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# Understanding and controlling lithium morphology in solid polymer and gel polymer systems: mechanisms, strategies, and gaps†

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Lithium metal anode promises the highest theoretical energy density and may enable high energy designs such as lithium–sulfur and lithium–air batteries. However, stable lithium plating and stripping remains a challenge in all electrolyte systems including liquids, polymers, and ceramic electrolytes. In this perspective, we examine literature studies of lithium morphologies in solid polymer and gel polymer systems and compare that with well-studied liquid electrolytes. In solid polymer electrolytes, current density and mechanical properties are both governing parameters for lithium morphology, differing from conventional liquid electrolytes. Stable lithium electrodeposition may be accomplished by a polymer electrolyte with good stiffness operating at significantly lower current densities than its limiting current density, which is defined by the Sand equation. In gel polymer electrolytes, the reported lithium morphology is more similar to that in liquid electrolytes, suggesting similar nucleation and growth mechanisms. Based on experimental evidence and theoretical guidance, current strategies to control lithium morphology in solid polymer and gel polymer electrolytes are summarized. The limitations of these strategies are discussed. In particular, we note the knowledge gap in understanding the solid electrolyte interphase in solid polymer systems and the critical role it can play in regulating lithium morphologies.

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## 1. Introduction

Solid-state, lithium (Li) metal batteries are promising candidates for developing safe, energy-dense devices needed to transition to an electrified economy. Lithium metal's high theoretical specific capacity (3860 mA h g<sup>−1</sup>) and low redox potential (−3.04 V vs. standard hydrogen electrode) make it especially attractive. However, lithium is highly reactive, making it thermodynamically unstable when in contact with many electrolyte materials. This reactivity can lead to continuous consumption of lithium and the electrolyte, significantly decreasing battery life.<sup>1–3</sup> Aside from reactivity, another significant challenge inhibiting the commercialization of lithium

metal anode is non-uniform lithium stripping and plating during cycling, which leads to cell shorting. Controlling lithium morphology during cycling is the key to enabling lithium anode.

Although liquid electrolytes are currently the most viable options for battery energy storage, they have several drawbacks. Organic liquid electrolytes are highly flammable and prone to leaking and gassing, leading to safety concerns. Most liquid electrolytes are unstable with lithium metal, and the side reactions decrease cycling Coulombic efficiency and battery life. Furthermore, due to unregulated lithium-ion flux, achieving uniform lithium plating and stripping in a liquid system is difficult. Solid-state batteries are a potential solution to these common issues in liquid batteries. Most solid-state electrolytes are nonflammable as they don't utilize flammable organic solvents, and they have a wider voltage window with thermal stability, making them safer than traditional liquid electrolytes.<sup>4</sup> Polymer electrolytes, oxide ceramics, sulfides, and halides are the major types of solid electrolytes. This perspective focuses on lithium morphology in polymer-based systems.

Solid polymer electrolytes (SPEs) have many advantages over liquid electrolytes. These benefits include lithium-ion flux regulation, improved safety features (as they are more electrochemically and thermally stable than liquid electrolytes), and mechanical flexibility and strength.<sup>5–7</sup> However, solid polymer

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electrolytes generally do not have sufficient room temperature ionic conductivity. Adding a liquid component to a polymer host, making it a gel polymer electrolyte (GPE), is an effective way to improve ionic conductivity.<sup>8,9</sup>

Lithium plating and stripping are inseparably linked to the formation of the solid-electrolyte interphase (SEI), a passivation layer created by the decomposition of the electrolyte and the anode.<sup>10–12</sup> The importance of SEI in controlling lithium morphology has been recognized in liquid systems and has been under intense investigation in recent years. In contrast, there are fewer reports about the SEI at the polymer-Li interface, as it is buried and challenging to characterize.

Herein, we provide a perspective of the current understanding of lithium morphology in solid polymer and gel polymer systems, comparing that to typical liquid systems. We summarize the theoretical foundation of the current strategies to control lithium

morphology. The limitations of these strategies will be discussed. This perspective consists of five sections. In Section 2, a brief description of lithium morphology control in liquid systems will be presented. In Sections 3 and 4, we examine studies on solid polymer and gel polymer systems, respectively. In Section 5, a comparison of the three systems will be provided.

## 2. Liquid systems

Lithium plating and stripping in liquid systems have been extensively studied. There are some excellent reviews on this topic.<sup>13</sup> Lithium morphology is affected by both the plating and stripping processes during cycling. During plating, lithium first goes through nucleation, followed by growth. Nuclei density is governed by the nucleation overpotential and surface energy of



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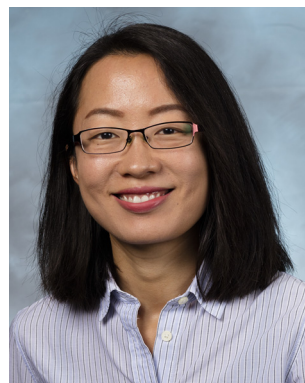
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lithium interfaces.<sup>14</sup> Although lithium deposits begin with nucleation, growth can occur at both the base and tip of the deposit.<sup>3,12,15</sup> The SEI formation rate affects root vs. surface growth modes.<sup>12</sup> Lithium is often not deposited uniformly during plating because of crystalline defects and grain boundaries on the electrode or inhomogeneous ion conductivity of the interphase layer.<sup>3</sup>

There have been several attempts to classify the various geometries of lithium deposits. For example, Wood *et al.* attempted to be concise with just three types: needle, mossy, and fractal dendrites.<sup>16</sup> In the review paper by Horstmann *et al.*, two morphologies are distinguished: moss and fractal dendrites.<sup>13</sup> Below the limiting current density, lithium metal electrochemically plates as nanosized whiskers, which quickly get entangled and form mossy porous lithium. Above the limiting current density, fractal-like micron-sized dendrites form. Most liquid systems perform below the limiting current density, which is defined by the Sand equation:<sup>17</sup>

$$j^* = \frac{2ec_0D}{t_aL} \quad (1)$$

where  $j^*$  is the limiting current density,  $e$  is the electron number per unit,  $c_0$  is the initial  $\text{Li}^+$  concentration,  $D$  is the ambipolar diffusion coefficient,  $t_a$  is the anion transference number, and  $L$  is the distance between the electrodes.

Much of the current research on lithium morphology focuses on plating rather than stripping, primarily because stripping is a subsurface process, making it difficult to characterize. However, understanding stripping is equally important for lithium morphology control. During the stripping process, the inhomogeneous dissolution of Li metal can lead to nanovoids in the anode<sup>18–21</sup> and dead lithium.<sup>3,19–22</sup> Dead lithium most commonly occurs during the dissolution phase of inhomogeneous stripping. Jiang *et al.* have proposed three modes of lithium stripping based on dissolution sites, similar to the plating growth modes: the tip-, the base-, and the tip-/base-stripping models.<sup>19,23</sup> In the tip-stripping model, dissolution begins at the top of a lithium deposit and works its way down. The lithium maintains contact with the anode throughout the process and will not form dead lithium, making it the most desirable outcome. In the base-stripping model, dissolution begins at the root of the lithium deposit, which becomes electrically isolated from the anode, leading to the formation of dead lithium. This is the most likely outcome, as the roots of Li deposits always exhibit a higher current density than the tip. In the tip-/base-stripping model, the root and tip are active dissolution sites. If the stripping rate at the tip is larger than that at the root, it will reduce the amount of dead lithium produced.

Lithium morphology in liquid systems is intrinsically linked to the SEI as SEI composition and nanostructure affect the plating and stripping of lithium metal.<sup>10–12</sup> The properties of this passivation layer significantly affect cell performance. An ideal SEI or interphase layer requires the following features:

(1) High lithium-ion conductivity. If lithium ions cannot efficiently pass through the SEI, cell impedance will increase.<sup>24</sup>

(2) Little to no electronic conductivity. If electrons are not blocked, there will be continuous lithium and electrolyte decomposition, causing the SEI to grow.<sup>25</sup> This growth is related to the next point:

(3) Appropriate thickness with a compact/dense structure. The resistance of the SEI layer is reported to be proportional to its thickness.<sup>9,26</sup> Therefore, continuous growth will ultimately reduce the coulombic efficiency and battery capacity.

(4) High elastic strength (high Young's modulus).<sup>9,26,27</sup> This is mechanically necessary to suppress dendrite growth; however, the SEI should still be flexible enough to accommodate non-uniform electrochemical behavior.<sup>9,26</sup>

Unfortunately, most interphase layers are not ideal.

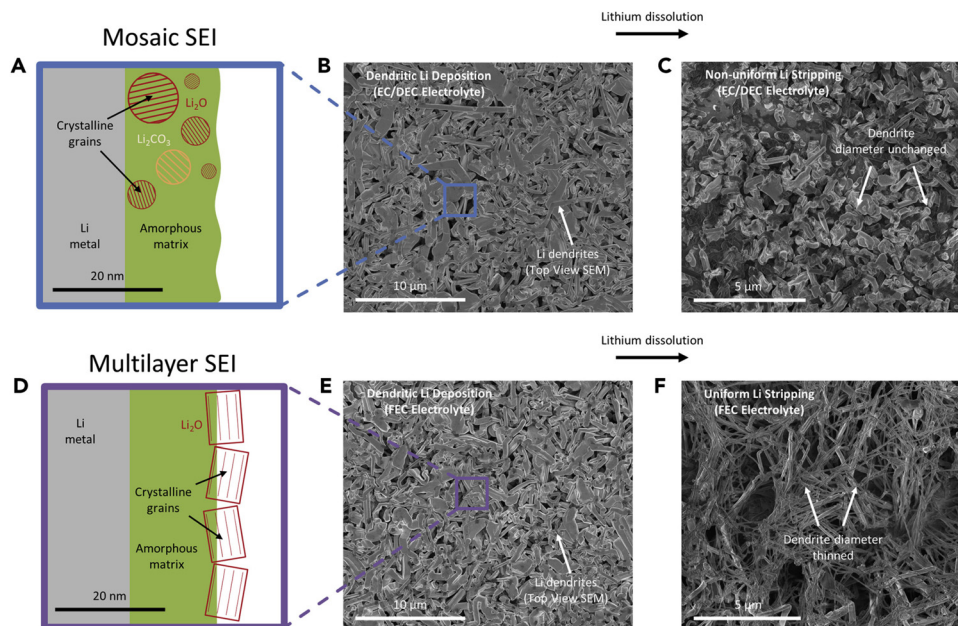
It is believed that fluorination improves the performance of lithium metal batteries by introducing lithium fluoride (LiF) to the SEI, typically *via* fluoroethylene carbonate (FEC). Lithium is the metal with the lowest redox potential, and fluorine is the strongest oxidizing agent. Because of this, LiF is believed to have more extreme properties than other compounds.<sup>28</sup> LiF is an excellent electronic insulator with high interfacial and low diffusing energy, promoting higher interphase stability, limiting corrosive side reactions, and promoting more uniform lithium deposition.<sup>28–32,37</sup> Bulk LiF is a poor Li ion conductor, but it has been shown to interface with other crystalline materials in the SEI, improving  $\text{Li}^+$  conductivity overall.<sup>28</sup> Furthermore, incorporating inorganic materials improves the mechanical stability of the SEI, as LiF has a very high Young's modulus (65 GPa) and shear modulus (49 GPa).<sup>28</sup> This can help suppress protruding lithium deposits and contribute to the nanostructure.<sup>33</sup>

There are two established models of SEI nanostructures in liquid electrolytes: the mosaic model and the multilayer model. The mosaic model, initially proposed by Peled *et al.*, suggests a heterogeneous distribution of inorganic and organic components that forms a mosaic of crystalline and amorphous microphases.<sup>34</sup> This is the most common nanostructure observed. The multilayer model, initially proposed by Aurbach *et al.*, on the other hand, suggests an ordered structure with separate organic and inorganic layers.<sup>35</sup>

Currently, the best technique to visualize SEI nanostructures is cryo-transmission electron microscopy (cryo-TEM). To our knowledge, in 2017, Li *et al.* became the first group to utilize cryo-TEM to characterize lithium metal batteries.<sup>36</sup> They investigated the fundamental role of SEI nanostructure on battery performance, as shown in Fig. 1.<sup>22</sup> For the mosaic model, which was observed in an unmodified carbonate-based electrolyte (ethylene carbonate/diethyl carbonate, EC/DEC), the primary failure mode was found to be isolated and inactive lithium due to lithium deposits being surrounded by electrically insulating SEI and disconnected from the current collector. This disconnection occurred on one of the branches of the dendrites, leaving behind empty SEI unable to collapse because of dead lithium. In the multilayer model, which was observed in the same base electrolyte with added 10 v% FEC, uniform stripping and plating were observed until the Li-metal was fully stripped. The lithium deposits developed a wavy and curved morphology







**Fig. 1** Li metal deposition and stripping morphology with mosaic and multilayer SEI. The top row shows (A) a schematic of the Mosaic SEI nanostructure noted, (B) the top-view SEM of deposited Li, and (C) top-view SEM of stripped Li in an EC/DEC electrolyte. The second row shows (D) a schematic of the multilayer SEI nanostructure noted, (E) the top-view SEM of deposited Li, and (F) top-view SEM of stripped Li in an modified EC/DEC electrolyte with 10 vol% FEC. Reproduced from ref. 22 with permission from Elsevier, copyright 2018.

on the surface of the anode rather than protruding morphologies that could pierce the SEI or become isolated. The uniform morphology seems to suggest that a multilayer SEI is preferred.

It is worth mentioning that Li *et al.* also discovered that contrary to the commonly held belief that the crystalline structure of LiF improves battery performance, there was no LiF lattice after adding FEC.<sup>36</sup> Although FEC does not seem to guarantee crystalline LiF, several other studies have reported that using FEC as an additive results in multilayer SEIs.<sup>15,37–39</sup> Incorporating more inorganic materials, especially LiF, is known to improve the performance of Li-metal batteries. Due to the recent advances in cryo-TEM technology, the importance of SEI nanostructure is becoming better understood.

### 3. Dry solid polymer electrolytes

Lithium electrodeposition morphology and the lithium-polymer interface have not been extensively studied in dry SPEs compared with liquid electrolytes. This is due primarily to the difficulty of imaging the Li/SPE interface. Poly(ethylene oxide) (PEO) is the best and most commonly used polymer host to form dry polymer electrolytes.<sup>5,40–42</sup> The addition of lithium salt, like lithium bis(trifluoroethane sulfonyl)imide (LiTFSI) or lithium bis(fluoro sulfonyl)imide (LiFSI), has been shown to form a low resistance passivation layer on lithium electrode.<sup>43</sup> PEO-based SPEs operate above their melting temperatures ( $\sim 60^\circ\text{C}$ ) and create intimate contact with the electrode at melt conditions. This intimate contact is advantageous in battery performance but causes challenges in characterizing the buried Li/SPE interface.

#### 3.1 Lithium morphology in dry SPEs

In a pioneering study by Brissot and Rosso *et al.*, direct *in situ* observation of dendritic electrodeposition of lithium was performed in lithium symmetric cells using the standard polymer electrolyte PEO–LiTFSI.<sup>44</sup> They recorded lithium electrodeposition morphology using a microscope and a CCD camera. At low current density regimes (below the limiting current density), whisker morphology was observed; at high current density regimes (above the limiting current density), tree-like morphology was observed. Above the limiting current density, the onset of growth and growth velocity agree with Chazalviel's model.<sup>3,10</sup> In this model, if the limiting current density, where the current density exceeds the diffusion limit of lithium, is exceeded, then a large ion concentration gradient is formed, and lithium growth occurs at the tip of the lithium, leading to bulk dendrite growth.

In a widely cited study from the Balsara group, synchrotron X-ray microtomography was used to monitor lithium morphology evolution in the lithium symmetric cells sandwiching a PEO–polystyrene block copolymer electrolyte.<sup>45</sup> Globule-like morphologies emerged from subsurface structures located within the lithium electrode. This study introduced a powerful tool – X-ray tomography – as a non-destructive way to study buried interfaces between SPE and Li-metal.

In a later review by the same group, Frenck *et al.* summarized lithium morphology observed in PEO-based electrolytes and compared the data with liquid electrolytes and a few representative ceramic and sulfide solid electrolytes (Fig. 2).<sup>46</sup> In liquid systems, lithium growth morphology is mainly determined by the applied current density, as discussed in the previous session. In contrast, in polymer systems, lithium





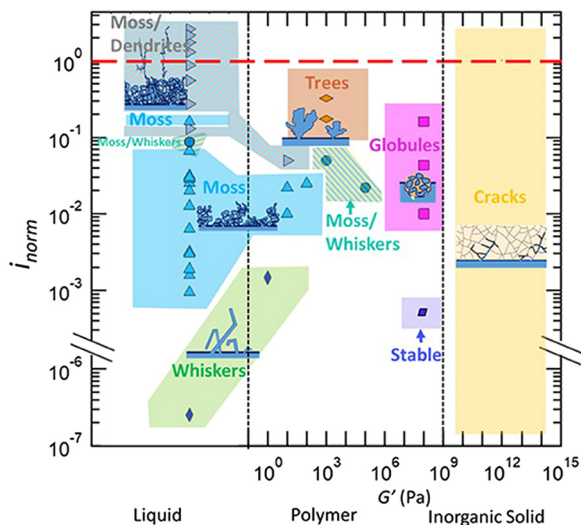


Fig. 2 Morphology data of lithium protrusions plotted as a function of the current density normalized by the limiting current density,  $i_{\text{norm}}$ , vs. the electrolyte type and storage shear modulus,  $G'$ . Reproduced from ref. 46 with permission from frontiers, copyright 2019.

morphology is not only affected by the current density but also governed by the mechanical properties of the polymer electrolyte.<sup>47</sup>

In Fig. 2, lithium morphology is plotted as a function of  $i_{\text{norm}}$  (current density normalized by the limiting current density) and  $G'$  (shear modulus) of the polymer electrolyte. With low modulus polymers and at low  $i_{\text{norm}}$  values (0.001–0.04), similar lithium morphologies to liquid electrolytes are observed (whiskers and moss). For polymers with higher shear moduli ( $G' = 10^3$  Pa), coexisting moss and whisker morphology are seen in the range where  $i_{\text{norm}} = 0.02$ –0.05. At  $i_{\text{norm}}$  above 0.2, tree lithium deposits are observed.

Importantly, in this master plot, one polymer electrolyte with a shear modulus of  $10^8$  Pa resulted in stable lithium deposition at  $i_{\text{norm}} = 0.005$ . Although this current is too low for practical applications, note that in typical carbonate-based liquid electrolytes, no stable lithium electrodeposition has been observed, regardless of the applied current density. In the same high shear modulus range, an  $i_{\text{norm}}$  of 0.01–0.2 results in the formation of globular deposits. Globular deposits progress slower than trees and dendrites. Fig. 2 suggests that SPEs with higher shear moduli lead to Li morphologies that have not been observed in liquid electrolytes. Besides shear modulus, which is related to elastic deformation, a more recent work by the Balsara group showed that SPEs with high yield stress, which is related to plastic deformation, produced slower growing globular protrusions and had better cycle life than those with lower yield stress, which produced dendrites.<sup>47</sup> These results give scientists more strategies to tackle this problem, differing from liquid electrolytes.

### 3.2 Theoretical considerations for lithium dendrite suppression in polymer electrolytes

In 2005, Monroe and Newman published a report, “The Impact of Elastic Deformation on Deposition Kinetics at Lithium/

Polymer Interfaces” in the Journal of Electrochemical Society.<sup>48</sup> This work laid the theoretical foundation for using the mechanical stress of a polymer electrolyte to suppress lithium dendrite growth. This report concludes that the SPE’s shear modulus needs to be approximately twice that of lithium metal to mechanically suppress dendrite growth. This work has been viewed by many as guidance for designing polymer electrolytes to stabilize lithium anode. However, the shear modulus of bulk lithium is 3.4 GPa ( $3.4 \times 10^9$  Pa), at least an order of magnitude higher than a typical polymer electrolyte can achieve, as shown in Fig. 2. Monroe and Newman’s prediction has made it a daunting challenge to the polymer community to suppress lithium dendrite growth.

As experimental evidence accumulates, apparent discrepancies between experimental data and Monroe and Newman’s work are observed. For example, as Fig. 2 shows, polymer electrolytes can exhibit stable lithium plating and stripping under a particular combination of current density and mechanical stiffness, and their mechanical moduli are much lower than twice that of lithium. In contrast, based on Monroe and Newman’s model, dendrite should immediately grow in polymer electrolytes with insufficient shear moduli, regardless of the applied current density.

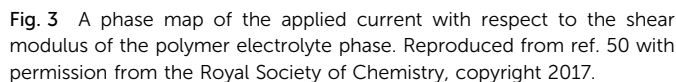
In 2017, Barai and Srinivasan *et al.* reexamined Monroe and Newman’s model and modified some assumptions.<sup>49</sup> They discovered that Monroe and Newman’s prediction could be voided entirely by changing the force lithium anode experiences from tensile stress (pre-stressed lithium) to compressive stress (relaxed lithium). In the latter case, where lithium experiences compressive stress, stable lithium stripping and plating occur in all electrolytes regardless of their shear moduli. A relatively low applied current density of  $0.1 \text{ mA cm}^{-2}$  has been used in this report. This modified calculation result also seems to contradict experimental data as PEO-based electrolytes often short at this current density with extended cycling.

The same group then published another report and further improved their models to include elastic–plastic deformation of both the electrolyte and lithium.<sup>50</sup> In Monroe and Newman’s model, only elastic deformations were considered. Using this improved methodology, a phase map of the applied current with respect to the shear modulus of the polymer electrolyte is developed to demarcate conditions where stable lithium cycling can be achieved, as shown in Fig. 3.

In this phase map, stable lithium electrodeposition can be achieved if the applied current density is less than 40% of the limiting current density for PEO-based electrolytes. The stiffer (larger shear modulus) the polymer electrolyte, the higher the current density (with respect to its limiting current density) it can sustain before dendrite growth can occur. The authors also noted that increasing the yield strength of the electrolyte can effectively suppress dendrite growth, even with a shear modulus two orders of magnitude smaller than that of lithium. This map is more aligned with the experimental data summarized by Freck *et al.* in Fig. 2.

More recently, Ganser *et al.* pointed out that ion transport and mechanics in the electrolyte cannot be treated as





It is worth pointing out that recent studies on lithium indicate that the mechanical properties of lithium at interfaces may significantly deviate from its bulk properties.<sup>52,53</sup> This may cause a discrepancy between experimental results and theoretical predictions. Further, the SEI layer has not been considered in the theory work cited above. The vital role SEI plays in regulating lithium plating/stripping morphology has been recognized from liquid systems. Yet, it is unclear how the SEI will affect lithium morphology in polymer systems, as it has not been extensively studied and, therefore, is not well understood.

Similarly, there has been little investigation into the nanostructure of polymer SEI since Peled's initial suggestion of a mosaic model until recently.<sup>34</sup> Much, if not all, of the work on imaging the Li/SPE interface using cryo-TEM has been completed by O. Sheng and coworkers. This technique has allowed

**A**

REDC PEO  $\text{Li}_2\text{CO}_3$   $\text{Li}_x\text{PO}_y\text{F}_z$   $\text{RCO}_2\text{Li}$   $\text{SiO}_x\text{Li}$

1X 5X 20X

a-Si 10 nm

**B**

Mosaic Structure

Multilayer Structure

a-Si substrate

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## 4. Gel polymer electrolytes

To decrease operating temperatures, plasticizers are often infused into a polymer electrolyte to form GPEs. We use a separate section to discuss GPEs because this subset of polymer electrolytes is not entirely the same as the dry SPEs. GPEs are semi-solid, and the small molecule plasticizers facilitate lithium-ion transport.<sup>8,9,61,62</sup> The presence of small molecule plasticizers also modifies the interface with lithium. There were concerns that adding a liquid phase could compromise thermal stability and safety and deteriorate mechanical strength.<sup>8,9</sup> However, it has been shown that the liquid content's effect on flammability and thermal stability can be mitigated because the liquid is hosted in the polymer matrix.<sup>63</sup>

### 4.1 Lithium morphology in GPEs

The lithium morphologies observed in GPEs align with those in liquid electrolytes. Whisker,<sup>31,64</sup> mossy,<sup>33,61,65</sup> dendrite,<sup>33</sup> and spherical deposits<sup>33,38,61,64</sup> are the most commonly reported morphologies. More ideal, dense, dome-like morphologies have been reported in some of the fluorinated GPEs,<sup>31,61</sup> although not all of them. Other noted morphologies included what was described as “flaky deposits” by Lu *et al.*,<sup>64</sup> and “bush-like” by He *et al.*<sup>66</sup> To our knowledge, the only globular lithium deposit in a GPE was observed in a single-ion conducting gel electrolyte with a PVDF-HFP host.<sup>67</sup> Thus far, it seems that globular, tree, and bulk deposits have not been noted in gel electrolytes with PEO backbones.

In our own recent work, lithium morphology evolution during initial cycling in a cross-linked PEO-based gel composite electrolyte full cell is monitored *via* post-mortem photographs and scanning electron microscopy (SEM), shown in Fig. 5.<sup>68</sup> Due to the cross-linked molecular structure of the membrane, cross-linked PEO doesn't go through a melting transition and can be more easily separated from the lithium anode post-mortem, unlike linear PEO, which melts onto the lithium anode and it is very difficult to expose the interface. Our results show that lithium electrodeposition in the initial cycle is homogeneous and planar. However, severe surface pitting occurs as early as the second stripping cycle. The leading cause of the Li surface roughening and dendrite growth mechanism in the model gel composite electrolyte is pit formation and continuous dissolution during stripping. This dendrite growth mechanism resembles that of the liquid electrolyte. This report substantiated the hypothesis that since a gel electrolyte is a polymeric framework swelled with liquid electrolytes, the underlying growth mechanisms for SEI growth and lithium morphology are similar to liquid electrolytes.<sup>11</sup>

These similarities to liquid electrolytes do not mean that the polymer does not play a role in lithium deposition in gel electrolytes. In studies where a comparison was made to liquid electrolytes, the lithium morphology of the GPE was far more uniform.<sup>31,61</sup> The Archer group has reported at a polymer content of above 40%, the plasticizer molecules are fully associated with the polymer network.<sup>69</sup> This allows for regulation of the microscale thermodynamics of the GPE while also controlling macroscale electrokinetics.

Both the mechanical properties and the applied current density affect lithium deposition morphology in GPEs. Y. Shi *et al.* compared a PEO-LiTFSI plasticized with TEGDME (with a Derjaguin-Müller-Toporov (DMT) modulus of 10.5 MPa) with a high-modulus GPE electrolyte (9.6 GPa).<sup>33</sup> At low current densities, uniform plating of spherical lithium deposits was observed. As current density increases, mossy and branched lithium form. Increasing the electrolyte's modulus can partially inhibit lithium dendrite formation. However, the dendrites were eventually able to penetrate the polymer because of poor interfacial wettability. The dependence on current density demonstrates the diffusion-limited Chazalviel model of lithium growth. It also follows the idea of Barai's predictive model (Fig. 3) when combined with the high modulus GPEs' ability to further suppress dendrites.

H. Wu *et al.* finds that operating voltage may change lithium morphology.<sup>70</sup> For their PEO-based, salt-rich GPE, at 4.3 V, there is homogenous lithium deposition, but increasing to 4.5 V produces uneven lithium growth thickness that varies between 37 and 58  $\mu\text{m}$  but appears to be mossy; the paper does not clarify. Wu's group also noticed that at the higher potential of 4.5 V, there are more organic species and lower lithium content in the SEI than at 4.3 V, where the SEI has higher inorganic and lower organic content. The continued growth and decrease of inorganic components suggest that the SEI at 4.5 V is unstable. Therefore, voltage can affect the composition of the SEI in addition to the rate of growth.<sup>70</sup>

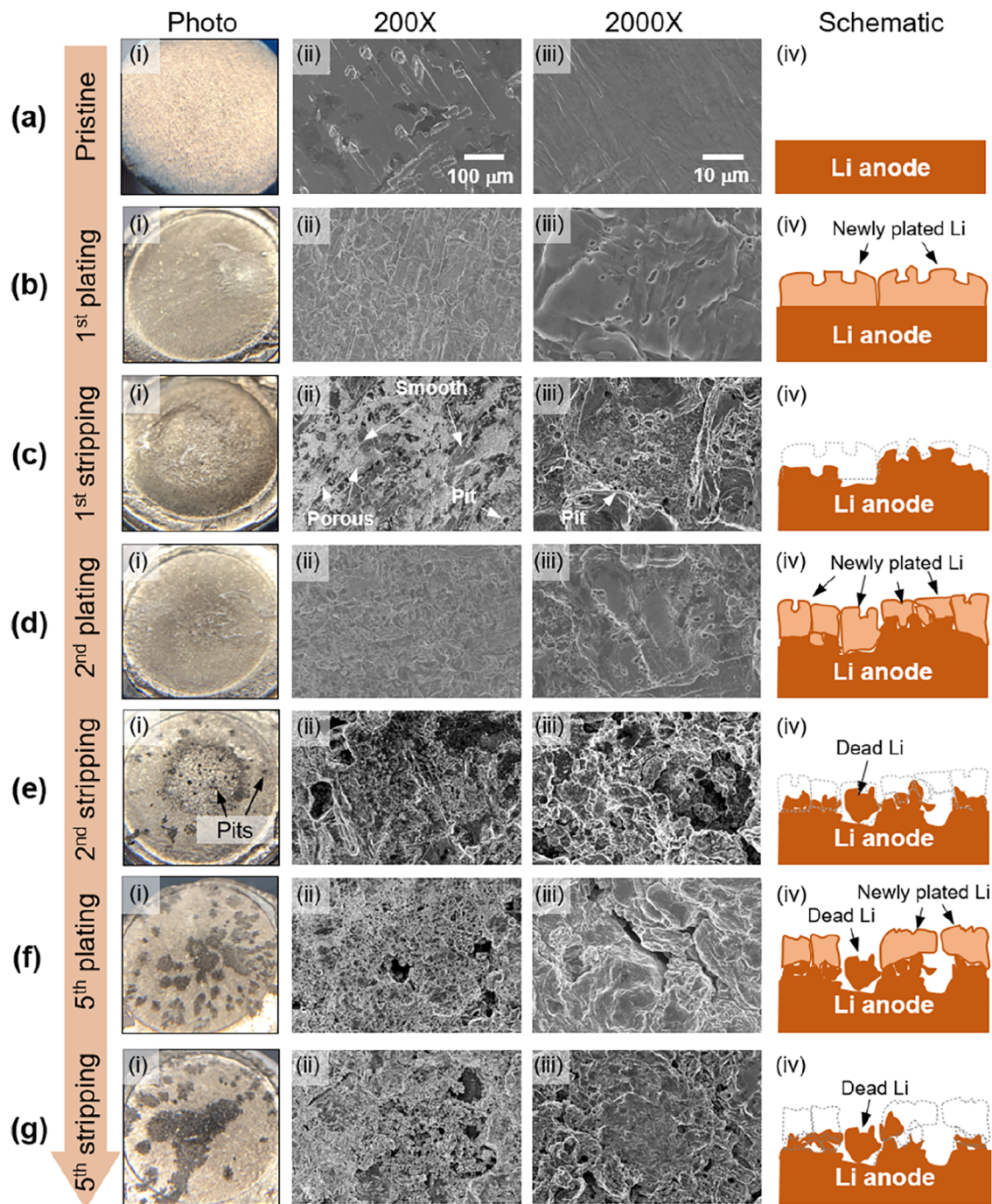
### 4.2 SEI in gel polymer electrolyte systems

The SEI composition and nanostructure of GPE systems have been more widely studied and better understood than dry SPEs. In GPEs, lithium salts play a significant role in the formation of the SEI, similar to liquid systems. The decomposition of LiTFSI or LiFSI contributes to the formation of LiF, improving the inorganic components.<sup>31,33,70</sup> In Y. Shi *et al.*'s work, they compared GPE made with LiFSI *versus* GPE made with LiTFSI and discovered that LiFSI is a more favorable ionic salt for inducing LiF formation.<sup>33</sup> In the cells made with LiFSI, dendrites did not form when run at the same potentials as those made with LiTFSI. The new LiFSI gel polymer's SEI had a DMT modulus  $5\times$  higher than the original, and the SEI shells were more uniform and richer in LiF. This suggests that the additional mechanical stiffness from the inorganic component played a role in the suppression of the dendrites.

Y. Shi's group was also able to visualize the stripping process of their gel electrolyte using *in situ* optical microscopy, as shown in Fig. 6. Longer stripping caused the volume of mossy dendrites to contract continuously, leaving behind dead lithium surrounded by a hollow SEI shell. During cycling, mossy dendrites spread along boundary sites of the electrode and on the remaining SEI shell. Lithium deposition is not inclined to occur where a hollow SEI shell exists because of the insulating nature of the interphase. This suggests that an SEI shell that does not shrink contributes to the discontinuity and steric hindrance of the gel electrolyte. Dead lithium's role in this issue is emphasized because the SEI shell they investigated







**Fig. 5** Li anode morphology evolution at early stages of full cell cycling: (i) Li anodes photographs, (ii) 200 $\times$  and (iii) 2000 $\times$  SEM images, and (iv) schematics of Li morphology evolution at (a) the pristine stage, (b) end of the first plating, (c) end of the first stripping, (d) end of the second plating, (e) end of the second stripping, (f) end of the fifth plating, and (g) end of the fifth stripping. Reproduced from ref. 68 with permission from the American Chemical Society, copyright 2022.

maintained the same spherical geometry as the initial uniform lithium deposits.

The stripping process in GPE, observed by Y. Shi *et al.*, reminds one of the stripping process in liquid electrolytes. When lithium deposits are fully dissolved, the SEI shell is able to collapse.<sup>15,20,22</sup> However, when dead lithium forms, it becomes trapped in the SEI shell, and the SEI shell cannot

collapse or be dissolved further. The isolated lithium and its associated lithium shell separate into the SEI, reducing the cell's capacity as uniform plating is no longer able to occur. Forming an ideal SEI layer is important to reduce the likelihood of this occurring.

The production of hollow SEI shells seems to lend itself to the mosaic model of SEI growth; however, the paper, like many



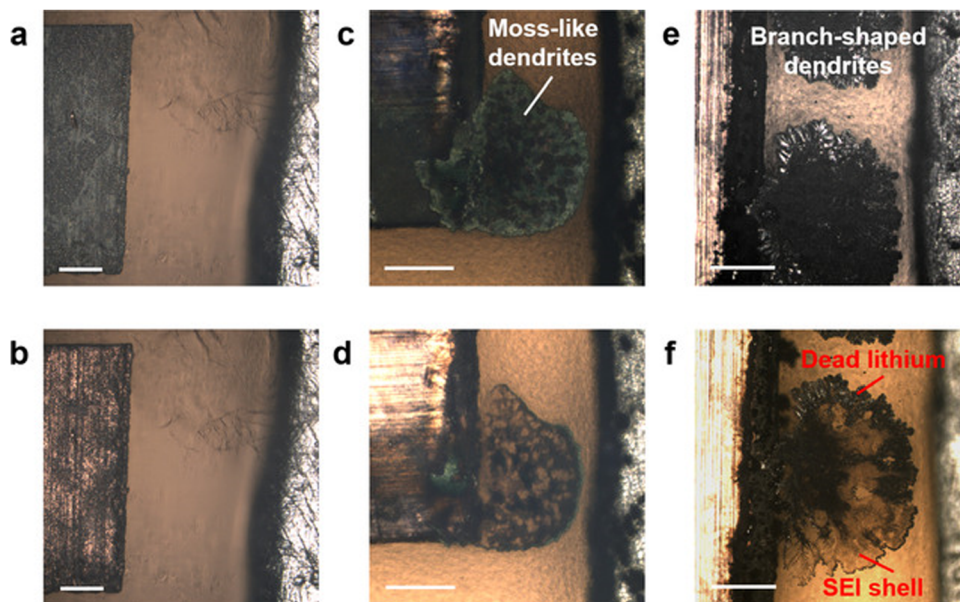


Fig. 6 *In situ* optical microscopy investigations of Li plating/stripping processes in a GPE-based electrolyte under galvanostatic control, after (a) plating at a current density of  $-1 \text{ mA cm}^{-2}$ , (b) stripping at  $1 \text{ mA cm}^{-2}$ , (c) plating at  $-5 \text{ mA cm}^{-2}$ , (d) stripping at  $5 \text{ mA cm}^{-2}$ , (e) plating at  $-8 \text{ mA cm}^{-2}$ , and (f) stripping at  $8 \text{ mA cm}^{-2}$ . Reproduced from ref. 33 with permission from JOHN/WILEY & SONS, INC., copyright 2020.

GPE studies, does not investigate the structure of the SEI. Still, layered,<sup>64,71</sup> mosaic,<sup>31,34,61</sup> and nanomosaic-multilayer hybrid<sup>60</sup> structures have been noted in gel electrolytes thanks largely to the incorporation of cryo-TEM. Like in liquid electrolyte systems, mosaic structures are the most common nanostructure in GPEs. However, they typically contain more crystalline components than their liquid counterparts. The higher inorganic content and, therefore, higher stability of the SEI layer seen in gel electrolytes seems to indicate that SEI formation is closer to that of polymers than liquid electrolytes.

Similar to liquid electrolytes, it has been shown that adding fluorination can produce a multilayer SEI in GPEs. Fluorination with FEC and  $\text{LiBF}_4$  seems to have an advantage over  $\text{LiPF}_6$ , as the latter resulted in a mosaic structure rather than the desired multilayer.<sup>64,72,73</sup> However, there is not enough data to draw any

conclusions, as only a few studies have investigated the fluorination of GPEs. In a recent study by Lin *et al.*, they examined electrodeposited lithium using a cross-linked PEO-based electrolyte containing a succinonitrile solid plasticizer and FEC additive.<sup>31</sup> Using cryo-TEM, they discovered a mosaic structure of the SEI. The FEC additive resulted in a fluorine-rich SEI containing amorphous F-species with inorganic  $\text{Li}_2\text{O}$  crystals dispersed within (Fig. 7). This seems to break the trend in liquid systems where FEC is known to cause multilayer structured SEIs.

## 5. Discussion

We now compare the lithium morphologies in liquid electrolytes, SPEs, and GPEs. We compared 22 papers (9 on GPEs, 9 on

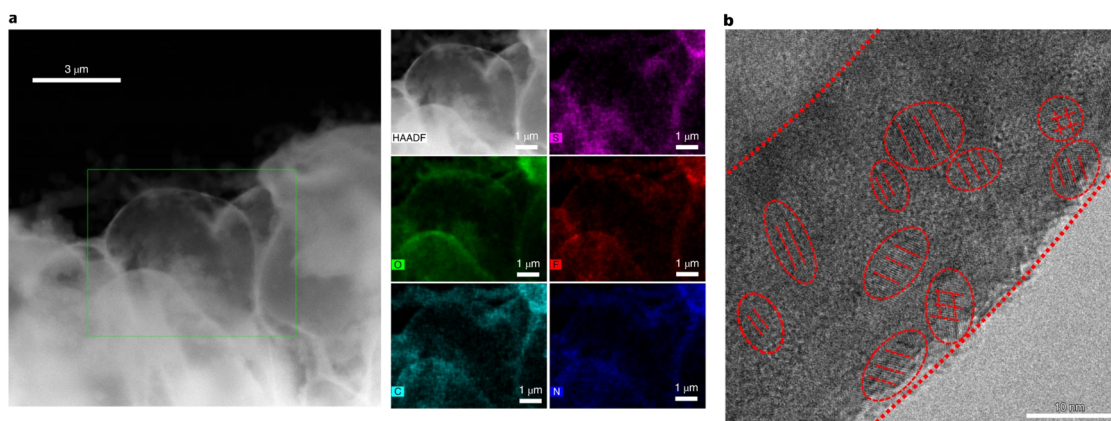


Fig. 7 (a) Cryogenic HAADF-STEM images and EDS maps of lithium domes using PEO-based electrolyte containing SN and FEC plasticizers. (b) Cryogenic atomic-resolution TEM image of the mosaic SEI's nano-sized domain's different crystallographic orientations. Reproduced from ref. 31 with permission from Springer Nature, copyright 2022.







**Table 1** Comparison of lithium morphologies and SEIs in LEs, SPEs, and GPEs

Gel polymer	Study	Electrolyte composition	Li morphology	SEI nanostructure	Inorganic materials
Liquid	1 <sup>33</sup>	PEO + TEGDME + LiTFSI + silica	(1) Spherical, (2) mossy, (3) branched dendrites	—	LiF
	1 <sup>33</sup>	PEO + TEGDME + LiFSI + silica	Uniform spheres	—	LiF
	2 <sup>31</sup>	PolyEA + TFSI + SN	(1) Whiskers + domes, cracks in the whiskers	—	LiF, Li <sub>3</sub> N
	2 <sup>31</sup>	PolyEA + TFSI + SN + 5% FEC	Dense domes	Mosaic	Li <sub>2</sub> O, LiF, Li <sub>2</sub> CO <sub>3</sub> , Li <sub>3</sub> N
	3 <sup>64</sup>	PVDF-HFP + LiBF <sub>4</sub> + PC	(1) Domes, (2) flaky Li	Bilayer	Li <sub>2</sub> O, LiF, LiBF <sub>4</sub>
	3 <sup>64</sup>	PVDF-HFP + LiTFSI + PC	Whiskers	Mostly amorphous	Li <sub>2</sub> O
	7 <sup>61</sup>	PEO + LiPF <sub>6</sub> + methacrylate + DCM + EC/DEC	Dense domes	Mosaic	LiOH, Li <sub>2</sub> O, LiF and Li <sub>2</sub> CO <sub>3</sub>
	11 <sup>74</sup>	LiTFSI/LiDFOB + FEC + PTFEMA	Flat, dense, mossy	—	LiF, LiBO <sub>2</sub>
	14 <sup>60</sup>	PEO + LEDC	—	Nanomosaic-multilayer hybrid SEI model	Li <sub>2</sub> CO <sub>3</sub> , LiOH, Li <sub>2</sub> O
	15 <sup>73</sup>	LiFSI + TMP/FEC + PEGMA	Flat, mossy	Mosaic	Li <sub>2</sub> CO <sub>3</sub> , LiF, Li <sub>2</sub> O, Li <sub>3</sub> N
	16 <sup>63</sup>	PVDF-HFP + PEGDME	Dense, mossy	—	LiF
	22 <sup>75</sup>	Random PAN/PVDF-LiFSI + LiPF <sub>6</sub> in EC/PC + 2.0 wt% VC	Loose cracks	—	Li <sub>3</sub> PO <sub>4</sub> , Li <sub>2</sub> O, LiF
	22 <sup>75</sup>	Patterned PAN/PVDF-LiFSI + LiPF <sub>6</sub> in EC/PC + 2.0 wt% VC	Dense lithium	—	Li <sub>3</sub> PO <sub>4</sub> , Li <sub>2</sub> O, LiF, Li <sub>2</sub> CO <sub>3</sub>
	4 <sup>65</sup>	LiFSI/DME + TFETFE	Flat, dense, mossy	—	LiF, Li <sub>2</sub> S, Li <sub>2</sub> O
	7 <sup>61</sup>	LiPF <sub>6</sub> + EC/DEC	(1) Mossy, (2) whisker	Mosaic, mostly amorphous	LiOH, Li <sub>2</sub> O and Li <sub>2</sub> CO <sub>3</sub>
	8 <sup>36</sup>	EC/DEC + LiPF <sub>6</sub>	(1) Mossy, (2) whisker	Mosaic, mostly amorphous	Li <sub>2</sub> O, Li <sub>2</sub> CO <sub>3</sub>
	8 <sup>36</sup>	EC/DEC + LiPF <sub>6</sub> + 10 v% FEC	(1) Mossy, (2) whisker	Layered	Li <sub>2</sub> O, Li <sub>2</sub> CO <sub>3</sub>
	10 <sup>12</sup>	LiTFSI + DOL-DME + 5% LiNO <sub>3</sub>	(1) Mossy, (2) whisker	—	LiF, Li <sub>2</sub> CO <sub>3</sub> , Li <sub>2</sub> O, Li <sub>2</sub> S, Li <sub>3</sub> N
	10 <sup>12</sup>	LiPF <sub>6</sub> + PC + 2w% LiAsF <sub>6</sub> + 2w% VC	Even spheres	—	LiF
	11 <sup>74</sup>	LiTFSI/LiDFOB + FEC	Whiskers	—	—
Solid polymer	19 <sup>38</sup>	LiPF <sub>6</sub> in PC:FEC:ethyl 2,2,2-trifluoroethyl carbonate with 10 wt% BCF, 5 wt% LiBF <sub>4</sub> , and 0.5 wt% LiNO <sub>3</sub>	Protruding, dense moss	Multilayer	LiF, Li <sub>2</sub> CO <sub>3</sub> , Li <sub>2</sub> O
	20 <sup>39</sup>	LiTFSI in DOL/DME with 1% LiNO <sub>3</sub>	—	Multilayer at 60 °C, amorphous at 20 °C	Li <sub>2</sub> O
	21 <sup>37</sup>	LiPF <sub>6</sub> in DMC and EC + FEC	—	Multilayer	Li <sub>2</sub> CO <sub>3</sub> , LiF
	5 <sup>58</sup>	PEO + LiTFSI + Li <sub>2</sub> S	Dense bulks	Mosaic	Li <sub>2</sub> O, LiOH, Li <sub>2</sub> CO <sub>3</sub> , Li <sub>2</sub> S and LiF
	5 <sup>58</sup>	PEO + LiTFSI	Irregular, loose	Mosaic	LiOH, Li <sub>2</sub> O, Li <sub>2</sub> S, Li <sub>2</sub> CO <sub>3</sub>
	6 <sup>59</sup>	PEO + LiTFSI + 1 wt% I2	Block structure	Mosaic	Li <sub>2</sub> O, LiI, LiF, and LiIO <sub>3</sub>
	9 <sup>76</sup>	PEO-LiN(CF <sub>3</sub> SO <sub>2</sub> ) <sub>2</sub>	—	—	Li <sub>2</sub> O, Li
	18 <sup>54</sup>	PEO + LiTFSI	—	—	Li <sub>2</sub> O, LiF, Li <sub>2</sub> CO <sub>3</sub>



liquids, and 4 on SPEs) with 26 samples to note differences in their lithium morphology, SEI composition, and nanostructure. This table was created using the given data and author descriptions. Because of this, there may be inconsistencies due to differing terminology, characterization techniques that cannot detect certain features or chemical compounds, or because a detail was not integral to the paper's argument.

### 5.1 Lithium morphology

As discussed, current density is the governing parameter for Li morphology in liquid electrolytes. As shown by Table 1, no stable cycling can be found in conventional liquid electrolytes; however, improvements have been made with the use of additives to modify the SEI composition. This will be discussed further in the next section.

In SPEs, on the other hand, current density and mechanical property are both governing parameters for lithium morphology. Theory suggests that the two go hand in hand; a higher mechanical modulus can sustain a higher current density for stable lithium cycling, at least for the plating mechanism. Little research has been done on the stripping mechanism of SPEs. Void formation due to uneven stripping has not been reported in SPE systems. The only instance of void formation we noted in the literature has been attributed to a lack of lithium deposition on top of an impurity particle.<sup>77</sup> Their hypothesis that lithium will not deposit on the impurity particle because of its insulating nature follows observations of lithium not depositing on SEI shells in liquid<sup>22</sup> and gel systems.<sup>33</sup>

Lithium morphology in GPEs has a lot of similarities with LEs. As far as we know, there have not been studies that describe the bulks, blocks, or globules that are seen in dry polymer systems. Furthermore, void formation and its resulting pitting have been shown in GPE systems.<sup>68</sup> However, there are some differences, as a planar morphology seems more likely to be achieved in GPEs. There are three possible explanations from the literature that we have gathered: (1) participation of TFSI leads to a more crystalline SEI layer, which will be discussed further in the next section; (2) the polymer network allows for regulation of the microscale thermodynamics of the GPE while also controlling macroscale electrokinetics;<sup>69</sup> and (3) higher mechanical modulus. Despite the morphological similarities to liquid electrolytes, GPE systems are believed to depend on mechanical moduli. As mentioned previously, Y. Shi's group showed that increasing the electrolyte's DMT modulus could partially inhibit dendrite formation.<sup>33</sup> However, as previously discussed with the SPE systems, the modulus of the electrolyte is not the only factor in suppressing dendrite formation.<sup>33</sup>

**Table 2** Number of samples containing the top 5 inorganic species of SEI

	LiF	Li <sub>2</sub> O	Li <sub>2</sub> CO <sub>3</sub>	LiOH	Li <sub>2</sub> S	# of samples
Liquid	6	7	6	1	2	10
Solid polymer	3	5	3	2	2	5
Gel polymer	9	6	4	2	0	11
Total	18	18	13	5	4	26

### 5.2 SEI

To better understand the chemical composition of the various SEIs in these studies, Table 2 below summarizes the top 5 inorganic species noted in the SEI of these 26 samples.

The only uniform Li morphology noted in this set of studies for liquid electrolytes was in those containing Li<sub>2</sub>S. The presence of LiF and Li<sub>2</sub>O did not seem to always prevent the growth of Li whiskers. In polymer systems, introducing or increasing the amount of LiF and/or Li<sub>2</sub>O in the SEI promoted more uniform lithium morphology. LiF can be promoted directly (*i.e.*, FEC<sup>31,73,74</sup> or LiPF<sub>6</sub><sup>64</sup>) or indirectly (*i.e.*, Li<sub>2</sub>S<sup>58</sup>). There are inconsistencies in whether the TFSI participates in SEI formation in the polymer systems. There are studies where LiTFSI is utilized, but no LiF is reported. Again, the lack of noted LiF could be because of the technique used.

There is no clear link between these inorganic species and the nanostructure of the SEI. Most of the studies did not investigate whether the inorganic species were in a crystalline or amorphous phase. These studies also did not investigate the overall nanostructure of the SEI. From the studies that did utilize cryo-TEM, there was a variety of amorphous and crystalline combinations of the above inorganic species. Still, only six samples were officially classified as having a layered nanostructure, four of which were liquid,<sup>22,37–39</sup> and two GPEs.<sup>64,73</sup> This, however, makes sense as the mosaic nanostructure is the most common.

Liquid systems, overall, tend to have primarily amorphous SEI layers, with only a small portion of their inorganic species being crystalline.<sup>61,78</sup> GPEs, on the other hand, seem to have more significant amounts of crystalline species in their nanostructure. Few studies have looked into the SEI of SPEs, and even fewer have investigated the nanostructure of the SEI. Those conducted by Sheng *et al.* have classified all their SPEs' SEI nanostructures as mosaics. A better understanding of how the chemical composition and nanostructure of the SEI affect lithium morphology and what additives impact this is imperative to improving the viability of lithium metal batteries.

### 5.3 Strategies to stabilize lithium anode

As discussed in earlier sections, SEI plays a critical role in regulating lithium morphologies in liquid systems. SEI design and engineering is the main strategy to stabilize lithium electrodeposition in liquid systems. Recently, new type of liquid electrolytes, localized high-concentration electrolytes (LHCE), are under intense investigation. LHCE changes the lithium-ion solvation structure and the associated SEI formation process. Ren *et al.* developed an LHCE formulation that promotes an effective protection interphase enriched in LiF. The full cell can cycle stably for 150 cycles under practical conditions.<sup>79</sup>

Guided by the theory work from Newman, Srinivasan, and Ganser, in dry SPE systems, we can generalize two strategies for stabilizing lithium metal anode: (1) increase the mechanical stiffness of the polymer electrolyte. However, this is challenging and may compromise transport and interfacial properties. (2) Maintain some mechanical stiffness and significantly increase



the limiting current density of the polymer electrolytes. According to eqn (1), to increase limiting current density, one could design a polymer electrolyte with improved diffusion coefficient, reduced anion transference number, or reduced thickness. Particularly, a class of polymer electrolyte with covalently immobilized anions, the single-ion-conducting polymers, have a cation transference number greater than 0.9.<sup>80</sup> The high cation transference number may lead to greatly improved limiting current densities. However, single-ion-conducting polymers fail at current densities way below the theoretical values.<sup>81</sup> The failure mechanisms are not entirely understood.<sup>67</sup> One possible mechanism is that impurities in the lithium metal itself may contribute to the premature cell failure.

Several methods have been proposed to improve the performance of polymer electrolytes by improving ionic conductivity, Li<sup>+</sup> transference number, and mechanical properties. They have been discussed in detail in several great review papers.<sup>82–84</sup> For example, introducing active and nonactive additives can improve both ionic conductivity and Li<sup>+</sup> transference number.<sup>82,83</sup> Another method to improve performance is synthesizing block copolymer, graft copolymer, or star polymer electrolytes to achieve high ionic conductivity and desired mechanical properties.<sup>83</sup> Reinforcing polymers through electrospinning has been shown to improve the mechanical strength of both SPEs and GPEs and reduce their thickness.<sup>75,82</sup>

GPEs generally have a much lower mechanical modulus than SPEs due to the presence of plasticizers. Based on the theoretical guidance detailed in Section 3.2, GPEs will require even higher limiting current densities to enable stable lithium deposition. In this sense, a combination of strategies, SEI engineering employed in LEs and polymer designs in SPEs, may be used to stabilize Li anode in GPE systems.

#### 5.4 Gaps

One of the most significant gaps in this work is the lack of understanding of SEI in SPE systems. This gap makes sense as there are several challenges when investigating the interfaces of solid-state batteries. One of the most pervasive is the fact that the interface is buried.<sup>18,68,85</sup> This makes it difficult to probe and characterize with many techniques, especially *in situ* techniques popular in liquid electrolytes. As a result, when methods to improve the polymer are explored, the lithium morphology and composition and nanostructure of the SEI layer are often not investigated. In addition, most investigations are done post-mortem; unfortunately, normal disassembly can disrupt the morphology of the lithium deposits and the SEI. Both mechanically by pulling the layers apart and chemically by introducing new solvents to dissolve residual polymer.<sup>58</sup> This can limit the accuracy of the measurements. Cryo-TEM as a technique is maturing, as evidenced by the recent studies involving cryo-TEM to investigate the Li/electrolyte interface of batteries. This new use of the technology has enhanced our ability to investigate SEI.<sup>22</sup> Room temperature TEM damages the samples as many battery components are sensitive to electronic-beam irradiation. Furthermore, Li metal doesn't react with nitrogen at cryogenic temperatures, so the structural

and chemical properties of the Li–electrolyte interface are preserved. This reduction in reactivity allows for the visualization of the nanostructures and lithium morphology and the identification of chemical compounds *via* their crystal orientation. Although challenges still remain with sample preparation and the representativeness of real battery systems, cryo-TEM is one of the best techniques to characterize SEI nanostructures. As this technique matures for lithium metal batteries, it will be important to find ways to better replicate the environment of a full cell battery.

A second gap is that lithium dissolution/stripping behavior is not well understood in SPEs and GPEs. The difference in physical nature of the SPEs and GPEs *versus* liquid electrolytes also affects the lithium dissolution behavior and, therefore, the coulombic efficiencies (CEs) measured in anode-free and thin Li//thick Li cell configurations. Liquid electrolytes can flow into the pits created during stripping and maintain the Li/electrolyte contact. SPEs, on the other hand, are non-flowable (barring linear PEO-based SPEs above their molten temperature) and, therefore, can lose contact with the electrolyte upon stripping and become inactive in the absence of high external pressures. GPEs are in between the two with a flowable component and, as discussed in our recent work,<sup>68</sup> show a porous stripped lithium morphology like liquid electrolytes. We recently reported the C.E. of a GPE in thin Li//thick Li cell configuration to be 60–70%,<sup>86</sup> which is even lower than the carbonate-based liquid electrolytes without any special additives, suggesting the contact-loss element to be also partially contributing to the low CEs.

Another significant gap in the current research is our understanding of how the properties of the lithium anode itself may affect the SEI layer and, therefore the lithium morphology.<sup>67</sup> The mechanical properties of lithium at interfaces deviate from its bulk properties. A better understanding of lithium may feed into more accurate theoretical predictions of polymer electrolyte properties to stabilize lithium anode. For anode-free designs, the surface chemistry of the current collector can affect lithium morphology just as much.<sup>38</sup> Research on anode-free designs is still in its infancy.

## 6. Conclusion

In this work, we examine literature studies of lithium morphologies in solid polymer and gel polymer systems and compare that with liquid systems. In solid polymer electrolytes, lithium morphology is not only a function of the applied current density but also governed by the mechanical properties. Stable lithium electrodeposition may be accomplished by a polymer electrolyte with good stiffness operating at significantly lower current densities than its limiting current density, defined by the Sand equation. In gel polymer electrolytes, the reported lithium morphology is more similar to that in liquid electrolytes, suggesting similar nucleation and growth mechanisms.

Based on experimental evidence and theoretical guidance, two strategies can be adopted to promote stable lithium



electrodeposition in SPEs: greatly increase the mechanical modulus of the SPE, or greatly increase its limiting current density while maintaining some mechanical stiffness. The caveat of the former is that it is challenging to achieve mechanical modulus in the GPa range and improvement in mechanical modulus often leads to compromised ion transport properties and interfacial contact. The limitation of the latter is that polymer electrolytes with high theoretical limiting current densities such as single-ion-conducting polymers, may still fail at current densities much lower than the theoretical values. Given the similarities between gel polymer electrolytes and liquids, a combination of SEI engineering and polymer design may be adopted to enable lithium anode in GPE systems. To achieve revolutionary advancements in polymer lithium batteries, a primary focus should be on enhancing our understanding of how to better control  $\text{Li}^+$  flux.

Finally, there are some significant gaps in the understanding of lithium morphology control in SPE and GPE systems: the need to understand the role of SEIs in SPEs, the lack of study on the dissolution/stripping behavior of SPEs, and the need to better understand lithium itself. With this perspective, we hope to help readers from outside the polymer community to quickly grasp the current status of lithium morphology studies in polymer-based batteries and provoke thoughts from readers inside the community to generate new ideas towards designing polymer systems for stable lithium plating and stripping.

## Conflicts of interest

There are no conflicts to declare.

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