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Mechanistic insight into the photodynamic effect mediated by porphyrin-fullerene C₆₀ dyads in solution and in *Staphylococcus aureus* cells†

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The photodynamic action mechanism sensitized by a non-charged porphyrin-fullerene C₆₀ dyad (TCP-C₆₀) and its tetracationic analogue (TCP-C₆₀⁴⁺) was investigated in solution and in *Staphylococcus aureus* cells. The ability of both dyads to form a photoinduced charge-separated state was evidenced by the reduction of methyl viologen in *N,N*-dimethylformamide (DMF). Moreover, the formation of superoxide anion radicals induced by these dyads was detected by the reduction of nitro blue tetrazolium. Also, photosensitized decomposition of L-tryptophan (Trp) was investigated in the presence of reactive oxygen species (ROS) scavengers. The addition of β-carotene and sodium azide had a slight effect on reaction rate. However, photooxidation of Trp mediated by TCP-C₆₀ was negligible in the presence of D-mannitol, while no protection was found using TCP-C₆₀⁴⁺. In a polar medium, these dyads mainly act by a contribution of type I pathway with low generation of singlet molecular oxygen, O₂(¹Δ_g). In *S. aureus* cell suspensions, an aerobic atmosphere was required for the photokilling of this bacterium. The photocytotoxicity induced by TCP-C₆₀ was increased in D₂O with respect to water, while a small effect was found using TCP-C₆₀⁴⁺. Furthermore, photoinactivation of microbial cells was negligible in the presence of sodium azide. The addition of D-mannitol did not affect the photoinactivation induced by TCP-C₆₀. In contrast, *S. aureus* cells were protected by D-mannitol when TCP-C₆₀⁴⁺ was used as a photosensitizer. Also, generation of O₂(¹Δ_g) in the *S. aureus* cells was higher for TCP-C₆₀ than TCP-C₆₀⁴⁺. Therefore, TCP-C₆₀ appears to act in microbial cells mainly through the mediation of O₂(¹Δ_g). Although, a contribution of the type I mechanism was found for cell death induced by TCP-C₆₀⁴⁺. Therefore, these dyads with high capacity to produce photoinduced charge-separated state represent interesting photosensitizers to inactivate microorganisms by type I or type II mechanisms. In particular, TCP-C₆₀ may be located in a non-polar microenvironment in the cells favoring a type II pathway, while a contribution of the type I mechanism was produced using the cationic TCP-C₆₀⁴⁺.

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1. Introduction

Nowadays, infections caused by bacteria are becoming difficult to eradicate. This is mainly due to the continuous emergence of multidrug resistant strains.^{1,2} Thus, infections that could be treated without problem in the past, today they have become a challenge to be eradicated in hospitals throughout the world.^{3–6} Therefore, new treatments to deal with this situation are being sought.^{7,8} A new alternative to controlling microorganism infections is photodynamic inactivation (PDI).⁹ This therapy is based on the addition of a photosensitizer that rapidly binds to microbial cells. Excitation of the photosensitizer with light of an appropriate wavelength in the presence of

molecular oxygen in the ground state, O₂(³Σ_g), produces reactive oxygen species (ROS).¹⁰ In PDI, two mechanisms can be mainly involved after activation of the photosensitizer.¹¹ In the type I pathway, the photosensitizer excited triplet state can react with different substrates by electron or proton transfer to form free radicals. These radicals can also interact with O₂(³Σ_g) producing ROS, such as superoxide anion radical (O₂^{•−}), hydroxyl radical (HO[•]) and hydrogen peroxide (H₂O₂). In the type II pathway, the photosensitizer generates singlet molecular oxygen, O₂(¹Δ_g), by energy transfer. Thus, generation of ROS can simultaneously occur through type I and type II mechanisms. The ROS generated can rapidly react with a variety of substrates in microorganism cells inducing damage in biomolecules.¹² Thus, these changes yield a loss of biological functionality leading to cell inactivation.

In recent years, varieties of molecules have been proposed as photosensitizers. The design and synthesis of new compounds is still developing in order to find photosensitizers able to efficiently inactivate different bacterial strains in low concentration

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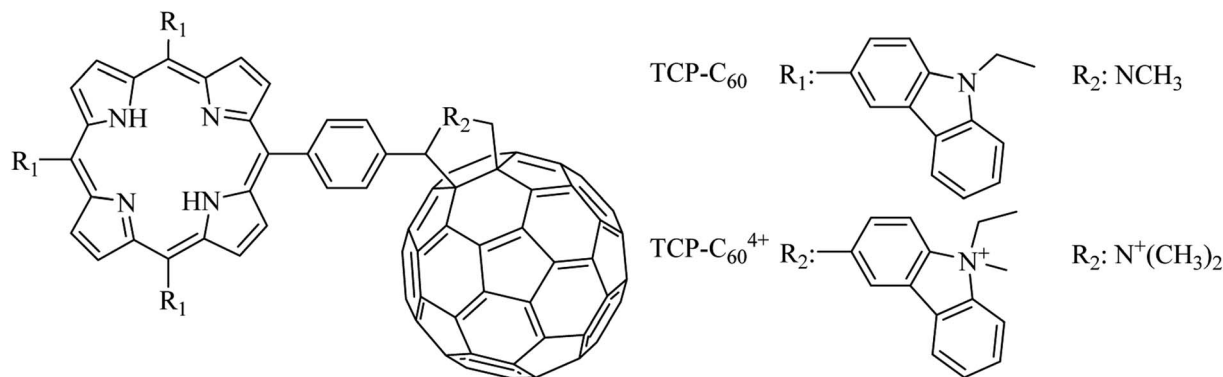


Fig. 1 Molecular structures of TCP-C₆₀ and TCP-C₆₀⁴⁺.

and in a shorter period of time.¹³ In particular, porphyrin and fullerene C₆₀ derivatives were investigated as effective compounds to eradicate Gram-positive and Gram-negative bacteria after illumination with visible light.^{14,15} In a previous work, a novel porphyrin-fullerene C₆₀ dyad (TCP-C₆₀) was synthesized with three carbazoyl groups attached to the tetrapyrrolic macrocycle at the *meso* positions (Fig. 1).¹⁶ The three carbazoles and the pyrrolidinium ring, which links porphyrin covalently to fullerene C₆₀, were positively charged by exhaustive methylation to produce a tetracationic photosensitizer (TCP-C₆₀⁴⁺, Fig. 1). The photoinactivation ability of these neutral and cationic dyads was for first time investigated in *Staphylococcus aureus*. This microorganism was chosen because its ability to acquire resistance to antibiotics.^{17,18} Antibiotic resistant *S. aureus* is endemic in hospitals worldwide and it causes substantial morbidity and mortality.¹⁹ Ballatore *et al.* demonstrated for first time that TCP-C₆₀ and TCP-C₆₀⁴⁺ are efficient photosensitizers to inactivate *S. aureus*.¹⁶ Therefore, in the present work we are interested in to obtain mechanistic insight about photodynamic processes involved in the inactivation of *S. aureus* cells mediated by TCP-C₆₀ and TCP-C₆₀⁴⁺. First, photodynamic properties were investigated in solution to obtain evidence about the formation of charge separation state and production of ROS. After that, photoinactivation of *S. aureus* was studied under different conditions, varying the medium of cell suspensions and using specific scavengers of ROS. These experiments were used to increase the knowledge of the balance between type I and type II mechanisms sensitized by porphyrin-C₆₀ dyads in a Gram-positive bacterium.

2. Experimental

2.1. General

Absorption spectra were performed on a Shimadzu UV-2401PC spectrometer (Shimadzu Corporation, Tokyo, Japan). Fluorescence spectra were carried out on a Spex FluoroMax spectrofluorometer (Horiba Jobin Yvon Inc, Edison, NJ, USA). Spectra were achieved using a quartz cell of 1 cm path length. Fluence rates were measured with a Radiometer Laser Mate-Q (Coherent, Santa Clara, CA, USA). Solutions were irradiated using a Cole-Parmer illuminator 41 720-series (Cole-Parmer, Vernon Hills, IL, USA) with a 150 W halogen lamp through

a high intensity grating monochromator (Photon Technology Instrument, Birmingham, NJ, USA) with a fluence rate of 0.36 mW cm⁻² at 428 nm, 0.38 mW cm⁻² at 434 nm and 0.50 mW cm⁻² at 562 nm. Optical filters (GG455 cutoff filter) were used to select a wavelength range between 455 and 800 nm (30 mW cm⁻²). Samples were irradiated in 1 cm path length quartz cells containing 2 mL of solution at 25.0 ± 0.5 °C. Cell growth was determined by absorption with a Turner SP-830 spectrophotometer (Dubuque, IA, USA). The visible light source to irradiate cell suspensions was a Novamat 130 AF (Braun Photo Technik, Nürnberg, Germany) slide projector with a 150 W lamp. A 2.5 cm glass cuvette filled with water was used to remove the heat from the lamp. A wavelength range between 350 and 800 nm was selected by optical filters with a fluence rate of 90 mW cm⁻². Chemicals from Aldrich (Milwaukee, WI, USA) and solvents (GR grade) from Merck (Darmstadt, Germany) were used without further purification.

2.2. Photosensitizers

5,10,15,20-Tetrakis[3-(*N*-ethylcarbazoyl)]porphyrin (TCP), TCP-C₆₀ and TCP-C₆₀⁴⁺ were synthesized as previously described.¹⁶ Stock solution of 0.5 mM photosensitizer were prepared by dissolution in 1 mL of *N,N*-dimethylformamide (DMF). The concentration was checked by absorption, considering the value of molar extinction coefficient at Soret band in DMF ($\epsilon = 2.81 \times 10^5$ M⁻¹ cm⁻¹ at 434 nm for TCP, $\epsilon = 2.52 \times 10^5$ M⁻¹ cm⁻¹ at 431 nm for TCP-C₆₀, $\epsilon = 2.49 \times 10^5$ M⁻¹ cm⁻¹ at 432 nm for TCP-C₆₀⁴⁺).¹⁶

2.3. Reduction of methyl viologen (MV²⁺)

Solutions of dyad (3.0 μM), MV²⁺ (0.8 mM) and *N,N,N',N'*-tetramethyl-1,1-naphthidine (TMN, 0.4 mM) in DMF/5% water were irradiated with light at $\lambda_{\text{irr}} = 433$ nm under an argon atmosphere. The photochemical reaction was monitored by following the increase of the absorbance at $\lambda = 398$ nm and $\lambda = 606$ nm.²⁰

2.4. Photooxidation of nitro blue tetrazolium (NBT)

Solutions of dyad (1.2 μM), NBT (0.2 mM) and NADH (0.5 mM) in DMF/10% water were irradiated with light at $\lambda_{\text{irr}} = 428$ nm. Control experiments were performed in absence of one of the



substrates (NBT or NADH) and with both substrates without the dyad. The progress of the reaction was monitored by following the increase of the absorbance at $\lambda = 560$ nm.²¹

2.5. Photooxidation and singlet excited state deactivation of tryptophan (Trp)

Solutions of dyad (absorbance 0.1 at Soret band) and Trp (20 μ M) in DMF were irradiated with light at $\lambda_{\text{irr}} = 428$ nm. Photooxidation of Trp was determined by the decrease of the fluorescence intensity (I) at $\lambda = 340$ nm, exciting the samples at $\lambda_{\text{exc}} = 290$ nm. Also, decomposition of Trp by dyads was investigated by adding β -carotene (5.6 μ M) in DMF, sodium azide (50 mM) in DMF/2.5% water and D-mannitol (50 mM) in DMF/5% water. Solutions of Trp and dyad in the presence of different scavengers of ROS were irradiated with light at $\lambda_{\text{irr}} = 562$ nm. Under these conditions, the fluorescence intensity correlates linearly with Trp concentrations. The observed rate constants (k_{obs}) were calculated by a linear least-squares fit of semi-logarithmic plots of $\ln(I_0/I)$ vs. time.²¹ Singlet excited state deactivation of Trp by photosensitizer was investigated using the Stern–Volmer's eqn (1).²²

$$\frac{I_0}{I} = 1 + k_q \tau_0 [Q] = 1 + K_{\text{SV}} [Q] \quad (1)$$

where I_0 and I are the fluorescence intensity of Trp in the absence and in the presence of quencher, k_q represents the biomolecule quenching rate constant, τ_0 the excited state lifetime of Trp in the absence of photosensitizer, $[Q]$ is the photosensitizer concentration and K_{SV} is the Stern–Volmer quenching constants.

2.6. Bacterial strain and preparation of cultures

The microorganism used in this study was the reference strain *S. aureus* ATCC 25923.¹⁶ This bacterium was grown in a rotator shaker (100 rpm) at 37 °C in tryptic soy (TS, Britain, Buenos Aires, Argentina) broth overnight. Aliquots (~60 μ L) of this culture were aseptically transferred to 4 mL of fresh medium and incubated with agitation at 37 °C to middle of the logarithmic phase (absorbance ~0.3 at 660 nm). Cells were centrifuged (3000 rpm for 15 min) and re-suspended in equal amount of 10 mM phosphate-buffered saline (PBS, pH 7.2) solution. Then the cells were diluted 1/1000 in PBS, corresponding to ~10⁶ colony forming units (CFU) mL⁻¹. In all the experiments, 2 mL of the cell suspensions in Pyrex brand culture tubes (13 $\times$ 100 mm) were used. Cell suspensions were serially diluted with PBS and each solution was quantified by using the spread plate technique in triplicate. Viable bacteria were monitored and the number of CFU mL⁻¹ was determined on TS agar plates after ~24 h incubation at 37 °C.

2.7. Photoinactivation of bacterial cell suspensions

Cell suspensions of *S. aureus* (2 mL, ~10⁶ CFU mL⁻¹) in PBS were incubated with 1 μ M dyad in the dark at 37 °C for 30 min with agitation (100 rpm). The dyad was added from a stock solution 0.5 mM in DMF. After that, the cultures were exposed to visible light for 15 min. Studies in anoxic conditions were

performed by displacing the oxygen with argon in the cultures flasks for 15 min before irradiation and keeping an argon atmosphere during the experiments. For photoinactivation assays in D₂O, cells were centrifuged (3000 rpm for 15 min) and re-suspended in 2 mL PBS in D₂O. Then the cell suspensions were incubated with 1 μ M dyad as described above. Sodium azide or D-mannitol was added to bacterial suspensions from stock solutions in water (2 M and 1 M, respectively). Cell suspensions were incubated with 50 mM sodium azide or D-mannitol for 30 min at 37 °C in dark before the treatment with 1 μ M dyad, as previously described.²³

2.8. Steady state photolysis in bacterial cell suspensions

Cell suspensions of *S. aureus* (2 mL, ~10⁶ CFU mL⁻¹) were treated with 10 μ M 9,10-dimethylanthracene (DMA) for 30 min in dark at 37 °C. Cells were harvested by centrifugation (3000 rpm for 15 min) and re-suspended in 2 mL PBS. Then, cells were incubated with 1 μ M dyad for 30 min in dark at 37 °C. Samples (2 mL) were irradiated in 1 cm path length quartz cells with visible light 455–800 nm. Photooxidation of DMA was determined by following the decrease in the fluorescence intensity at $\lambda = 427$ nm, exciting the samples at $\lambda_{\text{exc}} = 378$ nm. In these conditions, the fluorescence intensity correlates linearly with DMA concentrations. The values of k_{obs} were obtained by a linear least squares fit of characteristics plots of $\ln(I_0/I)$ vs. time.²³

2.9. Controls and statistical analysis

Control experiments of *S. aureus* cultures were carried out with and without dyad in the dark and without dyad with irradiated cells. The amount of DMF (<1% v/v) used in each experiment was not toxic to bacterial cells. Three values were obtained per each condition and each experiment was repeated separately three times. The significance of the PDI effect of each dyad on microbial cells viability was assessed by one-way analysis of variance (ANOVA). A p -value below 0.05 was considered statistically significant. Data were represented as the mean $\pm$ standard deviation of each group.

3. Results and discussion

3.1. Photosensitized reduction of MV²⁺

To test the electron transfer ability of TCP-C₆₀ and TCP-C₆₀⁴⁺, the photoreduction of MV²⁺ in presence of TMN was studied in DMF/5% water. In these experiments, MV²⁺ can act as an electron acceptor.²⁰ A comparison between the electron reduction potential of C₆₀ moiety (−0.66 V) and MV²⁺ (−0.44 V) indicated that an electron transfer from C₆₀ to MV²⁺ is exothermic by 0.22 eV.^{20,24} Moreover, TMN can be used as an electron donor. Taking into account the oxidation potential of TCP moiety (0.67 V) and the external electron donor TMN (0.43 V), electron transfer from TMN to TCP structure is expected to be exothermic by 0.24 eV.^{20,24} Therefore, the formation of a photo-induced charge-separated state (TCP^{•+}-C₆₀^{•−}) can be accompanied by the reduction of MV²⁺ and oxidation of TMN.



Under an argon atmosphere, the photoinduced charge-separated state ($\text{TCP}^{+\bullet}\text{-C}_{60}^{\bullet-}$) can be formed by irradiation with light at 433 nm. This wavelength was used because the absorption spectra of TCP-C_{60} and TCP-C_{60}^{4+} showed a Soret band at 433 nm due to the free base porphyrin unit.¹⁶ When dyad/ MV^{2+} /TMN system was irradiated, a progressive increase in the characteristic absorption bands of $\text{MV}^{\bullet+}$ was noted at 398 and 606 nm (Fig. S1†). The results after different irradiation periods are shown in Fig. 2, monitoring the generation of $\text{MV}^{\bullet+}$ at 606 nm. Thus, the reduction of MV^{2+} and the oxidation of TMN were accomplished by the oxidation of $\text{TCP}^{+\bullet}$ and reaction of $\text{C}_{60}^{\bullet-}$ in the dyad, respectively. By contrast, no reaction occurs in the dark or in the absence of the dyad under illumination. Moreover, it is important to note that no reaction occurs when irradiating the dyad with the electron acceptor or with the electron donor (results do not show). Thereby, both dyads are capable of forming a photoinduced charge-separated state in a polar medium as DMF/5% water. However, the MV^{2+} reduction by TCP-C_{60} was significantly slower than that by TCP-C_{60}^{4+} (Fig. 2). This difference may be due to the partial aggregation of non-charged TCP-C_{60} by the addition of water to the DMF solution. On the other hand, in the presence of $\text{O}_2(^3\Sigma_g)$ the reduction of MV^{2+} was not observed because the oxygen catalyzed the back electron transfer process between $\text{C}_{60}^{\bullet-}$ and $\text{TCP}^{+\bullet}$ (Video S1†).²⁵

It was previously demonstrated that TCP-C_{60} and TCP-C_{60}^{4+} have a very weak emission at ~ 667 nm from the porphyrin moiety in DMF, with fluorescence quantum yield of $\Phi_F \sim 4 \times 10^{-3}$.¹⁶ The quenching efficiencies (η_q) of the porphyrin excited singlet state by the attached fullerene moiety were estimated to be $\eta_q > 0.96$. These results are in accordance with the formation of a photoinduced charge-separated state ($\text{TCP}^{+\bullet}\text{-C}_{60}^{\bullet-}$). Also, a value of 0.62 eV was obtained for the driving force for the initial charge separation from $^1\text{TCP}^*$ to C_{60} .¹⁶ Similar results were previously observed with a porphyrin-fullerene C_{60} dyad metallated with Zn(II) .²⁰ In this case, the efficiency of charge transfer was favoured by the presence of the metal. Fukuzumi *et*

al. demonstrated that the generated $\text{C}_{60}^{\bullet-}$ moiety in $\text{ZnP}^{+\bullet}\text{-C}_{60}^{\bullet-}$ dyad and in $\text{ZnP}^{+\bullet}\text{-H}_2\text{P-C}_{60}^{\bullet-}$ triad undergoes one electron oxidation by hexyl viologen (HV^{2+}), whereas the $\text{ZnP}^{+\bullet}$ moiety was reduced by a NADH analogues, 1-benzyl-1,4-dihydronicotinamide and 10-methyl-9,10-dihydroacridine.²⁶ Thus, ZnP-C_{60} and $\text{ZnP-H}_2\text{P-C}_{60}$ donor-acceptor ensembles act in benzonitrile as efficient photocatalysts for the uphill oxidation of NADH analogues by HV^{2+} . On the other hand, Dallas *et al.* demonstrated the intramolecular charge transfer between pyrene donor and fullerene $\text{C}_{60}/\text{C}_{70}$ acceptors, which showed the ability of these molecules to accept electrons.²⁷ Moreover, Wang *et al.* prepared a complex micelle from zinc tetrakis(4-sulfonatophenyl)porphyrin (ZnTPPS), modified fullerene (mC_{60}) and poly(ethyleneglycol)-*block*-poly(L-lysine) (PEG-*b*-PLys) by electrostatic interactions. The complex micelle exhibited high electron transfer performance in the photocatalytic reduction of MV^{2+} . In the micellar core, ZnTPPS and mC_{60} molecules are surrounded by each other which ensured effective energy migration from the donor to the acceptor.²⁸ Therefore, porphyrin-fullerene C_{60} dyads are effective molecular systems to form photoinduced charge-separated state.

3.2. Photodecomposition of NBT

Under aerobic conditions, the decomposition of NBT occurred predominantly through a type I process, indicating the formation of $\text{O}_2^{\bullet-}$.^{29,30} Thus, when solutions of dyads containing NBT and NADH were irradiated, the generation of diformazan was observed in DMF/10% water, as shown by the characteristic colour change of the reaction (Fig. S2†). The reduction of NBT to diformazan was examined following the increase in absorption at 560 nm. Fig. 3 shows the changes in the absorption as a function of time after irradiation of samples. For both dyads, reduction of NBT was negligible in the absence of NADH. Without the dyad in solution, a slight reduction of NBT was observed in presence of NADH (Fig. 3). In all cases, the presence of NADH was needed for the formation of diformazan.

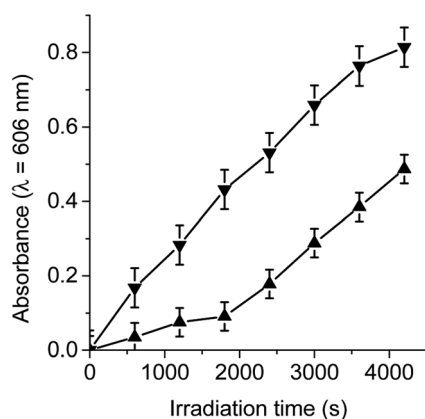


Fig. 2 Time course of MV^{2+} (0.8 mM) reduction as an increase in the absorption at $\lambda = 606$ nm sensitized by TCP-C_{60} (▲) (3.0 μM) and TCP-C_{60}^{4+} (▼) (3.0 μM) containing TMN (0.4 mM) after 10 min irradiation periods with light at $\lambda_{\text{irr}} = 433$ nm under an argon atmosphere in DMF/5% water.

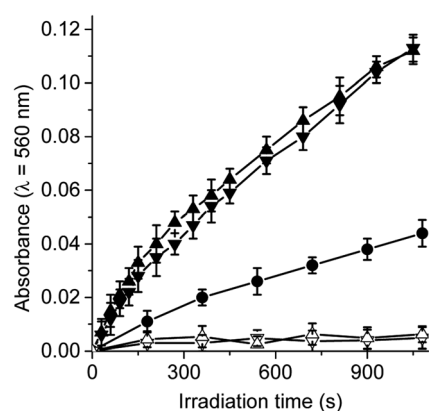


Fig. 3 Time course of NBT reduction as an increase in the absorption at $\lambda = 560$ nm in DMF/10% water. Samples contain NBT (0.2 mM), NADH (0.5 mM) and TCP-C_{60} (▲) (1.2 μM) or TCP-C_{60}^{4+} (▼) (1.2 μM) in DMF/10% water irradiated with light at $\lambda_{\text{irr}} = 428$ nm. Controls: NBT and NADH (●), TCP-C_{60} and NBT (▲); TCP-C_{60}^{4+} and NBT (▼).



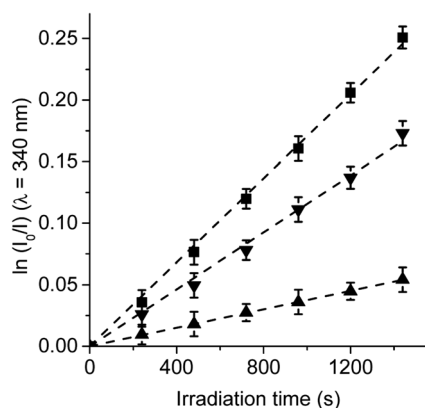


Fig. 4 First-order plots for the photooxidation of Trp (20 μ M) photosensitized by TCP (■), TCP-C₆₀ (▲) and TCP-C₆₀⁴⁺ (▼) in DMF; λ_{irr} = 428 nm.

Therefore, an increase in the photoreduction of NBT was observed in the presence of dyad and NADH with respect to solution without the photosensitizer. These results showed that TCP-C₆₀ or TCP-C₆₀⁴⁺ in a polar homogeneous medium can form a photoinduced charge-separated state resulting in the production of O₂^{•−}. In a previous work, the generation of O₂^{•−} was found with a dicationic fullerene C₆₀ derivative in presence of NBT and NADH, in benzene/BHDC (0.1 M)/W₀ = 10 reverse micelles.³¹ Moreover, the decomposition of NBT sensitized by 5,10,15,20-tetrakis[3-(*N*-ethyl-*N*-methylcarbazoyl)]chlorin or its analogous porphyrin was found indicating that both photosensitizers considerably produced O₂^{•−} in presence of NADH.³² Under similar conditions, the generation of O₂^{•−} was also observed with a complex micelle formed by zinc tetrakis(4-sulfonatophenyl)porphyrin, modified fullerene and poly(ethylene glycol)-*block*-poly(L-lysine).²⁸

On the other hand, the production of O₂(¹Δ_g) by these dyads was previously investigated in solvents of different polarities.²⁵ An efficiently produce O₂(¹Δ_g) sensitized by TCP-C₆₀ (Φ_{Δ} = 0.56) was found in a non-polar solvent, such as toluene. However, the type II photoprocess mediated by both dyads considerably decrease (Φ_{Δ} = 0.01 and 0.02 for TCP-C₆₀ and TCP-C₆₀⁴⁺, respectively) in DMF. Therefore, a competitive process must be involved in this more polar solvent. This behaviour can be attributed to the formation of photoinduced charge-separated

state, which can favour the generation of O₂^{•−} in a polar medium.

3.3. Photooxidation of Trp

Trp amino acid residues is one of the amino acids most susceptible to oxidation and can be a potential target of the ROS produced by photosensitizers in microbial cells.^{33,34} Moreover, Trp can be photooxidized by both type I and type II mechanisms.³⁵ Photosensitized decomposition of Trp sensitized by TCP, TCP-C₆₀ and TCP-C₆₀⁴⁺ was evaluated by the decrease of fluorescence in DMF (Fig. S3†). As shown in Fig. 4, the photodecomposition of Trp followed first-order kinetics with respect to substrate concentration. The results of $k_{\text{obs}}^{\text{Trp}}$ calculated for Trp decomposition are summarized in Table 1. A higher value was found for the reaction rate sensitized by TCP in comparison with dyads. It was previously demonstrated that the TCP presents considerable photodynamic activity in DMF because it has a significant contribution of type II mechanism.²⁵ In contrast, a low O₂(¹Δ_g) production by dyads was observed in a polar solvent. Therefore, the decomposition of Trp mediated by dyads may be mainly due to type I mechanism of action. Moreover, the results shown in Table 1 indicate a higher value of $k_{\text{obs}}^{\text{Trp}}$ for the reaction photosensitized by TCP-C₆₀⁴⁺ than TCP-C₆₀. These results are not in agreement with the O₂(¹Δ_g) production sensitized by these dyads.²⁵ A possible interaction between these dyads and Trp could be favouring an electron transfer process in the decomposition of the amino acid. Thus, the interaction of photosensitizers singlet excited state with Trp was studied by steady-state fluorescence. Fig. S4† shows the Stern–Volmer plots of Trp at different photosensitizer concentration in DMF. Values of K_{SV} = 401 ± 20, 329 ± 15 and 57 ± 3 M^{−1} were obtained for TCP-C₆₀, TCP-C₆₀⁴⁺ and TCP, respectively. Taking into account a τ^0 = 2.5 ns for Trp in DMF,³⁶ the values of k_{q} ($\sim 1 \times 10^{11}$ s^{−1}) are over diffusion limit in DMF. The ability of the fullerene C₆₀ to interact with amino acids was previously calculated suggesting that the most favourable interactions of the fullerene are with arginine, leucine, and tryptophan, which is related to the backbone structure of the corresponding amino acids.³⁷

Therefore, to evaluate the main photodynamic mechanism involved in the Trp decomposition photosensitized by these dyads, experiments were carried out in presence of different ROS scavengers. The photodynamic effect on the Trp

Table 1 Kinetic parameters for the photooxidation reaction of Trp ($k_{\text{obs}}^{\text{Trp}}$)

Photosensitizer	Solvent	TCP	TCP-C ₆₀	TCP-C ₆₀ ⁴⁺
$k_{\text{obs}}^{\text{Trp}}$ (s ^{−1}) ^a	DMF	$(1.71 \pm 0.02) \times 10^{-4}$	$(3.76 \pm 0.02) \times 10^{-5}$	$(1.16 \pm 0.02) \times 10^{-4}$
$k_{\text{obs}}^{\text{Trp}}$ (s ^{−1}) ^b	DMF	$(3.34 \pm 0.05) \times 10^{-4}$	$(1.15 \pm 0.06) \times 10^{-4}$	$(1.70 \pm 0.2) \times 10^{-4}$
$k_{\text{obs}}^{\text{Trp}+\text{Car}}$ (s ^{−1}) ^{b,c}	DMF	$(2.27 \pm 0.05) \times 10^{-4}$	$(1.13 \pm 0.06) \times 10^{-4}$	$(1.60 \pm 0.2) \times 10^{-4}$
$k_{\text{obs}}^{\text{Trp}}$ (s ^{−1}) ^b	DMF/2.5% water	$(8.00 \pm 0.4) \times 10^{-5}$	$(2.33 \pm 0.09) \times 10^{-5}$	$(7.50 \pm 0.2) \times 10^{-5}$
$k_{\text{obs}}^{\text{Trp}+\text{Az}}$ (s ^{−1}) ^{b,d}	DMF/2.5% water	$(3.80 \pm 0.2) \times 10^{-5}$	$(2.19 \pm 0.08) \times 10^{-5}$	$(5.50 \pm 0.2) \times 10^{-5}$
$k_{\text{obs}}^{\text{Trp}}$ (s ^{−1}) ^b	DMF/5% water	$(6.50 \pm 0.2) \times 10^{-5}$	$(1.35 \pm 0.03) \times 10^{-5}$	$(3.70 \pm 0.2) \times 10^{-5}$
$k_{\text{obs}}^{\text{Trp}+\text{Ma}}$ (s ^{−1}) ^{b,e}	DMF/5% water	$(6.40 \pm 0.2) \times 10^{-5}$	NR	$(3.60 \pm 0.2) \times 10^{-5}$

^a λ_{irr} = 428 nm. ^b λ_{irr} = 562 nm. ^c β -Carotene. ^d Sodium azide. ^e D-Mannitol.



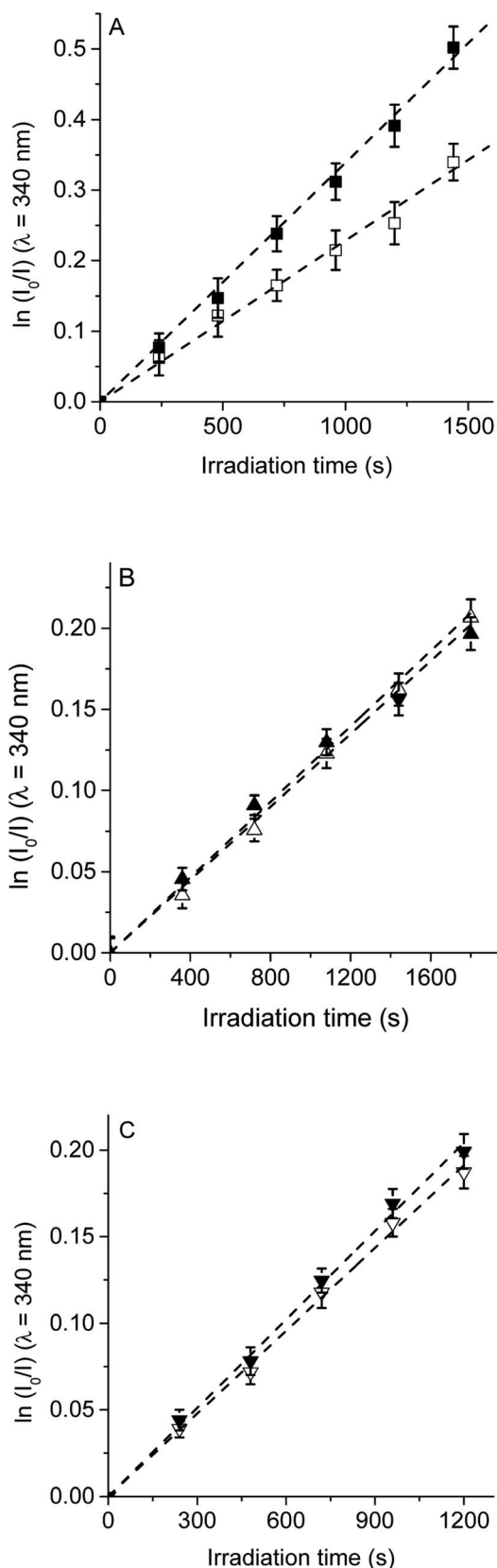


Fig. 5 First-order plots for the photooxidation of Trp (20 μ M) photosensitized by (A) TCP (■) and TCP in presence of β -carotene (□), (B) TCP- C_{60} (▲) and TCP- C_{60} in presence of β -carotene (△) and (C) TCP- C_{60}^{4+} (▼) and TCP- C_{60}^{4+} in presence of β -carotene (▽) in DMF; $\lambda_{irr} = 562$ nm.

decomposition was investigated in the presence of β -carotene, sodium azide or D-mannitol. Solutions of TCP, TCP- C_{60} or TCP- C_{60}^{4+} containing Trp and scavenger were irradiated with monochromatic light ($\lambda_{irr} = 562$ nm). This wavelength was chosen to avoid β -carotene absorption and this light was mainly used to excite the porphyrin moiety in the dyads. A low amount of water addition was needed to solubilize sodium azide and D-mannitol. The values of k_{obs}^{Trp} in the presence and absence of scavengers were calculated from first-order kinetic plots of the Trp (Fig. 5–7) sensitized by TCP, TCP- C_{60} and TCP- C_{60}^{4+} . The results of k_{obs}^{Trp} are shown in Table 1.

First, the photodynamic effect was evaluated by decomposition of Trp in the presence of β -carotene (Fig. 5). Under these conditions, β -carotene can deactivate $O_2(^1\Delta_g)$ through energy transfer or by chemical reaction.^{38,39} The photodecomposition of Trp sensitized by TCP in the presence of β -carotene showed a lower value of k_{obs}^{Trp} than in absence of β -carotene (Table 1). Since the TCP mainly produces $O_2(^1\Delta_g)$, it was expected a photoprotective effect by β -carotene. However, in the presence of TCP- C_{60} or TCP- C_{60}^{4+} the values of k_{obs}^{Trp} were slightly affected by the addition of β -carotene. In a polar solvent, such as DMF, dyads can form a photoinduced charge-separated state diminishing its ability to generate $O_2(^1\Delta_g)$.²³

Photodecomposition of Trp was studied in the presence of sodium azide in DMF/2.5% water (Fig. 6). Azide ions can deactivate $O_2(^1\Delta_g)$ and other compounds in its excited triplet state through an energy transfer.⁴⁰ A lower value of k_{obs}^{Trp} was obtained when for the reaction sensitized by TCP in the presence of azide ions (Table 1), according with the formation of $O_2(^1\Delta_g)$. In contrast, for TCP- C_{60} and TCP- C_{60}^{4+} the values of k_{obs}^{Trp} were little affected by the addition of sodium azide, indicating a contribution of type I mechanism in Trp photooxidation process. Although the addition of β -carotene did not affect the rate of Trp photooxidation sensitized by TCP- C_{60}^{4+} (Fig. 5C), the addition of sodium azide slowed it (Fig. 6C). This behavior may be due to the electrostatic interaction of the azide anions with the cationic TCP- C_{60}^{4+} dyad, favoring the deactivation of the excited triplet state of the photosensitizer.

The photosensitized decomposition of Trp was also analysed in the presence of D-mannitol in DMF/5% water (Fig. 7). This compound can act as a radical scavenger.⁴¹ Therefore, it was used to verify the presence of type I mechanism.²³ The value of k_{obs}^{Trp} for TCP was not modified by the addition of D-mannitol (Table 1), which was due to TCP generates mainly $O_2(^1\Delta_g)$. The photodecomposition of Trp sensitized by TCP- C_{60} in the presence of D-mannitol was negligible, according with the formation a photoinduced charge-separated state diminishing its ability to generate $O_2(^1\Delta_g)$. Therefore, the addition of D-mannitol proves evidence that its primary mechanism of action was type I in this polar medium. In the case of TCP- C_{60}^{4+} , the presence of D-mannitol did not affect the value of k_{obs}^{Trp} . As indicated above, cationic photosensitizers may interact with Trp and produces the decomposition of the amino acid by a radical charge transfer process. Thus, the interaction between the cationic dyad and Trp avoid the effect D-mannitol on photooxidation rate. In presence of dyads, Trp can produce Trp radical, which



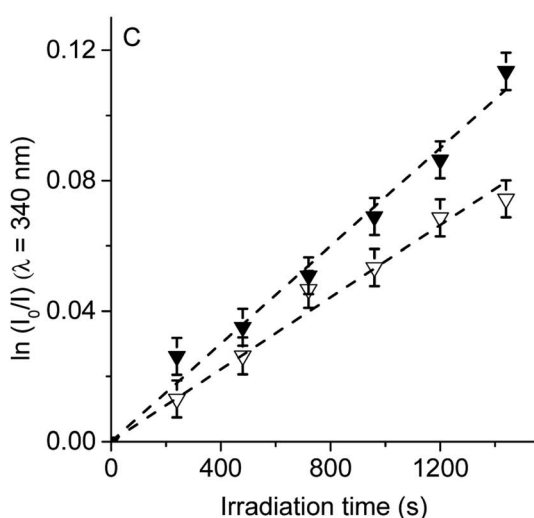
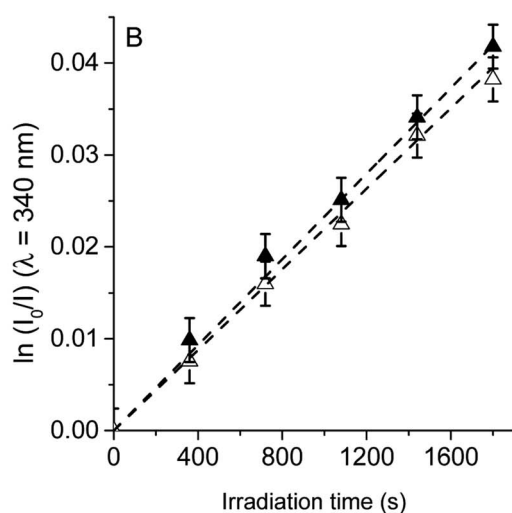
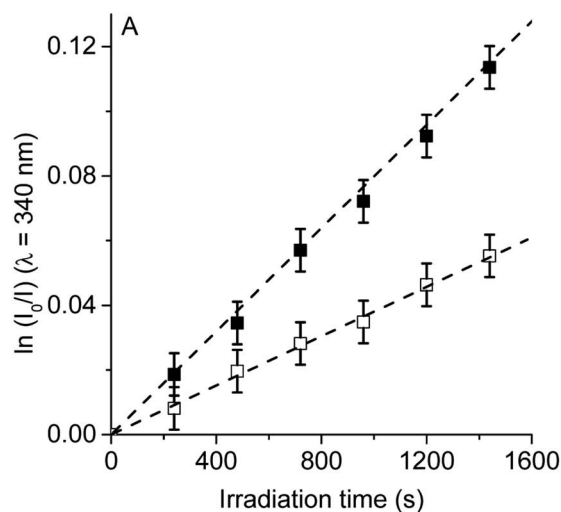


Fig. 6 First-order plots for the photooxidation of Trp (20 μ M) in photosensitized by (A) TCP (■) and TCP in presence of sodium azide (□), (B) TCP- C_{60} (▲) and TCP- C_{60} in presence of sodium azide (△) and (C) TCP- C_{60}^{4+} (▼) and TCP- C_{60}^{4+} in presence of sodium azide (▽) in DMF/2.5% water; $\lambda_{irr} = 562$ nm.

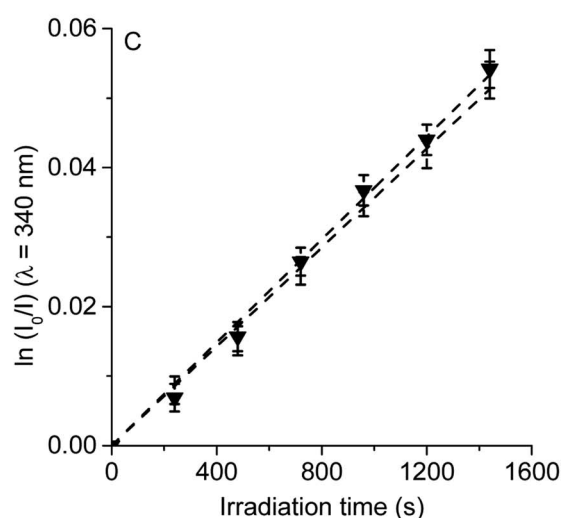
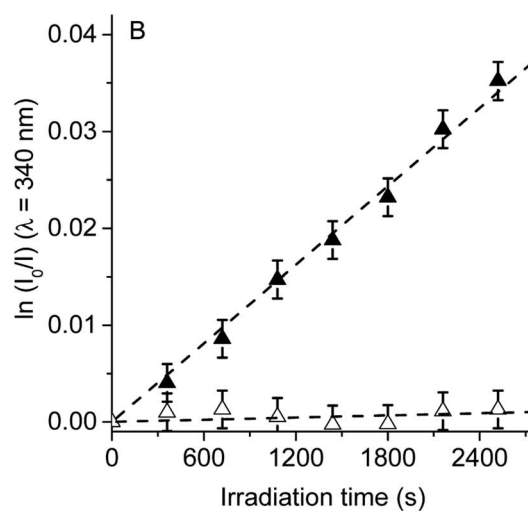
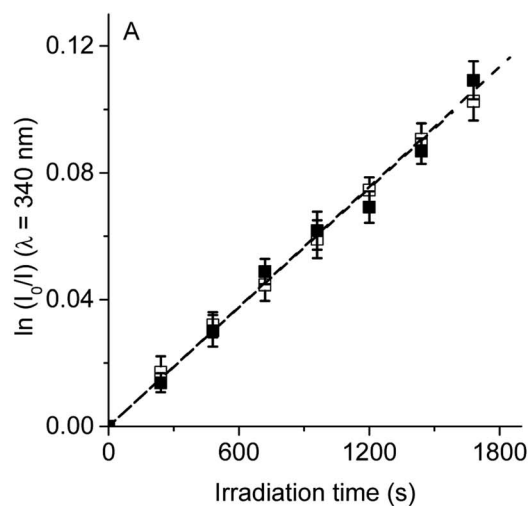


Fig. 7 First-order plots for the photooxidation of Trp (20 μ M) photosensitized by (A) TCP (■) and TCP in presence of D-mannitol (□), (B) TCP- C_{60} (▲) and TCP- C_{60} in presence of D-mannitol (△) and (C) TCP- C_{60}^{4+} (▼) and TCP- C_{60}^{4+} in presence of D-mannitol (▽) in DMF/5% water; $\lambda_{irr} = 562$ nm.



can react with $O_2^{\cdot-}$ to form Trp hydroperoxide that can then rearrange into *N*-formylkynurenine and kynurenine.³⁴

3.4. Photodynamic action in *S. aureus* cells

Different experimental conditions were used to detect ROS sensitized by TCP-C₆₀ or TCP-C₆₀⁴⁺ in *S. aureus* cell suspensions. The effect of medium on the photoinactivation of *S. aureus* was investigated in an atmosphere of argon and in cell suspensions in D₂O. Moreover, the activity of scavengers of ROS on the photoinactivation of *S. aureus* was evaluated by the addition of sodium azide or D-mannitol. In a previous work, these dyads were effective photosensitizers to inactivate *S. aureus* after irradiation with visible light.¹⁶ The results showed that PDI mediated by TCP-C₆₀⁴⁺ was slight more effective than by TCP-C₆₀. In particular, the cationic dyad TCP-C₆₀⁴⁺ exhibited a photosensitizing activity producing a 4.5 log decrease of cell survival, when the cells were treated with 5 μM dyad and irradiated for 30 min with visible light. In this work, studies of PDI were performed with this Gram-positive bacterium in order to obtain information about the main photoprocesses involved in the photokilling of cells. For cells treated with 1 μM dyad and 15 min irradiation, the decrease in cell survival was 1.8 log and 2.5 log for TCP-C₆₀ and TCP-C₆₀⁴⁺, respectively (Fig. 8, line 4). Moreover, no toxicity was observed for *S. aureus* cells not treated with the dyads after 15 min irradiation (Fig. 8, line 2) or treated with 1 μM dyad in dark (Fig. 8, line 3). Therefore, these conditions were used to determine the principal mechanism involved in PDI because it allowed seeing an increase or a decrease in the photoinactivation of bacteria.

Photoinactivation of *S. aureus* cell by dyads was studied under anoxic condition. Cell viability was not modified after irradiation without dyad in an atmosphere of argon (Fig. 8, line 5) or in the presence of dyad in the dark (result not shown). Furthermore, the photoinactivation of *S. aureus* was negligible for cells incubated with TCP-C₆₀ or TCP-C₆₀⁴⁺ after 15 min irradiation (Fig. 8, line 6). Although, the presence of oxygen is essential for the generation of $O_2(^1\Delta_g)$ through the type II photosensitization mechanism, oxygen also plays a major role in the type I mechanism by adding to biochemical radicals.¹² In a type I process, the light excites the photosensitizers and it interacts with a substrate to yield radical ions by a hydrogen atom or electron transfer reaction. The majority of these radicals react with oxygen instantaneously and generate a complicated mixture of highly reactive oxygen intermediates, which can oxidize a wide variety of biomolecules. Therefore, these experiments are not decisive in establishing the predominant photoreaction process involved in the cytotoxicity. Nevertheless, this result shows that these dyads were not able to inactivate microorganisms under anoxic conditions. Similar results were found with *Streptococcus mitis* cell suspensions treated with the phthalocyanine ZnEPc⁴⁺ under an argon atmosphere.⁴² The loss of viability of *S. mitis* cells was highly oxygen dependent. Furthermore, other photosensitizers were reported with the same behaviour.^{23,40}

To evaluate the $O_2(^1\Delta_g)$ mediated photoinactivation of microorganisms, the PDI was performed in D₂O because it is

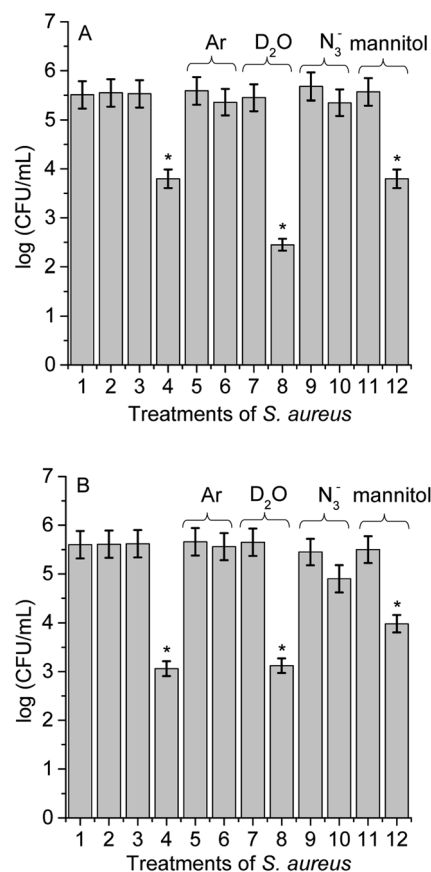


Fig. 8 Survival of *S. aureus* cells ($\sim 10^6$ CFU mL⁻¹) incubated with 1 μM TCP-C₆₀ (A) and TCP-C₆₀⁴⁺ (B) for 30 min at 37 °C in dark and exposed to visible light for 15 min; (1) cells in dark; (2) irradiated cells; (3) cells treated with dyad in dark; (4) irradiated cells treated with dyad; (5) irradiated cells under argon; (6) irradiated cells treated with dyad under argon; (7) irradiated cells in D₂O; (8) irradiated cells treated with dyad in D₂O; (9) irradiated cells containing 50 mM sodium azide; (10) irradiated cells treated with dyad containing 50 mM sodium azide; (11) irradiated cells containing 50 mM D-mannitol; (12) irradiated cells treated with dyads containing 50 mM D-mannitol (**p* < 0.05, compared with control).

well known that D₂O increases the lifetime of $O_2(^1\Delta_g)$ ($\tau_0 = 68$ μs in D₂O and $\tau_0 = 4.2$ μs in water).⁴³ No toxicity was detected in the presence of D₂O under irradiation without dyad (Fig. 8, line 7) or cells treated with dyad in dark (result not shown). Irradiation of *S. aureus* in D₂O with TCP-C₆₀ produced a more pronounced reduction in cell viability (1.5 log) than in PBS cell suspensions (Fig. 8A, line 8). The values found for the non-charged dyad showed that there was a contribution of $O_2(^1\Delta_g)$ in cell inactivation. However, the photocytotoxic activity induced by TCP-C₆₀⁴⁺ was similar in D₂O respect to PBS cell suspensions (Fig. 8B, line 8). This result with cationic dyad revealed a low contribution of type II mechanism. The different behaviours of dyads could be due to different intracellular localization of the photosensitizers in *S. aureus* cells.²³

Moreover, in order to assess the involvement of $O_2(^1\Delta_g)$ in the photoinactivation of *S. aureus* cells, experiments were carried out in the presence of sodium azide.⁴⁴ *S. aureus* cells



were treated with 50 mM sodium azide and 1 μM dyad. This amount of azide ions was not toxic after 15 min with visible light (Fig. 8, line 9) or in the dark containing the dyad (result not shown). The presence of azide ions produced almost complete photoprotection of *S. aureus* cells treated with TCP-C₆₀ or TCP-C₆₀⁴⁺ and irradiated for 15 min (Fig. 8, line 10). Sodium azide is known to inhibit the photodynamic damage of O₂(¹ Δ_g) in bacteria cells.²³ This is due to the fact that the azide ions can act as a quencher of O₂(¹ Δ_g) but also can deactivate compounds in their triplet excited state.⁴⁵ Thus, the presence of azide ions quenched the photocytotoxic species, producing a protective effect on *S. aureus* cells.

As well, the photoinactivation of *S. aureus* mediated by 1 μM dyad was examined after incubation with 50 mM D-mannitol. This compound can be used as a scavenger of O₂^{•−} and HO[•] (type I reaction).⁴¹ The addition of 50 mM D-mannitol was not toxic to irradiated cells without dyad (Fig. 8, line 11) or containing the D-mannitol and dyad in dark (result not shown). After 15 min irradiation of treated cells, the results indicated that phototoxicity efficacy of TCP-C₆₀ to inactivate *S. aureus* cells was not significantly affected (Fig. 8A, line 12). Non-charged dyad may be located in a non-polar cellular microenvironment favouring the production of O₂(¹ Δ_g). On the other hand, ~1 log photoprotective effect was found for bacterial cells treated with TCP-C₆₀⁴⁺ in presence of D-mannitol (Fig. 8B, line 12). Therefore, a contribution of type I pathway was found for *S. aureus* sensitized by cationic dyad.

Previous studies about the contribution of ROS in the photodynamic inactivation of a Gram-positive bacterium *Enterococcus hirae* by meso-tetra(*N*-methyl-4-pyridyl)porphyrin (TMPyP) was investigated using several scavengers.⁴⁰ The results demonstrated that for Gram-positive bacteria, type I reactions appear to play a minor role compared to type II reactions. On the other hand, mechanistic studies on the photodynamic effect induced by fullerene C₆₀ derivative on *S. aureus* cells showed that under aerobic condition the photocytotoxicity activity induced by fullerene was mediated by mainly a contribution of type II process.²³ However, dyads TCP-C₆₀ and TCP-C₆₀⁴⁺ have a porphyrin moiety covalently bound to fullerene C₆₀. Therefore, the mechanism of photodynamic action involved will depend on the polarity of the medium in which the molecules were dissolved. In a polar microenvironment, a photoinduced charge separation state may be formed, favoring a type I photoprocesses. In a non-polar medium, an energy transfer mechanism can occurs, increasing the production of O₂(¹ Δ_g).¹⁶

3.5. Photooxidation of DMA in *S. aureus* cells

In order to confirm the production of O₂(¹ Δ_g) by TCP-C₆₀ and TCP-C₆₀⁴⁺ dyads in *S. aureus* cells, the photooxidation of DMA was evaluated in bacterial suspensions monitoring fluorescence emission decrease (Fig. S5†). This anthracene derivative can act as photosensitizer itself producing O₂(¹ Δ_g) when it is irradiated.⁴⁶ Therefore, the system was illuminated in a range ($\lambda_{\text{irr}} = 455\text{--}800\text{ nm}$) where DMA do not absorb. Hence, PDI of *S. aureus* was not affected by the addition of DMA. Furthermore, shorter

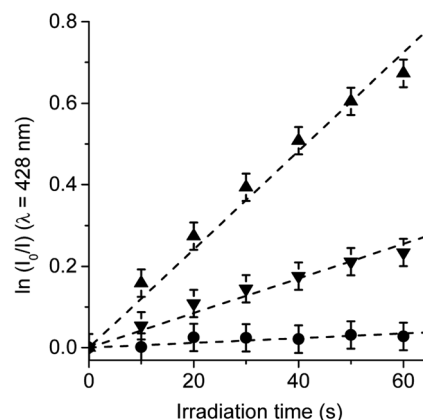


Fig. 9 Photooxidation of DMA in *S. aureus* cells ($\sim 10^6$ CFU mL^{−1}) incubated with 10 μM DMA for 30 min followed by a washing step and treated with 1 μM TCP-C₆₀ (▲) or TCP-C₆₀⁴⁺ (▼) for 30 min at 37 °C in dark. Control: *S. aureus* cells incubated with 10 μM DMA (●); $\lambda_{\text{irr}} = 455\text{--}800\text{ nm}$.

irradiation times were used in kinetic studies, with a total time of 1 min. Considering that DMA quenches O₂(¹ Δ_g) by chemical reaction to form the corresponding endoperoxide, it is used as a method to evaluate the ability of dyads to produce O₂(¹ Δ_g) in *S. aureus* cells.²³ The values of the observed rate constant ($k_{\text{obs}}^{\text{DMA}}$) were calculated from first-order kinetic plots of the DMA emission at 428 nm with time (Fig. 9). Values of $k_{\text{obs}}^{\text{DMA}} = (1.26 \pm 0.06) \times 10^{-2}\text{ s}^{-1}$ and $(4.18 \pm 0.08) \times 10^{-3}\text{ s}^{-1}$ were obtained for TCP-C₆₀ and TCP-C₆₀⁴⁺, respectively. The decomposition rate induced by TCP-C₆₀ was three times faster than that of TCP-C₆₀⁴⁺. The results obtained in cell media showed that TCP-C₆₀ can be located in a less polar cellular environment than TCP-C₆₀⁴⁺. Therefore, TCP-C₆₀ can inactivate the microbe mainly by type II mechanism of photosensitization. Similar results were found using the photosensitizer zinc(II) 2,9,16,23-tetrakis[4-(*N*-methylpyridyloxy)]phthalocyanine in *Candida albicans* cells.⁴⁷ On the other hand, the photooxidation of DMA mediated by *N,N*-dimethyl-2-[4-(3-*N,N,N*-trimethylammonio)propoxy] phenyl] fulleropyrrolidinium (DPC₆₀²⁺) was studied in *S. aureus* cells.²³ A lower production of O₂(¹ Δ_g) of DPC₆₀²⁺ than 5,10,15,20-tetrakis(4-*N,N,N*-trimethylammoniumphenyl)porphyrin (TMAP⁴⁺) was found in cell suspensions. The slower photooxidation rate of DMA mediated by DPC₆₀²⁺ was attributed to a lower O₂(¹ Δ_g) production than TMAP⁴⁺ in *S. aureus* cells. However, a different intracellular localization of the photosensitizers can also affect the decomposition rate of DMA due to the short lifetime of O₂(¹ Δ_g) inside the cells. Among other variables, the photodynamic mechanism of action depend on the medium.⁴⁸ Therefore, it is not possible to make direct correlations between the data obtained in solution and in microbial cells.

4. Conclusions

Type I and II mechanisms are present in the PDI induced by porphyrin-fullerene C₆₀ dyads both in solution and in *S. aureus* cells. Studies of steady-state photolysis in the presence of an electron acceptor and an electron donor confirm that TCP-C₆₀



and TCP-C₆₀⁴⁺ dyads are capable to form a photoinduced charge-separated state in a polar medium. Furthermore, both dyads produced O₂^{•−} in the presence of NADH, as a biological reducing agent. Although both dyads have a very low production of O₂(¹Δ_g), they sensitized the photooxidation of Trp. A slight protection in the decomposition of Trp was found in presence of β-carotene and sodium azide, which indicates a low contribution of type II photoprocess. However, the oxidation of Trp sensitized by TCP-C₆₀ was negligible in solution containing D-mannitol, while the reaction rate was unaffected using TCP-C₆₀⁴⁺. This cationic dyad electrostatically interacts with Trp and electron transfer process can occur conducting to Trp decomposition. Therefore, both dyads oxidize Trp mainly by type I mechanism of action in DMF containing water. Moreover, the present study provides knowledge about the photodynamic mechanism that takes place in the PDI of *S. aureus* cells sensitized by TCP-C₆₀ and TCP-C₆₀⁴⁺. To elucidate the oxidative processes that occur during the killing of microbial cells, the effect of the media was analyzed on cell photoinactivation. It was observed that an oxygen atmosphere was necessary for an efficient photoinactivation. The photocytotoxicity induced by TCP-C₆₀⁴⁺ dyad in D₂O was similar than in PBS cell suspensions. On the contrary, irradiation of bacteria treated with TCP-C₆₀ in D₂O produced a greater reduction in cell viability than in PBS cell suspensions. Photoprotection was found using sodium azide as type II scavengers. The protective effect of D-mannitol was greater for the cells in the presence of TCP-C₆₀⁴⁺ than TCP-C₆₀. Additionally, the O₂(¹Δ_g) production was higher for TCP-C₆₀ than TCP-C₆₀⁴⁺ in the *S. aureus* cells. Due to the presence of positive charges on the periphery of TCP-C₆₀⁴⁺ in comparison with non-charged TCP-C₆₀, this dyad may be located in less polar cellular microenvironment. Therefore, TCP-C₆₀ inactivates the *S. aureus* cells mainly by type II mechanism of photosensitization, while a greater contribution of type I pathway is involved using TCP-C₆₀⁴⁺ as photosensitizer.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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