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# What can Blyholder teach us about PFAS degradation on metal surfaces?†

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Per- and poly-fluoroalkyl substances (PFAS) molecules have long been used in a variety of applications as they are chemically robust and resistant to chemical transformations. However, it has recently come to light that these compounds are toxic, and remediation efforts are required to remove them from our society. In a recent study (Jenness *et al.*, *Env. Sci. Proc. Impacts*, 2022, 24, 2085) we explored the use of silylium-carborane for the degradation of perfluorobutanoic acid (PFBA) and three derivatives. In the course of our study, we found the degradation of the C–F bond was facilitated by a low-lying unoccupied anti-bonding orbital. Based on this finding, we propose the usage of metal catalysts for the degradation of the C–F bond as metals have been shown to take advantage of such low-lying anti-bonding orbitals. Utilizing density functional theory (DFT) calculations, we explored how the C–F bond in PFBA can be split by the entirety of the d-block metals. Deriving a series of linear scaling relationships, we demonstrate that metals conforming to the bcc point-group perform the best for this chemistry. In particular, iron (Fe) has a good balance of fluorine and PFBA binding and reaction energies and would be a worthy candidate for further studies.

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## Environmental significance

Per- and polyfluoroalkyl substances (PFAS) are fluorochemicals that are extremely persistent and widely distributed, and capable of bioaccumulation. Found in a variety of locations these compounds cause a variety of health issues in humans and our feedstocks. PFAS feature a robust C–F bond that is extremely difficult to cleave; however, for the remediation of contaminated sites and the destruction of our existing stocks as a preventative measure it is necessary to develop methods to do preferentially cleave the C–F bond. In the current study, we have investigated the degradation of PFAS on a theoretical level using density functional theory. Examination of how a representative PFAS molecule interacts with 27 metal surfaces allows us to determine the electronic factors responsible for the degradation of PFAS molecules and assess how various metals perform for the destruction of these forever compounds.

## 1 Introduction

In recent years per- and poly-fluoroalkyl substances (PFAS) have emerged as an environmental health threat.<sup>1–3</sup> The United States Environmental Protection Agency (EPA) has recommended a life-time advisory level for several PFAS molecules, including perfluorooctanoic acid (PFOA) and perfluorooctanesulfonic acid (PFOS), with levels being 0.004 parts-per-trillion (ppt) and 0.02 ppt, respectively.<sup>1</sup> These compounds feature numerous C–F bonds, which is one of the strongest single carbon bonds with a bond dissociation energy of ~115–127 kcal mol<sup>−1</sup>.<sup>4–8</sup> In comparison, the carbon–hydrogen bond is 90–105 kcal mol<sup>−1</sup>,<sup>5,7</sup> the carbon–carbon bond is 85–104 kcal mol<sup>−1</sup>,<sup>5,9</sup> and the carbon–oxygen bond is 80–

110 kcal mol<sup>−1</sup>.<sup>5</sup> As a consequence, care needs to be taken with degradation as it is easy to favor bonds other than the C–F bond. The moniker of “forever chemicals” for these fluorocarbons is well deserved.

However it is not just their chemical robustness that makes them a public health threat; that is due to their ubiquitous nature as they are used at all levels of our society<sup>2,10–12</sup> and are found in cosmetics, firefighting foam, protective coatings, and consumer packaging<sup>2,3,13,14</sup> (for a comprehensive listing of PFAS uses in our society see the reviews by Glüge *et al.*<sup>2</sup> and Panieri *et al.*<sup>3</sup>). PFAS molecules have been linked to low mammalian birth weights, compromised immune systems, and cancer.<sup>11,12,15</sup> In addition to consumer products PFAS contamination can occur through soil and groundwater sources,<sup>10,16–24</sup> which leads to accumulation in our local food chains,<sup>25–27</sup> oceans,<sup>28</sup> and prenatally in humans.<sup>29</sup> These effects are compounded in areas rich in salt and organic matter as they lead to an increase in the environmental transport and retention.<sup>30,31</sup>

This has led to a large research effort in finding new chemistries and techniques for the chemical degradation of PFAS molecules. These studies have included conventional

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techniques such as using solvated electrons,<sup>6,32–36</sup> electrochemical methods,<sup>37–40</sup> photochemical reduction,<sup>41,42</sup> thermal degradation,<sup>43</sup> oxidation *via* activated persulfate,<sup>44,45</sup> microbial degradation,<sup>46</sup> chemically assisted degradations,<sup>47–51</sup> microbial treatment,<sup>52,53</sup> and low-temperature hydroxide-mediated decarboxylation and defluorination.<sup>54</sup> More exotic methods such as plasma treatment<sup>55–57</sup> and degradation through the application of soundwaves<sup>58</sup> have also been used. However, care needs to be taken with chemical degradation techniques in the environment as they can result in a variety of chemical byproducts that are hazardous.<sup>59,60</sup>

In a recent study<sup>51</sup> we investigated the usage of halogenated carborane anions ( $[\text{HCB}_{11}\text{H}_5\text{Y}_6]^-$ ,  $\text{Y} = \{\text{F}, \text{Cl}, \text{Br}, \text{I}\}$ ) interacting with silylium catalysts ( $\text{Et}_3\text{SiH}/\text{Et}_3\text{Si}^+$ ) for the chemical degradation of perfluorobutanoic acid (PFBA) and its reduced analogues through the use of density functional theory (DFT). We found that the silylium acts in tandem with its cationic counterpart to remove a  $-\text{F}$  from PFBA whilst a hydride is inserted from the other side of the carbocation in a concerted mechanism. Adding a carborane anion into the complex resulted in some of the barriers becoming nearly zero; however there was a slight decrease in the strength of the reaction energies. Examination of the electronic structure of the components of the catalytic system reveals that PFBA has an anti-bonding  $\sigma^*$  orbital for its lowest unoccupied molecular orbital (LUMO), which becomes partially occupied as it interacts with the silylium. The addition of carborane results in a “charge lensing” type effect where-in the electrostatic repulsion between the donated electrons and the negative charge on the carborane results in this anti-bonding orbital having a higher occupation, which weakens the C–F bond further. Finally, we noted that changing the halogenation of the carborane can mediate this effect, with iodine having the strongest effect.

The notion of PFAS molecules having a low-lying anti-bonding orbital is not a new one, and has been mentioned in prior literature. For example, Hughes *et al.* mentions that the low-lying  $\sigma^*$  anti-bonding orbital is an “Achilles’ heel” for fluorocarbons.<sup>61</sup> Additionally, in the review by Kuehnel *et al.* it is further noted that C–F bonds have a low-lying  $\sigma^*$  anti-bonding orbital which can be exploited by activation of the C–F bond, leading to cross-linking reactions.<sup>62</sup> Moreover, in a recent study by Michalczyk *et al.* they note that increased charge transfer to the C–F bond results in an elongation of the bond length, which in turns facilitates C–F cleavage.<sup>63</sup>

The nature of this anti-bonding LUMO for PFBA was rather intriguing to us, and naturally calls to mind the Blyholder back-bonding mechanism. In the first half of the 20th century, there were a set of experiments measuring the infrared (IR) C–O stretch frequency ( $\nu_{\text{C=O}}$ ) of carbon monoxide (CO) adsorbed on various metal surfaces. It was observed that instead of the gas-phase value of  $\sim 2100 \text{ cm}^{-1}$ , the  $\nu_{\text{C=O}}$  would go as low as  $\sim 1800 \text{ cm}^{-1}$ .<sup>64</sup> Several hypothesis had been offered, including the possibility of CO binding to bridge sites instead of a more linear M–CO configuration. In 1964 it was Blyholder, with the use of a Hückel Hamiltonian, who presented the first molecular orbital description that qualitatively described these trends.<sup>65</sup>

CO features a set of lone-pair electrons on both ends of the molecule that are available to bind with the d-electrons of a metal surface; the most favorable bonding configuration features a  $\sigma$ -bond between the metal center and the carbon atom. Blyholder found that the formation of the  $\sigma$ -bond puts a large negative charge on the carbon atom, which migrates into the anti-bonding  $2\pi^*$  orbitals of the CO. This in turns destabilizes the CO triple bond, resulting in the observed experimental IR frequency shifts.<sup>64–70</sup> This phenomena has been termed back-bonding and has been found to be a robust conceptual picture of CO bonding on metal surfaces from both a theoretical<sup>66,70–80</sup> and experimental perspective.<sup>66,76,79,81–87</sup> The back-bonding phenomena has been leveraged in the catalysis field for a variety of different molecules with low-lying  $\sigma^*$  and  $\pi^*$  anti-bonding orbitals,<sup>88–94</sup> and has even been found to explain how micro-organisms utilize CO naturally.<sup>95</sup>

Our question is now can we leverage prior work on metal catalysts and our understanding of back-bonding to choose catalysts for PFAS degradation? While prior work has been done on the degradation of fluorocarbons using metals (both heterogeneous<sup>4,96–99</sup> and homogeneous<sup>62,100–103</sup>), to the best of our knowledge a systematic study of transition metal catalysts for PFAS degradation has not been performed. Therefore, we hypothesize that metal surfaces are capable of cleaving C–F bonds in an energetically favorable fashion, and do so *via* a back-bonding type mechanism. To demonstrate our hypothesis, we utilized density functional theory to study the adsorption of a model PFAS molecule (perfluorobutanoic acid or PFBA) on 27 single crystal surfaces that span the entirety of the d-block (with the addition of lead). We find that PFAS molecules follow the same linear scaling relationship behavior that has been noted in  $\text{AH}_x$  (where  $\text{A} = \{\text{N}, \text{C}, \text{O}\}$ ) chemistry on these surfaces. Moreover, we also find that certain metals are capable of transforming the LUMO of PFAS into an orbital that has more of a singularly occupied molecular orbital (SOMO) nature. This indicates that metal catalysts can, in fact, not only degrade PFAS molecules but does so through a back-bonding mechanism.

## 2 Results and discussion

### 2.1 Electronic structure of PFAS

Recently, perfluorooctanoic acid (PFOA) had its lifetime exposure limits changed by several orders of magnitude as it has been determined to be more toxic than originally predicted.<sup>1</sup> However the size of PFOA makes it impractical for atomistic surface simulations as grid-based periodic DFT codes scale as  $\mathcal{O}(N_g N_b^2)$  (where  $N_g$  is number of grid points and  $N_b$  is number of bands/orbitals).<sup>104,105</sup> In our previous study<sup>51</sup> we utilized perfluorobutanoic acid (PFBA) as our representative PFAS as its short chain allows for more rigorous computational methods to be used and as several studies have demonstrated that at least 4 carbons are required to reproduce one of the key structural properties of PFAS: the helicity;<sup>106–113</sup> however since publishing that work one question we routinely receive is how well would our results transfer to longer chain PFAS molecules. Therefore in this section we examine several key electronic and molecular features of PFBA and compare them to PFOA and the simplest



fluorinated carboxylic acid (fluoroformic acid or FFA). While FFA was chosen on pedagogical grounds, it should be noted that it has been detected and characterized in the gas-phase.<sup>114</sup> These molecules are shown in Fig. 1. For purposes of this study, we focus on the  $C_\alpha$ -F bond as it has been shown that  $-C_\beta F_2-$  and  $-C_\omega F_3$  groups are harder to defluorinate.<sup>4,6</sup>

**2.1.1 Molecular orbitals and potential reactivity.** The first question we wish to address is if the highest occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO) that was noted in our earlier study retain their bonding/anti-bonding status, and if the HOMO-LUMO energy difference changes upon the addition of  $-C_\beta F_2-$  groups. In order to analyze the bonding/anti-bonding nature of these orbitals, we performed a crystal orbital overlap population (COOP) analysis; details on this method are given in Section 4.1. From Fig. 2 we can see that the HOMO and LUMO orbitals for FFA and PFBA are bonding and anti-bonding, respectively. However, as we move from a simple carboxylic acid (FFA) to a more complex PFAS molecule we find that the HOMO-LUMO gap decreases by  $\sim 1.6$  eV. Interestingly, as we move from PFBA to PFOA we see a very minor shift in HOMO-LUMO gap of  $\sim 0.2$  eV. Moreover, we see that the HOMO of PFOA becomes more non-bonding in nature when compared to PFBA or FFA. Additionally, we also note changes in the HOMO - 1 and LUMO + 1 orbitals. In particular, the energy difference between the HOMO - 1 and HOMO increases as we move along the sequence FFA  $\rightarrow$  PFBA  $\rightarrow$  PFOA. We also note that as we move from PFBA to PFOA the HOMO - 1 takes on a more anti-bonding nature. These energy differences would clearly have implications for the study of electronic excitations and their detection for these molecules.

In order to further probe these trends, we examine the COOP curves on a bond basis; these results are shown in Fig. S1-S3.<sup>†</sup> For FFA (Fig. S1<sup>†</sup>), we can see that the HOMO and LUMO carry the same overall trend for all the bonds, *i.e.*, they are all either bonding (HOMO) or anti-bonding (LUMO). We will note that



Fig. 1 PFAS molecules and their labeled carbon positions used in the current study.



(a) FFA



(b) PFBA



(c) PFOA

Fig. 2 Total density of states (DOS) and crystal orbital overlap population (COOP) curves for (a) FFA, (b) PFBA, and (c) PFOA. The energies are shifted by  $\epsilon_{\text{HOMO}}$ . Red dashed lines denote the location of the HOMO and LUMO energies. For the bond-specific COOP, see Fig. S1-S3.<sup>†</sup>

the majority of the bonding nature of the HOMO arises from the  $C_{\text{acid}}\text{-OH}$  and  $C_{\text{acid}}\text{=O}$  bonds. In Fig. S1,<sup>†</sup> we see that the majority of the bonding nature of the HOMO arises from the carbon backbone as the bonding peak from the  $\text{-C=O}$  moiety is nearly canceled by the anti-bonding nature of the  $\text{-OH}$  and  $\text{-C-O}$  bonds of the alcohol group. For the  $\text{C-F}$  bonds, we find that the  $\text{-C}_\omega\text{-}$  and  $\text{-C}_\beta\text{-F}$  bonds are very weakly bonding (almost non-bonding) and that the  $\text{C}_\alpha\text{-F}$  bonds are largely bonding in nature. With the exception of the  $\text{-O-H}$  bond, all the LUMO peaks are anti-bonding. In Fig. S3<sup>†</sup> we see that the non-bonding nature of



the HOMO for PFOA is not due to a “cancellation” of bonding/anti-bonding terms, but rather we find that this orbital is nearly non-bonding across all the bonds. For the LUMO, the anti-bonding nature of this orbital arises from the various  $-C-F$  and  $-C-C-$  bonds found in PFOA. The biggest contributors to the anti-bonding nature of the LUMO are found for the carboxylic acid group and the  $-C_{\alpha}-F_2$  group.

These observations can be verified by visual inspection of the HOMO and LUMO of FFA, PFBA, and PFOA as shown in Fig. S4–S6.† For all three molecules we observe an accumulation of the wavefunction on the carboxylic acid groups, with the  $-C_{\text{acid}}=O$  bond carrying the majority of the molecular orbital. As we move along the sequence  $FFA \rightarrow PFBA \rightarrow PFOA$  we find that the LUMO begins to accumulate on the  $C_{\alpha}-F$  bonds. Visual inspection of the LUMO for PFBA and PFOA (as well as the quantitative analysis from COOP) demonstrates that the nature of the HOMO and LUMO are relatively unchanged with the addition of more  $-CF_2-$  groups. Utilizing the frontier electron theory of Fukui,<sup>115,116</sup> we can hypothesize that the positive parts of the LUMO wavefunction (denoted by yellow regions Fig. S4–S6†) are available for donation of electrons from a catalyst. In particular, if we examine the molecular geometry we find a plane that encompasses the  $-C_{\alpha}-COOH$  end of the PFAS; such a plane could conceivably bind parallel to a flat metal surface, and thus expose the positive regions of the LUMO to the (nearly) free electrons of the surface.

To further quantify these results, we calculated atomic Fukui functions for FFA, PFBA, and PFOA according to Section 4.2. We present our results using Hirshfeld charges in Tables S1–S3† and for Bader charges in Tables S4–S6.† For FFA, we find that  $f^+$  is highest for the acidic hydrogen and the carbonyl oxygen has the highest  $f^-$ ; these results are in line with the HOMO/LUMO orbital pictures shown in Fig. S4† and discussed above (recall from Parr and Wang<sup>117</sup> that  $f^- \approx \rho_{\text{HOMO}}$  and  $f^+ \approx \rho_{\text{LUMO}}$ ). Comparison of Tables S1 and S4† reveals that these trends hold regardless of choice of charge distribution scheme. In regards to PFBA, we find  $f^-$  is highest for the carbonyl oxygen using Hirshfeld charges, and both the carbonyl and  $C_{\beta}$  carbons when employing Bader charges. While both schemes are in agreement with respect to the carbonyl, they differ in regards to the  $C_{\beta}$  with Bader charges indicating it would behave more as an electrophile. The  $f^+$  results show a shift from the acidic hydrogen atom to a  $F_{\alpha}$  atom using Hirshfeld and  $C_{\alpha}$  using Bader. Taken together, these results indicate that the  $-C_{\alpha}F_2-$  group would act as a nucleophile. The numerical values of the Fukui functions line up with what is expected from the molecular orbitals shown in Fig. S5;† the HOMO is distributed along the  $C_{\text{acid}}=O$  bond and the  $-C_{\alpha}F_2-$  group (in accordance with the  $f^-$  values), and the LUMO is distributed amongst the  $-COOH$  group and the  $C_{\beta}$  atom. Finally we consider the Fukui functions of PFOA (Tables S3 and S6†). We find that using a Hirshfeld charge distribution the  $f^+$  is highest for the  $C_{\text{acid}}$  and carbonyl oxygen atoms, and  $f^-$  is highest for the carbonyl oxygen. For the Bader charge distribution it is  $C_{\text{acid}}$  and  $C_{\alpha}$  for  $f^+$ , and for  $f^-$  it is the carbonyl oxygen. The Hirshfeld charges are rather puzzling in that it predicts a carbonyl oxygen (which carries an excess negative charge) should act more as a nucleophile; however the

Fukui functions predict that it acts as both a nucleophile and an electrophile. The HOMO and LUMO shown in Fig. S6† are in agreement with the numerical Fukui functions. Thus while the molecular orbitals in Fig. S5 and S6† are visually similar, the Fukui functions indicate that for PFBA the  $-C_{\alpha}F_2-$  group would be more chemically active whilst for PFOA it would be the  $-COOH$  group.

Before turning towards the examination of the molecular vibrations, we wish to touch upon the changes in the  $C_{\alpha}-F$  bond with respect to the removal or addition of an electron. These bond lengths can be found in Table 1. In the neutral form, the  $C-F$  bond changes on the  $\mathcal{O}(10^{-3})$  Å as we move from  $FFA \rightarrow PFBA \rightarrow PFOA$  sequence; however, addition of an electron results in this distance increasing for PFBA and PFOA, with FFA having only a minor change in length. We found that the addition of an electron ( $N + 1$  column in Table 1) results in a partial dissociation of the  $C_{\alpha}-F$  bond for PFBA with a  $\sim 41\%$  increase in bond distance. The change for PFOA is more modest, being  $\sim 3\%$ . With the removal of an electron (the  $N - 1$  column in Table 1), we find that FFA contracts its  $C-F$  bond by  $\sim 6.5\%$ , and PFBA/PFOA contracting their  $C_{\alpha}-F$  bond by  $\sim 4\%$ . Taking the above discussions and combining it with the observed topological changes in our PFAS molecules indicates that back-bonding mechanism wherein a catalyst gives up electron charge density to the PFAS would result in a weaker  $C-F$  bond by virtue of filling the unoccupied  $\sigma^*$  LUMO. In contrast, our results also indicate that removal of an electron from the PFAS will result in a contraction and a subsequent strengthening of the  $C-F$  bond owing to the anti-bonding nature of the HOMO around this bond (as shown in Fig. 2 and S1–S3†).

### 2.1.2 Molecular vibrations and predicted infrared spectra.

As a final point of comparison, we investigated the infrared spectrum of FFA, PFBA, and PFOA in order to understand how the addition of  $-CF_2-$  groups influences the molecular vibrations. These vibrations are not only useful for detection, but also for the calculation of thermodynamic properties<sup>118,119</sup> and as such can provide a check on using PFBA as a model for PFOA. The resulting spectra are shown in Fig. 3, with individual frequencies and mode assignments given in Tables S8–S10.† Mode assignments were made *via* the visualization of atom position by the eigenmodes using a scaling temperature of 900 K. We report and discuss the major vibrational modes here.

For FFA, we found that the  $C-F$  stretching mode is located at  $950 \text{ cm}^{-1}$ . As we add  $-CF_2-$  groups to form PFBA, this frequency shifts to  $\sim 1062 \text{ cm}^{-1}$  for a  $C_{\beta}-F$  stretch, and  $\sim 1062 \text{ cm}^{-1}$  and  $\sim 1091 \text{ cm}^{-1}$  for a  $C_{\alpha}-I$  stretch. The  $C_{\omega}F_3$  vibrations can be

**Table 1** Changes in  $C_{\alpha}-F$  bond length as a function of electron addition/removal (units in Å)

PFAS	Neutral	$N + 1$	$N - 1$
FFA	1.382	1.386	1.291
PFBA	1.384	1.955 <sup>a</sup>	1.335
PFOA	1.383	1.428	1.355

<sup>a</sup> The  $C-F$  bond is essentially dissociated at this point.





Fig. 3 Calculated infrared vibrational spectra for FFA, PFBA, and PFOA in the gas-phase. The red dashed vertical lines denote locations of the C–F stretch (FFA) and the location of the  $-C_{\alpha}F_2-$  stretches (PFBA and PFOA). Numerical data and mode assignments are in Tables S8–S10.†

found at  $\sim 726\text{ cm}^{-1}$ ,  $\sim 808\text{ cm}^{-1}$ , and  $\sim 1158\text{ cm}^{-1}$ . Moving to PFOA, we found that the major  $C_{\alpha}$ -F stretches were located at  $\sim 1062\text{ cm}^{-1}$  and  $\sim 1075\text{ cm}^{-1}$ , and the  $C_{\beta}$ -F stretches at  $\sim 1075\text{ cm}^{-1}$ ,  $\sim 1090\text{ cm}^{-1}$ ,  $\sim 1100\text{ cm}^{-1}$ ,  $\sim 1114\text{ cm}^{-1}$ ,  $\sim 1127\text{ cm}^{-1}$ ,  $\sim 1135\text{ cm}^{-1}$ ,  $\sim 1141\text{ cm}^{-1}$ . Finally, for  $C_{\omega}F_3$  the major stretches are at  $\sim 1119\text{ cm}^{-1}$ ,  $\sim 1135\text{ cm}^{-1}$ , and  $\sim 1157\text{ cm}^{-1}$ . Our results indicate that while FFA is too small of a molecule to accurately represent a PFAS molecule, PFBA is capturing the salient vibrational features of PFOA (albeit with some minor shifts in the frequencies).

Visually these differences can be seen in Fig. 3 where we plot the predicted infrared spectra of FFA, PFBA, and PFOA; here, we highlight the vibrational frequencies of the  $-C_{\alpha}F_2-$  moiety as that is the major group we are looking to catalyze. As one would expect, the predicted spectra gets more dense as the molecular complexity increases. However, examination of Fig. 3 using the mode assignments in Tables S9 and S10† highlights a problem with using infrared spectra as an means for identification; namely, the C–F frequencies are located in the regions typically assigned to C–C backbone vibrational modes with the predicted intensities for the C–F modes being much less than the carbon backbone intensities. While we can conclude that the addition of  $-CF_2-$  groups does not affect the fundamental C–F vibrations, adding onto the carbon backbone would result in the C–F modes being overtaken by the backbone vibrational modes.

Our conclusions can be backed up by prior experiments. For example, in studying PFAS complexing with an iron-based organometallic Fulong *et al.*<sup>120</sup> noted that the C–F modes are buried within the regular bands. This is supported by the FT-IR experiments by Yu *et al.*<sup>121</sup> who noted that while they studied PFAS complexes, they could not locate the C–F bands. Tatsuno and Ando,<sup>122</sup> in contrast, found for linear  $C_nF_{2n+2}$  molecules a  $-CF_2-$  and  $F_3C$  mode at  $\sim 1153\text{ cm}^{-1}$  and  $\sim 1240\text{ cm}^{-1}$ , in good agreement with our results (taking into consideration limitations of DFT). Recently, there have been attempts to establish databases of infrared spectra for PFAS molecules, and while

currently limited to a few PFAS, they have shown that as one increases the size of the PFAS molecule, the salient features of the spectra remain largely unchanged.<sup>123–125</sup> However, these databases do not report mode assignments, so a comparison of our vibrational modes to these studies is not possible. Conversely, there has been a historical interest in fluorinated amorphous carbon films, and while these materials are more heterogeneous than PFAS the modes reported in these studies line up with what we and others have reported.<sup>126–133</sup>

In this section, we have compared several techniques to evaluate the electronic structure of FFA, PFBA, and PFOA. Examination of the bonding/anti-bonding nature of the molecular orbitals, the location of the HOMO and LUMO, calculation of Fukui functions, and by scrutinizing the molecular vibrations we conclude that PFBA is indeed a good computational model for PFOA and predictions made for PFBA can be also be broadly applied to PFOA.

## 2.2 PFAS on metal surfaces

Having discussed the electronic structure of FFA, PFBA, and PFOA, we turn our attention to how PFBA interacts with transition metal surfaces. We explore several descriptors for characterizing this interaction as outlined in Section 4.3. In the following discussion, we only consider the protonated form of PFBA. This is due to two key reasons; for the first we are interested in PFAS degradation in the limit of bulk PFAS and as such the carboxylic acid groups would remain protonated. This also means that we do not consider any solvent, either explicitly or implicitly, as PFAS molecules typically have a low dielectric constant,<sup>2</sup> which is unlikely to impact reaction energies and trends.<sup>134</sup> Secondly as the electrostatic potential with a charged two-dimensional surface diverges,<sup>135</sup> it would be necessary to include a surface bound hydrogen atom to counter the charge; however this would introduce an additional perturbation in our study that potentially could obfuscate our results *via* a three-body effect. Thus, PFBA remains in its neutral molecular state in the following discussion. Finally, we wish to note on the choice of metal surfaces. Currently there exists no consensus on which catalytic material is appropriate for C–F bond breaking in PFAS degradation. Thus, one of the goals of this study is to fill this gap and through the application of DFT identify possible candidates and provide a rationale based on quantum chemical principals. As such, we have chosen to study the entirety of the d-block transition metals in order to achieve this goal.

**2.2.1 Linear scaling relationships.** We found two principal binding modes for PFBA on the metal surfaces: a “flat” mode wherein the plane of the carbon backbone is parallel to the surface and the plane of the  $-COOH$  group is nearly perpendicular to the surface plane, and a “carbo” mode wherein the plane of the  $-COOH$  is parallel to surface, and the carbon backbone is tilted away from the normal of the surface. These modes are shown in Fig. S34–S60† and numerical values given in Table S11.† We plot the binding energy of a fluorine atom ( $E_{BE}^F$ ) versus the PFBA binding energies ( $E_{BE}^{PFBA}$ ) in Fig. 4 as the binding energy for a reactive species can be correlated with the binding energy of their atomic constituents.<sup>136,137</sup>







Fig. 6  $\Delta E_{\text{rxn}}^{\text{PFBA-F}}$  vs. fluorine binding for the removal of a  $-F$  from a  $C_{\alpha}$  carbon on transition metal surfaces. The black dashed line represents a linear fit to the data for the carbo binding mode ( $\Delta E_{\text{rxn}}^{\text{PFBA-F}} = 0.95E_{\text{BE}}^{-F} + 3.09$ ,  $R^2 = 0.92$ ). The red dashed line represents a linear fit to the flat binding mode ( $\Delta E_{\text{rxn}}^{\text{PFBA-F}} = 1.59E_{\text{BE}}^{-F} + 6.98$ ,  $R^2 = 0.67$ ).

**2.2.2 Back donation to PFAS.** In our introduction, we presented a high-level overview of the Blyholder back-bonding model and its application to problems in surface science, and presented the hypothesis that transition metal catalysts can be utilized for the degradation of PFAS through such a mechanism. Here, we turn to this hypothesis and present evidence that it is true. As previously discussed, one of the key pieces of evidence for the back-bonding phenomenon is shifts in the infrared frequencies.<sup>64–70</sup> We first examine the shifts in the  $C_{\alpha}$ -F vibrational frequency as defined in eqn (7) and plot these values versus the  $E_{\text{BE}}^{\text{PFBA}}$  in Fig. 7a and  $\Delta E_{\text{rxn}}^{\text{PFBA-F}}$  in Fig. 7b.

From Fig. 7a we can see that as we increase the PFBA binding strength (*i.e.* the more negative  $E_{\text{BE}}^{\text{PFBA}}$  is) we see a greater increase in the red shift of the  $C_{\alpha}$ -F stretching frequency (*i.e.*, a more negative  $\Delta\nu_{C_{\alpha}-F}$ ). This indicates that as we adsorb PFBA

onto our metal surfaces, the  $C_{\alpha}$ -F bond increases in length and therefore becomes weaker. In a similar fashion, we can see a similar trend when we consider  $\Delta E_{\text{rxn}}^{\text{PFBA-F}}$  in Fig. 7b; as our reaction becomes more exothermic we observe an increasing red shift in the  $C_{\alpha}$ -F stretch frequency. The link between the observed red-shifts and the bond lengths are demonstrated by comparing Tables S11 and S13;† from here we can see that a more negative  $\Delta\nu_{C_{\alpha}-F}$  does in fact relate to an increase in the bond length. This lends further support that we are weakening the  $C_{\alpha}$ -F bond.

Further support of our hypothesis can be achieved by examination of the density-of-states of PFBA and the PFBA/metal complex. In addition to the standard partial density-of-states (where we localize the wavefunction onto atom centers and weight the total density-of-states by the resulting atomistic wavefunction coefficients), we also consider a method whereby we use the projectors available to us from the PAW method<sup>163</sup> to project molecular orbitals of PFBA onto the total density-of-states.<sup>164</sup> This allows us to determine exactly how the surface band structure influences the bonding environment of the PFBA. We present the results of such a projection in Fig. S7–S33† alongside the sp- and d-PDOS of the metal surface. A final note before discussing our results, while this method allows for all the molecular orbitals of an adsorbate to be available to do the projection, we only consider the two orbitals that comprise the HOMO and LUMO of the gas-phase PFBA.

The first thing of note is the change in the PFBA HOMO–LUMO gap for the various metals. For example, for the fcc metals we find that the average HOMO–LUMO gap is 4.73 eV, with a standard deviation of 0.42 eV. For gas-phase PFBA the HOMO–LUMO gap is 4.88 eV, which lines up with the values reported for the fcc metals and is in good agreement with the weak binding energies these metals display. For the bcc metals, we find an average HOMO–LUMO gap of 1.53 eV with a standard deviation of 0.27 eV. Finally for hcp metals, we again see a bifurcation of the results; for those metals to the left of Re we get an average gap of 1.34 with a standard deviation of 0.21 eV



Fig. 7  $C_{\alpha}$ -F vibrational shifts ( $\Delta\nu_{C_{\alpha}-F}$ ) vs. (a) PFBA binding ( $E_{\text{BE}}^{\text{PFBA}}$ ) and (b)  $\Delta E_{\text{rxn}}^{\text{PFBA-F}}$  on transition metal surfaces. The black dashed line represents a linear fit to the data for the carbo binding mode: (a)  $\Delta\nu_{C_{\alpha}-F} = 28.86E_{\text{BE}}^{\text{PFBA}} + 58.64$ ,  $R^2 = 0.73$  and (b)  $\Delta\nu_{C_{\alpha}-F} = 55.21\Delta E_{\text{rxn}}^{\text{PFBA-F}} - 2.53$ ,  $R^2 = 0.82$ . No stable solution exists for the linear regression of the flat binding mode.



and for the metals to the right of Re the gap is 5.15 eV with a standard deviation of 0.25 eV. Re itself has a gap of 1.49 eV.

If we take PFBA and add an electron, then we get a singly occupied molecular orbital (SOMO) with two energies for the SOMO−1 (*i.e.*, our old HOMO) leading to two gap energies of 2.44 eV for the  $\alpha$ -spin and 2.24 eV for the  $\beta$ -spin. These gaps are in line with the HOMO–LUMO gaps given by the molecular orbital PDOS method for the bcc and half of the hcp metals, indicating that PFBA's LUMO is transformed into a SOMO. Further evidence for this can be given by examination of the charge density differences shown in Fig. S88–S114.† It is clear from these figures that for metals that lower the energy of the PFBA LUMO there is a large concentration of negative charge on the C $\alpha$ –F bond, which lends further credence to the notion that the PFBA LUMO is transformed into a SOMO. We believe that this is evidence that the C–F bonds in PFAS molecules can be degraded *via* a back-donation mechanism.

### 3 Conclusions

In the current study, we built upon our earlier work on PFAS degradation that revealed a low-lying  $\sigma^*$  anti-bonding orbital associated with PFAS molecules. Our work utilizes a four-carbon chain PFAS (perfluorobutanoic acid, PFBA) as a representative PFAS molecule; in order to ensure this is an appropriate model, a comparison between fluoroformic acid (FFA) and perfluorooctanoic acid (PFOA) was carried out to examine how the electronic structure of perfluoro-carbons is affected by the inclusion of additional –CF<sub>2</sub>– and –CH<sub>3</sub> groups. Examination of the molecular orbitals, Fukui functions, and vibrational spectra reveal that while there are differences between the three molecules PFBA is indeed a good model for longer chain perfluoro-carbons, such as PFOA.

Having established this, we next examined the binding and reaction energies for PFBA interacting on a set of transition metal catalysts. The primary goal was to see if metal catalysts can partake in the Blyholder back-bonding mechanism, wherein electrons from the metal back-fill an anti-bonding orbital of an adsorbate. We first established that linear scaling relationships, a key tool in understanding catalytic behavior and the prediction of its behavior, exist for PFBA on metal surfaces. Using the binding energy, reaction energy, and vibration shifts as descriptors we are able to demonstrate that there is a clear correlation between these three indicating that PFBA follows a similar chemistry that has been previously established for hydrocarbons.

As for evidence of the Blyholder back-bonding mechanism, we employed a molecular orbital PDOS technique that allows us to see how the molecular orbitals of an adsorbate behave upon adsorption. We find that for metals that feature a strong binding energy (and consequently a more exothermic reaction energy and large red-shifts in the vibrational modes) the PFBA LUMO takes on a character that is similar to a SOMO. Moreover, the HOMO–LUMO gaps for PFBA on the metal surfaces are in-line with a negatively charged gas-phase PFBA. Finally, charge density differences show for these metals a large accumulation

of negative charge around the C $\alpha$ –F bond which lends further credence to our back-bonding hypothesis.

Thus, we conclude that PFBA does participate in a back-bonding mechanism which can be leveraged to design better PFAS degradation catalysts from a more rational, *ab initio* standpoint. As a final note, comparison of our linear scaling relationships indicates that iron would be an ideal candidate for the further study of PFAS degradation.

### 4 Computational methodology

Periodic density functional theory (DFT) calculations were carried out with GPAW<sup>165</sup> and the Atomic Simulation Environment (ASE).<sup>166</sup> The optPBE-vdW exchange-correlation functional was used for the valence electrons<sup>167,168</sup> with the core electrons being treated with the projector augmented wavefunction (PAW) method.<sup>163,169,170</sup> The optPBE-vdW functional is a generalized gradient approximation (GGA)-based functional, with the electron correlation being represented *via* a nonlocal kernel that accounts for interactions between two electron density points. In treating the electron correlation in such a fashion, weak interactions are picked up and thus optPBE-vdW is desirable for studying surface interactions.<sup>167,168</sup> Fermi level discontinuities were with the Fermi–Dirac smearing method with a smearing parameter of 0.15 eV. Total energies were self-consistently minimized to 10<sup>−6</sup> eV with the Davidson method.<sup>171</sup>

Surface calculations employed a three-step optimization process in order to best utilize our computational resources. In the first step, the initial degrees of freedom were optimized with a linear-combination of atomic orbital (LCAO) double- $\zeta$  basis set.<sup>172</sup> Once the forces were converged to 0.05 eV Å<sup>−1</sup>, we did two optimization calculations utilizing a finite-difference basis set<sup>169,173</sup> with a grid spacing of 0.2 Å (which corresponds to a kinetic energy cutoff of  $\sim$ 900 eV (ref. 173)). In the first finite-difference optimization, we only considered the  $\Gamma$ -point; the subsequent optimization used a (3 × 3 × 1) Monkhorst–Pack  $k$ -point mesh.<sup>174</sup> As both basis set types allow for the arbitrary setting of periodic boundary conditions, we only applied the periodic boundary in the  $x$ - and  $y$ -directions (which allows us to utilize only a single  $k$ -point in the  $z$ -direction). Both finite-difference optimizations were done to a force-threshold of 0.05 eV Å<sup>−1</sup>. The majority of the optimizations were done with the ASE implementation of the limited memory Broyden–Fletcher–Goldfarb–Shanno (LBFGS) method<sup>175</sup> (a comparison between BFGS and LBFGS reveals no difference in geometry and energy for PFBA/V(110), and therefore we determine LBFGS to be sufficient). However, a few calculations revealed instabilities with the LBFGS optimizer; for these cases the fast inertial relaxation engine (FIRE)<sup>176</sup> was used. The reason for utilizing such a successive optimization scheme is thus: the LCAO method, while capable of producing accurate geometries, suffers from basis set superposition errors (BSSE). While correction schemes exist for overcoming BSSE,<sup>177</sup> the finite-difference method does not suffer from that limitation and is ideal for the calculation of final energies. However, it should be noted that the LCAO method is, in our experience,  $\sim$ 6× faster than finite-difference, which reduces computational overhead.



Similarly, finite-difference at the  $\Gamma$ -point is roughly  $5\times$  faster than performing it with the  $3 \times 3 \times 1$   $k$ -point grid (once one accounts for the symmetry of the irreducible Brillouin zone). Thus the successive optimization method outlined above allows us to conserve computational resources and enables the performance of the high-throughput calculations required by the current study efficiently.

We considered the full d-block of metals (with the exception of mercury as it does not exist as a solid at room temperature), and took the lowest energy surfaces for each crystal structure. For the face-centered cubic (FCC) metals this is the (111) surface, for the body-centered cubic (BCC) metals this is the (110), and for the hexagonal-closed pack (HCP) metals it is the (0001) surface. Bulk unit cell parameters were generated using the above procedure with the exception of the  $k$ -point mesh and choice of basis set. Unit cells were optimized using a plane-wave basis set of 600 eV and a  $k$ -point mesh of  $(9 \times 9 \times 9)$ . Surfaces were constructed using 4 layers and a  $p(5 \times 5)$  repetition.

Vibrations were calculated *via* the construction of a numerical Hessian as implemented in ASE. As the finite-difference calculations with a  $(3 \times 3 \times 1)$  Monkhorst-Pack  $k$ -point mesh are quite demanding, we opted to calculate the vibrations at the  $\Gamma$ -point only using the geometry at the  $(3 \times 3 \times 1)$   $k$ -point mesh. In order to ascertain the errors associated with this approximation, we calculated the C-F stretch frequency of FFA adsorbed onto the V(111) surface for a variety of methods and geometries in Table S7.† Overall, we found that our method works quite well with an error of  $0.01 \text{ cm}^{-1}$  in the stretching frequency. Infrared intensities were calculated using the method of Porezag and Pederson.<sup>178</sup>

#### 4.1 Molecular orbitals

For the analysis of our molecular orbitals we utilized the crystal orbital overlap population (COOP)<sup>179</sup> method as it has proven invaluable in our previous research.<sup>51,180–182</sup> Here, we take the density of states (DOS) and weigh it with a Mullikan factor,

$$\text{Total COOP}(\varepsilon) = 2 \sum_i \sum_{j \neq i} \sum_{\mathbf{n}} \sum_{\mathbf{k}} c_i^*(n, k) c_j(n, k) S_{ij} \delta(\varepsilon - \varepsilon_n(\mathbf{k})), \quad (1)$$

where  $c_{ij}(n, k)$  are the coefficients of atom  $ij$  for band/orbital  $n$  and  $k$ -point  $k$ ,  $S_{ij}$  is the overlap matrix between atoms  $i$  and  $j$ , and  $\delta(\varepsilon - \varepsilon_n(\mathbf{k}))$  is the density of states in the delta function formalism. For a molecular system, the DOS is simply the orbital energies from our DFT with a peak height corresponding to the occupancy of that orbital. Moreover, by definition, there would only be a singular  $k$ -point. When comparing the COOP peaks to the DOS, the sign of the COOP peaks are interpreted as follows: negative peak is anti-bonding, positive is bonding, and a zero peak is non-bonding.<sup>179</sup> For ease of visualization the plots in Fig. 2 and S1–S3† were normalized such the highest peak in the interval  $-5 \text{ eV} \leq E - \varepsilon_{\text{HOMO}} \leq 0 \text{ eV}$  is equal to 1, and for the unoccupied orbitals if the COOP is below  $-1$ , we set those values equal to  $-1$ . The wave-function coefficients and overlaps in eqn (1) were taken from a GPAW calculation using the optPBE-vdW exchange-correlation functional and a numerical

double- $\zeta$  plus polarization (dzp) basis set. Core regions were represented with the PAW formulation. Due to the use of a LCAO basis set the unoccupied orbitals are more weighted than the occupied; however as we are comparing locations of peaks and not their magnitude, this issue is trivial for the current study.

#### 4.2 Fukui functions

Frontier molecular orbital theory<sup>115,116</sup> was additionally utilized using Parr and Wang's DFT formulation,<sup>117</sup>

$$f^+(r) = \left( \frac{\partial \rho(r)}{\partial N} \right)_{v(r)}^+ \approx \rho_{N+1} - \rho_N, \quad (2a)$$

$$f^-(r) = \left( \frac{\partial \rho(r)}{\partial N} \right)_{v(r)}^- \approx \rho_N - \rho_{N-1}, \quad (2b)$$

$$f^0(r) = \frac{1}{2} [f^+(r) + f^-(r)] \approx \frac{1}{2} [\rho_{N+1} - \rho_{N-1}] \quad (2c)$$

where  $N$  is the number of electrons,  $\rho$  is the electronic density from DFT, and  $v(r)$  is an external potential (if applicable). As  $f^+(r)$  increases, so does the molecules susceptibility to nucleophilic attack. Similarly, as  $f^-(r)$  increases so does the molecules susceptibility for electrophilic attack. The average of the two denotes the susceptibility to radical attack.<sup>117</sup> If we partition the electronic density, we can assign atomic charges to each atom center; application of eqn (2) to these atomistic charges gives us atomic Fukui functions.<sup>183–187</sup> Thus for atom A, we can define  $f_A^{\pm/0}$  as,

$$f_A^+(r) = n_A(N+1) - n_A(N), \quad (3a)$$

$$f_A^-(r) = n_A(N) - n_A(N-1), \quad (3b)$$

$$f_A^0(r) = \frac{1}{2} [n_A(N+1) - n_A(N-1)], \quad (3c)$$

where  $n_A$  is the “number of electrons” for atom A. Following Ayers *et al.*,<sup>186</sup> we can utilize condensed atomic charges, wherein  $q_A = Z_A - n_A$  and as a consequence eqn (3a) and (3b) becomes,

$$f_A^+(r) = q_A(N) - q_A(N+1), \quad (4a)$$

$$f_A^-(r) = q_A(N-1) - q_A(N). \quad (4b)$$

As  $f_A^0$  is simply the mean between  $f_A^+$  and  $f_A^-$  it is unchanged with the adoption of condensed atomic charges. While a variety of charge partitioning schemes can be used for the calculation of eqn (2) and (3), we chose to utilize the Hirshfeld partitioning scheme<sup>188</sup> as implemented in GPAW and the Bader atoms-in-molecules approach<sup>189,190</sup> as implemented by Henkelman and coworkers.<sup>191–193</sup> The required electronic densities were calculated using the optPBE-vdW functional and a grid-based basis set with a grid spacing of  $0.2 \text{ \AA}$ . However, we found that the default initial guess used in GPAW resulted in non-converging self-consistent fields (SCF); to circumvent this we generated a triple- $\zeta$  plus polarization (tzp) basis set using GPAW's basis set generation tools. Initial orbitals and virtual space were then



generated from this tzp basis set. The molecular geometries of the charged FFA, PFBA, and PFOA were then fully relaxed, Hirshfeld/Bader charges calculated, and numerical derivatives for the atomic Fukui functions were then taken.

### 4.3 Reactivity descriptors

Researchers in heterogeneous catalysis use a variety of descriptors to describe reactions at surfaces. These studies have been successful in relating reaction energies to activation and reaction energies,<sup>139,141,146,148,154,194–204</sup> utilization of density-of-states properties,<sup>137,143,194,205–216</sup> coordination number between the catalyst and reactants,<sup>145,214,217–219</sup> the binding energy of the adsorbates with the catalyst surface,<sup>24,136–138,140,142–145,182,220–225</sup> and molecular vibrations.<sup>223,226–230</sup> Intrinsic to these descriptors is the chemical phenomena of charge transfer<sup>230–236</sup>—either from the catalyst (in the case of metals) or from the adsorbate (in the case of oxides). For example, Dunnington and Schmidt have shown using a periodic formulation of the natural bond orbital analysis that contact with a metal surface results in the partial occupancy of adsorbate anti-bonding orbitals.<sup>231</sup> A recent study by Jia *et al.*<sup>230</sup> have demonstrated a correlation between charge transfer, binding energies, and activation energies on metal surfaces. These descriptor based techniques has even been applied to machine learning approaches for the prediction of catalytic activity.<sup>237–240</sup>

In the current study we consider three descriptors: the binding energy of PFBA to a metal surface ( $E_{BE}$ ), the reaction energy ( $\Delta E_{rxn}$ ) of removing a  $-F$  from the  $C_{\alpha}$  of PFBA, and the vibrational shift of the  $C_{\alpha}-F$  bond as compared to the gas-phase ( $\Delta\nu_{C_{\alpha}-F}$ ). We define  $E_{BE}$  as,

$$E_{BE} = E_{PFBA+M}^{DFT} - E_M^{DFT} - E_{PFBA}^{DFT}, \quad (5)$$

where  $E^{DFT}$  is the electronic DFT energy from GPAW, and  $M$  denotes the metal surface. With our sign convention, a negative binding energy denotes a favorable interaction between an adsorbate and the metal surface. For  $\Delta E_{rxn}$  we use

$$\Delta E_{rxn} = E_{final\ state}^{DFT} - E_{initial\ state}^{DFT}, \quad (6)$$

where  $E^{DFT}$  denotes the electronic energy from our DFT calculations. With our sign convention an exothermic reaction is one with a negative  $\Delta E_{rxn}$ . Finally,  $\Delta\nu_{C_{\alpha}-F}$  is defined as,

$$\Delta\nu_{C_{\alpha}-F} = \nu_{C_{\alpha}-F}^{Bound} - \nu_{C_{\alpha}-F}^{Gas-phase}, \quad (7)$$

where  $\nu_{C_{\alpha}-F}$  denotes the  $C_{\alpha}-F$  vibrational frequency as calculated using a numerical Hessian as implemented in ASE. Bound refers to the state of PFBA adsorbed onto the metal surface. The sign convention in eqn (7) denotes that a negative  $\Delta\nu_{C_{\alpha}-F}$  corresponds to a redshift, or a decrease, in the infrared spectra. This redshift corresponds to a longer bond length, and thus a weaker bond.

## Author contributions

Dr Glen R. Jenness: conceptualization, methodology, software, investigation, formal analysis, writing-original draft, writing-

review & editing; Dr Manoj K. Shukla: conceptualization, methodology, writing-review & editing, supervision, projection administration, funding acquisition.

## Conflicts of interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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