

Cite this: *Chem. Sci.*, 2026, 17, 4562

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## Controlled high-yield assembly of gold nanoparticles *via* amide bond formation

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Assembly of gold nanoparticles (AuNPs) enhances their plasmonic properties, including visible coloration, local electric field generation, hot-carrier production, and photothermal heating. While assembling AuNPs through chemical reactions that create solid, well-defined covalent linkages is highly desirable, achieving such assemblies with high efficiency remains challenging. During surface functionalisation and interparticle reactions, AuNPs are prone to aggregation, which compromises colloidal stability and yield. Such uncontrolled agglomeration can easily be mistaken for successful covalent assembly, because instability-driven clustering—and even nonspecific electrostatic association between oppositely charged particles—can produce structures that resemble the intended covalently bonded nanoassemblies. Here, we present a general strategy for assembling AuNPs through covalent amide linkages, providing detailed experimental guidelines and critical precautions to avoid these pitfalls and to achieve reproducible, high-yield assembly. To prevent aggregation during ligand exchange, AuNPs are immobilised on glass substrates and functionalised with amine groups (NH<sub>2</sub>-AuNPs). Alkylamines such as 6-amino-1-hexanethiol outperform arylamines because of their higher nucleophilicity towards activated carboxyl groups. We prepare carboxyl-functionalised AuNPs (COOH-AuNPs) by ligand exchange with mercaptoalkanoic acids and find that removing unbound ligands is essential for high-yield assembly. Hydrophilic discrete PEG spacers stabilise COOH-AuNPs during repeated centrifugation for purification. In the presence of EDC, NH<sub>2</sub>-AuNPs and COOH-AuNPs form covalently linked assemblies with yields of 95 ± 5%. The resulting nanoassemblies exhibit well-defined 1:1 or 1:2 core-satellite stoichiometries, reflecting the limited availability of activated carboxyl groups. Raman spectroscopy confirms the formation of interparticle amide bonds. Finally, we demonstrate that this method is broadly applicable to the high-yield assembly of AuNPs across diverse shapes (nanospheres, nanocubes, nanorods) and sizes (14–101 nm). This strategy provides a versatile platform for constructing plasmonic nanoassemblies with chemical reactions.

Received 11th November 2025  
Accepted 8th January 2026

DOI: 10.1039/d5sc08787b

rsc.li/chemical-science

## Introduction

Gold nanoparticles (AuNPs) exhibit remarkable plasmonic properties.<sup>1</sup> A plasmon—a quasiparticle representing the collective oscillation of conduction electrons—gives rise to the bright visible coloration of AuNPs, strong local electric fields, hot-carrier generation, and photothermal heating.<sup>2–5</sup> These properties underpin a wide range of applications, including colorimetric sensing, surface-enhanced spectroscopy, photocatalysis, photovoltaics, and photothermal therapy.<sup>6–11</sup>

These effects are dramatically enhanced when AuNPs are assembled to form nanogaps.<sup>12</sup> Plasmon coupling between closely spaced nanoparticles broadens the accessible spectral range; nanogaps intensify local fields and promote hot-carrier generation.<sup>13–15</sup> Controlled assembly of AuNPs is therefore a key step toward advancing plasmonic applications.

The most straightforward approach to assemble nanoparticles is to introduce complementary functional groups that engage in specific molecular interactions (Fig. 1). Such interactions include electrostatic attraction between oppositely charged groups, hydrogen bonding (*e.g.*, between DNA bases), and covalent bond formation *via* chemical reactions. Among these, covalent bonding is most desirable, as it provides strong and durable linkages, defines nanogap distances through fixed bond lengths, and allows reversible disassembly through selective bond cleavage.<sup>16</sup>

Despite these advantages, only a few chemical reactions have been exploited for nanoparticle assembly. Amide bond formation between amines and carboxyl groups is one of the most common strategies for conjugating antibodies and proteins to AuNP surfaces,<sup>17–19</sup> yet its use for forming interparticle linkages remains rare. Other high-yield organic reactions—such as Suzuki–Miyaura coupling and click chemistry—are also conceivable, but have been applied to nanoparticle assembly only sparingly.<sup>20–26</sup>

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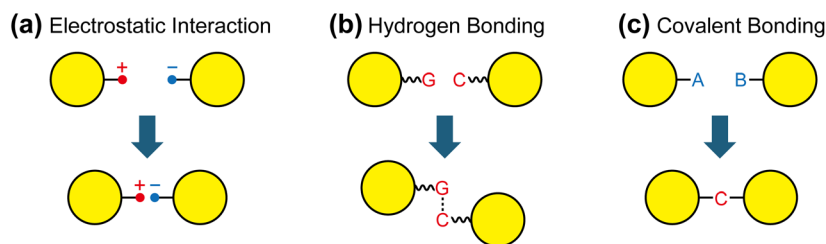


Fig. 1 Assembly of AuNPs through molecular interactions: (a) electrostatic attraction between oppositely charged surface groups (e.g.,  $-\text{NH}_3^+/-\text{COO}^-$ ), (b) hydrogen bonding between complementary functional groups (e.g., DNA bases), and (c) covalent bond formation through chemical reactions between surface ligands.



Scheme 1 Amide bond formation between carboxyl and amine groups. The carboxyl group is first activated by EDC to form an unstable *O*-acylisourea intermediate, which reacts with NHS to generate a more stable NHS ester. This ester subsequently couples with a primary amine to yield the final amide bond.

The primary challenge in translating well-established organic reactions to nanoparticle assembly is maintaining colloidal stability. Nanoparticle surfaces are typically capped with ligands bearing charged groups (e.g.,  $-\text{NH}_3^+$ ,  $-\text{COO}^-$ ,  $-\text{SO}_3^-$ ), and the resulting electrostatic repulsion counteracts van der Waals attraction, stabilising the colloid in solution according to DLVO theory.<sup>27</sup> Replacing these stabilising ligands with reactive molecules often disrupts this balance, leading to uncontrolled aggregation. Additional factors such as organic solvents or reaction additives (e.g.,  $\text{Cu}^+$  in click reactions) can further compromise colloidal stability. Therefore, careful optimisation of reaction conditions is essential before covalent bond formation can be reliably applied to nanoparticle assembly.

In this work, we employ amide bond formation to achieve controlled assembly of AuNPs and systematically optimise reaction conditions to maximise yield. Carboxylic acids react with amines to form amide bonds when activated by 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide (EDC) and *N*-hydroxysuccinimide (NHS) (Scheme 1). In principle, AuNPs can be assembled simply by functionalising particles with carboxyl and amine groups and reacting them in the presence of EDC and NHS. This approach is widely used to conjugate biomolecules such as proteins and antibodies to AuNPs immobilised on solid substrates for sensing.<sup>17–19</sup> However, its application to nanoparticle–nanoparticle assembly with high yield has rarely been realised, mainly due to the stability issues discussed above. Previous studies have demonstrated the feasibility of covalent nanoparticle assembly through amide bond formation, but these efforts typically resulted in low yields or focused on observation of amide bond formation rather than achieving

controlled, high-throughput assembly.<sup>28–30</sup> Here, we establish a reliable procedure for assembling AuNPs through robust amide linkages. By identifying the key parameters governing this delicate assembly process, we achieve near-quantitative yields of diverse AuNP nanoassemblies.

## Results and discussion

### Strategy for assembly through amide bond formation

Fig. 2 illustrates the overall strategy for assembling AuNPs through amide bond formation. The detailed procedure is described in the Experimental section, and the rationale for ligand selection and optimisation is discussed in the following subsections. In this approach, one component of the assembly is immobilised on a glass substrate, which offers two advantages: (i) nanoparticle surfaces can be modified without aggregation or destabilisation—a common issue during ligand exchange in solution—and (ii) unreacted reagents can be efficiently removed by washing.<sup>31</sup>

To immobilise AuNPs, glass substrates were first coated with 3-aminopropyltrimethoxysilane (APTMS), producing positively charged surfaces (Fig. 2a(i)). Negatively charged AuNPs—such as citrate-capped nanospheres (cit-AuNPs) or cetyltrimethylammonium (CTA)-capped nanocubes (CTA-AuNCs)—were then electrostatically adsorbed (Fig. 2a(ii)).<sup>32</sup> Notably, CTA-AuNCs reverse their surface charge from positive to negative when dispersed in 80–90% acetonitrile, as reported previously.<sup>33,34</sup> The immobilised nanoparticles are hereafter referred to as the core particles (in contrast to the satellite particles introduced later), reflecting their position within the final assembly structures. The surfaces of the core AuNPs were subsequently



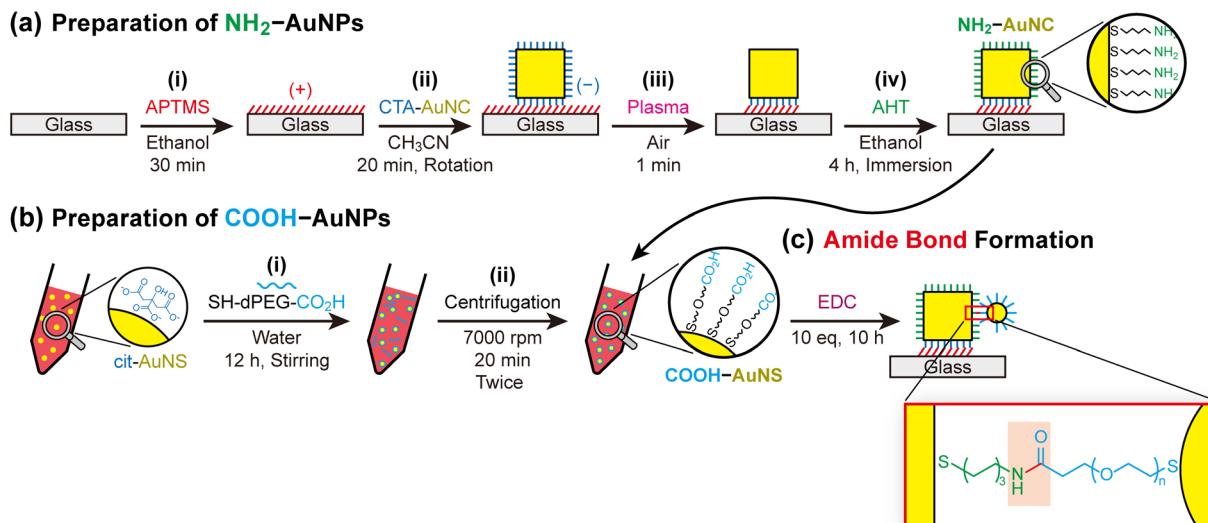


Fig. 2 Strategy for assembling AuNPs via amide bond formation. (a) Preparation of amine-functionalised AuNPs (NH<sub>2</sub>-AuNPs) on glass substrates: (i) coating glass substrates with APTMS to generate positively charged surfaces; (ii) electrostatic adsorption of negatively charged AuNPs (e.g., acetonitrile-treated CTA-AuNCs); (iii) plasma cleaning of both AuNP and substrate surfaces; (iv) functionalisation with AHT to produce NH<sub>2</sub>-AuNPs. (b) Preparation of carboxyl-functionalised AuNPs (COOH-AuNPs): (i) ligand exchange of cit-AuNPs with SH-dPEG-COOH to introduce terminal carboxyl groups; (ii) purification by repeated centrifugation to remove unbound ligands, with PEG spacers ensuring colloidal stability. (c) Final assembly of COOH-AuNPs with NH<sub>2</sub>-AuNPs on glass substrates in the presence of EDC, yielding covalent interparticle amide bonds (red).

cleaned with plasma and functionalised with 6-amino-1-hexanethiol (AHT), producing amine-terminated core AuNPs (NH<sub>2</sub>-AuNPs) (Fig. 2a(iii and iv)).

Direct addition of amino-thiols (NH<sub>2</sub>-R-SH) to dispersed cit-AuNSs causes severe aggregation because protonated amines cross-link the negatively charged citrate-stabilised nanoparticles.<sup>35</sup> Immobilisation on glass is therefore essential for converting citrate-capped surfaces into amine-terminated ones while maintaining colloidal stability.

Carboxyl-functionalised AuNPs (COOH-AuNPs), which serve as the coupling partners for NH<sub>2</sub>-AuNPs, were prepared by mixing cit-AuNSs with thiolated PEG molecules of discrete molecular weight (SH-dPEG-COOH) (Fig. 2b(i)). The thiol groups chemisorb onto the Au surfaces, replacing the citrate ligands, and the exposed termini provide carboxyl functionality. Removing unbound SH-dPEG-COOH is critical for high-yield assembly because free ligands can react prematurely with surface amines on the core particles (Fig. 2b(ii)). Importantly, the hydrophilic PEG backbone imparts sufficient colloidal stability to withstand repeated centrifugation, which is required for purification.<sup>36,37</sup>

Assembly was achieved by immersing NH<sub>2</sub>-AuNP/glass substrates in COOH-AuNP solutions, followed by immediate addition of EDC and NHS (Fig. 2c). The EDC concentration was controlled to ~10 equivalents (eq) relative to the estimated number of carboxyl groups on COOH-AuNPs. The reaction was allowed to proceed for 10 h.

The resulting core@satellite assemblies were characterised by UV-vis spectroscopy, scanning electron microscopy (SEM), and transmission electron microscopy (TEM). The assembly yield—determined from SEM analysis—was defined as the

fraction of core particles on the substrate that successfully formed assemblies.

### Selection of NH<sub>2</sub> ligands

The assembly strategy outlined in Fig. 2 was refined by systematically evaluating key experimental parameters at each step (Fig. 3). For amine functionalisation, only a limited number of amino-thiol compounds were suitable. Among linear alkylamines (NH<sub>2</sub>(CH<sub>2</sub>)<sub>n</sub>SH), cysteamine (*n* = 2) fails to form stable self-assembled monolayers (SAMs) on AuNP surfaces due to its short alkyl chain.<sup>38,39</sup> At the opposite extreme, 11-amino-1-undecanethiol (*n* = 11) forms stable SAMs, but is prohibitively expensive. Accordingly, AHT (*n* = 6) was selected as a practical compromise between stability and cost.

We also examined aromatic amino-thiols, represented by 4-aminobenzenethiol (ABT), which is frequently used in surface-enhanced Raman spectroscopy (SERS).<sup>40</sup> Comparative experiments revealed that AHT is a far more effective amine ligand than ABT in the EDC coupling reaction: AHT-functionalised AuNPs exhibited assembly yields exceeding 90%, whereas ABT-functionalised AuNPs yielded less than 15% (Fig. 3a). This contrast likely arises from differences in amine basicity. The pK<sub>a</sub> of AHT is approximately 10.6, whereas that of ABT is 4.9.<sup>41</sup> Consequently, ABT is substantially less nucleophilic at the operating pH ≈ 5.0, reducing its reactivity towards the activated carboxyl groups and resulting in a lower assembly yield.

### Selection of COOH ligands

A critical factor in preparing COOH-AuNPs is the complete removal of residual carboxyl-thiol ligands after surface





Fig. 3 Optimisation of AuNP assembly via amide bond formation. (a) Selection of amine ligands. Assembly yields (green bar graphs) are compared among the assemblies where AHT- or ABT-functionalised AuNC<sub>93</sub> cores are coupled with COOH-functionalised AuNS<sub>35</sub> satellites in the presence of EDC. AHT affords markedly higher yields than ABT. Representative SEM images are shown, with AuNS<sub>35</sub> satellites false-coloured red for clarity. (b) Selection of carboxyl ligands. Three ligands—MUA, dPEG<sub>4</sub>, and dPEG<sub>12</sub>—were tested. (i) Assembly yields increase substantially when residual dPEG<sub>12</sub> is removed from the dPEG<sub>12</sub> + cit-AuNS<sub>35</sub> solution by centrifugation prior to coupling with NH<sub>2</sub>-AuNC<sub>93</sub>. (ii) Stability comparison showing that PEG-based ligands (dPEG<sub>4</sub>, dPEG<sub>12</sub>) maintain colloidal stability after two centrifugation cycles, whereas MUA-functionalised AuNS<sub>35</sub> destabilise. (c) Optimisation of EDC concentration. (i) SEM images of AuNC<sub>101</sub>@AuNS<sub>35</sub> assemblies formed with 10–200 eq. of EDC relative to surface carboxyl groups. (ii) Quantification of assembly yields and average satellite number per core as a function of EDC concentration. (iii) Normalised UV-vis spectra of assemblies prepared at different EDC concentrations. Red triangles denote plasmon coupling bands. Grey-filled curves show the LSPR spectra of the individual building blocks (AuNC and AuNS) for comparison. Spectra are vertically offset for clarity. Scale bar: 200 nm.

attachment. Free ligands remaining in solution not only react with EDC to form urea byproducts but also compete with surface-bound ligands by rapidly reacting with NH<sub>2</sub>-AuNPs, thereby suppressing the desired interparticle coupling. Repeated centrifugation markedly improved assembly yields by removing these unbound ligands (Fig. 3b(i)).

However, repeated centrifugation can compromise the stability of COOH-AuNPs. Most commercially available mercaptoalkanoic acids (SH(CH<sub>2</sub>)<sub>n</sub>COOH; *n* = 2, 5, 7, 10, 11, 15), exemplified by 11-mercaptoundecanoic acid (MUA, *n* = 11), destabilise rapidly after multiple centrifugation cycles (Fig. 3b(ii)). In contrast, AuNPs functionalised with carboxyl

ligands bearing hydrophilic PEG spacers remain stable under the same conditions (Fig. 3b(ii)). Zeta potential measurements confirm that PEG-based ligands provide equal or superior colloidal stability compared with cit-AuNPs and MUA-AuNPs (Table S1). For this reason, we employed PEGylated carboxylthiols with discrete molecular weights (“dPEG<sub>n</sub>” = SH(CH<sub>2</sub>-CH<sub>2</sub>O)<sub>n</sub>CH<sub>2</sub>CH<sub>2</sub>COOH, *n* = 4, 12) to prepare COOH-AuNPs.

### Optimisation of reaction conditions

After preparing COOH-AuNPs and immobilising NH<sub>2</sub>-AuNPs on glass substrates, the substrates were immersed in COOH-AuNP solutions containing EDC and NHS for 10 h. To determine the



optimal EDC concentration, we estimated the number of carboxyl groups on COOH-AuNPs by assuming an occupation area of  $0.25 \text{ nm}^2$  per SH-dPEG-COOH ligand<sup>39</sup> and calculating the total surface area of AuNPs ( $2.8 \times 10^{-10} \text{ M} \times 30 \text{ mL} \times N_A \times 4\pi(17.6 \text{ nm})^2$ ). Based on this estimate, the EDC concentration was varied from 10 to 200 eq. relative to carboxyl groups.

Representative SEM images (Fig. 3c(i)) show core@satellite assemblies formed by combining AHT-functionalised AuNC<sub>101</sub> cores with dPEG<sub>12</sub>-AuNS<sub>35</sub> satellites at different EDC concentrations. Both 10 eq. and 50 eq. of EDC produced well-defined core@satellite structures; however, the number of satellites differed. At 10 eq, assemblies typically contained one or two AuNS satellites per AuNC core, whereas at 50 eq, three or more satellites were commonly observed (Fig. 3c(ii)). Additional SEM images are provided in the SI (Fig. S1).

UV-vis spectra further support these findings. Both assemblies exhibit redshifted plasmon coupling bands relative to the localised surface plasmon resonance (LSPR) peaks of the individual building blocks (grey-filled curves), with more pronounced shifts at 50 eq. (Fig. 3c(iii)). The extent of the redshift is known to scale with the number of satellite nanoparticles per core.<sup>42,43</sup>

These results indicate that a moderate excess of EDC ( $\approx 10$  eq.) is necessary to effectively activate carboxyl groups and

promote amide-bond formation with surface amines. Increasing the EDC concentration to 50 eq. enhances the number of activated carboxylates, thereby increasing the frequency of productive amide coupling events and the number of satellites attached per core.

By contrast, higher EDC concentrations (100–200 eq.) lead to uncontrolled aggregation, as evidenced by irregular clusters in SEM images (Fig. 3c(i)). The corresponding UV-vis spectra show broad, strongly redshifted bands characteristic of extensive plasmon coupling across multiple nanoparticles (Fig. 3c(iii)). We attribute this aggregation primarily to the increased ionic strength caused by excess EDC·HCl, which screens electrostatic repulsion between nanoparticles and destabilises the colloid in accordance with DLVO theory.

### Optical and structural properties of the nanoassemblies

After optimising the reaction conditions, we assembled different building blocks into core@satellite nanostructures. Fig. 4a–e present representative assemblies along with their optical and structural properties.

As core particles (green boxes), we used CTA-capped AuNC<sub>93</sub> (edge length:  $93.4 \pm 2.4 \text{ nm}$ ) and highly spherical AuNS<sub>69</sub>



Fig. 4 Assembly of AuNPs through amide bond formation, showing (a–e) the optical and structural properties of representative core@satellite assemblies and (f–h) the extension of the method to diverse AuNP combinations. (a) TEM images of the individual building blocks: AuNC<sub>93</sub> (top), AuNS<sub>35</sub> (middle), and AuNS<sub>69</sub> (bottom). (b and c) Normalised UV-vis extinction spectra of the nanoassemblies (AuNC<sub>93</sub>@AuNS<sub>35</sub> and AuNS<sub>69</sub>@AuNS<sub>35</sub>, red) compared with their corresponding building blocks (COOH-AuNS<sub>35</sub>, cyan; NH<sub>2</sub>-AuNC<sub>93</sub> and NH<sub>2</sub>-AuNS<sub>69</sub>, green). Red arrows mark the plasmon coupling bands. (d and e) SEM images of AuNC<sub>93</sub>@AuNS<sub>35</sub> and AuNS<sub>69</sub>@AuNS<sub>35</sub> assemblies, highlighting their structural characteristics: high yield (Y), 1 : 1 stoichiometry, and predominantly on-top satellite adsorption. Satellites are false-coloured red for clarity. Insets show magnified views of individual assemblies. (f–h) SEM images of assemblies with varied shapes and sizes, demonstrating the generality of the amide-bond assembly strategy. Unlabeled scale bars represent 100 nm.



(diameter:  $69.4 \pm 1.9$  nm), which were immobilised on glass substrates and functionalised with AHT. As satellite particles (cyan box), we employed citrate-capped, irregularly shaped AuNS<sub>35</sub> (diameter:  $35.2 \pm 3.8$  nm), subsequently functionalised with dPEG<sub>4</sub>. Fig. 4a–c show TEM images and UV-vis extinction spectra of these building blocks.

The LSPR peaks of AuNC<sub>93</sub>, AuNS<sub>69</sub>, and AuNS<sub>35</sub> appear at 608, 535, and 532 nm, respectively. As these building blocks are assembled through EDC-mediated amide bond formation, plasmonic interactions between cores and satellites give rise to new bonding dipole plasmon modes at longer wavelengths than the LSPR peaks of the individual components, as indicated by the red arrows in Fig. 4b and c.<sup>44</sup> The plasmon coupling band is less pronounced in AuNC<sub>93</sub>@AuNS<sub>35</sub> due to the inherently broad LSPR of the AuNCs, but is clearly distinguishable in AuNS<sub>69</sub>@AuNS<sub>35</sub>.

The strength of plasmon coupling is highly sensitive to interparticle distance.<sup>45,46</sup> Longer linker lengths weaken the interaction, resulting in smaller redshifts of the coupling bands. Replacing dPEG<sub>4</sub> (length  $\approx 1.8$  nm)<sup>47</sup> with dPEG<sub>12</sub> (length  $\approx 4.7$  nm)<sup>48</sup> on the satellites markedly reduces plasmon coupling, as reflected in weaker and less redshifted bands in the extinction spectra (Fig. S2).

Fig. 4d and e display representative SEM images of the resulting nanoassemblies, with satellites (AuNS<sub>35</sub>) coloured with red circles for clarity. AuNC<sub>93</sub>@AuNS<sub>35</sub> assemblies exhibit a near-quantitative yield of 98% ( $N = 346$ ), whereas AuNS<sub>69</sub>@AuNS<sub>35</sub> achieve a slightly lower yield of 85% ( $N = 466$ ), likely because the smaller AuNS<sub>69</sub> cores provide less surface area for satellite attachment. Consistent with the optimised conditions (10 eq. of EDC; see Fig. 3c), most assemblies contain only one or two AuNS<sub>35</sub> satellites per core, with satellites preferentially binding to the top surfaces of the cores rather than the sides.

### Extension of the method to various combinations

We further extended this assembly strategy to a variety of building blocks with different sizes and shapes. For AuNC<sub>93</sub>@AuNS<sub>55</sub> assemblies (Fig. 4f), where slightly larger satellites (55 nm) replace AuNS<sub>35</sub>, the assemblies retain the same structural characteristics: 1 : 1 stoichiometry, high yield (97%), and predominantly on-top adsorption of satellites.

When smaller satellites are used, the assembly yield remains excellent. For example, AuNS<sub>69</sub>@AuNS<sub>14</sub> assemblies achieve a 100% yield (Fig. 4g). In these structures, multiple AuNS<sub>14</sub> satellites often adsorb on a single AuNS<sub>69</sub> core, likely because of the increased relative surface area available for adsorption.

The method also proves effective for anisotropic particles. Using gold nanorods (AuNRs) as cores, we obtained assemblies with similarly high yields and well-controlled stoichiometry (Fig. 4h). Additional SEM images are provided in the SI (Fig. S3).

### Confirmation of amide bonds

The formation of amide bonds was verified by SERS measurements on the core@satellite nanoassemblies. Fig. 5 shows normalised Raman spectra averaged over 22 measurements from three independently prepared AuNC<sub>101</sub>@AuNS<sub>35</sub> samples.

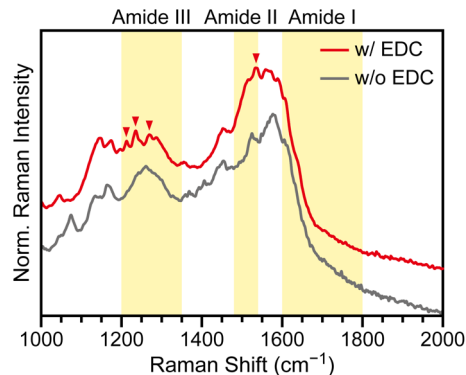


Fig. 5 Confirmation of amide bond formation in AuNP assemblies. The red trace represents the averaged, normalised Raman spectrum from three AuNC<sub>101</sub>@AuNS<sub>35</sub> samples assembled with EDC. For comparison, the grey trace shows spectra from control assemblies without EDC. Distinct peaks at 1212, 1235, 1269, and 1533 cm<sup>-1</sup> (red triangles) appear only in the EDC-mediated assemblies and fall within the yellow-shaded regions corresponding to amide vibrational modes, confirming covalent linkage between nanoparticles.

The spectra exhibit relatively weak overall intensity with a broad background, but distinct spectral features emerge when compared with control samples.

Control assemblies prepared without EDC also form, but only with low and inconsistent yields, characteristic of nonspecific binding (Fig. S4). In this case, electrostatic attraction between protonated amines and deprotonated carboxylates likely drives the weak association of particles. However, these nonspecific assemblies lack the vibrational signatures of amide bonds (grey trace).

By contrast, EDC-mediated assemblies exhibit distinct new peaks at 1212, 1235, 1269, and 1533 cm<sup>-1</sup> (red triangles in Fig. 5). These bands correspond to characteristic vibrational modes of amide bonds (yellow-shaded regions),<sup>28,29,49,50</sup> providing direct spectroscopic evidence for covalent linkages between nanoparticles.

## Experimental section

### Synthesis of building blocks

AuNPs were synthesized following reported protocols: cit-AuNPs (Puntes group),<sup>51</sup> CTA-AuNSs (Xia group),<sup>52</sup> CTA-AuNCs (Nam group),<sup>53</sup> and CTA-AuNRs (Murray group).<sup>54</sup> Detailed procedures are provided in our previous publications. All reagents were purchased from Sigma-Aldrich and used without further purification. The structural and optical properties of the synthesised AuNPs are shown in the SI (Fig. S5).

### Preparation of NH<sub>2</sub>-AuNPs

The amine functionalisation procedure is illustrated in Fig. 2a. To prevent aggregation during ligand exchange, AuNPs were first immobilised on glass substrates.

(i) Amine coating of glass substrates: glass substrates ( $9 \times 12.5$  mm, Marienfeld, Germany) were thoroughly cleaned and immersed in an APTMS/ethanol solution (57 mM, 4 mL) for 30 min.



(ii) Adsorption of core AuNPs: the amine-coated substrates were immersed in a conical tube containing a solution of negatively charged AuNPs (optical density, OD = 0.35, 5 mL). The tube was rotated for 20 min to promote adsorption. Negatively charged AuNPs included cit-AuNPs in water and CTA-AuNPs in 80–90% acetonitrile. The acetonitrile treatment removes the outer CTA bilayer, reversing the  $\zeta$ -potential of the AuNPs from positive to negative.

(iii) Plasma cleaning: the AuNP/glass substrates were plasma-treated (0.8 torr air, 18 W, PDC-32G-2, Harrick Plasma, U.S.A.) for 1 min to remove surface ligands from the AuNPs as well as the amine coating on the glass substrates.

(iv) Amine functionalisation: the cleaned AuNP/glass substrates were immersed in an AHT/ethanol solution (1 mM, 5 mL) for 4 h. As discussed above, ABT was not an effective amine ligand for EDC coupling due to its low  $pK_a$  and reduced basicity.

### Preparation of COOH-AuNPs

To prepare COOH-AuNPs, a cit-AuNP solution (OD = 0.95, 30 mL) was mixed with SH-dPEG-COOH solution (1 mM, 0.84 mL) and stirred for 12 h. The mixture was then centrifuged at 7000 rpm for 20 min to remove unbound SH-dPEG-COOH. After discarding the supernatant, fresh water was added, and the centrifugation step was repeated.

### EDC coupling reaction

After preparing  $NH_2$ -AuNPs on glass substrates and COOH-AuNPs in solution, the two were reacted to form amide bonds. The  $NH_2$ -AuNP/glass substrate was immersed in the COOH-AuNP solution (4-fold diluted, final volume = 5 mL), followed by immediate addition of EDC and NHS. The EDC concentration corresponded to 10 eq. of the estimated number of carboxyl groups on the COOH-AuNPs (10 mM, 7  $\mu$ L). The reaction was allowed to proceed for 10 h at room temperature.

### Measurements

AuNP assemblies were imaged using field-emission SEM (Sigma, Carl Zeiss, Germany). The structure of the AuNP building blocks was characterised by TEM (JEM-F200, JEOL, Japan). Optical properties, including the plasmon resonance of the individual AuNPs and plasmon coupling in assemblies, were measured using UV-vis spectroscopy (Lambda 365+, PerkinElmer, U.S.A.).  $\zeta$ -Potential measurements (Zetasizer, Malvern, U.K.) were performed before and after ligand exchange with carboxyl-thiols to evaluate colloidal stability. Raman spectra were collected from AuNC@AuNS nanoassemblies to confirm amide bond formation using a Raman microscope (RamanRxn1, Kaiser Optical Systems, U.S.A.) with 785 nm excitation.

## Conclusions

Although EDC-driven amide coupling is one of the most widely used reactions in bioconjugation and nanoparticle assembly, the authenticity of the resulting covalent bond formation is

rarely questioned. This stems in part from the lack of detailed reports on failure modes, which are crucial for experimentalists attempting reproducible coupling reactions. Here we report a robust general strategy for assembling AuNPs through covalent amide linkages and identify the key parameters governing yield and stability. Surface modification of AuNPs with AHT and SH-dPEG-COOH provides the requisite amine and carboxyl functionalities. Amine functionalisation must be performed on AuNPs immobilised on glass substrates to prevent aggregation, and alkylamines outperform arylamines owing to their higher nucleophilicity and reactivity towards amide formation.

For carboxyl functionalisation, ligand exchange with carboxyl-thiols is effective in dispersion, but removal of unbound ligands by repeated centrifugation is critical for achieving high-yield assembly with  $NH_2$ -AuNPs. Destabilisation of the COOH-AuNPs caused by multiple centrifugation cycles can be mitigated by using SH-dPEG-COOH, which provides additional colloidal stability through its hydrophilic dPEG spacers.

Amide bond formation is promoted by EDC, with 10 eq. relative to surface carboxyl groups, producing nanoassemblies of near-quantitative yield ( $\sim 100\%$ ), 1 : 1 core-to-satellite stoichiometry, and on-top satellite-on-core configuration. SERS spectra of the resulting assemblies display characteristic amide vibrational peaks, providing direct spectroscopic evidence of covalent linkage. This assembly strategy is broadly applicable, enabling the high-yield preparation of diverse core@satellite structures derived from AuNSs, AuNCs, and AuNRs of various sizes and morphologies. Together, these results deliver not only a high-yield covalent assembly method but also a detailed set of practical guidelines and critical precautions for researchers attempting similar experiments. This strategy thus offers a versatile and reproducible platform for constructing plasmonic nanoassemblies through controlled chemical reactions.

## Author contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript. The authors contributed to this work as follows: S. H. (data curation, formal analysis, investigation, methodology), Y. L. (data curation, investigation, resources), S. K. (conceptualisation, supervision, writing), S. Y. (conceptualisation, funding acquisition, supervision, writing).

## Conflicts of interest

There are no conflicts to declare.

## Data availability

Data for this article are available at our group OneDrive ([https://nanoplasmonics-my.sharepoint.com/:f/g/personal/sangwoon\\_nanoplasmonics\\_onmicrosoft\\_com/IgDsvsijIDutT4S9aaHjp6NpAQpXcKjFXz8wrsGKXZor9ow?e=LJtabi](https://nanoplasmonics-my.sharepoint.com/:f/g/personal/sangwoon_nanoplasmonics_onmicrosoft_com/IgDsvsijIDutT4S9aaHjp6NpAQpXcKjFXz8wrsGKXZor9ow?e=LJtabi)).



Supplementary information (SI):  $\zeta$ -potential of carboxyl-functionalised AuNSs with different ligands; additional SEM images of AuNC@AuNS assemblies at different EDC concentrations; shifts of plasmon coupling bands with decreasing interparticle distance; additional SEM images of diverse AuNP assemblies formed *via* amide bond coupling; yields of nano-assemblies prepared with and without EDC; and structural and optical characteristics of the building blocks. See DOI: <https://doi.org/10.1039/d5sc08787b>.

## Acknowledgements

This work was supported by the National Research Foundation of Korea (RS-2024-00350882 and RS-2020-NR049537). Yeonsoo Lim gratefully acknowledges the support from the Chung-Ang University Graduate Research Scholarship (2024).

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