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Single-bond-linked and vinylene-bridged azulenyl bis(squaraine) dyes: design, synthesis and molecular self-assembly behaviors†

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In this work, two azulenyl bis(squaraine) dyes were designed and synthesized, whose main structural difference lies in the connection model between two monomeric units. Their self-assembly behaviors were investigated in mixed solvents of different polarities, compound concentrations and temperatures. In particular, for the single-bond-linked squaraine dimer, J-aggregate nanosheets could be formed in a hexane system mixed with small amounts of tetrahydrofuran as a co-solvent. Meanwhile, additional absorption bands were observed in the second near-infrared (NIR-II, 1000–1700 nm) region for vinylene-bridged bis(squaraine) aggregates, elucidating the impact of the spacer unit on interchromophoric interaction modes. Moreover, in comparison with the monomer compound, organic field-effect transistor and morphological characterizations revealed that dimerization has a significant influence on the charge carrier mobility and thermal responsiveness of film aggregates. This study provides a promising chemical modification approach to improve assembly behavior and optoelectronic performance.

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Introduction

Organic dyes with near-infrared (NIR) absorption/emission, such as perylene diimide,¹ porphyrin² and squaraine, can be applied in various fields, including organic photovoltaics and phototheranostics. Among them, squaraine is a type of zwitterionic dye produced *via* the condensation reactions between squaric acid or its derivatives and nucleophiles.³ Thus, unsymmetrical squaraines are endowed with “D–A–D” (D: donor; A: acceptor) structural features. Self-assembly behaviors of squaraines have gained widespread attention since aggregate structures can further affect their physicochemical properties and device performances.^{4–7}

Bis(squaraine) dyes can be regarded as those involving intramolecular *J*-coupling of monomeric squaraine chromophores.⁸ Subsequently, bis(squaraine) dyes can self-assemble into different aggregate structures, which may not be observed for monomeric squaraine dyes.⁹ According to the literature,

the intermolecular *J*-coupling effect can lead to the bathochromic shift of absorption bands,¹⁰ while the formation of H-molecular aggregates is manifested as hypsochromic absorbance shifts, thereby inducing new properties.¹¹ For instance, F. Würthner *et al.*¹² and C. J. Collison *et al.*¹³ reported that intermolecular charge transfer (ICT) interactions were observed in H-type bis(squaraine) aggregates except for the Coulomb coupling effect, resulting in additional new peaks in the long-wavelength region.

Moreover, bis-chromophores can be either directly linked or bridged *via* spacer units,^{14–16} which presumably affects the arrangement of transition dipole moments and molecular packing structures. For example, Z. Liu *et al.*¹⁷ and E. Hao *et al.*¹⁸ revealed that vinylene-bridged chromophore dimers were appropriate molecular scaffolds to generate J-aggregates. As a result, alternating connection modes impacted the functional properties of NIR dyes.

Most of the reported bis(squaraine) compounds are connected through benzenoid moieties such as indolenine¹⁹ and benzothiazole,²⁰ and those linked *via* nonbenzenoid segments are rarely investigated. As an isomer of naphthalene, azulene has a chemical structure composed of an electron-rich pentagon ring and electron-deficient heptagon ring, leading to a large dipole moment of 1.08 D.²¹ The dipole orientation variations of azulene units in compounds have a major influence on molecular packing motifs and corresponding optoelectronic characteristics.^{22,23} Moreover,

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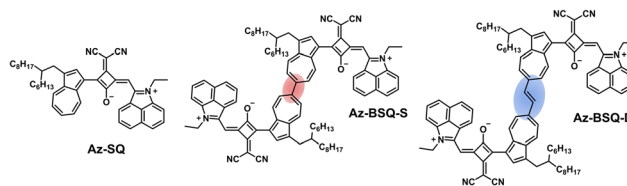


Fig. 1 Chemical structures of Az-SQ, Az-BSQ-S and Az-BSQ-D.

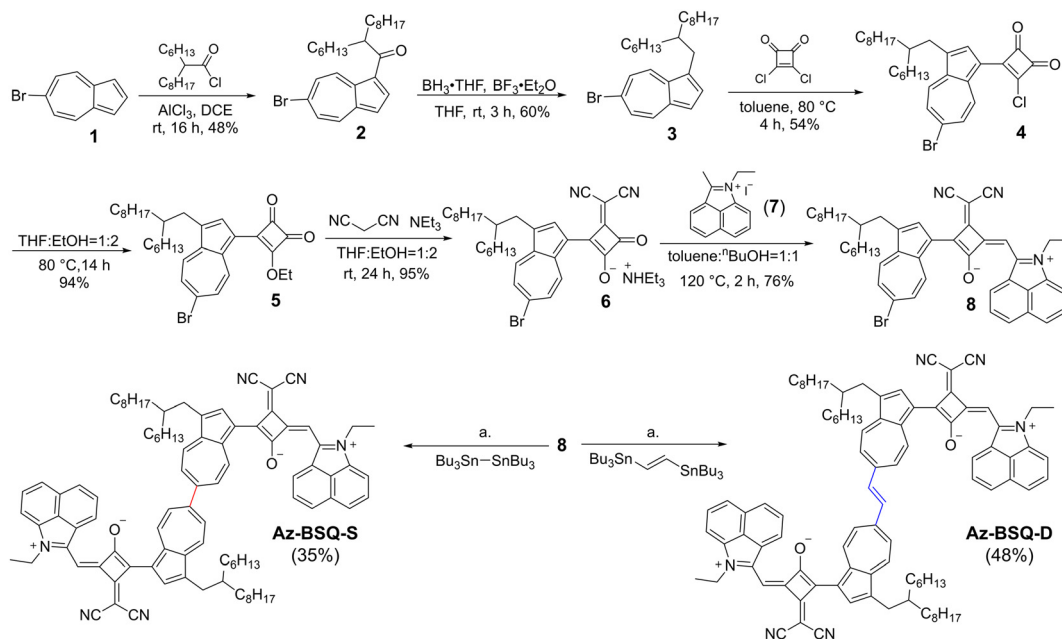
the azulene unit can be incorporated into a squaraine backbone at position 1/3, yielding azulenyl squaraines as photo-thermal transduction agents,²⁴ organic semiconducting materials,²⁵ etc.

In addition to structural modifications, the aggregate structures of NIR dyes can be modulated by changing the surrounding environment (*e.g.*, solvent polarity,²⁶ temperature²⁷ and additives²⁸). Therefore, we designed and synthesized two bis(squaraine) compounds, namely, **Az-BSQ-S** and **Az-BSQ-D**, in which monomeric moieties (**Az-SQ**) were connected at the 6-position of an azulene unit *via* a single bond and a vinylene unit, respectively (Fig. 1). Self-assembly behavior studies were conducted in two systems of mixed solvents with different fractions, dye concentrations and temperatures. The results revealed that **Az-BSQ-D** nanoparticles could produce NIR-II absorption in addition to blue-shifted absorption peaks. Then, organic field-effect transistor (OFET) characterizations implied the effect of dimerization on the charge transport capability and thermal responsiveness of spin-coated films. Our work provides simple but effective approaches to fine-tune the intra- and intermolecular interactions of squaraine dyes, and will contribute to their application studies.

Results and discussion

Design and synthesis

The strong electron acceptor benz[*cd*]indolium^{29,30} was used to construct a D-A-D' squaraine unit. The synthesis routes of two bis(squaraine) dyes are depicted in Scheme 1. Starting from 6-bromoazulene, the Friedel-Crafts acylation reaction was carried out with 2-hexyldecanoyl chloride at the 1-position of the azulene unit³¹ to give **2** in a yield of 48%. Then, a reduction reaction was realized to afford **3** in a yield of 60%. The grafted alkyl chain could not only promote the solubility of target compounds but also cut down the side reactions through the blocking of reactive 1-position. The nucleophilic reaction between **3** and squaryl dichloride produced compound **4** in a yield of 54%. Afterwards, the introduction of a dicyanomethylene (diCN) segment was accomplished through two steps³² to obtain **6**, and the total yield was as high as 89%. Then, the condensation reaction between **6** and benz[*cd*]indolium derivative (**7**) afforded bromine-substituted squaraine **8** as a key intermediate in a yield of 76%. Finally, **Az-BSQ-S** and **Az-BSQ-D** were produced from compound **8** *via* Stille coupling reactions by using hexabutylditin³³ and bis(tributylstannyl)



Scheme 1 Synthesis routes for Az-BSQ-S and Az-BSQ-D. Condition a. Pd₂(dba)₃, P(*o*-tol)₃, toluene, 110 °C, and 24 h.



ethene³⁴ as starting materials in yields of 35% and 48%, respectively.

To obtain the monomer **Az-SQ**, we first tried several [Pd]-catalyzed and ⁿBuLi-involved reactions based on the intermediate **8** with different proton suppliers but failed.^{35–37} Thereby, as shown in Scheme S1,[†] azulene was used to undergo synthetic reactions *via* similar routes depicted in Scheme 1 to afford **Az-SQ** in six steps. All the new compounds were characterized by ¹H NMR, ¹³C NMR and high-resolution mass spectra.

Theoretical calculations

To examine the effect of the connection model on the geometries of the **Az-SQ** derivatives, molecular structure optimization, frontier molecular orbitals and dipole moments were calculated at DFT/B3LYP/6-31G(d,p) using the Gaussian 16 program³⁸ (to reduce the time required, the hexyldecyl chain was replaced with an isobutyl chain). For **Az-SQ**, the squaryl ring and benzindolium cycle were arranged in a coplanar way, while the dihedral angle between the azulene unit and four-membered ring was about 20° (Fig. S1[†]). The electron density of the highest occupied molecular orbital (HOMO) was distributed over the molecular skeleton and mainly localized on the central squaryl ring and azulene unit. The electron density of the lowest unoccupied molecular orbital (LUMO) was delocalized on the conjugated skeleton except the diCN substituents. The HOMO/LUMO energy levels and energy gap ($E_{\text{HOMO-LUMO}}$) of **Az-SQ** were calculated to be −4.80 eV/−3.19 eV and 1.61 eV, respectively. Moreover, the dipole moment direction of **Az-SQ** is shown in Fig. S1[†] and its value (μ) was 8.40 D.

Then, the DFT calculation results of **Az-BSQ-S** and **Az-BSQ-D** are illustrated in Fig. 2. **Az-BSQ-S** and **Az-BSQ-D** presented different dipole moment orientations, and their values were 2.14 D and 10.32 D, respectively. Such discrepancy in molecular dipole moments could lead to disparate self-assembly behaviors for two bis(squaraine) compounds under the effect of dipole–dipole interactions.³⁹ The torsion angle between the two monomeric units in **Az-BSQ-S** and **Az-BSQ-D** was about 50° and 44°, respectively, indicating the alleviation of steric hindrance between the seven-membered ring in the vinylene-bridged squaraine dimers.⁴⁰ Besides, two bis(squaraine) dyes exhibited varying frontier molecular orbital distributions. For **Az-BSQ-S**, the electron densities of HOMO and LUMO were concentrated more on one of the monomer units. For **Az-BSQ-D**, the electron density of LUMO was uniformly delocalized along the conjugated structure; however, the electron density of HOMO was localized on one monomeric segment. Compared with **Az-SQ**, the E_{HOMO} values of two bis(squaraine) dyes were lowered by 0.13 eV and E_{LUMO} values were lowered by about 0.1 eV. **Az-BSQ-S** and **Az-BSQ-D** possessed comparative HOMO–LUMO energy gaps of 1.58 eV and 1.55 eV, respectively. In addition, the simulated absorption spectra were acquired through time-dependent density functional theory (TD-DFT) calculations. As shown in Fig. S2,[†] the absorption maximum of **Az-BSQ-D** ($\lambda_{\text{cal}} = 828$ nm) was blue-shifted compared to that of **Az-BSQ-S** ($\lambda_{\text{cal}} = 875$ nm).

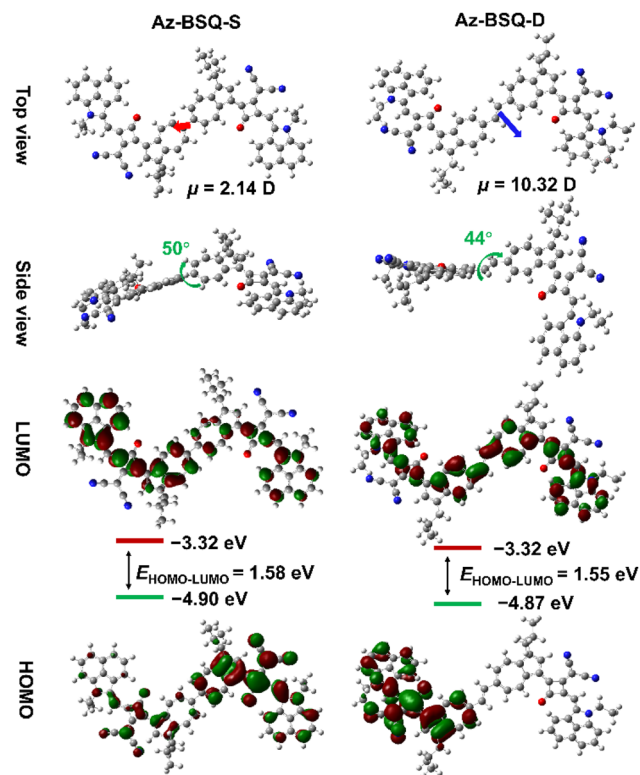


Fig. 2 Optimized molecular geometries, dipole moments, frontier molecular orbitals, and energy diagram of **Az-BSQ-S** and **Az-BSQ-D**.

Optical and electrochemical properties

The photophysical properties of three target compounds were investigated through UV-vis/NIR absorption spectrum and fluorescence spectrum. As shown in Fig. 3a, **Az-SQ** demon-

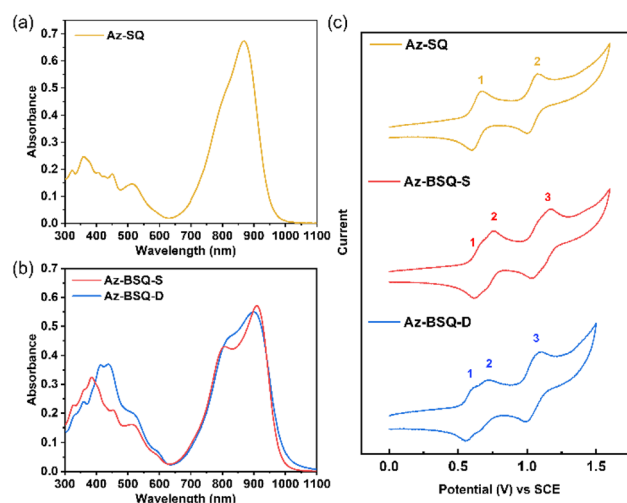


Fig. 3 UV-vis/NIR absorption spectra of (a) **Az-SQ** (10^{-5} M) and (b) **Az-BSQ-S** and **Az-BSQ-D** (5×10^{-6} M) in THF. (c) Cyclic voltammetry curves of **Az-SQ**, **Az-BSQ-S** and **Az-BSQ-D** in DCM (TBAPF₆ was used as the supporting electrolyte and SCE as the reference electrode) in the positive voltage range.



Compound	λ_{max} (nm)	$E_g^{\text{opt } a}$ (eV)	$E_{\text{ox1}}^{\text{onset}}$ (V)	$E_{\text{red1}}^{\text{onset}}$ (V)	E_{HOMO}^b (eV)	E_{LUMO}^c (eV)	$E_g^{\text{CV } d}$ (eV)	$E_g^{\text{DFT } e}$ (eV)
Az-SQ	868	1.31	0.58	−0.54	−4.98	−3.86	1.12	1.61
Az-BSQ-S	910	1.27	0.57	−0.52	−4.97	−3.88	1.09	1.58
Az-BSQ-D	900	1.26	0.53	−0.56	−4.93	−3.84	1.09	1.55

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peak, indicating the existence of H-aggregates.⁴⁹ Furthermore, the variations in the concentration-dependent absorption spectra of **Az-BSQ-S** (Fig. 4b) were examined in the THF/H₂O mixtures ($f_{\text{water}} = 40\%$).⁵⁰ When the concentration of **Az-BSQ-S** was as low as 2.5 μM , its absorption band in the vis-NIR region included two peaks at 868 nm and 747 nm. As the concentration increased to 5 μM and beyond, a new peak at 676 nm was observed and it was gradually magnified with the increase in concentrations. As shown in Fig. S6a,† the absorbance ratio of two peaks at 676 nm and 868 nm (A_{676}/A_{868}) was substantially increased from 0.23 to 1.27. Moreover, a shoulder peak located at 1010 nm came into existence at a compound concentration of 10 μM . Eventually, the maximum absorption wavelength of **Az-BSQ-S** (50 μM) turned out to be 676 nm, which was hypsochromically shifted by 234 nm relative to the one in pure THF solutions. In addition, the transmission electron microscopic (TEM) images revealed the morphology of aggregates (10 μM , $f_{\text{water}} = 90\%$) to be nanoparticles (Fig. S7a†), dynamic light scattering (DLS) experiments indicated that the average diameter of the nanoparticles was about 60 nm and the polydispersity index (PDI) was about 0.29 (Fig. S7b†). The above-mentioned data confirmed that **Az-BSQ-S** was inclined to form H-aggregate nanoparticles in the THF/H₂O system.

The aggregation behavior studies of **Az-BSQ-D** were conducted in a similar manner. As shown in Fig. 4c, when the water volume ratio was 10%, the maximum absorption wavelength of **Az-BSQ-D** was about 876 nm. As the water content increased, the absorption band was hypsochromically shifted. Then, dramatic spectrum changes occurred at a water content of 40%, where λ_{max} of **Az-BSQ-D** was centered at 800 nm and a new NIR-II absorption peak appeared at 1092 nm. Two possible reasons could lead to the emergence of the red-shifted absorption band. On the one hand, there were some J-aggregates except for the dominant H-aggregates.^{51,52} On the other hand, the intermolecular charge transfer effect in the densely packed H-aggregates caused the long-wavelength absorption.^{12,13} Besides, we recorded the spectra of **Az-BSQ-D** with different concentrations in the THF/H₂O ($f_{\text{water}} = 40\%$) system (Fig. 4d). The peak absorbance ratios of 795 nm *versus* 868 nm (A_{795}/A_{868}) experienced exponential growth with the increase in concentrations (Fig. S6b†), suggesting the formation of H-aggregates. In addition, the high concentrations ($\geq 10 \mu\text{M}$) also facilitated the appearance of NIR-II absorption bands. Analogically, TEM and DLS characterizations (Fig. S7c and d†) depicted **Az-BSQ-D** aggregates (10 μM , $f_{\text{water}} = 90\%$) as nanoparticles with an average width of 95 nm (PDI = 0.20).

Then, the self-assembly process under the influence of temperature^{53,54} was studied through the absorption spectra in the THF/H₂O mixed solvents (10 μM , $f_{\text{water}} = 90\%$). For **Az-BSQ-S** (Fig. S8a†), two peaks were selected to trace their absorbance variations during the heating process: one is correlated with H-aggregates ($\lambda = 676 \text{ nm}$), and the other one corresponds to the molecular state at low concentrations and water contents ($\lambda = 868 \text{ nm}$). The peak intensity at 676 nm gradually

decreased and ultimately diminished at 60 °C, while the peak intensity at 868 nm increased consistently. It pointed out that the H-aggregates underwent the dissociation process.⁵⁵ For **Az-BSQ-D**, the absorbance variation tendency of two wavelengths was also recorded (Fig. S8b†). The descent of the absorbance peak at 1125 nm also implied the disaggregation course. Therefore, **Az-BSQ-S** and **Az-BSQ-D** aggregates were thermal responsive.⁵⁶

Apart from the polar protic solvent, hexane is a kind of nonpolar poor solvent for squaraine dyes. The properties of **Az-BSQ-S** and **Az-BSQ-D** aggregates were then studied in the THF/hexane system.⁵⁷ As shown in Fig. 5a, upon increasing the hexane volume fraction (f_{hexane}) from 10% to 80%, the maximum absorption peak of **Az-BSQ-S** was blue-shifted from 910 nm to 805 nm, indicating the formation of H-aggregates. However, great changes were observed in the absorption spectrum at f_{hexane} of 90%, where the maximum absorption peak was centered at 978 nm, demonstrating the J-aggregate feature. Meanwhile, the morphology of the nanosheet with a length of about 600 nm and a width of about 220 nm was determined from the TEM images (Fig. S9a†), and these nanosheets can further agglomerate into nanoflowers. In other words, the appearance of J-aggregate nanosheets triggered substantial changes in the absorption spectrum.^{58,59}

Furthermore, the absorbance variations of **Az-BSQ-S** with different concentrations in THF/hexane ($f_{\text{hexane}} = 60\%$) were recorded, and are presented in Fig. 5b and Fig. S10a.† Two main absorption peaks located at about 925 nm and 795 nm were labeled as “0–0” and “0–1” peaks, respectively.⁶⁰ With the increase in concentration from 2.5 μM to 40 μM , the peak absorbance ratio (A_{0-0}/A_{0-1}) was lowered cooperatively from 1.16 (>1) to 0.84 (<1), which could be regarded as the charac-

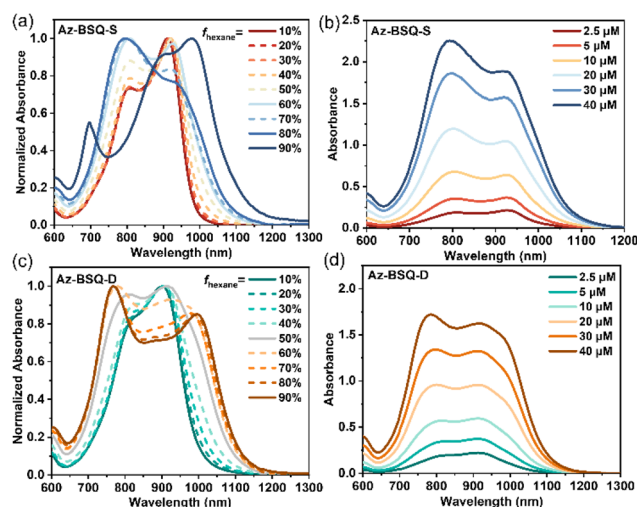


Fig. 5 Normalized absorption spectra of (a) **Az-BSQ-S** and (c) **Az-BSQ-D** (10 μM) in THF/hexane mixed solvents with hexane volume fractions ranging from 10% to 90%. Absorption spectra of (b) **Az-BSQ-S** ($f_{\text{hexane}} = 60\%$) and (d) **Az-BSQ-D** ($f_{\text{hexane}} = 50\%$) at different concentrations in the THF/hexane system.



teristic feature of H-aggregation.⁶¹ Additionally, the concentration-dependent spectra demonstrated the analogous variation tendency with the hexane contents increasing from 10% to 80%.

For **Az-BSQ-D**, when the volume fraction of hexane was as high as 90%, the main absorbance band was separated into two peaks located at 768 nm and 995 nm (Fig. 5c), which were respectively red-shifted by 95 nm and blue-shifted by 132 nm relative to the maximum absorbance wavelength in pure THF. This again indicated the co-existence of two types of aggregates or ICT effect, which agreed well with the aggregation modes of **Az-BSQ-D** in the THF/H₂O system. Then, from the concentration-dependent absorption spectra (Fig. 5d), the absorbance ratio changing plots of two main peaks (A_{0-0}/A_{0-1}) are illustrated in Fig. S10b.† The value decreased in a sigmoidal shape, indicative of the occurrence of isodesmic H-aggregates with f_{hexane} of 50%.⁶² Besides, as shown in Fig. S9c,† **Az-BSQ-D** could hardly form nanosheets or discrete nanostructures in THF/hexane mixed solvents (10 μM , f_{hexane} = 90%), which was distinguished from **Az-BSQ-S**.

Moreover, the temperature-dependent self-assembly behaviors of **Az-BSQ-S** and **Az-BSQ-D** in the THF/hexane (f_{hexane} = 60%) system were investigated in a similar manner (Fig. 6 and Fig. S11†). Both compounds showed two isosbestic points in different spectra,^{20,63} suggesting that there were only two species in the dispersions and the aggregate was directly transformed into free molecules upon heating. More importantly, we could exclude the existence of J-aggregates for **Az-BSQ-D** and the emerging red-shifted absorption peak could be mainly ascribed to the ICT effect. Besides, as demonstrated in Fig. 6b and d, the spectrum of **Az-BSQ-S** or **Az-BSQ-D** at room temperature was almost overlapped with the one obtained after slowly cooling to room temperature, evidencing that the aggregate structures formed at high temperatures would spontaneously

convert into thermodynamically stable H-aggregates at room temperature. All these experiment results verified that the self-assembly behaviors of azulene-bridged bis(squaraine) dyes could be affected through the modification of connection model and surrounding environments.

In addition to the impact of connection model, the effects of dimerization on film aggregate properties were evaluated. OFET devices based on **Az-SQ**, **Az-BSQ-S** and **Az-BSQ-D** were constructed with thermally evaporated gold as the top electrode. Moreover, the thermal annealing could induce the rearrangement of molecular aggregates in films,⁶⁴ thermal gravity analysis (TGA) revealed that **Az-SQ** possessed better thermal stability than the other two dimer compounds and **Az-BSQ-S** gradually decomposed above 120 °C (Fig. S12†). Therefore, the OFET devices were heated at 80 °C for 30 min and cooled slowly to room temperature in a nitrogen atmosphere. Typical transfer and output curves are demonstrated in Fig. S13–S15,† and the corresponding OFET device performance values are listed in Table S1.† **Az-SQ** films did not exhibit typical $I_{\text{DS}}-V_{\text{G}}$ slopes before thermal treatment but demonstrated p-type OFET characteristics after thermal annealing at 80 °C, where the average hole mobility was calculated to be about $3 \times 10^{-3} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ in the saturated regime, the gate voltage was about –19 V and the on/off ratio was as high as 10^6 . Furthermore, both bis(squaraine) films-based OFET devices presented ambipolar carrier transport properties, with the average electron and hole transport mobility being $2.5 \times 10^{-4} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ and $1.0 \times 10^{-4} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ (for **Az-BSQ-S**), and $1.3 \times 10^{-4} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ and $1.3 \times 10^{-4} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ (for **Az-BSQ-D**), respectively. The ambipolar OFET characteristics of two dimeric compounds might be correlated with their high HOMO and low LUMO energy levels as well as longer conjugation lengths and peculiar electron distribution modes. On the contrary, the thermal treatment has negligible influence on the OFET performance for these two bis(squaraine) dyes, and their hole and electron mobilities were monitored around the order of $10^{-4} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$. To sum up, the dimerization of conjugated molecules could affect the charge transport properties and the thermal responsiveness of the molecular aggregates.

Then, to gain insights into the effect of thermal annealing, atom force microscopic (AFM) images of three films were acquired (Fig. 7). Morphological changes occurred in **Az-SQ** films. The roughness root mean square (RMS) value was increased from 0.24 nm to 1.0 nm and small-sized granular domains were observed in the 80 °C-annealed thin films. However, the film surface based on **Az-BSQ-S** and **Az-BSQ-D** experienced a little variation upon thermal annealing with the RMS values around 0.24 nm. These findings were consistent with the OFET device performance. Moreover, as exhibited in Fig. S16,† the absorption spectrum of **Az-SQ** film was blue-shifted by about 100 nm after the thermal treatment, verifying the transformation of molecular packing. These results indicated that the **Az-SQ** film aggregates were reorganized to the aggregate structures more suitable for charge transport.⁶⁵

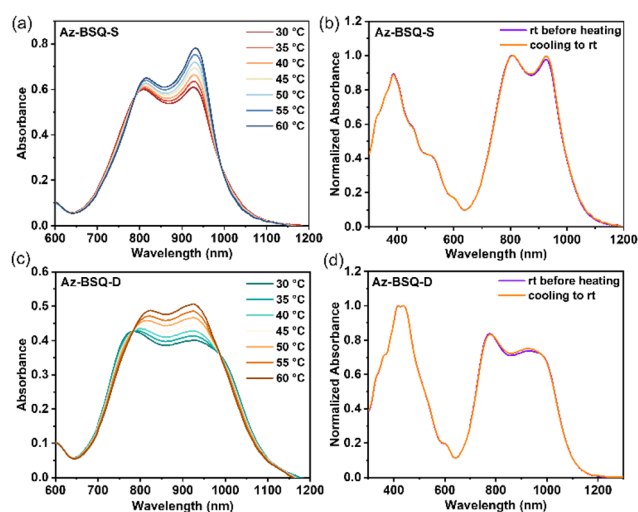


Fig. 6 Temperature-dependent absorption spectra of (a) **Az-BSQ-S** and (c) **Az-BSQ-D** during the heating process in the THF/hexane system (10 μM , f_{hexane} = 60%). Absorption spectra of (b) **Az-BSQ-S** and (d) **Az-BSQ-D** before heating and after cooling to room temperature.



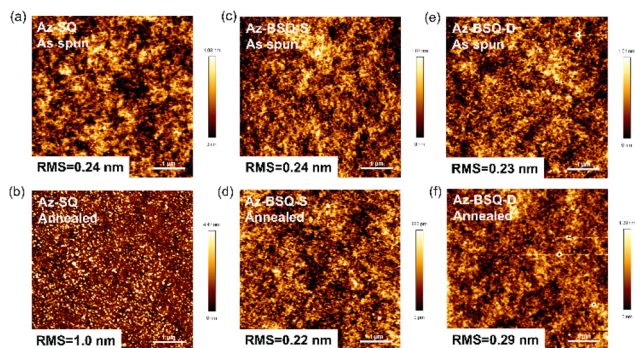


Fig. 7 AFM images of (a, b) Az-SQ, (c, d) Az-BSQ-S and (e, f) Az-BSQ-D films before and after thermal annealing at 80 °C.

Conclusions

In conclusion, azulenyl squaraine **Az-SQ** containing a benz[*cd*]indolium unit has been designed, and then directly linked and vinylene-bridged bis(squaraine) dyes **Az-BSQ-S** and **Az-BSQ-D** with an azulene core have been synthesized. Both bis(squaraine) compounds exhibited a maximum absorption wavelength beyond 900 nm and narrow HOMO–LUMO band gaps as nearly as 1.0 eV. Two dimeric molecules demonstrated aggregate polymorphisms. **Az-BSQ-S** was inclined to form the packing structures dominated by H-aggregates. Meanwhile, it could be transformed into J-type aggregated nanosheets in the binary solvents of THF and hexane. Besides, the absorption spectra of **Az-BSQ-D** manifested the ICT effect in H-aggregates. Furthermore, the conjugation of azulenyl squaraines affects the carrier transport properties as well as the thermal responsiveness of films. Therefore, our work has enriched the compound library of bis(squaraine) dyes and suggests that the connection model of monomeric units has significant effects on the self-assembly behaviors, which deserve great attention during the structural modification of functional molecules.

Author contributions

Y. Yao: conceptualization, investigation, methodology, writing – original draft; H. Lin: software; S. Cai: investigation; X. Yang: software, project administration; X. Gao: conceptualization, funding acquisition, supervision, writing – review & editing.

Data availability

The data supporting this article have been included as part of the ESI.†

Conflicts of interest

There are no conflicts to declare.

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