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N,N-Dimethylformamide-stabilized palladium nanoclusters as a catalyst for Larock indole synthesis†

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We show that *N,N*-dimethylformamide-stabilized Pd nanoclusters (NCs) have high catalytic activity in the reaction of substituted 2-iodoanilines with alkynes to give 2,3-disubstituted indoles. This indole synthesis does not require phosphine ligands and proceeds with low Pd catalyst loadings. The Pd NCs were separated from the mixture after the reaction, and recycled at least three times. Transmission electron microscopy images showed that the Pd particle size before the reaction was 1.5–2.5 nm. The particle size after the reaction was 2–3 nm. X-ray photoelectron spectroscopy showed that the binding energy of the Pd NCs before the reaction was 335.0 eV.

Introduction

Indoles are important building blocks in many areas of organic synthesis.¹ Indole moieties are common in bioactive substances,² optically responsive materials,³ electronic materials,⁴ and fluorescent polymer sensors.⁵ Many methods for the synthesis of indole derivatives have been developed.^{6–16} Fischer and co-workers reported that the reactions of aryl hydrazines with ketones gave 2,3-disubstituted indoles.⁷ Buchwald prepared 2,3-disubstituted indoles from hydrazines and aryl halides and ketones using a Pd catalyst.⁸ Bischler reported a reaction of aniline with α -haloketones.⁹ Fukuyama reported radical cyclization of 2-alkenylthioanilides using Sn radicals.¹⁰ An Ir-catalyzed oxidative cyclization of amino alcohols has been reported.¹¹ Transition metals coordinated with NHC-ligands can catalyze C–H activation of substituted anilines.¹² Recently, easily available 2-haloanilines were used to prepare substituted indoles. The reaction of 2-iodoanilines with vinyl sulfones yielded 3-substituted indoles under Pd catalysis.¹³ In 1991, Larock reported an efficient and powerful method for obtaining 2,3-disubstituted indoles, with a Pd complex as the catalyst.¹⁴ Li and co-workers reported the synthesis of mono-substituted indoles, catalyzed by Pd nanoparticles in metal–organic framework cages.¹⁵

Transition metals or ligands were used in these reactions.^{8,10–15} From an industrial point of view, reducing the

catalyst loading is highly desirable. Transition-metal nanoclusters (NCs) have attracted attention for use in industrial, chemical, and medical research.¹⁶

We previously reported the preparation of *N,N*-dimethylformamide (DMF)-stabilized transition-metal nanoparticles *via* reduction with DMF.¹⁷ The obtained metal NCs showed high catalytic activity in Suzuki–Miyaura cross-coupling, Mizoroki–Heck coupling,¹⁶ Migita–Kosugi–Stille coupling,¹⁸ and Ullmann coupling reactions.¹⁹ The DMF-stabilized metal nanoparticles gave high turnover numbers and could be recovered after the reactions.^{16–19} Furthermore, the DMF-stabilized Pd NCs were relatively long-lived at high temperatures compared with typical heterogeneous catalysts. Our work has focused on reducing catalyst loadings or reactions by using transition-metal NCs as catalysts.

In this paper, we report the synthesis of 2,3-disubstituted indoles from 2-iodoanilines and internal alkynes, catalyzed by DMF-stabilized Pd NCs. This reaction proceeded under ligand-free conditions at low catalyst loadings. The Pd NCs were recycled at least three times.

Results and discussion

Pd NCs were prepared *via* reduction with DMF.¹⁸ The Pd NCs were examined using transmission electron microscopy (TEM). The TEM image and particle size distribution indicated that the Pd NC particle size was 1.5–2.5 nm (Fig. 1).

Fourier-transfer infrared (FT-IR) spectra of the DMF molecules and DMF-protected Pd NCs were obtained. The Pd NCs gave an absorption peak at around 1680 cm^{−1}. This peak corresponds to the $\nu(\text{C}=\text{O})$ vibration of DMF molecules and was shifted relative to that for pure DMF. This indicates that the DMF molecules interacted with the Pd NCs (Fig. S1†).^{20,21}

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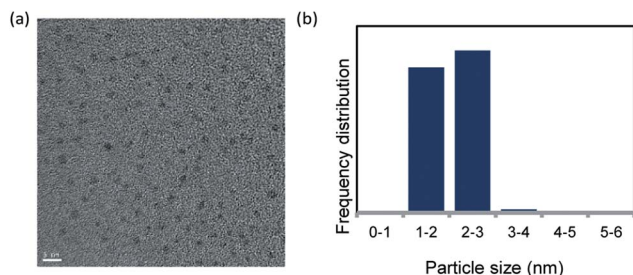


Fig. 1 (a) TEM image of DMF-stabilized Pd nanoclusters (scale bar = 5 nm) and (b) nanoparticle size distribution.

The electron states of the DMF-protected Pd NCs were determined using X-ray photoelectron spectroscopy (XPS). The binding energy (BE) 335.0 eV of Pd 3d_{5/2} for the Pd NCs, namely 335.0, indicated the presence of zero-valent Pd in the Pd NCs. The BE of Pd 3d_{5/2} for the Pd NCs was -3.2 eV lower than that of Pd 3d_{5/2} in PdCl₂ (338.2 eV) (Fig. 2).²²

The results are shown in Table 1. The reaction of 2-iodoaniline (**1a**, 0.5 mmol) with diphenylacetylene (**2a**, 0.5 mmol) in the presence of Pd NCs (3.0×10^{-1} mol%; prepared as previously reported¹⁸), K₂CO₃ (1.5 mmol), and NaCl (1.5 mmol) in DMF at 135 °C for 48 h under Ar was used as a model reaction; 2,3-diphenylindole (**3a**) was obtained. The use of the low catalyst loading (3.0×10^{-1} mol%) achieved the high catalytic activity, which demonstrate the superiority to the turnover number (TON) for the formation of **3a** was 3.2×10^2 (mol)/Pd NCs (mol), which show the advantage of this Pd NCs in comparison with other known catalytic system.^{8,11,13–15}

We optimized the conditions for Pd-NC-catalyzed Larock indole synthesis; the results are shown in Table 1. We examined the effects of the solvent. DMF was the most effective solvent and **3a** was obtained in 88% isolated yield (entry 1). Next, we examined mixed solvents, namely DMF/H₂O (1 : 1) (entry 2), *N*-methylpyrrolidone (NMP)/H₂O (1 : 1) (entry 3), and MeOH/H₂O (1 : 1) (entry 4). When DMF/H₂O (1 : 1) was used, a trace amount of **3a** was obtained (entry 2). When NMP/H₂O (1 : 1) was used, **3a** was obtained in 28% yield (entry 3). When MeOH/H₂O was used, a trace amount of **3a** was obtained (entry 4).

Next, we examined the effect of using LiCl and *n*-Bu₄NCl instead of NaCl as additives. LiCl and *n*-Bu₄NCl are traditionally

Table 1 Pd NC-catalyzed synthesis of 2,3-diphenylindole (**3a**) from 2-iodoaniline (**1a**) and diphenylacetylene (**2a**)^a

Entry	Solvent	Additive	Base	X	Yield ^b (%)
1	DMF	NaCl	K ₂ CO ₃	0.3	96 (88)
2	DMF/H ₂ O (1 : 1)	NaCl	K ₂ CO ₃	0.3	Trace
3	NMP/H ₂ O (1 : 1)	NaCl	K ₂ CO ₃	0.3	28
4	MeOH/H ₂ O (1 : 1)	NaCl	K ₂ CO ₃	0.3	Trace
5	DMF	LiCl	K ₂ CO ₃	0.3	Trace
6	DMF	<i>n</i> -Bu ₄ NCl	K ₂ CO ₃	0.3	Trace
7	DMF	None	K ₂ CO ₃	0.3	69
8	DMF	NaCl	KOAc	0.3	51
9	DMF	NaCl	Cs ₂ CO ₃	0.3	24
10	DMF	NaCl	Na ₂ CO ₃	0.3	87
11	DMF	NaCl	K ₂ CO ₃	0.03	Trace
12	DMF	NaCl	K ₂ CO ₃	None	n.d.
13 ^c	DMF	NaCl	K ₂ CO ₃	1.0	47
14 ^d	DMF	NaCl	K ₂ CO ₃	0.3	88
15 ^e	DMF	NaCl	K ₂ CO ₃	0.3	Trace

^a Conditions: **1a** (0.5 mmol), **2a** (0.5 mmol), Pd NCs (3.0×10^{-1} mol%), solvent (2 mL), additive (1.5 mmol), base (1.5 mmol), 135 °C, 48 h. ^b GC yields based on limiting reagent used. The number in parentheses shows the isolated yield. ^c PdCl₂ (1.0 mol%) was used instead of Pd NCs. ^d K₂CO₃ (0.25 mmol). ^e Hg (5 equiv.) was used.

used as additives in the Larock indole synthesis.¹⁴ In the present method, NaCl was the best additive (entries 5 and 6). Without NaCl, product **3a** was obtained in 69% yield (entry 7). The addition of salts such as NaCl enhanced the catalytic activity by the formation of a chloride-ligated zerovalent palladium species as was reported (as catalytically active species) in the palladium-complex catalyzed Larock indole synthesis.^{14b}

Next, various bases were tested. When KOAc was used, **3a** was obtained in 51% yield (entry 8). Cs₂CO₃ gave **3a** in 24% yield (entry 9). When Na₂CO₃ was used, **3a** was obtained in 87% yield (entry 10).

When the amount of Pd NCs was reduced to 3.0×10^{-2} mol%, a trace amount of **3a** was obtained (entry 11).

Next, the effects of the Pd NCs were examined. The product **3a** was not observed in the absence of Pd NCs (entry 12).

When PdCl₂ (1.0 mol%; the precursor used to prepare the DMF-stabilized Pd NCs) was used, the reaction was ineffective and the product yield was low (entry 13). The catalytic activity was therefore derived from the Pd NCs, not from PdCl₂.

When K₂CO₃ (0.25 mmol) was used, **3a** was obtained in 88% yield (entry 14). The conditions in Table 1, entry 14 were used in recycling experiments. The Pd NC particle size after the reaction was determined.

The stability of the Pd NCs was further investigated by conducted poisoning tests with Hg (5 equiv.) under the conditions in Table 1, entry 1. The conversions of **1a** and **2a** were 38% and 26%, respectively, and product **3a** was obtained in trace amounts. These results indicate that the catalytic activity of the Pd NCs was decreased by forming an amalgam with Hg.

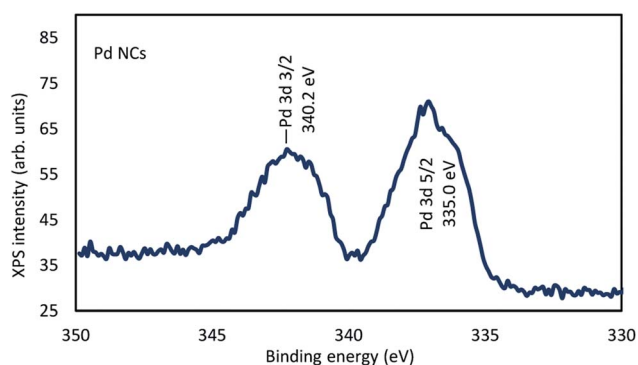


Fig. 2 XPS spectra of Pd NCs.



A TEM image was used to determine the effects of DMF molecules on the Pd NCs after the reaction performed under the conditions in Table 1, entry 14.

The TEM image showed the presence of Pd in the sample; this was confirmed using inductively coupled plasma atomic emission spectroscopy (ICP-AES).

The sample for ICP-AES was obtained as follows. The DMF in the mixture after the reaction under the conditions in Table 1, entry 1 was removed by evaporation, and the sample was redissolved in CH₂Cl₂. Next, K₂CO₃ and NaCl were removed from the sample solution by filtration with a membrane filter, using CH₂Cl₂. Finally, CH₂Cl₂ was removed by evaporation and the sample was redissolved in MeOH. This sample was analyzed using ICP-AES to determine the concentrations of Pd, Na, and K.

The concentrations of Pd and Na were 3.4 and 2.7 ppm, respectively. The concentration of K was below the detection limit. The ICP-AES data charts and standard curve are shown in Fig. S2–S4.† These results indicated that Pd was the main component in the sample. The sample prepared by the same method was analyzed by TEM. The results show aggregation of some of the Pd NCs (Fig. S5†).

We used TEM images (Fig. 3a and S6†) to investigate the Pd NC particle size after the reaction performed under the conditions in Table 1, entry 14. The Pd NC particle size distribution was 2–3 nm, *i.e.*, the particles were slightly larger after the reaction. However, inhibition of Ostwald ripening^{21,23} during the catalytic reaction led to the nanoparticles retaining their original size, <3 nm.

Dynamic light scattering (DLS) measurements showed that the Pd NCs had an average particle diameter of 2.3 nm, (Fig. S8†).

The substrate scope was examined by performing reactions between haloanilines **1** and internal alkynes **2** under the conditions in Table 1, entry 1. The results are shown in Table 2. The reaction of 2-iodoaniline (**1a**) with 2,3-diphenylacetylene (**2a**) gave 2,3-diphenylindole (**3a**) in excellent yield. A comparison of entries 1 and 2 shows how the electronic effects of the substituents in haloaniline **1** affect the reaction. The reactions of haloanilines bearing electron-donating groups, *e.g.*, 4-Me (**1b**) and 5-Me (**1c**), with diphenylacetylene (**2a**), gave the corresponding indoles **3b** and **3c** in moderate and excellent yields, respectively (entries 1 and 2). However, when the substituents were electron-withdrawing groups such as 4-CF₃ and 5-Cl, the reaction became sluggish.

Table 2 Pd NC-catalyzed 2,3-disubstituted indole synthesis of various halo anilines **1** and internal alkynes **2**^a

Entry	Aryl halide	Alkyne	Product	Yield ^b (%)
1				77
2				71
3				80
4				66
5			 	88 (4 : 1)
6				95
7				49
8			 	30 (2 : 1)

^a Conditions: same as in Table 1, entry 1. ^b Isolated yields.

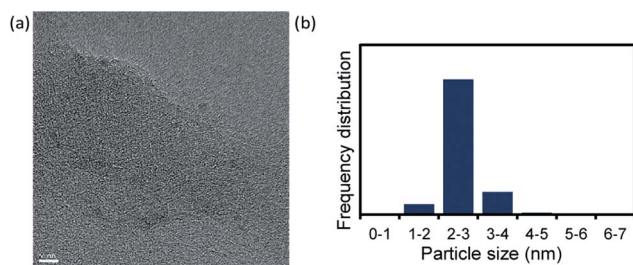


Fig. 3 (a) TEM image of Pd NCs after reaction under conditions in Table 1, entry 14 (scale bar = 5 nm) and (b) nanoparticle size distribution.

Various aliphatic and aromatic alkynes were good substrates for the reactions of alkynes **2** with 2-iodoaniline (**1a**). 3-Hexyne (**2b**) and 5-decyne (**2c**) reacted with 2-iodoaniline (**1a**) to give the corresponding 2,3-disubstituted indoles **3d** and **3e** in good yields (entries 3 and 4). The reaction of the asymmetric alkyne 1-phenyl-1-butyne (**2d**) gave the corresponding products **3f** and **3f'** in 88% yield as a mixture of regioisomers (**3f** : **3f'** = 4 : 1; entry 5). When terminal alkynes such as ethynylbenzene were used in



the reaction, the corresponding products were not obtained; the related Sonogashira coupling reaction also did not proceed with the Pd NCs. An alkyne bearing a trimethylsilyl group, namely 1-phenyl-2-(trimethylsilyl)acetylene, was used to examine the effects of steric hindrance; the desired product was not obtained. This lack of reactivity is assumed to be the result of steric hindrance.

The secondary amine *N*-methyl-2-iodoaniline (**1d**) reacted with diphenylacetylene (**2a**) to give the corresponding indole **3g** in 95% yield (entry 6).

We also used easily accessible 2-bromoaniline (**1e**) instead of 2-iodoaniline, and obtained **3a** in good yield (entry 7). When the asymmetric alkyne 1-phenyl-1-butyne (**2d**) was used, the corresponding products **3f** and **3f'** were obtained in 30% yield; the regioisomer ratio **3f** : **3f'** was 2 : 1 (entry 8).

Next, we examined the recyclability of the catalyst. The reaction was performed using substrates of **1a** and **2a**. In the first cycle, the desired product **3a** was obtained in 88% yield, under the conditions in Table 1, entry 14 (step 1 to step 2 in Fig. 4). Next, the Pd NCs were separated from **3a** using a mixed solvent (hexane : ethyl acetate = 77 : 23, 5 mL $\times$ seven times; upper phase); the Pd NCs remained in the DMF solvent (bottom phase) (step 3). The hexane : ethyl acetate ratio was important for achieving complete separation of the Pd NCs from **3a**. In the next step, the DMF solution containing the Pd NCs was filtered through a cotton plug to remove K_2CO_3 and NaCl from the DMF solution. The DMF was removed under vacuum and the residue was redissolved in DMF (2 mL); these Pd NCs in DMF were used for the next catalytic reaction (step 4). In the second cycle, product **3a** was obtained in 80% yield (Fig. 5). Re-addition of NaCl and K_2CO_3 is necessary for achieving high catalytic activity in the recycling experiments. At this time, large amount of insoluble solid material was formed during the course of multiple recycling experiments, which resulted in substantially decreasing the catalytic activity. Therefore, the resulting solid was removed by filtration in prior to the next catalytic recycling process.

We performed ICP analysis and the concentration of Pd in the hexane/ethyl acetate phase was 6.2 ppm (Table S2, Fig. S10†). The catalyst metal leaching resulted in decreasing of the yield of **3a** during the multiple recycling steps.

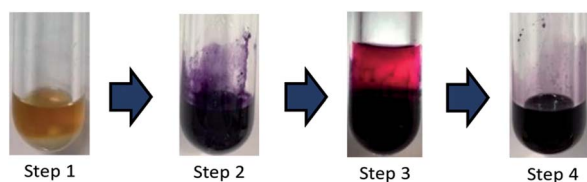


Fig. 4 Photographs of catalyst-recycling procedure in indole synthesis under reaction conditions in Table 1, entry 14. Step 1: reaction mixture containing **1a**, **2a**, Pd NCs, K_2CO_3 , and NaCl before the reaction. Step 2: mixture containing **3a** after reaction (after first cycle). Step 3: hexane : ethyl acetate (77 : 23) mixed solvent was added (upper phase: mixed solvent containing **3a**, bottom phase: DMF containing Pd NCs). Step 4: reaction mixture for next run, containing **1a**, **2a**, Pd NCs, K_2CO_3 , and NaCl.

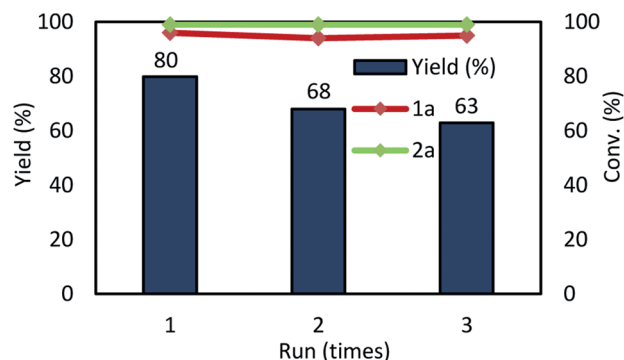


Fig. 5 Multiple catalyst recycling. Conditions: as given in Table 1, entry 14.

In contrast, the $PdCl_2$ catalyst could not be recycled. Only 11% yield of the product **3a** was obtained in the first cycle when $PdCl_2$ was used instead of Pd NCs under the reaction conditions in Table 1, entry 14.

Conclusions

We have developed a useful catalytic system for the Larock indole synthesis, using DMF-protected Pd clusters, which can be easily prepared by simply heating the Pd NCs in DMF. This catalytic system does not require external ligands and the reaction was achieved at low catalyst loadings.

An advantage of this catalytic system is that the catalyst can be recycled at least three times without considerable loss of catalytic activity. The catalyst can be easily recovered by simple extraction with hexane–ethyl acetate/DMF (the Pd NCs move into the DMF phase). The recycled Pd NCs maintain high catalytic activity and the recovered catalyst does not need pre-activation for the next catalytic cycle.

This process provides a green method for the Larock indole synthesis. The amount of Pd NC catalyst used can be kept low by multiple recycling. The present ligandless DMF–Pd NC-catalyzed process could be extended to a wide range of organic transformations. This will be investigated in future work by our group.

Experimental section

1. General

GLC analysis was performed with a flame ionization detector using a 0.22×25 m capillary column (BP-5). 1H and ^{13}C NMR spectra were recorded at 400 and 100 MHz, respectively, in $CDCl_3$ with Me_4Si as the internal standard. The products were characterized by 1H NMR and ^{13}C NMR spectroscopies. All reagents were commercially available and used without further purification. The DMF-protected Pd NCs were prepared according to the reported method.¹⁸ TEM images were obtained with a JEOL JEM-ARM200F instrument at an accelerating voltage of 200 kV. X-ray photoelectron spectroscopy (XPS) spectrum was used JPS-9010MC with the Al $K\alpha$ radiation. The measured spectra were calibrated by a C 1s electron peak (284.0 eV). ICP-AES was analyzed by a Shimadzu ICPS-8100.



3g (ref. 30). Blown solid, mp = 138–139 °C (Lit.²⁸ 137–139 °C), ¹H NMR (CDCl₃, 400 MHz) δ 3.63 (s, 3H), 7.13–7.78 (m, 13H), 7.80 (br, 1H); ¹³C NMR (CDCl₃, 100 MHz) δ 30.87 (CH₃), 109.55 (CH), 115.08 (C), 119.58 (CH), 120.16 (CH), 122.15 (CH), 125.47 (CH), 126.95 (C), 127.98 (CH), 128.14 (2CH), 128.35 (2CH), 129.83 (2CH), 131.11 (2CH), 131.87 (C), 135.19 (C), 137.30 (C), 137.67 (C).

After the reaction under the conditions shown in Table 1, entry 14, DMF was removed from the reaction mixture by evaporation. Next, the Pd NCs were redissolved in CH₂Cl₂. The solution was filtered with a membrane filter (20 nm) to remove K₂CO₃ and NaCl. CH₂Cl₂ was removed by evaporation and the Pd NCs were redissolved in MeOH.

4. Preparation of IR sample

(b) Pd NCs (after the reaction): after the reaction under the conditions given in Table 1, entry 1, the reaction mixture was extracted with a mixed solvent [hexane : ethyl acetate (77 : 23)], (5 mL $\times$ seven times) to remove product **3a**, and DMF was removed by evaporation under vacuum.

5. Recycling experiment

2-Iodoaniline (**1a**, 109 mg, 0.5 mmol), diphenylacetylene (**2a**, 89 mg, 0.5 mmol), K₂CO₃ (35 mg, 0.25 mmol), and NaCl (88 mg, 1.5 mmol) were placed in a pressure container and Pd NCs in DMF (1 mM, 1.5 mL) as the catalyst and DMF (0.5 mL) were added. The reaction mixture was stirred at 135 °C for 48 h under Ar. The reaction was performed under the conditions in Table 1, entry 14 and then the Pd NCs were separated from **3a** using a mixed solvent (hexane : ethyl acetate = 77 : 23, 5 mL × seven times; upper phase containing **3a**); the Pd NCs remained in the DMF solvent (bottom phase). The conversion of the substrate and yield of the product were calculated from their GC peak areas, based on an internal standard (*n*-nonane). In the next step, the DMF solution containing the Pd NCs was filtered through a cotton plug to remove K₂CO₃ and NaCl from the DMF solution. The DMF was removed under vacuum and the residue was redissolved in DMF (2 mL); these Pd NCs in DMF were used for the next catalytic reaction under the reaction conditions given in Table 1, entry 14, by adding 2-iodoaniline (**1a**, 109 mg, 0.5 mmol), diphenylacetylene (**2a**, 89 mg, 0.5 mmol), K₂CO₃ (35 mg, 0.25 mmol), and NaCl (88 mg, 1.5 mmol).

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Conflicts of interest

There are no conflicts to declare.

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