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Minimal numerical ingredients describe chemical microswimmers' 3-D motion†

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The underlying mechanisms and physics of catalytic Janus microswimmers is highly complex, requiring details of the associated phoretic fields and the physiochemical properties of catalyst, particle, boundaries, and the fuel used. Therefore, developing a minimal (and more general) model capable of capturing the overall dynamics of these autonomous particles is highly desirable. In the presented work, we demonstrate that a coarse-grained dissipative particle-hydrodynamics model is capable of describing the behaviour of various chemical microswimmer systems. Specifically, we show how a competing balance between hydrodynamic interactions experienced by a squirmer in the presence of a substrate, gravity, and mass and shape asymmetries can reproduce a range of dynamics seen in different experimental systems. We hope that our general model will inspire further synthetic work where various modes of swimmer motion can be encoded *via* shape and mass during fabrication, helping to realise the still outstanding goal of microswimmers capable of complex 3-D behaviour.

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1. Introduction

In the last two decades, potential applications for directed transport at length scales where thermal fluctuations are important have prompted the development of a range of synthetic microswimmers, each with its intricacies.^{1–3} Amongst the various synthetic active materials, Janus catalytic microswimmers remain one of the most popular due to their straightforward fabrication protocols, simple experimental setups, and good reproducibility between experiments.^{4,5} Typically, these are spherical particles asymmetrically modified with a catalytic material leading to the production of asymmetric local chemical gradients in the presence of a

“fuel”, causing propulsion *via* self-phoresis.^{6–9} Such microswimmers generally move in 2-D (*xy*) due to their density mismatch with the surrounding fluid and attractive interactions with the underlying substrate.¹⁰ However, controlling the motion of microswimmers in 3-D is appealing from an applications perspective, and there is a growing body of work on active materials capable of motion in all dimensions.^{11–22}

The rational design of chemical microswimmers displaying tailored motion in 3-D would greatly profit from models which can capture empirical observations.¹⁶ However, their experimental simplicity masks a complex system of chemical and mass transfer relationships, the underlying mechanisms and physics of which are still the subject of ongoing debate.^{23,24} It is generally accepted that a more complete description of such “chemically active colloids” requires the full solution of their phoretic fields, including details of the colloids, the substrate, and the solution composition.^{25–27} Unfortunately, such detailed descriptions call for a high level of technical expertise, are system-specific due to phoretic mobility parameters, have only been solved for spherical and ellipsoidal structures, and do not easily allow the inclusion of thermal fluctuations, which are critical when describing the dynamics of micron-scale objects.

To avoid the consideration of chemical phoretic fields and only account for hydrodynamic flows, the “squirmer” model is frequently invoked.²⁸ This model was first proposed for microorganisms such as *Paramecia* and *Volvox*,^{29,30} and is now used as a generic description for various active systems. Squirmer

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Here, we further develop this model to consider the influence of mass and shape asymmetries on the dynamics of spherical microswimmers in the presence of a bounding substrate, mirroring common experimental conditions for chemical active colloids.^{55,57–59} The strength of our approach lies in its modularity, which allows the simple inclusion of these asymmetries that may have otherwise presented significant complications when considering other numerical schemes. We find that the interplay between hydrodynamic interactions, gravity, bottom-heaviness, and shape is sufficient to qualitatively capture the 2- and 3-D physics of a range of catalytic (and photo-catalytic) Janus microswimmer systems,^{17,18,20} *i.e.* while neglecting contributions from chemical⁵⁹ and light^{60,61} gradients. Specifically, there is a competition between the hydrodynamic attraction to the substrate that grows with the swimming speed and the active force required to overcome gravity, which determines the ability of the microswimmer to enter the bulk. This balance can be furthermore adjusted by introducing mass or shape asymmetry to the particles. Our coarse-grained approach thus opens the door to the informed design of chemical microswimmers whose dynamics can be encoded *via* shape or mass during fabrication, helping to realise the still outstanding goal of active colloids capable of truly 3-D dynamics.

2. Numerical method

The microswimmers motility originates from one central “thruster” particle, which generates the squirmer-type force field experienced by the solvent particles in the spherical shell between the outer surface of the microswimmer (dashed black



Fig. 1 (a) Schematic representation of a 2D section of the colloid using a raspberry model with cap-front (CF) mass imbalance and shape asymmetry. The colloid is built from 18 DPD filler particles (red and blue), distributed on the surface of a sphere radius $R_{in} = 1$, each with a DPD cut-off for interactions with other DPD particles (e.g. solvent particles), resulting in an overall effective microswimmer radius of $R = 2$. Shape asymmetry is implemented by introducing an additional particle P_{shape} (green) displaced a distance R_{shift} from an off-axis filler particle. Here, the equatorial 2D slice containing the shape asymmetry is shown, thus only depicting the 8 filler particles within this section. The thruster particle (not visible) generates the force field (light purple arrows), here consistent with a pusher-type squirmer, emanating from the center of mass of the colloid and fixed with its internal frame of reference. (b–d) Snapshot of the full simulation box (b), as well as the definition of the angles $\theta_{x,y,z}$ (c) and the azimuthal angle ϕ (d) Graphics presented here and elsewhere were generated in part using the visualisation software Ovito.⁶³

circle) and $R_{H=4}$ (dashed black circle and dotted black circle respectively, see Fig. 1a). These solvent particles in turn apply an equal and opposite reaction force to their nearest colloid “filler” particle (18–20 DPD particles, depending on whether or not shape asymmetry is introduced to the microswimmer, see Fig. 1a), resulting in the net self-propulsion force of the microswimmer \vec{F}_p . The mass of specific filler particles can be adjusted, allowing us to introduce mass asymmetry, and thus model microswimmers equipped with heavy “caps” (e.g. Pt-coated catalytic microparticles). We define mass asymmetry as $m_{asym} = \frac{m_{hemisphere} + m_{cap}}{m_{hemisphere}}$ (where $m_{hemisphere}$ and m_{cap} are the mass of a particle hemisphere and the heavy cap respectively), noting that the motion can thus be defined with the heavier cap at the back (CB) or at the front (CF) of the swimmer with respect to the swimming direction. Mass imbalance is thus introduced by increasing the mass of the particles constituting the cap (blue particles, see Fig. 1). In all cases, we use a squirmer active stress parameter $\beta = B_2/B_1 = -2.5$, i.e. a weak pusher, based on qualitative matching of simulated trajectories to the behaviour described in.²⁰ We note that this squirmer parameter corresponds to that experimentally determined by Campbell *et al.*³⁴ for a similar Pt catalytic system as that studied in ref. 20, which provides further evidence for the suitability of our selection. Solvent conditions are selected to ensure that all microswimmers with radius R studied remain in the low Reynolds (Re) number regime ($Re = \frac{v_p \cdot R}{\nu} < 0.2$,⁶² also see ESI, Fig. S1†), where v_p is the particle speed, and

specifically by controlling for the kinematic viscosity ν via the solvent parameters.⁴⁹ To map different simulations to experiments, we modulate the ratio of swimming velocity V_{swim} to sedimentation velocity $V_{gravity}$. A minimum of 2000 time steps are used for equilibrating solvent conditions in all simulations, as were periodic boundary conditions. The number of solvent DPD particles depends on the simulation dimensions, ranging from 47 002 for a $(20 \times 20 \times 20, xyz)$ box, to 57 197 solvent DPD particles for a $(16 \times 16 \times 40)$ box.

3. Results & discussion

3.1. Role of mass asymmetry and hydrodynamic interactions in microswimmer motion

To begin, we consider colloids characterised by hydrodynamic interactions with a surface and bottom-heaviness, and study their dynamics with or without activity. Specifically, we reproduce the ‘classic’ Pt-SiO₂ Janus chemical microswimmers to determine whether our DPD raspberry particle model captures their dynamics. We investigate the chemical microswimmers studied by Niggel *et al.*,⁶⁴ as the 3-D rotations of the Janus particles with fluorescent surface asperities can be tracked *via* correlation-based image analysis. To reproduce their experimental findings, we simulate the microswimmers with CB motion and $m_{asym} = 1.081$. Fitting the short-time mean-square-displacement (MSD) of those chemical microswimmers ($MSD = 4D_T\Delta t + v_p^2\Delta t^2$, while acknowledging its shortcomings⁶⁵), we obtain a Péclet number $Pe = \frac{v_p \cdot R}{D_T} \sim 300$ (where v_p , D_T are the fitted swimming speed and translational diffusion coefficient from the MSD, respectively, and R is the particle radius), which we set to ~ 100 in simulations of our active microswimmers to ensure that they remain in the low Reynolds regime as described above. Likewise, we set the gravitational force such that $V_{gravity} \sim V_{swim}$, for $V_{swim} = \langle v_0 \rangle$, where v_0 is the microswimmer’s instantaneous velocity over time. As we have access to the structural coordinates of our DPD raspberries, we are able to follow their rotational dynamics *via* singular value decomposition (SVD) and calculate the cumulative mean-squared-angular-displacement (MSAD) (see Fig. 2 (ref. 66)). By doing so, we are able to reproduce the findings presented in.⁶⁴ Specifically, we find that for passive particles, the presence of a heavy cap and a substrate reduces the rotation “out-of-plane” (θ_{xy}) compared to in plane (θ_z) rotations (see Fig. 1c and d) due to bottom-heaviness (see Fig. 2a (ref. 67)), while the introduction of activity saturates the out-of-plane rotation at much lower values (Fig. 2b). We attribute the confinement of possible rotations to the hydrodynamic attraction between the microswimmer and the substrate due to its generated flow fields,⁶⁸ mirrored by later theoretical investigations into the role of the produced phoretic fields.¹⁰ Therefore, we see that the rotational dynamics of chemical microswimmers near confining boundaries are qualitatively well captured when only considering hydrodynamic interactions (and bottom-heaviness).



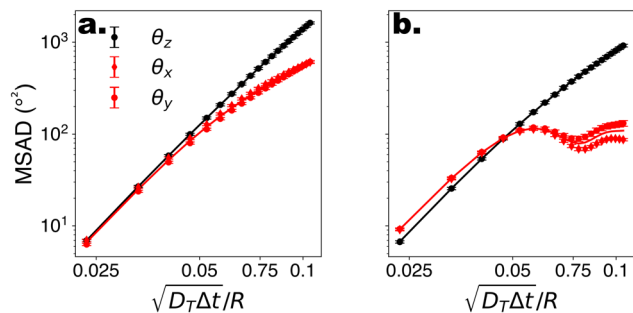


Fig. 2 Rotational dynamics of CB microswimmers as a function of scaled time, simulated to reproduce the properties of the chemical active colloids studied in ref. 64. (a) Mean-squared-angular-displacement (MSAD) of the passive colloid (no activity). (b) Introducing activity to the microswimmer dampens its rotational diffusivity, particularly about the x - and y -axes, which saturate due to the hydrodynamic coupling to the substrate. We note the scaling of the MSAD with time here is with $\sqrt{\Delta t}$, and is therefore quadratic. Error bars depict the standard error of the mean from 2401 frames (sub-sampled from 50 000 simulation steps).

We then map our squirmer-inspired DPD model to the experimental findings presented by Carrasco-Fadanelli and Buttini.²⁰ Specifically, we adjust $V_{\text{swim}} : V_{\text{gravity}}$ to reproduce the different conditions studied in their work, while using the same value for mass asymmetry of their Janus polystyrene spheres coated with a Pt thin film (2.8 μm with a coating of 4 nm, $m_{\text{asym}} = 1.22$) with CB motion. When $V_{\text{swim}} < V_{\text{gravity}}$, the gravitational torque from the heavier cap aligns the particle's internal orientation axis away from the substrate (see Fig. 3b), however the particle is unable to leave the substrate due to the force of gravity (see Fig. 3a and c). As V_{swim} is increased and becomes larger than V_{gravity} , the particle is able to leave the substrate when its internal orientation axis is directed upwards (see Fig. 3d–f, and ESI, Fig. S2[†]), assisted by its bottom-heaviness.⁵⁹ Notably, we find that we can reproduce the structure of the microswimmer dynamics previously seen experimentally^{17–19} (see ESI, Fig. S3[†]), without requiring the previously hypothesised self-shadowing effects.^{60,61} The two scenarios qualitatively capture the behaviour described in ref. 20, where the gravitational torque due to the mass asymmetry introduced by the denser Pt cap favours an anti-parallel orientation of the microswimmer with gravity, competing with hydrodynamic interactions and thermal fluctuations. Interestingly, by further increasing V_{swim} , the simulated microswimmer is once again confined to 2-D motion in the xy plane (see Fig. 3g–i). However, here the confinement arises due to the internal orientation of the microswimmer, rather than its inability to overcome the applied gravitational force. For fast swimmers, the flow fields created by the microswimmer lead to a strong hydrodynamic attraction to the substrate, effectively “trapping” the particle in 2-D (compare insets in Fig. 3a and g). This is similar to the “sliding-state” behaviour proposed by Uspal and coworkers as a result of hydrodynamic flows from self-generated phoretic gradients,¹⁰ and mirrors the experi-



Fig. 3 Dynamics of CB microswimmers, simulated to reproduce the properties of the chemical active colloids studied in.²⁰ z/R refers to the height that a microswimmer reaches above the substrate with respect to its radius R (a, d, and g). ϕ is the azimuthal angle of the microswimmer (b, e, and h) as defined in Fig. 1, and v_0 is the time-series of the microswimmer's instantaneous velocity (c, f, and i, with $V_{\text{swim}} = \langle v_0 \rangle / R$). The insets in (a) and (g) show the solvent velocity flow fields around the (fixed) microswimmer at the different self-propulsion forces. Here, we progressively increase the swimming to sedimentation velocity ($V_{\text{swim}} : V_{\text{gravity}}$) from top to bottom to qualitatively map onto the different systems studied by Carrasco-Fadanelli and Buttini. The first two cases (a–c and d–f) are in good agreement with the findings presented in ref. 20, while the final case (g–i) recaptures the typical dynamics observed for chemical active colloids, where phoretic particles are essentially confined to 2-D (also see Fig. 2b).

mental observations of many chemical microswimmer systems, including that discussed in Fig. 2.

To summarise, we find that hydrodynamic interactions and gravitational forces on a mass-asymmetric spherical microswimmer are sufficient to qualitatively capture the physics of chemical microswimmers above a substrate under different conditions. We also confirm the importance of gravity on both the translational and rotational dynamics of active colloids suspended just above a planar surface.

3.2. Influence of shape asymmetry on microswimmer 3-D dynamics

Motivated by the ability of the reported DPD squirmer-like microswimmers to qualitatively reproduce the behaviour of different chemical microswimmers, we then investigate strategies to promote the “lift-off” of particles from the substrate, and thus observe 3-D motion. Recently, photocatalytic microswimmers synthesised using functional nanoparticles *via* “Toposelective Nanoparticle Attachment” were shown to display quasi 3-D behaviour,^{17–19} characterised by 2-D motio-



ninterdispersed with “rollercoaster”-like looping behaviour. This happens in spite of their high speeds with respect to their sedimentation speed, putting them in a regime closer to the third case highlighted in Fig. 3(g–i), as well as their CF motion which should in fact promote orientation into the wall due to top-heaviness. We note the use of surface-bound functional nanoparticles incorporates rough asperities to the microswimmers, which in turn introduce asymmetry in the drag acting on the surface of the microswimmers. In fact, explicitly considering non-axisymmetric flow fields in spherical squirmers was very recently shown to induce body rotations and thus complex patterns of motion.⁶⁹ To investigate the potential effect of such shape-asymmetries on the motion of microswimmers, we simulate the motion of our DPD raspberries with introduced shape asymmetry in the absence of gravity or bounding substrates, this time with CF swimming (see Fig. 4). Specifically, we introduce weightless “shape” particles (P_{shape}) along the axis of the existing “filler” particles, shifted by different distances (normalised by the DPD particle radius – R_{shift}) to control the extent of its protrusion (see Fig. 1a). The filler particle axis along which the shape particle is introduced can be changed to modify the extent of asymmetry, *i.e.* with respect to the swimming direction (see ESI S5†). We focus on the case where P_{shape} is introduced so as to maximise the shape-asymmetry possible using this approach (see Fig. 1a, ESI Fig. S5,† “1 Edge” case).

To quantify the effect that increasing R_{shift} has on the dynamics of our bulk microswimmers, we calculate the angle, θ , between the vectors describing the particle's internal orientation axis, \mathbf{A} , (governing the direction of the self-propulsion

force, see Fig. 1a), and the particles displacement vector, \mathbf{B} , ($\theta = \arccos\left(\frac{\mathbf{A} \cdot \mathbf{B}}{|\mathbf{A}||\mathbf{B}|}\right)$), where \mathbf{A} is defined by 3 particles along the microswimmers body axis at time t and $\mathbf{B} = [x_{t+\Delta t} - x_t, y_{t+\Delta t} - y_t, z_{t+\Delta t} - z_t]$. We note that the value of $\langle\theta\rangle$ is dependent on the Δt over which the displacement vector is evaluated. We find a positive correlation between R_{shift} and $\langle\theta\rangle$ (coefficient of determination = 0.953, see Fig. 4a), which we attribute to the increasing torque experienced by the microswimmer due to the solvent forces applied to R_{shape} at the distance R_{shift} . We hypothesise that the non-zero value for $\langle\theta\rangle$ at $R_{\text{shift}} = 0$ arises due to the presence of thermal fluctuations from the solvent and their effect on microswimmer motion (see ESI, Fig. S4† for further discussion). The source of the growing divergence between the internal orientation of the particles and their swimming velocity can also be observed in the MSAD of the microswimmers as the trends become increasingly ballistic with larger R_{shift} (see ESI, Fig. S6†). Notably, the fitted angular velocity ω (ballistic component of the MSAD, $\text{MSAD} = 2D_R\Delta t + \omega^2\Delta t^2$, where D_R is the rotational diffusion coefficient) grows with shape asymmetry, and we determine a strong linear relationship between ω and $\langle\theta\rangle$ (see Fig. 4a, bottom inset – coefficient of determination = 0.981). We furthermore note a linear growth of the “rotational” Péclet ($\text{Pe}_R = \frac{\omega \cdot \Delta t}{\sqrt{D_R \cdot \Delta t}}$) with R_{shift} (see Fig. 4b, coefficient of determination = 0.982), demonstrating the increasingly deterministic orientational motion of the microswimmer as shape asymmetry becomes more pronounced. Finally, we note that introducing P_{shape} along axes resulting in a reduced shape asymmetry with respect to \mathbf{A} have a negligible effect on θ , indicating the importance of appropriate shape selection (see ESI; Fig. S5, S7, and S8†).

After establishing the effect of shape asymmetry on microswimmer motion in the absence of external fields and confining boundaries, we then introduce gravity and a substrate to simulate the experimental conditions in ref. 17 and 18 using $m_{\text{asym}} = 1.25$. We define $V_{\text{swim}} : V_{\text{sediment}} \sim 1.5$ to prevent the microswimmers from escaping too far into the bulk. Under these conditions, microswimmers with no or low shape asymmetry are unable to leave the bottom substrate due to the joint forces of gravity and hydrodynamic attraction (see Fig. 5a). However, beyond a threshold value of $R_{\text{shift}} > 0.25$, the microswimmers display 3-D motion and begin to loop into the bulk, demonstrated by the emerging tail in $P(z/R)$. This ability to leave the substrate is reflected in the angles which the internal orientation of the microswimmer makes with the substrate, Φ . Specifically, with increasing shape asymmetry, we observe an increase in positive values in the distribution $P(\Phi)$ (see Fig. 5b), which indicates that the particle points upwards and away into the bulk, thus overcoming gravity.

We thus propose that the angular velocity ω , introduced by the shape asymmetry of the microswimmers, drives its internal swimming orientation away from the substrate, competing with the effects of gravity and hydrodynamic wall interactions (as well as thermal fluctuations). Above a certain threshold

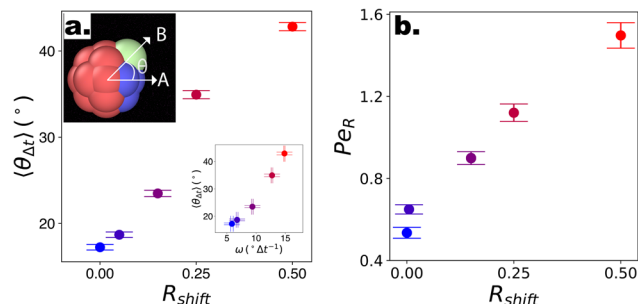


Fig. 4 Swimming dynamics of CF microswimmers with respect to their cap orientation, in the absence gravity and bounding substrates, as a function of introduced shape asymmetry R_{shift} (colour coded from blue to red with increasing R_{shift}). (a) Increasing divergence $\langle\theta\rangle$ in the internal body axis and the observed swimming direction is measured with increasing R_{shift} . We note that the values of $\langle\theta\rangle$ are a function of the time-step evaluated (here, $\sqrt{D_T\Delta t}/R \sim 0.13$, where D_T is the constant obtained for a passive sphere with $R_{\text{shift}} = 0$). Inset: linear growth in $\langle\theta\rangle$ with ω values fitted from the microswimmers' MSAD (see ESI, Fig. S6†). Graphical inset: angle θ between the swimming direction and internal body axis, as defined in the text. The particle depicted has $R_{\text{shift}} = 0.5$. (b) Rotational Péclet number as a function of R_{shift} for $\Delta t = 1$. Error bars either indicate the standard error of the mean, or for the fits of ω and D_R are obtained from the co-variance matrix, accounting for error propagation where relevant, from 1001 frames (sub-sampled from 50 000 simulation steps).





Fig. 5 Probability distributions for CF microswimmers describing their out-of-plane motion $P(\Delta z/R)$ and orientation $P(\phi)$, as a function of their introduced shape asymmetry R_{shift} indicated by the colour code in the legend. (a) Above a threshold ($R_{\text{shift}} > 0.25$), the microswimmers are able to leave the substrate and enter the bulk. For visualisation, Δz where $\Delta z/R < 0.05$ is set to 0, and the presence of the wall is indicated. The particle depicted at the wall has an orientation $\phi \sim -\pi/8$. (b) The ability to leave the substrate is reflected in the emergence of a shoulder in $P(\phi)$ for $\phi > 0$, as $V_{\text{swim}} > V_{\text{gravity}}$ and therefore the microswimmer can overcome its sedimentation velocity and enter the bulk. (c) Example quasi-3D trajectory of a microswimmer with $R_{\text{shift}} = 0.5$. Note that the motion is predominantly in the xy plane, with looping out-of-plane (z) segments as described in ref. 17–19.

shape asymmetry – governed by the dynamics of the system – the microswimmer is able to escape the substrate and move out-of-plane.

In summary, we demonstrated that shape asymmetry is an important design parameter to control the dynamics of chemical microswimmers, in particular to promote 3-D motion. Specifically, the presence of these introduced asperities explains the unconventional swimming patterns observed in some experimental systems.^{17,18}

4. Conclusions

By extending the DPD numerical framework described in⁵⁵ to include the effects of mass and shape asymmetries, we have demonstrated that hydrodynamic interactions, gravity, and thermal fluctuations are sufficient to capture the dynamics of a range of experimental chemically active colloidal systems.^{17,18,20,64} Promisingly, the use of DPD particles to build the overall structure of the microswimmer enables us to access to a range of more complex shapes than those described here, whose formulation would otherwise be either intractable or highly challenging when using other numerical modelling frameworks, or explicitly including chemical fields. We believe that our numerical simulations could be used in the design of new chemical colloidal swimmers, *e.g.* via sequential-capillarity-particle-assembly (sCAPA)⁷⁰ or two-photon polymerization direct laser writing (2PP-DLW),⁷¹ with the goal of realising chemical microswimmers capable of 3-D motion. Furthermore, this modular approach to generating complex structures enables the study of microswimmer physics considering arbitrary geometries, *e.g.* swimming above rough surfaces²⁰ or along inclined walls,²² informing experiments into the interactions of active materials with confining boundaries commonplace in applied settings. To conclude, we foresee DPD based approaches to microswimmer modelling to provide

opportunities in the development and application of chemically active colloids.

Author contributions

Author contributions are defined based on the CRediT (contributor roles taxonomy). Conceptualization: M. R. B., C. M. B. G., L. I., C. V. Discussions: M. R. B., C. M. B. G., J. M. R., V. N., V. C., I. B., I. P., L. I., C. V. Formal analysis: M. R. B., C. M. B. G. Funding acquisition: M. R. B., L. I. Investigation: M. R. B. Methodology: M. R. B., C. M. B. G., J. M. R. Software: M. R. B., C. M. B. G., J. M. R. Supervision: L. I., C. V. Validation: M. R. B. Visualization: M. R. B., C. M. B. G., L. I. Writing – original draft: M. R. B., C. M. B. G., L. I. Writing – review and editing: M. R. B., C. M. B. G., J. M. R., V. N., I. B., I. P., L. I., C. V.

Conflicts of interest

There are no conflicts to declare.

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