



Cite this: *Environ. Sci.: Water Res. Technol.*, 2024, 10, 1195

## Quantifying drought-driven temperature impacts on ozone disinfection credit and bromate control†

Bilal Abada,  Ariel J. Atkinson  and Eric C. Wert \*

Climate change and drought can lead to unprecedented changes in surface water temperature requiring utilities to examine their ozone system's disinfection capability while minimizing bromate production. This pilot-scale study investigated temperature (15–30 °C) as a single/isolated variable affecting ozone operating performance (demand, decay rate, exposure (CT)) and the ability to achieve a *Cryptosporidium* log reduction value (LRV) of 0.5–1.5 logs, as defined by the United States Environmental Protection Agency (USEPA). When dosing 3.0 mg L<sup>-1</sup> of ozone into a surface water with 2.5 mg L<sup>-1</sup> of total organic carbon, an increase in temperature from 15 °C to 30 °C increased ozone demand in the dissolution zone from 1.0 mg L<sup>-1</sup> to 1.6 mg L<sup>-1</sup> (60%) and ozone decay rate from 0.07 min<sup>-1</sup> to 0.27 min<sup>-1</sup> (385%). Despite more rapid demand/decay, the required ozone dose to achieve an LRV of 1.5 logs remained at 2.4–2.8 mg L<sup>-1</sup> due to the reduction in USEPA's CT requirement at higher temperatures (9.35 mg min L<sup>-1</sup> at 15 °C vs. 2.31 mg min L<sup>-1</sup> at 30 °C). Bromate formation exceeded the USEPA maximum contaminant level of 10 µg L<sup>-1</sup> when ozone was dosed to achieve LRV > 0.5 log at all temperature conditions. Chlorine-ammonium pretreatment (0.5 mg L<sup>-1</sup> Cl<sub>2</sub>, 0.1–0.5 mg L<sup>-1</sup> NH<sub>4</sub><sup>+</sup>-N) lowered bromate formation to <5 µg L<sup>-1</sup> under ambient (80 µg L<sup>-1</sup>) and elevated (120 µg L<sup>-1</sup>) bromide concentrations at all temperatures. These results were applied to evaluate a full-scale ozone system designed to achieve an LRV of 1.5 logs if drought increases temperature from 13 °C to 26 °C. The study systematically examined the role of temperature on ozone system performance, which can assist utilities planning for future drought-driven changes.

Received 19th January 2024,  
Accepted 26th February 2024

DOI: 10.1039/d4ew00042k

rsc.li/es-water

### Water impact

Drought-driven water quality changes warrant evaluation by utilities. This work demonstrates the value of pilot-scale testing to evaluate changing temperature conditions on a full-scale ozone facility (*i.e.* demand, decay rate, CT) while maintaining regulatory compliance (*i.e.* *Cryptosporidium*, bromate). The findings can be applied by utilities to better prepare for future temperature fluctuations related to climate change.

## Introduction

Climate change is driving more extreme weather conditions and prolonged drought in some areas, resulting in water quality changes (*i.e.* temperature, total organic carbon (TOC), bromide, pH, *etc.*). Water systems that have typically treated stratified lake water from the hypolimnion region (*i.e.* cold water) may need to treat water from epilimnion region (*i.e.* warmer water) leading to unprecedented changes in water temperature (*i.e.*, higher maximum, lower minimum).<sup>1,2</sup> In addition, dwindling surface water supplies

under the influence of increasing wastewater flows may experience public health and water quality concerns related to increased occurrence of pathogens (*i.e.*, viruses, *Cryptosporidium*, *Giardia*) and cyanobacteria blooms (*i.e.*, cyanotoxins, taste and odor compounds).<sup>3,4</sup> These potential water quality changes place greater importance on the reliability of multi-barrier drinking water treatment systems. Ozone treatment can be a critically important barrier, as it effectively mitigates these water quality issues through pathogen inactivation and chemical contaminant oxidation.<sup>5,6</sup> However, existing ozone systems may require re-examination of their original design and operational criteria to ensure that treatment goals can be met during drought-driven water quality scenarios.<sup>7,8</sup>

Regulations governing ozone disinfection credit and compliance are temperature dependent. The United States Environmental Protection Agency (USEPA) regulates *Giardia*

Southern Nevada Water Authority (SNWA), P.O. Box 99954, Las Vegas, NV 89193-9954, USA. E-mail: bilal.abada@snwa.com, ariel.atkinson@snwa.com, eric.wert@snwa.com

† Electronic supplementary information (ESI) available. See DOI: <https://doi.org/10.1039/d4ew00042k>



*lamblia* and viruses under the Surface Water Treatment Rule (SWTR), by treatment technique, requiring log reduction values (LRVs) of 3 and 4 logs, respectively.<sup>9</sup> *Cryptosporidium* is regulated under the USEPA Long Term 2 Enhanced Surface Water Treatment Rule (LT2ESWTR), with LRV requirements determined by *Cryptosporidium* raw water occurrence and associated bin classification.<sup>10</sup> LRVs for *Cryptosporidium* (eqn (1)), *Giardia* (eqn (2)), and viruses (eqn (3)) are calculated as a function of water temperature (in °C) and ozone exposure (*i.e.*, CT in mg min L<sup>-1</sup>) according to the USEPA LT2ESWTR Guidance Manual.<sup>11</sup> The ozone CT requirements decrease as the temperature and efficiency of microbial inactivation increases.<sup>12</sup>

$$\text{LRV}_{\text{Cryptosporidium}} = 0.0397 \times 1.09757^{\text{Temp}} \times \text{CT}; \quad (1)$$

$$\text{LRV}_{\text{Giardia}} = 1.0380 \times 1.0741^{\text{Temp}} \times \text{CT}; \quad (2)$$

$$\text{LRV}_{\text{virus}} = 2.1744 \times 1.0726^{\text{Temp}} \times \text{CT}; \quad (3)$$

Warmer water temperatures can also accelerate the production of disinfection byproducts (DBPs), which must be balanced with treatment goals.<sup>13</sup> Bromate is an ozone DBP regulated under the USEPA Stage 2 Disinfectants/DBP Rule, with a maximum contaminant level (MCL) of 10 µg L<sup>-1</sup>.<sup>14</sup> Increased temperature and/or ozone dosing requirements can enhance the rate of bromate production and bring its concentration above the MCL.<sup>13,15</sup> Accordingly, bromate formation and control should be evaluated simultaneously as part of the ozone testing matrix. Ammonium addition, chlorine-ammonium (Cl<sub>2</sub>-NH<sub>4</sub><sup>+</sup>-N) addition, and pH adjustment, upstream of ozonation have demonstrated efficacy to mitigate bromate.<sup>16</sup> The Cl<sub>2</sub>-NH<sub>4</sub><sup>+</sup>-N pretreatment approach has some advantages compared to ammonium-only and pH adjustment since it does not require the use of corrosive acid/base and achieves up to 94% bromate reduction depending on treatment conditions.<sup>16-18</sup> During the process, Cl<sub>2</sub>-NH<sub>4</sub><sup>+</sup>-N combine to form monochloramine (NH<sub>2</sub>Cl) ahead of the ozone contactor, which disrupts both ozone and hydroxyl radical (·OH) pathways toward bromate formation.<sup>16,19</sup> The efficacy of Cl<sub>2</sub>-NH<sub>4</sub><sup>+</sup>-N pretreatment to minimize bromate at elevated temperature has not been well examined in the literature.

Higher water temperatures impact ozone system performance by accelerating ozone demand and decay rate resulting in reduced ozone exposure ( $\int [\text{O}_3] dt$ ).<sup>12,20,21</sup> Temperature-driven ozone decomposition also accelerates production of hydroxyl radicals (·OH), which may also contribute toward meeting water quality goals.<sup>20,22</sup> However, the overall hydroxyl radical exposure ( $\int [\cdot\text{OH}] dt$ ) may remain relatively unchanged, as was demonstrated when temperature was varied from 5–35 °C during a bench-scale study using Lake Zurich water.<sup>21</sup> This was likely associated with using a consistent organic matter matrix comprised of initiators, promoters, and

scavengers.<sup>23</sup> As a result, increased temperature can be expected to have greater impact on meeting treatment goals related to ozone exposure versus hydroxyl radical exposure.

Systematic temperature evaluations on ozone process performance are limited in the literature, often being performed at either bench-scale or full-scale and confounded by other water quality and operational factors. Bench-scale studies often involve the addition of a chilled concentrated ozone stock solution (~2 °C) into a sample at room temperature (20 °C). The scalability of the bench-scale ozone dissolution method, mixing, temperature dynamics, and dilution of the samples are not well evaluated.<sup>21</sup> In addition, ozone demand is sometimes defined in bench-scale batch systems as the first 30 seconds of ozone decomposition (*i.e.*, initial ozone demand (IOD)),<sup>22</sup> which differs from pilot- and full-scale systems. In pilot- and full-scale systems, ozone demand in the dissolution zone (OD<sub>diss</sub>) is defined as the difference between the transferred ozone dose and the initial ozone residual (C<sub>in</sub>) at the beginning of the credited disinfection zone, which could involve minutes of contact time depending on hydraulic conditions.<sup>22,24</sup> Full-scale studies investigating temperature effects often evaluate data from different seasons. These seasonal changes introduce additional water quality variability (*e.g.*, total organic carbon (TOC) concentration, type of dissolved organic matter, pH, alkalinity) that can also influence ozone demand and decay.<sup>20,25</sup> Pilot-scale studies have flexibility similar to bench-scale testing while simulating full-scale conditions in terms of ozone dissolution, design, and operation.

This pilot-scale study investigated temperature as a single water quality variable affecting ozone operation, which addresses experimental limitations associated with bench-scale and full-scale studies. The specific objectives of this study were to examine the effect of temperature (15–30 °C) and ozone dose (1–3 mg L<sup>-1</sup>) on (i) ozone demand, (ii) ozone decay rate, (iii) operating conditions required to meet a range of *Cryptosporidium* LRVs from 0.5–1.5 logs following USEPA guidance;<sup>11</sup> and (iv) bromate formation and control using Cl<sub>2</sub>-NH<sub>4</sub><sup>+</sup>-N pretreatment with Cl<sub>2</sub>:N mass ratios between 1:1 and 5:1. Hydroxyl radical exposure was not examined as part of this study due to added complexity of dosing an ·OH probe compound at pilot-scale and since ·OH are typically considered insignificant contributors to disinfection compared to ozone.<sup>15</sup> The pilot-scale results were applied to a full-scale ozone system design (Southern Nevada Water Authority (SNWA), Las Vegas, NV, USA) to evaluate *Cryptosporidium* LRV targets under anticipated warm water conditions (~26 °C) due to prolonged drought conditions within the Colorado River watershed. While Lake Mead modeling has projected additional drought-driven water quality changes (*i.e.* TOC, pH) that can impact ozone performance, this study systematically evaluates the role of the anticipated temperature changes on ozone performance as a single variable.<sup>2,7,8</sup>



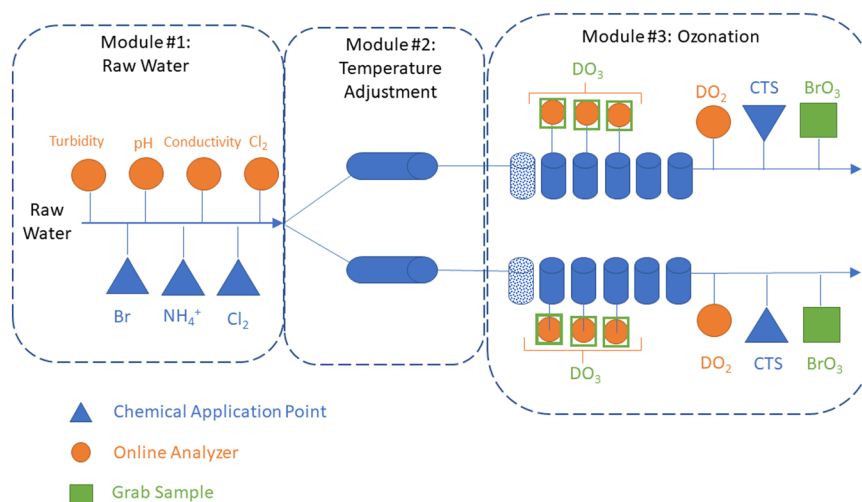
# Materials and methods

## Pilot plant description

A 10 gpm (37.8 L min<sup>-1</sup>) pilot plant (Intuitech Inc., Salt Lake City, UT, USA) was used to treat raw Colorado River water from Lake Mead, NV, USA. The pilot plant included three modules: (1) a raw water skid, (2) a temperature adjustment skid, and (3) an ozone skid (Fig. 1). The raw water skid collected online water quality data (e.g., pH, turbidity, conductivity, and chlorine) and has three chemical application points used to dose bromide, chlorine, and ammonium. Following the raw water skid, the water flow was split evenly into two trains before entering the temperature adjustment skid. The dual-train configuration enabled two temperature-independent tests to be performed simultaneously, which allowed both direct temperature comparisons and accelerated the testing schedule. The temperature adjustment skid used electronic inline heaters (WATTCO FLS3-200X0245T-54 945-1, Lachine, Quebec, Canada) on both trains to achieve temperatures of up to 30 °C within 4–5 min in the ozone contactor influent (Fig. S1 and S2†). Steady state conditions were achieved in the ozone contactor effluent within 60 min following a temperature adjustment (Fig. S2†). After temperature adjustment, water flow entered the ozone skid with a theoretical hydraulic detention time (HDT) of 6–7 minutes in the dissolution zone (column 1) and 30–35 min in the disinfection zone (columns 2–6). The ozone module created ozone feed gas from ambient air using an oxygen concentrator (OGSI OG-20-OEMC-24, Oxygen Generating Systems Intl., Tonawanda, NY, USA) and ozone generator (PTI O<sub>3</sub> Gen 2 (20 g), Plasma Technics Inc., Racine, WI, USA). The ozone feed gas was measured with an online analyzer (BMT 964, BMT Messtechnik, GmbH, Stahnsdorf, Germany) and controlled using a mass flow

controller (ALICAT Scientific, Tucson, AZ, USA). The ozone contactors were operated with fine bubble diffusion (FBD) with a  $T_{10}/T$  ratio of 0.65 confirmed through tracer testing (Text S1, Fig. S3 and Table S1†). Each contactor chamber included the following: eight grab sampling ports distributed evenly throughout each column, three online dissolved ozone analyzers (Rosemount™ 499AOZ, Emerson, Chanhassen, MN, US), and one online dissolved oxygen and temperature analyzer (Thermo Scientific AquaSensor DO DataSticks, Beverly, MA, USA). Calcium thiosulfate was dosed into each ozone contactor effluent (0.05 mg L<sup>-1</sup>) and the waste stream from all online analyzers (0.2 mg L<sup>-1</sup>) to quench any residual ozone. Ozone off gas was measured using an online analyzer (BMT) and used to calculate mass transfer efficiency between 94–98% (Fig. S4†). The effect of water temperature on gas-liquid mass transfer efficiency was not systematically evaluated, though may be expected to decrease as temperature increases.<sup>26</sup>

Ozone process operation used the online instrumentation identified previously along with dissolved ozone grab sample analysis to determine several operational parameters: transferred ozone dose ( $DO_{3,transferred}$ ) (eqn (4)), first-order ozone decay rate constant ( $k^*$ ) (eqn (5)), initial dissolved ozone residual ( $C_{in}$ ) at the entry point of the disinfection zone (eqn (6)), and ozone demand in the dissolution zone ( $OD_{diss}$ ) calculated as the difference between  $DO_{3,transferred}$  and  $C_{in}$ . Ozone CT was calculated using grab sample data according to the extended integrated  $T_{10}$  method adapted from the LT2ESWTR Toolbox Guidance Manual as shown in eqn (7).<sup>11,27</sup> The online dissolved ozone analyzer data was used for process verification and not included in the ozone CT calculation. LRV calculations were determined according to LT2ESWTR guidance according to eqn (1)–(3). A visual depiction of these parameters is included in Fig. S5.†



**Fig. 1** Schematic of pilot-scale testing system: raw water module, temperature adjustment module, and ozonation module. The figure depicts that after raw water module, the flow is split into two parallel trains to enable two temperature-independent tests to be completed simultaneously. Chemical application points are identified for chlorine ( $Cl_2$ ), ammonium ( $NH_4^+$ ), bromide ( $Br^-$ ), and calcium thiosulfate (CTS). Online analyzer locations are identified for turbidity, pH, conductivity, chlorine ( $Cl_2$ ), dissolved oxygen ( $DO_2$ ), and dissolved ozone ( $DO_3$ ). Grab sample locations are identified for  $DO_3$  and  $BrO_3^-$ . A photo of the pilot-scale water heaters is shown in Fig. S1.†



$$\text{DO}_{3,\text{transferred}} = \frac{(\text{Feed gas conc.} - \text{off gas conc.}) \times \text{gas flow rate}}{\text{Water flow rate}} \quad (4)$$

$$k^* = \frac{1}{\text{HDT}_{1-2}} \ln \left[ \frac{C_1}{C_2} \right] \quad (5)$$

where  $C_1$  and  $C_2$  represent measured dissolved ozone residuals at sampling ports 1 and 2, and  $\text{HDT}_{1-2}$  represents the theoretical hydraulic detention time between sampling ports 1 and 2, respectively.

$$C_{\text{in}} = C_1 \times e^{k^* \times \text{HDT}_1} \quad (6)$$

with  $\text{HDT}_1$  representing the hydraulic detention time at sampling port 1.

$$\text{CT} = \left( \frac{T_{10}}{T} \right) \times \left( \frac{C_{\text{in}}}{k^*} \right) \times (1 - e^{-k^* \times \text{HDT}}); \quad (7)$$

### Experimental plan

Pilot-scale experiments were performed to identify the required transferred ozone dose ( $\sim 1\text{--}3 \text{ mg L}^{-1}$ ) to achieve *Cryptosporidium* LRVs of 0.5, 1.0, and 1.5 log at water temperatures of 15, 20, 26, and 30 °C. Bromate control using the  $\text{Cl}_2\text{-NH}_4^+\text{-N}$  pretreatment process was evaluated under similar operating conditions. The chemical application points on the raw water skid were used to dose sodium hypochlorite and ammonium chloride ahead of the temperature adjustment skid. Sodium hypochlorite was dosed into the raw water to produce a measured total chlorine ( $\text{Cl}_2$ ) residual concentration of  $0.5 \pm 0.1 \text{ mg L}^{-1}$  at the point of injection. Ammonium chloride was dosed 7 s downstream of chlorine addition to produce  $\text{NH}_4^+\text{-N}$  concentration of either 0, 0.1, 0.3, or  $0.5 \text{ mg L}^{-1}$ . While these dosing scenarios are expected to produce similar concentrations of monochloramine, the  $\text{Cl}_2\text{:N}$  mass ratio was varied based on breakpoint chlorination chemistry<sup>28</sup> from 1:1 (excess ammonium), 3:1 (excess ammonium), and 5:1 (optimal) to investigate whether excess  $\text{NH}_4^+$  conditions demonstrate any added benefit for bromate control. The  $\text{Cl}_2\text{-NH}_4^+\text{-N}$  contact time was 95–100 seconds before the ozone dissolution zone (first column). Free and total chlorine were measured 3–4 seconds downstream of chlorine addition, 1–2 seconds downstream of  $\text{NH}_4^+\text{-N}$  addition, at the ozone influent, and at the ozone effluent (Table S2†). In select experiments bromide was supplemented by spiking potassium bromide (KBr) as  $40 \mu\text{g L}^{-1}$  bromide to achieve a total (ambient + spiked) bromide concentration in the raw water of  $120 \mu\text{g L}^{-1}$ . Tests with no  $\text{Cl}_2\text{-NH}_4^+\text{-N}$  pretreatment were run in duplicate, while all other tests were conducted once.

During the period of testing, the raw water had the following water quality characteristics (i) based on online instrumentation: temperature (14.6–15.4 °C), pH (7.5–7.8), turbidity (0.6–0.9 NTU), and conductivity (850–980  $\mu\text{S}$

$\text{cm}^{-1}$ ), and (ii) based on grab samples: total alkalinity (140–144  $\text{mg L}^{-1}$  as  $\text{CaCO}_3$ ), TOC (2.4–2.6  $\text{mg L}^{-1}$ ), and bromide (81–82  $\mu\text{g L}^{-1}$ ).

### Analytical methods

Raw water TOC (Standard methods (SM) 2540C-2017),<sup>29</sup> alkalinity (SM 2320B-2017), and bromide (EPA300.0)<sup>30</sup> samples were collected and analyzed at least once a week, as daily fluctuations were not expected based on full-scale water quality monitoring. Bromate samples were collected after each testing condition in sample bottles containing 50  $\text{mg L}^{-1}$  ethylenediamine (EDA) as a quenching agent and analyzed using EPA Method 326.0.<sup>31</sup>  $\text{UV}_{254}$  samples were measured in the raw water and ozone effluent (EPA415.3)<sup>32</sup> for tests at ozone doses required to achieve LRV of 1.5 logs at different temperatures and  $\text{Cl}_2\text{-NH}_4^+$  pretreatment conditions. Also,  $\text{UV}_{254}$  measurements were taken for various ozone dosing scenarios for tests without  $\text{Cl}_2\text{-NH}_4^+$  pretreatment and at 20–30 °C. Free and total chlorine were measured in the ozone contactor influent and effluent following each temperature and  $\text{Cl}_2\text{-NH}_4^+\text{-N}$  condition using a Hach pocket colorimeter and the SwifTest DPD reagent. Grab samples for dissolved ozone residual were analyzed using the indigo trisulfonate method (SM 4500- $\text{O}_3$ ).<sup>29,33</sup>

## Results and discussion

### Effects on ozone demand (initial phase)

Pilot study results showed that  $\text{OD}_{\text{diss}}$  increased linearly as the transferred ozone dose increased at each temperature condition (Fig. 2 and S6†). At 15 °C,  $\text{OD}_{\text{diss}}$  increased by 285% from  $0.35 \text{ mg L}^{-1}$  to  $1.0 \text{ mg L}^{-1}$  as the transferred ozone dose increased from  $1.0 \text{ mg L}^{-1}$  to  $3.0 \text{ mg L}^{-1}$ , respectively. At 30 °C, the  $\text{OD}_{\text{diss}}$  increased by 225% from  $0.70 \text{ mg L}^{-1}$  to  $1.6 \text{ mg L}^{-1}$  over a similar ozone dose range. The proportional ozone dose response with  $\text{OD}_{\text{diss}}$  relates to the extent of ozone oxidation of the dissolved organic matter (DOM) mixture in Colorado River water occurring during this initial phase.<sup>6,34–37</sup>

DOM transformation has been evaluated as the primary source of ozone decomposition for decades and documented through second-order rate constants ( $k_{\text{O}_3}$ ) for the ozone reactions with specific functional groups and transformation of DOM from high molecular weight compounds to low molecular weight compounds.<sup>38–41</sup> The heterogenous mixture of functional groups within DOM can initiate ozone decomposition through reactions spanning several orders of magnitude with aromatic compounds ( $k_{\text{O}_3} < 0.1$  to  $10^9 \text{ M}^{-1} \text{ s}^{-1}$ ), olefins ( $k_{\text{O}_3} = 10\text{--}10^6 \text{ M}^{-1} \text{ s}^{-1}$ ), heterocyclic compounds ( $k_{\text{O}_3} < 0.1\text{--}10^8 \text{ M}^{-1} \text{ s}^{-1}$ ), aliphatic amines ( $k_{\text{O}_3} = 10^3\text{--}10^8 \text{ M}^{-1} \text{ s}^{-1}$ ) and aliphatic nitrogen-containing compounds ( $k_{\text{O}_3} < 1\text{--}10^6 \text{ M}^{-1} \text{ s}^{-1}$ ).<sup>35</sup> These DOM reactivities and their relevance to  $\text{OD}_{\text{diss}}$  may be better contextualized through micropollutant groupings with a similar range of reaction rate constants.<sup>42,43</sup> Micropollutants (and corresponding DOM components) with





Fig. 2 Pilot-scale data depicting correlations between  $OD_{diss}$  as a function of the transferred ozone doses at (a) 15 °C, (b) 20 °C, (c) 26 °C, and (d) 30 °C. Pilot-scale results illustrating the different  $Cl_2-NH_4^+-N$  dosing scenarios are presented in Fig. S5.†

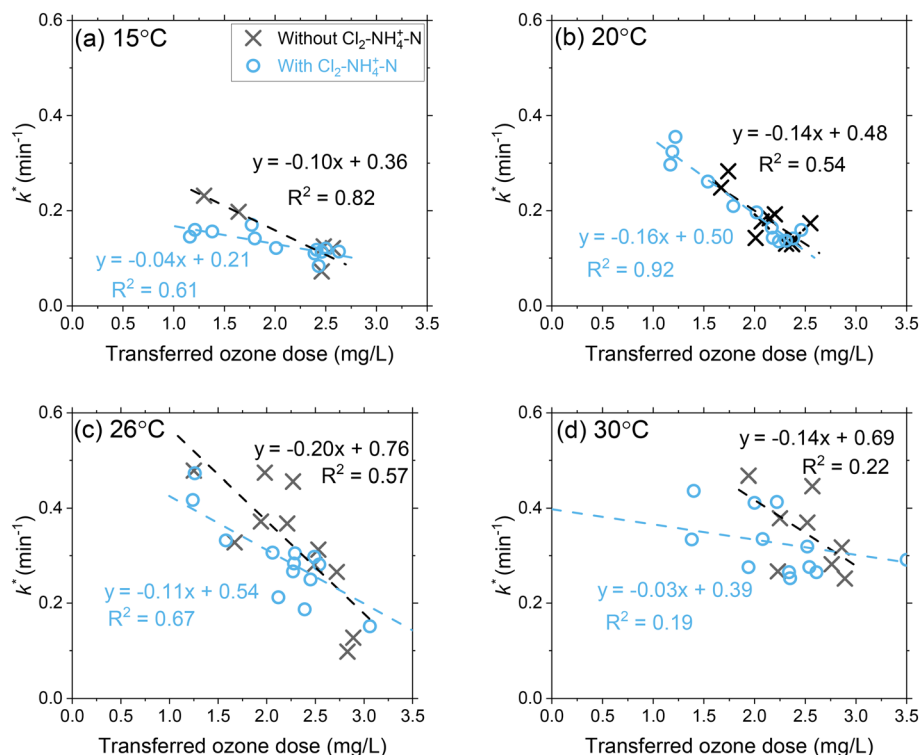
high second-order rate constants (group I:  $k_{O_3} > 10^5 M^{-1} s^{-1}$ ) can be expected to react rapidly with ozone (>90%) and included in the  $OD_{diss}$  calculation. Whereas micropollutants (and corresponding DOM components) with moderate reactivity (Group IIA:  $10^3 < k_{O_3} < 10^5 M^{-1} s^{-1}$ ; Group IIB:  $10 < k_{O_3} < 10^3 M^{-1} s^{-1}$ ) require greater ozone exposure to achieve similar extents of abatement. As the ozone dose increases, a greater proportion of group I/IIA DOM components are included in the  $OD_{diss}$  calculation. As temperature increases from 15 °C to 30 °C, the reactivity of group IIA DOM components are higher leading to an increased  $OD_{diss}$ .<sup>39</sup>

As discussed previously and demonstrated in other studies, the ozone demand phase exhibits an enhanced formation of  $\cdot OH$  similar to advanced oxidation process (AOP) characteristics ( $\int[\cdot OH]dt$ ) via reactions with different organic and inorganic water quality constituents.<sup>21,22,36,44</sup> As the  $OD_{diss}$  increases with ozone dose, the  $\int[\cdot OH]dt$  increases during this initial phase, which also contributes to additional ozone decomposition via the hydroxyl radical chain reaction ( $k_{O_3} \sim 10^8-10^9 M^{-1} s^{-1}$ ).<sup>6,20</sup> While DOM is responsible for initiating ozone decomposition and the corresponding production of  $\cdot OH$ , some DOM components along with carbonate may also serve as inhibitors, which reduces  $\cdot OH$  availability and terminates the chain reaction responsible for ozone decomposition.<sup>34</sup> For a complete ozone depletion in Lake Zurich water, the overall  $\int[\cdot OH]dt$  was unchanged across a temperature range of 5–35 °C, as

demonstrated in a previous study.<sup>21</sup> These results further demonstrate a finite number of types of reactive sites in DOM (*i.e.*, initiators, promoters, and inhibitors) leading to an increase in  $R_{ct}$  ( $\int[\cdot OH]dt/\int[O_3]dt$ ) as  $\int[\cdot OH]dt$  is unchanged and  $\int[O_3]dt$  decreases with increased temperature.

The chloramine residual concentration following each of the three  $Cl_2-NH_4^+-N$  dosing scenarios was 0.45–0.56 mg L<sup>-1</sup> (as total chlorine) in the ozone influent (Table S2.†). As a result, the three  $Cl_2-NH_4^+-N$  dosing scenarios are combined in all figures for improved clarity and differentiated in the ESI.†  $OD_{diss}$  was not impacted by  $Cl_2-NH_4^+-N$  pretreatment at all temperature conditions of 15, 20, 26, and 30 °C. Chloramine ( $k_{O_3} = 26 M^{-1} s^{-1}$ ) and bromamine ( $k_{O_3} = 40 M^{-1} s^{-1}$ ), and ammonium ( $k_{O_3} = \text{no reaction}$ ) react slowly with ozone (Group IIB) and are not expected to result in additional initial phase ozone demand.<sup>45–48</sup> However, chloramine is a weak  $\cdot OH$  scavenger ( $k_{OH} = 5.2-5.7 \times 10^8 M^{-1} s^{-1}$ )<sup>49,50</sup> and can partially disrupt the chain reaction of ozone decomposition by minimizing the available  $\cdot OH$ . Since chloramine is only a weak  $\cdot OH$  scavenger, reactive sites within the NOM likely outcompete  $NH_2Cl$  for  $\cdot OH$  which minimizes the effect of chloramine during the initial phase ( $OD_{diss}$ ). In wastewater, minimal effect on  $OD_{diss}$  was also observed when using preformed chloramine, while  $OD_{diss}$  increased as a function of ozone dose.<sup>51</sup> If longer chlorine contact times (>10 min) are applied before of  $NH_4^+$  addition, a further decrease in  $OD_{diss}$  may be expected due to chlorine oxidation of DOM





**Fig. 3** Pilot-scale data depicting the correlations between the first-order ozone decay rate constants as a function of the transferred ozone dose at (a) 15 °C, (b) 20 °C, (c) 26 °C, and (d) 30 °C. Pilot-scale results illustrating the different  $\text{Cl}_2\text{-NH}_4^+\text{-N}$  dosing scenarios are presented in Fig. S6†. Another representation of the data in terms of the effect of transferred ozone doses on ozone half-life for all tested temperatures is shown in Fig. S7†.

components (groups I and II) that contribute to ozone decomposition.<sup>17,18</sup>

### Effects on ozone decay rate (second phase)

Ozone decay rates ( $k^*$ ) decreased proportionally with transferred ozone doses across all temperature conditions (Fig. 3 and S7 and S8†). At 15 °C, the first-order ozone decay rate constants decreased from  $0.19 \text{ min}^{-1}$  to  $0.07 \text{ min}^{-1}$  (63%) as the transferred ozone dose was increased from  $1 \text{ mg L}^{-1}$  to  $3 \text{ mg L}^{-1}$ . The higher first-order ozone decay rate constant ( $0.19 \text{ min}^{-1}$ ) at the lower ozone dose ( $1 \text{ mg L}^{-1}$ ) indicates the continued oxidation of DOM by ozone reactions (e.g., group I, IIA and IIB components) that was not completed during the initial phase ( $\text{OD}_{\text{diss}} = 0.35 \text{ mg L}^{-1}$ ). For higher ozone dosages (e.g.,  $3 \text{ mg L}^{-1}$ ), the first-order ozone decay rate constants were lower ( $0.07 \text{ min}^{-1}$ ) which relates to a greater DOM (groups I and IIA) oxidation by ozone achieved during the initial phase ( $\text{OD}_{\text{diss}} = 1.0 \text{ mg L}^{-1}$ ) and continued depletion of slower reacting NOM (group IIB) in the second phase. An inverse relationship is observed when comparing  $\text{OD}_{\text{diss}}$  (Fig. 2) and  $k^*$  (Fig. 3) versus the transferred ozone dose. These patterns in ozone decay rate constants are consistent with previous bench-scale studies.<sup>20,21</sup>

A temperature increase from 15 °C to 30 °C accelerated ozone depletion which is demonstrated by higher first-order rate constants ( $k^*$ ). At a dose of  $1 \text{ mg L}^{-1}$ ,  $k^*$  increased from

$0.19 \text{ min}^{-1}$  at 15 °C to  $0.31 \text{ min}^{-1}$  at 30 °C. The increase in  $k^*$  indicates that the reactivities of the group IIA and IIB DOM components were enhanced by the temperature increase leading to a faster ozone depletion in the second phase. The role of temperature on ozone depletion kinetics has been examined for decades in model and natural waters.<sup>20,21,52,53</sup> The Arrhenius plot (eqn (8)) allows for the determination of the activation energies ( $E_a$ ) for these processes.<sup>12,21</sup>

$$k = Ae^{-\frac{E_a}{RT}} \quad (8)$$

where  $A$  is a temperature-independent constant.<sup>54</sup>  $E_a$  in natural waters ( $46\text{--}112 \text{ kJ mol}^{-1}$ ) is generally greater compared to organic compounds ( $35\text{--}50 \text{ kJ mol}^{-1}$ ).<sup>21,55</sup> The  $E_a$  values determined based on eqn (8) for this study (Fig. S9†) were dose dependent and varied between  $44\text{--}78 \text{ kJ mol}^{-1}$ , which aligns with other natural waters (e.g., Lake Zurich:  $E_a = 67 \text{ kJ mol}^{-1}$ , lake near Gwangju, Korea:  $E_a = 49 \text{ kJ mol}^{-1}$ ).<sup>20,21,55</sup> With  $E_a$  determined from the ozone decay rate constants (i.e., second phase), the completion of  $\text{OD}_{\text{diss}}$  reactions factored into the response with lower ozone dose conditions resulting in a lower  $E_a$  (i.e., incomplete initial phase oxidation of fast-reacting DOM components), while greater ozone dose conditions resulted in a greater  $E_a$  (i.e., further oxidation of more recalcitrant DOM components with higher activation energies).



When applying  $\text{Cl}_2\text{-NH}_4^+\text{-N}$  pretreatment, the  $k^*$  for a transferred ozone dose of  $1.5 \text{ mg L}^{-1}$  decreased from 0.21 to 0.15 at  $15^\circ\text{C}$  and from 0.48 to 0.35 at  $30^\circ\text{C}$ . The chloramine residual concentration following the three  $\text{Cl}_2\text{-NH}_4^+\text{-N}$  dosing scenarios was  $0.45\text{--}0.56 \text{ mg L}^{-1}$  as total chlorine in the ozone influent and  $0.07\text{--}0.24 \text{ mg L}^{-1}$  in the ozone contactor effluent (Table S2†). The decrease in chloramine residual across the ozone process can be attributed to decomposition from the water matrix (*i.e.* temperature, TOC) in addition to the reaction with ozone, which was described earlier (group IIB). If fully attributed to the reaction with ozone, the measured chlorine decrease ( $0.2\text{--}0.3 \text{ mg L}^{-1}$ ) can result in up to  $0.22 \text{ mg L}^{-1}$  of ozone consumption. In addition to the direct reaction with ozone, scavenging of  $\cdot\text{OH}$  by chloramine also inhibits the chain reaction of ozone decomposition resulting in a corresponding decrease in  $k^*$ .

### Effect of temperature changes on ozone CT and *Cryptosporidium* LRV targets

Ozone CT ( $\int[\text{O}_3]\text{d}t$ ) increased proportionally as a function of the transferred ozone dose at all temperature conditions (Fig. 4 and S10†).<sup>17</sup> For similar transferred ozone dose conditions, the ozone CT decreased with increasing temperature (Fig. 4 and S10†). For instance, at a transferred ozone dose of  $3.0 \text{ mg L}^{-1}$ , the CT achieved at  $15^\circ\text{C}$  was almost >4 times greater ( $12.4 \text{ mg min L}^{-1}$ ) than at  $30^\circ\text{C}$  ( $3.0 \text{ mg min L}^{-1}$ ). This decrease in CT is primarily driven by the higher rate of ozone depletion at higher temperatures, as

discussed in the previous section. Although ozone decomposes more rapidly at higher temperatures, the inactivation kinetics increase.<sup>10,12</sup> Regulatory compliance in drinking water applications requires public water systems to achieve specified LRVs for viruses, *Giardia*, and *Cryptosporidium*, specifically by demonstrating required disinfectant CTs in the case of ozonation. The change in disinfection efficiency with temperature is reflected in the CT equations published by the USEPA (eqn (1)–(3)).<sup>10</sup> For example, eqn 1 indicates that the required ozone CT for a *Cryptosporidium* LRV of 1 log decreases from  $6.23 \text{ mg min L}^{-1}$  to  $1.54 \text{ mg min L}^{-1}$  as the temperature of the water increases from  $15^\circ\text{C}$  to  $30^\circ\text{C}$ . While CT requirements to meet LRV goals decrease with temperature, this pilot study showed that the required transferred ozone dose to achieve the same level of pathogen inactivation does not substantially change. Regardless of differences in required ozone CT for *Cryptosporidium* LRV, over the  $15^\circ\text{C}$  to  $30^\circ\text{C}$  range, consistent transferred ozone doses of  $1.6\text{--}1.8 \text{ mg L}^{-1}$  and  $2.4\text{--}2.8 \text{ mg L}^{-1}$  were required to meet LRV goals of 0.5 and 1.5 logs, respectively (Fig. 4 and S10†). Based on this observation it can be concluded that the lower ozone stability at higher temperature is compensated by the higher inactivation efficiency. This should be considered as site specific and re-examined carefully in other water sources with different water quality characteristics (*i.e.*, pH, alkalinity, organic matter composition).

In Fig. 4, the linear regression lines intercept at the  $x$ -axis represent another potential method to determine ozone demand based on CT calculations ( $\text{OD}_{\text{CT}}$ ). Based on this

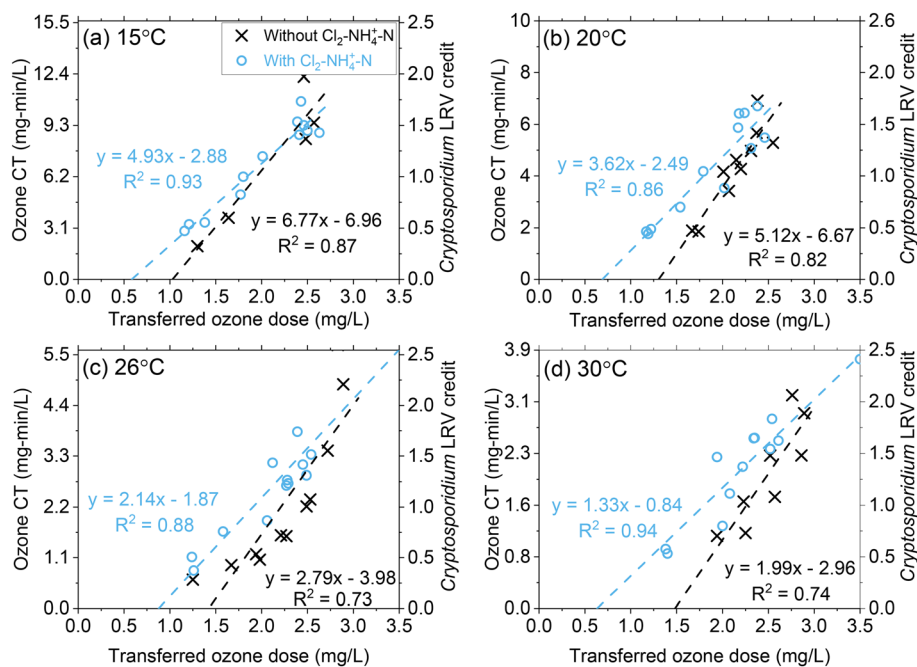


Fig. 4 Pilot-scale data depicting correlations between ozone CT (and corresponding *Cryptosporidium* LRV credit on the right y-axis) and the transferred ozone dose at (a)  $15^\circ\text{C}$ , (b)  $20^\circ\text{C}$ , (c)  $26^\circ\text{C}$ , and (d)  $30^\circ\text{C}$ . Fitting equations are associated with the left y-axis (ozone CT). Pilot-scale results illustrating the different  $\text{Cl}_2\text{-NH}_4^+\text{-N}$  dosing scenarios and demonstrating  $\text{OD}_{\text{CT}}$  calculations are presented in Fig. S9†



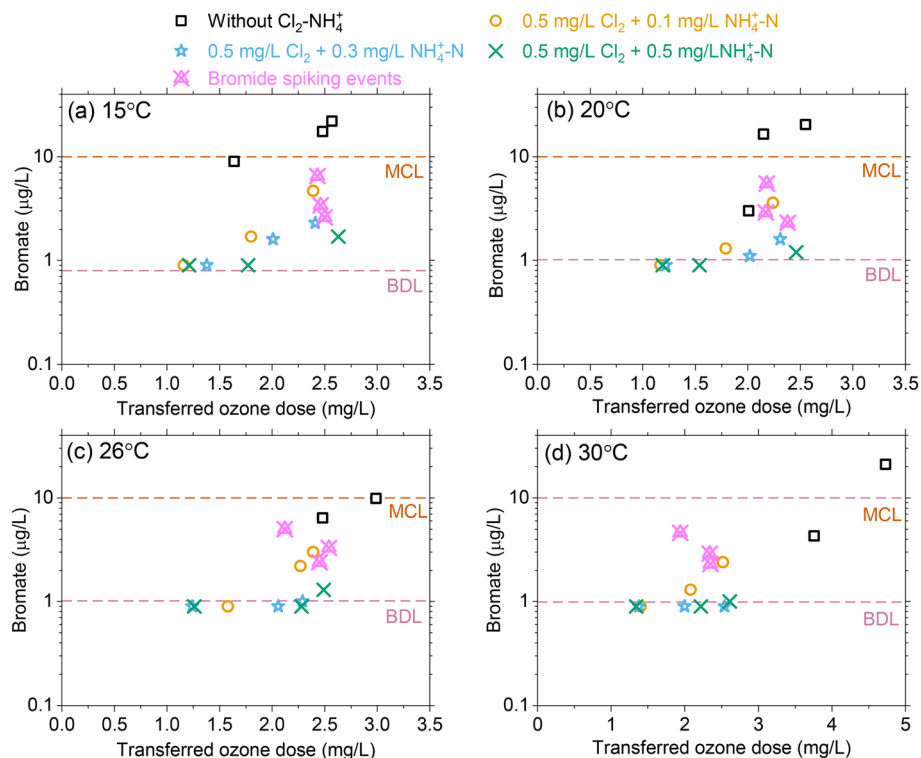
interpretation, a single value is calculated for  $OD_{CT}$  representing the transferred ozone dose that must be exceeded to create measurable ozone CT. The single value of  $OD_{CT}$  contrasts with  $OD_{diss}$ , which varies based on the transferred ozone dose and  $C_{in}$ . In this study,  $OD_{CT}$  was determined to be  $1.03 \text{ mg L}^{-1}$  at  $15^\circ\text{C}$  or  $1.49 \text{ mg L}^{-1}$  at  $30^\circ\text{C}$ . When comparing  $OD_{CT}$  to  $OD_{diss}$  in Fig. 2,  $OD_{diss}$  appears to reach a maximum value around the  $OD_{CT}$ . Additional research is needed to determine whether  $OD_{CT}$  could be considered a maximum value for  $OD_{diss}$ . However, the  $OD_{CT}$  approach should be viewed with caution as second phase NOM components (groups IIA and IIB) are being used to predict initial phase  $OD_{diss}$ , which is largely based on group I NOM components.

Across all temperature conditions,  $\text{Cl}_2\text{-NH}_4^+\text{-N}$  pretreatment provided a slight increase in ozone CT at lower ozone dose conditions as expected from  $k^*$  results (Fig. 3). For greater ozone dosages ( $2.5\text{--}3.0 \text{ mg L}^{-1}$ ), there was little difference in the ozone CT achieved with or without  $\text{Cl}_2\text{-NH}_4^+\text{-N}$  pretreatment. The linear regression line intercept at the  $x$ -axis for  $\text{Cl}_2\text{-NH}_4^+\text{-N}$  pretreatment shows an approximate 35–45% decrease in the  $OD_{CT}$  compared to the absence of  $\text{Cl}_2\text{-NH}_4^+\text{-N}$ . Since  $\text{Cl}_2\text{-NH}_4^+\text{-N}$  pretreatment had minimal impact on  $OD_{diss}$  (Fig. 2), these results show that  $OD_{CT}$  is not a good indicator of the maximum  $OD_{diss}$  in the presence of  $\text{NH}_2\text{Cl}$ .

### Effect of temperature changes on bromate formation

For an influent bromide concentration of  $80 \mu\text{g L}^{-1}$ , bromate formation increased with increasing transferred ozone doses for the selected temperature range with concentrations ranging from  $4\text{--}28 \mu\text{g L}^{-1}$  without  $\text{Cl}_2\text{-NH}_4^+\text{-N}$  pretreatment. This exceeded the bromate MCL of  $10 \mu\text{g L}^{-1}$  in most cases (Fig. 5). As the transferred ozone dose increases,  $\int[\cdot\text{OH}]dt$  is not expected to change with temperature while  $\int[\text{O}_3]dt$  decreases with temperature, which results in decreasing  $R_{CT}$  ( $\int[\cdot\text{OH}]dt/\int[\text{O}_3]dt$ ). As temperature increases,  $\int[\cdot\text{OH}]dt$  plays a greater role in bromate production due to the reduced ozone exposure. While higher temperatures are considered to enhance reaction kinetics, bromate declined as the temperature increased for an equivalent transferred ozone dose, likely due to a lower  $\int[\text{O}_3]dt$ .

Chloramine acts as a  $\cdot\text{OH}$  scavenger and thereby reduces the oxidation of bromide and  $\text{HOBr}/\text{OBr}$  leading to bromate formation.<sup>16,18</sup> In addition, it has been demonstrated that bromine radicals which are formed from the reaction of  $\cdot\text{OH}$  with bromide can also be quenched by chloramine.<sup>19</sup> Bromate results demonstrated the efficacy of  $\text{Cl}_2\text{-NH}_4^+\text{-N}$  (*i.e.*,  $\text{NH}_2\text{Cl}$ ) pretreatment as a bromate control strategy by maintaining concentrations below  $10 \mu\text{g L}^{-1}$  for all ozone dose and temperature conditions. Increasing the  $\text{NH}_4^+\text{-N}$  from  $0.1$  to  $0.5 \text{ mg L}^{-1}$  results in some excess  $\text{NH}_4^+\text{-N}$  (*i.e.*,



**Fig. 5** Effect of transferred ozone dose on effluent bromate concentrations under different  $\text{Cl}_2\text{-NH}_4^+\text{-N}$  dosing scenarios at (a)  $15^\circ\text{C}$ , (b)  $20^\circ\text{C}$ , (c)  $26^\circ\text{C}$ , and (d)  $30^\circ\text{C}$ . The symbols in magenta represent results from challenge tests conducted with additional  $40 \mu\text{g L}^{-1}$  bromide spiked into raw water, to increase the influent bromide from  $80 \mu\text{g L}^{-1}$  to  $120 \mu\text{g L}^{-1}$  (confirmed with laboratory analysis). MCL = maximum contaminant limit =  $10 \mu\text{g L}^{-1}$ . BDL = below detection limit = of  $1 \mu\text{g L}^{-1}$ .



lower  $\text{Cl}_2:\text{N}$  mass ratio) which partially quenches HOBr to form bromamine and further minimizes bromate production.<sup>16</sup> However, the results showed that the excess  $\text{NH}_4^+-\text{N}$  (mass basis) provided only a marginal improvement ( $\sim 1\text{--}2\ \mu\text{g L}^{-1}$ ) for bromate control (Fig. 5). At higher temperatures,  $\text{Cl}_2\text{--NH}_4^+-\text{N}$  (*i.e.*  $\text{NH}_2\text{Cl}$ ) pretreatment provided more effective bromate control by scavenging  $\cdot\text{OH}$  and the formed Br as  $R_{\text{CT}}$  is expected to increase with temperature. During experiments in which bromide concentrations were increased from  $80\ \mu\text{g L}^{-1}$  (ambient) to  $120\ \mu\text{g L}^{-1}$  (spiked),  $\text{Cl}_2\text{--NH}_4^+-\text{N}$  (*i.e.*,  $\text{NH}_2\text{Cl}$ ) pretreatment again provided effective bromate control with concentrations below the MCL, magenta symbols in Fig. 5.

### Practical application of the pilot-scale results for full-scale design

SNWA operates two drinking water treatment facilities with a combined production capacity of 900 million gallons per day

(mgd,  $3.3 \times 10^6\ \text{m}^3\ \text{day}^{-1}$ ) to serve the Las Vegas metropolitan area with a population over 2.5 million.<sup>56</sup> Both facilities receive raw water from the Colorado River *via* Lake Mead, which has been experiencing severe drought conditions and declining lake surface level for over 20 years (from a full elevation of 1221 ft/371.9 m to current levels near 1070 ft/326 m, resulting in  $>60\%$  lake volume decline).<sup>7,8</sup> If lake surface levels continue to decline, water quality models have shown the raw water temperature may change from the current annual range of  $12\text{--}16\ \text{°C}$  to as low as  $10\ \text{°C}$  in the winter and as high as  $30\ \text{°C}$  in the summer.<sup>2,7,8</sup> While other water quality parameters (*i.e.* TOC, pH) are also expected to change during drought conditions, temperature was considered to have the most significant impact on ozone process performance. This has necessitated a review of treatment plant performance to ensure that treatment goals can be achieved under these projected temperature conditions.

Both treatment facilities operate as direct filtration plants (*i.e.*, coagulation + flocculation + granular media filtration)



Fig. 6 Comparing pilot-scale results of this study to the initial full-scale design criteria at low ( $15\ \text{°C}$ , left panels) and high ( $26\ \text{°C}$ , right panels) design temperatures in terms of the effect of transferred ozone doses on (a and b) ozone CT (left y-axis) and LRV targets (right y-axis), (c and d)  $k^*$ , and (e and f)  $\text{OD}_{\text{diss}}$ .



with pre-ozonation targeting a non-regulatory, internal goal of *Cryptosporidium* LRV of 0.5 log. Currently, both plants are classified as Bin 1 for *Cryptosporidium* (<0.075 oocysts per L) under the LT2ESWTR, which requires no additional treatment beyond the credits received for direct filtration, assuming turbidity targets are achieved.<sup>11</sup> However, if drought conditions increase *Cryptosporidium* concentrations in the raw surface water (e.g.,  $\geq 0.075$  and <1.0 oocysts per L), the treatment facilities may be reclassified to Bin 2, which requires *Cryptosporidium* LRV of 1.5 logs beyond the baseline direct filtration credit. The impacts of potential reclassification and temperature were considered as SNWA proceeds with oxygen–ozone system refurbishment after 20 years of service.

As part of the design review, the existing oxygen–ozone systems were evaluated for their ability to achieve a *Cryptosporidium* LRV of 0.5–1.5 logs under the projected temperature conditions. Historical full-scale data (13–15 °C) were supplemented with previous pilot-scale data sets with limited information at temperatures greater than 15 °C and used to develop the initial full-scale design criteria shown in Fig. 6. Given the cost and scale of the ozone system refurbishment, additional pilot-scale data were requested to better inform the full-scale design over the projected temperature range associated with drought conditions (i.e., up to 26 °C), hence the basis for the current study.

Full-scale ozone capacity is designed based on the transferred ozone dose required to achieve temperature-dependent CT values according to the LT2ESWTR (Fig. S11†). For example, an LRV of 1.5 logs requires a CT of 9.35 mg min L<sup>-1</sup> at 15 °C and 2.31 mg min L<sup>-1</sup> at 30 °C. By defining the target CT required, pilot-scale data can be utilized to calculate the required transferred ozone dose and verify  $k^*$ , and OD<sub>diss</sub> (Fig. 6). The results from this pilot-scale study confirmed that the design criteria were conservative with respect to the transferred ozone dose required (Fig. 6a and b). Results for  $k^*$  (Fig. 6c and d) and OD<sub>diss</sub> (Fig. 6e and f) showed these parameters are not constant as originally defined, based on the limited historical data; however, the differences were not significant enough to change the design ozone production capacity of 13 800 lb O<sub>3</sub> per day (~6260 kg day<sup>-1</sup>) for the refurbished full-scale system. The pilot results from this work provided the consultant and utility with greater confidence in the design assumptions.

## Conclusions

This study systematically evaluated the effects of temperature and dosing on ozone decomposition, ability to achieve CT/LRV targets for compliance with the USEPA's LT2ESWTR, and bromate formation and control under projected drought conditions. Pilot-scale testing was accomplished by manipulating temperature, while maintaining a fixed water quality (i.e., pH, TOC concentration, DOM, alkalinity, bromide). The main conclusions from this study include:

- As temperature increased from 15 °C to 30 °C, ozone demand in the dissolution zone (OD<sub>diss</sub>) increased from 0.3 to 0.7 mg L<sup>-1</sup> (at 1.0 mg L<sup>-1</sup> transferred ozone dose) and from 1.0 to 1.6 mg L<sup>-1</sup> (at 3.0 mg L<sup>-1</sup> transferred ozone dose), respectively.

- As temperature increased from 15 °C to 30 °C, the pseudo first-order ozone decay rate constant  $k^*$  increased (e.g., from 0.19 min<sup>-1</sup> to 0.31 min<sup>-1</sup> for a transferred ozone dose of 1.0 mg L<sup>-1</sup>). The activation energies ( $E_a$ ) derived from pseudo first-order rate constants for ozone decomposition across the different temperature conditions varied between 44–78 kJ mol<sup>-1</sup>, which aligned with other natural waters.

- Temperature-driven changes in OD<sub>diss</sub> and  $k^*$  can be explained by an enhanced ozone reaction with DOM sites spanning about 10 orders of magnitude ( $k_{O_3} < 0.1$  to  $10^9$  M<sup>-1</sup> s<sup>-1</sup>). Fast reacting NOM moieties (group I;  $k_{O_3} > 10^5$  M<sup>-1</sup> s<sup>-1</sup>) achieve near-complete oxidation (>90%) within the dissolution zone (OD<sub>diss</sub>). DOM moieties with lower ozone reactivities (groups IIA/IIB;  $10 < k_{O_3} < 10^5$  M<sup>-1</sup> s<sup>-1</sup>) contribute to the second phase of ozone consumption ( $k^*$ ).

- For the waters tested in this study and across the range of water temperatures tested (15–30 °C), decreasing CT requirements (i.e., more rapid disinfection kinetics) offset the increases in OD<sub>diss</sub> and  $k^*$ , resulting in similar ozone dosing requirements to meet *Cryptosporidium* LRV goals according to the USEPA LT2ESWTR.

- At all temperature conditions (15–30 °C), bromate formation exceeded the MCL of 10 µg L<sup>-1</sup> for target *Cryptosporidium* LRVs > 0.5 log. Chlorine–ammonium (0.5 mg L<sup>-1</sup> as Cl<sub>2</sub> and 0.1–0.5 mg L<sup>-1</sup> as NH<sub>4</sub><sup>+</sup>-N, with Cl<sub>2</sub>:N molar ratios of 1:1 to 1:5) application upstream of ozone effectively minimized bromate to below the MCL at all evaluated ozone doses (1–3 mg L<sup>-1</sup>).

- While this study focused on temperature variability within a consistent water matrix, drought conditions can change other water quality parameters (i.e. pH, alkalinity, bromide concentration, TOC concentration) as well that can influence ozone demand, decay rate, and CT performance along with bromate formation and mitigation. Furthermore, the potential for greater wastewater influence can also change DOM composition and ozone reaction kinetics depending on the mixture of functional groups (groups I–V). Finally, there is a need for future studies to develop a comprehensive and systematic comparison between findings from bench-, pilot-, and full-scale studies and summarize the key differences and gaps between each system.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

We acknowledge Emily Christensen for assisting with pilot work. We also thank SNWA laboratory staff (Janie Holady,



Steve George, Lena Wright, and Matthew Desautel) for sample preparations and select laboratory analysis. We thank Dr. Urs von Gunten (Eawag) and Dr. Daniel Gerrity (SNWA) for their detailed review of the manuscript and providing insightful comments that enhanced the manuscript quality. We also acknowledge Benjamin Finnegan, Christine Ngan Love, and Christopher Schulz from CDM Smith for providing full-scale design information and providing feedback on the test plans and pilot study results.

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