* imaging where clinical translation is needed for success. For applications where long term PL stability is of paramount importance, attention should focus on inorganic coatings that have a proven track record with regards to surface passivation of silicon (*cf.* Table 1). The challenge is to find scalable techniques that realize full surface coverage of nanostructures with low SRV values (*cf.* Table 13).

5.4. Scalability and cost of luminescent silicon

Nanostructures are typically much more expensive than bulk materials and more difficult to manufacture at scale. Table 16 summarizes some reported throughputs for silicon nanocrystals. It is evident that the majority of these for *luminescent* nanocrystals lie in the range $0.1\text{--}10\text{ g h}^{-1}$ (*i.e.* less than 0.01 kg h^{-1}). Whilst pilot plant production rates of about 1 kg h^{-1} have been reported, the nanocrystals were not small enough to be photoluminescent.

Exciting progress has been made with silicon nanostructure synthesis by bottom-up high temperature techniques with molecular precursors and conversion synthesis with biogenic silica structures. Continuous belt-furnace production using “silicon accumulator plant” nanostructures⁴⁷⁸ is one very scalable technique for producing kilograms of biodegradable porous silicon. However, no efficient S-band emission has been reported to date from biogenic porous silicon. Where luminescent nanostructures have been achieved from plant material it has primarily been restricted to F-band emission^{441,479,480} and thus might be from carbonaceous material rather than any silicon nanoparticles also present. A significant goal is thus to achieve tunable S-band output of high PLQY from, for example, bamboo-derived silicon nanostructures. This would open a suite of new high volume and low cost uses (see next section). Dasog's group

Table 16 Throughputs of various techniques for fabricating Si nanocrystals. As in Table 4, question marks signify considerable uncertainty regarding origin of F-band PL

Silicon nanostructure	Luminescent?	Fabrication technique	Stated throughput (g h^{-1})	References
Nanocrystals, <5 nm	Yes (S-band)	Silane pyrolysis and stain etching	0.02–0.2	(Li 2003) ⁴⁷²
Nanocrystals, 4–9 nm	Yes (S-band)	Silane reduction	0.1–1	(Lacour 2007) ⁴⁷³
Nanocrystals, 100–500 nm	No	Silane reduction in pilot plant	750	(Hulser 2011) ⁴⁷⁴
Nanocrystals, (?) 2 nm	Yes (F-band)	Microwave assisted chemical synthesis	0.6	(Zhong 2013) ²⁴⁵
Nanocrystals, 2–10 and 50–120 nm	Yes (S-band)	Plasma decomposition of silane	6	(Dogan 2013) ⁴⁷⁵
Nanocrystals, (?) 4–9 nm	Yes (F-band)	Triethoxysilane reduction	4–12	(Pujari 2018) ⁴⁷⁶
Nanocrystals, 10–40 nm	No	Silane reduction	22–200	(Kunze 2019) ⁴⁷⁷



have recently demonstrated that high S-band PLQY ($\sim 50\%$) can be achieved *via* magnesiothermic reduction techniques.⁴⁸¹ Synthetic sol-gel silica precursors were used. If this can be replicated with inexpensive biogenic silica feedstocks then the cost of visibly luminescent silicon should be significantly lowered in the future.

5.5. Novel applications

If the cost and scalability of luminescent nanosilicon can be lowered substantially then a range of further applications could emerge as commercially viable. In most cases, ultrahigh PLQY and much lower cost would need to be supplemented with another key attribute for that application. I mention a few examples here from the diverse fields of dentistry, cosmetics, environmental remediation, forensics and security:

- Sunlight-driven photocatalyst (*e.g.* adsorbed toxicant degradation).
- Anti-ageing sunscreens (blue emitters and UV blockers).
- Dental “optical brightener” (tooth whitening formulations use blue emitters).
- Cosmetic skin hue converter (green-emitters used to mask red blemishes).
- Luminescent inks for banknotes (security features).
- Contrast agent in forensics (*e.g.* luminescent dusting powder).

6. Concluding comments

Remarkable progress has been made in raising the efficiency of visible emission from silicon-based quantum dots, superlattices and porous silicon over the last 30 years, with efficiencies of S-band red emission around 60–70% and green to blue F-band emission around 80–90% being the highest reported values. Surface chemistry plays a pivotal role in determining the efficiency and stability of emission. The widely tunable S-band arises from phonon-assisted exciton recombination within the silicon nanostructure. Emission in the F-band spectral range can have more than one origin, but carbon-based nanostructures and molecular chromophores are looking like the most likely origin of very efficient output. Some low temperature synthesis routes need to be revisited in this regard with more attention given to luminescent nanoparticle characterization and purification. Given the abundance of silica, low toxicity and biodegradability of luminescent silicon nanostructures compared to many other luminescent material nanosystems, their further development for a myriad of applications looks inevitable.

Conflicts of interest

There are no conflicts of interest to declare.

References

- 1 A. Gupta and H. Wiggers, Free-standing silicon quantum dots: origin of red and blue emission, *Nanotechnology*, 2011, **22**, 055707.
- 2 J. I. Pankove, *Optical processes in semiconductors*, Dover Press, 1975.



- 3 J. R. Haynes and W. C. Westphal, Radiation resulting from recombination of holes and electrons in silicon, *Phys. Rev.*, 1956, **101**, 1676–1678.
- 4 J. D. Cuthbert, Recombination kinetics of excitonic molecules and free excitons in intrinsic silicon, *Phys. Rev. B: Solid State*, 1970, **1**, 1552–1557.
- 5 J. R. Haynes, Experimental observation of the excitonic molecule, *Phys. Rev. Lett.*, 1966, **17**(16), 860–862.
- 6 P. L. Gourley and J. P. Wolfe, Thermodynamics of excitonic molecules in silicon, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1979, **20**(8), 3319–3327.
- 7 M. L. W. Thewalt and W. G. McMullen, Green and near-infrared luminescence due to the biexcitons in unperturbed silicon, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1984, **30**(10), 6232–6234.
- 8 A. G. Steele, W. G. McMullan and M. L. W. Thewalt, Discovery of polyexcitons, *Phys. Rev. Lett.*, 1987, **59**(25), 2899–2902.
- 9 R. B. Hammond, T. C. McGill and J. W. Mayer, Temperature dependence of the electron-hole liquid luminescence in silicon, *Phys. Rev. B: Solid State*, 1976, **13**(8), 3566–3575.
- 10 P. L. Gourley and J. P. Wolfe, Spatial condensation of strained confined excitons and excitonic molecules into an electron-hole liquid in silicon, *Phys. Rev. Lett.*, 1978, **40**(8), 526–530.
- 11 J. R. Haynes, Experimental proof of a new electronic complex in silicon, *Phys. Rev. Lett.*, 1960, **4**(7), 361–363.
- 12 R. C. Enck and A. Honig, Radiative spectra from shallow donor-acceptor electron transfer in silicon, *Phys. Rev.*, 1969, **177**(3), 1182–1193.
- 13 M. Tajima, T. Iwai, H. Toyota, S. Binetti and D. Macdonald, Fine structure due to donor-acceptor pair luminescence in compensated Si, *Appl. Phys. Express*, 2010, **3**, 071301.
- 14 M. Tajima, T. Iwai, H. Toyota, S. Binetti and D. Macdonald, Donor-acceptor pair luminescence in compensated Si for solar cells, *J. Appl. Phys.*, 2011, **110**, 043506.
- 15 M. A. Tamor and J. P. Wolfe, Drift and diffusion of free excitons in Si, *Phys. Rev. Lett.*, 1980, **44**(25), 1703–1706.
- 16 P. J. Dean, J. R. Haynes and W. F. Flood, New radiative recombination processes involving neutral donors and acceptors in silicon and germanium, *Phys. Rev.*, 1967, **161**, 711–729.
- 17 M. Tajima, K. Tanaka, S. Dubois, J. Veirman, K. Nakagawa and A. Ogura, Photoluminescence due to impurity cluster bound exciton in highly doped and highly compensated silicon, *Jpn. J. Appl. Phys.*, 2015, **54**, 111304.
- 18 J. Weber, W. Schmidt and R. Sauer, Localized exciton bound to an isoelectronic trap in silicon, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1980, **21**(6), 2401–2414.
- 19 N. A. Drozdov, A. A. Patrin and V. D. Tkatchev, Recombination radiation on dislocations in silicon, *JETP Lett.*, 1976, **23**(11), 597–599.
- 20 R. Sauer, J. Weber and J. Stolz, Dislocation-related photoluminescence in silicon, *Appl. Phys. A: Solids Surf.*, 1985, **36**, 1–13.
- 21 H. Weman, B. Monemar, G. S. Oehrlein and S. J. Jeng, Strain-induced quantum confinement of carriers due to extended defects in silicon, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1990, **42**(5), 3109–3112.



- 22 K. Bothe, R. J. Falster and J. D. Murphy, Room temperature sub-bandgap photoluminescence from silicon containing oxide precipitates, *Appl. Phys. Lett.*, 2012, **101**, 032107.
- 23 A. M. Stoneham, Non radiative transitions in semiconductors, *Rep. Prog. Phys.*, 1981, **44**, 1251–1295.
- 24 A. Richter, S. W. Glunz, F. Werner, J. Schmidt and A. Cuevas, Improved quantitative description of Auger recombination in crystalline silicon, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2012, **86**, 165202.
- 25 A. Hangleiter, Nonradiative recombination at deep defect levels in silicon: experiment, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1987, **35**(17), 9149–9161.
- 26 C. R. Helms and E. H. Poindexter, The silicon- silicon dioxide system: its microstructure and imperfections, *Rep. Prog. Phys.*, 1994, **57**(8), 791.
- 27 W. Bludau, A. Onton and W. Heinke, Temperature dependence of the bandgap of silicon, *J. Appl. Phys.*, 1974, **45**(4), 1846.
- 28 L. T. Canham, Room temperature photoluminescence from etched silicon surfaces: the effects of chemical pretreatments and gaseous ambients, *J. Phys. Chem. Solids*, 1986, **47**(4), 363–373.
- 29 V. Alex, S. Finkbeiner and J. Weber, Temperature dependence of the indirect energy gap in crystalline silicon, *J. Appl. Phys.*, 1996, **79**, 6943.
- 30 A. G. Cullis, L. T. Canham and P. D. J. Calcott, The structural and luminescence properties of porous silicon, *J. Appl. Phys.*, 1997, **82**(3), 909–956.
- 31 G. Davies, The optical properties of luminescent centres in silicon, *Phys. Rep.*, 1989, **176**(3–4), 83–188.
- 32 L. T. Canham, M. R. Dyball, W. Y. Leong, M. R. Houlton, A. G. Cullis and P. W. Smith, Radiative recombination channels due to hydrogen in crystalline silicon, *Mater. Sci. Eng.*, 1989, **B4**, 41–45.
- 33 R. S. Bonilla, B. Hoex, P. Hamer and P. R. Wilshaw, Dielectric surface passivation for silicon solar cells: a review, *Phys. Status Solidi A*, 2017, **214**(7), 1700293.
- 34 E. Yablonovitch, D. L. Allara, C. C. Chang, T. Gmitter and T. B. Bright, Unusually low surface recombination velocity on silicon and germanium surfaces, *Phys. Rev. Lett.*, 1986, **57**(2), 249–252.
- 35 Q. Sun, L. Melnikov, A. Mandelis and R. H. Pagliaro, Surface recombination velocity imaging of wet-cleaned silicon wafers using quantitative heterodyne lock-in carrierography, *Appl. Phys. Lett.*, 2018, **112**, 012105.
- 36 E. J. Nemanik, P. T. Hurley, B. S. Brunschwig and N. S. Lewis, Chemical and electrical passivation of silicon (111) surfaces through functionalization with sterically hindered alkyl groups, *J. Phys. Chem. B*, 2006, **110**, 41800–41808.
- 37 M. J. Kerr and A. Cuevas, Very low bulk and surface recombination in oxidized silicon wafers, *Semicond. Sci. Technol.*, 2002, **17**, 35–38.
- 38 K. A. Collett, R. S. Bonilla, P. Hamer, G. Bourret-Sicotte, R. Lobo, T. Kho and P. R. Wilshaw, An enhanced aneal process to produce SRV <1 cm s⁻¹ in 1 ohm cm n-type Si, *Sol. Energy Mater. Sol. Cells*, 2017, **173**, 50–58.
- 39 Y. Wan, K. R. McIntosh, A. F. Thomson and A. Cuevas, Low surface recombination velocity by low absorption silicon nitride on c-Si, *IEEE J. Photovolt.*, 2013, **3**, 554–559.
- 40 B. Hoex, J. Schmidt, P. Pohl, M. C. M. van de Sanden and W. M. Kessels, Silicon surface passivation by atomic layer deposited Al₂O₃, *J. Appl. Phys.*, 2008, **104**, 044903.



- 41 R. S. Bonilla, C. Reichel, M. Hermle and P. R. Wilshaw, Extremely low surface recombination in 1 ohm cm n-type monocrystalline silicon, *Phys. Status Solidi RRL*, 2017, **11**, 1600307.
- 42 W. J. Royea, A. Juang and N. S. Lewis, Preparation of air-stable low recombination velocity Si (111) surfaces through alkyl termination, *Appl. Phys. Lett.*, 2000, **77**(13), 1988–1990.
- 43 J. Bullock, D. Kiriya, N. Grant, A. Azcatl, M. Hettick, T. Kho, P. Phang, H. C. Sio, D. Yan, D. Macdonald, M. A. Quevedo-Lopez, R. M. Wallace, A. Cuevas and A. Javey, Superacid passivation of crystalline Si surfaces, *ACS Appl. Mater. Interfaces*, 2016, **8**, 24205–24211.
- 44 N. E. Grant, T. Niewelt, N. R. Wilson, E. C. Wheeler-Jones, J. Bullock, M. Al-Amin, M. C. Schubert, A. C. van Veen, A. Javey and A. D. Murphy, Superacid treated Si surfaces: extending the limit of carrier lifetime for photovoltaic applications, *IEEE J. Photovolt. Appl.*, 2017, **7**(6), 1574–1583.
- 45 J. Chen, K. Ge, C. Zhang, J. Guo, L. Wang, D. Song, F. Li, Z. Xu, Y. Xu and Y. Mai, Vacuum-free room temperature organic passivation of silicon: towards very low recombination of micro/nanotextured surface structures, *ACS Appl. Mater. Interfaces*, 2018, **10**, 44890–44896.
- 46 Y. Yamashita, A. Asano, Y. Nishioka and H. Kobayashi, Dependence of interface states in the Si band gap on oxide atomic density and interfacial roughness, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1999, **59**(24), 15872–15881.
- 47 L. T. Canham, Silicon quantum wire array fabrication by electrochemical and chemical dissolution of wafers, *Appl. Phys. Lett.*, 1990, **57**(10), 1046–1048.
- 48 H. Takagi, H. Ogawa, Y. Yamazaki, A. Ishikazi and T. Nakagiri, Quantum size effects on photoluminescence in ultrafine Si particles, *Appl. Phys. Lett.*, 1990, **56**, 2379.
- 49 Y. Ding and J. Ni, Electronic structures of silicon nanoribbons, *Appl. Phys. Lett.*, 2009, **95**, 083115.
- 50 Y. H. Tang, L. Z. Pei, Y. W. Chen and C. Guo, Self-assembled silicon nanotubes under supercritically hydrothermal conditions, *Phys. Rev. Lett.*, 2005, **95**, 116102.
- 51 H. Nakano, T. Mitsuoka, M. Harada, K. Horibuchi, H. Nozaki, N. Takahashi, T. Nonaka, Y. Seno and H. Nakamura, Soft synthesis of single-crystal silicon monolayer sheets, *Angew. Chem., Int. Ed.*, 2006, **45**, 6303–6306.
- 52 U. Kim, I. Kim, Y. Park, K. Y. Lee, S. Y. Yim, J. G. Park, H. G. Ahn, S. H. Park and H. J. Choi, Synthesis of Si Nanosheets by a Chemical Vapor Deposition Process and Their Blue Emissions, *ACS Nano*, 2011, **5**(3), 2176–2181.
- 53 S. W. Kim, J. Lee, J. H. Sung, D. Seo, I. Kim, M. H. Jo, B. W. Kwon, W. K. Choi and H. J. Choi, Two-Dimensionally Grown Single-Crystal Silicon Nanosheets with Tunable Visible-Light Emissions, *ACS Nano*, 2014, **8**(7), 6556–6562.
- 54 D. Karar, N. R. Banddyopadhyay, A. K. Pramanick, D. Acharya, G. Conibeer, N. Banerjee, O. E. Kusmartseva and M. Ray, Quasi-two-dimensional luminescent silicon nanosheets, *J. Phys. Chem. C*, 2018, **122**, 18912–18921.
- 55 Y. Kanemitsu, K. Suzuki, M. Kondo and S. Kyushin, Luminescence properties of a cubic silicon cluster: octacubasilane, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1995, **51**, 10666.
- 56 Q. Wang, B. Xu, J. Sun, H. Liu, Z. Zhao, D. Yu, C. Fan and J. He, Direct band gap silicon allotropes, *J. Am. Chem. Soc.*, 2014, **136**, 9826–9829.



- 57 A. Kailer, Y. G. Gogotsi and K. G. Nickel, Phase transformations of silicon caused by contact loading, *J. Appl. Phys.*, 1997, **81**(7), 3057–3063.
- 58 A. J. Read, R. J. Needs, K. J. Nash, L. T. Canham, P. D. J. Calcott and A. Qteish, First principles calculations of the electronic properties of silicon quantum wires, *Phys. Rev. Lett.*, 1992, **69**(8), 1232–1235.
- 59 C. Delerue, G. Allan and M. Lannoo, Theoretical aspects of the luminescence of porous silicon, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1993, **48**(15), 11024.
- 60 M. S. Hybertsen and M. Needels, First-principles analysis of electronic states in silicon nanoscale quantum wires, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1993, **48**(7), 4608–4611.
- 61 B. Delley and E. F. Steigmeier, Size dependence of band gaps in silicon nanostructures, *Appl. Phys. Lett.*, 1995, **67**(16), 2370–2372.
- 62 S. Ke, K. Zhang and X. Xie, Electronic structures and optical absorption spectra of hydrogen-terminated Si quantum slabs, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1997, **55**(8), 5124–5128.
- 63 B. K. Agrawal and S. Agrawal, First principles study of one-dimensional quantum confined H-passivated ultrathin Si films, *Appl. Phys. Lett.*, 2000, **77**(19), 3039–3041.
- 64 L. Lin, Z. Li, J. Feng and Z. Zhang, Indirect to direct band gap transition in ultra-thin silicon films, *Phys. Chem. Chem. Phys.*, 2013, **15**, 6063–6067.
- 65 J. B. Xia and K. W. Cheah, Quantum confinement effect in silicon quantum well layers, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1997, **56**(23), 14925–14928.
- 66 M. Nishida, Theoretical study of luminescence enhancement in oxidized Si (001) ultrathin films, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1998, **58**(11), 7103–7112.
- 67 M. Nishida, Theoretical study of luminescence degradation by oxidation in Si (001) and Si (111) ultrathin films: gap states induced by oxidation, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1999, **66**(12), 8902–8908.
- 68 J. M. Wagner, K. Seino, F. Bechstedt, A. Dymiaty, J. Mayer, R. Rolver, M. Forst, B. Berghoff, B. Spangenberg and H. Kurz, Electronic band gap of Si/SiO₂ quantum wells: comparison of ab initio calculations and photoluminescence measurements, *J. Vac. Sci. Technol., A*, 2007, **25**(6), 1500–1504.
- 69 S. Ossicini, A. Fasolino and F. Bernardini, Gap opening in ultrathin Si layers: role of confined and interface states, *Phys. Rev. Lett.*, 1994, **72**(7), 1044–1047.
- 70 A. J. Read, R. J. Needs, K. J. Nash, L. T. Canham, P. D. J. Calcott and A. Qteish, First principles calculations of the electronic properties of silicon quantum wires, *Phys. Rev. Lett.*, 1992, **69**(8), 1232–1235.
- 71 F. Buda, J. Kohanoff and M. Parrinello, Optical properties of porous silicon: a first principles study, *Phys. Rev. Lett.*, 1992, **69**(8), 1272–1275.
- 72 G. D. Sanders and Y. C. Chang, Optical properties of free-standing silicon quantum wires, *Appl. Phys. Lett.*, 1992, **60**(20), 2525–2527.
- 73 C. Y. Yeh, S. B. Zhang and A. Zunger, Identity of the light emitting states in porous silicon wires, *Appl. Phys. Lett.*, 1993, **63**(25), 3455–3457.
- 74 B. Delley and E. F. Steigmeier, Quantum confinement in Si nanocrystals, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1993, **47**(3), 1397–1400.
- 75 A. B. Filonov, G. Petrov, V. A. Novikov and V. E. Borisenko, Orientation effect in electronic properties of silicon wires, *Appl. Phys. Lett.*, 1995, **67**(8), 1090–1091.



- 76 S. Ossicini, C. M. Bertoni, M. Biagini, A. Lugli, G. Roma and O. Bisi, Optical properties of isolated and interacting silicon quantum wires, *Thin Solid Films*, 1997, **297**, 154–162.
- 77 A. M. Saitta, F. Buda, G. Fiumara and P. V. Giaquinta, Ab initio molecular dynamics studies of electronic and optical properties of silicon quantum wires: orientational effects, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1996, **53**(3), 1446–1451.
- 78 X. Zianni and A. G. Nassiopoulou, Photoluminescence lifetimes of Si quantum wires, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2002, **66**, 205323.
- 79 R. Q. Zhang, Y. Lifshitz, D. D. D. Ma, Y. L. Zhao, T. Frauenheim, S. T. Lee and S. Y. Tong, Structures and energetics of hydrogen terminated Si nanowire surfaces, *J. Chem. Phys.*, 2005, **123**, 144703.
- 80 T. Vo, A. J. Williamson and G. Galli, First principles simulations of the structural and electronic properties of silicon nanowires, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2006, **74**, 045116.
- 81 D. Yao, G. Zhang and B. Li, A universal expression of band gap for silicon nanowires of different cross-section geometries, *Nano Lett.*, 2008, **8**(12), 4557–4561.
- 82 Z. Wu, J. B. Neaton and J. C. Grossman, Quantum confinement and electronic properties of tapered silicon nanowires, *Phys. Rev. Lett.*, 2008, **100**, 246804.
- 83 K. Zhuo and M. Y. Chou, Surface passivation and orientation dependence in the electronic properties of silicon nanowires, *J. Phys.: Condens. Matter*, 2013, **25**, 145501.
- 84 N. Zonias, P. Lagoudakis and C. K. Skylaris, Large scale first principles and tight binding density functional calculations on hydrogen passivated silicon nanorods, *J. Phys.: Condens. Matter*, 2013, **22**, 025303.
- 85 I. J. T. Jensen, A. G. Ulyashin and O. M. Lovvik, Direct to indirect bandgap transitions in $\langle 110 \rangle$ silicon nanowires, *J. Appl. Phys.*, 2016, **119**, 015702.
- 86 M. Nolan, S. O. Callaghan, G. Fagas, J. C. Greer and T. Frauenheim, Silicon nanowire bandgap modification, *Nano Lett.*, 2007, **7**(1), 34–38.
- 87 M. Gao, S. You and Y. Wang, First principles study of silicon nanowires with different surfaces, *Jpn. J. Appl. Phys.*, 2008, **47**(4S), 3303–3309.
- 88 D. B. Migas and V. E. Borisenko, Effects of oxygen, fluorine and hydroxyl passivation on electronic properties of (001) oriented silicon nanowires, *J. Appl. Phys.*, 2008, **104**, 024314.
- 89 K. Zhuo and M. Y. Chu, Surface passivation and orientation dependence in the electronic properties of silicon nanowires, *J. Phys.: Condens. Matter*, 2013, **25**, 145501.
- 90 I. Vasiliev, J. R. Chelikowsky and R. M. Martin, Surface oxidation effects on the optical properties of silicon nanocrystals, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2002, **65**, 121302.
- 91 C. S. Garoufalis, A. D. Zdetsis and S. Grimme, High Level *Ab Initio* Calculations of the Optical Gap of Small Silicon Quantum Dots, *Phys. Rev. Lett.*, 2001, **87**(27), 276402.
- 92 J. See, P. Dolfus and S. Galdin, Comparison between a sp^3d^5 tight binding and an effective mass description of Si quantum dots, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2002, **66**, 193307.
- 93 N. Tit, Z. H. Yamani, J. Graham and A. Ayes, Effects of the passivating coating on the properties of silicon nanocrystals, *Mater. Chem. Phys.*, 2010, **124**, 927–935.



- 94 P. Hapala, K. Kusova, I. Pelant and P. Jelinek, Theoretical analysis of electronic band structure of 2–3 nm Si nanocrystals, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2013, **87**, 195420.
- 95 A. Puzder, A. J. Williamson, J. C. Grossman and G. Galli, Surface control of optical properties in silicon nanoclusters, *J. Chem. Phys.*, 2002, **117**(14), 6721–6729.
- 96 Z. Zhou, L. Brus and R. Friesner, Electronic Structure and Luminescence of 1.1 and 1.4 nm Silicon Nanocrystals: Oxide Shell versus hydrogen Passivation, *Nano Lett.*, 2003, **3**(2), 163–167.
- 97 M. Luppi and S. Ossicini, Multiple Si=O bonds at the silicon cluster surface, *J. Appl. Phys.*, 2003, **94**, 2130.
- 98 G. Hadjisavvas and P. C. Kelires, Structure and energetics of Si nanocrystals embedded in a-SiO₂, *Phys. Rev. Lett.*, 2004, **93**, 226104.
- 99 X. Chen, X. Pi and D. Yang, Bonding of Oxygen at the Oxide/Nanocrystal Interface of Oxidized Silicon Nanocrystals: An *Ab Initio* Study, *J. Phys. Chem. C*, 2010, **114**, 8774–8781.
- 100 R. Wang, X. Pi and D. Yang, First-Principles Study on the Surface Chemistry of 1.4 nm Silicon Nanocrystals: Case of Hydrosilylation, *J. Phys. Chem. C*, 2012, **116**, 19434–19443.
- 101 Z. Ni, X. Pi and D. Yang, Density functional theory study on a 1.4 nm silicon nanocrystal coated with carbon, *RSC Adv.*, 2012, **2**, 11227–11230.
- 102 V. Kocovski, O. Eriksson and J. Ruzs, Transition between direct and indirect band gap in silicon nanocrystals, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2013, **87**, 245401.
- 103 P. Hapala, K. Kusova, I. Pelant and P. Jelinek, Theoretical analysis of electronic band structure of 2–3 nm Si nanocrystals, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2013, **87**, 195420.
- 104 H. Li, Z. Wu, T. Zhou, A. Sellinger and M. T. Lusk, Tailoring the optical gap of silicon quantum dots without changing their size, *Phys. Chem. Chem. Phys.*, 2014, **16**, 19275–19281.
- 105 T. Zhou, R. T. Anderson, H. Li, J. Bell, Y. Yang, B. P. Gorman, S. Pylypenko, M. T. Lusk and A. Sellinger, Bandgap Tuning of Silicon Quantum Dots by Surface Functionalization with Conjugated Organic Groups, *Nano Lett.*, 2015, **15**(6), 3657–3663.
- 106 V. Kocovski, Temperature dependence of radiative lifetime, optical and electronic properties of silicon nanocrystals capped with various organic ligands, *J. Chem. Phys.*, 2018, **149**, 054301.
- 107 M. Rohlfing and S. G. Louie, Excitonic effects and the optical absorption spectrum of hydrogenated Si clusters, *Phys. Rev. Lett.*, 1998, **80**(15), 3320–3323.
- 108 O. Lehtonen and D. Sundholm, Density functional studies of excited states of silicon nanoclusters, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2005, **72**, 085424.
- 109 M. Cruz, M. R. Beltran, C. Wang, J. Taguena-Martinez and Y. G. Rubo, Supercell approach to the properties of porous silicon, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1999, **59**(23), 15381–15387.
- 110 B. Sapoval, S. Russ and J. N. Chazalviel, Eigenstates in irregular quantum wells: application to porous silicon, *J. Phys.: Condens. Matter*, 1996, **8**, 6235–6249.





- 127 J. Valenta, Determination of absolute quantum yields of luminescing nanomaterials over a broad spectral range: from the integrating sphere theory to the correct methodology, *Nanosci. Methods*, 2014, **3**(1), 11–27.
- 128 Z. T. Kang, B. Arnold, C. J. Summers and B. K. Wagner, Synthesis of silicon quantum dot buried SiO_x films with controlled luminescent properties for solid state lighting, *Nanotechnology*, 2006, **17**, 4477–4482.
- 129 Y. Zhong, B. Song, X. Shen, D. Guo and Y. He, Fluorescein sodium ligand modified silicon nanoparticles produce ultrahigh fluorescence with robust pH- and photo-stability, *Chem. Commun.*, 2019, **55**, 365–368.
- 130 Q. Li, T. Y. Luo, M. Zhou, H. Abroshan, J. Huang, H. J. Kim, N. L. Rosi, Z. Shao and R. Jin, Silicon nanoparticles with surface nitrogen: 90% quantum yield with narrow luminescence bandwidth and the ligand structure based energy law, *ACS Nano*, 2016, **10**, 8385–8393.
- 131 Z. Yuan, T. Nakamura, S. Adachi and K. Matsuishi, Improvement of laser processing for colloidal silicon nanocrystal formation in a reactive solvent, *J. Phys. Chem. C*, 2017, **121**, 8623–8629.
- 132 Z. Yang, G. B. de los Reyes, L. V. Titova, I. Sychugov, M. Dasog, J. Linnros, F. A. Hegmann and J. G. C. Veinot, Evolution of the Ultrafast Photoluminescence of Colloidal Silicon Nanocrystals with Changing Surface Chemistry, *ACS Photonics*, 2015, **2**(5), 595–604.
- 133 A. Marinins, R. Z. Shafagh, W. van der Wijngaart, T. Haraldsson, J. Linnros and J. G. C. Veinot, Light-converting polymer/Si nanocrystal composites with stable 60–70% quantum efficiency and their glass laminates, *ACS Appl. Mater. Interfaces*, 2017, **9**, 30267–30272.
- 134 F. Sangghaleh, I. Sychugov, Z. Yang, J. G. C. Veinot and J. Linnros, Near-Unity Internal Quantum Efficiency of Luminescent Silicon Nanocrystals with Ligand Passivation, *ACS Nano*, 2015, **9**(7), 7097–7104.
- 135 D. Jurbergs, E. Rogojina, L. Mangoloni and U. Kortshagen, Silicon nanocrystals with ensemble quantum yields exceeding 60%, *Appl. Phys. Lett.*, 2006, **88**, 233116.
- 136 J. Valenta, M. Greben, S. A. Dyakov, N. A. Gippius, D. Hiller, S. Gutsch and M. Zacharias, Nearly perfect near infrared luminescence efficiency of Si nanocrystals: a comprehensive quantum yield study employing the Purcell effect, *Sci. Rep.*, 2019, **9**, 11214.
- 137 J. Joo, T. Defforge, A. Loni, D. Kim, Z. Y. Li, M. J. Sailor, G. Gautier and L. T. Canham, Enhanced quantum yield of photoluminescent porous silicon prepared by supercritical drying, *Appl. Phys. Lett.*, 2016, **108**, 153111.
- 138 B. Song, Y. Zhong, S. Wu, B. Chu, Y. Su and Y. He, One dimensional fluorescent silicon nanorods featuring ultrahigh photostability, favourable biocompatibility and excitation wavelength dependent emission spectra, *J. Am. Chem. Soc.*, 2016, **138**, 4824–4831.
- 139 B. J. Ryan, M. P. Hanrahan, Y. Wang, U. Ramesh, C. K. A. Nyamekye, R. D. Nelson, Z. Liu, C. Huang, B. Whitehead, J. Wang, L. T. Roling, E. A. Smith, A. J. Rosini and M. G. Panthani, Silicene, siloxene or silicane? Revealing the structural and optical properties of silicon nanosheets derived from calcium disilicide, *Chem. Mater.*, 2020, **32**(2), 795–804.
- 140 K. A. Gonchar, L. A. Osminkina, R. A. Galkin, M. B. Gongalsky, V. S. Marshov, V. Yu. Timoshenko, M. N. Kulmas, V. V. Solovyev, A. A. Kudryavtsev and V. A. Sivakov, Growth, Structure and Optical properties of Silicon



- Nanowires formed by Metal-Assisted Chemical Etching, *J. Nanoelectron. Optoelectron.*, 2012, **7**(6), 602–606.
- 141 X. Lu, C. M. Hessel, Y. Yu, T. D. Bogart and B. A. Korgel, Colloidal Luminescent Silicon Nanorods, *Nano Lett.*, 2013, **13**, 3101–3105.
 - 142 A. R. Goni, L. R. Muniz, J. S. Reparaz, M. I. Alonso, M. Garriga, A. F. Lopeandia, J. Rodriguez-Viejo, J. Arbitol and R. Rurali, Using high pressure to unravel the mechanism of visible emission in amorphous Si/SiO_x nanoparticles, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2014, **89**, 045428.
 - 143 R. Anthony and U. Kortshagen, Photoluminescence quantum yields of amorphous and crystalline silicon nanoparticles, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2009, **80**, 115407.
 - 144 A. Irrera, M. J. L. Faro, C. D'Andrea, A. A. Leonardi, P. Artoni, B. Fazio, R. A. Picca, N. Cioffi, S. Trusso, G. Franzo, P. Musumeci, F. Priolo and F. Iacona, Light emitting silicon nanowires obtained by metal-assisted chemical etching, *Semicond. Sci. Technol.*, 2017, **32**, 043004.
 - 145 E. C. Cho, M. A. Green, J. Xia, R. Corkish, P. Reece and M. Gal, Clear quantum-confined luminescence from crystalline silicon/SiO₂ single quantum wells, *Appl. Phys. Lett.*, 2004, **84**(13), 2286–2288.
 - 146 T. Helbich, A. Lyuleeva, T. Ludwig, L. M. Schwerf, T. F. Fassier, P. Lugli and B. Rieger, One-step synthesis of photoluminescent covalent polymeric nanocomposites from 2D silicon nanosheets, *Adv. Funct. Mater.*, 2006, **26**, 6711–6718.
 - 147 A. G. Cullis and L. T. Canham, Visible light emission due to quantum size effects in highly porous silicon, *Nature*, 1991, **353**, 335–337.
 - 148 M. V. Wolkin, J. Jorne, P. M. Fauchet, G. Allan and C. Delerue, Electronic States and Luminescence in Porous Silicon Quantum Dots: The Role of Oxygen, *Phys. Rev. Lett.*, 1999, **82**(1), 197–200.
 - 149 L. T. Canham, M. R. Houlton, W. Y. Leong, C. Pickering and J. M. Keen, Atmospheric impregnation of porous silicon at room temperature, *J. Appl. Phys.*, 1991, **70**, 422–431.
 - 150 J. C. Vial, A. Bsiesy, F. Gaspard, R. Herino, M. Ligeon, F. Muller, R. Romestain and R. F. Macfarlane, Mechanisms of visible-light emission from electro-oxidized porous silicon, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1992, **45**(24), 14171–14176.
 - 151 R. Boukeherroub, D. D. M. Wayner, D. J. Lockwood and L. T. Canham, Passivated luminescent porous silicon, *J. Electrochem. Soc.*, 2001, **148**(9), H91–H97.
 - 152 H. Mizuno, H. Koyama and N. Koshida, Oxide-free blue photoluminescence from photochemically etched porous silicon, *Appl. Phys. Lett.*, 1996, **69**(25), 3779–3781.
 - 153 Y. H. Xie, W. L. Wilson, F. M. Ross, J. A. Mucha, E. A. Fitzgerald, J. M. Macauley and T. D. Harris, Luminescence and structural study of porous silicon films, *J. Appl. Phys.*, 1992, **71**, 2403.
 - 154 J. Linnros, N. Lalic, A. Galeckas and V. Grivackas, Analysis of the stretched exponential photoluminescence decay from nanometer-sized silicon crystals in SiO₂, *J. Appl. Phys.*, 1999, **86**(11), 6128–6134.
 - 155 L. Pavesi and M. Ceschini, Stretched exponential decay of the luminescence in porous silicon, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1993, **48**(23), 17625–17628.



- 156 B. Gelloz and N. Koshida, Mechanism of a remarkable enhancement in the light emission from nanocrystalline porous silicon annealed in high pressure water vapor, *J. Appl. Phys.*, 2005, **98**, 123509.
- 157 Y. Takahashi, T. Furuta, Y. Ono, T. Ishiyama and M. Tabe, Photoluminescence from a silicon quantum well formed on separation by implanted oxygen substrate, *Jpn. J. Appl. Phys.*, 1995, **34**, 950–954.
- 158 P. N. Saeta and A. C. Gallagher, Visible luminescence from single crystal silicon quantum wells, *Appl. Phys. Lett.*, 1995, **77**(9), 4639–4641.
- 159 P. N. Saeta and A. C. Gallagher, Photoluminescence properties of silicon quantum well layers, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1997, **55**(7), 4563–4574.
- 160 S. Okamoto and Y. Kanemitsu, Quantum confinement and interface effects on photoluminescence from silicon quantum wells, *Solid State Commun.*, 1997, **103**(10), 573–576.
- 161 N. Pauc, V. Calvo, J. Eymery, F. Fournel and N. Magnea, Photoluminescence of nanometric single silicon quantum wells, *Opt. Mater.*, 2005, **27**, 1000–1003.
- 162 E. C. Cho, M. A. Green, J. Xia, R. Corkish, P. Reece, M. Gal and S. H. Lee, Photoluminescence in crystalline silicon quantum wells, *J. Appl. Phys.*, 2007, **101**, 024321.
- 163 X. Zhu, J. Lu, Y. Gao, S. Yuan, N. Zhou, M. Xie, Z. Zheng and Y. Zhao, Strong photoluminescence from ultrathin silicon (110) quantum wells at room temperature, *Jpn. J. Appl. Phys.*, 2017, **56**, 020305.
- 164 Z. H. Lu, D. J. Lockwood and J. M. Baribeau, Quantum confinement and light emission in SiO₂/Si superlattices, *Nature*, 1995, **378**, 258–260.
- 165 D. J. Lockwood, Z. H. Lu and J. M. Baribeau, Quantum confined luminescence in Si/SiO₂ superlattices, *Phys. Rev. Lett.*, 1996, **76**(3), 539–541.
- 166 S. V. Novikov, J. Sinnkonen, O. Kilpela and S. V. Gastev, Visible luminescence from Si/SiO₂ superlattices, *J. Vac. Sci. Technol., B: Microelectron. Nanometer Struct.–Process., Meas., Phenom.*, 1997, **15**(4), 1471–1473.
- 167 P. Photopoulos, A. G. Nassiopoulou, D. N. Kouvatsos and A. Travlos, Photoluminescence from nanocrystalline silicon in Si/SiO₂ superlattices, *Appl. Phys. Lett.*, 2000, **76**(24), 3588–3590.
- 168 V. Vinciguerra, G. Franzo, F. Priolo, F. Iacona and C. Spinella, Quantum confinement and recombination dynamics in silicon nanocrystals embedded in Si/SiO₂ superlattices, *J. Appl. Phys.*, 2000, **87**(11), 8165–8173.
- 169 Y. Kanemitsu, Y. Fukenishi, M. Iiboshi and S. Okamoto, Visible luminescence from Si/SiO₂ quantum wells and dots: confinement and localization of excitons, *Physica E: Low-dimensional Systems and Nanostructures*, 2000, **7**, 456–460.
- 170 F. Gourbilleau, X. Portier, C. TERNON, P. Voivenei, R. Madelon and R. Rizk, Si-rich/SiO₂ nanostructured multilayers by reactive magnetron sputtering, *Appl. Phys. Lett.*, 2001, **78**(20), 3058–3060.
- 171 M. Zacharias, J. Heitmann, R. Scholz, U. Kahler, M. Schmidt and J. Blasing, Size-controlled highly luminescent silicon nanocrystals: A SiO/SiO₂ Superlattice approach, *Appl. Phys. Lett.*, 2002, **80**(4), 661–663.
- 172 F. A. D'Avitaya, L. Vervoort, F. Bassani, S. Ossicini, A. Fasolino and F. Bernardini, Light emission at room temperature from Si/CaF₂ multilayers, *Europhys. Lett.*, 1995, **31**(1), 25–30.





- 187 X. Cheng, S. B. Lowe, P. J. Reece and J. J. Gooding, Colloidal silicon quantum dots: from preparation to the modification of self-assembled monolayers (SAMs) for bio-applications, *Chem. Soc. Rev.*, 2014, **43**, 2680–2700.
- 188 Y. Yu, G. Fan, A. Fermi, R. Mazzaro, V. Morandi, P. Ceroni, D. M. Smilgies and B. A. Korgel, Size-dependent photoluminescence efficiency of silicon nanocrystal quantum dots, *J. Phys. Chem. C*, 2017, **121**, 23240–23248.
- 189 C. Garcia, B. Garrido, P. Pellegrino, R. Ferre, J. A. Moreno, L. Pavesi, M. Cazanelli and J. R. Morante, Size dependence of lifetime and absorption cross section of Si nanocrystals embedded in SiO₂, *Appl. Phys. Lett.*, 2003, **82**, 1595.
- 190 C. Delerue, G. Allan, C. Reynaud, O. Guillard, G. Ledoux and F. Huysen, Multiexponential photoluminescence decay in indirect gap semiconductor nanocrystals, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2006, **73**, 235318.
- 191 M. Greben, P. Khoroshyy, X. Liu, X. Pi and J. Valenta, Fully radiative relaxation of silicon nanocrystals in colloidal ensemble revealed by advance treatment of decay kinetics, *J. Appl. Phys.*, 2017, **122**, 034304.
- 192 B. Gelloz, F. B. Juangsa, T. Nozaki, K. Asaka, N. Koshida and L. Jin, Si/SiO₂ core/shell photoluminescent silicon nanocrystals and porous silicon powders with high quantum yield, long lifetime and good stability, *Front. Phys.*, 2019, **7**, 47.
- 193 K. Furuta, M. Fujii, H. Sugimoto and K. Imakita, Energy Transfer in Silicon Nanocrystal Solids Made from All-Inorganic Colloidal Silicon Nanocrystals, *J. Phys. Chem. Lett.*, 2015, **6**, 2761–2766.
- 194 K. Potrick, T. Schmidt, S. Bublitz, Chr. Muhlig, W. Paa and F. Huysen, Determination of the photoluminescence quantum efficiency of silicon nanocrystals by laser-induced deflection, *Appl. Phys. Lett.*, 2011, **98**, 083111.
- 195 R. J. Walters, J. Kalkman, A. Polman, H. A. Atwater and M. J. A. de Dood, Photoluminescence quantum efficiency of dense silicon nanocrystals ensembles in SiO₂, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2006, **73**, 132302.
- 196 M. L. Mastronardi, F. Maier-Flag, D. Faulkner, E. J. Henderson, C. Kubel, U. Lemmer and G. A. Ozin, Size-dependent absolute quantum yields for size-separated colloiddally-stable silicon nanocrystals, *Nano Lett.*, 2011, **12**, 337–342.
- 197 M. Zacharias, L. Tsybeskov, K. D. Hirschman, P. M. Fauchet, J. Blasing, P. Kohlert and P. Veit, Nanocrystalline silicon superlattices: fabrication and characterization, *J. Non-Cryst. Solids*, 1998, **227–230**, 1132–1136.
- 198 L. E. Brus, P. F. Szajowski, W. L. Wilson, T. D. Harris, S. Schuppler and P. H. Citrin, Electronic Spectroscopy and Photophysics of Si Nanocrystals: Relationship to Bulk c-Si and Porous Si, *J. Am. Chem. Soc.*, 1995, **117**, 2915–2922.
- 199 K. A. Littau, A. J. Muller, P. J. Szawoski, A. R. Kortan and L. Brus, A luminescent silicon nanocrystal colloid via a high temperature aerosol reaction, *J. Phys. Chem.*, 1993, **97**, 1224–1230.
- 200 G. Ledoux, O. Guillois, D. Porterat, C. Reynaud, F. Huysen, B. Kohn and V. Paillard, Photoluminescence properties of silicon nanocrystals as a function of their size, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2000, **62**(23), 15942–15951.



- 201 S. Takeoka, M. Fujii and S. Hayashi, Size-dependent photoluminescence from surface-oxidized Si nanocrystals in a weak confinement regime, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2000, **62**, 16820–16825.
- 202 M. I. Alonso, I. C. Marcus, M. Garriga, A. R. Goni, J. Jedrzejewski and I. Balberg, Evidence of quantum confinement effects on interband optical transitions in Si nanocrystals, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2010, **82**, 045302.
- 203 M. L. Brongersma, A. Polman, K. S. Min, E. Boer, T. Tambo and H. A. Atwater, Tuning the emission wavelength of Si nanocrystals in SiO₂ by oxidation, *Appl. Phys. Lett.*, 1998, **72**(20), 2577–2579.
- 204 F. Iacona, G. Franzo and C. Spinella, Correlation between luminescence and structural properties of Si nanocrystals, *J. Appl. Phys.*, 2000, **87**(5), 1295–1303.
- 205 G. Polisski, H. Heckler, D. Kovalev, M. Schwartzkopff and F. Koch, Luminescence of porous silicon in a weak confinement regime, *Appl. Phys. Lett.*, 1998, **73**(8), 1107–1119.
- 206 J. Von Behren, T. van Buuren, M. Zacharias, E. H. Chimowitz and P. M. Fauchet, Quantum confinement in nanoscale silicon: the correlation of size with bandgap and luminescence, *Solid State Commun.*, 1998, **105**(5), 317–322.
- 207 J. Choi, N. S. Wang and V. Reipa, Photoassisted Tuning of Silicon Nanocrystal Photoluminescence, *Langmuir*, 2007, **23**, 3388–3394.
- 208 X. Liu, Y. Zhang, T. Yu, X. Qiao, R. Gresback, X. Pi and D. Yang, Optimum quantum yield of the light emission from 2 to 10 nm hydrosilylated silicon quantum dots, *Part. Part. Syst. Charact.*, 2016, **33**(1), 44–52.
- 209 A. M. P. Botas, R. J. Anthony, J. Wu, D. J. Rowe, N. J. O. Silva, U. Kortshagen, R. N. Pereira and R. A. S. Ferreira, Influence of the surface termination on the light emission of crystalline silicon nanoparticles, *Nanotechnology*, 2016, **27**, 325703.
- 210 G. M. Carroll, R. Limpens and N. R. Neale, Tuning confinement in colloidal silicon nanocrystals with saturated surface ligands, *Nano.Lett.*, 2018, **18**(5), 3118–3124.
- 211 A. Angi, R. Sinelnikov, H. H. Heenen, A. Meldrum, J. G. C. Veinot, D. Azulay, I. Balberg, O. Millo and B. Rieger, The influence of conjugated alkynyl (aryl) surface groups on the optical properties of silicon nanocrystals: photoluminescence through in-gap states, *Nanotechnology*, 2018, **29**, 355705.
- 212 M. Dasog, G. B. De los Reyes, L. V. Titova, F. A. Hegmann and J. G. C. Veinot, Size vs. Surface: Tuning the photoluminescence for Freestanding Silicon Nanocrystals Across the Visible Spectrum via Surface Groups, *ACS Nano*, 2014, **8**(9), 9636–9648.
- 213 M. Dasog, K. Bader and J. G. C. Veinot, Influence of halides on the optical properties of silicon quantum dots, *Chem. Mater.*, 2015, **27**, 1153–1156.
- 214 P. D. J. Calcott, K. J. Nash, L. T. Canham, M. J. Kane and D. Brumhead, Identification of radiative transitions in highly porous silicon, *J. Phys.: Condens. Matter*, 1993, **5**, L91–L98.
- 215 P. D. J. Calcott, K. J. Nash, L. T. Canham, M. J. Kane and D. Brumhead, Spectroscopic identification of the luminescence mechanism of highly porous silicon, *J. Lumin.*, 1995, **57**, 257–269.
- 216 M. Rosenbauer, M. Stutzmann, S. Finkbeiner, J. Weber and E. Bustaret, Resonantly excited photoluminescence spectra of porous silicon, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1995, **51**(16), 10539–10547.



- 217 M. Rosenbauer, M. Stutzmann, S. Finkbeiner, J. Weber and E. Bustaret, Reply to "Comment on Resonantly excited photoluminescence spectra of porous silicon", *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1997, **55**(15), 10117–10118.
- 218 T. Suemoto and A. Saito, Comment on "Resonantly excited photoluminescence spectra of porous silicon", *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1997, **55**(15), 10115–10116.
- 219 Y. Kanemitsu and S. Okamoto, Resonantly excited photoluminescence from porous silicon: effects of surface oxidation on resonant luminescence spectra, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1997, **56**(4), R1696–R1699.
- 220 Y. Kanemitsu and S. Okamoto, Phonon structures and Stokes shift in resonantly excited luminescence of silicon nanocrystals, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1998, **58**, 9652.
- 221 J. L. Gole and S. M. Prokes, Resonantly excited photoluminescence from porous silicon and the question of bulk phonon replicates, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1998, **58**(8), 4761–4770.
- 222 H. Heckler, D. Kovalev, G. Polisski, N. N. Zinovev and F. Koch, Magneto-optical effects in photoluminescence of Si nanocrystals, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1999, **60**(11), 7718–7721.
- 223 G. F. Grom, D. J. Lockwood, J. P. McCaffrey, H. J. Labbe, P. M. Fauchet, B. White Jr, J. Diener, D. Kovalev, F. Koch and L. Tsybeskov, Ordering and self-organization in nanocrystalline silicon, *Nature*, 2000, **407**, 358–361.
- 224 J. Heitman, F. Muller, L. Yi, M. Zacharias, D. Kovalev and F. Eichhorn, Excitons in silicon nanocrystals: confinement and migration effects, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2004, **69**, 195309.
- 225 Y. Kanemitsu, H. Sato, S. Nihonyanage and Y. Hirai, Efficient radiative recombination of indirect excitons in silicon nanowires, *Phys. Status Solidi A*, 2002, **190**, 755–758.
- 226 Y. Kanemitsu and S. Okamoto, Photoluminescence from Si/SiO₂ single quantum wells by selective excitation, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1997, **56**, 15561.
- 227 U. Kahler and H. Hofmeister, Size evolution and photoluminescence of silicon nanocrystallites in evaporated SiO_x thin films upon thermal processing, *Appl. Phys. A: Mater. Sci. Process.*, 2002, **74**, 13–17.
- 228 D. Kovalev, H. Heckler, M. Ben-Chorin, G. Polisski, M. Schwartzkopf and F. Koch, Breakdown of the k-conservation rule in Si nanocrystals, *Phys. Rev. Lett.*, 1998, **81**(13), 2803–2806.
- 229 Y. Kanemitsu and S. Okamoto, Optical properties of hydrogen terminated silicon nanocrystals, *Solid-State Electron.*, 1998, **42**(7–8), 1315–1318.
- 230 B. Goller, S. Polisski, H. Wiggers and D. Kovalev, Freestanding spherical silicon nanocrystals: A model system for studying confined excitons, *Appl. Phys. Lett.*, 2010, **97**, 041110.
- 231 M. D. Mason, G. M. Credo, K. D. Weston and S. K. Buratto, Luminescence of individual porous Si chromophores, *Phys. Rev. Lett.*, 1998, **80**, 5405–5408.
- 232 I. Sychugov, J. Valenta and J. Linnros, Probing silicon quantum dots by single-dot techniques, *Nanotechnology*, 2017, **28**, 072002.
- 233 A. Fucikova, I. Sychugov and J. Linnros, The shell matters: one step synthesis of core-shell silicon nanoparticles with room temperature ultranarrow emission linewidth, *Faraday Discuss.*, 2020, DOI: 10.1039/C9FD00093C.



- 234 L. V. Titova, T. L. Cocker, D. G. Cooke, X. Wang, A. Meldrum and F. A. Hegmann, Ultrafast percolative transport in silicon nanocrystal films, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2011, **83**, 085403.
- 235 A. V. Andrianov, D. I. Kovalev, V. B. Shuman and I. D. Yaroshetski, Short-lived green band and time evolution of the photoluminescence spectrum of porous silicon, *JETP Lett.*, 1992, **56**(5), 236–239.
- 236 T. Ito, T. Ohta and A. Hiraki, Light emission from microcrystalline Si confined in SiO₂ matrix through partial oxidation of porous silicon, *Jpn. J. Appl. Phys.*, 1992, **31**, L1–L3.
- 237 D. Kovalev, I. D. Yaroshetzki, T. Muschik, V. Petrova-Koch and F. Koch, Fast and slow visible luminescence bands of oxidized porous Si, *Appl. Phys. Lett.*, 1994, **64**(2), 214–216.
- 238 L. Tsybeskov, J. V. Vandyshev and P. M. Fauchet, Blue emission in porous silicon : oxygen related photoluminescence, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1994, **49**(11), 7821–7824.
- 239 A. Loni, A. J. Simons, P. D. J. Calcott and L. T. Canham, Blue photoluminescence from rapidly thermally oxidized porous silicon following storage in ambient air, *J. Appl. Phys.*, 1995, **77**(7), 3557–3559.
- 240 D. P. Yu, Q. L. Hang, Y. Ding, H. Z. Zhang, Z. G. Bai, J. J. Wang, Y. H. Zou, W. Qian, G. C. Xiong and S. Q. Feng, Amorphous silica nanowires: intensive blue light emitters, *Appl. Phys. Lett.*, 1998, **73**(21), 3076–3078.
- 241 J. Qi, A. M. Belcher and J. M. White, Spectroscopy of individual silicon nanowires, *Appl. Phys. Lett.*, 2003, **82**(16), 2616–2619.
- 242 P. Noe, J. Guignard, P. Gentile, E. Demeladeine and V. Calvo, Enhancement of the photoluminescence of silicon oxide defect states by combining silicon oxide with silicon nanowires, *J. Appl. Phys.*, 2007, **102**, 016103.
- 243 M. Shao, L. Cheng, M. Zhang, D. D. D. Ma, J. A. Zapien, S. T. Lee and X. Zhang, Nitrogen doped silicon nanowires: synthesis and their blue cathodoluminescence and photoluminescence, *Appl. Phys. Lett.*, 2009, **95**, 143110.
- 244 R. Li, X. Zhang, D. Zhang, Y. Zhang and G. Xiang, Optical properties and quantum confinement in ultrafine single crystal silicon nanowires synthesized by thermal evaporation without catalyst, *RSC Adv.*, 2020, DOI: 10.1039/C9FD00093C.
- 245 Y. Zhong, F. Peng, F. Bao, S. Wang, X. Ji, L. Yang, Y. Su, S. T. Lee and Y. He, Large-scale aqueous synthesis of fluorescent and biocompatible silicon nanoparticles and their use as highly photostable biological probes, *J. Am. Chem. Soc.*, 2013, **135**(22), 8350–8356.
- 246 B. V. Oliinyk, D. Korytko, V. Lysenko and S. Alekseev, Are fluorescent silicon nanoparticles formed in a one-pot aqueous synthesis?, *Chem. Mater.*, 2019, **31**, 7167–7172.
- 247 J. L. Z. Ddungu, S. Silvestrini, A. Tassoni and L. de Cola, Shedding light on the aqueous synthesis of silicon nanoparticles by reduction of silanes with citrates, *Faraday Discuss.*, 2020, DOI: 10.1039/C9FD00127A.
- 248 J. L. Wilbrink, C. C. Huang, K. Dohnalova and J. M. J. Paulusse, Critical assessment of wet-chemical oxidation synthesis of silicon quantum dots, *Faraday Discuss.*, 2020, DOI: 10.1039/C9FD00099B.
- 249 X. Zheng, D. Zhang, Z. Fan, Z. Huang, H. Mao and Y. Ma, One-step hydrothermal synthesis of ultrabright water-soluble silicon nanoparticles for folate-receptor-mediated bioimaging, *J. Mater. Sci.*, 2019, **54**, 9707–9717.



- 250 J. Wang, Y. Zhang, H. Hao and W. Shen, Structural evolution and effective improvement of emission quantum yields for silicon nanocrystals synthesized by femtosecond laser ablation in HF-containing solution, *Nanotechnology*, 2019, **30**, 015705.
- 251 X. Geng, Z. Li, Y. Hu, H. Liu, Y. Sun, H. Meng, Y. Wang, L. Qu and Y. Lin, One pot green synthesis of ultrabright N-doped fluorescent silicon nanoparticles for cellular imaging by using ethylenediaminetetracetic acid disodium salt as an effective reductant, *ACS Appl. Mater. Interfaces*, 2018, **10**, 27979–27986.
- 252 Y. Zhong, B. Song, F. Peng, Y. Wu, S. Wu, Y. Su and Y. He, In situ rapid growth of fluorescent silicon nanoparticles at room temperature and under atmospheric pressure, *Chem. Commun.*, 2016, **52**, 13444–13447.
- 253 F. Wu, X. Zhang, S. Kai, M. Zhang, H. Wang, J. N. Myers, Y. Weng, P. Liu, N. Gu and Z. Chen, One-Step Synthesis of Superbright Water-Soluble Silicon Nanoparticles with Photoluminescence Quantum Yield Exceeding 80%, *Adv. Mater. Interfaces*, 2015, 1500360.
- 254 L. Wang, Q. Li, H. Wang, J. Huang, R. Zhang, Q. Chen, H. Xu, W. H. Z. Shao and H. Sun, Ultrafast optical spectroscopy of surface-modified silicon quantum dots: unraveling the underlying mechanism of the Ultrabright and color-tunable photoluminescence, *Light: Sci. Appl.*, 2015, **4**, e245.
- 255 B. Cho, S. Baek, H. G. Woo and H. Sohn, Synthesis of silicon quantum dots showing high quantum efficiency, *J. Nanosci. Nanotechnol.*, 2014, **14**, 5868–5872.
- 256 J. Wang, S. Sun, F. Peng, L. Cao and L. Sun, Efficient one-pot synthesis of highly photoluminescent alkyl-functionalized silicon nanocrystals, *Chem. Commun.*, 2011, **47**, 4941–4943.
- 257 T. M. Atkins, A. Thibert, D. S. Larsen, S. Dey, N. D. Browning and S. M. Kaulrich, Femtosecond Ligand/Core Dynamics of Microwave Assisted Synthesized Silicon Quantum Dots in Aqueous Solution, *J. Am. Chem. Soc.*, 2011, **133**(51), 20664–20667.
- 258 A. S. Heintz, M. J. Fink and B. S. Mitchell, Mechanochemical synthesis of blue luminescent alkyl/alkenyl passivated silicon nanoparticles, *Adv. Mater.*, 2007, **19**(22), 3984–3988.
- 259 M. J. L. Portoles, F. R. Nieto, D. B. Soria, J. I. Amalvy, P. J. Peruzzo, D. O. Martire, M. Kotler, O. Holub and M. C. Gonzalez, Photophysical properties of blue – emitting silicon nanoparticles, *J. Phys. Chem. C*, 2009, **113**(31), 13694–13702.
- 260 A. Fojtik and A. Henglein, Surface Chemistry of Luminescent Colloidal Silicon Nanoparticles, *J. Phys. Chem. B*, 2006, **110**, 1994–1998.
- 261 K. Kimura, Blue luminescence from silicon nanoparticles suspended in organic liquids, *J. Cluster Sci.*, 1999, **10**(2), 359–380.
- 262 D. Dobrovolskas, J. Mickevicius, G. Tamulaitis and V. Reipa, Photoluminescence of Si nanocrystals under selective excitation, *J. Phys. Chem. Solids*, 2009, **70**, 439–443.
- 263 T. Schmidt, A. L. Chizhik, A. M. Chizhik, K. Potrick, A. J. Meixner and F. Huisken, Radiative exciton recombination and defect luminescence observed in single silicon nanocrystals, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2012, **86**, 125302.
- 264 K. Kimura and S. Iwasaki, Vibronic fine structure found in the blue luminescence from silicon nanocolloids, *Jpn. J. Appl. Phys.*, 1999, **38**, 609–612.



- 265 D. Tan, Z. Ma, B. Xu, Y. Dai, G. Ma, M. He, Z. Jin and J. Qiu, Surface passivated silicon nanocrystals with stable luminescence synthesized by femtosecond laser ablation in solution, *Phys. Chem. Chem. Phys.*, 2011, **13**, 20255–20261.
- 266 R. Intartaglia, K. Bagga, A. Genovese, A. Athanassiou, R. Cingolani, A. Diaspro and F. Brandi, Influence of organic solvent on optical and structural properties of ultra-small silicon dots synthesized by UV laser ablation in liquid, *Phys. Chem. Chem. Phys.*, 2012, **14**, 15406–15411.
- 267 S. Dewan, J. H. Othner, K. M. Tibbetts, S. Afsari, R. J. Levis and E. Borguet, Resolving the source of blue luminescence from alkyl-capped silicon nanoparticles synthesized by laser pulse ablation, *J. Mater. Chem. C*, 2016, **4**, 6894–6899.
- 268 T. Matsumoto, M. Maeda and H. Kobayashi, Photoluminescence enhancement of adsorbed species on Si nanoparticles, *Nanoscale Res. Lett.*, 2016, **11**, 7.
- 269 Y. Kanemitsu, T. Futagi, T. Matsumoto and H. Mimura, Origin of the blue and red luminescence from oxidized porous silicon, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1994, **49**(20), 14732–14735.
- 270 J. Valenta, A. Fucikova, I. Pelant, K. Kusova, K. Dohnalova, A. Aleknavicius, O. Cibulka, A. Fojtik and G. Kada, On the origin of the fast photoluminescence band in small silicon nanoparticles, *New J. Phys.*, 2008, **10**, 073022.
- 271 A. A. Prokofiev, A. S. Moskalenko and I. N. Yassievich, Direct bandgap optical transitions in Si nanocrystals, *JETP Lett.*, 2009, **90**(12), 758–762.
- 272 K. Dohnalova, A. Fucikova, C. P. Umesh, J. Humpolickova, J. M. J. Paulusse, J. Valenta, J. Huilhof, M. Hof and T. Gregorkiewicz, Microscopic origin of the fast blue-green luminescence of chemically synthesized non-oxidized silicon quantum dots, *Small*, 2012, **8**(20), 3185–3191.
- 273 L. Ondic, K. Kusova, M. Ziegler, L. Fekete, V. Gartnerova, V. Chab, V. Holy, O. Cibulka, K. Herynkova, M. Gallart, P. Gilliot, B. Honerlage and I. Pelant, A complex study of the fast blue luminescence of oxidized silicon nanocrystals: the role of the core, *Nanoscale*, 2014, **6**, 3837–3845.
- 274 G. G. Qin, X. S. Liu, S. Y. Ma, J. Lin, G. Q. Yao, X. Y. Lin and K. X. Lin, Photoluminescence mechanism for blue light emitting porous silicon, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1997, **55**(19), 12876–12879.
- 275 S. Yang, W. Li, B. Cao, H. Zeng and W. Cai, Origin of Blue Emission from Silicon Nanoparticles: Direct Transition and Interface Recombination, *J. Phys. Chem. C*, 2011, **115**, 21056–21062.
- 276 T. Uchino, N. Kurumoto and N. Sagawa, Structure and formation of blue light emitting centres in silicon and silica-based nanostructured materials, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2006, **73**, 233203.
- 277 B. Gelloz, R. Mentek and N. Koshida, Specific blue light emission from nanocrystalline porous Si treated by high-pressure water vapor annealing, *Jpn. J. Appl. Phys.*, 2009, **48**(4), 04C119.
- 278 L. T. Canham, A. Loni, P. D. J. Calcott, A. J. Simons, C. J. Reeves, M. R. Houlton, J. P. Newey, K. J. Nash and T. I. Cox, On the origin of blue luminescence arising from atmospheric impregnation of oxidized porous silicon, *Thin Solid Films*, 1996, **276**, 112–115.
- 279 A. Loni, A. J. Simons, P. D. J. Calcott, J. P. Newey, T. I. Cox and L. T. Canham, Relationship between storage media and blue photoluminescence for oxidized porous silicon, *Appl. Phys. Lett.*, 1997, **71**, 107–109.



- 280 Y. D. Glinka, A. S. Zyubin, A. M. Mebel, S. H. Lin, L. P. Hwang and Y. T. Chen, Photoluminescence from mesoporous silica akin to that from nanoscale silicon: the nature of the light-emitters, *Chem. Phys. Lett.*, 2002, **358**, 180–186.
- 281 J. Ewles, Luminescence of silica, *Nature*, 1950, **165**, 812–813.
- 282 J. Ewles and R. F. Youell, Luminescence effects associated with the production of silicon monoxide and with oxygen deficit in silica, *Trans. Faraday Soc.*, 1951, **47**, 1060–1064.
- 283 C. M. Carbonaro, P. C. Ricci, R. Corpino, M. Marceddu and A. Anedda, Photoluminescence of aged and regenerated mesoporous silica, *J. Non-Cryst. Solids*, 2011, **357**, 1904–1907.
- 284 P. Galar, T. Popelar, J. Khun, I. Matulkova, I. Nemec, K. Dohnalova Newell, A. Michalcova, V. Scholtz and K. Kusova, The red and blue luminescence in silicon nanocrystals with an oxidized, nitrogen-containing shell, *Faraday Discuss.*, 2020, DOI: 10.1039/C9FD00092E.
- 285 M. Dasog, Z. Yang, S. Regli, T. M. Atkins, A. Faramus, M. P. Singh, E. Muthuswamy, S. M. Kauzlarich, R. D. Tilley and J. G. C. Veinot, Chemical Insight into the Origin of Red and Blue Photoluminescence Arising from Freestanding Silicon Nanocrystals, *ACS Nano*, 2013, **7**(3), 2676–2685.
- 286 H. Chen, Z. Zhen, W. Tang, T. Todd, Y. Chuang, L. Wang, Z. Pan and J. Xie, Label-Free Luminescent Mesoporous Silica Nanoparticles for Imaging and Drug Delivery, *Theranostics*, 2013, **3**(9), 650–657.
- 287 J. B. Essner, J. A. Kist, L. Pola-Parada and G. A. Baker, Artifacts and errors associated with the ubiquitous presence of fluorescent impurities in carbon nanodots, *Chem. Mater.*, 2018, **30**, 1878–1887.
- 288 N. C. Verma, A. Yadav and C. K. Nandi, Paving the path to the future of carbogenic nanodots, *Nat. Commun.*, 2019, **10**, 2391.
- 289 L. T. Canham and A. J. Groszek, Characterization of microporous silicon by flow calorimetry: comparison with a hydrophobic silica molecular sieve, *J. Appl. Phys.*, 1992, **72**(4), 1558–1565.
- 290 C. W. Lai, Y. H. Hsiao, Y. K. Peng and P. T. Chou, Facile synthesis of highly emissive carbon dots from pyrolysis of glycerol: gram scale production of carbon dots/mSiO₂ for cell imaging and drug release, *J. Mater. Chem.*, 2012, **22**, 14403.
- 291 J. M. Lauerhaas and M. J. Sailor, Chemical modification of the photoluminescence quenching of porous silicon, *Science*, 1993, **261**, 1567–1568.
- 292 M. J. Sailor and E. C. Wu, Photoluminescence-based sensing with porous silicon films, microparticles and nanoparticles, *Adv. Funct. Mater.*, 2009, **19**(20), 3195–3208.
- 293 C. M. Gonzalez and J. G. C. Veinot, Silicon nanocrystals for the development of sensing platforms, *J. Mater. Chem.*, 2016, **C4**, 4836–4846.
- 294 X. Ji, H. Wang, B. Song, B. Chu and Y. He, Silicon nanomaterials for biosensing and bioimaging analysis, *Front. Chem.*, 2018, **6**, 38.
- 295 D. L. Fisher, J. Harper and M. J. Sailor, Energy transfer quenching of porous Si photoluminescence by aromatic molecules, *J. Am. Chem. Soc.*, 1995, **117**, 7846–7847.
- 296 J. Harper and M. J. Sailor, Detection of nitric oxide and nitrogen dioxide using photoluminescent porous silicon, *Anal. Chem.*, 1996, **68**(21), 3713–3717.



- 297 M. T. Kelly and A. B. Bocarsly, Effects of SO₂ and I₂ on the photoluminescence of oxidized porous silicon, *Chem. Mater.*, 1997, **9**, 1659–1664.
- 298 W. J. Salcedo, F. J. R. Fernandez and J. C. Rubim, Photoluminescence quenching effect on porous silicon films for gas sensors application, *Spectrochim. Acta, Part A*, 2004, **60**, 1065–1070.
- 299 J. Dian, T. Chvojka, V. Vrkoslav and I. Jelinek, Photoluminescence quenching of porous silicon in gas and liquid phases – the role of dielectric quenching and capillary condensation effects, *Phys. Status Solidi C*, 2005, **2**(9), 3481–3485.
- 300 T. Dittrich, E. A. Konstantinova and V. Y. Timoshenko, Influence of molecule adsorption on porous silicon photoluminescence, *Thin Solid Films*, 1995, **255**, 238–240.
- 301 F. Yin, X. R. Xiao, X. P. Li, Z. Z. Zhang, B. W. Zhang, Y. Cao, G. H. Li and Z. P. Wang, Photoluminescence enhancement of porous silicon by organic cyano compounds, *J. Phys. Chem. B*, 1998, **102**, 7978–7982.
- 302 G. Hollett, D. S. Roberts, M. Sewell, E. Wensley, J. Wagner, W. Murray, A. Krotz, B. Toth, V. Vijayakumar and M. J. Sailor, Quantum ensembles of silicon nanoparticles: discrimination of static and dynamic photoluminescence quenching processes, *J. Phys. Chem. C*, 2019, **128**, 17976–17986.
- 303 Y. Jin, W. Duan, F. Wo and J. Wu, Two-dimensional fluorescent strategy based on porous silicon quantum dots for metal ion detection and recognition, *ACS Appl. Nano Mater.*, 2019, **2**(10), 6110–6115.
- 304 M. T. Kelly, J. K. M. Chun and A. B. Bocarsly, A silicon sensor for SO₂, *Nature*, 1996, **382**, 214–215.
- 305 C. Baratto, G. Faglia, G. Sberveglieri, Z. Gaburro, L. Pancheri, C. Oton and L. Pavesi, Multiparametric porous silicon sensors, *Sensors*, 2002, **2**, 121–126.
- 306 T. Holec, T. Chvojka, I. Jelinek, J. Jindfich, I. Nemec, I. Pelant, J. Valenta and J. Dian, Determination of sensoric parameters of porous silicon in sensing of organic vapors, *Mater. Sci. Eng., C*, 2002, **19**, 251–254.
- 307 J. Dian, V. Vrkoslav and I. Jelinek, Chemical sensing by simultaneous measurement of photoluminescence intensity and photoluminescence decay time of porous silicon, *Phys. Status Solidi*, 2007, **4**(6), 2078–2082.
- 308 J. Dian, V. Vrkoslav and I. Jelinek, Recognition enhancement of oxidized and methyl-10-undecenoate functionalized porous silicon in gas phase photoluminescence sensing, *Sens. Actuators, B*, 2010, **147**, 406–410.
- 309 Z. H. Zhang, R. Lockwood, J. G. C. Veinot and A. Meldrum, Detection of ethanol and water vapour with silicon quantum dots coupled to an optical fiber, *Sens. Actuators, B*, 2013, **181**, 523–528.
- 310 O. Syshchuk, V. A. Skyshrevsky, O. O. Soldatykin and A. P. Soldatykin, Enzyme biosensor systems based on porous silicon photoluminescence for detection of glucose, urea and heavy metals, *Biosens. Bioelectron.*, 2015, **66**, 89–94.
- 311 J. Hwang, M. P. Hwang, M. Choi, Y. Seo, Y. Jo, J. Son, J. Hong and J. Choi, Sensitive detection of copper ions via ion-responsive fluorescence quenching of engineered porous silicon nanoparticles, *Sci. Rep.*, 2016, **6**, 35565.
- 312 V. Myndrul, R. Viter, M. Savchuk, M. Koval, N. Starodub, V. Silamikelis, V. Smyntyna, A. Ramanavicius and I. Iatsunskyi, Porous silicon based



- photoluminescence immunosensor for rapid and highly sensitive detection of ochratoxin A, *Biosens. Bioelectron.*, 2018, **102**, 661–667.
- 313 S. Content, W. C. Trogler and M. J. Sailor, Detection of nitrobenzene, DNT and TNT vapors by quenching of porous silicon photoluminescence, *Chem.-Eur. J.*, 2000, **6**(12), 2205–2213.
 - 314 I. N. Germanenko, S. Li and M. S. El-Shall, Decay dynamics and quenching of photoluminescence from silicon nanocrystals by aromatic nitro compounds, *J. Phys. Chem.*, 2001, **B105**, 59–66.
 - 315 C. M. Gonzalez, M. Iqbal, M. Dasog, D. G. Piercey, R. Lockwood, T. M. Kiapotke and J. G. C. Veinot, Detection of high energy compounds using photoluminescent silicon nanocrystal paper based sensors, *Nanoscale*, 2014, **6**, 2608–2612.
 - 316 A. Nguyen, C. M. Gonzalez, R. Sinelnikov, W. Newman, S. Sun, R. Lockwood, J. G. C. Veinot and A. Meldrum, Detection of nitroaromatics in the solid, solution and vapor phases using silicon quantum dot sensors, *Naotechnology*, 2016, **27**(10), 105501.
 - 317 S. Chan, P. M. Fauchet, Y. Li, L. J. Rothberg and B. L. Miller, Porous silicon microcavities for biosensing applications, *Phys. Status Solidi A*, 2000, **182**(1), 541–546.
 - 318 S. Chan, S. R. Horner, P. M. Fauchet and B. L. Miller, Identification of gram-negative bacteria using nanoscale silicon microcavities, *J. Am. Chem. Soc.*, 2001, **123**, 11797–11798.
 - 319 P. S. Chaudhuri, A. Gokarna, M. Kulkarni, M. S. Karve and S. V. Bhoraskar, Porous silicon as an entrapping matrix for the immobilization of urease, *Sens. Actuators, B*, 2005, **107**, 258–263.
 - 320 V. La Ferrara, G. Fiorentino, G. Rametta and G. Di Francia, Luminescence quenching of porous silicon nanoparticles in presence of ascorbic acid, *Phys. Status Solidi A*, 2012, **209**(4), 736–740.
 - 321 B. Xia, W. Zhang, J. Shi and S. Xiao, Fluorescence quenching in luminescent porous silicon nanoparticles for the detection of intracellular Cu^{2+} , *Analyst*, 2013, **138**, 3629–3632.
 - 322 M. Saleem, L. P. Lee and K. H. Lee, Photoluminescent sensor for acetylcholinesterase inhibitor determination, *J. Mater. Chem.*, 2014, **2**, 6802–6808.
 - 323 B. Cho, S. Kim, H. Woo, S. Kim and H. Sohn, Detection of Human IgG Using Photoluminescent Porous Silicon Interometer, *J. Nanosci. Nanotechnol.*, 2015, **15**, 1083–1087.
 - 324 S. Arshavsky-Graham, N. Massad-Ivanir, E. Segal and S. Weiss, Porous silicon based photonic biosensors: current status and emerging applications, *Anal. Chem.*, 2018, **91**(1), 441–467.
 - 325 Y. Yi, G. Zhu, C. Liu, Y. Huang, Y. Zhang, H. Li, J. Zhao and S. Yao, A label-free silicon quantum dots-based photoluminescence sensor for ultrasensitive detection of pesticides, *Anal. Chem.*, 2013, **85**, 11464–11470.
 - 326 J. Zhang and S. H. Yu, Highly photoluminescent silicon nanocrystals for rapid, label-free and recyclable detection of mercuric ions, *Nanoscale*, 2014, **6**(8), 4096–4101.
 - 327 J. Zhao, J. Deng, Y. Yi, H. Li, Y. Zhang and S. Yao, Label-free silicon quantum dots as fluorescent probe for selective and sensitive detection of copper ions, *Talanta*, 2014, **125**, 372–377.



- 328 J. Lin and Q. Wang, Role of novel silicon nanoparticles in luminescent detection of a family of antibiotics, *RSC Adv.*, 2015, **5**, 27458–27463.
- 329 B. B. Campos, M. Algarra, B. Alonso, C. M. Casado, J. Jimenez-Jimenez, E. Rodriguez-Castellon and J. C. G. Esteves da Silva, Fluorescent sensor for Cr (VI) based on functionalized silicon quantum dots with dendrimers, *Talanta*, 2015, **144**, 862–867.
- 330 B. Liao, W. Wang, X. Deng, B. He, W. Zeng, Z. Tang and Q. Liu, A facile one-step synthesis of fluorescent silicon quantum dots and their application for detecting Cu^{2+} , *RSC Adv.*, 2016, **6**, 14465–14467.
- 331 A. R. Jose, U. Sivasankaran, S. Menon and K. G. Kumar, A silicon nanoparticle based turn off fluorescent sensor for sudan I, *Anal. Methods*, 2016, **8**, 5701–5706.
- 332 Y. Guo, L. Zhang, F. Cao, L. Mang, X. Lei, S. Cheng and J. Song, Hydrothermal synthesis of blue-emitting silicon quantum dots for fluorescent detection of hypochlorite in tap water, *Anal. Methods*, 2016, **8**, 2723–2728.
- 333 L. Zhu, X. Peng, H. Li, Y. Zhang and S. Yao, On-off-on fluorescent silicon nanoparticles for recognition of chromium VII and hydrogen sulphide based on the inner filter effect, *Sens. Actuators, B*, 2017, **238**, 196–203.
- 334 Y. Han, Y. Chen, J. Liu, X. Niu, Y. Ma, S. Ma and X. Chen, Room temperature synthesis of yellow-emitting fluorescent silicon nanoparticles for sensitive and selective determination of crystal violet in fish tissues, *Sens. Actuators, B*, 2018, **263**, 508–516.
- 335 L. Luo, Y. Song, C. Zhu, S. Fu, Q. Shi, Y. Sun, B. Jia, D. Du, Z. Xu and Y. Lin, Fluorescent silicon nanoparticle-based ratiometric fluorescence immunoassay for sensitive detection of ethyl carbamate in red wine, *Sens. Actuators, B*, 2018, **225**, 2742–2749.
- 336 Y. Han, Y. Chen, J. Feng, M. Na, J. Liu, Y. Ma, S. Ma and X. Chen, Investigation of nitrogen content effect in reducing agent to prepare wavelength controllable fluorescent silicon nanoparticles and its application in detection of 2-nitrophenol, *Talanta*, 2019, **194**, 822–829.
- 337 R. Ban, F. Zheng and J. Zhang, A highly sensitive fluorescent assay for 2,4,6-trinitrotoluene using amine-capped silicon quantum dots as a probe, *Anal. Methods*, 2015, **7**(5), 1732–1737.
- 338 Y. Feng, Y. Liu, C. Su, X. Ji and Z. He, New fluorescent pH sensor based on label-free silicon nanodots, *Sens. Actuators, B*, 2014, **203**, 795–801.
- 339 X. Zhang, X. Chen, S. Kai, H. Y. Wang, J. Yang, F. G. Wu and Z. Chen, Highly selective and sensitive detection of dopamine using one pot synthesized highly photoluminescent silicon nanoparticles, *Anal. Chem.*, 2015, **87**, 3360–3365.
- 340 B. Chu, H. Wang, B. Song, F. Peng, Y. Su and Y. He, Fluorescent and photostable silicon nanoparticles sensors for real time and long term intracellular pH measurements in live cells, *Anal. Chem.*, 2016, **88**, 9235–9242.
- 341 Z. Li, X. Ren, C. Hao, X. Meng and Z. Li, Silicon quantum dots with tunable emission synthesized via one-step hydrothermal method and their application in alkaline phosphatase detection, *Sens. Actuators, B*, 2018, **260**, 426–431.
- 342 L. Meng, C. Lan, Z. Liu, J. Yin and N. Xu, Nitrogen-terminated silicon nanoparticles obtained via chemical etching and passivation are specific fluorescent probes for creatinine, *Microchim. Acta*, 2019, **186**, 387.



- 343 Q. Li, K. Peng, Y. Yu, X. Ruan and Y. Wei, One-pot synthesis of highly fluorescent silicon nanoparticles for sensitive and selective detection of hemoglobin, *Electrophoresis*, 2019, **40**, 2129–2134.
- 344 N. O'Farrell, A. Houlton and B. R. Horrocks, Silicon nanoparticles: applications in cell biology and medicine, *Int. J. Nanomed.*, 2006, **1**(4), 451–472.
- 345 H. A. Santos, L. M. Bimbo, B. Herranz, M. A. Shahbazi, J. Hirvonen and J. Salonen, Nanostructured porous silicon in pre-clinical imaging: moving from bench to bedside, *J. Mater. Chem.*, 2013, **28**(2), 152–164.
- 346 B. F. P. McVey and R. D. Tilley, Solution Synthesis Optical Properties, and Bioimaging Application of Silicon Nanocrystals, *Acc. Chem. Res.*, 2014, **47**(10), 3045–3051.
- 347 X. Cheng, S. B. Lowe, P. J. Reece and J. J. Gooding, Colloidal silicon quantum dots: from preparation to the modification of self-assembled monolayers (SAMs) for bio-applications, *Chem. Soc. Rev.*, 2014, **43**, 2680–2700.
- 348 M. Montalti, A. Cantelli and G. Batistelli, Nanodiamonds and silicon quantum dots : ultrastable and biocompatible luminescent nanoprobe for long-term bioimaging, *Chem. Soc. Rev.*, 2015, **44**, 4853–4921.
- 349 Y. Park, J. Yoo, M. Kang, W. Kwon and J. Joo, Photoluminescent and biodegradable porous silicon nanoparticles for biomedical imaging, *J. Mater. Chem. B*, 2019, **7**, 6271–6292.
- 350 F. Erogbogbo, K. Yong, I. Roy, G. Xu, P. N. Prasad and M. T. Swihart, Biocompatible luminescent silicon quantum dots for imaging of cancer cells, *ACS Nano*, 2008, **2**(5), 873–878.
- 351 S. K. Chiu, B. A. Manhat, W. J. I. deBenedetti, A. L. Brown, K. Fichter, T. Vu, M. Eastman, J. Jiao and A. M. Gosforth, Aqueous red-emitting silicon nanoparticles for cellular imaging: Consequences of protecting against surface passivation by hydroxide and water for stable red emission, *J. Mater. Res.*, 2013, **28**(2), 216–230.
- 352 M. B. Gongalsky, L. A. Osminkina, A. Pereira, A. A. Manankov, A. A. Federenko, A. N. Vasiliev, V. V. Solyev, A. A. Kudryavstev, M. Sentis, A. V. Kabashin and V. Y. Timoshenko, Laser-synthesized oxide-passivated bright Si quantum dots for bioimaging, *Sci. Rep.*, 2016, **6**, 24732.
- 353 E. J. Henderson, A. J. Shuhendler, P. Prasad, V. Baumann, F. Maier-Flag, D. O. Faulkner, U. Lemmer, X. Y. Lu and G. A. Ozin, Colloidally stable silicon nanocrystals with near infrared photoluminescence for biological fluorescence imaging, *Small*, 2011, **7**(17), 2507–2516.
- 354 Q. Wang, H. Ni, A. Pietsch, F. Hennies, Y. Bao and Y. Chao, Synthesis of water-dispersible photoluminescent silicon nanoparticles and their use in biological fluorescent imaging, *J. Nanopart. Res.*, 2011, **13**, 405–413.
- 355 J. Wang, Y. Liu, F. Peng, C. Chen, Y. He, H. Ma, L. Cao and S. Sun, A general route to efficient functionalization of silicon quantum dots for high performance fluorescent probes, *Small*, 2012, **8**(15), 2430–2435.
- 356 M. B. Gongalsky, U. A. Tsurikova, C. J. Storey, Y. V. Evstratova, A. A. Kudryavstev, L. T. Canham and L. A. Osminkina, The effects of drying technique and surface pre-treatment on the cytotoxicity and dissolution rate of luminescent porous silicon quantum dots in model fluids and living cells, *Faraday Discuss.*, 2020, DOI: 10.1039/C9FD00107G.



- 357 J. H. Park, L. Gu, G. von Maltzahn, E. Ruoslathi, S. N. Bhatia and M. J. Sailor, Biodegradable luminescent porous silicon nanoparticles for in vivo applications, *Nat. Mater.*, 2009, **8**(4), 331–336.
- 358 C. M. Hessel, M. R. Rasch, J. L. Hueso, B. W. Goodfellow, V. A. Akhavan, P. Puvanakrishnan, J. W. Tunnel and B. A. Korgel, Alkyl Passivation and Amphiphilic Polymer Coating of Silicon Nanocrystals for Diagnostic Imaging, *Small*, 2010, **6**(10), 2026–2034.
- 359 F. Erogbogbo, K. Yong, R. Hu, W. Law, H. Ding, C. Chang, P. N. Prasad and M. T. Swihart, Biocompatible magnetofluorescent probes : luminescent silicon quantum dots coupled with superparamagnetic iron(III) oxide, *ACS Nano*, 2010, **4**(9), 5131–5138.
- 360 F. Erogbogbo, K. T. Yong, I. Roy, R. Hu, W. C. Law, W. Zhao, H. Ding, F. Wu, R. Kumar, P. N. Prasad and M. T. Swihart, *In Vivo* Targeted Cancer Imaging, Sentinel Lymph Node Mapping and Multi-Channel Imaging with Biocompatible Silicon Nanocrystals, *ACS Nano*, 2011, **5**(1), 413–423.
- 361 F. Erogbogbo, C. Chang, J. L. May, L. Liu, R. Kumar, W. Law, H. Ding, K. T. Yong, I. Roy, M. Sheshadri, P. N. Prasad and M. T. Swihart, Bioconjugation of luminescent silicon quantum dots to gadolinium ions for bioimaging applications, *Nanoscale*, 2012, **4**, 5483–5489.
- 362 B. Xia, B. Wang, J. Shi, W. Zhang and S. Xiao, Engineering near-infrared fluorescent styrene terminated porous silicon nanocomposites with bovine serum albumin encapsulation for in vivo imaging, *J. Mater. Chem. B*, 2014, **2**, 8314–8320.
- 363 S. Chandra, B. Ghosh, G. Beaune, U. Nagarajan, T. Yasui, J. Nakamura, T. Tsuruoka, Y. Baba, N. Shirahata and F. M. Winnik, Functional double-shelled silicon nanocrystals for two-photon fluorescence cell imaging: spectral evolution and tuning, *Nanoscale*, 2016, **8**, 9009–9019.
- 364 B. J. Furey, D. A. Silbaugh, Y. Yu, A. C. Guillausier, A. D. Estrada, C. Stevens, J. A. Maynard, B. A. Korgel and M. C. Downer, Measurement of two-photon absorption of silicon nanocrystals in colloidal suspension for bio-imaging applications, *Phys. Status Solidi B*, 2018, **255**, 1700501.
- 365 M. Sakiyama, H. Sugimoto and M. Fujii, Long-lived luminescence of colloidal silicon quantum dots for time-gated fluorescence imaging in the second near infrared window in biological tissue, *Nanoscale*, 2018, **10**, 13902–13907.
- 366 D. Kim, J. Kang, T. Wang, H. G. Ryu, J. M. Zuidema, J. Joo, M. Kim, Y. Huh, J. Jung, K. H. Ahn, K. H. Kim and M. J. Sailor, Two-photon *in vivo* imaging with porous silicon nanoparticles, *Adv. Mater.*, 2019, **29**, 1703309.
- 367 L. Wang, V. Reipa and J. Blasic, Silicon Nanoparticles as a luminescent label to DNA, *Bioconjugate Chem.*, 2004, **15**(2), 409–412.
- 368 J. H. Warner, A. Hoshino, K. Yamamoto and R. D. Tilley, Water-Soluble Photoluminescent Silicon Quantum Dots, *Angew. Chem., Int. Ed.*, 2005, **44**, 2–6.
- 369 R. D. Tilley and K. Yamamoto, The microemulsion synthesis of hydrophobic and hydrophilic silicon nanocrystals, *Adv. Mater.*, 2006, **18**, 2053–2056.
- 370 K. Fujioka, M. Hiruoka, K. Sato, N. Manabe, R. Miyasaka, S. Hanada, A. Hoshino, R. D. Tilley, Y. Manome, K. Hirakuri and K. Yamamoto, Luminescent passive-oxidized silicon quantum dots as biological staining labels and their cytotoxicity effects at high concentration, *Nanotechnology*, 2008, **19**, 415102.



- 371 Y. He, Z. Kang, Q. Li, C. H. A. Tsang, C. Fan and S. T. Lee, Ultrastable highly fluorescent and water-dispersed silicon-based nanospheres as cellular probes, *Angew. Chem., Int. Ed.*, 2009, **48**, 128–132.
- 372 M. Rosso-Vassic, E. Spruijt, Z. Popovic, K. Overgaag, B. Lagen, B. Gradidier, D. Vanmaekelbergh, D. Dominguez-Gutierrez, L. de Cola and H. Zuilhof, Amine-terminated silicon nanoparticles: synthesis, optical properties and their use in bioimaging, *J. Mater. Chem.*, 2009, **19**, 5926–5933.
- 373 B. A. Manhatu, A. L. Brown, L. A. Black, J. B. A. Ross, K. Fichter, T. Vu, E. Richman and A. M. Goforth, One step Melt Synthesis of Water Soluble, Photoluminescent, Surface-Oxidized Silicon Nanoparticles for Cellular Imaging, *Chem. Mater.*, 2011, **23**(9), 2407–2418.
- 374 A. Shiohara, S. Prabakar, A. Faramus, C. Hsu, P. Lai, P. T. Northcote and R. D. Tilley, Sized controlled synthesis, purification and cell studies with silicon quantum dots, *Nanoscale*, 2011, **3**, 3364.
- 375 P. Shen, S. Ohta, S. Inasawa and Y. Yamaguchi, Selective labelling of the endoplasmic reticulum in live cells with silicon quantumdots, *Chem. Commun.*, 2011, **47**, 8409–8411.
- 376 J. H. Ahire, Q. Wang, P. R. Coxon, G. Malhotra, R. Brydson, R. Chen and Y. Chao, Highly luminescent and nontoxic amine-capped nanoparticles for porous silicon: synthesis and their use in biomedical imaging, *ACS Appl. Mater. Interfaces*, 2012, **4**, 3285–3292.
- 377 J. H. Ahire, I. Chambrier, A. Mueller, Y. Bao and Y. Chao, Synthesis of d-mannose capped silicon nanoparticles and their interactions with MCF-7 human breast cancerous cells, *ACS Appl. Mater. Interfaces*, 2013, **5**, 7384–7391.
- 378 J. Wang, D. Ye, G. Liang, J. Chang, J. Kong and J. Chen, One-step synthesis of water-dispersible silicon nanoparticles and their use in fluorescence lifetime imaging of living cells, *J. Mater. Chem. B*, 2014, **2**, 4338–4345.
- 379 X. Zhang, X. Chen, J. Yang, H. R. Jia, Y. H. Li, Z. Chen and F. G. Wu, Quarternized silicon nanoparticles with polarity-sensitive fluorescence for selectively imaging and killing gram-positive bacteria, *Adv. Funct. Mater.*, 2016, **26**, 5958–5970.
- 380 R. Xing, K. Li, Y. Zhou, Y. Su, S. Yan, K. Zhang, S. Wu, Y. Sima, K. Zhang, Y. He and S. Xu, Impact of fluorescent silicon nanoparticles on circulating hemolymph and hematopoiesis in an invertebrate organism, *Chemosphere*, 2016, **159**, 628–637.
- 381 S. Li, F. Wang, X. He, W. Li and Y. Zhang, One-pot hydrothermal preparation of gadolinium doped silicon nanoparticles as a dual-modal probe for multicolor fluorescence and magnetic resonance imaging, *J. Mater. Chem. B*, 2018, **6**, 3358–3365.
- 382 G. Hong, A. L. Antaris and H. Dai, Near infrared fluorophores for biomedical imaging, *Nat. Biomed. Eng.*, 2017, **1**, 0010.
- 383 M. Sakiyama, H. Sugimoto and M. Fujii, Long-lived luminescence of colloidal silicon quantum dots for time-gated fluorescence imaging in the second near infrared window in biological tissue, *Nanoscale*, 2018, **10**, 13902–13907.
- 384 G. S. He, Q. Zheng, K. Yong, F. Erogbogbo, M. T. Swihart and P. N. Prasad, Two and three photon absorption and frequency upconverted emission of silicon quantum dots, *Nano Lett.*, 2020, DOI: 10.1039/C9FD00107G.
- 385 L. Ravotto, Q. Chen, Y. Ma, S. A. Vinogradov, M. Locritani, G. Bergamini, F. Negri, Y. Xu, B. A. Korgel and P. Ceroni, Bright long lived luminescence



- of silicon nanocrystals sensitized by two-photon absorbing antenna, *Chem*, 2017, **2**, 550–560.
- 386 X. Cheng, E. Hinde, D. M. Owen, S. B. Lowe, P. J. Reece, K. Gaus and J. J. Gooding, Enhancing Quantum Dots for Bioimaging using Advanced Surface Chemistry and Advanced Optical Microscopy: Application to Silicon Quantum Dots (SiQDs), *Adv. Mater.*, 2015, **27**(40), 6144–6150.
 - 387 E. Cassette, M. Helle, L. Bezdetnaya, F. Marchal, B. Dubertret and T. Pons, Design of new quantum dot materials for deep tissue infrared imaging, *Adv. Drug Delivery Rev.*, 2013, **65**, 719–731.
 - 388 L. Gu, D. J. Hall, Z. Qin, E. Anglin, J. Joo, D. J. Mooney, S. B. Howell and M. J. Sailor, *In Vivo* time-gated fluorescence imaging with biodegradable luminescent porous silicon nanoparticles, *Nat. Commun.*, 2013, **4**, 2326.
 - 389 C. Tu, K. Awasthi, K. Chen, C. Lin, M. Hamada, N. Ohta and Y. Li, Time-gated imaging on live cancer cells using silicon quantum dot nanoparticles with long lived fluorescence, *ACS Photonics*, 2017, **4**, 1306–1315.
 - 390 L. T. Canham, Bioactive silicon structure fabrication through nanoetching techniques, *Adv. Mater.*, 1995, **7**(12), 1033–1037.
 - 391 S. P. Low, N. H. Voelcker, L. Canham and K. A. Williams, The biocompatibility of porous silicon in tissues of the eye, *Biomaterials*, 2009, **30**(15), 2873–2880.
 - 392 F. Johansson, L. Wallman, N. Danielson, J. Schouenberg and M. Kanje, Porous silicon as a potential electrode material in a nerve repair setting: tissue reactions, *Acta Biomater.*, 2009, **5**(6), 2230–2237.
 - 393 M. A. Tolli, M. P. A. Ferreira, S. M. Kinnunen, J. Rysa, E. M. Makila, Z. Szabo, R. E. Serpi, P. J. Ohukaihnen, M. J. Valimaki, A. M. R. Korreira, J. J. Salonen, J. T. Hirvonen, H. J. Ruskoaho and H. A. Santos, In vivo biocompatibility of porous silicon biomaterials for drug delivery to the heart, *Biomaterials*, 2014, **35**(29), 8394–8405.
 - 394 K. Fujioka, M. Hiruoka, K. Sato, N. Manabe, R. Miyasaka, S. Hanada, A. Hoshino, R. D. Tilley, Y. Manome, K. Hirakuri and K. Yamamoto, Luminescent passive-oxidized silicon quantum dots as biological staining labels and their cytotoxicity effects at high concentration, *Nanotechnology*, 2008, **19**, 415102.
 - 395 H. A. Santos, J. Rikonen, J. Salonen, E. Makila, T. Heikkila, T. Laaksonen, L. Peltonen, V. P. Lehto and V. Hirvonen, In vitro cytotoxicity of porous silicon microparticles: effect of the particle concentration, surface chemistry and size, *Acta Biomater.*, 2010, **6**(7), 2721–2731.
 - 396 L. Ostrovska, A. Broz, A. Fucikova, T. Belinova, H. Sugimoto, T. Kanno, M. Fujii, J. Vaslenta and M. H. Kalbacova, The impact of doped silicon quantum dots on human osteoblasts, *RSC Adv.*, 2016, **6**, 63403.
 - 397 Z. Cao, F. Peng, Z. Hu, B. Chu, Y. Zhong, Y. Su, S. He and Y. He, In vitro cellular behaviours and toxicity assays of small-sized fluorescent silicon nanoparticles, *Nanoscale*, 2017, **9**, 7602–7611.
 - 398 A. E. Kusi-Appiah, M. L. Mastronardi, C. Qian, K. K. Chan, L. Ghazanfari, P. Prommapan, C. Kubel, G. A. Ozin and S. Lenhart, Enhanced cellular uptake of size-separated lipophilic silicon nanoparticles, *Sci. Rep.*, 2017, **7**, 43731.
 - 399 T. Belinova, L. Vrabцова, I. Machova, A. Fucikova, J. Valenta, H. Sugimoto, M. Fujii and M. H. Kalbacova, Silicon quantum dots and their impact on different human cells, *Phys. Status Solidi B*, 2018, **255**, 1700597.



- 400 W. Phatvej, H. K. Datta, S. C. Wilkinson, E. Mutch, A. K. Daly and B. R. Horrocks, Endocytosis and lack of cytotoxicity of alkyl-capped silicon quantum dots prepared from porous silicon, *Materials*, 2019, **12**, 1702.
- 401 Y. He, Y. Su, X. Yang, Z. Kang, T. Xu, R. Zhang, C. Fan and S. T. Lee, Photo and pH Stable, High-Luminescent Silicon Nanospheres and Their Bioconjugates for Immunofluorescent Cell Imaging, *J. Am. Chem. Soc.*, 2009, **131**(12), 4434–4438.
- 402 K. Sato, S. Yokosuka, Y. Takigami, K. Hirakuri, K. Fujioka, Y. Manome, H. Sukegawa, H. Iwai and N. Fukata, Size-tunable silicon/iron oxide hybrid nanoparticles with fluorescence, superparamagnetism, and biocompatibility, *J. Am. Chem. Soc.*, 2011, **133**, 18626–18633.
- 403 B. Xia, J. Li, J. Shi, Y. Zhang, Q. Zhang, Z. Chen and B. Wang, Biodegradable and magnetic-fluorescent porous silicon @ iron oxide nanocomposites for fluorescence/magnetic resonance bimodal imaging of tumor in vivo, *ACS Biomater. Sci. Eng.*, 2017, **3**(10), 2579–2587.
- 404 S. Li, Y. Yuan, Y. Yang, C. Li, M. C. McMahon, M. Liu, S. Chen and X. Zhou, Potential detection of cancer with fluorinated silicon nanoparticles in 19F MRI and fluorescence imaging, *J. Mater. Chem.*, 2018, **B6**, 4293–4300.
- 405 M. P. Singh, T. M. Atkins, E. Mathuswamy, S. Kamali, C. Tu, A. Y. Louie and S. M. Kauzlarich, Development of Iron-Doped Silicon Nanoparticles As Bimodal Imaging Agents, *ACS Nano*, 2012, **6**(6), 5596–5604.
- 406 G. Singh, J. L. Z. Ddughu, N. Licciardello, R. Bergmann, L. de Cola and H. Stephan, Ultrasmall silicon nanoparticles as a promising platform for multimodal imaging, *Faraday Discuss.*, 2020, DOI: 10.1039/C9FD00091G.
- 407 J. Xie, S. Lee and X. Chen, Nanoparticle-based theranostic agents, *Adv. Drug Delivery Rev.*, 2010, **62**(11), 1064–1079.
- 408 T. Lammers, S. Aime, W. E. Hennick, G. Storm and F. Kiesling, Theranostic nanomedicine, *Acc. Chem. Res.*, 2011, **44**(10), 1029–1038.
- 409 D. Kovalev and M. Fujii, Silicon nanocrystals: photosensitizers for oxygen molecules, *Adv. Mater.*, 2005, **17**, 2531–2544.
- 410 L. A. Osminkina and V. Y. Timoshenko, Porous silicon as a sensitizer for biomedical applications, *Mesoporous Biomater.*, 2016, **3**, 39–48.
- 411 L. A. Osminkina, K. P. Tamarov, A. P. Sviridov, R. A. Galkin, M. B. Gongalsky, V. V. Solovye, A. A. Kudryavstev and V. Y. Timoshenko, Photoluminescent biocompatible silicon nanoparticles for cancer theranostic applications, *J. Biophotonics*, 2012, **5**(7), 529–535.
- 412 V. Stojanovic, F. Cunin, J. O. Durand, M. Garcia and M. Gary-Bobo, Potential of porous silicon nanoparticles as an emerging platform for cancer theranostics, *J. Mater. Chem. B*, 2016, **4**, 7050–7059.
- 413 C. X. Huang, S. Han, W. Huang and X. Liu, Enhancing solar cell efficiency: the search for luminescent materials as spectral converters, *Chem. Soc. Rev.*, 2013, **42**, 173–201.
- 414 V. Svrcek, A. Slaoui and J. C. Muller, Silicon nanocrystals as light converter for solar cells, *Thin Solid Films*, 2004, **451–452**, 384–388.
- 415 M. Stupca, M. Alsalhi, T. A. Saud, A. Almuhanha and M. H. Nayfeh, Enhancement of polycrystalline silicon solar cells using ultrathin films of silicon nanoparticles, *Appl. Phys. Lett.*, 2007, **91**, 063107.
- 416 X. Pi, Q. Di, L. Li and D. Yang, Spin-coating silicon quantum dot ink to improve solar cell efficiency, *Sol. Energy Mater. Sol. Cells*, 2011, **95**, 2941–2945.



- 417 X. Pi, L. Zhang and D. Yang, Enhancing the efficiency of multicrystalline silicon solar cells by the inkjet printing of silicon-quantum-dot ink, *J. Phys. Chem. C*, 2012, **116**, 21240–21243.
- 418 Z. Yuan, G. Pucker, A. Marconi, F. Sgrignuoli, A. Anopchenko, Y. Jestin, L. Ferrario, P. Bellutti and L. Pavesi, Silicon nanocrystals as a photoluminescence down shifter of solar cells, *Sol. Energy Mater. Sol. Cells*, 2011, **95**, 1224–1227.
- 419 F. Sgrignuoli, P. Ingenhoven, G. Pucker, V. D. Mihailetchi, E. Froner, Y. Jestin, E. Moser, G. Sanchez and L. Pavesi, Purcell effect and luminescent downshifting in silicon nanocrystals coated back-contact solar cells, *Sol. Energy Mater. Sol. Cells*, 2012, **132**, 267–274.
- 420 H. C. Hao, W. Shi, J. R. Chen and M. Lu, Mass production of Si quantum dots for commercial c-Si solar cell efficiency improvement, *Mater. Lett.*, 2014, **133**, 80–82.
- 421 M. C. Beard, K. P. Knutsen, P. Yu, J. M. Luther, Q. Song, W. K. Metzger, R. J. Ellingson and A. J. Nozik, Multiple Exciton Generation in Colloidal Silicon Nanocrystals, *Nano Lett.*, 2007, **7**(8), 2506–2512.
- 422 D. Timmerman, I. Izeddin, P. Stallinga, I. N. Yassievich and T. Gregorkiewicz, Space-separated quantum cutting with silicon nanocrystals for photovoltaic applications, *Nat. Photonics*, 2008, **2**, 105–109.
- 423 M. T. Trinh, R. Limpens, W. D. A. M. de Boer, J. M. Shins, L. D. A. Siebbeles and T. Gregorkiewicz, Direct generation of multiple excitons in adjacent silicon nanocrystals revealed by induced absorption, *Nat. Photonics*, 2012, **36**, 1–6.
- 424 M. Govoni, I. Marri and S. Ossicini, Carrier multiplication between interacting crystals for fostering silicon-based photovoltaics, *Nat. Photonics*, 2012, **6**, 672–679.
- 425 P. Zhang, Y. Feng, X. Wen, W. Cao, R. Anthony, U. Kortshagen, G. Conibeer and S. Huang, Generation of hot carrier population in colloidal silicon quantum dots for high efficiency photovoltaics, *Sol. Energy Mater. Sol. Cells*, 2016, **145**, 391–396.
- 426 F. Meinardi, S. Ehrenberg, L. Dharmo, F. Carulli, M. Mauri, F. Bruni, R. Simonutti, U. Kortshagen and S. Brovelli, Highly efficient luminescent solar concentrators based on earth abundant indirect bandgap silicon quantum dots, *Nat. Photonics*, 2017, **11**, 177–186.
- 427 S. K. E. Hill, R. Connell, C. Peterson, J. Hollinger, M. A. Hillmyer, U. Kortshagen and V. E. Ferry, Silicon quantum dot – poly(methyl methacrylate) nanocomposites with reduced light scattering for luminescent solar concentrators, *ACS Photonics*, 2019, **6**, 170–180.
- 428 R. Mazarro, A. Gradone, S. Angeloni, G. Morselli, P. G. Cozzi, F. Romano, A. Vomiero and P. Ceroni, Hybrid silicon nanocrystals for color-neutral and transparent luminescent solar concentrators, *ACS Photonics*, 2019, **6**, 2303–2311.
- 429 J. Cho, J. H. Park, J. K. Kim and E. F. Schubert, White light emitting diodes: history, progress and future, *Laser Photonics Rev.*, 2017, **11**(2), 1600147.
- 430 C. C. Tu, J. H. Hoo, K. F. Bohringer, L. Y. Lin and G. Cao, Red-emitting silicon quantum dot phosphors in warm white LEDs with excellent color rendering, *Opt. Express*, 2014, **22**(S2), A276–A28.



- 431 K. Dohnalova, L. Ondic, K. Kusova, I. Pelant, J. L. Rehspringer and R. R. Mafouna, White-emitting oxidized silicon nanocrystals: Discontinuity in spectral development with reducing size, *J. Appl. Phys.*, 2010, **107**, 053102.
- 432 A. V. Vasin, Y. Ishikawa, N. Shibata, J. Salonen and V. P. Lehto, Strong White Photoluminescence for Carbon Incorporated Silicon Oxide Fabrication by Preferential Oxidation of Silicon in Nano-Structured Si: C Layer, *Jpn. J. Appl. Phys.*, 2007, **46**(19), L465–L467.
- 433 Y. Ishikawa, A. V. Vasin, J. Salonen, S. Muto, V. S. Lysenko, A. N. Nazarov, N. Shibata and V. P. Lehto, Color control of white photoluminescence from carbon-incorporated silicon oxide, *J. Appl. Phys.*, 2008, **104**, 083522.
- 434 S. Y. Seo, K. S. Cho and J. H. Shin, Intense blue-white luminescence from carbon-doped silicon-rich silicon oxide, *Appl. Phys. Lett.*, 2004, **84**(5), 717–719.
- 435 A. Peres-Rodriguez, O. Gonzalez-Verona, B. Garrido, P. Pellegrino, J. R. Morante, C. Bonatos, M. Carrada and A. Claverie, White luminescence from Si⁺ and C⁺ ion implanted SiO₂ films, *J. Appl. Phys.*, 2003, **94**(1), 254–262.
- 436 B. Ghosh, M. Ogawara, Y. Sakka and N. Shirahata, White-light-emitting Liquefiable Silicon Nanocrystals, *Chem. Lett.*, 2012, **41**, 1157–1159.
- 437 C. Liu, Z. Zhao, R. Zhang, L. Yang, Z. Wang, J. Yang, H. Jiang, M. Y. Han, B. Liu and Z. Zhang, Strong infrared laser ablation produces white light emitting materials via the formation of silicon and carbon quantum dots in silica nanoparticles, *J. Phys. Chem. C*, 2015, **119**, 8266–8272.
- 438 G. Barillaro and L. M. Strambini, Color tuning of light-emitting-diodes by modulating the concentration of red-emitting silicon nanocrystal phosphors, *Appl. Phys. Lett.*, 2014, **104**, 091102.
- 439 L. Yang, Y. Liu, Y. L. Zhong, X. X. Jiang, B. Song, X. Y. Ji, Y. Y. Su, L. S. Liao and Y. He, Fluorescent silicon nanoparticles utilized as stable color converters for white light-emitting diodes, *Appl. Phys. Lett.*, 2015, **106**, 173–109.
- 440 B. Song, Y. Zhong, S. Wu, B. Chu and Y. He, One dimensional fluorescent silicon nanorods featuring ultrahigh photostability, favourable biocompatibility and excitation wavelength dependent emission spectra, *J. Am. Chem. Soc.*, 2016, **138**, 4824–4831.
- 441 S. Bose, M. A. Ganayee, B. Mondal, A. Baidya, S. Chennu, J. S. Mohanty and T. Pradeep, Synthesis of silicon nanoparticles from rice husk and their use as sustainable fluorophores for white light emission, *ACS Sustainable Chem. Eng.*, 2018, **6**(5), 6203–6210.
- 442 C. D. Brites, P. P. Lima, N. J. O. Silva, A. Millan, V. S. Amaral, F. Palacio and L. D. Carlos, Thermometry at the nanoscale, *Nanoscale*, 2012, **4**, 4799.
- 443 D. Jaque and F. Vetrone, Luminescence nanothermometry, *Nanoscale*, 2012, **4**, 4301–4326.
- 444 M. A. Cardona-Castro, A. Morales-Sanchez, L. Licea-Jimenez and J. Alvarez-Quintana, Si-nanocrystal-based nanofluids for nanothermometry, *Nanotechnology*, 2016, **27**, 235502.
- 445 Y. B. Ryabchikov, S. Alekseev, V. Lysenko, G. Bremond and J. M. Bluet, Luminescence behavior of silicon and carbon nanoparticles dispersed in low polar liquids, *Nanoscale Res. Lett.*, 2012, **7**, 365.
- 446 Y. B. Ryabchikov, S. Alekseev, V. Lysenko, G. Bremond and J. M. Bluet, Photoluminescence of silicon nanoparticles chemically modified by alkyl groups and dispersed in low polar liquids, *J. Nanopart. Res.*, 2013, **15**, 1535.



- 447 Y. B. Ryabchikov, S. Alekseev, V. Lysenko, G. Bremond and J. M. Bluet, Photoluminescence thermometry with alkyl-terminated silicon nanoparticles dispersed in low-polar liquids, *Phys. Status Solidi RRL*, 2013, **7**(6), 414–417.
- 448 Y. B. Ryabchikov, V. Lysenko and T. Nychporuk, Enhanced Thermal Sensitivity of Silicon Nanoparticles Embedded in (Nano-Ag)/SiN_x for Luminescent Thermometry, *J. Phys. Chem. C*, 2014, **118**, 12515–12519.
- 449 H. Hajjaji, S. Alekseev, G. Guillot, N. P. Blanchard, V. Monnier, Y. Chevolet, G. Bremond, M. Querry, D. Philippon, P. Vergne and J. M. Bluet, Luminescence nanothermometry with alkyl-capped silicon nanoparticles dispersed in nonpolar liquids, *Nanoscale Res. Lett.*, 2014, **9**, 94.
- 450 H. Hamza, S. M. B. Albahrani, G. Guillot, M. Maillard, D. Philippon, P. Vergne and J. M. Bluet, Temperature and Viscosity Effects on the Photoluminescence Properties of Alkyl-Capped Silicon Nanoparticles Dispersed in Nonpolar Liquids, *J. Phys. Chem. C*, 2015, **119**(29), 16897–16904.
- 451 A. M. P. Botas, C. D. S. Brites, J. Wu, U. Kortshagen, R. N. Pereira, L. D. Carlos and R. A. S. Ferreira, A new generation of primary luminescent thermometers based on silicon nanoparticles and operating in different media, *Part. Part. Syst. Charact.*, 2016, **33**, 740–774.
- 452 Q. Li, Y. He, J. Chang, L. Wang, H. Chen, Y. Tan, H. Wang and Z. Shao, Surface-Modified Silicon Nanoparticles with Ultrabright Photoluminescence and single-exponential decay for nanoscale fluorescence lifetime imaging of temperature, *J. Am. Chem. Soc.*, 2013, **135**, 14924–14927.
- 453 R. Coustel, Q. B. Guillaume, V. Calvo, O. Renoit, L. Dubois, F. Duclairoir and N. Pauc, Measurement of the surface recombination velocity in organically passivated silicon nanostructures: the case of silicon on insulator, *J. Phys. Chem. C*, 2011, **115**(45), 22265–22270.
- 454 O. Demichel, V. Calvo, A. Besson, P. Noe, B. Salem, N. Pauc, F. Oehler, P. Gentile and N. Magnea, Surface recombination velocity measurements of efficiently passivated gold-catalyzed silicon nanowires by a new optical method, *Nano Lett.*, 2010, **10**, 2323–2329.
- 455 E. M. Grumstrup, M. M. Gabriel, E. M. Cating, C. W. Pinion, J. D. Christesen, J. R. Kirschbrown, E. L. Vallorz, J. F. Cahoon and J. M. Papanikolas, Ultrafast carrier dynamics in individual silicon nanowires; characterization of diameter-dependent carrier lifetime and surface recombination with pump-probe microscopy, *J. Phys. Chem. C*, 2014, **118**(16), 8634–8640.
- 456 Y. Dan, K. Seo, K. Takei, J. H. Meza, A. Javey and K. B. Crozier, Dramatic reduction of surface recombination by in situ surface passivation of silicon nanowires, *Nano Lett.*, 2011, **11**, 2527–2532.
- 457 M. L. Mastronardi, E. J. Henderson, D. P. Puzzo and G. A. Ozin, Small Silicon, Big Opportunities: The Development and Future of Colloidally-Stable Monodisperse Silicon Nanocrystals, *Adv. Mater.*, 2012, **24**(43), 5890–5898.
- 458 R. Kothemann, N. Weber, J. K. N. Lindner and C. Meier, High precision determination of silicon nanocrystals: optical spectroscopy versus electron microscopy, *Semicond. Sci. Technol.*, 2019, **34**, 095009.
- 459 J. Heitmann, F. Muller, M. Zacharias and U. Gosele, Silicon nanocrystals: size matters, *Adv. Mater.*, 2005, **17**(7), 795–803.



- 460 L. Patrone, D. Nelson, V. I. Safarov, M. Sentis, W. Marine and S. Giorgio, Photoluminescence of silicon nanoclusters with reduced size dispersion produced by laser ablation, *J. Appl. Phys.*, 2000, **87**, 3829.
- 461 R. Gresback, T. Nozaki and K. Okazaki, Synthesis and oxidation of luminescent silicon nanocrystals from silicon tetrachloride by very high frequency nonthermal plasma, *Nanotechnology*, 2011, **22**, 305605.
- 462 R. D. Tilley, J. H. Warner, K. Yamamoto, I. Matsui and H. Fujimori, Microemulsion synthesis of monodisperse surface stabilized silicon nanocrystals, *Chem. Commun.*, 2005, 1833–1835.
- 463 M. Rosso-Vassic, E. Spruijt, B. van Lagen, L. de Cola and H. Zuilhof, Alkyl-functionalized oxide-free silicon nanoparticles: synthesis and optical properties, *Small*, 2008, **4**(10), 1835–1841.
- 464 R. P. Camata, H. A. Atwater, K. H. Vahala and R. C. Flagan, Size classification of silicon nanocrystals, *Appl. Phys. Lett.*, 1996, **68**(22), 3162–3164.
- 465 N. Suzuki, T. Makino, Y. Yamada, T. Yoshida and T. Seto, Monodispersed, nonagglomerated silicon nanocrystallites, *Appl. Phys. Lett.*, 2001, **78**(14), 2043–2045.
- 466 G. Ledoux, J. Gong, F. Huisken, O. Guillois and C. Reynaud, Photoluminescence of size-separated silicon nanocrystals: confirmation of quantum confinement, *Appl. Phys. Lett.*, 2002, **80**(25), 4834–4836.
- 467 M. L. Mastronardi, F. Hennrich, E. J. Henderson, F. Maier-Flag, C. Blurn, J. Reichenbach, U. Lemmer, C. Kubel, D. Wang, M. M. Kappes and G. A. Ozin, Preparation of monodisperse silicon nanocrystals using density gradient ultracentrifugation, *J. Am. Chem. Soc.*, 2011, **133**, 11928–11931.
- 468 J. B. Miller, A. R. van Sickle, R. J. Anthony, D. J. Kroll, U. R. Kortshagen and E. K. Hobbie, Ensemble Brightening and Enhanced Quantum Yield in Size-Purified Silicon Nanocrystals, *ACS Nano*, 2012, **6**(8), 7389–7396.
- 469 S. L. Brown, J. B. Miller, R. J. Anthony, U. R. Kortshagen, A. Kryjevski and E. K. Hobbie, Abrupt size partitioning of multimodal photoluminescence relaxation in monodisperse silicon nanocrystals, *ACS Nano*, 2017, **11**, 1597–1603.
- 470 J. M. Buriak, Organometallic chemistry on silicon and germanium surfaces, *Chem. Rev.*, 2002, **102**(5), 1271–1308.
- 471 J. G. C. Veinot, Synthesis surface functionalization and properties of freestanding silicon nanocrystals, *Chem. Commun.*, 2006, **40**, 4160–4168.
- 472 X. Li, Y. He, S. S. Talukdar and M. T. Swihart, Process for Preparing Macroscopic Quantities of Brightly Photoluminescent Silicon Nanoparticles with Emission Spanning the Visible Spectrum, *Langmuir*, 2003, **19**, 8490–8496.
- 473 F. Lacour, O. Guillois, X. Portier, H. Perez, N. Herlin and C. Reynaud, Laser pyrolysis synthesis and characterization of luminescent silicon nanocrystals, *Physica E: Low-dimensional Systems and Nanostructures*, 2007, **38**, 11–15.
- 474 T. Hulser, S. M. Schnurre and J. Wiggers, Gas phase synthesis of nanoscale silicon as an economical route towards sustainable energy technology, *KONA Powder Part. J.*, 2011, **29**, 191–207.
- 475 I. Dogan, N. J. Kramer, R. H. J. Westermann, K. Dohnalova, A. H. M. Smets, M. A. Verheijen, T. Gregorkiewicz and M. C. M. van der Sanden, Ultrahigh



- throughput plasma processing of free-standing silicon nanocrystals with lognormal size distribution, *J. Appl. Phys.*, 2013, **113**, 134306.
- 476 S. P. Pujari, H. Driss, F. Bannani, B. van Lagen and H. Zuilhof, One-pot gram scale synthesis of hydrogen-terminated silicon nanoparticles, *Chem. Mater.*, 2018, **30**(18), 6503–6512.
- 477 F. Kunze, S. Kuns, M. Spree, T. Hulser, C. Schulz, H. Wiggers and S. M. Schnurre, Synthesis of silicon nanoparticles in a pilot-scale microwave plasma reactor: impact of flow rates and precursor concentration on the nanoparticle size and aggregation, *Powder Technol.*, 2019, **342**, 880–886.
- 478 L. Batchelor, A. Loni, L. T. Canham, M. Hasan and J. L. Coffey, Manufacture of mesoporous silicon from living plants and agricultural waste: an environmentally friendly and scalable process, *Silicon*, 2012, **4**, 259–266.
- 479 Y. Wu, Y. Zhong, B. Chu, B. Sun, B. Song, S. Wu, Y. Su and Y. He, Plant derived fluorescent silicon nanoparticles featuring excitation wavelength dependent fluorescence spectra for anti-counterfeiting applications, *Chem. Commun.*, 2016, **52**, 7047–7050.
- 480 Z. Wang, S. Zeng, Y. Li, W. Wang, Z. Zhang, H. Zeng, W. Wang and L. Sun, Luminescence mechanism of carbon-incorporated silica nanoparticles derived from rice husk biomass, *Ind. Eng. Chem. Res.*, 2017, **56**, 5906–5912.
- 481 M. J. Kirschenbaum, M. G. Boebinger, M. J. Katz, M. T. McDowell and M. Dasog, Solid state synthesis route for scalable luminescent silicon and germanium nanocrystals, *Chem. Nanomater.*, 2018, **4**(4), 423–429.

