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A framework to assess the terrestrial risk of antibiotic resistance from antibiotics in slurry or manure amended soils†

Felicity C. T. Elder,^a Alex J. O'Neill,^b Lisa M. Collins^c and Laura J. Carter^a

Antibiotic resistance (ABR) or the silent pandemic is a major global health and economic issue, threatening both modern healthcare and food production. There is increasing concern that the presence of antibiotics in the environment may select for the emergence and spread of antibiotic resistance. Currently environmental regulatory guidelines fail to address ABR risks, and while there is ongoing work to address this within aquatic environments, terrestrial systems have been somewhat overlooked – perhaps in part due to a focus on wastewater treatment plant effluent as the main source of antibiotics within the environment. Within agriculture there is an increasing push to move away from chemical-based fertilisers and towards the use of organic soil amendments such as slurry, manure or sludge, to improve soil health. However, these organic soil amendments have been shown to contain antibiotics and other pharmaceuticals alongside antibiotic resistant bacteria, posing a potential risk to the environment, livestock and humans through the proliferation and spread of ABR. It is therefore important that a risk framework is developed in relation to ABR and organic soil amendment use. Using current knowledge on the fate of antibiotics within soil and mathematical models, this manuscript presents a novel framework for assessing the terrestrial risk of antibiotic resistance through the use of farmyard manure as fertiliser.

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Environmental significance

Antibiotic resistance (ABR) is a global health, economic and food security threat with the environment playing a key role in the dissemination of antibiotic-resistant bacteria, as low concentrations of antibiotics can select for ABR. Antibiotics are excreted largely unchanged by livestock and persist within manure or slurry. This manure of slurry is then used as fertiliser and the antibiotics are transferred into the soil where they have the potential to select for ABR. Here using a modelling framework that considers chemical properties of soil and antibiotics alongside reported concentrations of antibiotics in slurry this manuscript demonstrates that under certain conditions specific antibiotics may be present within the soil environment at concentrations that select for ABR.

1 Introduction

Antibiotics are a diverse group of pharmaceuticals used globally for the prevention and treatment of bacterial disease in humans, animals, and plants. These agents have saved millions of lives, revolutionising healthcare and agriculture in the process, and have become an integral part of modern medicine and society. However the increased use of antibiotics has led to a concomitant rise in the prevalence of antibiotic resistant bacteria and infections which are untreatable with modern antibiotics.¹ The universal nature of the problem has been

acknowledged by the World Health Organisation, who declared antibiotic resistance a global health and economic threat in 2015.²

Antibiotics are regularly detected in environmental matrices, including soil and water environments.^{3–9} Many antibiotic classes are excreted largely unchanged in animal and human waste¹⁰ reaching the environment either through manure or slurry application to land, direct excretion, or *via* the reuse of sludge from wastewater treatment plants (WWTPs) (Fig. 1).¹¹ Within these same environments, antibiotic resistant (ABR) bacteria and antibiotic resistant genes (ARGs) are also present.^{3,12–14} ABR and ARGs are naturally present within the soil microbiome but their prevalence is increased by contamination with ABR bacteria from faecal matter.¹⁵ Studies have indicated that the presence of antibiotics in natural environments can enrich for ABR,¹³ which has led to the idea that there are potential hotspots for the development and spread of ABR within the environment.¹⁶ The potential of the environment to

^aSchool of Geography, Faculty of Environment, University of Leeds, UK. E-mail: f.elder@leeds.ac.uk

^bSchool of Molecular and Cellular Biology, Faculty of Biological Sciences, University of Leeds, UK

^cSchool of Biology, Faculty of Biological Sciences, University of Leeds, UK

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play a key role in the spread and development of ABR has been highlighted in both national and international reports^{2,17,18} all of which have highlighted the need for a 'One Health' approach in tackling this global problem. One Health involves collaborative efforts from multiple disciplines working locally, nationally, and globally to attain optimal health for people, animals, and our environment.

Research around antibiotics in the environment and their potential role in the development of ABR has largely focused on antibiotics and ARGs within the urban water cycle as wastewater treatment plants have been highlighted as a likely hotspot for ABR development and spread.¹⁹ Whilst a direct correlation between antibiotic concentrations and ARG copies within wastewater remains elusive, it is accepted that the anthropogenic presence of antibiotics in influent and effluent wastewater has the potential to select for ABR.^{16,20,21} However, wastewater treatment plants and the rivers they discharge into represents just one pathway by which antibiotics can enter the environment; another potentially important source of antibiotic release is agricultural use.

Agriculture is a key component of the 'One Health' approach to tackling ABR, but one that has been somewhat overlooked regarding the fate of antibiotics within the environment. Antibiotics are a cornerstone of modern agriculture, not only to treat, control and prevent veterinary diseases but also in the management of crop disease. Agriculture has several different challenges to human healthcare; these include a competitive market with narrow profit margins and a need for high productivity where infectious disease can have a significant

negative financial impact. The circular economy plays an important role in reducing overheads and increasing productivity within the agricultural sector. The recycling of slurry and manure, collectively referred to as farm yard manure (FYM), as a form of fertilizer has a central role within the agricultural circular economy enabling farmers to reduce overheads or providing another income source.²² In 2020, the British Survey of Fertiliser Practice reported that 65% of farms used organic fertilisers, equating to 93.9 million tonnes being applied to land per year.²³ Therefore, it is vital we look to bridge the gap in knowledge on the partitioning of antibiotics during storage, treatment and spread of FYM on agricultural land, and the role it plays in the development and spread of ABR.

1.1 Current environmental risk assessments (ERA) – how environmental risk is currently assessed

The European Medicines Agency (EMA) framework for environmental risk assessments²⁴ consists of two distinct phases, with the first phase designed to estimate the environmental exposure of the chemical under investigation and calculation of a predicted environmental concentration (PEC). The second phase then focuses on the environmental fate and ecotoxicity of the chemical, and involves calculation of a predicted no effect concentration (PNEC).^{24–26} This framework is not fit for purpose in the case of antibiotics in the environment, since it does not address the risk of selection of ABR. The microorganism-related toxicity tests (ASRIT or soil microorganism test) only measures an acute response to the antibiotic, with the ability of the

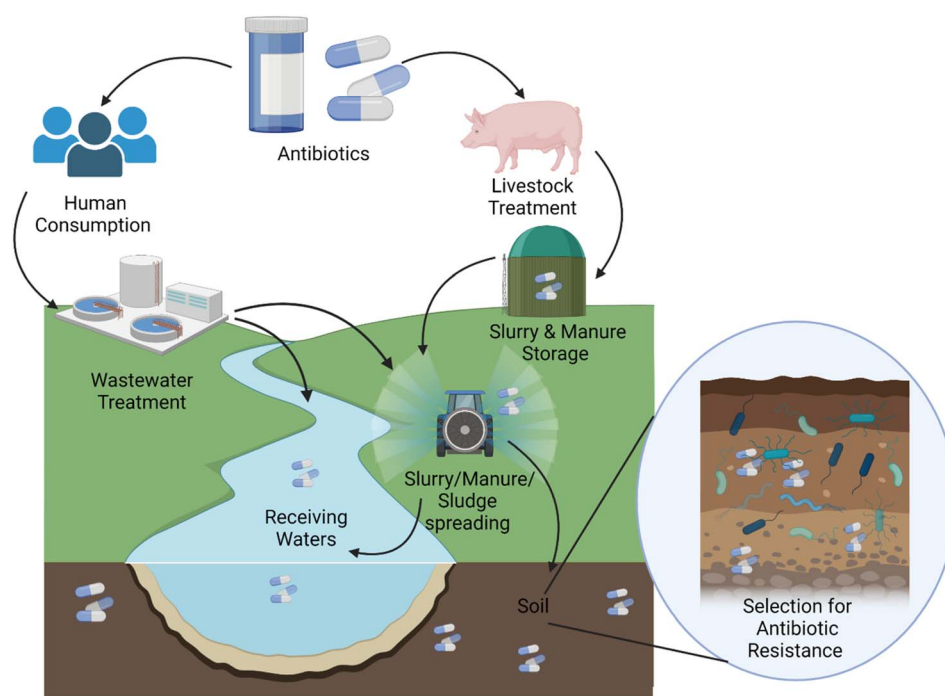


Fig. 1 Pathways of antibiotic exposure into the environment. Antibiotics that are administered to humans and animals are excreted in their urine and faeces where they are often still in their active form. These antibiotics then make their way into different environments via direct excretion, wastewater treatment plants effluent and sludge or through the spreading of farmyard manure on land. Once in the environment they can select for antibiotic resistant bacteria. Biorender IM255UVJ17.



microorganisms to continue to grow in its presence a positive result. This neglects to account for the fact this could indicate potential resistance to the antibiotic in the environment and fails to consider the wider implications in relation to ABR. It is also important to note that the idea of obtaining a low PEC to pass an environmental risk assessment is also not fit for purpose in understanding risk in the sense of antibiotic resistance, since it is well documented that very low concentrations of antibiotics can select and promote the development of ABR.^{27–29}

Despite the current framework for ERA heavily weighted in favour of ABR selection, there have been concerted efforts to address this through the development of PNECr (Predicted No Effect Concentrations for Antibiotic Resistance), which are designed not to promote the development of ABR.^{30,31} Current methodology to obtain PNECr is based on EUCAST MIC (minimum inhibitory concentration) and environmental MSC (minimum selective concentration) data. This methodology has been reviewed elsewhere,³² and acknowledges that there is no standardised approach to calculate PNECr, in particular how to calculate minimum selective concentrations (MSC) within environmental compartments. How to apply these values within the aquatic environment is also under debate³³ and there is the assumption that any bound antibiotic is inactive.³⁴ To date, there has been little or no attempt to define PNECr for antibiotics within terrestrial systems, despite the fact soil micro-organisms harbour ARGs,³⁵ and therefore selective enrichment of ARGs can occur in such environments due to the presence of antibiotics. Here we present the first framework to enable the application of current aquatic PNECr's to soil systems, thereby providing an assessment of ABR risk in the terrestrial environment.

2 Methods

Utilising previously determined PNECr for aquatic systems and knowledge of chemical fate in soils we developed a framework to assess the potential for antibiotics present in FYM to select for ABR within soil pore water. We demonstrate the use of this

framework using six antibiotics commonly used in agriculture to provide the basis of a discussion on the terrestrial risk of ABR.

2.1 The framework

The presented methodology considers the application of FYM to land as the primary pathway by which antibiotics enter the terrestrial system. First environmental soil concentrations are predicted (PEC_{soil}), then the soil sorption coefficient for the antibiotic is calculated and this applied to the PEC_{soil} to calculate the bioavailable fraction (Fig. 2) for an antibiotic of interest. This framework therefore assumes that only bioavailable (non-adsorbed) antibiotics³⁴ can select for ABR with the overall aim being to determine the soil pore water concentration (PEC_{PW}) of the antibiotic which is then compared to an aquatic PNECr to assess ABR risk.

2.2 Predicted environmental concentrations (PEC)

As starting point, concentrations of antibiotics in FYM can be predicted,²⁵ measured or obtained from published literature sources. The OCED guideline^{25,39} (eqn (1)) is then used to calculate a PEC_{soil} for the antibiotic, taking into consideration the method of application to the soil. This is important given the drive to use regenerative farming practices; to protect soil health, farmers are encouraged to use no-till farming over ploughing,⁴⁰ which has been shown to influence the fate of antibiotics in soils (Nightingale *et al.* submitted Environmental Management) and will ultimately impact the bioavailable antibiotic concentration.

$$PEC_{soil} (\mu\text{g kg}^{-1}) = \left(\frac{\text{conc. of antibiotic in FYM} (\mu\text{g kg}^{-1})}{1500 \times 10000 \times \text{depth of penetration}} \right) \times 1000 \quad (1)$$

To determine the Predicted Environmental Concentration Soil ($\mu\text{g kg}^{-1}$) (PEC_{soil}). Where; 170 is EU nitrogen spreading



Fig. 2 Framework for assessing risk for ABR following use of manure as a soil amendment. References a = OCED Guidelines;²⁵ b = Droge and Goss 2013;³⁶ c = Franco and Trapp;³⁷ d = Carter *et al.* 2014.³⁸



limit (N ha^{-1}), 1500 is bulk density of dry soil (kg m^{-3}), 10 000 is area of 1 hectare ($\text{m}^2 \text{ha}^{-1}$), depth of penetration either 0.25 or 0.05 m depending on whether incorporated or not, and 1000 is conversion factor ($1000 \mu\text{g mg}^{-1}$).

2.3 Sorption behaviour of antibiotics in soil

Antibiotics, like other chemical substances in the environment, are distributed between solid, liquid, and gaseous phases depending on their chemical properties, with movement between the compartments being facilitated through water. The environmental fate of antibiotics, specifically the distribution between soil and soil pore water (also termed soil solution) is governed by sorption processes which can vary greatly depending on the properties of the antibiotics and the soil.⁴¹ The most common method to assess sorption is to measure the relationship between concentration of sorptive (*e.g.* antibiotic) and sorbate (*e.g.* soil) and calculate a soil sorption coefficient (K_d).⁴² The properties of soils such as pH, ionic environment, texture, and soil organic matter (SOM) alongside the amphiphilic and amphoteric properties of the antibiotic and their steric configuration have been shown to play a key role in soil sorption.^{42–47} The inherent variability in soil properties, coupled with the fact antibiotics are typically ionizable compounds and display pH-dependent sorption to soil, results in a range of experimentally-determined K_d 's for a single antibiotic.⁴¹

Approaches to predict soil sorption therefore need to be able to account for these complexities. Simplified models built on linear relationships between K_{ow} (*n*-octanol/water partition coefficient) and the soil organic carbon partitioning coefficient K_{OC} , which assume sorption is driven by hydrophobic interactions, are not relevant for ionisable compounds, including antibiotics, where other processes (*e.g.* cation exchange) are known to dominate.³⁶ For example, antibiotics such as tetracyclines and fluoroquinolones have very high sorption coefficients despite having low K_{ow} . The use of K_{ow} to predict K_{OC} , as commonly done in phase I of the ERA, can lead to a significant underestimation of antibiotic sorption to soil.⁴¹ We therefore propose the use of charge-specific sorption models to predict K_d in this framework.

2.4 Soil adsorption coefficient models

Approaches to predict soil sorption coefficients have been recently reviewed elsewhere and compared to an independent experimentally-derived data set of pharmaceutical sorption coefficients.⁴⁸ The model developed by Droge and Goss 2013,³⁶ which assumes that sorption of cations is driven by cation exchange processes, was deemed the most appropriate model to estimate the sorption coefficients for pharmaceuticals in their cationic state (r^2 of 0.29 for 66 compounds).⁴⁸

$$K_d(\text{L kg}^{-1}) = K_{CEC \text{ CLAY}}(\text{CEC}_{\text{CLAY}}) + f_{oc} \text{ DOC ie} \quad (2)$$

Soil Sorption Coefficient for antibiotics in cationic state. Where $K_{CEC \text{ CLAY}} = 1.22 V_x - 0.22 \text{ NAI} + 1.09$ and $\text{DOC ie} = 1.53 x V_x + 0.32 x \text{NAI} - 0.27$. (V_x = molecular volume L mol^{-1} and NAI

= number of hydrogens bound by charged nitrogen). $\text{CEC}_{\text{CLAY}}(\text{meq. } 100 \text{ g}^{-1}) = \text{CEC}_{\text{SOIL}} - 3.4 f_{oc}$, where f_{oc} is percentage organic carbon.

For antibiotics in their anionic state, the model by Franco and Trapp³⁷ predicts K_{oc} values by combining the sorption contribution from neutral and ionised fractions. Lipophilic interactions for neutral molecules and soil are predicted using the octanol–water coefficient and the organic content of soil (SOM) which are combined with information on the pH of the soil and pK_a of the pharmaceutical compound to predict the K_{OC} for weak acids or anionic pharmaceuticals.³⁷ This model was also assessed using an independent data set to evaluate its suitability to predict K_{OC} for 68 compounds and it was deemed the most acceptable of models assessed for acids with an r^2 of 0.17.⁴⁸

$$K_{oc}(\text{L kg}^{-1}) = \Phi_n(10^{0.54 \log Pn + 1.11}) + \Phi_{ion}(10^{0.11 \log Pn + 1.54}) \quad (3)$$

Soil organic carbon partitioning coefficient normalised to organic matter or antibiotics in anionic state. Where Φ_n is neutral fraction and Φ_{ion} = ionic fraction³⁷

$$K_d(\text{L kg}^{-1}) = (K_{OC} \times f_{OC})/100 \quad (4)$$

Soil sorption coefficient for antibiotics in anionic state. Where K_{OC} is the soil organic carbon partitioning coefficient normalised to organic matter (L kg^{-1}) and f_{OC} is the percentage of organic matter in soil.

2.5 Calculation of PEC_{PW} and comparison to PNECr

To select for ABR, antibiotics need to be bioavailable within their environment.⁴⁹ On the assumption that adsorbed antibiotics are no longer bioavailable, we can utilise current research around the selection of ABR in aquatic environments to assess the potential risk of the applied organic amendment to select for ABR. To predict the bioavailable fraction of antibiotic in soil that have the potential to select for ABR, the PEC_{PW} is calculated, see eqn (5).³⁸

$$\text{PEC}_{\text{PW}}(\mu\text{g L}^{-1}) = \frac{\text{PEC}_{\text{soil}} \times \text{RHO}}{K_d \times 1000} \quad (5)$$

Predicted Environmental Concentration in Pore Water, where PEC_{soil} is Predicted Environmental Concentration in soil ($\mu\text{g L}^{-1}$) RHO is bulk density of soil (1500 kg m^{-3}).

The PEC_{PW} for the antibiotic can then be compared to the published PNECr for aquatic environments (see ESI Table 1†)³¹ to assess the potential ABR risk associated with the application of slurry or manure on land through the calculation of an ABR risk quotient (RQ, see eqn (6)). This framework allows an interdisciplinary approach to enable the immediate assessment of the potential impact of antibiotics in FYM in relation to the selection of ABR within the terrestrial environment providing a timely step forward in this currently data-deficient area of ABR research.

$$\text{Risk Quotient (RQ)} = \text{PEC}_{\text{PW}} \div \text{PNECr} \quad (6)$$



ABR risk quotient (RQ). Where PEC_{PW} is the predicted concentration of antibiotic in soil pore water and $PNECr$ is the predicted no effect concentration specific for antibiotic resistance selection.

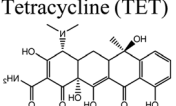
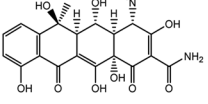
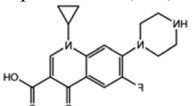
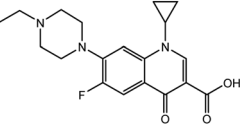
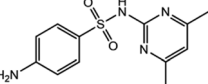
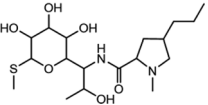
2.6 Evaluation of framework

We have proposed a framework to assess the environmental risk of ABR following the application of FYM to agricultural land. To test this framework and provide an initial assessment of terrestrial ABR risk, we selected six antibiotics used in agriculture and followed each through our framework. These six

antibiotics derive from four distinct chemical classes (tetracyclines, fluoroquinolones, sulfonamides and lincosamides), and were selected based on their common use within animal husbandry and reported presence in slurry, manure, and soil.^{6,9,50–52} The physicochemical properties and reported degradation rates in soil (DT_{50}) for the selected antibiotics are summarised in Table 1.

FYM concentrations were obtained from the literature (ESI Table 2†) and for the purposes of this evaluation, a range of reported concentrations for each antibiotic was used to showcase the variability typically associated with this

Table 1 Physicochemical properties of the selected veterinary antibiotics and their respective chemical structures^a

Antibiotic and molecular weight (g mol ⁻¹)	Chemical formula	Antibacterial properties	Excretion rate (active form)	log K_{ow}	pK _a ^a	DT ₅₀ reported in literature (days)
Tetracyclines						
Tetracycline (TET) 	C ₂₂ H ₂₄ N ₂ O ₈	Broad spectrum, bacteriostatic, and inhibits protein synthesis	80% (cattle) ^a	-1.30 ^k	7.8, 3.3	24.2 ^c , 50 ^d , 20.6–29.1 ^e
Oxytetracycline (OTC) 	C ₂₂ H ₂₄ N ₂ O ₉	Broad spectrum, bacteriostatic, and inhibits protein synthesis	23% (cattle) ^b , 60% (pig) ^b	-0.90 ^k	7.3, 3.3	16–62 ^f , 38.8 ^c , 21.7 ^g , 30.2–41.3 ^c , 8, 9 ^h
Fluoroquinolones						
Ciprofloxacin (CIP) 	C ₁₇ H ₁₈ FN ₃ O ₃	Broad spectrum, bactericidal and inhibits DNA replication	Up to 85% ⁱ	0.28 ^j	5.9, 8.89	32–100 ^l
Enrofloxacin (ENRO) 	C ₁₉ H ₂₂ FN ₃ O ₃	Broad spectrum, bactericidal and inhibits DNA replication	90% & up to 10% CIP ^f	1.1	6.4, 7.8	99–696 ^f , 297 ^d , 137 ^h
Sulfonamides						
Sulfamethazine (SMZ) 	C ₁₂ H ₁₄ N ₄ O ₂ S	Broad spectrum, bacteriostatic, and inhibits tetrahydrofolate biosynthesis	80%(cattle) ^m , 50% (pig) ^m	0.89 ^k	2.07, 7.49	3.5 ^c
Lincosamides						
Lincomycin (LINCO) 	C ₁₈ H ₃₄ N ₂ O ₆ S	Narrow spectrum, bacteriostatic and inhibits protein synthesis	40% (pigs) ⁿ	0.2 ^j	7.87 ^p , 12.37 ^p	82.5 ^c , 1.1, 11 ^h

^a Reference; a = Drugbank.com; b = Rakonjac *et al.*, 2012;⁵³ c = De La Torre, 2012;⁵⁴ d = Menz *et al.*, 2019;⁵⁵ e = Li *et al.*, 2010;⁵⁶ f = Slana *et al.*, 2013;⁵⁷ g = Blackwell *et al.*, 2007;⁵⁸ h = Berendsen *et al.*, 2021;⁵⁹ i = Ciprofloxacin Table Datasheet;⁶⁰ j = Albero *et al.*, 2018;⁵² k = Conde-Cid *et al.*, 2020;⁹ l = Barra Caracciolo A. *et al.*, 2018;⁶¹ m = Ccinelli *et al.*, 2007;⁶² n = Abo El-Sooud K. *et al.*, 2004;⁶³ o = Cardenas-Youngs *et al.*, 2015,⁶⁴ p = Mehrtens *et al.*, 2021.⁶⁵



exposure. The modelled application scenarios included broadcast (non-ploughed) and incorporated (ploughed) soil following a single application of FYM. For the modelled soil, including properties used in eqn (2) and (3), average properties of soils from across the globe was used.⁶⁶ These values can, however, be changed if the parameters of the soil where the FYM is to be applied are known. Two pH values were set at 5 and 8.7 to cover a range of pH reported from 100 soils by Khaledian *et al.*⁶⁶ while the f_{OC} or organic carbon content was set at 4.27% also reported within the same manuscript.⁶⁶ The pH of the soil, either 5 or 8.7, then dictated the soil sorption coefficient modelled used depending on ionisation of the antibiotic (ESI Table 3†). For antibiotics mainly present in their cationic form, eqn (2) was used with the value for CEC_{soil} set at 27.9885 meq. 100 g^{-1} .⁶⁶ For antibiotics present mainly in their anionic form, eqn (3) was used.³⁷ For antibiotics with multiple pK_a values, the pK_a value which resulted in the strongest ionisation was used to determine the soil sorption model used.

3 Results and discussion

A literature search for concentrations of the six test antibiotics in FYM revealed a range of concentrations for each antibiotic (See ESI Table 2†). Upper and lower concentration levels from these ranges were applied to the proposed framework to assess the risk of selection for ABR within the terrestrial environment following application of that FYM to land. Tables 2 and 3 summarise the results using the highest reported concentration of each of the six antibiotics under four different conditions – ploughed soil pH 8.7, ploughed soil pH 5, non-ploughed soil pH 8.7 and non-ploughed soil pH 5 – with additional results provided in ESI Tables 3–5.† These results demonstrate that the method of FYM application and the pH of the receiving soils play a key role in the associated ABR risk from applying FYM to agricultural land.

3.1 Tetracyclines

Tetracyclines are a class of broad-spectrum antibiotics characterised by a four-hydrocarbon ring backbone. In view of their regular use in agriculture, they are commonly found within manure,^{6,50} slurry⁵⁰ and agricultural soil.⁹ Tetracyclines, like many antibiotics, are ionisable and have multiple acid dissociation coefficients, which means that they can exist in either cationic, anionic or zwitterionic form depending on the pH of the environment. This we know plays a key role in their sorption to soil and fate within the terrestrial environment. As such, reported soil sorption coefficients for tetracyclines within literature vary greatly.¹¹ It is therefore essential that the mathematical model best represents the fate of these antibiotics in a particular physiochemical form.

The soil sorption coefficient K_d of TET varies greatly depending on whether modelled in their anionic or cationic state, which in turn is dictated by the pH of the environment they are in. When within a soil environment of pH 8.7, there is 66.9% acid ionisation giving tetracycline an overall negative

Table 2 Ploughed or incorporated FYM framework results for the top concentration for each antibiotic reported in literature

Antibiotic	FYM conc. kg^{-1}	PEC _{soil} ($\mu\text{g kg}^{-1}$)	ploughed	Soil pH	%Ionised	Soil sorption model	K_d (L kg^{-1})	PEC _{pw} ($\mu\text{g L}^{-1}$)	PNECr ($\mu\text{g L}^{-1}$)	RQ
TET	300	13.6		8.7, 5.0	66.9, 32.8	Eqn (3), ^a eqn (2) ^b	0.0007, 5958.2	2871.48, 3.42×10^{-3}	1.0	2871, 3.4×10^{-3}
OXY	700	34.9		8.7, 5.0	74.7, 32.9	Eqn (3), ^a eqn (2) ^b	0.0002, 6172	25567.09, 8.48×10^{-3}	0.5	51134, 0.017
CIPRO	43	0.4		8.7, 5.0	70.8, 2.2	Eqn (3), ^a eqn (2) ^b	0.26, 5038.8	23.73, 1.21×10^{-3}	0.06	396, 0.002
ENRO	60.2	2.73		8.7, 5.0	92.9, 3.8	Eqn (3), ^a eqn (2) ^b	5.73, 6434.8	0.71, 6.36×10^{-4}	0.06	12, 0.001
SMZ	8.0	0.36		8.7, 5.0	97.1, 50.1	Eqn (3), ^a NA	8.57, NA	0.063, 1.2×10^{-4}	No PNEC	0.004 ^c
LINCO	227	10.29		8.7, 5.0	22.7, 0.01	Eqn (2), ^b eqn (2) ^b	7818.3	1.97×10^{-3}	2	9.8×10^{-4}

^a Eqn (3) – Franco and Trapp(2008).³⁷ ^b Eqn (2) – Droge and Goss (2013).³⁶ ^c If PNECr of $16\mu\text{g L}^{-1}$ for sulfamethoxazole is used.





Table 3 Non-ploughed or not incorporated FYM framework results for the top concentration for each antibiotic reported in literature. Eqn (3) – Franco and Trapp 2008.³⁷ Eqn (2) – Droge and Goss 2013 (ref. 36)

Antibiotic	FYM conc. (mg kg ⁻¹)	PEC _{soil} (μg kg ⁻¹), non ploughed	Soil pH	% Ionised	Soil sorption model	K _d (L kg ⁻¹)	PEC _{PW} (μg L ⁻¹)	PNECr (μg L ⁻¹)	RQ
TET	300	68	8.7, 5.0	66.9, 32.8	Eqn (3), eqn (2)	0.0007, 5958.2	14357.41, 1.7 × 10 ⁻²	1.0	14357, 1.7 × 10 ⁻²
OXY	700	174.5	8.7, 5.0	74.7, 32.9	Eqn (3), eqn (2)	0.0002, 6172	127835.45, 0.04	0.5	255671, 0.085
CIPRO	43	9.75	8.7, 5.0	70.8, 2.2	Eqn (3), eqn (2)	0.26, 5038.8	118.67, 6.07 × 10 ⁻³	0.06	1978, 0.01
ENRO	60.2	13.6	8.7, 5.0	92.9, 3.8	Eqn (3), eqn (2)	5.73, 6434.8	3.57, 3.18 × 10 ⁻³	0.06	60, 3.7 × 10 ⁻⁵
SMZ	4.9	1.1	8.7, 5.0	97.1, 50.1	Eqn (3), NA	8.57, NA	0.32, NA	No PNEC	0.02 ^a
LINCO	227	51.5	8.7, 5.0	22.7, 0.01	eqn (2), eqn (2)	7818.3	9.87 × 10 ⁻³	2	4.9 × 10 ⁻³

^a if PNECr of 16 μg L⁻¹ for sulfamethoxazole is used.

K_d values of 0.26 and 5.73 L kg⁻¹ for CIP and ENRO, respectively.

In comparison to the tetracyclines, reported concentrations for the two fluoroquinolones CIP and ENRO in FYM were lower and ranged from 0.06–43 mg kg⁻¹ for CIP and 0.14–60.2 mg kg⁻¹ for ENRO (ESI Table 2†). However, their PNECr values were also considerably lower at 0.06 μg L⁻¹. When the ABR risk in the terrestrial environment for these two antibiotics was assessed using the proposed framework, PEC_{PW} concentrations were highest in non-ploughed soils with a pH of 8.7 (ESI Table 4†), calculated at 0.08–118.67 μg L⁻¹ for CIP, and 0.01–3.57 μg L⁻¹ for ENRO. For ploughed soils with the same FYM concentrations, PEC_{PW} ranged from 0.02–23.73 μg L⁻¹ (CIP) and 0.002–0.71 μg L⁻¹ (ENRO). All five of the reported FYM concentrations for CIP^{6,50,77} resulted in exposures which exceeded the PNECr, with risk quotients ranging from 1.4–1978, in unploughed soil at pH 8.7 (ESI Table 4†), whereas in ploughed soils all reported concentrations bar the lowest (0.06 mg kg⁻¹) exceed the PNECr, or had a risk quotient of greater than 1. Therefore, the application of FYM to soil presents a clear risk for the selection of FQ resistant micro-organisms. Three of the five concentrations of ENRO^{6,78} when applied either unploughed or ploughed soils with a pH of 8.7 gave a PEC_{PW} concentration of greater than the PNECr. In comparison the PEC_{PW} for both antibiotics within pH 5 soil, ploughed or unploughed, were considerably lower due to the higher K_d values for both antibiotics as the soil pH decreased, representing minimal risk for the selection of ABR, with risk quotients of 0.00005–0.01 for CIP and 0.0004–0.05 for ENRO, see ESI Table 4.†

As a class of antibiotics, fluoroquinolones have some of the longest half-lives in soil with reported DT₅₀'s ranging between 32–100 days for CIP(61) and 99–696 days for ENRO^{55,57,59} meaning that with additional applications of slurry or manure to the land (2 or 3 applications) between 1st February and 15th October (255/6 days per modelled year) there is significant potential for the accumulation of these antibiotics in the soil environment. As with tetracyclines, there is a clear knowledge gap regarding the potential loading of soils and whether there is a maximum capacity for sorption of antibiotics. Nevertheless, these results show that there is the potential for pore water concentrations to rise above the PNECr. This again highlights the importance of considering the application scenario when evaluating risk alongside the potential for a maximum antibiotic load that solid matter in soil can adsorb.

3.3 Sulfonamides

Sulfonamides are one of the most heavily used ABs worldwide.⁷⁹ Sulfamethazine (SMZ) is commonly used in agriculture⁸⁰ and was modelled here as an example. SMZ has two pK_a values of 2.07 and 7.49, meaning it exists in its cationic form in environments with a pH of less than 2. As worldwide soil environments are unlikely to reach a pH of 2, the cationic form of SMZ is unlikely to be found within the average worldwide terrestrial environment (pH range 5–8.5).⁶⁶ Instead, SMZ will most likely be in either its zwitterionic or anionic form (Table 3). Hence, the anionic form was modelled at a pH of 8.7

utilising the Franco model,⁷⁶ yielding a K_d value of 8.57 L kg^{-1} . In the literature, reported concentrations of SMZ in FYM range from 0.03 mg kg^{-1} to 8 mg kg^{-1} (ESI Table 2†). Taking the highest concentration of 8 mg kg^{-1} ,⁸¹ which was reported in chicken manure, and applying this proposed framework, a PEC_{PW} of $0.063 \mu\text{g L}^{-1}$ was calculated for ploughed soils and $1.81 \mu\text{g L}^{-1}$ in non-ploughed soils (ESI Table 4†). Currently, there are no published PNECr values for SMZ.⁸² However, a PNECr published for sulfamethoxazole³⁰ (SMX) – a member of the same drug class with similar chemical properties,⁸³ same mode of action⁸⁴ and resistance breakpoint^{85,86} allows for an initial evaluation of risk. The PNECr of SMX is $16 \mu\text{g L}^{-1}$, which is over 8 times higher than the highest PEC_{PW} for SMZ ($1.81 \mu\text{g L}^{-1}$), giving a risk quotient for PEC_{PW} ranging from 0.000015 to 0.02 for SMZ depending on application method. Whilst not definitive, this result would suggest that the risk of applying FYM with residues of SMZ in relation to ABR is low. There is however a clear research gap in the lack of a PNECr for SMZ. At pH 5, SMZ would be in its zwitterionic form; given the lack of models developed to predict sorption of compounds with multiple charges, the present framework is unable to accurately model PEC_{PW} at this pH.

3.4 Lincosamides

Lincomycin (LINCO) is commonly used within the swine industry for the treatment of dysentery in weaned animals.⁸⁷ With a $\text{p}K_a$ of 7.97 and 12.37, it will exist predominantly within soils of pH 5 in its cationic form where it is sorbed to solid matter within the soil through electrochemical interactions. Consequently, K_d values were modelled using eqn (2),³⁶ resulting in a predicted K_d of $7818.34 \text{ L kg}^{-1}$. It has been reported that it is not possible to derive experimental K_d s for LINCO when in its cationic form due to its strong affinity to bind to soil.⁸⁸ In soils with a pH of 8.7, LINCO will exist in its zwitterionic form, meaning this framework is unable to accurately model the PEC_{PW} at this pH. However, experimental K_d s have been reported for soils with a pH of between 7.3 and 8.3, and these ranged from $2\text{--}210 \text{ L kg}^{-1}$.^{6,50} These values are considerably lower than the K_d modelled within this framework for soils with a pH of 5 but higher than if eqn (3) (ref. 76) was used to model the LINCO K_d (0.0158 L kg^{-1}).

Reported concentrations of LINCO within slurry or manure ranged from 0.36 to 227 mg kg^{-1} (ESI Table 2†), with the highest concentration being reported in swine slurry in Spain.⁶ Such values correspond to PEC_{soil} concentrations between 0.02 and $10.29 \mu\text{g kg}^{-1}$ for ploughed soil, and $0.018\text{--}51.45 \mu\text{g kg}^{-1}$ for non-ploughed soil (ESI Table 5†). As it was not possible to model the soil sorption coefficient at a pH of 8.7, only the results for pH 5 soils are reported. This resulted in PEC_{PW} of $3.13\text{E-}06\text{--}1.97 \times 10^{-3} \mu\text{g L}^{-1}$ (non-ploughed) and $1.57 \times 10^{-5} \text{--}9.87 \times 10^{-3} \mu\text{g L}^{-1}$ (ploughed). In comparison to PNECr for LINCO of $2 \mu\text{g L}^{-1}$, the modelled PEC_{PW} for LINCO in soil with a pH of 5 generated a risk quotient for ABR of between 1.57×10^{-6} and 4.96×10^{-3} indicating that within this setting LINCO is unlikely to select for ABR. This conclusion does not, however, consider any preloading of the soils.

4 Summary

Application of this framework to measured concentrations of the four chemical different classes of antibiotics reveals a clear environmental risk for the selection of ABR through the application of farmyard manure containing tetracyclines and fluoroquinolones to agricultural soil. The level of risk depends upon the pH of the soil and the method of application. Both classes of antibiotics also have relatively long half-lives within the terrestrial environment, with values of 8–50 days^{54–59} being reported for tetracyclines and between 32–100 days for fluoroquinolones,^{55,57,59,61} meaning there is also the potential that this risk will increase further upon multiple applications of farmyard manure. By contrast, our analysis revealed a minimal risk with respect to the development of environmental antibiotic resistance for lincomycin, where PEC_{PW} values were up to 200-times lower than the PNECr for lincomycin. In our evaluation of the environmental ABR risk for sulfamethazine, there were two key knowledge gaps that need to be addressed to truly predict risk; first, the calculation of a PNECr for sulfamethazine and second, the development of sorption models for zwitterionic compounds. In this framework we predicted the risk based on current scientific knowledge using PNECr for sulfamethoxazole, an antibiotic from the same class as sulfamethazine and only within soils with a pH of 8.7 where sulfamethazine is in its anionic form with PEC_{PW} for sulfamethazine being at least 8 times lower than the PNECr for sulfamethoxazole. Sulfamethazine and lincomycin have relatively short half-lives, 3.5 days and 1.1–82.5 days respectively,^{54,59} and therefore are not expected to exceed the concentration required to select for resistance within soil ($16 \mu\text{g L}^{-1}$ and $4 \mu\text{g L}^{-1}$ for sulfamethazine and lincomycin, respectively). The soil properties used within this analysis were based on properties of average worldwide agricultural soils, and these risks will alter as soil properties such as pH, cation exchange capacity and organic matter of the soil changes. It is therefore possible that evaluating the sorption and partitioning of antibiotics on a field-by-field basis may alter the ABR risk profile.

Previous research has shown that the addition of slurry or manure to land drives an increase in soil pH,⁸⁹ with the increase in organic matter suppressing the acidification of soils that is commonly seen when nitrogen based chemical fertilisers are used.⁹⁰ This increase in pH has the potential to increase the bioavailability, and therefore the selective pressure of tetracycline and oxytetracycline in the pore water, in turn increasing the risk of selection of ABR within the soil environment. This highlights the importance of considering the application method and pathways by which the antibiotics enter the environment, as this can alter the soil properties and ultimately the fate of the antibiotic.

4.1 Framework limitations

Currently the majority of regulation around antibiotics and their role in the spread of ABR within the environment has focused on the aquatic environment⁹¹ with a drive to set guidelines for ABs within effluent wastewater (industrial and



municipal). This focus has meant that there is a significant data gap in relation to the assessment of AB and ABR in the soil environment.

Using our current understanding of the fate of ABs within soil, alongside our current knowledge on selection of ABR within the environment, this manuscript proposes a standardised framework to assess ABR risk of applying slurry and manure to agricultural land. This has been achieved by applying a standardised approach to calculate a soil sorption coefficient for the antibiotic in question within the soil to which it is being applied. From this, the assumed bioavailable fraction of the antibiotic in soil pore water can be calculated and compared to current PNECr's. Our framework therefore enables current PNECr's, which have been developed for aquatic systems, to be utilised within a terrestrial setting and fill the data gap around the assessment of ABR risk in soil from the application of slurry and manure.

However, it is important to highlight where knowledge gaps exist which limit the application of this framework and suggest areas to target future research efforts to refine risk assessment approaches to consider terrestrial ABR risk. Current limitations include an understanding of the:

- (1) maximum capacity of soils to adsorb antibiotics and other emerging contaminants;
- (2) potential mixture effects on sorption and subsequent role in the selection of ABR;
- (3) methods to model the soil sorption coefficient of zwitterions;
- (4) extent that sorbed antibiotics exert a selection pressure for ABR;
- (5) relevance of aquatic PNECr to assess resistance risk in terrestrial systems;
- (6) impact of other environmental factors on the selection of ABR including temperature, available nutrients, carbon sources, the presence of heavy metals and of other emerging contaminants including ARGs.

To fully assess the ABR risk of applying antibiotic containing slurry, manure, or other organic amendment such as biosolids to agricultural land, we need to fully understand their fate within the terrestrial environment. Key to this understanding is whether there is a maximum capacity for antibiotic sorption in soils. This is important as current models for predicting the sorption of ABs to soils assumes all sorption sites are available. However, sorption relies on the ability of the AB to access sorption sites within the solid matter; if these are already occupied, sorption will be decreased, and soil pore water concentrations will be higher. Our framework does not consider the potential preloading of soils with antibiotics (and other chemicals) and the impact this may have on the bioavailable fraction of the antibiotic within pore water. Competitive and synergistic sorption of ionisable pharmaceuticals including antibiotics has been reported within soils, including the competitive sorption of fluoroquinolones within wetland soils⁹² but also the decreased sorption of other pharmaceuticals in the presence of certain antibiotics.⁹³ To date, there have been no comprehensive studies on the maximum sorption nor the competitive sorption of a full range of antibiotics within different soils. An understanding of how preloading and

competitive sorption of antibiotics alters antibiotic fate, and thus bioavailability together with the factors which influence this, is needed to refine models used within this framework.

The fate of the antibiotics is strongly linked to their charged state in the soil, with some antibiotics possessing both cationic and anionic charged functional groups. The presented framework accounts for the ionisation of antibiotics using charge-specific sorption models, but there is currently a knowledge gap on how to successfully model the sorption of zwitterions.⁴⁸ The framework therefore does not take this into account and crudely estimates the K_d values when antibiotics are either in their cationic or anionic state which may not fully represent their charged states within the soil, this is particularly true for tetracyclines. Zwitterionic models have been developed their SD is still relatively high compared to experiment data.⁹⁴ To be able to fully predict the fate of antibiotics within terrestrial systems – and therefore their potential risk – this knowledge gap needs to be filled.

Using predicted pore water concentrations, the framework assumes that sorbed antibiotics do not exert any biological impact in relation to ABR or toxicity and only the bioavailable fraction (*i.e.*, concentration in porewater) is relevant. This is based on the idea that antibiotics, once sorbed, have a higher affinity for the soil than the organism.³⁴ However, data regarding the bioavailability of sorbed antibiotics to soil bacteria are sparse, and the studies that have been conducted report contrasting results.^{95–97} In comparison to antibiotics, there have been extensive studies into the role that soil bacteria play in the fate and degradation of other organic contaminants such as polyaromatic hydrocarbons (PAHs) and polychlorinated biphenyls (PCBs), where it has been shown that certain soil bacteria are able to access soil sorbed PAHs and PCBs.^{98,99} This is probably due to extracellular substances produced by the soil bacteria that enable de-sorption of the PAHs and PCBs,⁹⁷ enabling the soil bacteria to utilise the PAHs and PCBs as a carbon source. While we typically consider antibiotics as harmful to bacteria – as something they want to avoid – it is important to recognise that we do not truly understand the role of these antibiotics within the wider soil microbiome. This is especially the case since it has been shown that bacteria can utilise antibiotics as a carbon source,^{100,101} indicating the potential for soil bacteria to influence the sorption of antibiotics within soil. Further research is needed to establish if this is the case or if an additional step is needed in the model to account for the risk derived from sorbed antibiotics.

Finally, current PNECr values have mainly been developed to mitigate against the risk of ABR development in aquatic systems, with little thought to antibiotics selecting for ABR in terrestrial environments. PNECr targets do not include every antibiotic nor even every antibiotic class and the MIC/MSD data⁹¹ used to generate them does not cover every bacterial genus. Many bacteria present within terrestrial systems are not culturable within the laboratory¹⁰² meaning use of the current MIC/MSD modelling approach for PNECr's may not necessarily protect against ABR development in the actual soil environment. It is also important to highlight that methods to determine MSDs for different microbes or microbial communities are not currently standardised, meaning data published is not



always comparable and may only include the impact of the antibiotic on a small subset of the soil microbiome. This is a very large knowledge gap within the setting of safe and protective targets for antibiotics within all environmental compartments, and there needs to be universal agreement over the scientific methods used to establish PNECr values³² and the bacterial communities tested.

5 Conclusion

This manuscript proposes a framework to allow PNECr values developed for aquatic systems to be applied to soil through the modelling of predicted pore water concentrations of ABs using pH-dependent sorption models. Slurry and manure application scenarios are based on realistic exposures following current EMA²⁵ guidance. By considering the impact of soil pH on antibiotic fate and method of manure and slurry application, we can evaluate a range of realistic exposure scenarios with the potential to extrapolate this approach to long-term repeated applications. As highlighted, there are several limitations to this framework that span the chemical–biological interface. Specifically, sorption models need to be developed for antibiotics which have multiple pK_a values and we need to improve our understanding around whether there is a maximum load of antibiotic that can be adsorbed to solid matter within the soil and how this relates the development of resistance to consider repeat application of organic fertilisers. The biological knowledge gaps are centred on the use of aquatic based PNECr's and specifically whether soil micro-organisms were represented within this assessment, as well as the need to confirm the assumption that adsorbed antibiotics do not exert a biological impact.

Nevertheless, this framework provides an important step forward in evaluating the terrestrial component of a 'one health, one environment' approach to consider ABR, presenting a feasible solution for quickly and easily assessing the risk of applying soil amendments such as slurry or manure containing antibiotics to agricultural fields based on the current state of both the chemical and microbiological knowledge. As the questions raised are answered and knowledge gaps filled, this framework can be easily adapted to include them. It is vital to develop this framework now, even before all the knowledge gaps have been answered, given the drive to reduce our 'waste' and adopt circular economy principles, this in turn encourages the re-use of resources within the agricultural setting. Beyond the determination of ABR risk in soils, this framework paves the way for the development of a potential set of guidelines on permissible AB concentrations in slurry or manure to enable their safe use as agricultural fertilisers.

Abbreviations

ABR	Antibiotic resistance
ARG	Antibiotic resistant gene
CEC	Cation exchange capacity
f_{oc}	Fraction of organic carbon
FYM	farmyard manure

K_d	Soil sorption coefficient
K_{OC}	K_d corrected for organic carbon content
MIC	Minimum inhibitory concentration
MSC	Minimum selective concentration
PEC	Predicted environmental concentration
PNEC	Predicted no effect concentration
PNECr	Predicted no effect concentration for antibiotic resistance

Author contributions

Felicity Elder: conceptualisation, writing, data collection and interpretation; Alex O'Neill: conceptualisation, supervision, contribution to drafting the manuscript; Lisa Collins: conceptualisation, supervision; Laura Carter: conceptualisation, writing, supervision.

Conflicts of interest

The authors can confirm that the research was conducted in the absence of any commercial or financial interests that would be construed as potentially a conflict of interest.

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