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Non-aqueous microgel particles: synthesis, properties and applications

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Microgels are cross-linked polymer latex particles that can form stable colloidal dispersions. Their typical sizes range from 10 to 1000 nm and they can swell in response to their external environment (pH, temperature and solvency). This swelling behaviour is central to many potential applications for microgels. The existing literature is dominated by studies of the properties of aqueous microgel dispersions. In contrast, this review focusses on the development of microgel particles in non-aqueous systems, looking at the challenges of studying these particles as well as their swelling behaviour. The five main mechanisms of producing microgel particles will be discussed and examples of materials used for microgels that swell in non-aqueous solvents will be given. Finally some examples of applications for non-aqueous microgels are given.

1 Introduction

Microgels were first synthesised in 1935 by Staudinger and Husemann.¹ The term microgel was not used until 1949² and since then microgels have been defined as cross-linked polymer latex particles, in the size range of 10–1000 nm, that form stable colloidal dispersions (Fig. 1). It is important to note that in the literature, a number of different terms are used for microgel, including microsphere,³ microbead,⁴ nanogel,⁵ and minigel for larger particles.⁶ For simplicity in this review we will only use the term microgel.

Microgels have the ability to swell and de-swell in response to changes in the external environment, *e.g.* pH, temperature and solvency (see Fig. 2). The swelling force is balanced by the elastic forces of the cross-links, which hold the particle together and enable the particle to maintain its shape. This ability for microgels to change size depending on their environment is of particular interest in research and there are many different

external stimuli that have been reported in the literature to influence the particle swelling in aqueous solvents, including temperature,⁷ pH⁸ and ionic strength.⁹ This multi responsive nature of microgels makes them ideal for a vast range of applications including photochemistry,¹⁰ biomedical applications,¹¹ optical switches or sensors¹² and in coating technologies.⁹

The majority of the development work in this field has focussed on aqueous microgels, where polymer latex particles are swollen and de-swollen in water in response to temperature and pH, for example poly(*N*-isopropylacrylamide) (p(NIPAM)) which has a known lower critical solution temperature (LCST) of 35 °C.⁷ These aqueous microgels have a number of different applications including in cosmetics,¹³ pharmaceuticals,^{11,13,14} catalysts,¹⁵ photochemistry¹⁰ and in optical switches or sensors.¹²

However, there is significantly less literature on the development of non-aqueous microgels, where microgel particles are swollen and de-swollen in organic solvents, such as toluene and benzene. Non-aqueous solvents are often preferred, or even essential for both academic and industrial research, for example to aid with chemical stability or performance. From an

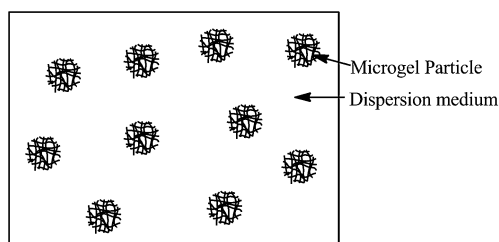


Fig. 1 A colloidal dispersion of microgel particles.

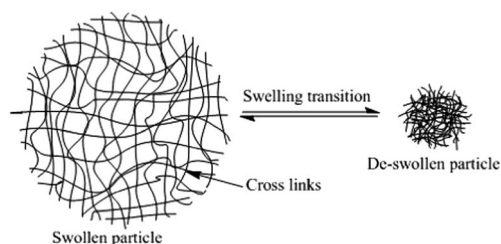


Fig. 2 A swollen and de-swollen microgel particle.

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academic point of view, some model systems, for example model hard spheres, are easier to design in non-aqueous solvents. Non-aqueous solvents also offer opportunities to design colloidal systems, with properties such as refractive index and density matching which are important for scattering and imaging studies.¹⁶

Importantly, microgels in non-aqueous solvents have a number of differences to their water based counterparts and they face a number of challenges in their synthesis, stability and swelling. This review will discuss these differences and challenges as well as some of the specific applications of non-aqueous microgels.

2 Synthesis and materials

There are two ways of approaching the synthesis of non-aqueous microgels. The first way is to synthesise the microgel particles in water using emulsion polymerisation (EP),¹⁷ surfactant free emulsion polymerisation (SFEP) or microemulsion polymerisation (MEP), and then transfer the particles to an organic medium at the end of the reaction.¹⁸ This can be done in a number of different ways including: freeze drying,¹⁹ rotary evaporating or washing with an appropriate solvent (*e.g.* methanol).²⁰ This method could in theory be applied to any type of microgel particle, however, this review will focus on literature where the particles have been transferred into organic solvents.

The second way to produce microgel particles in non-aqueous solvents is to carry out the reaction directly in non-aqueous solvents *via* dispersion polymerisation (DP)¹⁸ or precipitation polymerisation (PP). However, making the particles directly in non-aqueous solvents presents some challenges as it is unknown if the particles will be swollen or de-swollen in the non-aqueous solvent during synthesis, and well-defined spherical particles do not always result.

The basic principles of these reaction mechanisms are shown in Table 1. There are also a number of other less common methods of microgel formation that will not be covered in this review, such as solution polymerisation,²¹ seeded polymerisation and combined mechanisms.²² Some advantages and disadvantages of the various polymerisation methods discussed are given in Table 2 and Table 3 gives an overview of the non-aqueous microgel synthesis.

2.1 Emulsion, surfactant free emulsion and microemulsion polymerisation

EP, SFEP and MEP are all polymerisation techniques used to produce microgels in non-aqueous solvents where the particles are originally made in water and are then transferred to organic solvents. MEP is one of the most common ways to produce microgels and produces particles in high yields. MEP also enables the particle size to be controlled and can make microgels between 5–100 nm.²¹

The mechanism for these three types of polymerisation are very similar. In EP free radicals initiate chain polymerisation of monomers in the presence of an aqueous solution of surfactants. The surfactants create micelles in the aqueous phase which act as micro-reactors, limiting the size of the resultant microgel particles³⁹ (Fig. 3). According to Harkins^{24,39} the mechanism can be split into three stages: particle formation, propagation and termination.

There are a number of examples of non-aqueous microgels made *via* EP in the literature. In 1952 Cragg and Manson used EP to make PS and DVB microgels in aqueous solution and then transfer them to non-aqueous solvents, for example benzene or MEK. These particles were transferred to organic solvents *via* a solvent wash (Section 2.1.1). Machotova *et al.* used EP to make acrylic microgels in water and then transferred them to organic solvents, including acetone, MEK and MiPK (see ref. 37 for a full list). Whilst EP can successfully be used to produce microgels of various monomers in a number of different solvents, more recently EP has been largely replaced with SFEP and DP.

SFEP has a very similar mechanism to EP, however there is no surfactant added to the reaction mixture and the stabilisation is achieved from charges on the initiator molecules. This makes SFEP more difficult to carry out in non-aqueous solutions as charges in non-aqueous solvents are often very weak, there are a few examples though where SFEP has been used to make NIPAM microgels with additional co-monomers that swell in non-aqueous solvents. Camli *et al.* used SFEP to create PMMA microgels cross-linked with EGDMA in a mixture of water and acetone. Increasing the acetone content initially made the particle size increase as a reduction in dielectric constant reduced the particle stability during nucleation. An acetone content >20% made the particle size decrease due to increased monomer solubility that dominated the dielectric constant effect.²² Nur *et al.* used SFEP to produce p(NIPAM) microgels copolymerised with a number of different monomers including:

Table 1 Table of different polymerisation methods and typical particle sizes

Name ^a	Initial system ^b	Particle size/nm	Stabilisation	Ref.
EP	O/W E	50–1000	Surfactants	17, 23 and 24
SFEP	O/W E	50–1000	Charges on initiators	19, 22 and 25
DP	A or O	1000–15 000	Electrostatically (aqueous), sterically (non-aqueous)	3, 9, 22, 26 and 27
MEP	O/W ME	10–100	Surfactants	20, 21, 28 and 29
PP	M	50–1000	Low monomer content	30–33

^a EP = emulsion polymerisation, SFEP = surfactant free emulsion polymerisation, DP = dispersion polymerisation, MEP = microemulsion polymerisation, PP = precipitation polymerization. ^b O/W E = oil-in-water emulsion, A = aqueous solution, O = organic solution, O/W ME = oil-in-water micro-emulsion, M = marginal solvents.



Table 2 Advantages and disadvantages of different polymerisation methods

Name ^a	Advantages	Disadvantages
EP	Easy to produce non-aqueous microgels	Surfactant needs removing after the reaction, non-uniform cross-linking occurs, can get core-shell morphologies
SFEP	No surfactant needed	Non-uniform cross-linking, difficult to do in aqueous medium
DP	Can be done in an organic or aqueous medium, the extent of reaction depends on the concentration of monomer	Cross-linking needs to be initiated after the particles have formed
MEP	Small particles produced, high yield achieved	Often needs a cosurfactant, have to remove surfactants after the reaction
PP	One step reaction, quick	Low yield due to low monomer content, need to find a good solvent

^a For abbreviations see Table 1.

AA, BA, VP, and VL, the particles were re-dispersed in hexane and methanol.¹⁹

In MEP the droplets at the beginning of the reaction are much smaller than EP or SFEP and there are no monomer droplets present, but only micelles.²¹ In order to make these droplets small enough, the oil-water interfacial tension (γ) needs to be reduced to a few mN m⁻¹. This is not always possible using only one surfactant due to the onset of micellisation and consequently a co-surfactant, or an alcohol, is often needed. Fig. 3 illustrates the mechanisms of MEP and EP.

Whilst MEP is one of the most common methods of producing microgels, there are still conflicting reports on its mechanism. One questionable characteristic of a microemulsion is its fragility. If a microemulsion is unstable towards slight thermodynamic changes, then the system may not remain in the same phase during the whole reaction process. Such systems are said to be fragile and consequently, the system is not always a microemulsion during polymerisation.⁴⁰ In

contrast, sturdy microemulsions would maintain their place in the phase diagram throughout the whole reaction and are important for successful MEP synthesis.

Antonietti *et al.* used MEP to produce PS and DIB microgel particles in toluene with a size of around 100 nm. Nie *et al.* used MEP to prepare a number of different microgels and then transferred them to non-aqueous solutions and determined their swelling ratio. They used MMA with a cross-linker of EGDMA as well as two different functional monomers, GMA and HEMA. Increasing the HEMA content increased particle aggregation due H-bonds between the particles. They also looked at a number of different surfactants and co-surfactant systems, including sodium dodecyl sulfate (SDS) and sodium bis(2-ethylhexyl) sulfosuccinate (AOT), they found that increasing the surfactant content increased the particle diameter and swelling.²⁹

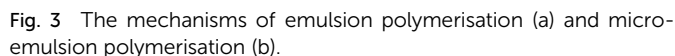
Whilst it can be seen that EP, SFEP and MEP can all be used to produce microgels in non-aqueous solvents, none of these

Table 3 Examples of non-aqueous microgels

Monomer ^a	Cross-linker ^a	Cross-link density ^b mol%	Method ^c	Initial solvent ^{a,d}	Other solvents ^{a,d}	Diameter	Ref.
S	DVB	7–70	PP	AN, Tl	—	1000–2500 nm	3
MEMA	EGDMA	0.6–5	DP	Hx	W	120–720 nm	9
S	DIB	0.02–5	MEP	W	Tl	39–168 nm	20
S	DVB	0–55	EP, DP	MEK/H	—	1800–4600 nm	34
S	DVB	0.09–3	DP	W	EB	403–1150 nm	18
MMA	EGDMA	0.3–1.4	SFEP, DP	W/A	—	40–502 nm	22
S	DVB	0–0.03	EP	W	MEK, B	—	23
NIPAM, AA, BA, VL, VP, VB ^f	BIS	6–7	SFEP, DP	W	Hx, M	300–500 nm	19
MMA, GMA, HEMA	EGDMA	1–10	MEP	W	BA	40–100 nm	29
MA	DVB	3–22	PP	MEK/H	—	100–500 nm	30
S	PETEA	14–78	PP	E	—	580–750 nm	31
AC	DVB	6–80	PP	AN	—	2300–3100 nm	33
MAA, EGMM	EGDMA	15–75	PP	MEK, H	—	450–2090 nm	35
S, FS, MMA, CHMA	DIB	0.6–5	MEP	W	Tl	9–106 nm	36
BMA, MMA, HEMA	AMA	1–1.3	EP	W	A, MiPK ^e	109–235 nm	37
PS	DIB	10–50	EP, SFEP	W	EN, oDC	56–185 nm	38

^a For abbreviations see Table 4 and Fig. 6. ^b Expressed as a percentage of total monomer. ^c For abbreviations see Table 1, SP = seeded polymerization. ^d / = a mixture of more than one solvent. ^e For a full list of solvents used see ref. 37. ^f Comonomers were added at 10 wt% relative to NIPAM.





The second method to transfer microgels to organic solvents is to use a solvent wash. Here the particles are washed with an excess solvent that will cause them to coagulate. They can then be filtered and re-washed. The particles can then be dried down in a vacuum oven to ensure that all the water has been removed. Once in a dried state the particles can then be re-dispersed in a

The major drawback with both of these methods is that the particles need to be fully dried down before they are re-dispersed in non-aqueous solvents. This can be problematic as often the particles can irreversibly aggregate during the drying or alternatively, water may still be present inside the particles, both of which prevent re-dispersion. A further problem arises if the surfactant has not been fully removed. Vigorous shaking can be used to break up any aggregates that form during the drying process.¹⁹ For highly cross-linked microgels, shaking is not always sufficient to break up these aggregates and these particles may not re-disperse in non-aqueous solvents.²³ Allowing the microgels to equilibrate for at least 24 hours before any swelling measurements are taken is important to ensure the particles are fully dispersed and swollen;¹⁹ centrifuging has also been used to ensure the microgels can be well re-dispersed.⁴¹ Nonetheless, often the drying and re-dispersing process can be unpredictable, causing additional challenges in the synthesis of non-aqueous microgels.

Polymer grafting can often lead to flocculation or an increased particle size distribution when the cross-linker is added. Thus, the cross-linking needs to take place after the

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Table 4 Table of abbreviations of solvents

Abbreviations	Solvents
A	Acetone
AN	Acetonitrile
B	Benzene
BA	Butyl acrylate
E	Ethanol
EB	Ethylbenzene
EN	2-Ethyl naphthalene
H	Heptane
Hx	Hexane
oDC	ortho-Dichlorobenzene
M	Methanol
MEK	Methyl ethyl ketone
MiPK	3-Methyl-2-butanone (isopropyl methyl ketone)
MOE	Methoxyethanol
TI	Toluene
W	Water

The choice of monomer in a microgel preparation is vital in determining the resultant particle's properties. The monomer determines the χ parameter, which has a major influence on the swelling ratio of the particles (Section 4.2) and can also add additional properties into the particles such as conductivity and functional groups which govern how the particle will respond to changes in the environment. One of the major advantages of microgel particles is the ease at which the properties can be altered using the monomers and co-monomers and consequently a huge library of microgels have been seen in the literature.

Styrene is one of the most common monomers used for non-aqueous microgels which could be due to its easy handling and ability to form spherical, amorphous particles.⁴³ Additionally, there are a number of known solvents that will swell styrene and there is a wealth of information available in the literature. Styrene can be bought from a number of suppliers and often includes an inhibitor such as 4-*tert*-butylpyrocatechol which needs to be removed *via* purification. A number of other monomers have also been used successfully in non-aqueous microgel preparation.

Butun *et al.* used MEMA to make microgel particles that could swell in hexane and Camli *et al.* used MMA to make microgel particles that were swollen in a mixture of water and acetone.²² The addition of functional monomers, such as MMA, HEMA²⁹ and MMA alter the surface properties of the particle and it has been shown that MMA can also act as a co-surfactant.⁴⁴ Nur *et al.* made NIPAM microgels, which typically only swell in water, however with the addition of co-monomers, such as AA, BA and VL, the particles were shown to swell in methanol.¹⁹ It is also possible to use monomers to make microgels in organic medium conductive using poly(aniline) and dodecylbenzenesulfonic acid as monomers.⁴⁵

The cross-linker in the particle is important, as the cross-link density controls the swelling ratio of the particles. There is less variety in the cross-linkers used and DVB is by far the most common cross-linker used to make non-aqueous particles. It is often purchased commercially as a mixture 55% DVB and 45%

ethylstyrene. Other cross-linkers seen in the literature include EGDMA,³⁴ DIB¹⁸ and BIS, which is commonly used in conjunction with NIPAM.¹⁹ Jiang *et al.* used PETEA as a cross-linker, which has four functional cross-linking sites so will be able to cross-link four different chains together at once.³¹

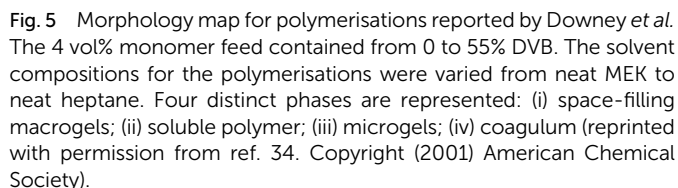
There are differences in the literature as to how much cross-linker is needed before a microgel will form. Jiang *et al.* noticed that when the XLD was less than 14 mol% relative to total monomer the yield of product made was very low.³¹ It is unusual that particles would not be made until 14 mol% as there are reports in the literature of particles being made with a XLD as low as 0.01 mol%;²³ this difference may be due to the functionalities of the cross-linkers. Cragg and Manson claimed that microgel particles made with 0.0006 mol% DVB were very similar in both appearance and solubility to pure PS latex particles and that only when the XLD was increased to 0.01 mol% did the particles begin to act as microgels.²³ Clarke and Vincent made PS and DVB microgels with 0.09 mol% DVB and Camli *et al.* made MMA microgels with 0.3 mol% EGDMA. The lowest possible XLD needed to form a microgel is reasonably low and of the order of 0.01 to 0.1 mol%, yet the exact number is a topic that is not agreed on in the literature and depends on, amongst other things, the functionality of the cross-linker and the mechanism of polymerisation.

The initiator used in a microgel preparation can affect the mechanism and properties of the particles, for example, it can affect the locus of polymerisation, the yield and the particle size. Additionally, the functionality of the initiator often influences the surface properties and charges on the particles which plays a large role in determining how well the particles can be re-dispersed in organic solvents. There are a number of initiators used in the literature and the majority of initiators used are anionic initiators, including AIBN, a hydrophobic initiator which forms radicals in the hydrophobic phase of EP.^{34,9} Potassium persulfate (KPS) is another common example of an anionic initiator. However, KPS is hydrophilic, and consequently the radicals formed from KPS are in the aqueous phase.²³ Benzyl peroxide initiators have also been used.⁴²

Nair used two different initiators, 4,4'-azobis(4-cyanovaleric acid) (ACVA) and KPS, in the preparation of acrylic microgels. The particles containing ACVA had weakly acidic surfaces and low surface charge densities, consequently they could be re-dispersed in a low dielectric solvent *e.g.* heptane, whereas particles made with KPS had strongly acidic surfaces with high charge surface densities which did not re-disperse in heptane.⁴⁶

The solvent used during particle synthesis can affect the size, shape and stability of the particles that are made. This is especially true for polymerisation (PP) and dispersion polymerisation (DP) mechanisms, where the solvent plays a very important role in the mechanism of microgel formation. Downey *et al.* looked at how varying the solvency of DVB microgels affected the structure that was formed. MEK was used as the good solvent and heptane as the poor solvent with the resulting morphologies shown in Fig. 5. Microspheres were produced when the MEK content was between 33–20 vol%. When the MEK content was higher than 33 vol% a macrogel was





Consequently, it is possible to design microgel particles with the exact properties required by suitable choice of starting materials. The monomer will affect the swelling of the particles as well as any functional groups in the particles. The initiator can influence the surface properties of the particle which will govern how the particles respond to external stimuli and the cross-linker will control the cross-link density as well as the extent of homogeneous cross-linking within the particle.

In general the DLVO theory is used to describe the stability of a colloidal suspension as it describes the first two of these terms.⁴⁸ In non-aqueous systems the scope for charge stabilisation is much more limited than in aqueous systems. Non-aqueous solvents have a low concentration of ions due to their low dielectric constants, although charged particles in non-aqueous solvents are not unheard of, with the first example seen in the 1950s.⁴⁹ Charge control agents, such as surfactants have been used to successfully add charge to non-aqueous solvents⁴⁹ and a number of techniques have been used to confirm the existence of long range electrostatic interactions in non-aqueous solvents, including atomic force microscopy, surface force analysis and optical tweezers.

Butun *et al.* made multi-responsive microgels particles using MEMA and EDGMA. They showed that the particle swelling was responsive to temperature, pH and ionic strength in aqueous

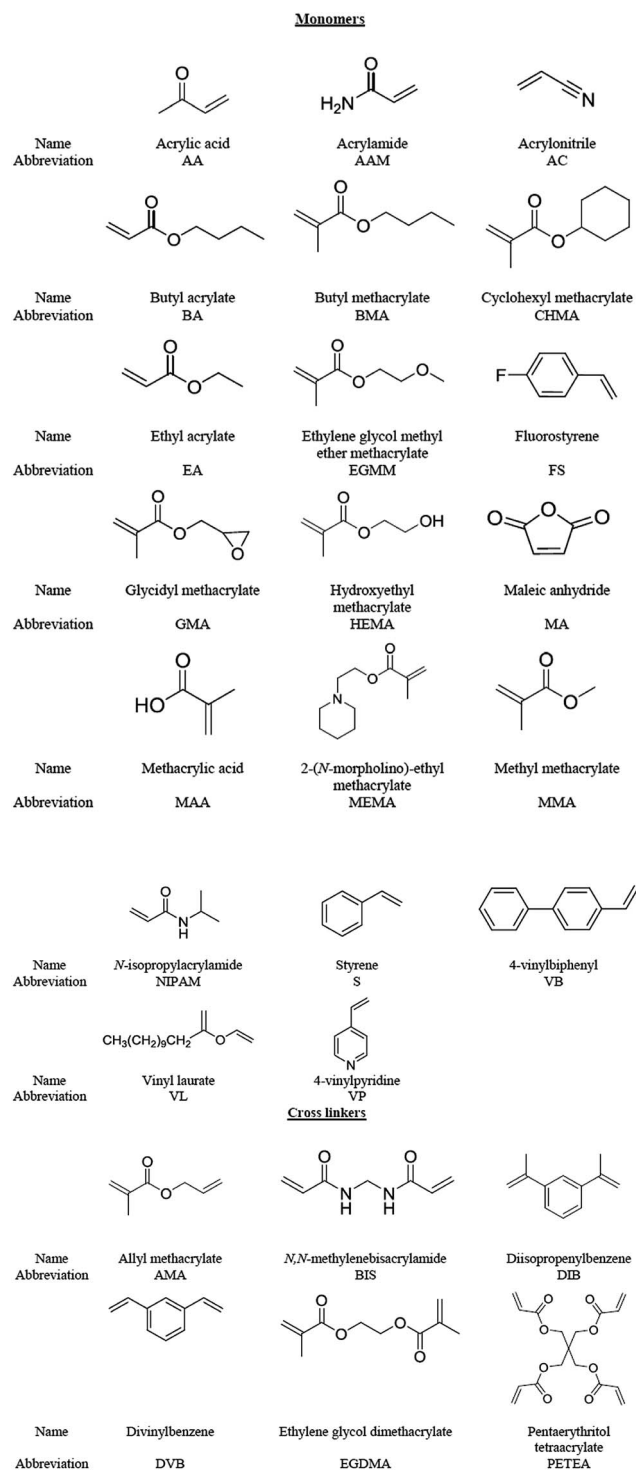


Fig. 6 Examples of the different monomers and cross-linkers used in non-aqueous microgels, including their abbreviations and structures.

media and that the particles also swelled in response to different solvents, demonstrating the possibilities to control the swelling in non-aqueous systems through the choice of solvents. Kobayashi and Senna swelled PS and DVB microgels in MEK and showed that increasing the DVB content reduced the swelling. At sufficiently large concentrations of DVB, there was a

sufficient amount of cross-linker to prevent swelling altogether.⁴² Nur *et al.* made microgels using p(NIPAM) with different co-monomers and showed that the particles dispersed in methanol but precipitated when the particles were dissolved in hexane;¹⁹ they claim this is a result of the hydrophilic nature of the p(NIPAM)-based microgels.

Machotova *et al.* made acrylic microgels from MMA, BMA and HEMA co-monomers and an AMA cross-linker. The particles were swollen in a number of organic solvents, including acetone, MEK and MiPK (see ref. 37 for a full list). They found that acetone was the strongest swelling solvent and that MiPK was the weakest solvent, and they attributed this difference to the solubility parameters (δ), where higher δ values gave higher swelling ratios. The solubility parameters are one way to estimate the χ parameter for particles in solvent:⁵¹

$$\chi = \frac{V_1}{RT}(\delta_1 - \delta_2)^2, \quad (1)$$

where δ_1 is the solubility parameter of the polymer and δ_2 is the solubility parameter of the solvent.⁵¹ They also claimed that microgels which included BMA had lower swelling ratios than MMA based particles due to the difference in polarities between the monomers and the solvents and that the high molar volume of MiPK reduced the swelling of the particles.³⁷

The degree of swelling of a microgel particle can also be affected by other components present in solution, especially polymers (as used in order to generate depletion interactions between the microgel particles⁵²). The depletion interaction for hard particles by free polymer is well understood, however, for microgel particles where the particles are deformable and penetrable, the mechanism is not as well understood and often an osmotic de-swelling is observed.^{41,53} The chemical potential of the solvent surrounding the microgel particle must equal the chemical potential of the solvent inside the particle and one possible explanation for the de-swelling is that the increased osmotic pressure outside the microgel is counter-balanced by expulsion of solvent from inside the microgel. It can be seen that microgels in non-aqueous solvents have been shown to swell in response to solvency and osmotic pressure, however there are few reports of microgel particles in non-aqueous solvents swelling in response to other stimuli such as temperature. This is in contrast to microgels in aqueous solvents where changing the temperature of the solution can alter the size of the particles.

Swelling makes microgel particles uniquely valuable to many applications (Section 5). However, swelling also produces a number of challenges in determining and describing the properties of microgel particles. Firstly, the properties will depend on how swollen the particles are, which will change depending on the environment of the particles. Secondly, a microgel particle with a high swelling ratio will consist of a polymer network embedded in a large number of solvent molecules; thus microgels behave less like hard spheres as their swelling increases. Most commonly, the size of a swollen microgel is characterised by its hydrodynamic radius, as probed by dynamic light scattering.



The swelling of a microgel particle can be described by its swelling ratio, which can be expressed empirically as a ratio of hydrodynamic radii of swollen (r_{Hs}) and collapsed (r_{Hc}) particles, eqn (1). Equivalently, a volume swelling ratio can be used, eqn (2). In general (especially for aqueous microgels), particles may still contain solvent in the collapsed state. However, for a system of hydrophobic particles, such as PS microgels, it is assumed that particles in water are fully collapsed with no solvent present and that particles in non-aqueous solvents are swollen. With this in mind, Q will refer to the swelling ratio as defined below throughout the review.

$$R = \frac{r_{\text{Hs}}}{r_{\text{Hc}}} \quad (2)$$

$$Q = \left(\frac{r_{\text{Hs}}}{r_{\text{Hc}}} \right)^3 = R^3 \quad (3)$$

4.1 Is swelling homogeneous?

One of the major challenges for characterising and modelling microgel particles is that often the particle swelling is inhomogeneous. For particles in the collapsed state, a description assuming a homogeneous sphere should be appropriate. However, it is common that the cross-link density decreases with the distance from the particle centre, due to the difference in reaction kinetics between the cross-linker and monomers. Therefore, for the swollen state this assumption may not be appropriate.¹²

One of the most common techniques used to analyse microgel particles is small angle neutron scattering (SANS) which allows the determination of a number of properties of microgels such as the molecular weight and particle size as well as more detailed structural properties such as the average chain conformation, the mesh size and the sizes of both the cores and shells of particles.⁵⁴ Data analysis provides structural information on the particles and for microgels the model used in this analysis needs to take into account the properties of the specific microgel particle, such as the extent of inhomogeneous cross-linking. Furthermore, soft microgels can deform and penetrate at high concentrations and the structure factor $S(q)$ which describes the particle interaction, needs to take this into consideration. For good quality scattering data, it is possible to obtain the radial segment density profile. If the particle is homogeneous, then this will be a box like density profile, however it is more likely that the cross-link density is inhomogeneous.¹⁴

Holley *et al.* used SANS to study PS and DVB microgels in deuterated toluene and THF and determined three models for the particles based on their cross-link densities (XLD) and synthesis routes. They found that particles made *via* a batch microemulsion preparation (MEP) (see Section 2.1 for details on synthesis routes) with a XLD <10 mol% had a “fuzzy gel” shape. These particles had a well defined core with homogeneous cross-linking, surrounded by an inter-facial layer made of dangling chains and loops. However, particles made *via* semi-batch MEP, where the monomer is added to the reaction

continuously, were better modelled as smooth gels. These gels, with an XLD of 4.6 mol% had no significant “fuzzy layer”. Particles with a XLD >10 mol% and made by batch MEP were best modelled as dendritic gels, where there is no homogeneous core and a small mesh size (Fig. 7).⁵⁴

Bradley *et al.* used quantum dots (QDs) to investigate how the distribution of cross-links affects the swelling of PS microgel particles in chloroform. When the particles were only partly swollen the QDs only penetrated the periphery of the particle, with smaller pores in the centre of the particle preventing further penetration. At higher swelling ratios the QDs entered into the whole particles, showing that the spread of cross-links governs the swelling of the particles.⁵⁵ Scheffold *et al.* argued that thermo-sensitive NIPAM microgels can be described as having a dense core surrounded by a brush like shell.¹² These brushes form as a result of the inhomogeneous cross-linking of the particle and provide steric stability for the particles. Whilst Scheffold *et al.* used this theory on aqueous microgels it could still apply for non-aqueous microgels and therefore steric stability will always play a role in microgel particles irrespective of whether they are aqueous or non-aqueous. Antonietti *et al.* claim that PS and DIB microgel particles swell homogeneously.²⁰ They use the P ratio:

$$P = \frac{r_{\text{G}}}{r_{\text{H}}} \quad (4)$$

where r_{G} is the radius of gyration, to determine the particle shape anisotropy and radial density function and conclude that their particles swell homogeneously.³⁶

It can be seen that the extent of inhomogeneous cross-linking in a microgel depends on the polymerisation and the cross-link density of the particle and has a great influence on how the particles will swell. It is commonly expected that most particles will have some extent of inhomogeneous swelling, with the inner most regions of the microgel being less swollen than the outer regions. This makes modelling microgels difficult as their properties change not only between solvents but also within the particle.

4.2 Flory–Rehner theory

One way to describe the swelling of a microgel is to use the Flory–Rehner theory (FRT) which is a theoretical model used to describe the swelling in (macroscopic) polymer gels and is an

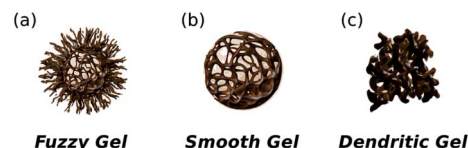


Fig. 7 Different types of PS and DVB particles depending on their cross-link density and polymerisation technique. (a) Fuzzy gel, XLD = 0.8 mol% batch MEP, (b) smooth gel, XLD = 4.6 mol%, semi-batch MEP (c) dendritic gel, XLD = 11 mol% batch MEP (reprinted from Polymer, 55, D.W. Holley, M. Ruppel, J.W. Mays, V.S. Urban and D. Baskaran, Polystyrene nanoparticles with tunable interfaces and softness, 58–65, Copyright (2014), with permission from Elsevier).



extension of the phase theory for polymer solutions.⁵¹ It is the earliest model for the swelling of polymer gels and was first discussed in 1943 by Flory and Rehner;⁵⁶ it is simple and applicable to many different situations⁵⁷ and is often used to estimate the cross-link density of a polymeric system.⁵⁸ Whilst it is originally designed for a macroscopic gel, the theory can apply for all polymer networks, including microgels. The FRT is not the only theory that can be used to describe the swelling of a gel, with other theories including the Flory–Wall theory²⁰ and the rubber elasticity theory.

The main limitations of the FRT are that it neglects a number of factors regarding the structure of the gel; as previously mentioned most microgels do not have homogeneous cross-linking, a factor which is not considered in the FRT. Changes in the entropy and/or enthalpy of mixing of the cross-linker are also not considered in the theory, which for large cross-linkers may be a poor assumption. Nonetheless, it is one of the oldest and most well known theories and it should be applicable in particular to microgels in non-aqueous solvents, as it does not take into account any electrostatic interactions or hydrogen bonding which are considered to be irrelevant for these systems.

The key result of the FRT is given in eqn (5):

$$\ln(1 - \chi) + \phi + \chi\phi^2 = \frac{\nu}{N_{\text{av}}} \frac{\hat{V}_1}{V_0} \left[\left(\frac{\phi}{2\phi_0} \right) - \left(\frac{\phi}{\phi_0} \right)^{\frac{1}{3}} \right], \quad (5)$$

where:

$$\frac{\nu}{V_0} = \frac{\rho N_{\text{av}}}{M_c} \left(1 - \frac{2M_c}{M} \right). \quad (6)$$

In these expressions, ϕ is the volume fraction of polymer in the swollen particle, ϕ_0 is the volume fraction of polymer in the reference state and χ is the Flory–Huggins parameter, M_c is the average molar mass between cross-links and $\hat{V}_1$ is the solvent molar volume. N_{av} is Avagadro's number, V_0 is the volume of the network in the reference state, M is the molar mass of the pre-networked chain, ρ is the density of the polymer and ν is the number of elastically active strands. For a full derivation of the FRT see ref. 51.

The reference state from eqn (5) is a topic of debate in the literature with two main arguments being used to describe this state.⁵⁷ Khokhlov gives a theoretical argument that the reference state is given at the θ condition,⁵⁹ from a more practical point of view the reference state is given by the synthesis conditions.⁶⁰ If we take the reference state to be that of the polymer in the synthesis conditions, and there is no solvent present in the collapsed particles, it can be said that $\phi_0 = 1$ and that $Q = 1/\phi$. This would not be the case for hydrophilic particles, however, for PS and DVB microgels that are synthesised in water and transferred to non-aqueous solvents this assumption stands and will thus be used in the following discussion.

The FRT highlights three key parameters in the swelling behaviour of gels:

1. The average molar mass between cross-links, M_c .
2. The solvent molar volume, $\hat{V}_1$.

3. The solvent quality, expressed as the χ parameter.

Any variation of microgel size with temperature would mainly arise through a change of χ . Croll illustrates how these parameters influence the swelling ratio (see Fig. 8) by solving eqn (5) and calculating ϕ , the equilibrium volume fraction of polymer in the network. Experimentally, a large variation in χ such as the one depicted in Fig. 8 would be difficult to achieve in non-aqueous solvents, and solvent blends would be the best way to achieve such extreme values for χ .

The FRT was originally designed for use on macroscopic polymer gels and there are many examples of this in the literature. However, there are few detailed results available on testing this theory for non-aqueous microgel systems.⁶¹ In order to test this theory for non-aqueous microgels, eqn (5) was used, using the same method as in ref. 58. The system studied was PS and DVB microgels in toluene at 25 °C. The calculations were compared to experimental swelling data of these systems from ref. 20 and 36 (Fig. 9). M_c was calculated using eqn (7).

$$M_c = \left(\frac{Y}{2X} \right) M_Y \quad (7)$$

Where Y is the number of moles of monomer, X is the number of moles of cross-linker and M_Y is the molar mass of the monomer.

The theory predicts that as M_c increases the equilibrium volume fraction decreases and the majority of experimental data fit along this trend. In Fig. 9, the largest deviation from this prediction is at a very high M_c where the equilibrium volume fraction is much higher than predicted. At very low M_c values, the theory also deviates from the experimental data, with the experimental data having a higher equilibrium volume fraction than expected. Thus, for this case it can be said that the FRT is not applicable towards the extremities of M_c values.

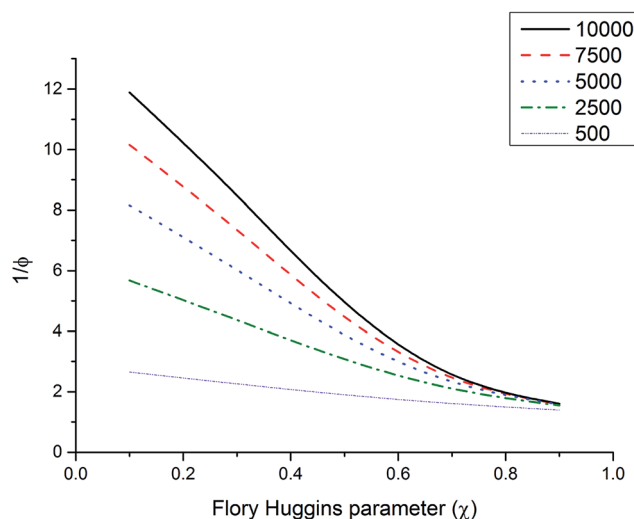


Fig. 8 The equilibrium volume fraction of polymer in the network as a function of the Flory–Huggins parameter and molar mass between cross-links. $\rho = 1.2 \text{ g cm}^{-3}$ and $\hat{V}_1 = 63 \text{ cm}^3 \text{ mol}^{-1}$. Re-drawn from ref. 58.



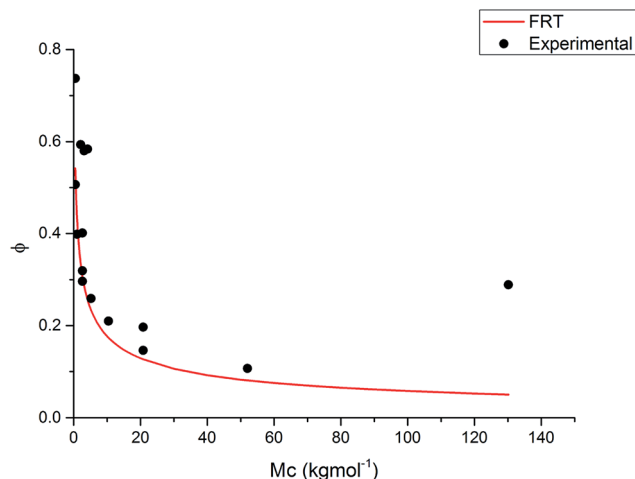


Fig. 9 The equilibrium volume fraction of polymer in the network of PS and DVB microgels in toluene at 25 °C over a range of molar masses between cross-links cross (M_c). Experimental data taken from ref. 20 and 36 (●). The line shows the theoretical predictions. $\chi = 0.4$ (ref. 62) and $\bar{V}_1 = 105.91 \text{ cm}^3 \text{ mol}^{-1}$.

Consequently, more comparisons need to be made using a wider range of particles and solvents before any finalised statements about how applicable the FRT is to non-aqueous microgel systems can be made.

5 Applications of non-aqueous microgels

Microgels in non-aqueous solvents have been used for a variety of applications and their size and shape makes them particularly desirable. Microgels are highly adaptable and can be tuned to achieve particular properties that can be utilised in various applications. The most common application of non-aqueous microgels is in coatings technologies, but there is also a wide range of literature on a variety of additional applications of microgels in non-aqueous solvents, including rheology modifiers and model systems.

5.1 Coatings technology

One of the first commercial uses for microgel particles was in both solvent and water based coatings where the majority of microgels are used to modify the rheological behaviour of paint formulations. Microgels are particularly adapted to this application as they are easily modified and applicable to a large number of coatings.⁶³ Today microgels are often made of regulatory approved monomers and are thus easy to incorporate into formulations in accordance with legislations.⁶³

Highly cross-linked, viscous, non-swellaable microgels make good binders in organic coatings, especially in high solids paint formulations.⁶³ Ishikura *et al.* used microgels to produce novel binders for coatings containing linear polymers. Adding microgels to the coatings increased the maximum film thickness and improved sagging properties. Furthermore, the

microgel influenced the rheology and tensile strength of the film properties.⁶⁴

Highly swellaable microgels are also incorporated into paints as rheology modifiers.⁶³ They can give pseudoplastic behaviour, meaning they have a high low shear viscosity and a low high shear viscosity. Consequently, the paint can be stored without pigments settling to the bottom and directly sprayed without thinning down.⁶³ Microgels are also used as fillers in paints to improve the film forming properties of the paint. Furthermore they have been reported to reinforce cured paint films and improve their mechanical properties⁶³ as well as weather and shock resistance.⁶⁵

5.2 Rheology modifiers

A very common application of microgels in non-aqueous solvents is as rheology modifiers, particularly in paints and coatings, Section 5.1. However, they are also used as additives in a number of other systems in order to customize the rheology. The unique swelling properties also allow microgels to be used for high performance needs such as engine and engineering oils where high temperature rheological modifications are essential.⁶⁶

When microgels are swollen they take up a sufficiently large amount of space and become closely packed. This results in strongly interacting particles that have an increased viscosity, where the shear viscosity of the system is dominated by the microgel swelling and effective volume fraction of the microgels.⁶⁷ EA and MAA particles are often used to thicken non-aqueous systems, where the viscosity is governed by the particle size and the cross-link density. Aggregated particles, or non-spherical particles, also increase the viscosity of the system.⁴⁷

Electro-rheology can be used to tune the rheology of a system using an electric field. The particles, in a non-conducting fluid, will align with an electric field, resulting in a loss of fluidity and an increase in rheology.⁴⁷ Xie *et al.* studied electro-rheology of an MMA colloidal suspensions in a number of non-aqueous media including paraffin oil and poly(diethylsiloxane).⁶⁸ Combining such systems with microgels may offer new and interesting rheological behaviours including control of the structuring and relaxation times of the network.

5.3 Model systems

Non-aqueous microgels are of particular interest in academia as model systems. They enable the study of fundamental colloidal properties because their Hamaker constants can be controlled by their swelling ratios.⁶⁹ Microgels are highly tunable and therefore have potential applications in the study of glass transition temperature and phase behaviour.¹²

For detailed, real-space studies on colloids, the hard-sphere model is a key reference system. In order to allow such studies, refractive index and density matching are desired. To achieve this with solid polymer particles, a dense (halogenated) solvent may be required, but unexpected charging effects have been found with such systems.⁷⁰ Non-aqueous microgels offer a different route to generating model systems as they may contain



a significant fraction of solvent, their density and refractive index is close to the solvent by construction.

Microgels in non-aqueous solvents have always been important as model systems; Sieglaff was one of the first to demonstrate a depletion induced phase separation on the addition of free polymer using microgels in non-aqueous solvents^{71,72} and since then numerous studies on these systems have been conducted. For example, Clarke and Vincent observed a reversible flocculation of PS microgels in EB on addition of free linear PS at the critical polymer/solvent volume fraction. Decreasing the molecular weight of the free PS or increasing the average segment concentration in the swollen microgel particles increases the value of this critical volume fraction.¹⁸ They compared their experimental data to the VLW (Vincent, Luckham and Waite) theory which allows for interpenetration of the free polymer coils with the periphery of the microgel particles and found a good agreement.¹⁸

Bartsch *et al.* used microgels to deliberately introduce a “squishy” particle into their systems. They looked at the interactions of PS and BA microgels cross-linked with DIB and EGDMA in various solvents. They concluded that the interactions were influenced by the cross-link density, particle size and swelling ratio of the microgels. The swelling ratio determines how compressible the microgel particles are at high volume fractions, with higher cross-link densities needed to produce hard sphere behaviours at high volume fractions.⁶⁵

Additionally a number of studies on PS and DIB microgels in EN have been used to further understand the glass transition dynamics of this system. Bartsch *et al.* looked at the phase behaviour of two different PS and DIB microgel particles in a good solvent (EN). They showed that particles with a cross-link density of 50 mol% acted as hard spheres whereas particles with a cross-link density of 10 mol% were much softer. Nonetheless, the glass transition dynamics of both sets of particles could be described by mode coupling theory.⁷³

Eckert and Bartsch studied the effect of adding free polymer to a solution of PS and DIB microgels in EN. They showed that the free polymer caused a depletion interaction *via* short ranged attraction, and this attraction increased the strength of density fluctuations and melted the colloidal glass. However, as they increased the strength of these short ranged attractions the system re-froze; they called this the re-entrant glass transition.⁷⁴

Microgels can also be used as model systems for macrogels.²⁰ Macroscopic gels have complicated topological structures that can affect their structural and dynamical properties. However, very little is known about how the topology contributes to the network behaviour of gels and therefore, quantitative data on gels is very difficult. Microgels are much easier to handle and purify than macroscopic gels and much more is known about their topology. Consequently, their dynamic and structural properties can be understood better than for macroscopic gels.²⁰ Zillessen and Bartsch used PS microgels which were functionalised with benzophenone groups in organic solvents to produce clusters up to 2 μm in size which can be permanently fixed using photo-cross-linking. These structures can then be analysed in detail, providing information on aggregation and crystal nucleation.⁷⁵

5.4 Photonic crystals

A further application of microgels is in photonic crystals, which are periodic dielectric materials on a nano scale. They affect the movement of photons in the same way that semi-conductors affect electrons; only letting certain wavelengths of light propagate through their structure. Disallowed bands of light are often referred to as photonic band gaps.⁷⁶ Photonic crystals have applications in many photonic devices due to their ability to control the flow of light. Examples include in chemical sensors and in micro-lasers.⁷⁶

One way to produce photonic crystals is through self assembly of colloidal particles, such as microgels.⁷⁶ The resulting colloidal crystals have the advantage for this application that they are simple to produce and cost effective. Furthermore, the colour of the colloidal crystal can be changed through the microgel swelling by infiltrating the particles with different organic solvents, *e.g.* methanol, ethanol and silicone.⁷⁷

5.5 Molecular imprinting

Another use of non-aqueous microgels is molecular imprinting. Molecular imprinting is a way of creating a cavity within a polymer matrix that will selectively bind to certain molecules. Fig. 10 shows a scheme for the synthesis of molecular imprinted polymers. Firstly a template molecule is bound to functional molecules *via* non-covalent bonds, these functional molecules are then covalently linked to the polymer. The template is then removed and a cavity is left which has a specific shape and functionality and will therefore bind selectively to certain molecules.

The advantage of using microgel particles rather than polymers for molecular imprinting is that artificial enzymes can be produced, as microgels are on the same size scale as enzymes.⁷⁷ Other advantages include their low solution viscosities and their easy characterisation using standard techniques.

Biffis *et al.* used MMA and EGDMA as monomers to produce molecular imprinting microgels in a number of solvents including cyclohexanone, cyclopentanone and *N,N*-dimethylformamide. Once the microgels were imprinted, they were tested for their ability to selectively bind to one sugar enantiomer over another. Molecular imprinting is commonly used with non-aqueous microgels as many template molecules used in molecular imprinting are water sensitive.⁷⁷

5.6 Other applications of non-aqueous microgels

There are a number of other applications of non-aqueous microgel particles that have not yet been mentioned. Firstly,

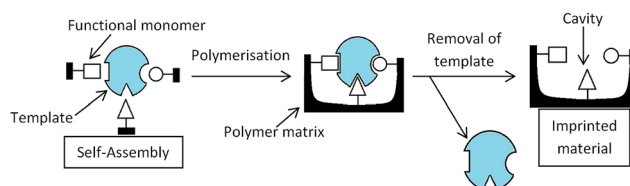


Fig. 10 Mechanism of molecular imprinting for cross-linked polymers. This can also be applied to microgel particles.



Dyab and Atta used functionalised NIPAM-*co*-2-acrylamido-2-methylpropane sulfonic acid microgels to stabilise non-aqueous emulsions. At the interface, microgels behave more like linear polymers or proteins than normal solid particles as they can be deformed. They adopt a flattened morphology that prevents the drops from coalescing, even at low surface coverages.⁷⁹ This has a great impact on the emulsion as the macroscopic properties of an emulsion are determined by the way the microgels adsorb at the interface. These emulsions have a high storage stability and a number of possible applications including pharmaceutical and cosmetic applications as well as nano-reactors of polymerisation of water-sensitive monomers or catalysts.⁷⁸

Microgels are also widely used in oil recovery; where oil and gases are recovered from the earth's crust. Large drills are used to reach the areas where the fuels are stored and fluids are used to push the oil and gas out of the rocks. It is important that during this process there is no uncontrolled release or unwanted loss of oil or gas and therefore the fluids used in this process are carefully engineered and designed to function at the high pressures and temperatures encountered underground.

A large proportion of the oil and gas is stored in tiny pores inside the rock and large pressures are applied to the rock using fluids to create passages in the rocks and reach the stores of oil. Microgels are used because they can enter these pores and then swell in the rock and minimise the loss of fluid. The microgels can then de-swell over time and be released from the rock when the hydrocarbons are recovered.¹⁴ Oil recovery often uses aqueous fluids, however, sometimes non-aqueous fluids are also needed, and therefore so are non-aqueous microgels. The microgels needed for this application need to be thermodynamically stable under conditions of high temperature and pressure and often monomers with carbonyl, ether or ester groups are used.¹⁴

6 Conclusions

Aqueous microgels can easily be prepared, in a benign solvent, and above all are useful and interesting because of convenient triggers for swelling/deswelling, such as temperature and pH. Non-aqueous microgels are less straightforward to work with in all three respects.

There are 5 main mechanisms used to produce microgel particles in non-aqueous solvents, all of which have drawbacks. Making particles in water, and transferring them to non-aqueous solvents introduces a number of additional challenges regarding re-dispersing them without particle aggregation. Making the particles directly in non-aqueous solvents requires very careful consideration of the monomers and solvents used. Arguably one of the major challenges in microgel particle synthesis is deciding which method and materials to use as these can all play a vital role in controlling the properties of the resulting particles, in particular the size and swelling.

Despite its shortcomings, Flory–Rehner theory for the thermodynamics of swollen polymer gels provides a basis for understanding the swelling behaviour of microgel particles, and arguably should be best suited to non-aqueous suspensions.

The theory highlights the role of cross-link density, solvent molar volume and polymer solvency (χ parameter) in controlling the extent of swelling. The role of cross-link density was shown to be described well by FRT. In order to achieve swollen microgels, a good solvent and a small molecular volume are required. This rather restricts what polymer/solvent combinations can be used, and known combinations have been reviewed here.

Microgels in non-aqueous solvents have only been shown to swell in response to solvency and osmotic pressure from polymers in solution. Large Bjerrum lengths in non-aqueous solvents prevent charges playing a role, at least inside the microgels. There are ways to promote charges in these solvents and this is one way to potentially introduce more swelling triggers in non-aqueous solvents. Any swelling or de-swelling that is seen in non-aqueous solvents is often much more gradual than swelling in aqueous solvents as the χ parameters have a much smaller variation. This is unlike aqueous systems where a volume phase transition temperature can result in a sharp transition from the particles being swollen to unswollen. Polymer solvent combinations allowing a significant variation of solvency would be especially promising for further study and work in this direction is currently underway on our laboratory.

Despite all this, there are a number of applications already of non-aqueous microgels, mainly in coatings technology, where they are often incorporated into formulations to help control the rheology. Non-aqueous microgels have also been used for photonic crystals, molecular imprinting and in model systems. However, if convenient model systems could be developed showing a (de)swelling transition in response to a convenient trigger, such as temperature, or light perhaps, this would open up opportunities for new applications. Examples would be controlled release/drug delivery applications (of water soluble or sensitive compounds), control of suspension structure and flow behaviour, and responsive photonic materials.

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