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Combinatorial Approach in the Design of Multifunctional Polymeric Nano-Delivery Systems for Cancer Therapy

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Abstract

There have been significant advances in our understanding of cancer as a disease at the molecular level and combined with improved diagnostic systems, the concept of personalized medicine has been introduced where therapy for every patient can be customized according to their disease profile. The nanotechnology approach for formulation design and the advent of drug delivery systems for small molecules and biologics has contributed to the development of personalized medicine but despite the progress, the effective management and treatment of cancer remains as a clinical challenge. The majority of drug delivery vectors that have undergone clinical trials have been discontinued prematurely due to poor therapeutic outcomes, off-target effects and non-specific toxicity owing to the components of the formulation itself. Therefore there is an urgent unmet need for a systematic approach to design drug delivery vectors that not only deliver the cargo to the desired site of action but also are highly biocompatible and non-toxic. The past decade has seen the evolution of a combinatorial approach to drug delivery, a concept that has been classically successful in novel drug discovery research. In the present review, we summarize the wet-lab and *in silico* strategies to designing libraries of biocompatible delivery materials using combinatorial chemistry and support this strategy with pre-clinical success stories in cancer therapy.

1 1. Introduction

2 Cancer as a disease is an ever-evolving medical condition that severely limits the
3 successful outcome of therapeutic approaches primarily due to anatomical and physiological
4 complexities as well as tumor heterogeneity. Surgical debulking followed by radiation and
5 chemotherapy is a classical approach for containment of the disease but emergence of acquired
6 multidrug resistance (MDR) in the majority of cancer types renders many of the frontline drugs
7 ineffective leading to poor prognosis. Therefore, the central paradigm of cancer therapy has been
8 modified to accommodate novel approaches that counter the predicaments of conventional therapy
9 and exploiting the benefits of biological macromolecules (biologics) such as antibodies, plasmids
10 and small oligonucleotides as standalone therapy or in combination with anti-cancer drugs.
11 However, similar to chemotherapeutics, these biologics suffer from poor *in vivo* stability, short
12 half-life, poor bioavailability, and off-target effects. The cumulative *in vivo* profile demonstrates
13 non-specific toxicity and compromised efficacy. The development of advanced drug delivery
14 systems has made a significant breakthrough in overcoming many of the challenges associated
15 with the delivery of small molecules and biologics. A drug delivery vector offers considerable
16 advantages such as improved solubility of poorly soluble drugs, protection of the payload from
17 degradation in the body, and increased drug/payload residence time resulting in better
18 pharmacokinetic and pharmacodynamic profiles and a subsequent improvement in efficacy and
19 reduced non-specific toxicity¹. Most importantly, the surface of a drug delivery system allows for
20 ligand modifications that aid in prolonging circulation, increasing permeability and retention, as
21 well as targeting specific types of cells or organs in the body². To this end, different nanoparticle
22 platforms have been developed for successful delivery of chemotherapeutic molecules and
23 biologics for diagnosis, imaging and therapy of various diseases including cancer³⁻⁶. A typical
24 nanodelivery vector has two important components; the material used for designing the
25 nanoparticle that impart desired physical and biological properties and the delivery cargo that
26 could be a chemotherapeutic drug, biological molecule, diagnostic or imaging agent. Choice,
27 selection and manipulation of material for nanoparticle design are extremely vital criteria that will
28 be the prime focus of this review.

29 The traditional approach for selecting an ideal biomaterial for drug delivery applications
30 involves screening for certain primary desired properties including biocompatibility, toxicity,
31 immunogenicity, biodegradability, and drug/biologics loading, protection, and release profile.

1 Certain physical and biological characteristics, such as particle size, surface charge, stability,
2 targeting capability, and tissue penetration are also of prime importance in formulation design to
3 achieve the desired performance of a delivery system for a payload of choice. This approach has
4 proven to be effective but is also cumbersome, time consuming, expensive and an inefficient
5 method for high throughput designing of delivery systems. In an era, where the concept of drug
6 discovery and therapy has shifted from individual small molecule drugs to personalized therapy
7 involving customized therapeutic regimens with a variety of different chemical and biological
8 molecules, there is an urgent need for a modular platform for drug delivery that can accommodate
9 the diversity of the payload. Therefore, recent efforts have focused on rationale design of a diverse
10 variety of derivatives of platform materials to develop a library of novel materials that can be
11 mixed and matched to develop delivery systems with specified characteristics; a concept popularly
12 known as combinatorial design. The combinatorial approach for synthesis has been extensively
13 explored in drug discovery but recent endeavors have proven its potential in customized material
14 design⁷. This review will highlight different strategies adopted for developing material libraries, *in*
15 *silico* approaches for the systematic analysis of material and payload properties to develop
16 predictive capabilities for material-payload compatibility and advantages of the combinatorial
17 approach for synthesizing materials.. We further highlight some illustrative examples where
18 customizable drug deliver systems have been successfully applied for formulation development
19 and pre-clinical studies of individual therapeutic molecules or combination of drugs.

20

21 **2. Cancer Therapy: Systemic Delivery Challenges**

22 **2.1 Cytotoxic Chemotherapy**

23 Chemotherapeutic toxicity can be exhibited as on-target or as off-target toxicity. On-target
24 toxicity such as rash associated with the use of epidermal growth factor receptor inhibitors⁸ or
25 hypertension observed following the use of vascular endothelial growth factor receptor inhibitors
26 are class effects, which are difficult to avoid by designing different active molecules without loss
27 of activity. On the other hand, off-target toxicities are generally observed when therapeutic agents
28 inhibit or affect other unintended targets. This occurs as the off-targets share structures or residues
29 with the intended targets. Although these toxicities can be minimized by structural drug design to
30 increase selectivity towards the target in many circumstances complete selectivity is not feasible or
31 desirable. Conventional chemotherapeutic agents such as paclitaxel, etoposide, doxorubicin target

1 rapidly dividing cells including cancer cells and certain normal tissues. The non-specific effect on
2 healthy tissues leads to several off-target toxic effects such as alopecia, gastrointestinal symptoms
3 and myelosuppression. Although these traditional chemotherapeutic agents remain the treatment
4 of choice for many malignancies, targeted cytotoxic agents (such as bevacizumab, imatinib,
5 sorafenib) are being used for the treatment of many cancers including breast, colorectal, lung and
6 pancreatic cancers as well as lymphomas, leukemia's and multiple myelomas⁸. These targeted
7 therapies block the proliferation of cancer cells by interfering with specific molecules required for
8 tumor development and growth providing treatment specificity and minimizing off-target toxicity.
9 Although these targeted therapies show lower off-target toxicity these agents have been reported to
10 exhibit a similar frequency and severity of toxicity as traditional cytotoxic agents with the
11 difference being in the nature of the toxic effects⁹. Thus alternative strategies of improving tumor
12 specific delivery while minimizing off-target toxicity are continually being investigated to provide
13 patients with optimal cancer therapy.

14

15 **2.2 Nucleic Acid Therapies**

16 Nucleic acids are large, hydrophilic and highly negatively charged molecules which when
17 administered as foreign agents can be recognized and degraded by the immune system. Several
18 different types of molecules that act to inhibit gene expression by sequence-specific targeting of
19 mRNA's have been developed as potential therapeutic agents. Short interfering RNA (siRNA) and
20 microRNA (miRNA) are two such nucleic acid therapies (NAT) that have been pursued for cancer
21 therapy. siRNA's are RNA fragments approximately 21-23 nucleotides long that are capable of
22 inducing the sequence specific destruction of complementary mRNA¹⁰. On the other hand
23 miRNA's are small endogenous molecules that regulate gene expression by direct binding to the 3-
24 UTR of coding messenger genes resulting in their translational repression and/or mRNA
25 degradation¹⁰.

26 Although these NAT's can be delivered in their naked form (non-carrier based approach)
27 or through a non-viral or viral delivery system, the non-carrier based approach has been used for
28 the local delivery of therapeutic agents (in macular degeneration, wound healing and infectious
29 respiratory disease). However as systemic delivery provides access to many tissues, systemic
30 delivery of NAT's has been pursued with interest. Systemic delivery of non-carrier based NAT's
31 has been limited due to poor ribonuclease resistance (RNase), which yields a very short half-life

1 (2-6 min) for these therapies ¹¹. Naked NAT's also do not bind strongly to plasma proteins nor do
2 they accumulate in body tissues. On the other hand, due to their small size they are easily cleared
3 through the kidneys ($17.6 \text{ ml}\cdot\text{min}^{-1}$) ¹¹. Due to their poor plasma stability, poor tissue specific
4 accumulation and rapid clearance, non-carrier based NAT's have to be dosed in excessive quantity
5 to reach a particular location in the body and be retained for a therapeutically optimal period.

6 7 **2.3 Multidrug Resistance**

8 Drug resistance to anticancer agents is typically attributed to impaired delivery or due to
9 genetic/epigenetic alterations, which affects drug sensitivity. These resistance mechanisms led to
10 the development of cross-resistance to other structurally and mechanistically unrelated drugs
11 inducing the development of multidrug resistance (MDR). Impaired delivery of cytotoxic agents
12 to the tumor site occurs due to poor absorption of orally administered drugs, increased drug
13 metabolism or increased excretion of the drug which lowers the drug concentration at the target
14 site. Apart from the pharmacokinetic effects, cancer cells have resistance mechanisms to negate
15 the therapeutic effects of cytotoxic agents. Natural product hydrophobic drugs are substrates for
16 innate multidrug resistance mechanisms, which results from the expression of ATP-dependent
17 efflux pumps that have broad drug specificity. These pumps increase drug efflux, which leads to
18 lower intracellular drug concentrations. Vinca alkaloids (vinblastine, vincristine), anthracyclines
19 (doxorubicin, daunorubicin), RNA transcription inhibitor (actinomycin-D) and microtubule
20 stabilizing drugs (paclitaxel) are typical examples of compounds effluxed from cells using innate
21 multidrug resistance mechanisms ¹². Apart from increased efflux, cancer cells develop resistance
22 by reducing drug uptake of compounds such as methotrexate, 5-fluorouracil and cisplatin
23 transporters and carriers that typically bring nutrients into the cell. Drug resistance can also be
24 developed by regulating endogenous detoxification systems for example DNA repair and CYP450
25 mixed function oxidases, which minimizes the effectiveness of the drug. Malignant transformation
26 of cells leads to defective apoptotic pathways such as alterations in ceramide levels or changes in
27 cell cycle machinery which activate check-points preventing the initiation of apoptosis and thus
28 promoting resistance. As cancer cells are genetically heterogeneous, more than one mechanism
29 plays a role in the development of resistance a phenomenon termed multifactorial multidrug
30 resistance.

31 32 **2.4 Strategies to Overcome Tumor Resistance**

1 Several strategies have been investigated to overcome tumor resistance including
2 combination therapy with two or more drugs, protein inhibition and gene silencing. In
3 combination therapy, cytotoxic agents with different mechanisms of action are combined to target
4 multiple sites in the cancer cell. However, due to the phenomenon of cross-resistance this
5 approach often does not provide an adequate therapeutic outcome.

6 MDR protein inhibition is a strategy that is used for cytotoxic agents typically pumped
7 through the P-gp efflux systems. In these situations MDR protein inhibitors such as cyclosporine,
8 verapamil and tariquidar are often combined with cytotoxic agents to attain high intracellular drug
9 concentration. With the development of nanoparticle-based therapy in cancer drug delivery, co-
10 delivery of MDR protein inhibitors and cytotoxic agents can be achieved. Co-encapsulation of
11 cyclosporine A and doxorubicin showed a two fold higher efficacy in doxorubicin resistant
12 leukemia cells compared to using free cyclosporine A or only doxorubicin loaded particles ¹³.
13 Similarly transferrin conjugated (receptor targeted) liposomes with verapamil and doxorubicin
14 showed faster internalization of the nanoparticles and greater accumulation of doxorubicin in
15 doxorubicin resistant leukemia cells ¹⁴. Thus the targeted delivery of chemosensitizers and
16 cytotoxic agents using nanoparticles is a safe and effective approach for the treatment of cancers
17 resistant to chemotherapy. Apart from the delivery of cytotoxic agents and chemosensitizers,
18 nucleic acid loaded nanoparticles have been used to silence P-gp expression to increase
19 intracellular drug concentrations. These particles have shown higher systemic stability as well as
20 target specificity compared to free siRNA, which is unstable in serum and demonstrates poor
21 cellular uptake.

22 23 **3. Polymeric Nano-Systems for Systemic Delivery in Cancer**

24 25 **3.1 Targeted delivery systems**

26
27 The targeting of nanoparticles can be classified into passive targeting and active targeting.
28 Passive targeting relies on the Enhanced Permeability and Retention effect (EPR), which is
29 characterized by enhanced accumulation of nanoparticles within tumor tissues due to the leaky
30 tumor vasculature and impaired lymphatic drainage ¹⁵. For passive targeting, nanocarriers have to
31 circulate in the blood for sufficient, prolonged periods. This goal can be achieved through

1 optimizing stealth properties, typically by physical incorporation or chemical conjugation of
2 polyethylene glycol (PEG) into the shell of the nanocarriers. Among various polymeric
3 nanocarriers, polymeric micelles prepared from self-assemblies of amphiphilic PEG-based block
4 copolymers have received great interest for tumor targeting via the EPR effect¹⁶⁻¹⁸. The dense
5 PEG shell of the micelles prevents protein adsorption and recognition by the phagocyte system,
6 increasing the blood circulation time of the nanocarriers. We have shown that passively-targeted
7 PEG-modified type B gelatin-based nanoparticles are very effective for gene delivery to solid
8 tumors^{19,20}. The extravasation of polymeric nanocarriers into tumor tissues and penetration of the
9 nanocarriers within the tumor tissue is size-dependent. It is currently thought that nanocarriers in
10 the 10-100 nm size range and with a surface charge either slightly positive or slightly negative are
11 often not cleared by renal clearance and the reticuloendothelial system, enabling accumulation at
12 the tumor site after prolonged circulation⁵. Despite the popularity of the EPR effect in cancer
13 research, it is argued that no more than 5% of the injected dose extravasates and accumulates in
14 tumors²¹. The extent of nanoparticle extravasation depends heavily on a number of factors
15 including the degree of capillary disorder, blood flow, and the rate of lymphatic drainage, which
16 varies among tumor types^{15,22}. For example, certain tumors, such as metastatic liver, pancreatic
17 and prostate cancer, bear intrinsically low vascular densities, in which the EPR effect strategy may
18 not apply in the core of a large-sized tumor (*e.g.*, 1–2 cm in diameter) due to the absence of
19 densely vascularized structures²³. In a recent study, the Kataoka research group compared the
20 accumulation and effectiveness of different sizes of long-circulating, drug-loaded polymeric
21 micelles with diameters of 30, 50, 70 and 100 nm in both highly and poorly permeable tumors. All
22 the polymer micelles penetrated highly permeable tumors in mice, but only the 30 nm micelles
23 could penetrate poorly permeable pancreatic tumors to achieve an antitumor effect²⁴. The study
24 also showed that the penetration of the larger micelles could be enhanced by a transforming
25 growth factor inhibitor to increase the permeability of the tumors²⁴. To achieve homogenous
26 accumulation, polymeric nanocarriers need to move deeply into the tumor tissues after
27 extravasation. However, the transport of the nanocarriers is largely impeded by the high interstitial
28 fluid pressure (IFP), which also hinders the nanocarrier retention in tumor tissues. In addition,
29 tumor accumulation of drugs in tumor tissue does not always guarantee successful therapy if the
30 drug does not reach the target site of the tumor cell such as the cell membrane, cytosol, or nucleus.
31 For effective cancer therapy, it is critical to precisely guide nanocarriers to a specific cell type or a

1 specific non-cellular component in the tumor microenvironment, which could be achieved through
2 actively targeted delivery systems.

3 To achieve tumor specificity, various polymeric nanocarriers employ active targeting.
4 Active targeting exploits tumor cell characteristics, such as over-expression of specific antigens or
5 receptors on their surfaces that are at low levels in normal tissue cells ²⁵. Through careful
6 engineering of polymeric nanoparticles, various targeting ligands such as antibodies, peptides,
7 nucleic acid aptamers, carbohydrates and small molecules can be displayed to enhance selective
8 delivery to tumor site while decreasing the localization in the liver and spleen ²⁶. Binding affinity
9 of the targeting ligands with their receptors influences the tumor penetration of the nanocarriers.
10 For targets in which cells are readily accessible, typically the tumor vasculature, high affinity
11 binding is preferable. So far, the targeting moieties have been used to either directly target the
12 cancer cells; or to target the tumor vasculature endothelial cells and indirectly inhibit cancer cell
13 growth by deprivation of the oxygen and nutrients carried by tumor vasculatures ²⁷. The aim of
14 targeting cancer cells is to enhance the cellular uptake of the nanocarriers. Thus, the active
15 targeting of cancer cells is particularly attractive for the intracellular delivery of DNA, siRNA, and
16 protein. The enhanced cellular uptake rather than an increased tumor accumulation is responsible
17 for the anticancer efficacy of the actively targeted nanocarriers ²⁸. The concentration of the surface
18 ligand is a critical parameter that dictates the ligand targeting effect. High ligand density may
19 increase the probability of nanoparticle interactions with cell receptors. However, the presence of
20 increased non-PEG like-material on the surface of nanoparticles can be more detrimental than
21 advantageous to delivery ²⁶. The popular receptors for cancer cell targeting include transferrin,
22 folate, glycoprotein, and epidermal growth factor receptor (EGFR). One targeting ligand which
23 has received great attention in cancer research during the past two decades is HER2. HER2
24 (Human Epidermal Growth Factor Receptor 2) is highly expressed in breast cancer, ovarian
25 cancer, and gastric cancer ²⁹. The overexpression of HER2 is also associated with more aggressive
26 tumor phenotypes and poor prognosis, thus making it an attractive target for cancer therapy. The
27 successful development of trastuzumab (HerceptinTM), an anti-HER2 antibody, has had a major
28 impact on the treatment of breast cancer ³⁰. Our laboratory has developed EGFR-targeted
29 polymeric nanoparticles using an EGFR targeting peptide conjugated with gelatin nanoparticles or
30 with a synthesized EGFR peptide/PEG construct incorporated in polymer blend nanoparticles for
31 treatment of pancreatic cancer and multidrug resistant cancer ^{31, 32}. Herceptin-conjugated

1 nanoparticles of D-alpha-tocopheryl-co-poly(ethylene glycol) 1000 succinate (TPGS)-cisplatin
2 prodrug and herceptin-decorated poly(D,L-lactide-co-glycolide) have also been developed for the
3 effective treatment of breast cancer^{33,34}.

4 For targeting tumor endothelial cells, there is no need for nanocarrier extravasation to
5 arrive to their target site and receptor binding is directly possible after intravenous injection²⁸.
6 The ligand-targeted nanocarriers bind to and kill angiogenic blood vessels inducing tumor cell
7 death due to the lack of oxygen and nutrients. The main receptors of the tumoral endothelium
8 include the receptors of vascular endothelial growth factors (VEGF), the $\alpha_v\beta_3$ integrin, vascular cell
9 adhesion molecule-1 (VCAM-1), and the matrix metalloproteinase (MMPs). Among targeting
10 delivery systems, $\alpha_v\beta_5$ or $\alpha_v\beta_3$ integrin targeted nanocarriers could be considered as double
11 targeting systems because $\alpha_v\beta_5$ and $\alpha_v\beta_3$ integrin are over-expressed in both tumor cells and
12 angiogenic endothelial cells³⁵. Despite the ability to enhance cellular uptake and tumor retention,
13 active targeting strategies can result in a high accumulation of the nanocarriers in non-target cells
14 due to basal expression of antigens, carbohydrates, and receptors, thus toxic off-target effects can
15 occur. An alternative approach to localize therapeutic agents inside tumor cells is the use of
16 stimuli-responsive nanocarriers that release a therapeutic payload in response to a
17 microenvironment trigger.

18

19 **3.2. Stimuli-responsive polymeric systems**

20 Stimuli-responsive polymeric systems maintain stealth function during circulation, upon
21 arrival at the tumor site, drug release is triggered by a unique property of the tumor micro
22 environment such as a change in pH, redox or enzyme gradient. Tumor microenvironment is
23 distinctly different from normal tissue environment, which imparts key advantages to tumor by
24 promoting growth, progression and aggressiveness. However, this provides unique opportunity to
25 exploit these characteristic features for developing vectors that could deliver the cargo in response
26 to the internal stimuli specific to the tumors. We briefly summarize some of the popular stimuli-
27 responsive approaches that have been undertaken but the readers are encouraged to read detailed
28 accounts on this aspect of research in the published literature.^{36,37}

29 Interstitial fluid in tumors is known to have a lower pH (6.5-7.2) than that in normal tissue
30 (~7.4). This is mainly a consequence of irregular angiogenesis in fast-growing tumors, which
31 causes a rapid deficit of tumor nutrition and oxygen, and a subsequent increase in glycolytic

1 metabolism resulting in the over-production of lactic acid in the tumor interstitium. The
2 insufficient blood supply and poor lymphatic drainage, characteristics of most tumors, contributes
3 to the acidity of the tumor microenvironment³⁸. After cellular uptake by endocytosis, nanocarriers
4 face very well defined compartments with strongly differential pH values. The early endosome
5 has a pH of about 5–6 while the late lysosome, which is the most acidic compartment, has a pH
6 around 4–5³⁹. This phenomenon has been employed to design numerous pH-responsive
7 polymeric systems for the delivery of anticancer drugs to tumors. The pH-responsive systems are
8 stable at physiological pH of 7.4, but destabilize to release the drugs at lower pH environments in
9 the extracellular matrix of solid tumors and in endosomes and lysosomes. One representative
10 example could be the zwitterionic polymer based surface charge switchable nanoparticles that
11 overcome the weak nanoparticle-cell membrane interactions⁴⁰. In this system, an extracellular
12 pH-sensitive 2,3-dimethylmaleic anhydride (DMA) was introduced as the anionic part of the
13 zwitterionic polymer PCL-*b*-P(AEP-*g*-TMA/DMA). The nanoparticles show a nearly neutral
14 surface charge in blood circulation so as to avoid rapid recognition by the immune system and
15 exhibit delayed blood clearance time, which can increase accumulation in tumor tissue through the
16 EPR effect. Once accumulated in tumors, they can respond to the extracellular pH and remove the
17 negative DMA residues from the nanoparticle, and convert to a positively charged form, enhancing
18 the NP–cell membrane interactions and facilitating tumoral cell uptake (**Figure 1**).

19 Glutathione (GSH) is the most abundant reducing agent in living cells. It has been
20 established that extracellular space is oxidative while the intracellular is reductive, which is
21 strongly related to the difference in the intracellular GSH concentration (~2-10 mM) and GSH
22 concentration in blood and extracellular matrix (~2-10 μM)⁴¹. Moreover, tumor tissues has about
23 7-fold higher GSH concentration than that of normal tissue⁴², and is often elevated in multidrug
24 resistance cancer cells⁴³. This significant variation in GSH concentration in the tumor
25 microenvironment has been exploited to design redox-responsive polymeric nanocarriers for
26 intracellular delivery, especially gene delivery. This has been achieved by reductively degradable
27 micelles from self-assembled amphiphilic copolymers containing disulfide links in the repeated
28 units of the hydrophobic backbones or bearing a single disulfide bond at the connection of the two
29 polymer blocks⁴⁴⁻⁴⁶. Other approaches use GSH-sensitive crosslinking agents incorporated either
30 in the shell or in the core of the micelles leading to rapid disassembly of the micelles followed by

1 intracellular release of therapeutic agents^{47,48}. Polymers ranging from chitosan to poly (ethylene
2 imine) have been studied for redox-responsive systems through disulfide linkages.

3 Matrix metalloproteinases (MMPs) are a family of zinc-dependent proteases that are
4 essential for angiogenesis, tumor invasion and metastasis. These enzymes are abundant in the
5 tumor extracellular matrix and are often upregulated in invasive tumors due to the increased need
6 for extracellular matrix degradation⁴⁹. MMP-responsive nanocarriers can be achieved by
7 incorporation of enzyme-cleavable peptides in the structure of nanocarriers.

8

9 **3.3 Need for modular platform**

10 Despite extensive research efforts to develop new nanoparticles for cancer therapy, currently
11 drug delivery systems have only achieved modest therapeutic benefits⁵⁰. Furthermore, there is an
12 increasing need for the combination of diagnosis and therapy. Thus, the development of suitable
13 nanotechnology platforms to improve drug delivery to tumor tissue is still a pressing need. The
14 modular design strategy relies on the development of integrated methods for the preparation of a
15 single nanocarrier which can address following challenges: (i) biocompatibility and
16 biodegradability to achieve optimal benefit/risk relation; (ii) controlled size in the range of 10-100
17 nm, optimal stealth properties to escape immune recognition and enable the EPR effect through
18 prolonged blood circulation; (iii) high targeting specificity for improved tumor retention and
19 cellular uptake; (iv) controlled release mechanisms through stimuli responsiveness to trigger
20 release of drugs or genes inside tumor cells; (v) multimodal imaging functionality for monitoring
21 biodistribution. However, combining imaging and other functionalities in one nanoparticle can be
22 challenging since a limited number of attachment sites are available on the nanocarrier surface
23 making it difficult to couple several functional groups in sufficient concentration. Furthermore,
24 some groups may interact to sterically shield or alter each other's activity when in close proximity.
25 In addition, multiple functional moieties on a nanoparticle may also reduce colloidal stability or
26 adversely affect the *in vivo* pharmacokinetics⁵¹. Therefore, it is necessary to design new
27 nanoparticles of higher capacity and functionality. A common approach to incorporate multiple
28 functionalities onto a single nanoparticle is the use of core/shell architecture with polymeric
29 nanoparticles. In another approach, Schneider et al. reported a highly versatile nanoparticle-based
30 core/shell drug delivery system prepared by electrostatic and covalent layer-by-layer assembly
31 strategy using a gold nanoparticle core. The multifunctional shells are constructed using a single

1 assembly process in which various different functionalities are incorporated in a modular fashion
2 (**Figure 2**)⁵².

4 **4. Combinatorial Design of Polymeric Nano-Systems**

5 **4.1 Concept of Combinatorial Design and Formulation Customization**

6 The rationale behind the application of the combinatorial approach for designing novel
7 delivery strategies has evolved from the central paradigm for high-throughput drug discovery,
8 where the experimental and computational methods are utilized to select positive “hits” of drug
9 candidates from a library of compounds⁵³. This approach has been extensively used in small
10 molecule drug development and had been further extended to the discovery of recombinant
11 proteins, peptides and antibody candidates⁵⁴. In perspective to drug delivery vector design,
12 combinatorial approach integrates the ideal characteristics of materials suitable for biomedical
13 applications to their structure-property relationship. Central to this idea is the concept of
14 developing a modular and customizable platform that can be tailored at will to deliver a variety of
15 payloads including small molecules, nucleic acids and proteins. To this end, polymeric scaffolds
16 have been the most popularly used since they offer multiples advantages. Polymeric drug delivery
17 systems have been extensively studied in the past with an abundance of literature that offers
18 chemical synthesis approaches that are well understood. Advances in chemical analysis methods
19 further aid in the estimation of the precise degree of modification to develop a robust and
20 reproducible synthesis scheme. Most importantly, their biocompatibility, biodegradability and
21 clearance mechanisms from the body has been well established, which allows for selection of
22 *Generally Regarded as Safe* (GRAS) starting materials to develop delivery systems. These
23 properties are key to develop clinically translatable delivery systems. Polymeric systems are also a
24 popular choice for such applications since the repeat units of the monomers provide abundant
25 functional groups that can be exploited for a precise degree of chemical conjugation/modification
26 to attain a product with desired properties. Advances in high-throughput screening and
27 combinatorial methods for drug discovery can be easily extended to monomers and polymer
28 synthesis that would lead to the fast-paced development of building blocks that can be blended in
29 optimal proportions to develop novel delivery systems.

30 The first introduction of parallel synthesis and combinatorial design of polymeric materials
31 was reported from Dr. Langer’s laboratory where 7 different diacrylate monomers were modified

1 with 20 different amine monomers to give a library of 140 poly (β -amino ester) (PBAE) that were
2 further tested for their aqueous solubility and subsequent DNA complexing capability⁵⁵. The
3 library size was large enough to give sufficient versatility in structurally unique polymers but small
4 enough to avoid the use of an automated screening process. This combinatorial approach was
5 further developed and improved by the same group to extend the repertoire of the library and allow
6 for synthesis and screening for the product for nucleic acid binding without a need for additional
7 purification⁵⁶. They further demonstrated that combinatorial design could be successfully
8 extended to generate a library of lipid-like materials called “*lipidoids*” using a similar synthetic
9 approach based on alkyl acrylate (or acrylamides) to primary or secondary amines. A library of
10 more than 1200 structurally unique lipidoids was successfully synthesized and screened for
11 transfection efficiency⁵⁷.

12 These examples of combinatorial synthesis and design of materials demonstrate the
13 strength and versatility of the approach and the potential it offers in generating libraries of
14 derivatives that can be explored for delivery. However, the majority of these approaches are based
15 on conjugation of electropositive amines on to the polymer backbone to facilitate subsequent
16 nucleic acid complexation and encapsulation. There is a deficit of studies demonstrating the
17 application of these materials in the systematic design of delivery systems for small drug
18 molecules, primarily due to the high variability in properties of these molecules. A methodical
19 approach towards material selection for small molecule encapsulation and delivery should consider
20 not only the properties of the polymer but also the candidate drug. Solubility, charge and
21 interaction with the host polymer of the delivery system are some of the key properties that define
22 the encapsulation efficiency of a drug and its release profile. Such multi-parametric predictions
23 are hard to comprehend without the use of bioinformatics tools that are now extensively applied in
24 understanding drug-material interactions, its effect on the performance of the delivery system and
25 to some extent, predicting the material properties that would be ideal for a given drug candidate.
26 The following section will highlight some of the modeling approaches and *in silico* validations that
27 have been explored in a quest to discover novel delivery systems and improve the design of
28 existing systems.

29

30 ***4.2 Modeling and In Silico Validation***

1 Pharmaceutical science relies on modeling of drug delivery systems to help conceptualize,
2 understand and predict the efficacy of the system. These modeling strategies are rich and diverse,
3 and they include not only *in vitro* and *in vivo* but also theoretical or *in silico* approaches. In the
4 last couple of decades mathematical and computational cancer modeling (*in silico*) has gained
5 momentum. In 1961, the father of mathematical modeling for drug delivery systems, Professor
6 Takeru Higuchi published his famous equation (eq. 1) allowing for a surprisingly simple
7 description of drug release from an ointment base exhibiting a considerable initial excess of non-
8 dissolved drug within an inert matrix with film geometry (**Figure 3**)^{58, 59}.

$$9 \quad M_t/A = \sqrt{D \cdot (2c_0 - c_s) \cdot c_s \cdot t} \quad (1)$$

10 Here, M_t is the cumulative absolute amount of drug released at time t , A is the surface area of the
11 film exposed to the release medium, D is the drug diffusivity in the carrier material, and c_0 and c_s
12 represent the initial drug concentration and the solubility of the drug in the carrier material,
13 respectively. An important advantage of this equation is its simplicity.

14 This was the beginning of the quantitative treatment of drug release from pharmaceutical
15 dosage forms. After this, numerous models have been proposed, including empirical/semi-
16 empirical as well as mechanistic, realistic models. Empirical/semi-empirical models are purely
17 mathematical and do not consider real physical, chemical or biological phenomenon⁶⁰⁻⁶³. These
18 models give no or very limited insight into the underlying drug release mechanisms. Moreover,
19 these models usually are less accurate than mechanistic models. These type of theories might, for
20 instance, be useful if different types of drug release profiles are to be compared using a specific
21 parameter (e.g., an apparent release rate constant for experimental design analysis). But great
22 caution must be paid if mechanistic conclusions are drawn or quantitative predictions are made. In
23 contrast, mechanistic mathematical theories are based on real phenomena, such as diffusion,
24 dissolution, swelling, erosion, precipitation and/or degradation⁶⁴⁻⁷². These type of models allow
25 for the determination of system-specific parameters that can offer deeper insight into the
26 underlying drug release mechanisms.

27 28 **4.2.1 Mechanistic models:**

29 Diffusional mass transport (DMT) is of utmost importance in drug delivery systems as it
30 represents the way a drug is released by the device. Fick's law of diffusion is used to quantify
31 DMT⁷³. Fick's second law of diffusion is as follows,

$$\frac{\partial c}{\partial t} = D \cdot \left(\frac{\partial^2 c}{\partial x^2} + \frac{\partial^2 c}{\partial y^2} + \frac{\partial^2 c}{\partial z^2} \right) \quad (2)$$

where c is the concentration of the diffusing species; t denotes time, D is the diffusion coefficient and x , y and z are the three spatial (Cartesian) coordinates.

Here, it is to be noted that time- and position-dependent diffusion coefficient (D) might include matrix erosion, polymer swelling and/or degradation⁷⁴⁻⁷⁶. These scenarios lend Fick's second law (equation 2) unsolvable requiring a different set of models for these scenarios. Appropriate selection of such a model is largely determined by the following properties of the system i) physical placement of drug in the device, ii) initial concentration of drug, iii) geometry of delivery system.

4.2.1.1 Reservoir systems with a non-constant activity source: In these cases, the drug is physically completely separated from the release rate controlling material, which forms a barrier membrane surrounding the drug depot. Moreover, the initial drug concentration is below drug solubility and authors have proposed different models for these cases^{77,78}.

4.2.1.2 Reservoir systems with a constant activity source: In these cases, the drug is also physically completely separated from the rate controlling barrier membrane, but the initial drug concentration is above drug solubility. So, upon water penetration into the device not all the drug is dissolved. Thus, a saturated drug solution is rapidly created in the core and released drug molecules are rapidly replaced by the (partial) dissolution of remaining drug excess. Consequently, the drug concentration at the inner membrane's surface remains constant (as long as drug excess is present). In these scenarios the dissolution rate is determined using the Noyes-Whitney equation⁷⁹.

4.2.1.3 Monolithic dispersions: If the drug is homogeneously distributed within the delivery system at an initial concentration that exceeds drug solubility, this type of device is called a monolithic dispersion. Upon contact with aqueous body fluids, water penetrates into the system and only partially dissolves the drug. Thus, dissolved and non-dissolved drug co-exist within the matrix during drug release. Importantly, only dissolved drug is available for diffusion. Higuchi's equation (equation 1) models this scenario with film geometry of a drug delivery system. Roseman *et. al.* modeled drug dispersion in this scenario with sphere and cylinder geometry^{80,81}. Paul has extended Higuchi's model to incorporate additional complexity to the system⁸².

4.2.2 Empirical models:

1 4.2.2.1 Peppas Equation: Peppas equation⁶⁰ models drug release as a power law,

$$M_t/M_\infty = k \cdot t^n$$

2 Here, M_t and M_∞ are the absolute cumulative amount of drug released at time t and infinity,
3 respectively. k is a constant incorporating structural and geometric characteristics of the system,
4 and n is the release exponent, which might be indicative of the mechanism of drug release. It is to
5 be noted that the classical Higuchi equation (Eq. 1) is a special case of the Peppas equation where
6 the release exponent is 0.5. Thus, a release exponent of 0.5 can serve as an indication for diffusion
7 controlled drug release, but only if all assumptions these particular solutions are based on are
8 fulfilled, in example slab geometry with negligible edge effects, time- and position-independent
9 diffusion coefficients in a non-swellable and insoluble matrix former.

10 4.2.2.2 Data Mining: Lipinski has derived rules such as the “rule of five” using data mining
11 techniques for optimal bio-availability and absorption of drugs⁶³. Wu *et. al.* have proposed a drug
12 disposition classification system (BDDCS) and provided over 160 molecules as examples of four
13 classes⁸³. Researchers have used an artificial neural network (ANN) to model drug delivery
14 system^{61, 62}. An ANN consists of one input layer, one output layer and one or more hidden
15 intermediate layers. Each layer is composed of several units, called “neurons”. The input layer
16 encompasses input values of causal factors, e.g. the drug loading, compression force etc. The
17 output layer can, for instance, consist of constants describing the drug release profile. The above-
18 mentioned ANN is first trained with a set of experimental results (consisting of input and output
19 values *in vivo* or *in vitro*). Once the system is “trained”, it can be used to make quantitative
20 predictions for the output values based on new input values.

22 4.3 Synthesis Approaches

23 The methods adopted for synthesizing materials by combinatorial approaches for high-
24 throughput screening should fulfill some important criterion for their successful implementation.
25 Firstly, the synthesis scheme should be a single step, straightforward process that can result in a
26 product with high consistency and should be amenable to scale-up. Researchers have focused on
27 methods that can avoid complicated reaction conditions, need of catalysts and significantly higher
28 volumes of solvents. Secondly, the choice of reaction should ideally avoid the formation of by-
29 products or intermediate products that would need additional purification steps before the material
30 could be utilized for characterization and delivery applications. Most importantly, an ideal

1 synthesis method should be able to use the entire raw material and convert it into product to avoid
2 waste of material especially when the polymeric backbone is expensive. To this end, various
3 approaches have been used that meet the described parameters and have been successfully used for
4 the generation of polymeric materials.

5 4.3.1 *Amine Addition*: The contribution from Dr. Langer's work has popularized this
6 synthesis approach where primary or bis(secondary) amines are added to a poly(β -amino ester) to
7 yield cationic polymer products⁵⁵⁻⁵⁷. This method has three distinct advantages: the raw materials
8 are inexpensive, the reaction proceeds to completion in a single step and most importantly, it does
9 not yield any by-products, thereby avoiding a need for product purification. Importantly, the
10 reaction conditions can be tailored to accommodate polymers with varying molecular weights
11 ranging from 2000 to 50000 Da, demonstrating the versatility and adaptability of the synthesis
12 methodology⁵⁵. Such flexibility in the synthesis approach allows rigorous study of the structure-
13 property relationship of materials and allows optimization to achieve better materials. Anderson *et*
14 *al.* studied parameters such as molecular weight, particle size and surface charge after nucleic acid
15 complexation, optimal polymer/nucleic acid ratio and its effect on transfection efficiency⁸⁴.

16 4.3.2 *Amidation*: Amidation is the process of the formation of an amide bond using a
17 functional carboxylic and amine groups and largely relies on a carbodiimide coupling reaction in
18 the presence N-hydroxysuccinimide (NHS). Carbodiimide coupling is particularly attractive since
19 it can be carried out using water as the solvent and the reaction does not require special conditions
20 such as temperature, pH or a catalyst for completion. 1-ethyl-3-[3-(dimethylamino)-propyl]-
21 carbodiimide (EDC) has been a preferred choice due to its high water solubility. However, this
22 reaction suffers from poor yield of the product and usually excess of EDC and NHS are used due
23 to propensity of these compounds to undergo hydrolysis. Replacing water with dimethyl sulfoxide
24 (DMSO) has been shown to improve the yield of the reaction⁸⁵, though it may not be very well
25 tolerated by cells during *in vitro* screening, requiring purification to remove the solvent. We
26 demonstrated a combinatorial approach to develop multiple derivatives of primary, secondary and
27 tertiary amines on a hyaluronic acid backbone using an amidation reaction and have subsequently
28 used a blend of these derivatives for the successful delivery of siRNA⁸⁶.

29 4.3.3 *Click Synthesis*: Click synthesis is not a single reaction but refers to a group of
30 reactions. It was demonstrated for the first time by Dr. Sharpless in 1999 and has been explained
31 by him as a group of reactions that are modular, wide in scope, high product yielding with easy

1 purification by crystallization or distillation, without offensive by-products and are stereospecific
2 ⁸⁷. As elucidated from this definition, click chemistry fulfills all the necessary criteria that would
3 be ideal for a synthetic scheme for combinatorial design of materials. Most importantly, click
4 synthesis can be achieved in relatively mild reaction conditions in an aqueous environment.
5 Therefore, it is not surprising that this method of synthesis has been explored for a wide variety of
6 applications in pharmaceutical science⁸⁸ including combinatorial design of materials. However,
7 click chemistry does have certain disadvantages as well. Involvement of copper as a catalyst has
8 been one of the major criticism because presence of residual copper in the final product may not be
9 well tolerated *in vivo* and can cause renal, hepatic or neurological toxicity ⁸⁹. Therefore,
10 considerable effort has been made to develop copper-free methods for synthesizing compounds by
11 click chemistry ⁹⁰. Abeylath *et al.* applied click synthesis to modify the backbone of dextran with
12 varying lengths of lipid chains (C₂-C₁₂), thiol groups and PEG to develop a library of compounds
13 that could successfully self-assemble to encapsulate drugs with logP values ranging from -0.5 to 3,
14 confirming that optimum design and careful choice of material can aid in loading small drug
15 molecules with varying solubility ⁹¹.

16 *4.3.4 Dehydration Reaction:* A dehydration reaction is typically referred to as a chemical
17 reaction where the bond formation between individual reactants involves removal of water
18 molecule. Dr. Kohn's lab has adopted a combinatorial strategy to develop polymeric derivatives
19 using diphenolic monomers of amino acid L-tyrosine ⁹². Esterification between an alcohol and an
20 acid is one of the most commonly used dehydration reaction and has been efficiently used for
21 combinatorial design of polymer libraries. Brocchini *et al.* developed combinatorial library of A-B
22 type 112 polyarylates copolymers using 14 distinct tyrosine-derived diphenols and 8 aliphatic
23 diacids ⁹³. Their design approach used a permutation and combination approach of monomers by
24 varying the pendant group of diphenol block and the alkyl chain length of diacid block, thereby
25 modulating the physical properties of the resulting copolymers and subsequently the structure
26 property relationship ⁹⁴. Additionally, use of these natural metabolite monomers generates a
27 library of highly biocompatible and biodegradable polymers, which were demonstrated for
28 potential application in medical implants ⁹⁵. Similarly, phosgene mediated reaction between diols
29 was demonstrated for formation of ether carbonates as a synthesis strategy to combinatorially
30 generate copolymers with varying degree of molar percent fractions of diphenol monomers as well
31 as their PEG derivatives ^{96,97}.

1 4.3.5 *Enzymatic polymerization*: Enzyme mediated synthesis of polymer is particularly
2 attractive since the reaction is highly specific, can be performed in a much milder conditions in the
3 absence of organic solvents or toxic chemicals and thus yield a more benign approach for
4 development of biomaterials. One of the early reports on biocatalyzed synthesis of
5 combinatorially designed polymer used lipase enzyme to design derivatives of lactones, divinyl
6 esters and glycols⁹⁸. The 12-, 13- and 16- carbon chain derivatives were used in this study where
7 both polycondensation and ring-opening approach for polymer synthesis could proceed in one pot
8 via a common acyl-enzyme intermediate. Following the cue from this study, Park et al. screened 3
9 proteases and 4 lipases for their enzymatic activity in different solvents to identify the ideal
10 enzyme for enantio- and regioselective polymer synthesis. They demonstrated that Novozyme-435
11 (lipase) outperformed others with 20% transesterification of sugar in acetone while Opticlean
12 M375 (protease) showed 55% transesterification capability in pyridine⁹⁹. They further
13 synthesized an array of monomers using four straight chain diesters as acyl donors (C₃-C₁₀)
14 conjugated to aliphatic and aromatic diols, carbohydrates, nucleotides and natural steroid using
15 lipase-mediated biocatalytic reaction¹⁰⁰. The biocatalytic approach offers excellent stereo, region
16 and chemo selectivity and therefore has been enthusiastically pursued and developed in the last
17 decade¹⁰¹⁻¹⁰³.

18

19 **5. Illustrative Examples in siRNA and Drug Delivery**

20 **5.1 Dextran-Based Nanoparticles**

21 Dextran is a highly water-soluble branched polysaccharide made of glucose repeat units
22 and has been extensively studied for drug delivery applications as a drug conjugate or
23 nanoparticulate delivery system due to its high biocompatibility, biodegradability and non-toxicity
24¹⁰⁴. Presence of multiple hydroxyl groups on the polymer backbone allows for suitable
25 modification making them an ideal polymeric system for combinatorial design. Abeylath *et al.*
26 applied click synthesis chemistry on the polymer backbone where the parent polymer was first
27 converted to O-pentynyl dextran, which served as a precursor for subsequent synthesis steps to
28 yield lipid, thiol or PEG derivatives of dextran (**Figure 4**). The O-pentynyl dextran was used as a
29 starting material to synthesize a library of lipid derivatives with varying chain length ranging from
30 C₂ to C₁₄. The lipid derivatives of dextran could readily self-assemble in an aqueous environment
31 to form nanoparticles and encapsulate a drug in the process (**Figure 5**). Simultaneously, thiol

1 derived dextran derivatives could be used to cross-link nanoparticles by disulfide linkage to
2 provide stability to the nanoparticles while PEG-dextran could provide surface stability and stealth
3 characteristics to the nanoparticles in *in vivo* applications. A systematic study of drug
4 encapsulation using model drugs with logP value in the range of -0.5 to 3 showed that hydrophilic
5 drugs tend to show better encapsulation in nanoparticles formed using small lipid chain lengths
6 while hydrophobic drugs encapsulated better in dextran nanoparticles modified with longer chains
7 of lipids. It was further ascertained that the size of the nanoparticle showed strong dependence on
8 the molecular weight of the dextran polymer where MW 10 kDa dextran formed smaller sized
9 particles than the MW 40 kDa dextran for a similar lipid chain modification⁹¹.

10 An ideal combinatorially designed library of material should show versatility in payload
11 encapsulation upon careful choice of building blocks during nano-vector designing. Kobayashi *et*
12 *al.* demonstrated that the various derivatives of dextran can be utilized not only for the delivery of
13 small molecules but also for the delivery of small interfering RNA (siRNA) by careful choice and
14 “mix and match” of derivatives in appropriate proportion¹⁰⁵. Doxorubicin (DOX) as a model
15 small molecule drug could be loaded in a blend of C₆ modified dextran, dextran-thiol and dextran-
16 PEG to form self-assembled nanoparticles of 171 ± 2 nm size and a surface charge of -1.21 mV.
17 Concomitantly, siRNA against multidrug resistance protein 1 (*MDR1*) could be encapsulated in
18 octylamine-modified dextran, dextran-PEG and dextran thiol. The resultant self-assembled
19 nanoparticles were found to be 101 ± 3 nm sized with a surface charge of -0.22 mV. *In vitro*
20 cytotoxic evaluation of DOX loaded dextran nanoparticles in drug sensitive osteosarcoma and
21 ovarian cancer cells (KHOS and SKOV3 respectively) showed significantly higher (5-10 fold)
22 dose dependent anti-proliferative activity compared to free drug in solution as well as to DOX
23 loaded in a liposomal formulation. Drug resistant osteosarcoma and ovarian cancer cells (KHOS_{R2}
24 and SKOV3_{TR} respectively) showed higher resistance to free drug treatment as well as to treatment
25 with drug loaded in a liposomal formulation. The anti-proliferative activity of DOX loaded in
26 dextran nanoparticles was nearly two-fold higher than DOX loaded in the liposomal formulation,
27 suggesting that dextran loaded nanoparticles show better drug delivery characteristics. Most
28 importantly, blank dextran nanoparticles did not show any adverse effect on any of the four tested
29 cell lines confirming that the individual building blocks and the nanoparticle system as a whole
30 does not have substantial cytotoxic impact.

1 As a second arm of this study, we studied the nucleic acid transfection efficiency of self-
2 assembled dextran nanoparticles. AF488-tagged *MDR1* siRNA loaded in dextran nanoparticles
3 was transfected in drug resistant KHOS_{R2} osteosarcoma cells where fluorescence microscopy
4 demonstrated an enhanced uptake of the dextran-encapsulated siRNA within 2 h of incubation
5 compared to free siRNA. The fluorescence intensity from nanoparticles was qualitatively higher
6 than that from siRNA transfected with lipofectamineTM RNAiMax transfecting agent. A majority
7 of delivery vectors successfully ferry nucleic acid payloads into the cells but the cargo fails to
8 show any activity. An activity assessment therefore is essential to correlate increased siRNA
9 uptake with its function and confirm that the nanoparticles can successfully release the siRNA
10 efficiently after entering cells. P-glycoprotein (the translational product of the *MDR 1* gene) levels
11 were evaluated in cells transfected with *MDR1* siRNA, which clearly indicated a significant
12 knockdown in the protein level, thereby confirming that dextran nanoparticles were able to enter
13 the cells and deliver the siRNA¹⁰⁵.

14

15 5.2 Hyaluronic Acid-Based Nanoparticles

16 Hyaluronic acid (HA or Hyaluronan) is another natural anionic polysaccharide containing
17 repeat units of glucuronic acid and N-acetylglucosamine that has been used for the combinatorial
18 design of a library of structurally unique polymers mainly due to its highly biodegradable, non-
19 toxic, non-immunogenic and non-inflammatory properties. More importantly, HA has a natural
20 tendency to recognize and bind to cluster of differentiation 44 (CD-44) receptors that are highly
21 overexpressed on the surface of the majority of cancer cells¹⁰⁶. The HA backbone contains a high
22 density of carboxylic and hydroxyl groups that are amenable for chemical modification and have
23 been extensively used as delivery vectors in the form of drug conjugates or nanoparticle delivery
24 systems¹⁰⁷. Even though there is a plethora of literature on their application in drug delivery and
25 tissue engineering, the majority of the reported work is sporadic and a concerted effort on
26 exploiting its potential has been lacking. We reported a combinatorial approach using
27 straightforward yet versatile EDC/NHS coupling chemistry for synthesizing a library of amine
28 derivatives of HA (MW 20 kDa) (**Figure 6**)⁸⁶. Applying a systematic approach, the activated
29 backbone of HA was functionalized with primary mono-functional fatty amines of the general
30 formula $\text{CH}_3(\text{CH}_2)_n\text{NH}_2$ ($n=3, 4, 5, 7, 9, 11, 13$ and 17), bi-functional fatty amines of general
31 formula $\text{NH}_2(\text{CH}_2)_n\text{NH}_2$ ($n=4, 5, 6, \dots$) and polyamines such as polyethyleneimine (PEI, MW 10

1 kDa) and poly(L-lysine) (PLL, MW 10-14 kDa). Simultaneously, PEG (MW 2000 and 5000)
2 residues were conjugated to impart surface stabilization and stealth character upon incorporation in
3 to the nanoparticles. The amine derivative of HA was blended with PEG-HA and the material was
4 tested for self-assembly to form nanoparticles, siRNA encapsulation efficiency, siRNA activity,
5 nanoparticle size and charge. The initial screening confirmed that HA-PEI derivatives could
6 successfully self-assemble and encapsulates siRNA in water or phosphate-buffered environment
7 (N/P ratio 54:1) to yield sub-100 nm sized particles with a net negative charge. *In vitro* assessment
8 further confirmed that these nanoparticles could successfully deliver the payload into human lung
9 cancer cells by targeting the CD44 receptor that is over expressed on the surface of these cells.
10 Among the various tested formulations, HA-PEI/HA-PEG nanoparticles outperformed and showed
11 nearly 55% *in vitro* gene silencing, confirming that the nanoparticles could efficiently release the
12 payload within the intracellular compartment to show desired activity⁸⁶.

13 We further studied the effect of combination treatment of HA-PEI/HA-PEG loaded siRNA
14 against *survivin*, *bcl-2*, *mdr1* and *mrp1* genes with the small molecule anticancer drug cisplatin in
15 human non-small cell lung cancer cells A549 and its cisplatin-resistant counterpart A549^{DDP}. The
16 obtained *in vitro* cytotoxicity assessment confirmed that a combination of cisplatin along with
17 siRNA against *survivin* and *bcl-2* resulted in nearly 80% cell death in cisplatin resistant A549^{DDP}
18 cells. This combination was further tested *in vivo* in A549^{DDP} xenograft tumor bearing mice. For
19 *in vivo* studies, cisplatin was encapsulated in octadecylamine modified HA nanoparticles. The *in*
20 *vivo* efficacy assessment indicated that the combination treatment resulted in nearly 62%
21 suppression in tumor growth compared to other treatment and control groups. Body weight of the
22 mice, liver enzyme levels and immunohistochemistry did not show any abnormalities within the
23 various treatment groups during the course of the therapy confirming that the delivery system did
24 not show any apparent toxicity¹⁰⁸. Qualitative biodistribution studies in A549, A549^{DDP}, H69 and
25 H69AR xenograft tumor bearing mice using indocyanine green (ICG) loaded HA-PEI/HA-PEG
26 nanoparticles showed that both A549 and A549^{DDP} tumor bearing mice showed high accumulation
27 of particles within 10 h of injection (**Figure 7**). The CD44 expression profile of both of these cells
28 revealed a very high density of the receptor on the surface, which explains the receptor-mediated
29 high accumulation of HA-PEI/HA-PEG nanoparticles. H69 and H69AR small cell lung cancer
30 tumor bearing mice, on the other hand, showed poor tumor accumulation, which could be
31 correlated to their low expression of the CD44 receptor (60 and 90% respectively). The gene

1 knockdown studies in these animal models showed a similar trend indicating that the penetration
2 of the nanoparticles in low CD44 expressing cells is limited and that the HA-based delivery vector
3 facilitated enhanced tumor penetration and subsequent activity of the payload owing to its ability
4 to bind to the receptor¹⁰⁹. Quantitative biodistribution studies in a non-small cell lung cancer
5 A549^{DDP} tumor xenograft model showed increased concentration of siRNA in the tumor when
6 delivered by the nanoparticles, which is inline with the qualitative biodistribution and gene
7 knockdown results. These results clearly indicate that an inherently targeted, modular platform
8 can have a significant impact on improving the performance of the existing drugs as well as drug
9 delivery systems.

11 5.3 Poly (β -amino ester)-Based Nanoparticles

12 Combinatorial design of a library of polymers on PBAE backbone was a technology that
13 has been developed and extensively studied in Dr. Langer's lab. In early 2000, the first
14 demonstration of parallel synthesis of polymer library was developed by chemical conjugation of
15 primary and secondary amines to diacrylates by amine addition reaction⁵⁵. Using 7 different
16 diacrylates and 20 amines, a library of 140 unique polymers was generated from which 70
17 polymers showed acceptable aqueous solubility and were further screened for plasmid DNA
18 encapsulation and transfection capability. The same group further developed a semi-automated
19 high-throughput method to screen a library of 2350 structurally distinct polymers for gene delivery
20 applications⁵⁶. The synthesis scheme allows grafting of primary and secondary amines onto the
21 poly(β -amino ester) (PBAE) backbone to yield highly biocompatible and biodegradable cationic
22 polymers that could efficiently complex to negatively charged nucleic acid molecules for delivery
23 applications. This methodology also permits the synthesis, storage and *in vitro* screening of the
24 derivatives in the same reaction well without a need for the removal of solvents or purification of
25 the product, thus being amenable to high-throughput automated screening. In fact, authors
26 demonstrated the capability of synthesizing all 2350 polymer derivatives in a single day and could
27 perform gene transfection studies at an astounding rate of 1000 per day using semi-automated
28 methods⁵⁶. Initial studies on nucleic acid transfection confirmed that 33 and 46 of the 2350 tested
29 polymer showed transfection efficiency similar or better than PEI under non-optimized and
30 optimized conditions respectively. Synthesis of 486 second-generation derivatives with careful
31 consideration for structure-property relationship of the polymers for nucleic acid delivery, 20 of

1 the 70 unique primary structures used demonstrated transfection efficiency better than
2 Lipofectamine 2000, commercial gold standard transfection agent⁸⁴. Anderson et al. more
3 recently demonstrated that the PBAE derivatives could be further photocrosslinked to improve the
4 mass-profile, control the degradation behavior and impart better mechanical property to the
5 nanoparticle system¹¹⁰. A focused detailed account on materials design considerations and
6 developed methodologies for PBAE-based combinatorial library is highly recommended to the
7 reader¹¹¹.

8 Process development, characterization and *in vitro* validation of the PBAE-based polymer
9 library has been suitably followed with pre-clinical evaluation studies to confirm the capability of
10 the delivery system to perform *in vivo*. Greenland et al screened potential candidates from the
11 PBAE library to identify polymers that show enhanced *in vivo* transfection efficiency of plasmid
12 DNA adjuvants for vaccine application¹¹². Among the tested polymer members, poly [(1,5-
13 di(acryloxyethoxy)hexane)-co-(4-aminobutanol)] show the best activity with a seven fold increase
14 in the gene expression and 70% enhancement in subsequent immune response in Balb/c mice.
15 Based on the results obtained from screening the polymer library for gene delivery, authors could
16 also conclude that in general, polymers with a moderate hydrophobic backbone were more
17 effective in transfection efficiency *in vivo*. Possibility of such rigorous experimental analysis of
18 structure-property relationship is the biggest advantage of combinatorial approach of material
19 design.

20

21 6. Conclusions and Future Outlook

22 Combinatorial design of materials for developing novel drug delivery systems is an
23 emerging and powerful approach, which is still in its infancy and its true potential is yet to be
24 unveiled. The conventional approach of polymeric drug delivery based on “one polymer at a time”
25 has been proven to be uni-dimensional, time consuming, labor intensive and economically
26 inefficient. Conversely, a combinatorial approach offers tremendous promise as a versatile and
27 customizable method where the properties of the delivery vector can be tailored at will to make
28 them amenable to the delivery of small molecules, nucleic acid, peptides or protein based
29 therapeutic agents. To this end, the past decade has seen some encouraging advances in developing
30 libraries of combinatorially designed compounds that can be selectively picked and mixed and
31 matched to provide desired properties to a delivery vector. Choice of simple yet elegant synthesis

1 methods has allowed minimal post-synthesis processing of the products, thus making the approach
2 amenable to high-throughput screening for rapid output. Polymers have been most popularly
3 explored for such applications since they enjoy a rich history as materials for drug delivery. They
4 are generally biocompatible and biodegradable and the multiple repeats of monomers provide
5 abundant functional groups for chemical modification. *In silico* simulations based on existing
6 knowledge of material and drug properties particularly assist in predicting the material-drug,
7 material-material and drug-drug interactions, which eventually forecasts the drug encapsulation
8 and release in a particular environment. Advances in chemical synthesis allowing precise and
9 controlled grafting on the polymer backbone, sophisticated methods for purification and
10 characterization of these derivatives, fairly understood properties of the payload as well as
11 improved understanding of cancer biology and physiology further aid in designing materials.
12 Therefore, a collaborative effort of bioinformatics, polymer chemistry, pharmacology, cancer
13 biology and instrumentation has immensely benefitted the field of combinatorial design of
14 materials with some successful *in vitro* and *in vivo* research.

15 Dr. Langer's group has tremendously contributed to the development of this approach and
16 many other research labs are now actively pursuing research on the combinatorial design of
17 materials for drug delivery applications and beyond. Hook *et al.* recently demonstrated that careful
18 selection of structurally related materials from a library of products could be used as a protective
19 coating on the surface of medical implants¹¹³. Riding on the glory of such success stories,
20 combinatorial approach for material synthesis is enjoying considerable attention but a careful
21 assessment reveals that the existing knowledge pool is limited to a few selected examples. Current
22 strategies rely on a few synthesis strategies that offer limited application and therefore, there is a
23 need to develop new methods that do not use harsh procedures, can be easily scaled up and provide
24 consistent products with minimum batch to batch variation. Besides, the majority of the efforts
25 have been driven by the need for effective delivery vectors for extremely labile therapeutic
26 molecules such as genes, siRNA and miRNA and therefore almost all reports focus on grafting
27 positively charged amine groups on polymeric backbones for subsequent electrostatic
28 complexation with negatively charged nucleic acids. However, the diverse physical properties of
29 small molecule drugs have largely been ignored where a modular platform developed on a
30 common backbone will greatly benefit formulation design and development. The benefits of
31 combination therapy using RNA interference (RNAi) and anticancer drug in overcoming MDR has

1 been well acknowledged and the flexibility of using structurally similar delivery systems for both
2 types of therapeutic moieties certainly makes an exciting prospect; highlighting the demand for
3 successful combinatorial approaches.

4 Material safety is another important criteria that has to be carefully studied. Combinatorial
5 synthesis schemes generally start with a careful choice of a parent polymer backbone, which has a
6 well-characterized profile of biocompatibility, biodegradability and clearance. However, the
7 tolerance of chemical ligands and various solvents used in the chemical synthesis or that of the
8 modified polymer itself is poorly understood. This aspect gains more traction due the fact that the
9 primary aim of combinatorial chemistry is to develop a library of materials with diverse structure-
10 property relationships. While the majority of endeavors focus on adopting reproducible synthesis
11 approaches, high-throughput screening for suitable “hits”, and subsequent applications in
12 designing novel delivery vectors, an emphasis on the safety of these materials is often ignored.
13 United States Food and Drug Administration (FDA) has exercised strict guidelines that have to be
14 met before any delivery system can transition from the laboratory to clinical level and safety is a
15 key parameter that must be profiled for approval. Therefore, much work is needed before this
16 promising area of research can meet its potential but the initial success certainly indicates an
17 encouraging future for this approach.

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Figure Captions

Figure 1: Schematic illustration of DOX-loaded zwitterionic polymer-based NPs and the changing of surface charge property in response to extracellular pH. (1) Amphiphilic zwitterionic block copolymer PCL-b-P(AEP-g-TMA/DMA) self-assembles into NPs in aqueous solution with DOX encapsulation. During circulation in blood, the NPs show prolonged circulation time and can leak into tumor sites through the EPR effect. (2) Responding to the extracellular pH, the zwitterionic polymer diminishes its anionic part, forming PCL-b-P(AEP-g-TMA/Cya), and the formed NPs are activated to be positively charged and become recognizable by tumor cells. Adapted from Yuan et al., 2012,⁴⁰ Copyright 2012, with permission from WILEY-VCH.

Figure 2: Schematic Depiction of Nanoparticles Coated with Multilayer Shells as New Drug Delivery System. The multifunctionality arises from the stepwise construction of the shell that is assembled by the layer-by-layer (LBL) method. The internal layers are split in two compartments (yellow (1) and red (2)) in order to indicate that different functionalities can be integrated in a modular way in different layers. The yellow compartment (1) serves primarily to compatibilize between the core and the external layers. The yellow and the red compartment can incorporate drugs, radionuclide for radiotherapy, proteins/nucleotides for bioactivity, or contrast agents for detection. The external layers carry functionalities such as enzymatically cleavable drugs or ligands for receptor mediated targeting, both of which must be accessible on the outside. Reprinted from Schneider et al., 2009⁵² with permission from ACS publication.

Figure 3: Instantaneous drug release profile per unit area of a film as predicted by Higuchi equation.

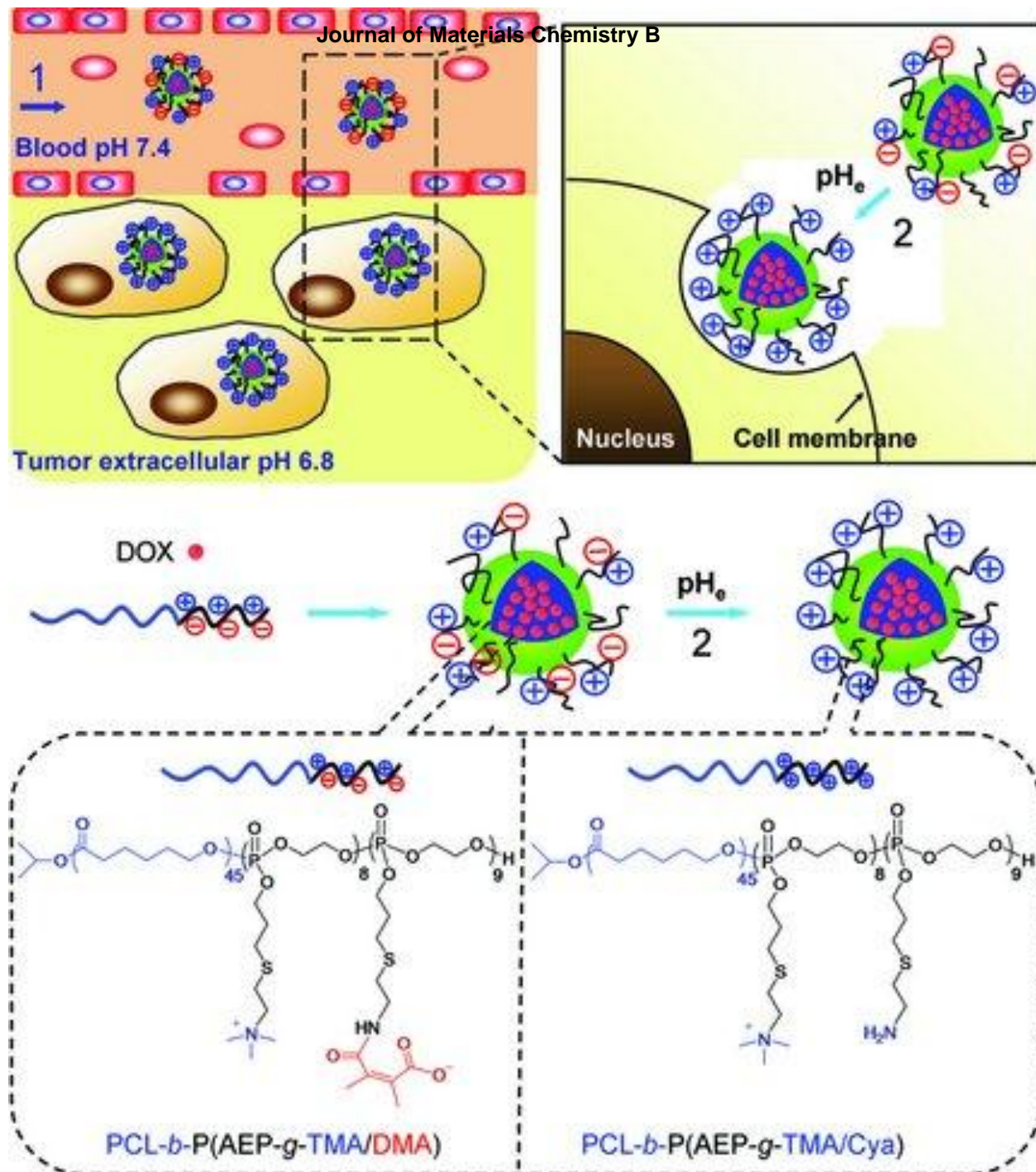
Figure 4: Schematic representation of the synthesis approach for alkyl-modified (6), thiol-modified (7) and PEG-modified (8) derivatives of dextran using Click chemistry. Reprinted from Abeylath et al., 2011⁹¹ with permission from Elsevier.

Figure 5: Schematic illustration depicting formation of drug (1) encapsulated self-assembling nanoparticles using dextran building blocks modified with lipid chain (2), thiol (3) and PEG (4). Reprinted from Abeylath et al., 2011⁹¹ with permission from Elsevier.

Figure 6: Scheme representing the methodology adopted for synthesis of amine derivatives of Hyaluronic acid (1). (2) HA conjugated to monofunctional fatty amine. (3) PEG derivative of HA. (4) HA grafted with bifunctional fatty acid. (5) Thiol derivative of HA. (6) Polyamine derivative of HA. All the synthesis chemistry follow simple yet versatile EDC/NHS coupling. Reprinted from Ganesh et al., 2013⁸⁶ with permission from Elsevier.

Figure 7: Qualitative biodistribution analysis of indocyanine green encapsulated HA-PEI/HA-PEG nanoparticles in human non-small cell lung cancer A549 and A549^{DDP} (A) and small cell lung cancer H69 and H69AR cells (B) tumor bearing mice. Free indocyanine green dye was injected as control to see clearance of dye from the circulation in mice (C). The images have been acquired using IVIS live imaging station. Reprinted from Ganesh et al., 2013¹⁰⁹ with permission from Elsevier.

Figure 1



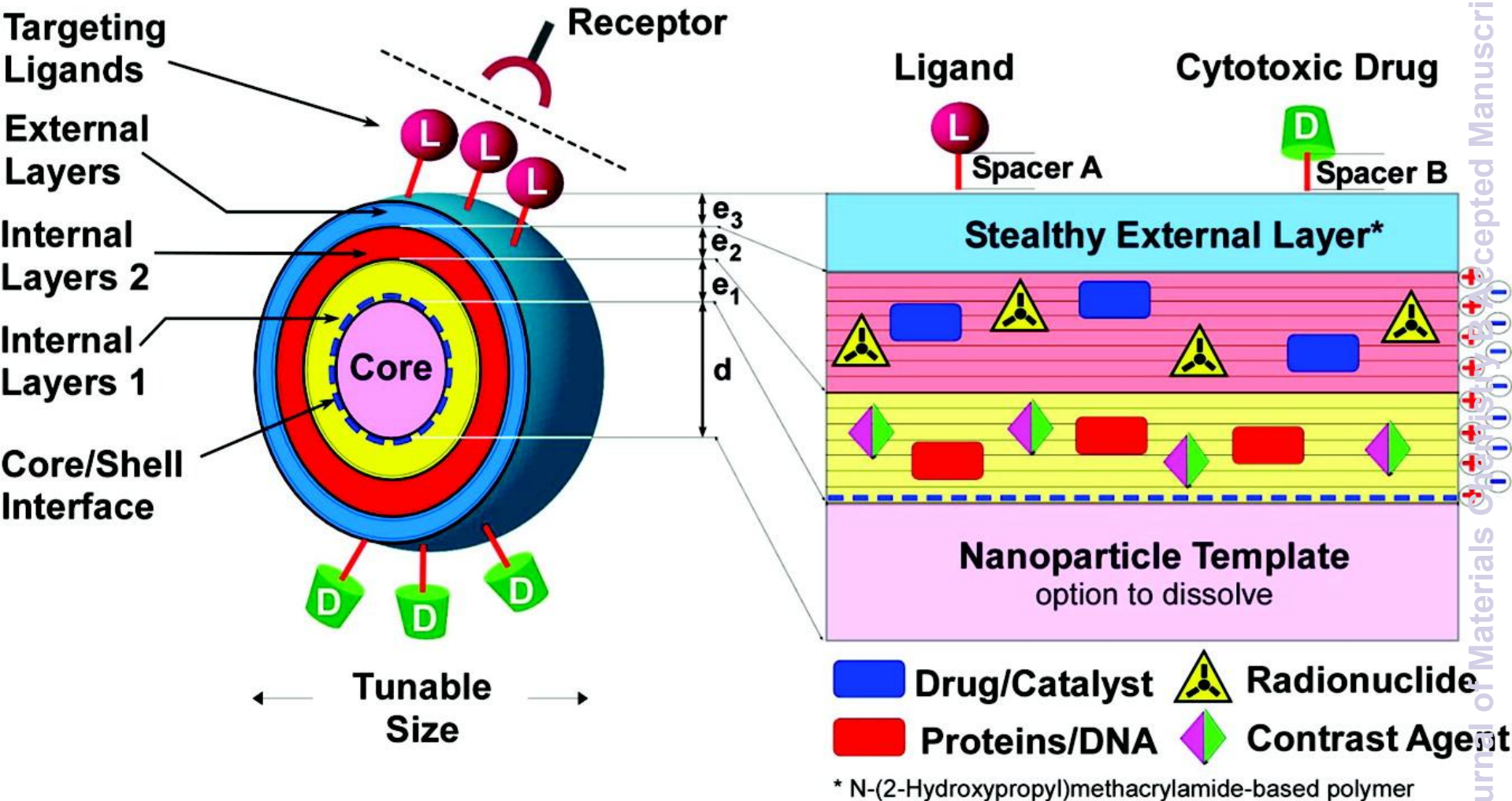
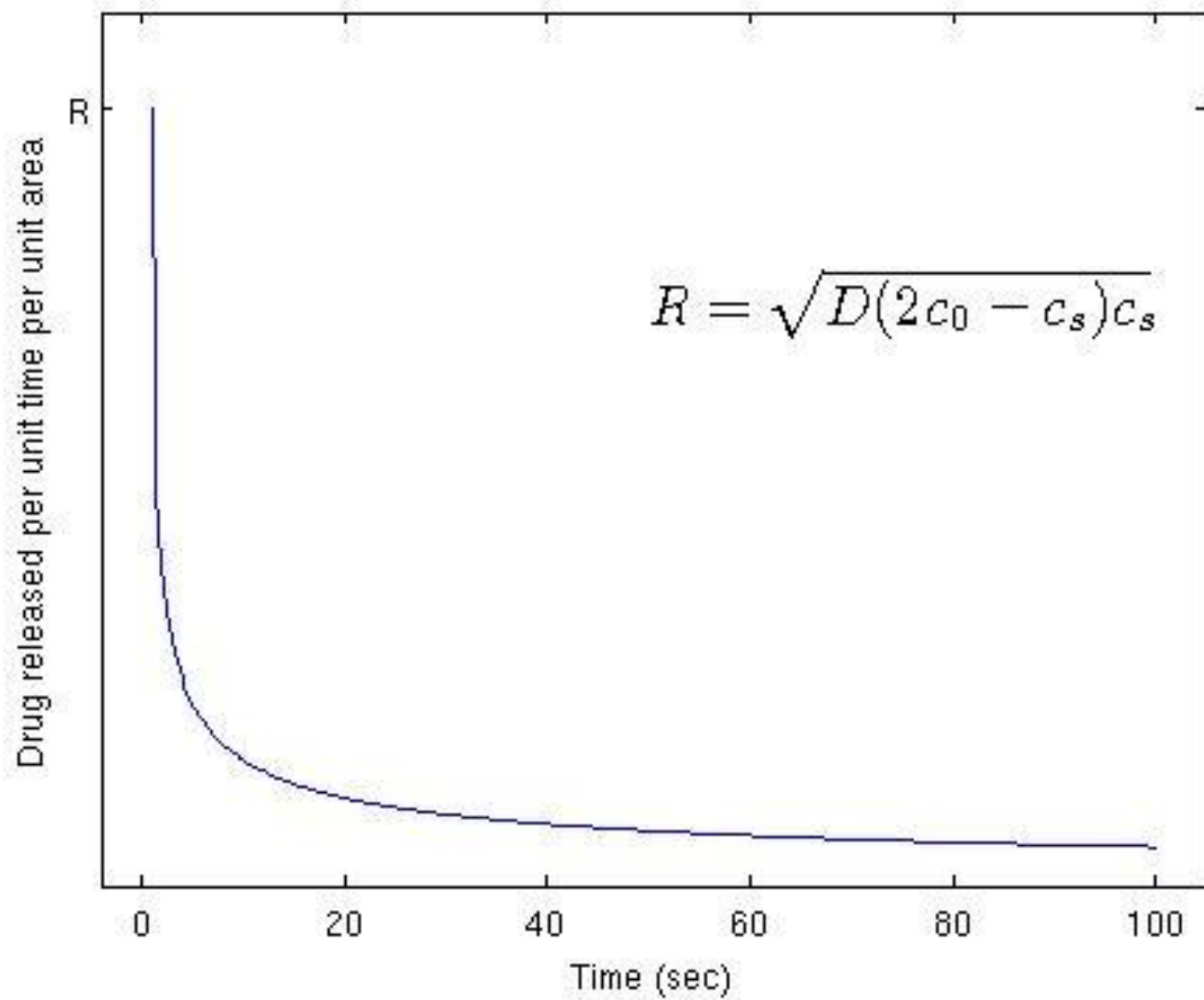


Figure 3



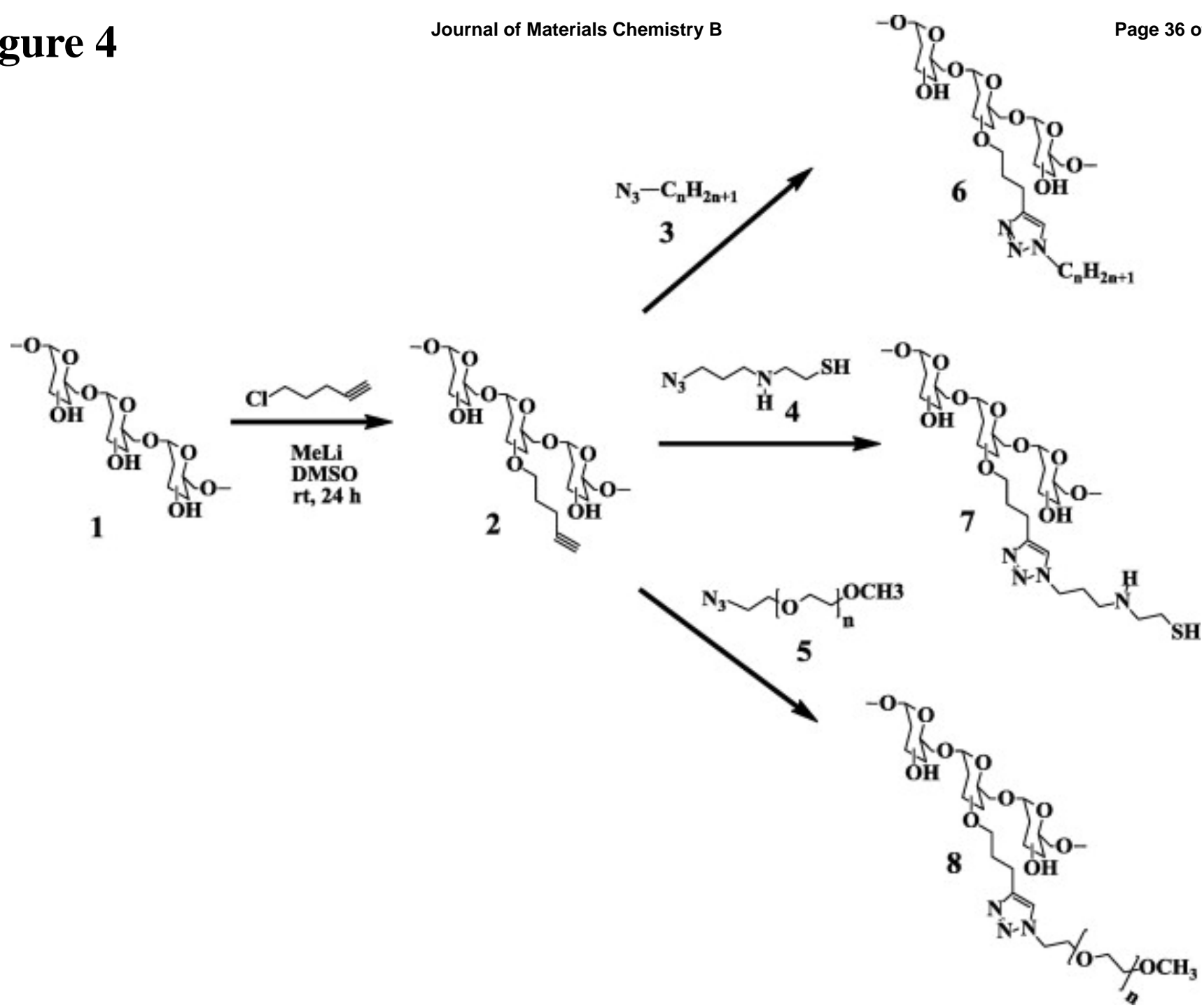
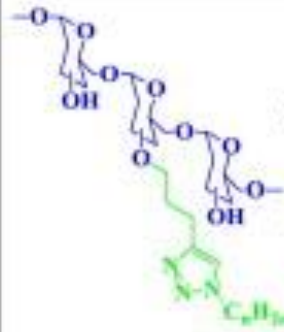


Figure 5

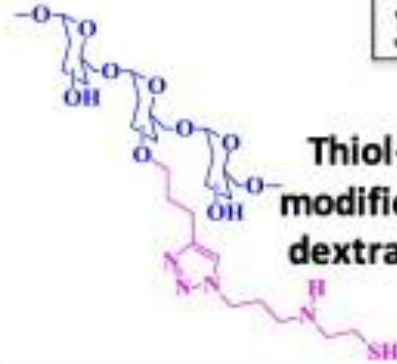
1
Anticancer Drug
(logP from -0.5 to 3.0)



2
C2 to C12
Lipid-
modified
dextran



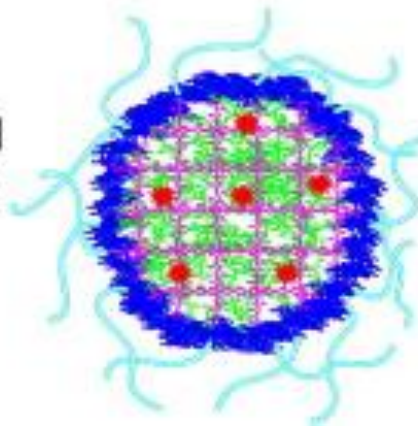
3
Thiol-
modified
dextran



4
PEG-
modified
dextran



Combinatorial-designed
self-assembled dextran
nanoparticles



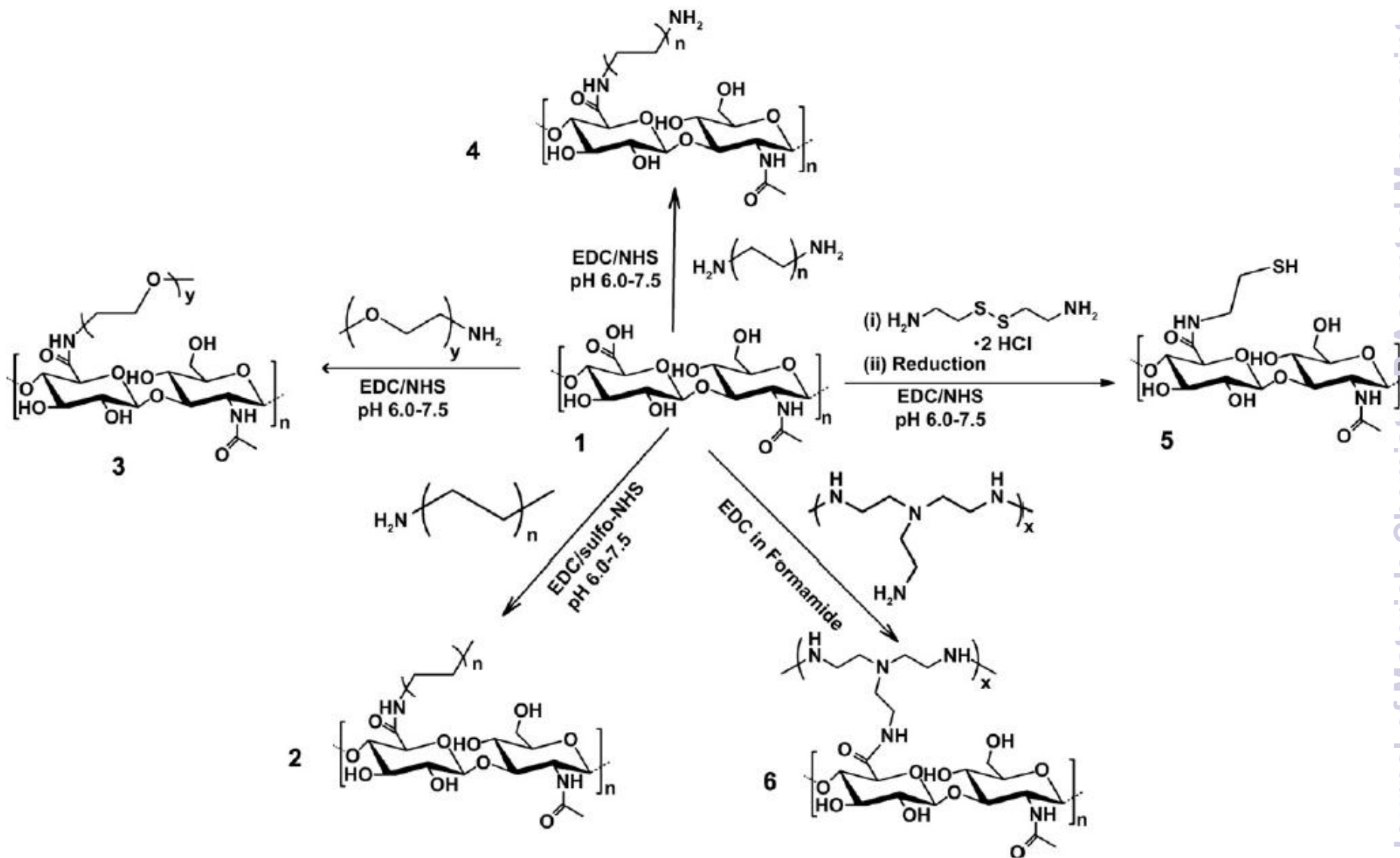


Figure 7

