

## CRITICAL REVIEW

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# Antibiotic resistance in urban stormwater: a review of the dissemination of resistance elements, their impact, and management opportunities

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The public health crisis of antibiotic resistance is a growing threat across the world that is only expected to intensify in the coming years. The cycling of antibiotic resistance genes (ARGs) in the environment via urban stormwater runoff is one means by which humans are exposed to resistant bacteria as traditional gray stormwater infrastructure facilitates the transport of resistance elements into water bodies utilized by the public. In this review, existing research on the occurrence of ARGs in urban stormwater runoff is critically reviewed with the goal of determining the role of stormwater in the dissemination and development of antibiotic resistance. From the research conducted, urban stormwater is concluded to be hotspot for antibiotic resistance due to the confluence of factors that contribute to an increase in the frequency of gene transfer including ARGs, antibiotic resistant bacteria, mobile genetic elements, and selective pressures such as metals. The long-term impact of ARG dissemination on downstream environments such as surface water bodies and green stormwater infrastructure (GSI) systems is also reviewed. GSI has the potential for ARG management within the urban water cycle while also presenting a threat for antibiotic resistance proliferation after collecting and possibly concentrating ARGs. More research is needed to understand how engineering decisions can be incorporated into GSI design to maximize the removal of ARGs from stormwater runoff while also minimizing ARG propagation and mobilization.

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## Water impact

Stormwater could be playing a crucial role in propagating and disseminating antibiotic resistance in the urban water cycle. Green stormwater infrastructure systems could serve as a hotspot for antibiotic resistance, or, pending their design, an opportunity to mitigate transport of antibiotic resistance genes into the urban environment.

## 1. Introduction

### 1.1 The public health crisis of antibiotic resistance

The discovery and development of antibiotics in 1929 was revolutionary for public health. Bacterial infections that frequently led to illness and death became easily treatable.<sup>1</sup> Now, such advancements are threatened by antibiotic resistance – a defense mechanism bacteria can acquire or develop to resist the lethal effects of antibiotics. As a result, there has been a severe increase in infections caused by antibiotic-resistant bacteria. In 2019 alone, 1.27 million deaths were caused by bacterial resistant infections, making

antibiotic resistant infections the leading cause of death around the world that year.<sup>2</sup> The consequences of antibiotic resistance also extend to the economy as the estimated cost in the United States was \$55 billion per year through associated medical bills and loss in productivity.<sup>3</sup> If actions are not taken to address this threat, by 2050 it is estimated that 10 million deaths per year globally will stem from antibiotic resistant infections and be associated with a total GDP loss of \$100.2 trillion.<sup>4,5</sup>

Antibiotic resistance is a natural phenomenon that predates the use of antibiotics by humans and animals.<sup>6</sup> Resistance can be intrinsic to bacterial cells by ways of structural components, such as an impermeable outer membrane, and other chromosomally encoded elements (e.g., antibiotic inactivating enzymes and transposon insertion libraries).<sup>7,8</sup> Resistance can also be acquired

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through a mutation in the chromosome or through horizontal gene transfer (HGT) of antibiotic resistance genes (ARGs).<sup>9</sup> ARGs are the genetic material that provide bacteria the potential for resistance. Despite the natural occurrence of antibiotic resistance in the environment, the increased threat to public health seen today is due to a substantial increase in the rate of acquired resistance.<sup>10</sup>

One of the primary drivers of the increase in the acquisition of ARGs is the overuse and misuse of antibiotics in the human health and agrarian sectors. In the United States roughly 47 million prescriptions are unnecessarily prescribed to people every year.<sup>11</sup> Moreover, the use of antibiotics in animals for growth promotion and prophylactic practices has led to the sale of antibiotics within the agricultural sector being four times that of which is sold to use in humans.<sup>12</sup> Using medically important antibiotics in agriculture, moreover, has resulted in a causal relationship between antibiotic use in the agricultural sector and the exacerbation of antibiotic resistance in the clinic.<sup>13</sup> The misuse and overuse of antibiotics, as well as improper disposal of antibiotics, has exacerbated this public health crisis because antibiotics exert selective pressures for antibiotic resistance, meaning that antibiotics can increase antibiotic resistance in a bacterial community.<sup>14,15</sup> This selective pressure can occur in multiple ways. For instance, if a bacterial community is exposed to antibiotics, the antibiotics will inactivate or kill the susceptible bacteria, leaving the community dominated by antibiotic resistant bacteria (ARB).<sup>16</sup> Additionally, the exposure of a bacterial community to antibiotics can trigger adaptive responses including the HGT of ARGs.<sup>17</sup> This selective action for antibiotic resistance can also be driven by other chemical contaminants, such as many antimicrobials, heavy metals, disinfectants, pesticides, and biocides.<sup>18–20</sup> As a result, an emerging concern in the fight against antibiotic resistance is the occurrence and possible proliferation of antibiotic resistance in environments plagued with these different contaminants and the subsequent connections and implications for human health.

## 1.2 The environmental occurrence of antibiotic resistance

To determine the role of the environment as a conduit of antibiotic resistance it is crucial to monitor present risks and classify future concerns. Several ARGs today though, are considered ubiquitous in the environment and are an inherent component of many bacterial communities, serving functions unrelated to antibiotics, and thus not posing an elevated risk to human health.<sup>21</sup> So, to identify environments that warrant monitoring efforts, one approach is to classify environmental hotspots.<sup>22</sup> Environmental hotspots can be classified by their ability to foster ARG dissemination and proliferation *via* HGT. Three HGT mechanisms have been identified: (1) conjugation, (2) transduction, and (3) transformation.<sup>23,24</sup> Conjugation is gene transfer by cell-to-cell connection, transduction is transfer facilitated by

bacteriophages, and transformation is the uptake and integration of extracellular DNA (eDNA).<sup>25</sup> Water resource recovery facilities (WRRF) are an example of environmental hotspots for HGT due to the mixing of commensal and pathogenic bacteria with diverse waste streams containing ARGs, ARB, and selective pressures (*e.g.*, antibiotics and metals). The frequency of ARG transfer *via* conjugation in activated sludge tanks has been shown to range between  $10^{-2}$ – $10^{-7}$  transfers per recipient cell.<sup>26</sup> The agriculture sector is another hotspot for ARGs dissemination with the rate of conjugation for ARGs in manure ranging from  $10^{-3}$ – $10^{-8}$  transfers per recipient cell.<sup>26,27</sup> Agriculture, moreover, has a direct link to public health as antibiotic resistant pathogens originating from food-animal production have been linked with foodborne outbreaks.<sup>28,29</sup>

Additional considerations for characterizing environmental hotspots are the abundance of selective pressures and mobile genetic elements (MGEs). The hotspots discussed above, WRRF and the agricultural sector, are heavily polluted with selecting agents and thus can exert a pressure on microbial communities that select for ARB.<sup>27</sup> In agriculture, for instance, the heavy metals copper, zinc, and mercury were correlated with the abundance of the ARGs *suI1* and *suIII*.<sup>30</sup> Selective pressures can occur *via* co-resistance or cross-resistance. Co-resistance occurs when multiple resistance genes are acquired through the same genetic element (*e.g.*, integrons gene cassettes) while cross-resistance is the result of a genetic determinant or biochemical systems (*e.g.*, efflux pump) conferring resistance to multiple compounds.<sup>31</sup> In either case, an increase in the abundance of selecting agents accelerates HGT, thereby diversifying the collection of resistance elements (*e.g.*, the resistome) and increasing the risk of ARG dissemination to human pathogens.<sup>31,32</sup>

The mobility of ARGs is also known to impact its rate of transfer into bacterial cells. ARGs that are not associated with MGEs are not easily transferred between bacteria in the environment, consequently they are less likely to be transferred to clinically relevant species and are less of a concern for public health.<sup>33</sup> MGEs, such as transposons, plasmids, integrons, gene cassettes, and bacteriophages, can carry a large number of ARGs and are able to move themselves within a cell and transfer to a recipient cell freely.<sup>34</sup> Thus, the transfer of ARGs *via* MGE is found to be much more frequent and can enrich host cells with multidrug resistance.<sup>33,35,36</sup>

## 1.3 Urban Stormwater Runoff: An Emerging Area of Concern for Antibiotic Resistance

Stormwater runoff can be the cause of significant water quality impairments and lead to negative impacts for human and environmental health.<sup>37,38</sup> In urban environments specifically, impervious surfaces are the primary exasperator of this impairment, as stormwater that has fallen on impervious surfaces, such as roofs, roads, sidewalks, and



parking lots, can mobilize the pollutants found there.<sup>39</sup> Stormwater can thus be a source and means of transport of diverse contaminants originating from anthropogenic activities into downstream environments. Numerous chemical contaminants, including heavy metals, polycyclic aromatic hydrocarbons, pesticides, and other organics, have been detected in stormwater as well as microbial contaminants, which are of notable concern for human health.<sup>40–42</sup> Rain and snowmelt events play a role in the transport of bacteria, viruses, and protozoan oocysts and consequently, stormwater has also been linked to waterborne disease outbreaks through drinking water contamination.<sup>43</sup> In an epidemiological study, it was found that people who swam in front of a stormwater discharge point were 50% more likely to develop broad range symptoms (*i.e.*, upper respiratory and gastrointestinal) than those who swam further from the same discharge point.<sup>44</sup> The distance from the drain was also found to be associated with the amount of bacterial indicator organisms and enteric pathogens. In numerous watersheds, the increased presence of pathogenic bacteria has been linked to rainfall events by ways of leaky sewer systems and septic tanks, combined sewer overflows (CSOs), agricultural runoff, and domestic animal waste.<sup>42</sup> The introduction of bacterial populations of human, animal, and environmental origin paired with the various chemical selecting agents, makes stormwater a likely hotspot for antibiotic resistance.

Urban stormwater runoff presents the unique risk of not only being a potential hotspot for the development of ARB but also being a dissemination route for ARGs across an urban watershed and into water bodies that humans directly interact with through fishing, recreation, or as a water supply. Stormwater has been found to be a source of ARGs; as stormwater travels over urban surfaces, it collects ARGs from diverse landscapes, such as streets, parking lots, urban green spaces, and stormwater sewers.<sup>45</sup> In addition, stormwater can be a vehicle for selecting agents, such as pesticides and heavy metals, and MGEs.<sup>46</sup> The confluence of resistance elements from different urban niches generates a unique resistance profile that, once disseminated into the environments that receive stormwater, has the potential to accumulate and proliferate. The resistome composition of stormwater and the downstream implications for the urban environment, however, has remained relatively unexplored in comparison to other environmental hotspots.<sup>47</sup>

#### 1.4 Review Objectives

In this review, existing information on the occurrence of ARGs in urban stormwater runoff is critically reviewed with the goal of determining the role stormwater plays in the dissemination and development of ARGs, while also outlining future research and monitoring needs. The abundance and diversity of resistance elements in direct stormwater runoff will be summarized, with a focus on ARGs, MGEs, and selective pressures. Within this review a distinction is made

between intracellular ARGs (iARGs) and extracellular ARGs (eARGs) and a discussion is provided on eARGs as an overlooked fraction of ARGs. The fate of resistance elements following transport into downstream environments is also presented to elucidate the long-term impacts of ARG contamination originating from stormwater. Green stormwater infrastructure (GSI) systems will be highlighted because they present the opportunity to manage the environmental occurrence of ARGs. The potential of GSI to capture and mitigate downstream transport of ARGs is critically reviewed in comparison to potential consequences of ARG accumulation in GSI soils. This review will ultimately introduce recommendations for future research directions with a focus on improving mitigation strategies for antibiotic resistance through urban stormwater runoff management.

## 2. Antibiotic resistance in urban stormwater runoff

### 2.1 Resistance contaminants in direct stormwater runoff and stormwater outfalls

Stormwater is a transient and diffuse source of ARGs, making its characterization difficult. As a result, only a few studies have sampled ARGs in stormwater as direct runoff from urban surfaces (Table 1).<sup>48–50</sup> Primarily, previous research has sought to describe the resistance elements in stormwater runoff by sampling at stormwater outfalls, where runoff from a larger urban area is piped and discharged into a water body (*e.g.*, lake, river, reservoir) at one central point (Table 1).<sup>48–61</sup> The stormwater disseminated from outfalls is mostly runoff collected *via* overland flow, but stormwater can include sewage inputs as it travels through a storm sewer system.<sup>56</sup> The presence of sewage in stormwater can significantly alter the ARGs present and their concentration at an outfall.<sup>52,61</sup> Primarily, sewage contamination is introduced into storm sewers through sanitary sewer cross-contamination or CSOs and is a sign of aging infrastructure.<sup>58</sup> Stormwater contaminated with sewage of human origins is of great concern for the dissemination of ARGs. Wastewater, as discussed, is a known hotspot for antibiotic resistance proliferation due to the confluences of selective pressures and elevated concentrations of ARGs. The direct input of raw wastewater into the environment *via* stormwater has the potential to exacerbate the proliferation of resistance in downstream environments. Consequently, sources of sewage contaminants are an important consideration when characterizing the resistance elements at a stormwater outfall. The studies summarized in Table 1 specify whether the outfalls sampled were a part of a combined or separate sewer system. Such information indicates if sewage contamination is likely to be present, but it was rare for sewage inputs to be confirmed. Without confirmation it is challenging to determine if stormwater poses a risk to human health due to the ARGs and ARB mobilized in stormwater as it runs off urban surfaces or if the risk is due to the presence of sewage following transport through a





**Table 1** Summary of research study designs and analytical methods used to characterize stormwater runoff and outfalls

| Study Design                       |                              |  | Analytical Methods   |                                  |                            |   |   |                     |                                   |   |  |          |
|------------------------------------|------------------------------|--|--|----------------------------------|----------------------------|---|---|---------------------|-----------------------------------|---|--|----------|
| Study location                     | Number of sampling locations | Sampling location description              | Sample collection description                                | Number of storm events           | Sampled on temporal scale? | ARB analyzed  | ARGs analyzed   | ARG analysis method | Sequencing analysis               | Selective pressures and other notable aspects analyzed                              | Mobile genetic elements                    | Citation |
| Tampa Bay, FL., USA                | 12                           | Separate storm sewer outfalls              | Grab samples   | 1                                | No                         | NA  | <i>aadA5</i> , <i>acrD</i> , <i>ampC</i> , <i>bla<sub>KPC</sub></i> , <i>bla<sub>NPS</sub></i> , <i>bla<sub>OXA</sub></i> , <i>bla<sub>SHV</sub></i> , <i>cmiB</i> , <i>ereB</i> , <i>imp13</i> , <i>mefE</i> , <i>merA</i> , <i>mexB</i> , <i>nikA</i> , <i>qacF</i> , <i>qnrA</i> , <i>qnrB</i> , <i>rcnA</i> , <i>strB</i> , <i>sul1</i> , <i>sul2</i> , <i>sul3</i> , <i>tetA</i> , <i>tetL</i> , <i>tetM</i> , <i>tetS</i> , <i>tetW</i> , <i>tetX</i> | Microfluidic qPCR   | NA                                | Heavy metal resistance genes <i>cadA</i> , <i>cadB8</i> , <i>chrA</i> , <i>copA</i> | <i>intl1</i> , <i>intl2</i> , <i>intl3</i> | 52       |
| Lincoln, NE., USA                  | 3                            | Separate storm sewer outfalls              | Grab samples   | 2 per outfall; 4 events in total | Yes                        | NA  | NA  | NA                  | Whole genome shotgun sequencing   | NA  | NA   | 53       |
| Stockholm, Sweden                  | 5                            | Separate storm sewer manholes              | Grab samples   | 6                                | Yes                        | NA  | NA  | NA                  | Oxford Nanopore MinION sequencing | NA  | Phage and plasmid identification           | 57       |
| Natal, Rio Grande do Norte, Brazil | 3                            | Separate storm and combined sewer outfalls | Grab samples   | 9                                | Yes                        | <i>E. coli</i> [ampicillin, cephalothin, chloramphenicol, nalidixic acid, nitrofurantoin, sulfamethoxazole, and tetracycline] | NA  | NA                  | NA                                | <i>E. coli</i> [copper, zinc, and mercury]  | NA   | 51       |
| Sydney, New South Wales, Australia | 4                            | Stormwater drains                          | Grab samples   | 1                                | No                         | All isolates [meropenem]; <i>Rheinheimera</i> and <i>Variovorax</i> isolates [meropenem, penicillin G, cefoxitin, kanamycin]  | NA  | NA                  | 16S rRNA amplicon sequencing      | NA  | Plasmid and transposon identification      | 54       |
| New York City, NY., USA            | 1                            | Combined sewer outfall                     | Storm 1, two time points; storm 2 and 3, samples every 15–30 | 3                                | Yes                        | NA  | <i>sul1</i> , <i>tetG</i>   | qPCR                | NA                                | NA  | NA   | 58       |



Table 1 (continued)

| Study Design                |                              |   | Analytical Methods            |                          |                            |   |  |                     |  |   |   |          |
|-----------------------------|------------------------------|---|-------------------------------|--------------------------|----------------------------|---|--|---------------------|--|---|---|----------|
| Study location              | Number of sampling locations | Sampling location description                         | Sample collection description | Number of storm events   | Sampled on temporal scale? | ARB analyzed  | ARGs analyzed  | ARG analysis method | Sequencing analysis  | Selective pressures and other notable aspects analyzed                                | Mobile genetic elements   | Citation |
| Columbus, OH., USA          | 4                            | Separate storm sewer outfalls                         | minutes<br>Composite sample   | 5                        | Yes                        | NA  | <i>sul1</i> , <i>tetQ</i> , KPC                          | ddPCR               | Whole genome shotgun metagenomic sequencing (for only 1 storm event) | NA  | MGE annotation  | 55       |
| Munich, Germany             | 2                            | Stormwater quality improvement devices                | Influent composite samples    | 21                       | Yes                        | NA  | NA   | NA                  | 16S rRNA amplicon sequencing and MinION sequencing                   | Chromium, copper, nickel, lead, zinc quantification; metal resistance gene annotation | <i>int1</i> quantification and <i>int1</i> gene cassette sequencing | 50       |
| Milwaukee, WI., USA         | 1                            | Combined sewer outfall                                | Grab samples                  | 4                        | Yes                        | <i>E. coli</i> [ampicillin, chlortetracycline, kanamycin, nalidixic acid, neomycin, oxytetracycline, penicillin, streptomycin, sulfathiazole, tetracycline, and total resistance] | NA   | NA                  | NA   | NA  | NA  | 59       |
| Milwaukee, WI., USA         | 2                            | Separate storm sewer outfall and curbside storm drain | Grab samples                  | 3                        | Yes                        | NA  | <i>bla</i> TEM, <i>erm</i> F, <i>sul1</i> , <i>tet</i> C | ddPCR               | NA   | Lead, cadmium, arsenic, chromium, nickel, copper, zinc, iron quantification           | <i>int1</i> quantification  | 48       |
| Langfang and Beijing, China | 5                            | Ground runoff and combined sewer outfall              | Composite sample              | 1                        | No                         | NA  | NA   | NA                  | Whole genome shotgun metagenomic sequencing                          | NA  | NA  | 60       |
| Toronto, Ontario, Canada    | 7                            | Combined sewer outfall                                | Grab samples                  | 9–14 (outfall dependent) | Yes                        | <i>E. coli</i> [ampicillin]   | NA   | NA                  | NA   | NA  | NA  | 56       |



Table 1 (continued)

| Study Design                              |                              |  | Analytical Methods            |                         |                            |   |  |                         |                              |  |  |          |
|---|------------------------------|--|-------------------------------|-------------------------|----------------------------|---|--|-------------------------|------------------------------|--|--|----------|
| Study location                            | Number of sampling locations | Sampling location description                                  | Sample collection description | Number of storm events  | Sampled on temporal scale? | ARB analyzed  | ARGs analyzed  | ARG analysis method     | Sequencing analysis          | Selective pressures and other notable aspects analyzed | Mobile genetic elements                                    | Citation |
| Central Coast, New South Wales, Australia | 3                            | Separate storm sewer outfalls                                  | Grab samples                  | 1-3 (outfall dependent) | Yes                        | NA  | <i>su11</i> , <i>tetA</i> , <i>qnrS</i> , <i>dfra1</i> , <i>vanB</i>   | qPCR                    | 16S rRNA amplicon sequencing | NA   | <i>int1</i> quantification                                 | 61       |
| Nanjing, Jiangsu, China                   | 3                            | Urban underlying surface ( <i>i.e.</i> , curbside storm drain) | Grab samples                  | 11                      | Yes                        | Heterotrophic plate counting [tetracycline, ampicillin, and sulfadiazine] | <i>tetA</i> -01, <i>tetC</i> -01, <i>tetO</i> -01, <i>tetQ</i> , <i>tetW</i> , <i>tetM</i> -01, <i>bla</i> <sub>TEM</sub> , <i>bla</i> <sub>OXA1</sub> / <i>bla</i> <sub>OXA30</sub> , <i>bla</i> <sub>SHV</sub> -01, <i>bla</i> <sub>SHV</sub> -02, <i>su11</i> , <i>su12</i> , <i>su13</i> , <i>ermB</i> , <i>ermC</i> , <i>ermF</i> , <i>qnrA</i> | SmartChip Real-Time PCR | 16S rRNA amplicon sequencing | NA   | <i>int1</i> , <i>int12</i> , <i>trbC</i> , <i>tnpA</i> -01 | 49       |

storm sewer. Breaking down this distinction will indicate where management actions will be most effective.<sup>53</sup> Research suggested that street cleaning efforts would limit the ARG contamination coming from stormwater outfalls because the ARGs were found to originate predominantly from urban surfaces and were not the result of sewage contamination. In the case though that sewage is introducing ARG contamination, updates to infrastructure systems would be more appropriate.<sup>53</sup>

Without the dominant presence of sewage pollution, the composition and concentration of resistance elements at outfalls has been found to be dependent on the drainage area characteristics. Source tracking analysis of the sources of ARGs to a stormwater outfall revealed that impervious surfaces contributed 92–96% of the ARGs, indicating that the impervious nature of this outfall's drainage area is enabling stormwater to be a vector of ARG dissemination.<sup>53</sup> Moreover, ARG concentrations were found to differ when four outfalls were comparatively analyzed across four different sewersheds that varied in land use, size, and imperviousness.<sup>55</sup> In addition to watershed dynamics, hydrologic factors such as the antecedent dry weather period, rainfall intensity, and rainfall duration are important concerns for the profile of resistance elements observed at stormwater outfalls. Specifically, long antecedent dry weather periods enable the buildup of contaminants on impervious surfaces that are able to be picked up and transported by stormwater,<sup>48</sup> while short, intense storms are able to mobilize a higher concentration of ARGs.<sup>55,58</sup> Such research exemplifies the dynamic nature of ARGs in runoff collected from urban environments, in addition to highlighting the number of factors that must be considered when designing a study for sampling stormwater. The research papers summarized in Table 1 primarily did not report all the factors identified here as influencing resistance elements at outfalls. Moving forward it is critical that sewage contamination, drainage area characteristics, and hydrologic conditions preceding and during sampling are viewed as requirements in the conceptualization and reporting of antibiotic resistance in stormwater. This information will provide the appropriate information for characterizing the resistance elements in stormwater.

**2.1.1 The concentration of ARGs in urban stormwater runoff.** ARG concentrations in urban runoff exemplify how the dynamic nature of stormwater can lead to significant variability in research findings. The range in reported ARG concentration values is very large. *su11*, a sulfonamide resistance gene, is the most frequently reported ARG in stormwater runoff with its concentration ranging from  $1.66 \times 10^3$  to  $4.68 \times 10^9$  gene copies per L.<sup>48,55</sup> *tetA* is a tetracycline resistance gene that has also been reported multiple times, with its concentration ranging from  $3.80 \times 10^3$  to  $1.36 \times 10^7$  gene copies per L.<sup>52,61</sup> Interestingly in a study that sampled from 12 outfall sites, the most of any study included in Table 1, they were able to conclude that *su11* and *tetA* were highly prevalent in stormwater as they were detectable in >95% of samples.<sup>52</sup> However, in one of the few studies that



sampled stormwater directly from the ground, *sul1* or *tetA* was not detected in any stormwater sample.<sup>49</sup> The difference in detection could thus be the results of the source of runoff being exclusively road runoff as well as the potential pickup of ARGs from storm sewers. Differences in ARG concentrations between direct runoff and stormwater collected at a hydrologically connected outfall has been observed previously corroborating the idea that the transport of urban runoff through storm sewers can significantly alter the concentration of ARGs observed.<sup>48</sup> Again, this could be due to the presence of sewage in the storm sewer, in addition to other biological and chemical processes occurring in pipes between stormwater events (e.g., biofilm formation). Future research aiming to sample outfalls should thus consider how stormwater transport through storm sewers may be altering the ARGs quantified. This could include designing studies with appropriate sample controls that describe stormwater prior to entering the storm sewer systems or investigating the microbial community in stormwater outfalls during dry periods. Moreover, quantification of sewage markers or comparative resistome analysis to raw wastewater would assist in identifying contamination sources.

From other studies that reported variable ARG concentrations in stormwater runoff, the microbial community composition as well as the time of sampling were proposed as additional explanatory factors.<sup>58,61</sup> For the time of sampling, specifically, there is a scarcity of data presenting ARG concentrations over the duration of a storm event at stormwater outfalls. Only one study presented data from two storms sampled in which ARG concentrations were quantified from samples collected every 15–30 minutes.<sup>58</sup> The ARGs quantified displayed intra- and inter-storm variability with inconsistent timing of peak relative concentrations. In neither case did peak ARG concentration correspond with peak rainfall or microbial community signatures. While it is not clear from this study what dictates the timing of peak ARG concentrations, it is apparent that collecting one grab sample is not sufficient in characterizing the dynamics of ARG concentrations originating from stormwater outfalls. To identify the risk associated with the ARGs disseminated *via* stormwater, periods of peak ARG loading should be targeted in sampling, consequently time-series sampling of stormwater is necessary and further work should be dedicated to understanding how ARG contaminants partition across storm events.

### 2.1.2 The occurrence of ARB in urban stormwater runoff.

In stormwater samples, culture-based methods have been used to confirm the presence of ARB, though less than any other analytical method (Table 1). This finding may be the result of the inherent limitations of culture-based methods, as well as the fact that antibiotic resistance-based stormwater has emerged relatively recently and has coincided with the increased usage and decreased cost of quantification and sequencing methods.<sup>47,62</sup> However, the decreased quantification of ARB does indicate that there is a lack of

research focused on characterizing the phenotypic response of bacteria in stormwater. From analysis of *E. coli* isolates in stormwater, the highest rates of resistance were to ampicillin antibiotics.<sup>51,59</sup> The maximum concentrations of Amp<sup>R</sup> *E. coli* reported at an outfall was approximately 5-log colony-forming units (CFU) L<sup>-1</sup>.<sup>56</sup> *E. coli* resistance to penicillin, streptomycin, and cephalothin has also been reported in stormwater, with similar resistance patterns observed to these antibiotics as well as ampicillin in outfalls with no known sewage inputs and in outfalls with identified sewage contamination.<sup>51,59</sup> This result is in direct contradiction to previous findings that sewage inputs alter resistance patterns in stormwater. The previously discussed literature, however, just reported ARG concentrations, and thus this finding highlights the importance of pairing ARG quantification with phenotypic analysis to fully comprehend resistance patterns. Moreover, it is likely that ARB in stormwater originate from complex sources and that future research should be dedicated to revealing what inputs, beyond sewage contamination, are contributing to the elevated resistant community. When heterotrophic plate counting was utilized to investigate ARB in stormwater, the concentration of tetracycline,  $\beta$ -lactam, and sulfonamide resistant bacteria ranged between  $8.92 \times 10^3$ – $2.33 \times 10^5$  CFU L<sup>-1</sup>.<sup>49</sup> Analyzing species beyond *E. coli* is especially beneficial in reporting how widespread resistance is in stormwater bacterium; carbapenem resistance, for example, was reported to be especially prevalent in Gram-negative bacteria, including opportunistic pathogens of humans *Pseudomonas monteilii*, *P. fulva*, *Brevundimonas vesicularis*, *Enterococcus durans*, *Acinetobacter junii*, *A. johnsonii*, and *S. maltophilia*.<sup>54</sup>

**2.1.3 The diversity of the urban stormwater runoff resistome.** Using sequencing technology to investigate the resistance profile of stormwater was the most common analytical method employed by the studies detailed in Table 1. Antibiotic resistance stormwater research in general utilizes non-targeted sequencing methods more frequently than the environmental compartments of livestock manure, wastewater, groundwater, and drinking water.<sup>47</sup> Using sequencing technology allows the research to not only describe the diversity of resistance genes in stormwater, but also their potential hosts and association with pathogens and MGEs. Quantitative sequencing results, however, are difficult to compare across studies due to differences in sequencing technology, sequencing depth, annotation tools, and inconsistent methods for quantifying relative abundance. Primarily, to compare sequencing results, meta-analyses are completed on raw data acquired from public repositories to ensure that the metagenomes are analyzed identically. This approach minimizes biases, though experimental protocols (e.g., DNA extraction and library preparation) can still affect the composition of the sequenced metagenomes.<sup>63</sup> In order to allow for more cross-study comparisons, the amount of variance introduced by varying metagenomic sequencing techniques should be quantified, and standardized protocols should be recommended. For example, a normalizing



method for the units applied to metagenomic ARG data has recently been proposed.<sup>64</sup>

What has been confirmed across studies is that stormwater contains a diverse resistome, with multidrug resistance (MDR) being the most common classification for the ARGs.<sup>53,57,60</sup> MDR is a major concern for the public health crisis of antibiotic resistance because it indicates that the bacterium is able to confer resistance to more than one antibiotic. MDR can thus limit treatment options for bacterial infections and can ultimately lead to resistance to all antibiotics (*i.e.*, pan-drug resistance).<sup>65</sup> MDR in metagenomic datasets is frequently the result of an efflux pump (*e.g.*, *mexB* and *oprA*), which is a cellular system that can extrude substrates from a cell, including antibiotics.<sup>66</sup> Despite this ability, the presence of a MDR efflux pump does not imply phenotypic resistance to antibiotics as efflux pumps can serve many functional roles in environmental bacteria.<sup>66–68</sup> As a result, the implications and relevancy of their presence in stormwater bacteria for public health is unclear.

Beyond efflux pumps, aminoglycoside and bacitracin resistance genes were the most frequently occurring ARGs in genome datasets.<sup>50,53,55,57,60</sup> Through sequencing techniques, the microbial hosts of these ARGs have been identified. Aminoglycoside ARGs, for example, were found to be correlated with the *Limnohabitans*, *Lefsonia*, and *Sphingomonas* genera, which are associated with freshwater, soil and plant, and wastewater environments, respectively.<sup>57</sup> This finding, again, emphasizes the numerous potential sources of ARGs in urban stormwater. Stormwater ARGs have also been linked with genera that contain pathogens. The *Mycobacterium*, *Bordetella*, *Propionibacterium*, *Pseudomonas*, and *Corynebacterium* genera have been documented in the research of stormwater outfalls, with further correlation analysis specifically finding the ARGs *sul1*, *dfrA1* and *qnrS* correlated to *Arcobacter* sp., *Comamonadaceae testosteroni*, and *Bacteroides fragilis*.<sup>50,57,61</sup>

**2.1.4 The mobility of resistance elements in urban stormwater runoff.** ARGs in urban runoff have also been found to be hosted by MGEs. The occurrence of ARGs on MGEs can have large implications for public health, such as the rapid spread of ARGs across bacterial species and environments, which has been observed for carbapenem resistance genes due to their location on plasmids and transposons.<sup>54</sup> In stormwater runoff samples, the genes *aac(6')-1*, *aac(3)-X*, *qacG*, and *vanR* were discovered to be exclusively located on mobile plasmids.<sup>57</sup> Integrins are an additional MGE that are regularly investigated in stormwater runoff, specifically the class 1 integron-integrase gene, *intI1*. *intI1* concentrations in stormwater range between  $7.01 \times 10^4$  to  $3.72 \times 10^{11}$  GC L<sup>-1</sup> and are comparable to those reported in wastewater effluent.<sup>50,52,61,69</sup> While indicating the mobility of ARGs, *intI1* has also been proposed to be a marker of anthropogenic pollution in the environment.<sup>70</sup> *intI1* in urban stormwater supports this idea as it has been found to be significantly correlated with the ARGs *sul1*, *bla<sub>TEM</sub>*, and *tetC*

as well as indicators of human sewage.<sup>48,50,61</sup> Correlation analysis, while effective to show probable relationships, does not prove mobility. Future research should remain focused on identifying the hosts of ARGs in urban runoff particularly as it relates to pathogenic bacteria and MGEs, but more rigorous analyses need to be established to confirm co-location and implications for the threat associated with ARGs hosts.

**2.1.5 The presence of selective pressures in urban stormwater runoff.** MGEs are able to carry multiple resistance genes, and thus their acquisition by bacteria can result in co-resistance. In stormwater, sequenced class 1 integrons were found to contain ARGs co-located with metal resistance genes (MRG) conferring resistance to lead, cadmium, zinc, copper, and silver.<sup>50</sup> Co-resistance could thus be a major mechanism for bacteria to obtain ARGs in stormwater, as metals are an abundant selective pressure pollutant in runoff. The observed co-located ARGs and MGEs by<sup>50</sup> supports this notion as the stormwater sampled was from a road, where metal concentrations were especially high. Specifically, the average concentration of chromium and copper in the stormwater influent of the roadside quality improvement device were 7.6 and 56.2 µg L<sup>-1</sup>, respectively.<sup>50</sup> In a study evaluating the increase in the frequency of conjugation between two *E. coli* strains, concentrations of chromium and copper at 10 and 50 µg L<sup>-1</sup> were both found to increase conjugation by over 2-fold.<sup>71</sup> Moreover, in multiple environments, the presence of metals has been reported to promote HGT of ARGs with even sub-minimal inhibitory concentrations of metals increasing the rate of conjugation significantly.<sup>26</sup> However, the rate of HGT in stormwater as well as the impact of stormwater metal concentrations have yet to be investigated. In addition, quantifying and reporting selective pressures like metals is scarcely included in stormwater research (Table 1) and thus, major research gaps exist about their role in exasperating resistance in urban stormwater runoff.

## 2.2 Extracellular ARGs: an underexplored antibiotic resistance element

Extracellular ARGs (eARGs) are crucial elements of the resistome that have been under investigated in urban stormwater runoff. eARGs can originate in the environment indirectly from input sources containing eARGs, or directly from the extrusion of iARGs from microbial cells or passive release as a result of cell lysis.<sup>72,73</sup> In the environment, eARGs are a source of genetic material available for bacteria to acquire *via* horizontal gene transformation and thus, a means for antibiotic resistance propagation. The concentration of eARGs and the rate of transformation, moreover, can be influenced by abiotic and biotic factors differently in comparison to iARGs and conjugation.<sup>26</sup> As such, to fully characterize the resistance elements in an environment as well as the risk of ARB proliferation by HGT mechanism, iARGs and eARGs must both be considered.





The fate and stability of eARGs have been found to differ from iARGs.<sup>73–75</sup> Crucially, eARGs have been found to persist under various environmental conditions longer than that of iARGs.<sup>76,77</sup> Though the results are ranging, eARGs can persist in certain environments from a few days to years.<sup>78–81</sup> The fate of eARGs is significantly influenced by temperature, salinity, radiation, solids, and DNA characteristics (e.g., size, concentration, and integrity).<sup>73,79</sup> Soil colloids and particles specifically have been investigated for providing long term protection and stability to eARGs, and it has been confirmed that eARGs adsorbed to sediments remain bioavailable for transformation.<sup>75,82</sup> Consequently, eARGs have been found to be the dominant fraction of ARGs in environments with elevated sediment and soil concentrations.<sup>83</sup> Specific environments where eARGs have been quantified as a significant portion of the total ARGs include estuary sediments,<sup>83,84</sup> marine sediments,<sup>78</sup> airborne particulate matter,<sup>85</sup> and municipal, hospital, and pharmaceutical industry wastewater effluents.<sup>86,87</sup>

Despite the significant and distinct role of eARGs in the proliferation of antibiotic resistance, their abundance in aquatic environments has not been well characterized. This gap in research exists in part due to the small size of eARGs and the large sample and chemical requirements for chemical precipitation methods that have been applied to soil-based samples.<sup>26,69,88</sup> This made the extraction of eARG difficult, and only recently have methods been developed specifically to extract eARGs from aquatic samples with varying matrices and eARG concentrations.<sup>69</sup> In stormwater, eARGs remain a significant gap in research, though the high concentrations of solids that frequently pollute urban runoff and the suspension of eARGs from riverbed sediments during rainfall events make their incidence likely. This research gap was recently addressed, in which it was found that stormwater runoff contributes to an increase in extracellular resistance elements in urban water bodies.<sup>48</sup> Moreover, eARGs displayed different seasonal and temporal dynamics from that of iARGs, highlighting the importance of including eARGs in comprehensively characterizing the dynamics influencing the resistome of urban runoff.<sup>48</sup> Beyond this one study, the fate and transport of eARGs in urban runoff and the impact of stormwater properties on the occurrence of eARGs and their rate of transformation remain unknown. As a result, future research should be dedicated to uncovering the specific role eARGs play in the development and propagation of antibiotic resistance in urban runoff.

### 3. The impact of antibiotic resistance dissemination *via* stormwater into downstream receiving environments

#### 3.1 Resistance elements in downstream aquatic environments

The research targeting antibiotic resistance at stormwater outfalls has provided evidence that stormwater should be

considered a hotspot for antibiotic resistance. Surface waters, however, are typically more connected to human health, as they are used as sources of drinking water and recreation. Consequently, researchers have focused on understanding the effects antibiotic resistance elements in stormwater can have on ecological functioning and human health by sampling water bodies receiving urban stormwater runoff (Table 2).<sup>48,51,53,54,56,58,59,61,89–101</sup> Environments that receive direct inputs, such as rivers, estuaries, and lakes, have been described during, immediately after, and after an extended period following rainfall events. Conflicting results of ARG abundances during rainfall have been reported. Rainfall volume and depth have been observed to be correlated directly with ARG abundances,<sup>95,98</sup> while inconsistent and variable relationships between ARG concentrations and hydrological conditions were also described.<sup>97</sup> Specifically, it was found that only the *sul2* relative concentration was greatest at the peak flow of the storms, while the *sul1* relative concentration peaked in the rising limb and *tetO*, *tetW*, and *ermF* peaked with the falling limb of the storm events. Inter-storm variability was also reported, in which ARG concentrations were found to peak at different times across the three storms characterized.<sup>58</sup> Such results can be explained by the several distinct sources of ARGs that can exist in a drainage area and the time required to transport peak concentrations across a watershed. Sources found to significantly impact the concentrations of ARGs over a storm event include agricultural runoff and sewage inputs from combined sewer overflows.<sup>58,59,92,96</sup>

Immediately following rainfall (~24 hours) it was observed that the absolute abundance of ARGs increased and ARB phenotypes were more frequent in multiple water bodies, including streams, rivers, estuaries, and lakes.<sup>56,59,61,89–93,101</sup> This result was reported consistently in watersheds of varying sizes and land uses, under different hydrologic conditions, in various seasons, and in several geographic locations. Accordingly, antibiotic resistance pollution originating from stormwater can be considered a widespread occurrence. Specifically, when the genes *tetA*, *sul1*, *dfra1*, and *qnrS* were analyzed at an urban beach comparatively between baseflow and after rainfall the concentrations were found to increase 10- to 30-fold.<sup>93</sup> A similar result was observed at a coastal lagoon where a 2–3 order of magnitude increase in the concentration of *sul1* and *dfra1* was reported.<sup>61</sup> The *tetA* gene, however, did not increase in concentration, indicating that individual ARGs can exhibit varying dynamics in downstream environments following rainfall.<sup>61</sup> For ARB phenotypes after a storm event, resistance to ampicillin, oxytetracycline, penicillin, streptomycin, sulfathiazole, and tetracycline antibiotics increased in an urban river<sup>91</sup> and resistance to levofloxacin, cefazolin, nalidixic acid, vancomycin, tetracycline, sulfamethoxazole, streptomycin increased in an urban lake.<sup>89</sup>

The impacts to downstream water bodies have also been shown to be persistent (>24 hours after rainfall). Concentrations of ARGs are typically highest one day



Table 2 Summary of research study designs and analytical methods used to characterize aquatic environments receiving stormwater runoff

| Study Design                         |                        |                          | Analytical Methods       |                            |  |   |   |                        |  |  |                             |          |
|--------------------------------------|------------------------|--------------------------|--------------------------|----------------------------|--|---|---|------------------------|--|--|-----------------------------|----------|
| Study location                       | Downstream environment | Number of sampling sites | Baseflow sampling events | Stormwater sampling events | Stormwater sampling during, after, and/or extended period after rainfall | ARGs  |   |                        |  |  |                             |          |
|                                      |                        |                          |                          |                            |  | ARBs analyzed   | ARGs analyzed   | ARG analysis method    | Sequencing analysis  | Selective pressures and other notable aspects analyzed | Mobile genetic elements     | Citation |
| Lincoln, NE., USA                    | Stream                 | 1                        | Yes (n = 8)              | 8                          | During   | NA  | NA  | NA                     | Metagenomic whole genome sequencing                        | NA   | NA                          | 53       |
| Chicago, IL., USA                    | Beach water            | 1                        | Yes (n = 2)              | 1                          | After  | <i>Pseudomonas</i> [fosfomycin, ciprofloxin, vancomycin]  | NA  | NA                     | 16S rRNA amplicon and <i>Pseudomonas</i> genome sequencing | <i>Pseudomonas</i> [mercury and copper]                | Prophage detection          | 99       |
| Natal, Rio Grande do Norte, Brazil   | Beach water            | 3                        | Yes (n = 3)              | 9                          | After  | <i>E. coli</i> [ampicillin, cephalothin, chloramphenicol, nalidixic acid, nitrofurantoin, sulfamethoxazole, tetracycline] | NA  | NA                     | NA   | <i>E. coli</i> [copper, zinc, and mercury]             | NA                          | 51       |
| Sydney, North South Wales, Australia | Beach water            | 2                        | Yes                      | 16                         | During, after, and after extended period (sampled weekly for two years)  | NA  | tetA, <i>su11</i> , <i>qnrS</i> , <i>dfrA1</i> , vanBac(6'), <i>aac</i> (6')-cr, <i>aac</i> (3')-II, <i>aacA4</i> (Amk), <i>aacA4</i> (Gen), <i>aacA4</i> cr, <i>aacC1</i> , <i>aadB</i> , <i>armA</i> , CMY-2, CTX-M, DHAs, GES, IMP, KPC, NDM, OXA-10, OXA-23, OXA-30, OXA-48, <i>panSHV</i> , <i>qnrA</i> , <i>qnrB</i> , <i>qnrS</i> , <i>rmtB</i> , <i>rmtC</i> , <i>rmtF</i> , CHV nonESBL, SHV/ESBL, TEM, VEB, VIM | qPCR and multiplex PCR | 16S rRNA amplicon sequencing                               | NA   | <i>int11</i> quantification | 93       |



Table 2 (continued)

| Study Design           |                                |                          | Analytical Methods       |                            |  |  |  |                     |   |   |  |                                       |          |
|------------------------|--------------------------------|--------------------------|--------------------------|----------------------------|--|--|--|---------------------|---|---|--|---------------------------------------|----------|
| Study location         | Downstream environment         | Number of sampling sites | Stormwater               |                            | Stormwater sampling during, after, and/or extended period after rainfall | ARGs analyzed  |  |                     |   | Sequencing analysis   | Selective pressures and other notable aspects analyzed | Mobile genetic elements               | Citation |
|                        |                                |                          | Baseflow sampling events | Stormwater sampling events |  | ARBs analyzed  | ARGs analyzed  | ARG analysis method |   |   |  |                                       |          |
| Chicago, IL., USA      | Man-made stream                | 1                        | Yes (n = 3)              | 2                          | After (<24 hours)  | NA   | NA   | NA                  | NA  | Metagenomic whole genome and 16S rRNA amplicon sequencing   | NA   | NA                                    | 104      |
| Sydney, Australia      | Seawater and freshwater stream | 3 each                   | Yes (n = 1)              | 1                          | After (<24 hours)  | <i>E. coli</i> [meropenem, penicillin G, cefoxitin, kanamycin] | NA   | NA                  | NA  | 16S rRNA amplicon sequencing  | NA   | Plasmid and transposon identification | 54       |
| Piedmont region, Italy | River                          | 1                        | Yes (n = 1)              | 1                          | During   | NA   | <i>tetA</i> , <i>ermB</i> , <i>bla</i> <sub>CTX-M3</sub> , <i>sul1</i> , <i>qnrS</i> | qPCR                | NA  | NA  | NA   | NA                                    | 95       |
| New York City, NY, USA | River                          | 3                        | Yes (n = 2)              | 1                          | During or after (site dependent)   | NA   | <i>sul1</i> , <i>sul2</i> , <i>tetG</i> , <i>tetO</i>                                | qPCR                | NA  | NA  | NA   | NA                                    | 58       |
| Fort Collins, CO., USA | River                          | 5                        | Yes (n = 1)              | 4                          | After extended period (3, 6, 8, 10 months)                               | NA   | <i>sul1</i> , <i>sul2</i> , <i>tetO</i> , <i>tetW</i> , <i>ermF</i>                  | qPCR                | 16S rRNA amplicon and metagenomic whole genome sequencing | Silver, arsenic, barium, cerium, chromium, copper, gadolinium, manganese, lead, platinum, strontium, thorium, uranium; anhydrotetracycline, azithromycin, clarithromycin, chlortetracycline, doxycycline, erythromycin, 4-epitetracycline, oxytetracycline, sulfadimethoxine, sulfamethoxazole, sulfamethazine, sulfadiazine, tetracycline, tylosin | NA   | NA                                    | 96       |





Table 2 (continued)

| Study Design           |                           |                          | Analytical Methods       |                            |  |   |   |                     |                                     |  |                            |  |                         |          |
|------------------------|---------------------------|--------------------------|--------------------------|----------------------------|--|---|---|---------------------|-------------------------------------|--|----------------------------|--|-------------------------|----------|
| Study location         | Downstream environment    | Number of sampling sites | Baseflow sampling events | Stormwater sampling events | Stormwater sampling during, after, and/or extended period after rainfall | ARGs analyzed   |   |                     |                                     |  | Sequencing analysis        | Selective pressures and other notable aspects analyzed | Mobile genetic elements | Citation |
|                        |                           |                          |                          |                            |  | ARBs analyzed   | ARGs analyzed   | ARG analysis method | ARGs analyzed                       | ARG analysis method  |                            |  |                         |          |
| Blacksburg, VA., USA   | Creek                     | 1                        | Yes (n = 3)              | 3                          | During   | NA  | <i>sul1</i> , <i>sul2</i> , <i>tetO</i> , <i>tetW</i> , <i>ermF</i>   | qPCR                | Metagenomic whole genome sequencing | NA   | NA                         | NA   | 97                      |          |
| Xiamen City, China     | Lagoon                    | 7                        | Yes (n = 1)              | 3                          | After and after extended period (1, 2, and 11 days)                      | NA  | 285 ARGs  | HT-qPCR             | 16S rRNA amplicon sequencing        | NA   | 10 MGEs (HT-qPCR)          | 102  |                         |          |
| Greenwood, NS., Canada | River                     | 8                        | Yes (n = 4)              | 1                          | During   | NA  | <i>bla</i> <sub>CTX-M6</sub> , <i>bla</i> <sub>TEM6</sub> , <i>qnrS</i> , <i>sul1</i> , <i>sul2</i> , <i>tetO</i> , <i>mecA</i> , <i>vanA</i> | qPCR                | NA                                  | Amoxicillin, cefaclor, cefprozil, cefdinir, levofloxacin, ciprofloxacin, azithromycin, clindamycin, clarithromycin, triclocarbon | <i>int1</i> quantification | 98   |                         |          |
| Milwaukee, WI., USA    | Estuary, harbor, and lake | 3 each                   | Yes                      | 37                         | During and after   | <i>E. coli</i> [ampicillin, chlortetracycline, kanamycin, nalidixic acid, neomycin, oxytetracycline, penicillin, streptomycin, sulfathiazole, tetracycline, total resistance] | NA  | NA                  | NA                                  | NA   | NA                         | 59   |                         |          |
| Milwaukee, WI., USA    | River and lake            | 1 each                   | Yes (n = 3)              | 3                          | During   | NA  | <i>bla</i> <sub>TEM6</sub> , <i>ermF</i> , <i>sul1</i> , <i>tetC</i>  | ddPCR               | NA                                  | Lead, cadmium, arsenic, chromium, nickel, copper, zinc, iron   | <i>int1</i>                | 48   |                         |          |
| Milwaukee, WI., USA    | River                     | 10                       | Yes (n = 3)              | 5                          | After and after extended period (<14 hours after 3 rainfall events; 18   | <i>E. coli</i> [ampicillin, streptomycin, chlorotetracycline, nalidixic acid, oxytetracycline, tetracycline, kanamycin, neomycin,   | NA  | NA                  | NA                                  | Arsenic, cadmium, chromium, copper, lead, magnesium, nickel, selenium, silver, zinc  | NA                         | 91   |                         |          |

Table 2 (continued)

| Study Design                              |   |                              |                          |                            | Analytical Methods   |  |   |                     |                              |   |   |          |
|---|---|------------------------------|--------------------------|----------------------------|--|--|---|---------------------|------------------------------|---|---|----------|
| Study location                            | Downstream environment                      | Number of sampling sites     | Baseflow sampling events | Stormwater sampling events | Stormwater sampling during, after, and/or extended period after rainfall | ARBs analyzed  | ARGs analyzed   | ARG analysis method | Sequencing analysis          | Selective pressures and other notable aspects analyzed  | Mobile genetic elements                 | Citation |
| Adelaide, South Australia                 | Biofilms in a stormwater harvesting aquifer | 3                            | No                       | 3                          | Stormwater sampling during, after, and/or extended period after rainfall | and 42 hours after CSO event)  | NA  | 410 gene categories | Microarray                   | NA  | Microarray of metal resistance genes    | 103      |
|   |   |                              |                          |                            | extended period (30, 60, and 90 days)                                    | Not specified  | <i>E. coli</i> [ampicillin]   | NA                  | NA                           | NA  | NA                                      | NA       |
| Toronto, Ontario, Canada                  | Karst spring                                | 1                            | Yes ( <i>n</i> = 51)     | 2                          | During, after, and after extended period (weekly for 16 months)          | NA   | <i>su11</i> , <i>su12</i> , <i>dfrA1</i> , <i>dfrA12</i> , <i>bla<sub>SHV</sub></i> , <i>aadA</i> , <i>tetA</i> , <i>tetB</i> , <i>tetC</i> , <i>tetK</i> , <i>ermB</i> | PCR and qPCR        | NA                           | NA  | NA                                      | 101      |
| Central Coast, New South Wales, Australia | Lagoon and seawater                         | 5 (lagoon) and 27 (seawater) | Yes ( <i>n</i> = 2)      | 3                          | After and after extended period (5 days)                                 | NA   | <i>su11</i> , <i>tetA</i> , <i>qnrS</i> , <i>dfrA1</i> , <i>vanB</i>  | qPCR                | 16S rRNA amplicon sequencing | NA  | <i>int1</i> quantification              | 61       |
| New York City, NY., USA                   | Estuary                                     | 10                           | Yes ( <i>n</i> = 6)      | 17                         | After  | Heterotrophic bacteria [ampicillin and tetracycline]   | NA  | NA                  | NA                           | NA  | NA                                      | 92       |
| Nanjing City, Jiangsu, China              | Lake  | 6                            | Yes ( <i>n</i> = 1)      | 1                          | After  | <i>E. coli</i> and <i>S. aureus</i> [levofloxacin, streptomycin, gentamicin, nalidixic acid, vancomycin, tetracycline, sulfamethoxazole, ceftriaxone, cefazolin] | <i>tetE</i> , <i>tetM</i> , <i>tetO</i> , <i>su11</i> , <i>su12</i> , <i>su13</i> , <i>su1A</i> , <i>srrA</i> , <i>srrB</i>   | PCR                 | NA                           | Aureomycin, chloramphenicol, ceftriaxone, cefazolin, doxycycline, erythromycin, florfenicol, gentamicin, levofloxacin, nalidixic acid, norfloxacin, ofloxacin, streptomycin, sulfadiazine, oxytetracycline, | <i>int1</i> , <i>int2</i> , <i>int3</i> | 89       |





Table 2 (continued)

| Study Design   |                        | Analytical Methods       |                          |                            |  |   |
|----------------|------------------------|--------------------------|--------------------------|----------------------------|--|---|
| Study location | Downstream environment | Number of sampling sites | Baseflow sampling events | Stormwater sampling events | Stormwater sampling during, after, and/or extended period after rainfall | ARBs analyzed   |
|                |                        |                          |                          |                            |  | ARG analysis method   |
|                |                        |                          |                          |                            |  | ARGs analyzed   |
|                |                        |                          |                          |                            |  | Sequencing analysis   |
|                |                        |                          |                          |                            |  | Selective pressures and other notable aspects analyzed                  |
|                |                        |                          |                          |                            |  | Mobile genetic elements   |
|                |                        |                          |                          |                            |  | Citation  |
|                |                        |                          |                          |                            |  | roxitromycin, sulfamethoxazole, tetracycline, thiamphenicol, vancomycin |

following rainfall and decrease with time,<sup>100</sup> but ARG abundances have been observed to remain elevated above baseline conditions for three weeks,<sup>95</sup> one week,<sup>93</sup> and five days.<sup>61</sup> Moreover, following a significant flooding event, ARG concentrations remained elevated for 10-months while the profile of ARGs as determined through metagenomic sequencing never returned to a preflood state.<sup>96</sup> Long-term alteration of the resistome of downstream environments has not been as regularly described as ARG concentrations and ARB phenotypic frequencies (Table 2). It is likely though that urban stormwater runoff can significantly alter the resistomes of urban water bodies long-term because stormwater can transport contaminants from across a drainage area, enabling the large-scale mixing of resistance elements in downstream water bodies from environments that are otherwise disconnected.<sup>105</sup> Further evidence to this event is provided in a study of an urban stream after rain where it was found that the microbial community shifted significantly from a community associated with freshwater to one associated with urban-impacted waters. The phylum Actinobacteria and Bacteroidetes, specifically, decreased in relative abundance whereas the Gammaproteobacterial, Betaproteobacteria, and Chlamydia phylum increased long-term.<sup>90</sup> Moreover, the waterborne pathogenic species *Legionella pneumophila* and *Francisella tularensis* increased 10- and 16-fold in relative abundance, respectively.<sup>90</sup> Such a rise could also be due to an influx of sewage contaminated stormwater as rainfall has been observed to introduce wastewater associated genera, human sewage markers, and ARB displaying resistance patterns similar to WRRFs.<sup>56,59,93</sup> A long-term concern that could thus arise from the introduction of urban stormwater into downstream water bodies is an increased connection between the ARGs and bacterial community of stormwater, including pathogenic bacteria, with the bacterial community of the downstream environment. Such connections could lead to an escalation in the rate of HGT and the generation of more and possible new superbugs in an environment with a closer proximity to human health.

Urban stormwater runoff, from these results, presents a clear impact to downstream environments in terms of the abundance and diversity of resistance elements. However, it remains unclear how the specific physical and chemical factors of stormwater runoff in combination with those of downstream waterbodies influence the transport and long-term fate of ARGs and ARB. For instance, in one study of a coastal lagoon, nutrient concentrations were found to be positively correlated with ARG relative concentration.<sup>100</sup> Nutrient concentrations, as well as other pollutants such as metals, cations, solids, temperature, and conductivity, can be spatially and seasonally dependent. Further research should concentrate on investigating the influence of environmental factors and drainage area characteristics over the composition and persistence of resistance elements in downstream water bodies to provide perspective on the fate of ARGs under varying conditions.



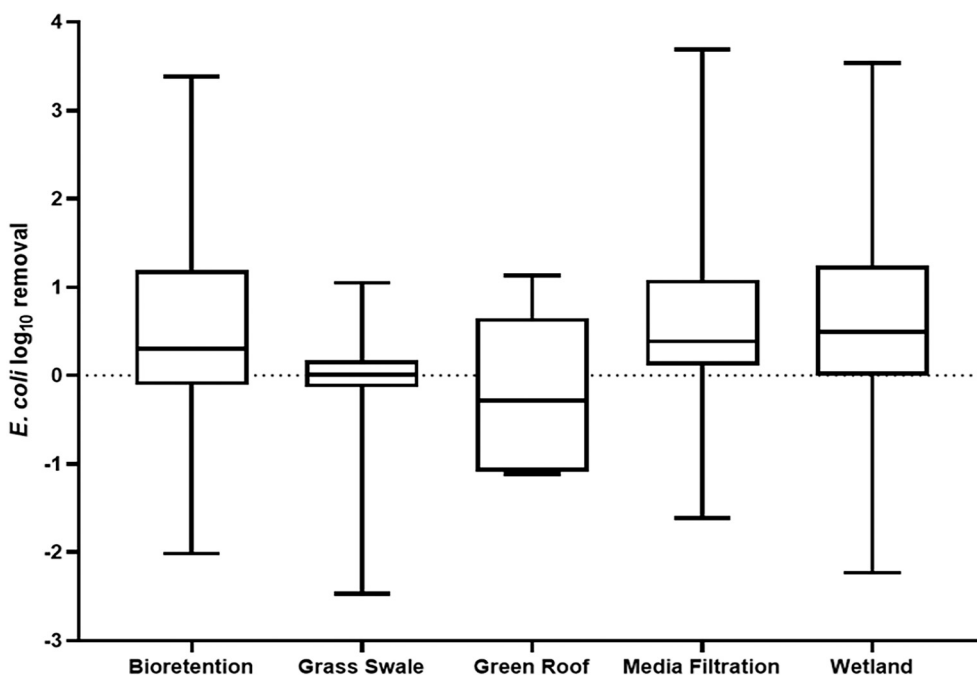


Fig. 1 Log removal of *E. coli* through GSI systems, including bioretention ( $n = 122$ ), grass swales ( $n = 39$ ), green roofs ( $n = 6$ ), media filtration ( $n = 104$ ), and wetlands ( $n = 270$ ). Data was accessed from the International Stormwater BMP database on May 1, 2023.

### 3.2 Transport of antibiotic resistance elements into green stormwater infrastructure via urban stormwater runoff

While many environments that receive urban runoff have been investigated as sinks of ARGs, GSI has remained significantly unexplored. GSI refers to the systems engineered to mimic natural hydrologic processes and infiltrate stormwater to reduce the volume of runoff and pollutant load to surface water bodies.<sup>106</sup> In urban environments specifically, more impervious surfaces have led to a subsequent increase in water body impairment and thus, GSI systems have been presented as a solution to urban runoff by treating stormwater where it falls. GSI systems have been proven to reduce stormwater volumes, decrease flooding events, and remove stormwater pollutants, particularly sediments, metals, and nutrients, in urban watersheds.<sup>107</sup> Moreover, in comparison to traditional gray infrastructure systems, GSI can provide co-environmental benefits such as groundwater recharge, carbon sequestration, and air quality improvement.<sup>108–110</sup> Concerning the public health threat of antibiotic resistance, traditional gray stormwater infrastructure does not mitigate the transport of ARGs in the urban environment. Concentrations of ARGs and ARB at a storm drain and in a stormwater pond were found to be similar to those quantified in downstream rivers, indicating that gray infrastructure facilitates the movement of resistance elements into downstream environments.<sup>48,111</sup> Consequently, GSI presents the possibility of removing ARGs from stormwater and mitigating the transport of ARGs in the urban water cycle. Examples of soil based GSI systems include bioretention cells, bioswales, green roofs, rain

gardens, and constructed wetlands. GSI system such as these have been shown to remove stormwater contaminants by physical-chemical mechanisms, such as filtration, sedimentation, and adsorption.<sup>107,112–114</sup> Despite the ability of GSI to remove stormwater contaminants, and stormwater being a known vector for antibiotic resistance elements, the occurrence of ARGs in GSI, the impact of GSI design, and the potential consequences of ARG accumulation in GSI is relatively unknown.

**3.2.1 GSI's potential for ARG management.** Previous research investigating the role of GSI in managing ARGs from urban stormwater runoff have primarily focused on conducting lab- and pilot-scale experiments to determine the rate of ARG removal through GSI. A 3.68-log reduction in the concentration of the *E. coli* K-12 strain with the RP4 plasmid carrying *bla*<sub>TEM</sub>, *tetR*, and *aphA* was achieved in a bioretention cell under control conditions.<sup>115</sup> Similar removal rates for ARGs were recorded in an additional bioretention cell, though the removal was ARG dependent; the removal ranged between 5–7-log for *aphA* and 2–7-log for *bla*<sub>TEM</sub> and *tetR* under the different experimental conditions.<sup>116</sup> Slightly lower removal rates were observed for the *ermB* (2.5-log) and *sul1* (0.9-log) ARGs in a pilot-scale stormwater biofilter that received a synthetic stormwater and sewage mixture.<sup>117</sup> Though scarcely investigated, the limited research conducted does indicate that existing GSI systems can remove ARGs from urban stormwater runoff. The removal rates recorded however were somewhat variable, highlighting the complexity of GSI systems and the inherent impact that GSI design and makeup can have on performance. Design features of GSI have been manipulated to enhance ARG removal. The study



that recorded ARG dependent removal rates was able to achieve a 5-log reduction or greater for all ARGs when the design of the system was optimized.<sup>116</sup> Soil composition, hydraulic loading rate, and the submerged area depth were all factors explored to enhance the removal of ARGs in the bioretention cell.<sup>116</sup> Removal of ARGs was able to be enhanced from 3.68-log to 5.28-log when electrochemical oxidation was applied to the soil environment.<sup>115</sup>

GSI systems being able to remove ARGs from urban stormwater runoff indicates a potential management opportunity for the public health crisis of antibiotic resistance. The minimal research in this field however leads to no conclusive understanding as to the capability of GSI to serve a long-term role in mitigating the transport of ARGs *via* urban stormwater runoff and the governing design factors. Research on the removal of other biological contaminants through GSI however can provide some perspective into GSI's potential for ARG management, specifically hosts of ARGs like *E. coli*. Fig. 1 summarizes the reduction of *E. coli* concentrations from stormwater through various GSI systems. The results indicate that a positive removal rate can be achieved through all systems, but on average, grass swales and green roofs are not effective treatment systems for *E. coli* in stormwater and could even be leading to an enrichment of bacterial concentrations in the effluent of GSI. Rather, bioretention cells, media filtration systems, and wetlands provide an average positive removal rate at 0.476, 0.698, and 0.626-log, respectively. The difference in performance could be due to many different study and site-specific factors, but predominantly, soil media has been the focus of research into the performance of GSI as it dictates the primary removal mechanisms for biological contaminants: filtration and sorption.<sup>118</sup>

Sand-based media is the basis of most GSI systems as it promotes infiltration and can increase hydraulic conductivity, however its ability to remove biological contaminants is relatively poor.<sup>118</sup> In fact, the amount of sand in the system relative to finer particles (*e.g.*, silt and clay) has been found to be inversely related to bacterial removal as sand can only provide minimal physical straining and adsorption.<sup>119</sup> This relationship is expected to remain true for ARGs, notably eARGs due to their small size. From these results, increasing the pore size of the soil media to increase the physical filtration capability of the system could increase bacterial removal, however this can inversely impact stormwater infiltration. As a result, increasing bacterial removal has been found to be most effective through an increase in the adsorptive capacity of the soil.<sup>119</sup> Biochar is one of the most studied soil amendments in GSI for biological contaminant removal from stormwater and its performance has been reviewed previously.<sup>120,121</sup> The addition of biochar can increase removal of *E. coli* in comparison to removal rates in sand-based systems due to an increase in attachment sites, surface roughness, and hydrophobicity.<sup>122,123</sup> Specifically, *E. coli* removal increased from 0.3-log in a sand biofilter to 1.9-log when biochar was added to the system.<sup>124</sup> The type of

biochar can impact removal; woodchip-based biochar that was produced *via* pyrolysis at a low temperature and high temperature increased *E. coli* removal 3.33-log and 2.99-log, respectively, while a commercially purchased woodchip-based biochar achieved a 2.03-log increase in removal.<sup>122</sup> Over a 5-month period, an average removal of 2.15-log was achieved for *E. coli*, indicating that removal is also able to be maintained long-term in biofilters amended with biochar.<sup>125</sup> The effectiveness of biochar can be impeded however, specifically by influent natural organic matter competing for attachment sites as well as biofilm formation altering surface sites and hydraulic performance.<sup>123</sup> Other amendments have thus been investigated as an alternative to biochar, including iron oxide coated sand, calcite, zeolite, iron fillings, and aluminum-magnesium graphene oxide coated sand.<sup>122,123,126–129</sup> Similar removal capabilities were observed by these studies. Iron oxide coated sand increased the efficiency of *E. coli* removal from 82% to 99% due to an increase in surface charge and roughness.<sup>127</sup> In addition, zeolite, iron fillings, and calcite increased removal of *E. coli* from approximately 20% to 99%, 85%, and 63%, respectively.

Such results indicate that GSI systems provide some reduction in bacterial contaminants from stormwater and can be engineered for further removal capabilities. As a result, it is likely that this ability could be extended for the removal of ARB and ARGs. However, the removal of ARGs in varying environmental treatment systems is not always as effective or correlated with the removal efficiency of different bacterium. For example, in a constructed wetland treating wastewater effluent, the removal of *Enterococcus* and human-associated *Bacteroidetes* ranged between 66.6–84.0%, while the ARG *ermF* only was reduced 13.1%.<sup>130</sup> Moreover, an additional constructed wetland saw no reduction in ARG concentrations though *E. coli* concentrations decreased 22.3%.<sup>131</sup> Such differences were linked to additional contaminant sources into the wetlands as well as factors that can have a contrasting impact on bacterial species, such as water temperature, turbidity, and sedimentation rates. Consequently, while GSI research does provide ample evidence of bacteria removal, research specifically investigating ARGs is needed to fully determine if there is an opportunity to mitigate a source and transport vector of ARGs and ARB into the urban environment. eARGs, moreover, are smaller in size and can have different surface properties than bacterial cells and thus should be investigated individually. Further, design factors that were also shown to influence the removal of bacteria from stormwater in GSI included plant selection, submerged zone depth, system size, and hydraulic conductivity.<sup>132,133</sup> The influence such factors have on the removal of ARGs, in addition to soil media, are further avenues for future research.

**3.2.2 Potential consequence: proliferation of antibiotic resistance *via* horizontal gene transfer.** The long-term fate of ARGs in GSI after removal from stormwater remains a significant research gap. Three possible fates exist for ARGs retained in GSI systems (Fig. 2). First, ARGs could be retained





Fig. 2 Potential fates of ARGs and ARB in GSI that captures urban stormwater runoff. 1) The GSI captures and eventually releases ARG and ARB, 2) GSI degrades ARG and ARB, 3) GSI increases the amount of ARB through HGT or selective pressures that enrich ARB.

for a short period, but then washed out during subsequent rainfall events, indicating that stormwater is still a source of ARGs into urban water bodies. The second possible fate is that ARGs are degraded and thus removed from the environment. Lastly, the ARGs could be retained long-term, leading to the generation of ARB. The second possible fate for ARGs suggests that GSI are a possible long-term management option to mitigate the transport of ARGs *via* urban stormwater runoff. The prevalence of each fate, however, is unclear. Moreover, under each scenario it is possible that the ARGs are transferred with the bacterial community *via* HGT. Thus, the implications of long-term retention must be investigated to determine the fate of ARGs and if the accumulation of ARGs in the GSI soil community is leading to the propagation of antibiotic resistance. Only one study has researched ARGs in full-scale, GSI systems.<sup>134</sup> Three bioretention cells and three bioswales were sampled in Southern California and the ARGs *tetW*, *tetA*, *sul1*, and *sul2* were quantified from the collected soil samples. The relative abundance of the genes ranged from  $10^{-7}$  to  $10^{-4}$  GC/16S rRNA GC and the concentrations were found to not vary across space ( $n = 6$ ) or time ( $n = 3$ ). The ARGs though were found to be significantly correlated with soil metal concentrations. The co-occurrence of metals and ARGs is of concern for the propagation of antibiotic resistance as metals exert selective pressures and enrich communities with resistant members.<sup>135</sup> Though the correlations do not prove that the metals are exerting a selective pressure and causing ARG transfer *via* HGT, it does provide further evidence that GSI could be a hotspot for ARG transfer and propagation.

HGT plays a crucial role in the public health crisis of antibiotic resistance because it facilitates the acquisition of

new ARGs in bacteria. HGT can have an impact on the evolution of the microbial communities, including pathogenic bacteria, as ARGs, virulence factors, and diverse functions can be encoded on horizontally transferred genes.<sup>136,137</sup> The carriage and maintenance of ARGs, however, is typically associated with a fitness cost to the cell.<sup>138</sup> Bacteria are still able to maintain ARGs despite the assumed fitness cost because of the benefits ARGs can provide to cellular functioning in the face of selective pressures. Selective pressures are defined by their ability to stress bacterial communities and at low concentrations will not inhibit all cellular activity but trigger SOS responses. A specific SOS response to selective pressures that increases the fitness of the cell is HGT.<sup>139–142</sup> Selective pressures, therefore, are one of the primary reasons why ARGs persist in the environment because they not only enrich resistomes with diverse mechanisms of resistance but can also reduce the fitness cost associated with resistance.<sup>143</sup>

An increase in the frequency of HGT has been directly linked with many selective pressures, including antibiotics,<sup>144–147</sup> metals,<sup>71,148</sup> disinfectants,<sup>149</sup> disinfectant byproducts,<sup>150</sup> biocides (e.g., triclosan, and chlorhexidine),<sup>145,151</sup> and quaternary ammonium compounds.<sup>152</sup> Environmental conditions are also known to impact the rate of HGT. The rate of conjugation is dependent upon abiotic factors including temperature, pH, nutrients, organic matter, oxygen, and moisture content.<sup>153,154</sup> Transformation is similarly impacted by such factors, but interestingly the frequency of transformation is greater in environments lacking nutrients possibly as a result of nutrient deficiency causing cell lysis, leading to an increase in the concentration of eARGs available for uptake *via* transformation.<sup>26</sup>





The rate of HGT in the soil environment of GSI has yet to be investigated. Determining the rate of HGT in environmental communities has been and remains a challenge due to a lack of methodology that can capture the inherent complexity of environmental systems while also providing direct quantification of observed transfers.<sup>155</sup> For example, laboratory-based *in vivo* experiments that directly quantify HGT rates, often must simplify the bacterial recipient and transfer communities being evaluated to such a degree that meaningful conclusions are not possible. Moreover, *in situ* methods, often employing bioinformatic approaches, can capture environmental HGT dynamics but require that HGT is inferred and not quantified directly.<sup>155</sup> The paucity of reliable methodologies to quantify HGT leaves a significant research gap in understanding the dissemination and evolution of ARGs in all environments. GSI environments specifically are likely a hotspot for HGT because it is a confluence of factors that contribute to an increase in frequency of gene transfer. Therefore, development of methods that can quantify HGT in these environments would help elucidate the role of GSI in the promotion of HGT. Heavy metals and biocides that select for resistance, nutrients that promote cellular growth, and solids that impart protection and stabilization are abundant in GSI systems. Moreover, GSI is plagued with anthropogenic pollutants from stormwater, including fecal contamination, pet waste, and other human associated bacteria, indicating that the rate of transfer to pathogens specifically, could be high in GSI. Taking all these conditions together, it is likely that the accumulation of ARGs in GSI could enrich the bacterial community with diverse functions and resistance traits, unique to the stormwater environment.

A further consequence of ARG accumulation in GSI is that, following the propagation of ARGs within the resistome, the bacterial community could be mobilized out of the GSI system during a subsequent storm event leading to diverse ARB entering the environment. ARG mobilization has been observed in GSI; a mixture of stormwater and untreated sewage was passed through the biofilter and then the biofilter was flushed with potable irrigation water.<sup>117</sup> These experiments indicated that, for a minimum of two subsequent storm events, ARG concentrations increase in the effluent (1–2-log), implying that ARGs removed previously were mobilized out of the GSI system and into the effluent waters. More research will need to be dedicated to understanding how engineering decisions can be incorporated into GSI design to maximize the removal of ARGs while also minimizing ARG mobilization. A study investigating the fate of *E. coli* in GSI observed that, in a bioretention cell with conventional media, 99.98% die-off of the *E. coli* was achieved within one-week after a stormwater event primarily due to predation and competition in the bacterial community.<sup>127</sup> No additional stormwater was passed through this bioretention cell however, and thus mobilization was not a possible fate. As a result, future research should investigate the long-term fate of ARGs in full-scale operating

GSI systems to determine if GSI systems pose a risk through the propagation of resistance.

## 4. Conclusions and future research directions

Recent research has aimed to uncover the role of the environment in the exasperation of antibiotic resistance and the complex interrelationships the environment has with human health.<sup>22</sup> Urban stormwater runoff has been identified as an area of concern for the introduction of antibiotic resistance into the environment, with elevated concentrations of iARGs and eARGs being transported *via* stormwater outfalls into downstream water bodies. Stormwater, moreover, can disseminate unique resistance elements from across an urban landscape, leading to the accumulation of ARGs and ARB in surface waters, diversifying the overall resistome.<sup>46</sup> Following transport into downstream environments, research has concluded that the resistance elements from stormwater inputs can be persistent, presenting a long-term impact to water bodies utilized for drinking water, recreation, and fishing. Currently, there is limited research exploring ways to control the input of resistance elements *via* urban stormwater runoff. Though stormwater runoff is only one source of ARGs into urban water bodies, managing antibiotic resistance is required for all sources as they are all closely linked and interdependent. As such, action regarding stormwater runoff is key to advancing an overall concerted response to this public health threat.<sup>22</sup> GSI are an existing infrastructure system installed in urban environments to manage stormwater volumes and thus present an opportunity to manage the cycling of ARGs in the urban environment. Research within this field is minimal, but does indicate that existing GSI can retain ARGs, and that engineered decisions can be implemented to enhance removal, with the soil media being a crucial component for ARG removal. Therefore, GSI could be a long-term tool for management of ARGs in urban stormwater runoff.

There remains, however, significant research gaps in fully understanding this potential. Research gaps identified by the authors throughout this review that warrant future attention include:

- The influence of spatial and temporal dynamics on the composition of ARGs in stormwater runoff.
- The frequency of ARG co-location on MGEs in stormwater and implications for public health and ARG transfer.
- The rate of horizontal gene conjugation, transformation, and transduction in urban stormwater runoff and the impact of selective pressures on this rate.
- The fate and transport of eARG in urban runoff and the impact of stormwater properties on the occurrence of eARGs.
- The effect of GSI design factors on the removal, retention, and inactivation per cell lysis of ARGs and ARB from urban stormwater runoff.





• The long-term fate of ARGs in GSI in full-scale operating GSI systems and the risk of ARG propagation by HGT.

## Conflicts of interest

There are no conflicts to declare.

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