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Enhancement of triplet-sensitized upconversion in rigid polymers *via* singlet exciton sink approach†

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To increase the practical usefulness of solid-state sensitized upconversion (UC) materials as components of solar energy harvesting systems, it is important to identify and suppress loss mechanisms, and increase the UC quantum yield (Φ_{UC}). Here we focus on a benchmark UC system consisting of the emitter 9,10-diphenylanthracene (DPA) and the sensitizer platinum octaethylporphyrin (PtOEP) in a rigid poly(methyl methacrylate) (PMMA) matrix, and show that one of the major losses originates from Förster resonant energy transfer (FRET) from DPA back to PtOEP. Even though DPA emission lies within the PtOEP transparency window, the quantitative assessment of singlet exciton diffusion for samples with a high DPA content evidences that long-range FRET results in effective exciton trapping by PtOEP. A dramatic factor-of-6 reduction of the DPA emission quantum yield occurs even at PtOEP concentrations as low as 0.05 wt%. To alleviate this problem, we demonstrate a new concept based on the introduction of highly emissive sink sites to trap the singlet excitons produced upon annihilation prior to their quenching by the sensitizer. For DPA/PtOEP blends in PMMA, 1,6-bis-[2,5-di(dodecyloxyphenyl)ethynyl]pyrene is shown to be a useful sink, which results in 1.5-fold increase of the Φ_{UC} . A maximum Φ_{UC} of 2.7% was achieved, which is among the highest reported values for rigid sensitized UC polymers.

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Introduction

enhanced nonradiative decay of triplet emitter excitons was implicated as the dominant quenching mechanism. Another significant loss channel, which becomes increasingly important at high chromophore loadings (which are needed in rigid matrices to enable exciton diffusion), is related to back-transfer of upconverted emitter singlets to the sensitizer (ESI Fig. S1†).^{10,25,28–31} These losses are particularly severe in the case of recently introduced sensitizers such as semiconductor nanocrystals,¹⁰ thermally activated delayed fluorescence compounds,^{32,33} and direct triplet absorbers,³⁴ which all feature broad absorption bands and lack a significant window of transparency.²⁵ Obviously, long-range Förster resonant energy back-transfer and the diffusion of generated singlet excitons need to be properly managed to avoid diffusion-assisted trapping by the sensitizer and maximize Φ_{UC} .

To this end, detrimental Förster resonant energy transfer (FRET) from the emitter to the sensitizer was systematically investigated in a rigid poly(methyl methacrylate) (PMMA, $T_g \approx 105^\circ\text{C}$) matrix by evaluating the singlet exciton diffusion in the presence and absence of sensitizer. Platinum octaethylporphyrin (PtOEP) and 9,10-diphenylanthracene (DPA) were chosen as a model sensitizer–emitter pair for this investigation. Upconverting films were melt-processed,³⁵ to ensure homogeneous DPA distribution at high concentration and high Φ_{UC} .²⁰ The singlet exciton diffusion was quantified *via* a time-resolved photoluminescence bulk-quenching technique.³⁶ Finally, a singlet exciton sink approach was tested to circumvent the FRET issue and enhance UC efficiency by trapping singlet excitons, at intentionally introduced, highly emissive sink sites, before they reached the sensitizer.

Results and discussion

Impact of FRET

Fig. 1a compares the fluorescence quantum yield (Φ_{FL}) of DPA/PMMA and DPA/PtOEP/PMMA films and demonstrates the fluorescence quenching of DPA as a result of FRET to PtOEP. Φ_{FL} was measured by directly exciting DPA at $\lambda_{ex} = 405\text{ nm}$. The

minute PtOEP content in DPA/PtOEP/PMMA films (several hundred times smaller than that of DPA) and the low extinction coefficient of PtOEP at $\lambda_{ex} = 405\text{ nm}$ ensured that sensitizer absorption was negligible. In the absence of sensitizer, Φ_{FL} of DPA was found to be as high as $\sim 88\%$, decreasing down to 57% with increasing DPA concentration, due to self-quenching (Fig. 1a).²⁰ In the presence of PtOEP, Φ_{FL} of DPA was reduced to $\sim 15\%$, independently of the DPA content. The markedly reduced Φ_{FL} of DPA clearly demonstrates considerable fluorescence quenching by the sensitizer, despite a concentration 300–800 times smaller than that of DPA (15–40 wt%). The quenching effect of the sensitizer is also reflected in fluorescence transients of DPA, which show accelerated decay and a significant drop in fluorescence lifetime from ~ 9 to 3 ns upon incorporation of PtOEP (ESI Fig. S2†). D

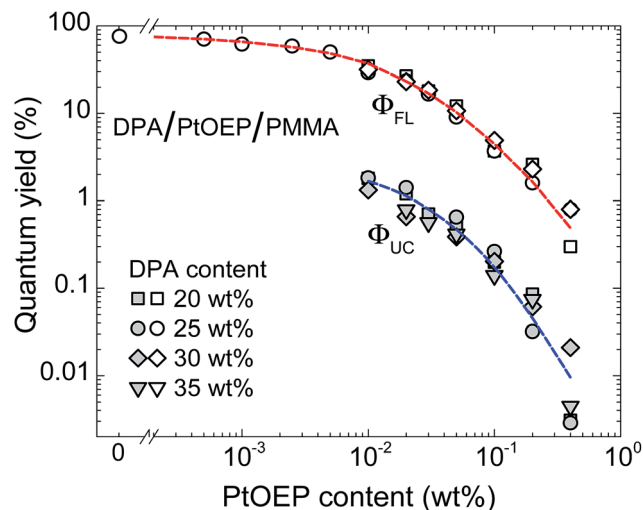


Fig. 2 Fluorescence (open symbols) and UC emission (filled symbols) quantum yield of DPA/PtOEP/PMMA films as a function of PtOEP concentration at different DPA concentrations (20, 25, 30 and 35 wt%). The excitation wavelengths for fluorescence and upconversion were $\lambda_{\text{ex,FL}} = 405$ and $\lambda_{\text{ex,UC}} = 532$ nm, respectively. Lines are guides to the eye.

PtOEP content was increased to above 0.4 wt%. Interestingly, the Φ_{UC} follows a similar trend, suggesting that the same emission quenching mechanism is at play, *i.e.* FRET from DPA to the PtOEP. Note however that a significant Φ_{UC} (up to 1.8%) could be achieved by reducing the sensitizer concentration to 0.01 wt%. Unfortunately, a further reduction of the PtOEP content led to very low light absorption, and consequently a weak UC signal, making the Φ_{UC} measurements unreliable. We stress that in all our experiments both Φ_{FL} and Φ_{UC} are defined in the same way, *i.e.* as the number of photons emitted per number of photons absorbed. Therefore, while for fluorescence maximal Φ_{FL} is 100%, for UC theoretically attainable maximal Φ_{UC} is only 50% (as TTA-UC process requires two absorbed photons per one emitted).²⁵

To rule out the influence of possible sensitizer aggregation, the PtOEP phosphorescence quantum yield (Φ_{ph}) was measured as a function of its concentration in PMMA (ESI Fig. S4†). Φ_{ph} remained indeed constant up to a PtOEP content of 0.3 wt%, where we surmise aggregation starts to play a role. This finding indicates the absence of PtOEP aggregation in our films and points to FRET as the prime reason for emission quenching.

To quantify the FRET process, the singlet exciton diffusion length (L_{D}) in the upconverting films was evaluated and compared to the average distance needed for the upconverted singlets to reach sensitizer molecules. In the DPA/PtOEP/PMMA films containing 0.05 wt% of PtOEP and 25 wt% of DPA, the average distance (d) between PtOEP molecules is estimated to be ~ 14 nm (for a homogeneously dispersed film). Assuming that singlet excitons are generated *via* UC with equal probability in the entire volume of the film, L_{D} must be at least $1/2 \times d (= 7$ nm) for the FRET to be effective. In the upconverting films, L_{D} was evaluated *via* time-resolved fluorescence bulk-quenching measurements.³⁶ For these measurements, films with an

increasing concentration of quencher, phenyl-C61-b

Singlet exciton sink approach

Although a long triplet L_D is essential to ensure efficient TTA in rigid UC materials, a long singlet L_D is highly undesirable, as it can facilitate FRET to the sensitizer, and thus a reduction of Φ_{UC} . A straightforward way to avoid FRET is to reduce the sensitizer concentration (see Fig. 2). Nevertheless, it will inevitably limit light absorption thereby diminishing the generation of triplet states and ultimately the TTA-UC process. In principle, this problem can be solved by sensitizer-free single-component organic TTA-UC systems based on direct triplet absorption induced by heavy atom effect.³⁹ While the general feasibility of this approach has been demonstrated, such systems display very low UC efficiencies, and the low molar absorptivity of the S-T transition limits their usefulness.

Here, we explore another solution to circumvent the FRET issue accompanying large singlet L_D : a singlet exciton sink approach. The latter entails the intentional introduction of highly emissive exciton sinks, which trap singlets generated by TTA before they can even be quenched by a sensitizer molecule. Interestingly, Yanai and Kimizuka proposed a hypothetical, similar approach based on high-fluorescence-yield singlet energy collectors to improve the performance of TTA-UC systems through precisely controlled spatial organization.⁴⁰ In contrast, our approach does not require a complex spatial distribution of the system components, but relies instead on homogeneously dispersed singlet sinks. This facile methodology is readily scalable and such materials were straightforwardly attained by the currently utilized melt-processing technique.

To be useful, the singlet sink must meet the following requirements: (i) display high Φ_{FL} (desirably close to 100%), (ii) have a singlet energy that is (slightly) lower than that of the emitter to ensure efficient FRET to the sink (note however that the energy should not be too low to preserve anti-Stokes emission), (iii) the singlet lifetime should be shorter than that of the emitter to ensure rapid emission from the sink, and (iv) the triplet energy must be higher than that of the emitter to avoid triplet exciton depopulation in the emitter.

The pyreneethynylene derivative (PE, 1,6-bis-[2,5-di(dodecyloxyphenyl)ethynyl]pyrene, Fig. 4a) fulfills these requirements and was therefore chosen as a singlet sink for the DPA/PtOEP/PMMA blends presently investigated.⁴¹ PE exhibits a reasonably high Φ_{FL} , 75% and 69% in dilute solution and melt-processed PMMA films, respectively, which is adequate for the demonstration of the approach. Moreover, the lowest energy and strongest absorption band of this compound at 435 nm overlaps well with the UC emission maximum of DPA (Fig. 4b). These conditions ensure efficient FRET from DPA to PE. The PE emission is redshifted by ~ 12 nm relative to that of DPA, implying that the singlet energy is ~ 75 meV lower, which suffices to guarantee exciton trapping at PE sites at ambient and even elevated temperature.

The fluorescence decays of PE and DPA in PMMA films are compared in Fig. 4c. Following a mono-exponential decay, PE has a 6-fold shorter fluorescence lifetime (~ 1.8 ns) compared to DPA, which ensures much faster radiative relaxation of the

trapped excitons and lower probability for their accumulation at the sink sites or back-transfer

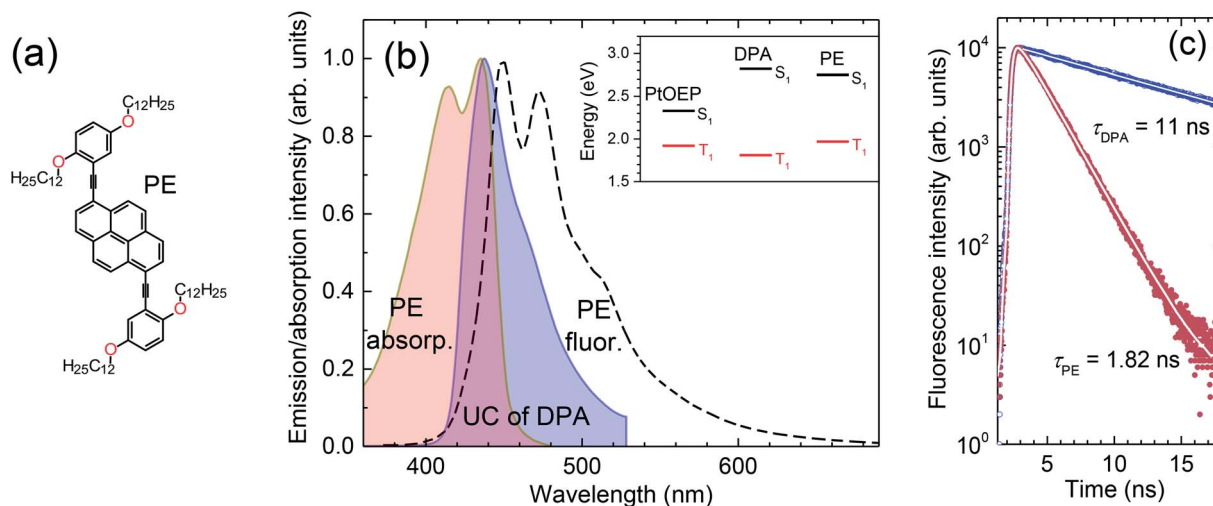


Fig. 4 (a) Chemical structure of the singlet exciton sink PE. (b) Normalized absorption and fluorescence spectra of the PE in PMMA ($C_{PE} = 0.01$ wt%) and the UC emission spectrum of DPA/PtOEP/PMMA ($C_{DPA} = 25$ wt%, $C_{PtOEP} = 0.01$ wt%). The inset shows energy level alignment of DPA/PtOEP system with added singlet sink PE. (c) Fluorescence decays of PE (red points) and DPA (blue points) in PMMA ($C_{PE} = 0.01$ wt%, $C_{DPA} = 25$ wt%). Lines are single exponential fits.

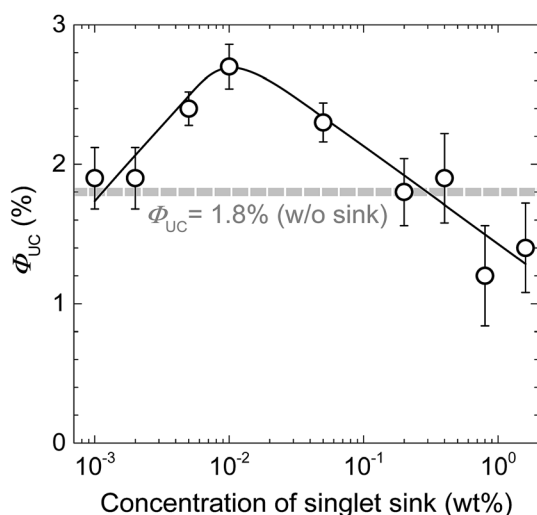


Fig. 5 UC quantum yield of DPA/PtOEP/PE singlet sink/PMMA films ($C_{DPA} = 25$ wt%, $C_{PtOEP} = 0.01$ wt%) as a function of singlet sink concentration. The solid line is a guide to the eye. The dashed line indicates the maximum Φ_{UC} of the corresponding material without the singlet sink. The error bars were derived by averaging data of three samples.

Theoretically, since PtOEP ($C_{PtOEP} = 0.01$ wt%) reduces Φ_{FL} of DPA by a factor of 2.5, from 75% to 30% (Fig. 2), a maximal enhancement of Φ_{UC} of up to 4.5% ($1.8\% \times 2.5$) should be attainable if all DPA singlets were collected by PE. The fact that a smaller enhancement of Φ_{UC} is observed indicates that exciton trapping by the sink is incomplete.

A typical UC emission spectrum of the films with

PtOEP. Indeed this is confirmed by the sum of Φ_{UC} of both parts $1/3 \times 4.5\%$ and $2/3 \times 1.8\%$, which is equal to the overall Φ_{UC} of DPA/PtOEP/PE/PMMA film (2.7%). This result indicates that there are no significant losses once transfer to PE is realized and the most important limitation in this system is $DPA \rightarrow PE$ transfer.

A plausible way to enhance the collection efficiency is to increase the sink concentration while avoiding its aggregation. Alternatively, the sink can be tethered to the DPA emitter in order to enhance efficient transfer.

In the DPA/PtOEP/PMMA films containing the PE singlet sink, the excitation density dependence of the UC emission intensity exhibited a typical quadratic behavior at lower excitation densities, which changed to linear at a threshold intensity (I_{th}) at higher power densities (ESI Fig. S8†).^{44,45} I_{th} indicates the dominance of the TTA process over other decay pathways. It was estimated to be 39 mW cm^{-2} , which is very close to the threshold for the best performing DPA/PtOEP/PMMA films (without the sink).²⁰ Importantly, this invariance signifies that the singlet sink influences neither the TTA, nor the triplet exciton manifold of DPA, and only affects upconverted singlets (*vide supra*). Specifically, the involvement of PE triplet states would otherwise manifest itself through a reduced concentration of DPA triplets and a significant increase of I_{th} .

The singlet sink approach was successfully implemented to mitigate UC emission quenching by FRET in a DPA/PtOEP model system and should be readily generalizable to other solid state UC systems, provided that an adequate singlet sink meeting the aforementioned conditions be identified for each system. Certainly, suppressed detrimental energy transfer *via* introduction of a singlet sink implies some tradeoff between enhancing TTA-UC efficiency and reducing the energy of the harvested state. Therefore from a TTA-UC solar cell perspective, depending on the particular absorber used, a proper sensitizer-emitter and sink combination needs to be chosen to achieve the final improvement in photoconversion efficiency of the cell.

Conclusions

In summary, this work addressed the serious FRET issue limiting radiative decay of the singlet emitter excitons (produced *via* TTA) through their back-transfer to the sensitizer. This issue is a challenging problem especially for next-generation sensitizers, such as semiconductor nanocrystals and direct triplet absorbers, which lack transparency window for UC emission. It causes substantial UC losses even in the well-studied DPA/PtOEP model system designed to reduce overlap of DPA emission and PtOEP absorption. Backed up by quantitative Stern–Volmer analysis of singlet exciton diffusion in highly DPA-loaded (15–40 wt%) rigid UC films, FRET induced quenching can decrease Φ_{FL} of DPA to $1/6^{\text{th}}$ of its original value for PtOEP concentrations as low as 0.05 wt%. To suppress FRET-assisted singlet exciton quenching by sensitizer molecules, we implemented a singlet exciton sink approach, which necessitated an alternative singlet transfer route to intentionally introduced, highly emissive traps (sinks). The utilization of a pyreneethynylene derivative as the sink for the current solid-

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