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Geometric learning of knot topology†

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Knots are deeply entangled with every branch of science. One of the biggest open challenges in knot theory is to formalise a knot invariant that can unambiguously and efficiently distinguish any two knotted curves. Additionally, the conjecture that the geometrical embedding of a curve encodes information on its underlying topology is, albeit physically intuitive, far from proven. Here we attempt to tackle both these outstanding challenges by proposing a neural network (NN) approach that takes as input a geometric representation of a knotted curve and tries to make predictions of the curve's topology. Intriguingly, we discover that NNs trained with a so-called geometrical "local writhe" representation of a knot can distinguish curves that share one or many topological invariants and knot polynomials, such as mutant and composite knots, and can thus classify knotted curves more precisely than some knot polynomials. Additionally, we also show that our approach can be scaled up to classify all prime knots up to 10-crossings with more than 95% accuracy. Finally, we show that our NNs can also be trained to solve knot localisation problems on open and closed curves. Our main discovery is that the pattern of "local writhe" is a potentially unique geometric signature of the underlying topology of a curve. We hope that our results will suggest new methods for quantifying generic entanglements in soft matter and even inform new topological invariants.

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1 Introduction

Knots are fascinating objects that have captured the attention of humans for centuries. From Incas' knotted Quipus,¹ and Lord Kelvin's theory of elements as knotted ether,² to sailors and climbers whose lives often rely on the strength of knotted rope, knots are deeply intertwined with history and art and often carry mystical meaning. The human obsession with knots brought Peter Guthrie Tait to compile the first knot tabulation of up to 10 crossings by hand;³ currently, more than one million unique knots up to 16 crossings have been tabulated using computer programs.⁴

To rigorously prove that the early tabulated knots did not contain duplicates, so-called topological invariants and knot polynomials were developed, the first of which was the Alexander polynomial,^{1,5,6} followed more recently by the Jones and HOMFLY polynomials.^{1,7} Knot polynomials are mathematical constructs that can be computed on knot diagrams and are invariant under smooth deformations of the curve, *i.e.* deformations that preserve the curve topology. However, there are knots that share many

topological invariants and cannot even be distinguished by knot polynomials. Famously, the 11-crossing Conway knot has the same Alexander polynomial as the unknot and shares the same Jones polynomial of its mutant, the Kinoshita-Terasaka (KT) knot.¹ More generally, all mutants of a knot have the same HOMFLY polynomials and the same hyperbolic volume,¹ while some composite knots share the same homeomorphic complements.^{8–10}

Alongside the development of topological invariants, several attempts were made to identify a relationship between a specific geometric embedding of a knot and its underlying topology.¹¹ We note that this relationship is different from the one sought between so-called geometric and algebraic invariants,^{12,13} *e.g.* between the hyperbolic volume of a knot and its Jones polynomial.¹⁴ Perhaps one of the most rigorous results in this direction is the Fáry–Milnor theorem, stating that the total absolute curvature of non-trivially knotted curves must be greater than 4π .¹⁵ Unfortunately, this result only imposes a weak constraint on the topology of the underlying curve, as an unknot can itself have large total curvature due to, for example, deformations of its contour. In parallel, a large body of work on so-called "ideal knots" was carried out with the aim of finding geometric features that could reflect the underlying knot topology. One impressive result in this context is that different DNA knots display a spatial separation when run on a gel electrophoresis that is linearly proportional to the so-called average crossing number;^{16,17} this result entails that there is an intimate relationship between the physical shapes assumed by knots and their underlying topology. Another result that inspired our work is that the

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Thus, the problem of determining a curve topology based only on the geometric information of its segments (without using any projection or algebraic invariant) is an open challenge in knot theory that has ramification in many fields, for instance polymer physics, biophysics and fluid dynamics. In this paper, we propose to address this open challenge by using the power of artificial intelligence, and in particular deep learning, in recognising and classifying patterns in certain knot geometric features. Our main discovery is that by using a quantity we dub “local writhe”, even simple machine learning (ML) algorithms can identify the topology of knotted curves undergoing thermal fluctuations with very high accuracy. We argue that this is an example of geometric learning, whereby the only quantity we pass to the ML algorithm is a quantity that can be computed from the Cartesian positions of a curve’s segments, without the need to compute algebraic invariants such as Alexander or Jones polynomials. Our method can even distinguish 11-crossing knots that are otherwise impossible to distinguish using standard invariants (the Conway and KT knots). Finally, we show how this algorithm can be scaled to classify all 250 prime knots up to 10 crossings with 95% accuracy, and can even be employed to solve knot localisation problems. Overall, we argue that local writhe is an excellent feature – determined purely by the 3D positions of a curve segments – that results in patterns easily identifiable by ML algorithms. We argue that our results will be applied to other classification problems such as threading^{19,20} and entanglements^{21,22}, and also prompt knot theorists to employ local writhe to define new geometric knot invariants.

Two recent papers by Vandans²³ and Braghetto²⁴ have shown that machine learning is a promising tool to solve knot classification problems. They mostly considered the Cartesian position of the monomers, or adjacent monomer distances and dihedrals to classify the 5 simplest knots. In this work, we set out to test the use of a different type of geometric feature that our group recently utilised to identify essential crossings of a knot and plectoneme-like double folding of ring polymers.^{25,26} More specifically, we focused on a generalisation of the Gauss linking integral applied to a single closed curve, often associated with its writhe²⁷ and average crossing number.^{25,28} This choice is inspired by the intuition that writhe captures the geometrical entanglement of a curve with itself, and we thus define a generalised local segment-to-segment (StS) writhe as

where $\mathbf{r}(x)$ and $\mathbf{t}(x)$ are the 3D position of, and the tangent at, segment x , respectively. Intuitively, eqn (1) captures the magnitude and the chirality of the entanglement between segment x and segment y (Fig. 1(A) and (B)). The quantity $\omega_{\text{StA}}(x) = \oint_{\gamma} \omega_{\text{StS}}(x, y) dy$ is the local segment-to-all (StA) writhe and characterises how geometrically entangled segment x is with respect to the whole closed curve γ . In practice, the calculation of StS and StA writhe are conducted on discrete segments, taking a finite “window” with length $l_w = 10\sigma$ to smooth out short length fluctuations (see ESI† for details).

The StA writhe, $\omega_{\text{StA}}(x)$, is a 1D geometrical representation of a knot that we hypothesise may display some patterns that are topology-dependent (Fig. 1(A)–(C)). Since complex pattern recognition is a task that naturally lends itself to being addressed using a machine learning approach, we thus asked ourselves if a neural network (NN) trained to recognise patterns within $\omega_{\text{StA}}(x)$ was able to solve ambiguous knot classification problems. To do this, we built feed forward and recurrent (long-short term memory, LSTM) neural networks (FFNN and RNN, respectively) and trained them using 10^5 statistically uncorrelated and pre-labelled conformations for each knot. To generate these conformations, we initialised a bead-spring polymer with known topology, $N = 100$ beads, and persistence length $l_p = 10\sigma$ (other lengths and l_p are reported in the ESI†) using KnotPlot (knotplot.com) and subsequently evolved the polymer configurations in LAMMPS²⁹ *via* Langevin dynamics in an implicit solvent and fixed temperature, using a Kremer–Grest model³⁰ to preserve polymer topology (see Methods and ESI† for more details). The code to generate these conformations are available open access at <https://git.ecdf.ed.ac.uk/taplab/mlknotsproject>. We confirmed that the topology was conserved either by computing their Alexander determinant *via* KymoKnot (<https://kymoknot.sissa.it>)³¹ or, when ambiguous, visually.

The NNs were built with an input layer that was determined according to the input representation being studied, *e.g.*, the Cartesian (XYZ) coordinate representation used 3 neurons (one for each dimension) per polymer bead. Other local input features, such as StA writhe, used one neuron per bead, while the StS writhe feature requires $N \times N$ input neurons. The optimal number of hidden layers, hidden units, learning rate and batch size were determined *via* an automated hyperparameter tuning method conducted on the Cartesian representation (KerasTuner³²). Unless otherwise stated, our NNs contained 4 hidden layers, with around 4×10^5 trainable parameters. The output layer consisted of C output neurons, corresponding to the C knot types being classified, each implemented with a softmax activation function in order to return the probability that a given input is a certain knot type. We took the sparse categorical cross-entropy as the loss function, as the most appropriate for individual class probabilities and integer target labels, *i.e.* our knot types (Fig. 1(D)).

We first tackle a 5-knot classification problem with the 5 simplest knots, which can be satisfactorily solved using NNs

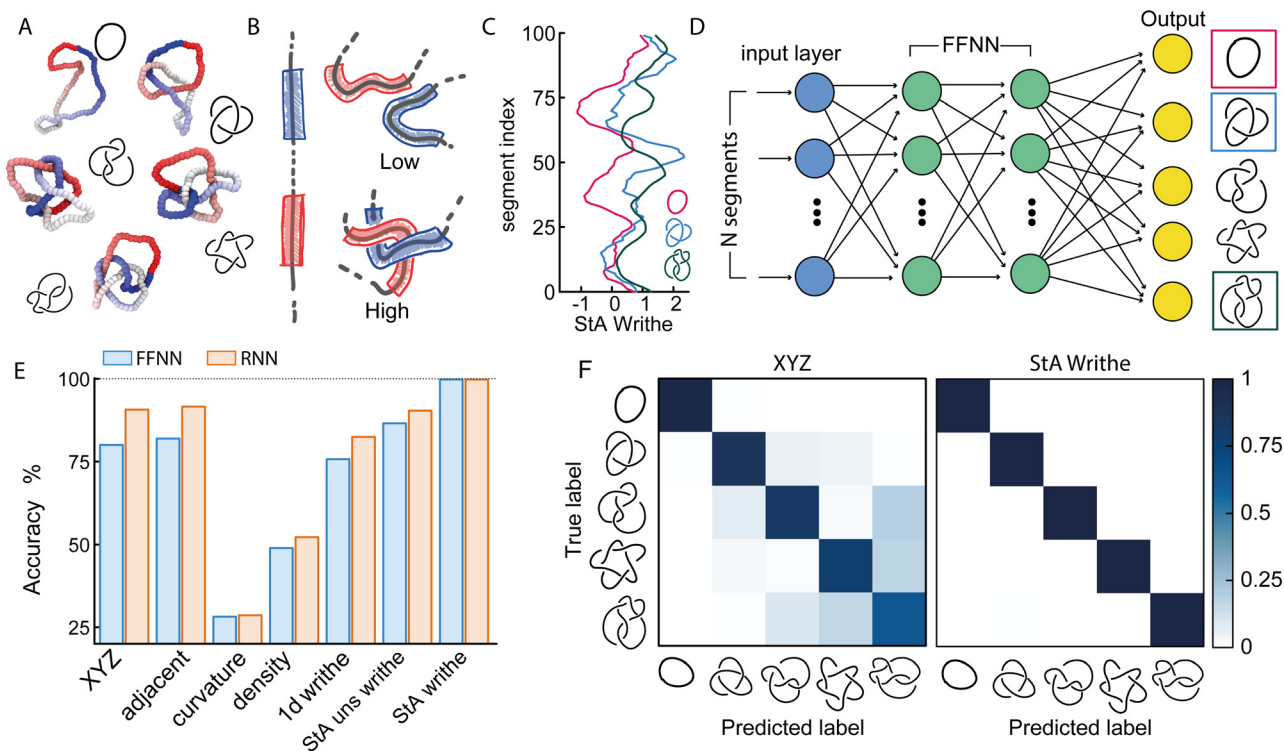


Fig. 1 (A) Examples of equilibrium knotted polymer conformations colour coded to indicate the knot contour (from red, to white, to blue). In this figure we consider the 5 simplest knots: 0_1 , 3_1 , 4_1 , 5_1 and 5_2 . (B) A graphical representation of StA writhe $\omega_{\text{StA}}(x,y)$ showing an instance of small and large writhe between two segments. (C) Examples of patterns for $\omega_{\text{StA}}(x)$ for three different knots. (D) A graphical representation of the (feed-forward) network. The input layer contains N (or $3N$) neurons corresponding to the size of the input feature representation, and the output layer yields a probability for each knot class. (E) Accuracy score, tested on unseen polymer conformations for different input features. The StA writhe classifies the 5-simplest knots with 99.9% accuracy irrespective of the network architecture. (F) Confusion matrices obtained by training the network with XYZ and StA writhe input features.

trained on center-of-mass-corrected Cartesian coordinates (XYZ) or adjacent bead input features.^{23,24} In line with these previous works, we find that our NNs can accurately predict the topology of unseen conformations (80.1% accuracy with a FFNN and 86% accuracy with a recurrent NN architecture, Fig. 1(E)). These values are lower than the ones reported in ref. 23 since we use a smaller training dataset and simpler NNs. We then trained the same NNs using a range of other geometric features, such as local curvature, density and 1D writhe²⁶ (see ESI† for details), and found that most of them performed more poorly, or at best equally, with respect to the XYZ representation (Fig. 1(E)). A similar outcome was also obtained in ref. 24. In stark contrast, models trained using $\omega_{\text{StA}}(x)$ outperformed all other models and were found to achieve 99.9% accuracy, irrespective of the FFNN or RNN architectures (we also tested random forest algorithms, see ESI†). Additionally, the networks reached the early stopping criterion in about 50% fewer epochs or less, compared to those trained using the XYZ representation (see ESI†). When plotted as a confusion matrix, the results clearly indicate that the XYZ input feature struggles to classify knots with a similar number of crossings, e.g. the 5_1 and 5_2 knots. In contrast, our local 3D writhe (StA) feature generated a near-perfect confusion matrix (Fig. 1(F)).

We found that these results are generally robust for different choices of dataset splitting, persistence length ($l_p = 1 - 10 \sigma$),

window length chosen to perform the StA calculation, and length of the chains (see ESI†). Nevertheless, they do display a significant reduction in accuracy when tested on knots generated using a different method (for instance freely jointed chains), and also when the window length for the StA writhe calculation is comparable to the full contour of the chain. In this case, the StA writhe is constant and equals the global writhe of the knot, which is not unique for different knots.¹¹ This is also in agreement with principal component analysis (PCA, see ESI†) of the StA-trained NNs, where we see that different knots are clearly separable in the reduced 2D PCA space, yet the 0_1 and 4_1 cluster together due to the fact that they share the global writhe (zero), which is related to the mean value of $\omega_{\text{StA}}(x)$ along the contour.

2.2 NNs trained with StA writhe can distinguish knots with identical knot polynomials

Given that our NNs can distinguish knots with the same minimal number of crossings, i.e., the 5-crossings knots, we asked ourselves if they could also solve more complicated problems where knots shared algebraic knot polynomials. To this end, we first considered three knots with identical Alexander polynomials: the square, granny, and 8_{20} knots (see Fig. 2(A)). The first two knots are 6-crossings knots consisting of trefoil composites with different chirality (hence they

classification, even for knots sharing multiple knot polynomials, such as mutants and composites. In turn, we argue that the StA writhe is a geometric quantity computed on a particular 3D embedding of a curve that carries high-density information about its underlying topology. Importantly, we stress that to classify these knots, the network does not compute any knot polynomial, as other standard software do.

Somewhat unsatisfactorily, we cannot fully pinpoint why StA writhe is so powerful at identifying different topologies. We hypothesise that the 1D patterns generated by StA writhe—specifically the sequence, sign and amplitudes assumed by consecutive maxima and minima—contain information on the relative orientation and magnitude of consecutive entanglements. As mentioned above, the average value of $\omega_{\text{StA}}(x)$ is related to the global writhe of the knot, which itself contains non-unambiguous information about its topology. Thus, we argue that the NNs can extract additional information from the full $\omega_{\text{StA}}(x)$ patterns, related to the chirality of individual entanglements and render the information unique. This hypothesis is also supported by the fact that the unsigned StA writhe (which cannot distinguish chirality) yields, in general, a lower accuracy (see Fig. 1(E)). We thus hypothesise that the information encoded in the pattern of the StA writhe may be related to the underlying knot’s Dowker code. These hypotheses will be tested in more detail in future works.

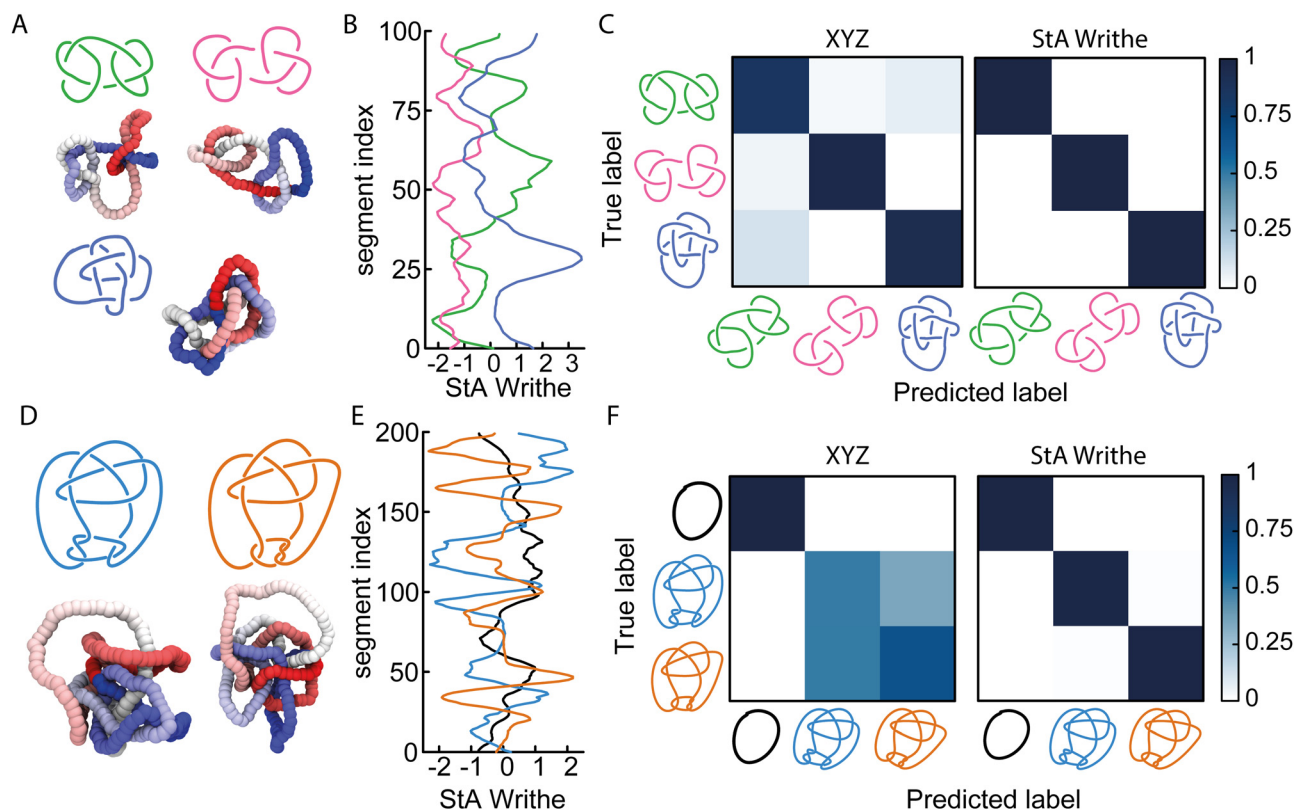
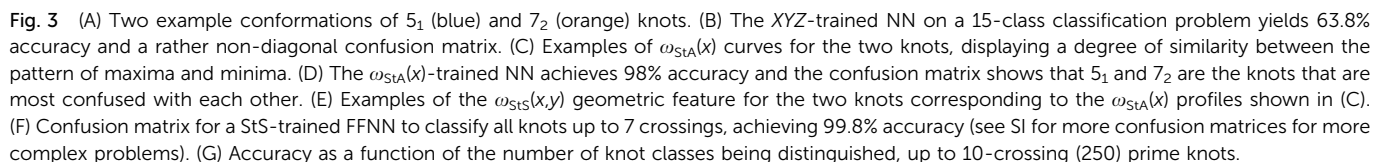


Fig. 2 (A) Snapshots of three knots with identical Alexander polynomial: square ($3_1^1\#3_1^1$), granny ($3_1^1\#3_1^1$) and 8_{20} knots. (B) Examples of StA writhe patterns from the three knots. (C) Confusion matrices obtained from a 3-class classification problem, training a FFNN with XYZ (91.7% accuracy) or StA writhe (99.9% accuracy) features. (D) Snapshots of Conway (blue) and KT (orange) knots. (E) Examples of StA writhe patterns, including the one from the unknot (black). (F) Confusion matrices obtained from a 3-class classification problem, training a FFNN with XYZ (67% accuracy) and StA writhe (99.6% accuracy).

In the final part of this paper we turn our attention to the knot localisation problem, *i.e.* determining the shortest knotted arc along the polymer contour. This task is challenging and

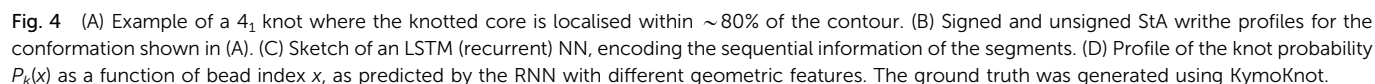


Unlike normal multi-class classification problems where the classes are mutually exclusive, here we consider a multi-label classification task, with mutually non-exclusive class labels (multiple classes per prediction).³⁹ To quantify the error in a multi-label classification task, we use the binary cross-entropy (BCE) function, suited to an output layer of sigmoid functions, given by

where y_i is the i th element in the true output vector, \mathbf{y} , \hat{y}_i is the i th element in the predicted output vector, $\hat{\mathbf{y}}$, and N is the dimension of the output label, corresponding to the length of the polymer in our knot localisation task. This error is then used to optimise the model weights.

Overall, our results highlight the power of StA and StS writhes in not only classifying but also localising knots. We acknowledge that our results are non-exhaustive and more work will be needed in the future to find the best architectures and models to optimally solve these tasks.

In conclusion, we have discovered that local “segment-to-all” and “segment-to-segment” writhes (eqn (1)) are geometric descriptors



of a curve that contain information about its underlying topology. Our AI-driven approach can classify, using a single quantity, complex knot topologies that would otherwise be impossible to disentangle using a single algebraic invariant. More specifically, we demonstrated, for the first time, that NNs can utilise the information encoded in StA and StS writhe to classify the curve topology significantly more accurately than what can be achieved using the Cartesian coordinates of the curve's segments or other local geometric quantities (Fig. 1). We hypothesise that our NNs trained on local 3D writhe representations may numerically encode a new type of geometric topological invariant. This conjecture is supported by the fact that even a simple FFNN architecture can distinguish the topology of knot mutants and composites that share several algebraic knot polynomials (Fig. 2). Finally, we showed that our new proposed geometric feature (eqn (1)) is robust to more complex knots than the ones tackled in the literature so far; indeed, we have managed to classify all 250 prime knots up to 10-crossings with 95% accuracy (Fig. 3). We argue that deeper NN or convolutional NN may be able to push this result further, to > 10-crossings knots.

We stress that this method only requires a snapshot of a knot embedding with a list of 3D coordinates for each polymer segment and is trained on thermal conformations under a readily tunable temperature. For this reason, it will require longer training for longer polymers but should be essentially insensitive to the number of non-essential crossings, as shown by the excellent accuracy achieved in spherically confined polymers.²⁴ This feature is in marked contrast to standard knot topology algorithms, that take 2D projections and need to compute matrices as big as the number of crossings in a given projection, irrespective of whether they are essential or not.¹ Finally, we show that by deploying recurrent NNs, our geometric StA descriptor can also solve knot localisation problems (Fig. 4). More work will be needed in the future to determine optimal NN architectures.

We note that though we do not have a full understanding of how the NNs are using StA and StS writhe features to identify knots, we hypothesise that they are classifying the patterns of consecutive maxima and minima, thus capturing the entanglement of pairs of segments, accounting for their chirality and magnitude. This argument directly suggests that employing a distance map between segments or other geometric “unsigned” representations will yield lower accuracies, due to the fact that they do not capture the chiral nature of the entanglements between segments. For these reasons, we believe that StS (or StA) representations are possibly the best features to connect the geometry of a given curve embedding, to its underlying topology. A possible limitation of this method is that it is restricted to pairwise entanglement. Generalising the Gauss linking number to higher-order relations is itself an active field of research, and it is foreseeable that a local version of the Milnor triple linking number⁴⁰ may be used to generate 3D tensors of Brunnian links, for example.

In conclusion, we established that StS/StA-trained NNs are powerful tools to accurately classify and localise knots in

thermally equilibrated curves. Importantly, knot classification and localisation are achieved without any explicit calculation of Alexander or other algebraic invariants. We propose that the local writhe – once fed through deep NNs – yields an accurate map from the configurational space of a curve to its underlying topology. The approach we reported in our paper naturally lends itself to be applied to protein folding,^{34,41} DNA^{42,43} and, in general, entanglements in open curves and complex systems.^{20,21,36,44–47} We hope that our results will also inspire mathematicians and topologists to formulate new topological invariants based on the geometrical embeddings of knotted curves.

Conflicts of interest

There are no conflicts to declare.

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