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Thermoplastic-like mechanical performance of heterogeneous photopolymers for additive manufacturing with tailored hyperbranched rubbers

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Conventional photopolymers used in light-based additive manufacturing are typically brittle materials with thermoset characteristics. Here we introduce a one-step synthesis of hyperbranched polyethylene rubbers functionalized with pendant methacrylic groups and their application as tougheners of a model brittle photopolymer based on non-volatile styrene and maleimide derivatives. The rubber tougheners can be tailored to tune their compatibility with the matrix, influencing the morphology and the thermo-mechanical properties of the final printed resins. The resulting polymer structures were analysed by atomic force microscopy, revealing various degrees of phase separation related to the rubber molar mass and methacrylate functionalization. Further, the analysis of the prepared toughened materials revealed the ability of functionalized hyperbranched polyethylene rubbers to improve the mechanical properties significantly (doubled stress at break and improvement of strain at break by a factor of 10^3 compared to the matrix), while glass transition temperatures around 100 °C could be maintained. Notably, even tensile behaviour mimicking typical thermoplastic yield strain comparable to ABS was observed in one of the prepared materials. This monomer/rubber system appeared to be the most promising and was therefore selected for in-depth analysis of the curing process using photo-rheology and photo-DSC. Finally, this material was used for hot lithography and several highly detailed objects were prepared, demonstrating the good printability of this toughened material.

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Introduction

In recent years, additive manufacturing technologies have been on the rise due to their versatility, fast adaptability to ever-changing market trends, and the ability to fabricate products with complex structures, which are almost impossible to make using conventional manufacturing methods.^{1–3} In particular, the field of vat photopolymerization is advancing rapidly, which features numerous advantages over extrusion-based additive manufacturing: higher print resolution,⁴ better inter-layer adhesion,⁵ faster print speeds due to simultaneous curing of entire layers by digital light processing (DLP),⁶ printability of more complex geometries and overall lower waste production from support structures.⁷ Currently, one of the

main limitations of vat photopolymerization is the insufficient thermomechanical properties of printed photopolymers: very stiff photopolymer networks with high glass transition temperatures (T_g s) typically fracture brittle, without any reversible (elastic) or irreversible (plastic) deformation of the specimen prior to failure. The most commonly used highly reactive acrylate monomers form inhomogeneous, highly crosslinked polymer networks resulting in the described very hard and stiff but brittle polymers.^{8,9}

However, such deformation behaviour would be particularly important during application as a warning sign for imminent material failure. Therefore, alternative monomer systems and strategies for improving photopolymers' strain at break while maintaining high stiffness and onsets of their T_g s, and ideally introducing yielding behaviour, are investigated. Alternative polymerization methods (e.g. dual-cure networks,⁹ interpenetrating networks,¹⁰ thiol-ene chemistry¹¹) can be used but especially rubber toughening methods inspired by thermoplastic toughening seem like a straightforward alternative for this purpose.¹²

The potency of rubber toughening has been demonstrated countless times in a variety of polymeric materials,^{13–15} includ-

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ing famous engineering plastics such as acrylonitrile-butadiene-styrene (ABS).^{16–18} In the area of photopolymers for 3D printing, however, rubber toughening faces several challenges for successful implementation due to strict requirements of the formulation. First and foremost, photocurable resins for vat photopolymerization are limited by their viscosity. If the viscosity is too high, the resin cannot flow sufficiently to recoat the printing interface and therefore printing speed and quality are impaired.^{19,20} Secondly, the rubber must be miscible with the photopolymer matrix components to create homogeneous formulations in the vat. This severely limits the molecular weight of utilized rubbers as well as the amount of rubber, which can be incorporated into the matrix. Thirdly, homogenous distribution of rubber phases throughout the material must be ensured for superior thermomechanical performance with high glass transition temperatures and yet stiff and yielding tensile behaviour compared to the unmodified photopolymer, which is particularly challenging when rubbers are incorporated without covalent bonding to the photopolymer matrix.²¹

Despite these challenges in incorporating rubbers in photopolymeric formulations, the vat photopolymerization community has demonstrated several approaches for rubber toughening. The unsatisfactory strain at break of brittle photopolymers could for example be improved through addition of core-shell particles or reactive rubbers.²² Lower molar mass reactive rubbers are particularly compatible with photopolymeric matrices and can bind to the matrix covalently, ensuring their homogeneous distribution. However, the addition of low molar mass rubbers typically disrupts the rigid matrix network ultimately leading to a decreased thermal resistance and glass transition temperature.²³ Core-shell particles often require labour intensive synthesis and therefore tend to be quite costly.²⁴ While common diene-based elastomers are cheap and have been shown to improve the strain at break effectively, they typically also soften the material, *i.e.* lower the initial high stress response. Additionally, these elastomers are limited by their reactivity and ability to bind to the matrix covalently.²¹ This challenge can be addressed with post-polymerization pro-

cedures that increase their reactivity. However, this usually includes a very difficult multistep synthesis, which radically increases their price.²⁵ Additionally, diene-based elastomers tend to suffer from oxidative degradation, which limits the longevity of the toughened material.²⁶

We propose to overcome these obstacles by using functionalized hyperbranched polyolefins as macromonomers. Although hyperbranched polymers are historically viewed as costly and difficult to synthesize,²⁷ the discovery of nickel- and palladium-based α -diimine catalysts has provided an innovative approach for the facile one-pot synthesis of functional polyolefins with various molecular architectures.²⁸ Compared to conventional Ziegler polyinsertion catalysts, Ni- and Pd-based α -diimine catalysts offer several advantages. Their precise topology control *via* chain walking isomerisation allows the synthesis of a broad spectrum of polyolefin materials ranging from linear semi-crystalline thermoplastics to liquid amorphous hyperbranched elastomers.^{29–31} Additionally, Pd-diimine catalysts exhibit superior tolerance towards polar groups, enabling direct copolymerization with polar monomers and thus pendant-group functionalization of the polyolefins, which enables their covalent incorporation into the photopolymeric matrix.^{32,33} Despite numerous published attempts to copolymerize ethene with dienes or di(meth)acrylates, stable chelate formation, catalyst poisoning, cyclization and *in situ* crosslinking complicate efficient polyethylene functionalization with reactive double bonds.^{34–38} Although functionalization with double bonds can be achieved by post-polymerization modifications, it complicates the process and increases manufacturing costs.³⁹

Herein, we present a synthetic approach for the preparation of hyperbranched polyethylene rubbers functionalized with pendant methacrylic end groups (Scheme 1). Hyperbranched molecule architectures are highly branched, non-crosslinked dendritic bottlebrush molecules. Since they exhibit many end groups, partial functionalization of the end groups of such molecules already guarantees superior function of the resulting molecules as crosslinkers. Such functionalized hyperbranched polyethylene rubbers can thus act as macro-



Scheme 1 Copolymerization of ethene with 2-(methacryloyloxy)ethyl undec-10-enoate (MEU) by a chain walking Pd-complex (Pd) into hyperbranched, methacrylate-containing polyethylene macromonomer. The resulting copolymer formula of the macromonomer is displayed on the right-hand side.



In addition to **OPE**, a second, more polar reference macromonomer was synthesized, which contains unreactive ethyl ester end groups (**EPE**), to investigate the effect of the reactive bonds on the microphase separation for rubbers with similar molecular weight and polarity. **OPE** and **EPE** cannot react with the matrix monomer system and therefore do not contribute to the rubber/matrix compatibility by binding to the matrix covalently. At the same time, the polar end groups of **EPE** allow homogeneous mixing with the matrix components, which was



Fig. 1 Storage modulus (G') and loss factor ($\tan \delta$) obtained for (a) **1PE** and (b) **2PE** at various loadings (10, 15, 20 wt%) in the maleimide-styrene matrix compared to the pure matrix in dynamic mechanical thermal analysis.

Table 3 Prepared formulations and their tensile properties: Young's modulus (E), stress (σ) and strain at break (ϵ), yield stress (σ_Y) and strain at the yield point (ϵ_Y), and tensile toughness (r)

Formulation	Rubber	E/MPa	σ/MPa	$\epsilon/\%$	σ_Y/MPa	$\epsilon_Y/\%$	$r/\text{MJ m}^{-3}$
Matrix	—	1009 ± 49	5.5 ± 1.0	0.6 ± 0.1	— ^b	— ^b	0.02 ± 0.01
0PE10	10 wt% 0PE	— ^a	— ^a	— ^a	— ^a	— ^a	— ^a
1PE10	10 wt% 1PE	699 ± 31	13.0 ± 2.5	2.3 ± 0.6	— ^b	— ^b	0.17 ± 0.07
1PE15	15 wt% 1PE	398 ± 18	8.5 ± 1.0	2.9 ± 0.4	— ^b	— ^b	0.10 ± 0.08
1PE20	20 wt% 1PE	332 ± 20	13.1 ± 0.5	51.3 ± 7.1	— ^b	— ^b	5.8 ± 1.0
2PE10	10 wt% 2PE	534 ± 52	10.6 ± 2.3	2.9 ± 0.3	— ^b	— ^b	0.18 ± 0.04
2PE15	15 wt% 2PE	477 ± 22	10.6 ± 1.1	3.3 ± 0.5	— ^b	— ^b	0.21 ± 0.05
2PE20	20 wt% 2PE	355 ± 27	11.1 ± 0.6	56.4 ± 9.9	12.3 ± 0.7	8.1 ± 0.8	6.1 ± 1.3
EPE10	10 wt% EPE	— ^a	— ^a	— ^a	— ^a	— ^a	— ^a

^a Too brittle for testing. ^b No well-defined yielding observed.



Fig. 2 Stress–strain curves of the cured formulations varying in loadings (10, 15, 20 wt%) of (a) **1PE** and (b) **2PE** hyperbranched rubber.

achieved, followed by strain hardening and necking until an ultimate tensile strength of 11.1 ± 0.6 MPa at $56.4 \pm 9.9\%$ elongation at break was reached. Both formulations **1PE20** and **2PE20** exhibited significantly enhanced tensile toughness of 5.8 ± 1.0 MJ m^{−3} and 6.1 ± 1.3 MJ m^{−3} respectively, far exceeding the value 0.02 ± 0.01 MJ m^{−3} measured for the matrix.

Material morphology

To explain the unexpected brittle-ductile transition in tensile tests, the prepared materials were analysed *via* scanning electron microscopy (SEM) and atomic force microscopy (AFM). The SEM images of cross-sections from **1PE15** and **2PE15** tensile specimens

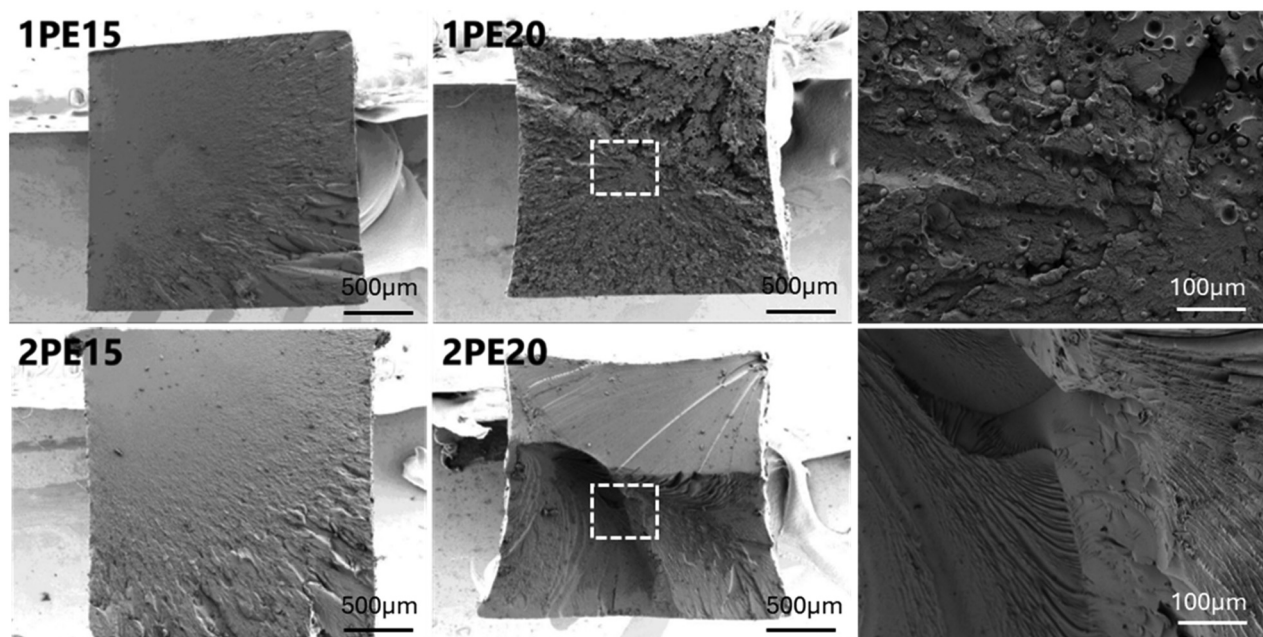


Fig. 3 SEM images of fracture surfaces of tensile test specimens: 1PE15, 1PE20, 2PE15 and 2PE20. Larger representations of the images are available in the SI.

reveal brittle fracture surfaces with a rectangular shape (Fig. 3). In contrast to this, samples **1PE20** and **2PE20**, which exhibited high elongation at break during tensile testing, show clear signs of plastic deformation from their original rectangular shape.

The fracture surfaces of **1PE20** and **2PE20** show well-defined heterogeneous morphology with rubber-rich domains

embedded in the matrix. These domains (Fig. 3 and 4) contribute to toughening by promoting energy dissipating mechanisms.⁴² Evidences of trans-particle fracture indicate strong interfacial adhesion between the rubbers and the matrix, enabling effective stress transfer across the rubber particles (Fig. S7). Moreover, the cleavage planes indicate the tendency

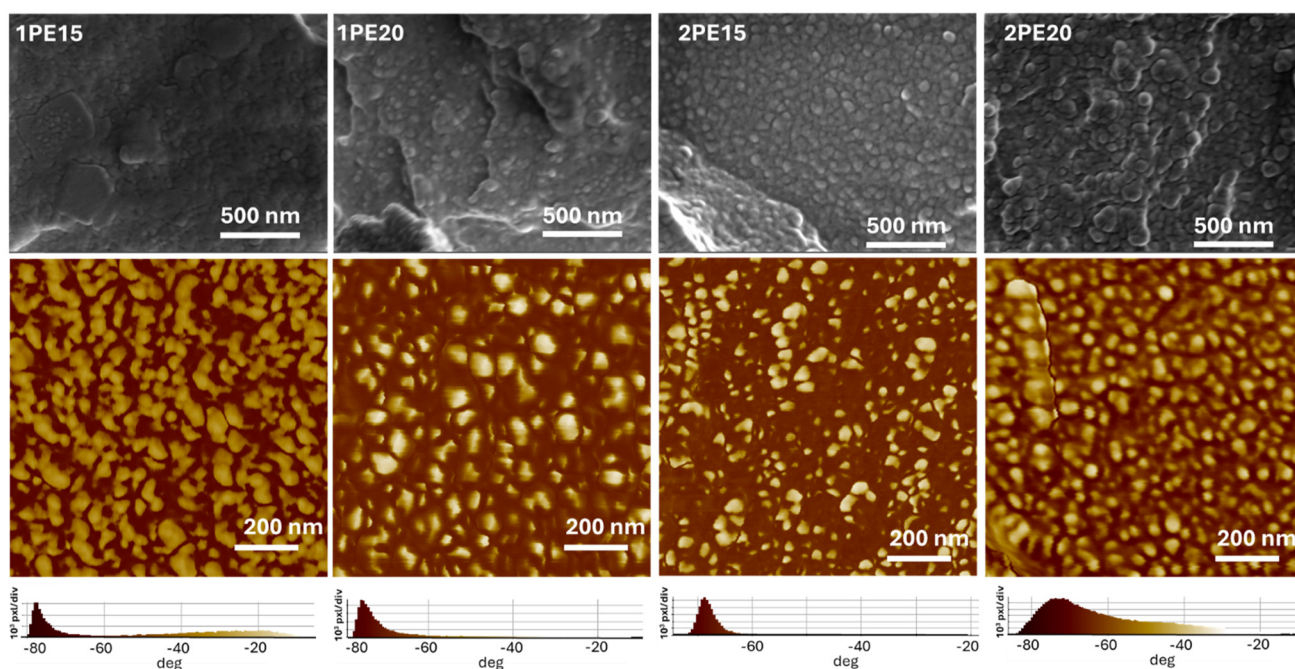


Fig. 4 SEM (top) and AFM (bottom) images of 1PE15, 1PE20, 2PE15 and 2PE20 morphologies. Larger representations of the images are available in the SI.





Fig. 7 Two Au nanocoated 3D printed pyramids and their zoomed SEM images.

^1H , 77.16 ppm for ^{13}C). All recorded spectra are included in the SI. The molar mass of the prepared polymers was characterized by size-exclusion chromatography using a Waters Breeze chromatograph (solvent pump Waters 1515, autosampler Waters 717+, refractometric detector Waters 2410 and a multi-angle light scattering detector miniDawn TREOS (Wyatt) at angles 45° , 90° and 135°). Separation was performed on two columns PSS Lux LIN M $5\ \mu\text{m}$ ($7.8 \times 300\ \text{mm}$) at $35\ ^\circ\text{C}$ and mobile phase flow of $1\ \text{mL min}^{-1}$ (THF). Injection volume was $100\ \mu\text{L}$ of sample solution in tetrahydrofuran at a concentration of approximately $3\ \text{mg mL}^{-1}$. HR-MS spectrum was measured from HPLC-grade acetonitrile solution ($10\ \mu\text{M}$) using an Agilent 6230 AJS ESITOF mass spectrometer (Agilent Technologies) equipped with HTC PAL system autosampler (CTC Analytics AG), separated by an Agilent 1100/1200 HPLC with binary pumps, degasser, and column thermostat (Agilent Technologies).

Experimental

Synthesis of 2-(methacryloyloxy)ethyl undec-10-enoate (MEU)

10-Undecenoic acid (18.4 g, 100 mmol) was dissolved in 50 mL of dichloromethane (DCM) in a round bottom flask under inert conditions and the reaction mixture was cooled down to $0\ ^\circ\text{C}$. A drop of dimethyl formamide was added and oxalyl chloride (14 g, 110 mmol) was added dropwise thereafter. After two hours of stirring at $0\ ^\circ\text{C}$, the flask was left to warm up to room temperature. DCM and the unreacted oxalyl chloride were removed under vacuum. 50 mL dry DCM were then added and the reaction mixture was cooled to $0\ ^\circ\text{C}$. 2-Hydroxyethyl methacrylate (13.4 g, 100 mmol) and triethyl-

amine (11.2 g, 110 mmol) were added slowly and the reaction mixture was left to react for two more hours. The reaction mixture was then diluted with 200 mL diethyl ether and extracted three times with 200 mL water to remove any impurities. The organic phase was further extracted with 200 mL brine and dried over anhydrous magnesium sulphate. The solvents were removed under vacuum and silica column chromatography using hexane as a mobile phase was run to purify the product, yielding 24.9 g of colourless liquid (84%) after drying under vacuum.

$^1\text{H-NMR}$ (400 MHz, CDCl_3) δ : 6.13–6.10 (m, 1H, $=\text{CH-C}$), 5.86–5.75 (m, 1H, $=\text{CH-CH}_2$), 5.59 (p, $J = 1.6\ \text{Hz}$, 1H, $=\text{CH-C}$), 5.08–4.89 (m, 2H, $=\text{CH-C}$), 4.40–4.26 (m, 4H, $\text{O-C}_2\text{H}_4\text{-O}$), 2.32 (t, $J = 7.5\ \text{Hz}$, 2H, $-\text{CH}_2\text{-COO}$), 2.03 (q, $J = 6.6\ \text{Hz}$, 2H, $=\text{CH-CH}_2$), 1.96–1.93 (m, 3H, $\text{CH}_3\text{-C}$), 1.62 (p, $J = 7.3\ \text{Hz}$, 2H, $-\text{CH}_2\text{-CH}_2\text{-CO}_2$), 1.41–1.24 (m, 10H). $^{13}\text{C-NMR}$ (101 MHz, CDCl_3) δ : 173.72 ($\text{CH}_2\text{-COO}$), 167.25 (C-COO), 139.22 ($=\text{CH-}$), 136.10 (C=CH_2), 126.14 (C=CH_2), 114.29 ($\text{CH}_2=\text{CH-}$), 62.61 ($-\text{O-CH}_2-$), 62.01 ($-\text{O-CH}_2-$), 34.28, 33.92, 29.41, 29.34, 29.20, 29.03, 25.03, 18.41. **HR-MS** (ACN, ESI+, m/z): calcd: 297.2061 $[\text{M} + \text{H}]^+$; found: 297.2061 $[\text{M} + \text{H}]^+$.

Copolymerization of ethene and MEU

A Fisher-Porter vessel equipped with magnetic stir bar was evacuated and filled with ethene. After heating up to $35\ ^\circ\text{C}$, dry and argon-stripped DCM and monomer were added under nitrogen counterflow. The vessel was pressurized with ethene for 15 min to saturate the reaction mixture. The polymerization reaction was started through addition of $10\ \mu\text{mol}$ Pd catalyst in 1 mL DCM. After 24 h, the reaction mixture was poured into methanol. The precipitated polymer was then dissolved in



256 × 256 pixels and a scan rate ranging from 0.3 to 0.7 Hz. The AFM cantilever used (AC160TS Olympus) had a tetrahedral silicon tip with an approximate radius of 7 nm, a spring constant of 26 N m⁻¹, and a free oscillation frequency near 300 kHz.

Photo-DSC. Photo-differential scanning calorimetry (photo-DSC) experiments were performed at 100 °C in triplicates on a Netzsch DSC 204 F1 equipped with an autosampler under nitrogen inert atmosphere. An LED light source (maximum emission at 385 nm UV light, matching the wavelength of the used DLP printer) was calibrated with respect to intensity at the sample surface using an Ocean Optics USB 2000+ spectrometer and used for the measurements. The samples were irradiated twice for 300 s. The second irradiation cycle was performed for correction of heat effects from the curing light. The difference in heat flow was recorded as a function of time and evaluated using Netzsch Proteus software. Polymerization onset time (t_{onset}) and time at which polymerization peak maximum is reached (t_{max}) were evaluated from the heat flow curve. Polymerization heat (ΔH_{pol}) was calculated by integrating the area under the heat flow curve; the time to reach 95% of the final conversion (t_{95}) was estimated as the point at which the polymerization heat achieves 95% of the total polymerization heat value.

RT-NIR-photorheology. Photorheology data were obtained using an Anton Paar MCR 302 WESP rheometer equipped with a P-PTD 200/GL Peltier Glass Plate and a plate-plate PP25 measuring system. An LED light source (maximum emission at 385 nm, matching the wavelength of the used DLP printer) was calibrated with respect to intensity at the sample surface using an Ocean Optics USB 2000+ spectrometer and used for the measurements. Each experiment was performed with 150 μL of the formulation at 100 $^{\circ}\text{C}$ with a constant gap size of 200 μm , shear strain of 1% and frequency of 1 Hz. The storage modulus (G') and loss modulus (G'') were recorded every 0.2 s during the irradiation using the software Rheoplus/32V3.40 from Anton Paar. The approximate gel point of a formulation was estimated from the storage modulus (G') and loss modulus (G'') crossover point. The curing process was monitored *in situ* by recording time-resolved NIR spectra in 0.25 s intervals using the software OPUS 7.0. The double bond conversion (DBC) was calculated as a ratio of the relevant peak area (6080–6250 cm^{-1}) in given time (A_c) divided by the peak area prior to irradiation (A_u) (1).

Analytical methods

Tensile testing. Five dumbbell specimens (ISO 527 shape 5B) per material were tested on a Zwick Z050. The crosshead speed was 5 mm min⁻¹. The stress-strain plot was recorded and characteristic mean values at the yield point and at break were extracted from the resulting curves for five separate samples.

Dynamic mechanical thermal analysis. The temperature sweep was performed on an Anton Paar MCR 301 with a CTD 450 oven and an SRF 12 measuring system in torsion mode with a frequency of 1 Hz, strain of 0.1%, constant normal force of -1 N and a temperature ramp of $2\text{ }^{\circ}\text{C min}^{-1}$ from -100 to $250\text{ }^{\circ}\text{C}$. Data were recorded with the software Rheoplus/32V3.40 from Anton Paar.

Scanning electron microscopy. The 3D printed pyramids were imaged on a Tescan Vega 3 LMU at 15 kV acceleration voltage. The surfaces of samples were coated with approximately 10 nm gold prior to imaging to make them conductive. Fracture surface images of the samples were taken with a Tescan Clara FEG-SEM at 1 kV acceleration voltage. The samples were sputter-coated with gold under argon atmosphere.

Atomic force microscopy. Microstructure characterization was further performed using an XE7-Park Systems Atomic Force Microscopy in tapping mode, and the resulting data were analysed with the built-in XEI data processing tool. The fracture surfaces were obtained by cryo-fracturing in liquid nitrogen, and when smoother surfaces were required, cryo-ultramicrotomy was performed. The surfaces were scanned over an area of $1 \times 1 \mu\text{m}^2$, with a scan resolution of

$$\text{DBC} = \frac{A_c}{A_u} \quad (1)$$

Hot lithography. Hot lithography was performed on a custom printer using a 385 nm DLP light engine. The printing parameters were as follows: 80 °C heated vat temperature, 80 °C build plate temperature, 50 μm layer height, 20 mW cm⁻² light intensity at the sample surface for regular layers and 25 mW cm⁻² for the first layer, exposure time 8 s for regular layers and 12 s for the first layer, lift height 6 mm, peeling speed 25 mm min⁻¹, lift speed 500 mm min⁻¹.

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