INORGANIC CHEMISTRY







FRONTIERS

RESEARCH ARTICLE

View Article Online
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Cite this: *Inorg. Chem. Front.*, 2023, **10**, 4496

Modulating optical performance by phase transition in a nonlinear optical material β -Li₂RbBi $(PO_4)_2$ †

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As nonlinear optical (NLO) materials, phosphates often suffer from weak second harmonic generation (SHG) response and low birefringence. Here, we report the successful synthesis of a new UV NLO orthophosphate, β -Li₂RbBi(PO₄)₂, by the high temperature solution method, and demonstrate that it crystallizes in a polar space group of $P2_1$ and is composed of 1D 1 [Bi₄(PO₄)₈]_∞ infinite chains that are constructed from 1 [Bi₂O₁₁]_∞ chains and [PO₄] clusters. The optical functional motifs 1 [Bi₄(PO₄)₈]_∞ are arranged in a parallel mode, which greatly improves the polarizability of the phosphate. As a result, β -Li₂RbBi(PO₄)₂ presents the largest SHG response, $5.2 \times \text{KH}_2\text{PO}_4$ (KDP), at 1064 nm incident radiation among all the Bibased phosphates. Furthermore, first-principles calculations reveal that the synergistic effect of P–O and Bi–O groups contributes significantly to the optical properties of the title compound.

Received 4th May 2023, Accepted 18th June 2023 DOI: 10.1039/d3qi00828b rsc.li/frontiers-inorganic

Introduction

Nonlinear optical (NLO) materials, which are capable of producing coherent light, can extend the application bands of laser to ultraviolet (UV) and deep-UV by frequency conversion technology. This is of particular importance in high-tech fields, such as all-solid-state semiconductor manufacturing, photolithography, laser system, attosecond pulse generation, and ultra-high resolution photoemission spectroscopy. ^{1–5} Over the past decades, great efforts have been devoted to exploring UV/deep-UV NLO materials with excellent performance. ^{6–10}

Currently, potential NLO materials are mostly limited to π -conjugated systems, especially for borates, carbonates, and nitrates. Consequently, a number of second harmonic generation (SHG) materials were discovered. However, taking into account large SHG, short cutoff edge, and appropriate birefringence is always the persistent core target. In order

to meet the above conditions, introducing strong electronegativity primitives, such as fluorine, might optimize the optical properties and provide an effective strategy for designing excellent NLO materials. As a result, many prominent UV/ deep-UV NLO crystals have been reported, including AB₄O₆F (A = K, Rb, Cs, and NH₄), $^{19-21}$ MB₅O₇F₃ (M = Sr, Mg), 22,23 ABCO₃F (A = K, Rb, Cs; B = Ca, Sr, Mg), 24,25 etc. Non- π -conjugated systems, such as phosphates, sulfates and silicates, have recently received widespread attention due to their short cutoff edges, stable physicochemical properties and abundant structural types.^{7,9,10} A few typical representatives are $NaNH_4PO_3F\cdot H_2O_7^{26}$ $(NH_4)_2PO_3F_7^{27}$ $CsSiP_2O_7F_7^{28}$ $La(NH_4)$ (SO₄)₂,²⁹ and Li₂BaSiO₄,³⁰ which show excellent NLO performances. For phosphates, the PO₄ group has a strong interaction with the σ bond and shows transmittance in the UV/deep-UV region.31 However, according to anionic group theory, the small second-order nonlinear magnetic susceptibility and hyperpolarizability of the PO₄ group easily lead to weak SHG response and small birefringence, presenting a significant hurdle to the widespread applications of phosphates. Until now, the most efficient strategy is to introduce cations with do, d¹⁰ or stereochemically active lone pair (SCALP) electrons. Guided by this idea, a batch of NLO phosphates with large SHG and appropriate birefringence have been presented, such as LiHgPO₄ (11 × KDP, 0.068@1064 nm), 32 Rb₃PbBi(P_2O_7)₂ (2.8 \times KDP, 0.031@1064 nm)³³ and Ba₃(ZnB₅O₁₀)PO₄ (4 \times KDP, 0.04@1064 nm).34 In general, cations with a similar radius or coordination environment are interchangeable in structure, thereby facilitating the synthesis of compounds with identical

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† Electronic supplementary information (ESI) available: CIF, structural refinement and crystal data, bond valence sums, XRD patterns, SHG responses of all Bi-based phosphates, coordination environment of Bi atoms, UV-Vis-NIR diffuse reflectance spectrum, IR spectrum and TG-DTA curves. CCDC 2219076. For ESI and crystallographic data in CIF or other electronic format see DOI: https://doi.org/10.1039/d3qi00828b

chemical formulae and sustaining similar structural backbone. Our group has recently prepared a series of excellent UV materials $A_3BBi(P_2O_7)_2$ (A = Rb, Cs; B = Pb, Ba) by using an isovalent cation substitution technique.³⁵

In this work, we have successfully synthesized $\beta\text{-Li}_2RbBi$ $(PO_4)_2$ within the $\text{Li}_2ABi(PO_4)_2$ (A = alkali metal) series, and demonstrated that the well-ordered $^1[Bi_4(PO_4)_8]_{\infty}$ anionic framework gives rise to a large SHG effect (exptl. 5.2 × KDP). The birefringence of the compound is found to be 0.0348@1064 nm. We also investigated the synthesis, crystal growth, and NLO properties of $\beta\text{-Li}_2RbBi(PO_4)_2$ and discussed its structure–property relationship.

Experiments

Reagents

 Rb_2CO_3 , Li_2CO_3 , LiF, Bi_2O_3 , and $NH_4H_2PO_4$ were all purchased from Aladdin Chemistry Co., Ltd and used as received. The purity of all the reagents is 99.9%.

Synthesis

The β -Li₂RbBi(PO₄)₂ crystals were grown by the traditional high temperature melt method. The raw reagents, Rb₂CO₃, LiF, Bi₂O₃, and NH₄H₂PO₄, were mixed at a molar ratio of 3:4:3:12 and ground homogeneously. The mixture was then placed in a platinum crucible and transferred to a self-assembly furnace. The mixture was heated to 850 °C and maintained for 24 h, followed by slowly cooling down to 500 °C at a rate of 1 °C h⁻¹ and further to room temperature at a rate of 20 °C h⁻¹. Finally, the transparent crystals were obtained.

The polycrystalline sample of $\beta\text{-Li}_2\text{RbBi}(PO_4)_2$ was prepared $\nu\text{i}a$ a solid-state reaction. $A_2\text{CO}_3$ (A = Li, Rb), Bi_2O_3 , and $\text{NH}_4\text{H}_2\text{PO}_4$ were thoroughly mixed in a stoichiometric ratio and then transferred to a corundum crucible. The mixture was heated to 680 °C and maintained for 96 h. The polycrystalline powder of $\beta\text{-Li}_2\text{RbBi}(\text{PO}_4)_2$ was obtained.

Powder and single-crystal X-ray diffraction

The sample purity of β -Li₂RbBi(PO₄)₂ was examined by powder X-ray diffraction (PXRD) using a Bruker D2 PHASER X-ray diffractometer equipped with Cu K α radiation (λ = 1.5418 Å) at room temperature. The diffraction data were recorded in the 2θ range from 10° to 70°. As shown in Fig. S1a,† the XRD patterns of β -Li₂RbBi(PO₄)₂ are in good agreement with the calculated ones derived from the single crystal data.

A single crystal of β -Li $_2$ RbBi(PO $_4$) $_2$ with dimensions 0.170 \times 0.058 \times 0.025 mm 3 was selected for structural determination. The diffraction data were collected on a Bruker SMART APEX II charge-coupled device (CCD) diffractometer equipped with graphite-monochromatic Mo-K α radiation at 273 K, and the integration was carried out using the SAINT program. 36 The numerical absorption was performed using the SADABS program. The positions of the rubidium and bismuth atoms were determined by direct methods using SHELXS-97, and the remaining atoms were located by the full-matrix least-squares

technique with anisotropic displacement parameters using the SHELXL-97 program.³⁷ The lack of symmetry elements of the structure was tested using the PLATON program, and no higher symmetry was found. Further details of structural refinement, atom coordination, equivalent isotropic displacement parameters, bond lengths, bond angles, and anisotropic displacement parameters are listed in Tables S1–S4.†

Thermal behavior analysis

The thermal performance of β -Li₂RbBi(PO₄)₂ was determined using a HITACHI STA7300 TG–DTA analyzer instrument under an argon atmosphere from 30 to 1000 °C.

Spectroscopy analysis

The UV-Vis-NIR diffuse reflectance spectrum for β -Li₂RbBi (PO₄)₂ was recorded using a Shimadzu SolidSpec-3700DUV spectrophotometer at room temperature. The diffuse reflectance data were converted to absorbance data according to the Kubelka–Munk equation.

The infrared (IR) spectrum was recorded using a Shimadzu IR Affinity-1 Fourier transform infrared spectrometer with wavenumbers ranging from 400 to 4000 cm⁻¹ at room temperature.

SHG measurements

The SHG response of the β -Li₂RbBi(PO₄)₂ polycrystalline powder was measured with a Q-switched Nd:YAG laser under 1064 nm using the Kurtz-Perry technology.³⁸ In order to study the phase matching, the polycrystalline sample was ground and sieved into the following particle size ranges: 25–45, 45–62, 62–75, 75–109, 109–150, and 150–212 μ m. The same particle sizes of the KH₂PO₄ (KDP) sample were used as benchmarks.

Details of calculation

To shed light on the relationship between the electronic structure and macroscopic optical properties of β-Li₂RbBi(PO₄)₂, the CASTEP calculation software package based on density functional theory (DFT) was used, and the plane wave pseudopotential method was used to calculate the electronic structure and the related optical properties of the single crystal.³⁹ The calculations were performed using the Perdew-Burke-Ernzerhof (PBE) functional based on the generalized gradient approximation (GGA) and norm-conserving pseudopotentials (NCP). ⁴⁰⁻⁴³ The valence electrons were set as Li 1s²2s¹, Rb $4s^24p^65s^1$, Bi $6s^26p^3$, P $3s^23p^3$, and O $2s^22p^4$. The plane-wave cut-off was set at 830 eV, and the numerical integration of the Brillouin zone was performed using $3 \times 5 \times 1$ Monkhorst-Pack k-point sampling. The structure of β -Li₂RbBi(PO₄)₂ was optimized with the convergence criteria of the total energy, maximum ionic force, maximum ionic displacement, and maximum stress being 5×10^{-6} eV per atom, 1×10^{-2} eV Å⁻¹, 5 \times 10⁻⁴ Å, and 2 \times 10⁻² GPa, respectively. The refractive indices and birefringence were further calculated using the OptaDOS code. 44,45 The NLO coefficients were calculated using the "sum over states" (SOS) expressions. 46,47 The SHG-weighted densities

were obtained to assess the influence of different groups on the nonlinearity of the title compound.

Results and discussion

Crystal structure

β-Li₂RbBi(PO₄)₂ crystallizes in the non-centrosymmetric (NCS) monoclinic space group $P2_1$ (no. 4). There is another phase of Li₂RbBi(PO₄)₂ reported by Wen et al., 48 which we named α-Li₂RbBi(PO₄)₂ here to discriminate the two types of compounds. Its asymmetric units contain four Li, two Rb, two Bi, four P, and sixteen O atoms. It is noteworthy that β-Li₂RbBi (PO₄)₂ has oxygen atoms in disordered positions and each O(15) and O(16) atomic position is divided into two parts, O(15A)-O(15B) and O(16A)-O(16B). There are four crystallographically independent P atoms, which are bound to four O atoms to form the [PO₄]³⁻ tetrahedron with the P-O bonds in the range from 1.475(16) to 1.76(2) Å. The Bi atoms are coordinated with five or seven oxygen atoms to build Bi(1)O₅ and Bi(2)O₇ polyhedra, which are further interconnected to form a 1D ${}^{1}[Bi_{2}O_{11}]_{\infty}$ chain with the Bi-O distance between 2.153(6) and 2.734(9) Å (Fig. 1a). Specifically, three adjacent PO₄ tetrahedra connect to one Bi(1)O₅ polyhedron via a corner-sharing connection mode, and another P(3)O₄ tetrahedron connects to one Bi(2)O₇ polyhedron by edge-sharing, yielding 1D ${}^{1}[Bi_{4}(PO_{4})_{8}]_{\infty}$ chains along the b direction (Fig. 1b and S2†). Li atoms also have two coordination modes: the Li(1-3)O4 tetrahedron, which is interconnected by corner-sharing to form the ${}^{1}[\text{Li}_{3}\text{O}_{10}]_{\infty}$ chain, and the $\text{Li}(4)\text{O}_{5}$ polyhedron, which is interconnected by corner-sharing O(16B) to form the ¹[Li₂O₉]_∞ chain with the Li-O distance between 1.855(15) and 2.65(3) Å (Fig. 1c). The 1D ${}^{1}[Bi_{4}(PO_{4})_{8}]_{\infty}$ chains are further interconnected with two types of Li-O chains to form a 3D framework with Rb atoms filling in the gaps to balance the charge (Fig. 1d). The calculated bond valence states of Li, Rb, Bi, P, and O atoms are 1.14-1.13, 1.19-1.26, 2.56-2.73, 4.76-4.99, and 1.8-2.15, respectively, in agreement with the corresponding expected oxidation states of +1, +1, +3, +5, and -2(Tables S3 and S5†).

Notably, α-Li₂RbBi(PO₄)₂ crystallizes in the C2 space group, while β-Li₂RbBi(PO₄)₂ crystallizes in the $P2_1$ space group. They have similar Bi-P-O chain configurations. Structurally, β-Li₂RbBi(PO₄)₂ differs from α-Li₂RbBi(PO₄)₂ in the following aspects: (1) the width of the ${}^{1}[Bi_{3}P_{6}O_{30}]_{\infty}$ chain in α -Li₂RbBi $(PO_4)_2$ is 15.243 Å, which is composed of isolated $[Bi_3O_{16}]$ clusters and $[PO_4]$ groups, while that of the ${}^{1}[Bi_4(PO_4)_8]_{\infty}$ chain in β-Li₂RbBi(PO₄)₂ is 19.654 Å, which is composed of more compactly stacked ¹[Bi₂O₁₁]_∞ chains and [PO₄] groups (Fig. 1e and f). (2) The PO₄ groups in α -Li₂RbBi(PO₄)₂ and β -Li₂RbBi(PO₄)₂ are both highly ordered, but the angle between the terminal PO₄ polyhedra of 1 [Bi₄(PO₄)₈]_∞ along the *b*-axis in β-Li₂RbBi(PO₄)₂ is 101.4°, while the angle of ${}^{1}[Bi_{3}P_{6}O_{30}]_{\infty}$ in α -Li₂RbBi(PO₄)₂ is 104.68°. (3) The PO₄ groups of Bi-P-O chains in β-Li₂RbBi(PO₄)₂ are more closely aligned in parallel along the b-axis and such microscopic stacking of PO₄ in β-Li₂RbBi(PO₄)₂ may be more favorable for inducing a strong SHG effect. In contrast, the broadening of the Bi-P-O chains in β-Li₂RbBi(PO₄)₂ increases the density of $[PO_4]^{3-}$ units, thereby making the average number of connected PO₄ tetrahedra per Bi-O polyhedron increase from three to four. In addition, the PO₄ groups are arranged in a more ordered manner in β-Li₂RbBi(PO₄)₂ than α-Li₂RbBi(PO₄)₂, which is favorable for improving the second-order nonlinear magnetization and hyperpolarization.

Spectroscopy analysis

The infrared spectrum of β -Li₂RbBi(PO₄)₂ is shown in Fig. S3a.† The wide absorption bands at 1195–1028 cm⁻¹ rep-

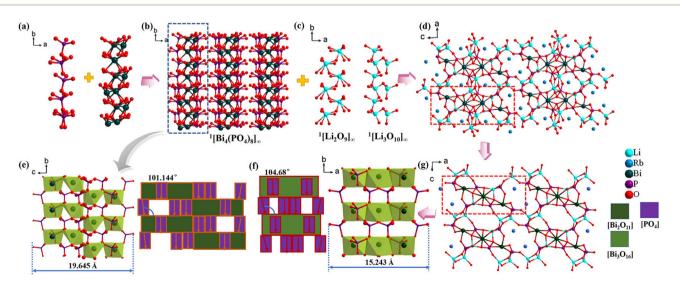


Fig. 1 (a) Optimally aligned arrangement of $[PO_4]$ and ${}^1[B_{i2}O_{11}]_{\infty}$ units in β -Li₂RbBi(PO_4)₂. (b) 1D infinite ${}^1[B_{i4}(PO_4)_8]_{\infty}$ chain. (c) 1D infinite ${}^1[Li_2O_9]_{\infty}$ and ${}^{1}[\text{Li}_{3}\text{O}_{10}]_{\infty}$ chains. (d) Crystal structure of β -Li₂RbBi(PO₄)₈] $_{\infty}$ chain in in the individual description of the 1D infinite ${}^{1}[\text{Bi}_{4}(\text{PO}_{4})_{8}]_{\infty}$ chain in β -Li₂RbBi(PO₄)₂ (e) and the 1D infinite 1 [Bi₃(PO₄)₆]_{∞} chain in α -Li₂RbBi(PO₄)₂ (f). (g) Crystal structure of α -Li₂RbBi(PO₄)₂.

resent the P-O stretching vibrations. The peaks at 579, 510, and 460 cm⁻¹ are attributed to the basic frequency of the PO₄ group. 48 The UV-Vis-NIR diffuse reflectance spectrum is shown in Fig. S3b.† The UV cutoff edge of β-Li₂RbBi(PO₄)₂ is about 276 nm, indicating that β-Li₂RbBi(PO₄)₂ is an UV optical crystal.

Thermal behavior and phase transition analysis

Analysis of the thermogravimetric (TG) curve of β-Li₂RbBi (PO₄)₂ shows that it has no significant weight loss from 30 to 1000 °C (Fig. S4†), indicating its good thermal stability. Further differential thermal analysis (DTA) reveals a sharp endothermic peak at 729 °C during the heating process and an obvious exothermic peak at 739 °C during the cooling process. The XRD patterns are inconsistent for β-Li₂RbBi(PO₄)₂ before and after melting (Fig. S1b†), implying that the compound is incongruent and the appropriate flux is needed in crystal growth.

To probe the phase transition and melting point of the compound, we have synthesized the pure phases of α-Li₂RbBi $(PO_4)_2$ and β -Li₂RbBi $(PO_4)_2$ by the high-temperature solid-state method. We conducted the XRD phase analysis on sintered samples at different centigrade temperatures (Fig. S1c†), and found that the sample at 650 °C is the α-Li₂RbBi(PO₄)₂ phase while the sample at 680 °C corresponds to β -Li₂RbBi(PO₄)₂, indicating that β-Li₂RbBi(PO₄)₂ is a high-temperature phase and α -Li₂RbBi(PO₄)₂ is a low-temperature phase. When the temperature continues to rise to 690 °C, the sample is melted, implying that the sharp exothermic peak at around 729 °C on the heating curve is attributed to the melting point and the endothermic peak at 739 °C could be the overlap of the phase transition peak and melting peak due to the slight temperature difference between the two peaks. We also investigated the XRD pattern of the melted phase and found that the residues are mainly Rb₄P₂O₇, Li₃PO₄, BiP₅O₁₄, and Bi₂O₃.

SHG properties

Since β -Li₂RbBi(PO₄)₂ crystallizes in the polar space group $P2_1$, we performed SHG measurements, and found that β-Li₂RbBi (PO₄)₂ has the largest SHG effect in Bi-based phosphates, about 5.2 × KDP, and can achieve type-I phase matching (Fig. 2a-c and Table S6†). Notably, all the phosphates in the

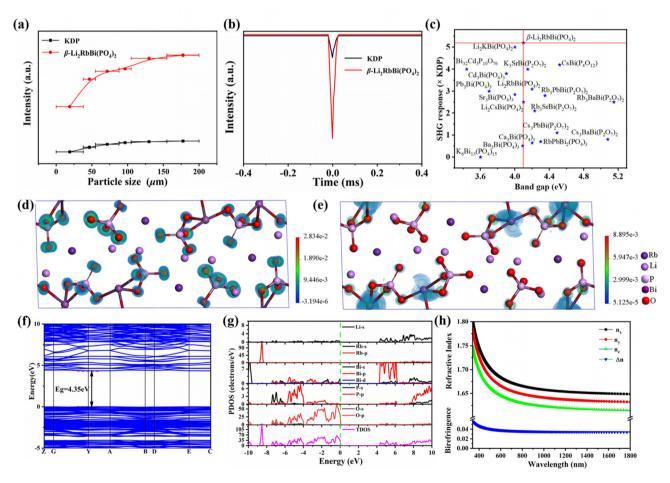


Fig. 2 (a) Phase-matching curves of β -Li₂RbBi(PO₄)₂. (b) SHG intensity of β -Li₂RbBi(PO₄)₂ and KDP. (c) SHG response of all Bi-based phosphates. (d and e) Veocc (d) and veunocc (e) states of the largest SHG tensor d_{22} . (f) Electronic structure of β -Li₂RbBi(PO₄)₂. (g) Density of states (DOS) and projected DOS (PDOS) plots. (h) Refractive indices and birefringence.

 $Li_2ABi(PO_4)_2$ (A = K, Rb, and Cs) series exhibit a highly ordered 1D infinite Bi-P-O chain, which helps to enhance the SHG effect. Importantly, the anion basic building block for the compounds in the P2₁ space group (such as β-Li₂RbBi(PO₄)₂ and $\text{Li}_2\text{KBi}(\text{PO}_4)_2$) evolves from the ${}^1[\text{Bi}_3\text{P}_6\text{O}_{30}]_{\infty}$ ${}^{1}[Bi_{4}(PO_{4})_{8}]_{\infty}$ chain, and a more parallel arrangement of the PO₄ groups can be noticed when changing from the C2 to P2₁ space group, thereby contributing to the improvement of the SHG effect.

Research Article

To gain insight into the effectiveness of the SHG efficiency for β-Li₂RbBi(PO₄)₂, we calculated its SHG tensor d_{ij} coefficients. The crystal belongs to the point group 2, and has four independent nonzero SHG coefficients: $d_{16} = 1.583 \text{ pm V}^{-1}$, d_{14} = 0.155 pm V^{-1} , d_{22} = -2.536 pm V^{-1} , and d_{23} = 1.104 pm V^{-1} . According to the d_{ij} coefficients of the obtained SHG tensors, the effective SHG (d_{eff}) for β -Li₂RbBi(PO₄)₂ is calculated to be 1.45 pm V^{-1} (about 4.41 × KDP), which is comparable to the experimental value (5.2 × KDP). To further quantify the SHG contribution from different building units, we carried out calculations by the real-space atom-cutting technique.46 Four parts contribute mainly to the d_{eff} of β -Li₂RbBi(PO₄)₂: 0.74 pm V^{-1} from P-O, 1.22 pm V^{-1} from Bi-O, 0.370 pm V^{-1} from Li-O, and 0.1 pm V⁻¹ from Rb-O groups. Consequently, the distorted BiO_n and PO₄ polyhedra contribute dominantly to the SHG response (Table 1). To better understand the enhanced SHG response of β-Li₂RbBi(PO₄)₂, we employed the SHGdensity technique to investigate the SHG states in real atomic space (Fig. 2d and e).49 In the dominant virtual electron process, the main contribution to the largest tensor d_{22} originates from the O 2p orbitals for the occupied states, while it originates from the 6p orbital of SCALP-active Bi3+ for the unoccupied states. We also observed that the SHG source of β-Li₂RbBi(PO₄)₂ is mainly surrounding the oxygen atoms bridged by P and Bi atoms, which further confirms the synergistic effect of P-O and Bi-O groups in enhancing the total SHG.

Electronic structure and optical properties

To clarify the microscopic mechanism, we carried out firstprinciples calculations, and found that β-Li₂RbBi(PO₄)₂ is a direct transition semiconductor with a band gap of 4.35 eV (Fig. 2f). Fig. 2g shows the total and projected density of states (TDOS/PDOS), where the valence band maximum (VBM) is mainly composed of O 2p and P 3p states and the conduction band minimum (CBM) mainly stems from O 2p, Li 2s, and Bi 6p states. Since β-Li₂RbBi(PO₄)₂ crystallizes in a monoclinic

Table 1 The SHG response from various ionic groups obtained by the real-space atom-cutting method for β-Li₂RbBi(PO₄)₂

SHG tensors (pm V ⁻¹)	Origin	Li-O	Р-О	Ві-О	Rb-O
$\overline{d_{16}}$	1.583	0.122	1.006	1.362	0.494
$egin{aligned} d_{16} \ d_{14} \end{aligned}$	0.155	0.015	0.350	-0.125	-0.453
$d_{2,2}$	-2.535	-1.602	-1.924	-2.201	1.136
d_{23}^{-1}	1.104	0.571	0.467	0.503	-0.939
$d_{ m eff}$	1.450	0.370	0.740	1.220	0.100

crystal system, it has three unequal refractive indices, e.g., n_x , n_{v} , and n_{z} . The calculated refractive index curve is $n_{x} - n_{v} > n_{v}$ $-n_z$ in the wavelength range of 300–1800 nm, making it a positive biaxial crystal (Fig. 2h). The birefringence is calculated to be 0.0348@1064 nm, which satisfies the phase matching for β -Li₂RbBi(PO₄)₂.

Conclusions

We have designed and synthesized a new UV NLO material, β-Li₂RbBi(PO₄)₂, and demonstrated that it exhibits the strongest SHG response of 5.2 × KDP among all the Bi-based phosphates. β-Li₂RbBi(PO₄)₂ is found to show much improved second-order nonlinear magnetization and hyperpolarization in comparison with α-Li₂RbBi(PO₄)₂, which is ascribed to the broadened parallel ${}^{1}[Bi_{4}(PO_{4})_{8}]_{\infty}$ chains and the more parallel alignment of the PO4 groups. Further theoretical calculations reveal a synergistic effect of the BiO_n and PO₄ groups in enhancing the SHG effect and birefringence for β-Li₂RbBi(PO₄)₂. These findings shall open up an effective avenue in exploring new NLO materials for further enhancing optical performances when needed by manipulating the space group and phase transitional passageway.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

This work was supported by the National Natural Science Foundation of China (51962033), the Tianshan Talent Project Uygur Autonomous Region Xinjiang of China (2022TSYCJU0004), and the Xinjiang Major Science and Technology Project (2021A01001-3).

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