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Leveraging genetic algorithms to maximise the predictive capabilities of the SOAP descriptor†

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The smooth overlap of atomic positions (SOAP) descriptor represents an increasingly common approach to encode local atomic environments in a form readily digestible to machine learning algorithms. The SOAP descriptor is obtained by using a local expansion of a Gaussian smeared atomic density with orthonormal functions based on spherical harmonics and radial basis functions. To construct this representation, one has to choose a number of parameters. Whilst the knowledge of the dataset of interest can and should guide this choice, more often than not some optimisation method is required to pinpoint the most effective combinations of SOAP parameters in terms of both accuracy and computational cost. In this work, we present SOAP_GAS, a simple, freely available computational tool that leverages genetic algorithms to optimise the relevant parameters for any given SOAP descriptor. To explore the capabilities of the algorithm, we have applied SOAP_GAS to a prototypical molecular dataset of relevance for drug design. In this process, we have realised that a diverse portfolio of different combinations of SOAP parameters can result in equally substantial improvements in terms of the accuracy of the SOAP-based model. This is especially true when dealing with the concurrent optimisation of the SOAP parameters for multiple SOAP descriptors, which we found often leads to further accuracy gains. Overall, we show that SOAP_GAS offers an often superior alternative to e.g. randomised grid search approaches to enhance the predictive capabilities of SOAP descriptors in a largely automatised fashion.

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Design, System, Application

The development of machine learning approaches for molecular design has now reached a stage where our ability to translate the molecular structure into one or more descriptors (or features, or fingerprints) is actually more important than the choice of the machine learning algorithm itself. The smooth overlap of atomic positions (SOAP) descriptor constitutes an increasingly popular approach to represent the local atomic environments of both molecules and solids. However, the (necessary) optimisation of the parameters intrinsic to this descriptor has traditionally been approached *via* either trial-and-error or *via* systematic, intrinsically inefficient approaches such as randomised grid searches. Here, we present SOAP_GAS, a computational framework that leverages genetic algorithms to optimise any given SOAP descriptor in a reliable and efficient way, surpassing the performance of grid search-based methods and providing a freely available tool for the community to improve on the performance of machine learning for applications such as drug design and discovery. To demonstrate the capabilities of SOAP_GAS, we apply it to the prototypical problem of predicting the solubility of a dataset of small drug-like molecules, thus showcasing the potential of this approach to boost the usefulness of machine learning methods in the context of machine learning for drug discovery.

I. Introduction

The last few years have seen a rapidly growing interest in the application of machine learning (ML) techniques to

understand, predict and determine the functional properties of a diverse array of molecules and materials.^{1–6} Properties ranging from the thermal conductivity of alloys^{7–11} to the solubility of pharmaceutical drugs^{12–18} have all been predicted with various levels of success using modern ML techniques. A large contributing factor to the meteoric rise of ML in the last two decades is the large amount of data that is becoming more easily accessible.¹⁹ There are a multitude of open access databases that anyone can use to train their own ML algorithms.^{20,21} This new resurgence of data, however, does not come without its own set of challenges. In the field of computational chemistry, a particularly arduous aspect of ML is determining how to translate structural or dynamical information about a system into a numerical array of

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descriptors (or features, or fingerprints) that can be fed into a ML algorithm. The resulting mathematical object needs to be complex enough to encapsulate all relevant information about the molecular structure. In order to avoid overfitting, the model should be of low dimensionality or it should not have any rapidly varying features. Alternatively, the model may be regularised, thereby preventing sharp features gaining dominance.

As shown in previous work,^{22–25} the choice of descriptor has a large impact on the quality of predictions. There is a huge selection of descriptors available to use, and if some thought is not employed to understand which ones are appropriate, it may lead to poor results. A regularly used strategy is to utilise as many descriptors as possible to make predictions, however this is fallacious as it increases the likelihood of overfitting.²²

One descriptor that has been proven to be sufficient in offering an accurate representation of any given molecular structure is the smooth overlap of atomic positions (SOAP) descriptor.²⁶ Even though its most commonly used form only encodes up to three-body correlations,²⁷ the SOAP descriptor has been gaining popularity given its impressive performance across a plethora of widely different classes of materials and problems ranging from hydrogen absorption of nano-clusters²⁸ to the development of bespoke interatomic potentials.^{29,30} The premise of the SOAP descriptor is that it offers a convenient method to describe atomic environments that is invariant to any form of rotation, translation, reflection or permutation of equivalent atomic species.

The SOAP descriptor formalism²⁶ is based on representing atomic environments by a scalar field centred on atom a , composed of Gaussian functions

$$\rho_a(\mathbf{r}) = \sum_j \exp\left(-\frac{|\mathbf{r}-\mathbf{r}_j|^2}{2\sigma^2}\right) |s_j\rangle f_{\text{cut}}(\mathbf{r}_j) \quad (1)$$

where the sum is performed over the neighbours j of atom a that are situated within a spatial cutoff. s_j denotes the atom species of atom j , forming species-dependent basis functions $|s_j\rangle$, which allow distinction of different species within the atomic environment.³¹ The cutoff function f_{cut} ensures that neighbouring atoms enter and leave the atomic environment in a smooth fashion. Summing the Gaussian functions representing the neighbouring atoms ensures permutational invariance to the atomic indices within the same species. The atomic density ρ is expanded in a basis formed of spherical harmonic Y_{lm} , and orthogonal radial basis functions $g_n(\mathbf{r})$

$$\rho_a(\mathbf{r}) = \sum_{s \in S} |s\rangle \sum_{n,l,m} c_{s,n,l,m}^a \mathbf{g}_n(\mathbf{r}) \cdot \mathbf{Y}_{l,m}(\hat{\mathbf{r}}) \quad (2)$$

where the first sum is performed over the set of neighbouring species S_n . Invariant features can be formed from the basis set coefficients by calculating the power spectrum

$$p_{s,s',n,n',l}^a = \sum_m \left(c_{s,n,l,m}^a \right) \times c_{s',n',l,m}^a$$

which can be shown to be invariant to rotations and reflections of the environment with respect to its central atom. Defined as such, each atomic environment is described by a single power spectrum. In addition, the formalism can be extended to describe molecules or condensed matter structures by averaging the representing density field across the constituent atoms. The basis set coefficients belonging to the same central atom species $\mathcal{S}$ are accumulated as:

$$c_{s,n,l,m}^{\mathcal{S}} = \sum_{s' \in \mathcal{S}} c_{s',n',l,m}^a \quad (3)$$

which can be used to form a set of power spectrum components $\mathbf{p}^{\mathcal{S}}$ for each distinct atom species within the structure. In order to reduce the complexity of the descriptors, it is also possible to sum all individual coefficients regardless of the central atom species information, although this leads to a loss of information.

SOAPs are not the only way to generate rotationally invariant molecular descriptors,^{32,33} however, some other rotationally invariant descriptors may be unsuitable to represent a heterogeneous dataset for ML purposes. For example, it is very challenging to perform ML with varying descriptor lengths without information being lost. When using SOAPs for ML it is possible to ensure the generated descriptor length does not scale with the number of atoms in the system leading to a uniform length descriptor vector for every element in the dataset. This is not the case for some other structural descriptors whereby they scale in length with the number of atoms in the molecule.^{22,34} The main drawback of SOAP descriptors is the potentially large computational cost, as the length $\mathcal{L}$ of their power spectrum can be written as $\mathcal{L} = \frac{1}{2} n_{\text{max}} S_n (n_{\text{max}} S_n + 1) (l_{\text{max}} + 1)$, where S_n is the number of neighbour species, n_{max} is the number of radial basis functions and l_{max} is the number of angular basis functions. In fitting ML models based on SOAP descriptors it is common to incur another factor proportional to S_n if a different model is defined for each centre species. This can lead, depending on the number of species used as centres and neighbors, to extremely large descriptor vectors which can be a challenge to compute due to the large amounts of computer memory required. As we show in sec. II however, it is possible to compress these vectors with a relatively small decrease in predictive performance.

SOAPs work by using a series of orthonormal radial and angular basis functions to expand the local neighbourhood density around each atom. An individual expansion is used for each species of atom in the neighbourhood. In this paper we attempt to maximise the predictive capabilities of SOAPs by optimising the following parameters that are stipulated when generating SOAPs:

- n_{max} – the number of radial basis functions g_n .



Once we have a score for each of the popSize individuals, we select a certain number (bestSample) of them according to their scores, plus a usually small number (luckyFew) of individuals regardless of their score. These selected individuals constitute the so-called “parents” of the next generation of SOAP parameters. At this point, we move onto the “breeding” procedure, where we randomly split the (even number of) parents into (bestSample + luckyFew)/2 pairs. Each pair of parents produces a “child”, i.e. a new SOAP descriptor characterised by a new set of SOAP parameters – randomly picked with a 50% chance from either of the parents. We then proceed to apply “mutations”: each SOAP parameter within each child has a certain probability (mutationChance) to be changed into a randomly picked value (within the boundaries specified for that SOAP parameter). Note that the resulting population size, popSize = [(bestSample + luckyFew)/2 × numberChildren] is identical to the size of the initial population.

Once we have obtained the new generation, we repeat the process until we reach the desired level of accuracy. The idea at the heart of SOAP_GAS and GA algorithms in general is that they allow to progressively explore the search space in an efficient, targeted fashion, while introducing mutations and other degrees of freedom (such as the number of luckyFew) to avoid getting stuck in local minima of the parameter space. SOAP_GAS also features an early-stopping criterion: if the current generation is within a certain threshold (in terms of score, earlyStop), of a specific number (earlyNum) of any previous generations, the algorithm is considered to be converged.

It is worth mentioning that we have chosen not to introduce an elitism operator explicitly when generating new populations of parameters. In the context of GAs, elitism operators are used to select the best individuals for the next generation without applying any mutation. This implies that, once a particularly well-performing individual is found, it persists throughout the algorithm/generations until an even better-performing one comes along.

Whilst adopting an elitism operator is a perfectly valid strategy (albeit by no means a mandatory one), we have

chosen not to because (a.) the SOAP_GAS algorithm selects the best performing individual that appears in any generation. Hence, there is no real need to keep the same individual in the population from generation to generation. In fact, it might be detrimental to do so, as a lesser extent of the feature space will be explored; (b.) an elitism operator can be constructed *via* suitable combinations of the input parameters. For instance, a large number of luckyFew individuals and a low number of bestSample as well as a low mutationChance would achieve a result almost identical to that of applying an actual elitism operator.

Note that, depending on the size of the dataset, the choice of *centres* and *neighbours* as well as the choice of $n_{\max}$, $l_{\max}$ and *cutoff*, the dimensionality of the SOAP vector can grow to the point of causing issues in terms of memory requirements. Aside from the compression strategy discussed in the next sections, in order to free memory the SOAP_GAS algorithm writes to disk the information about each individual in a bespoke class that contains the SOAP vectors, the target values of the whole database as well as the score and each of the train/test splits used for the cross validation relative to that particular score.

It is worth noting, however, that grid search approaches can trivially and effectively leverage parallel computing in that each grid point can be evaluated independently from the others. Conversely, SOAP_GAS is by its very nature a sequential algorithm, as the construction of given i -th generation of individuals depends on the accuracy of the individuals within the $(i - 1)$ -th generation. However, we can still take advantage of parallel computing for the evaluation of different individuals within a given generation. To this end, we have adopted the concurrent.futures Python module, which provides a simple platform to allocate different instances of the same task (in our case the evaluation of the different individuals using the very same RF model) to the available computing cores. A scaling test, demonstrating the quasi-linear scaling of SOAP_GAS with the number of CPU cores, can be found in the ESI.†

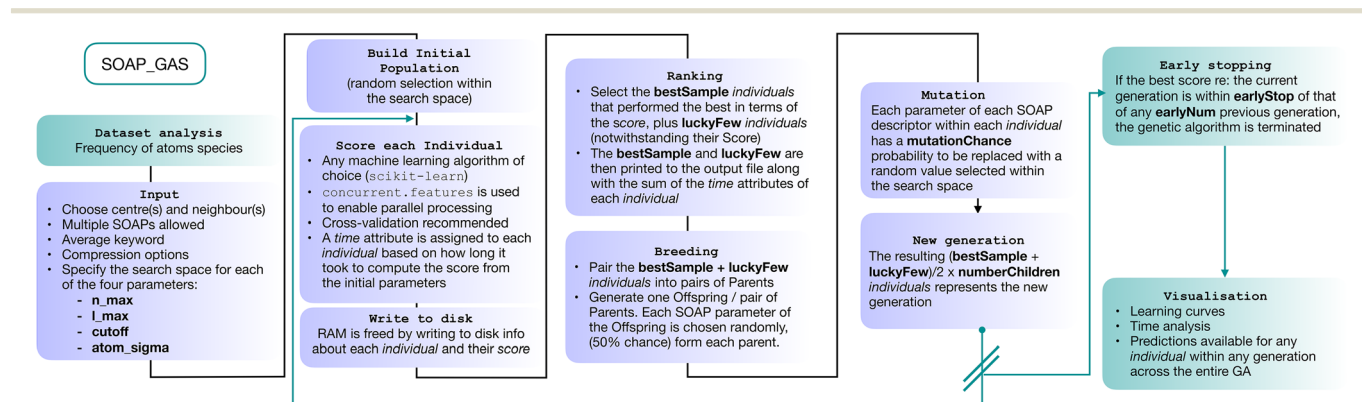


Fig. 2 Schematics of the genetic algorithm framework implemented in the SOAP_GAS code.



III. Results

A. Optimising individual SOAPS

As a first test of the SOAP_GAS framework, we have applied it to a number of different SOAP vectors characterised by different combinations of atomic species as *centres* and *neighbours*. In particular, we have built an “all-all” SOAP where the ten most abundant species (see Table 1) have been used as both *centres* and *neighbours*. We have also built ten different SOAP vectors where each of the ten most abundant species has been used as *centre* whilst all of the ten have been used as *neighbours*. Finally, we have also considered what we call a “double” SOAP, *i.e.* “all-all (double)”, which consists of two all-all SOAP's using different values for the *cutoff* and *atom_sigma*, allowing for short and long range structure to be described with different resolutions.

In terms of the search space for the SOAP_GAS algorithm, we have chosen the following, rather wide range: $2 < n_{\max} < 10$, $2 < l_{\max} < 10$, $5 < \text{cutoff} < 20$, and $0.1 < \text{atom_sigma} < 1.5$. Note that these boundaries of the cutoff have been superseded by the following limits in the case of short/long SOAP in the context of the all-all (double) SOAP: $5 < \text{cutoff} < 12$ and $12 < \text{cutoff} < 20$ for short and long all-all SOAP, respectively.

The results are reported in Table 2 relative to the data set discussed in II A. The “vanilla” data refer to the results obtained *via* the following non-optimised set of SOAP parameters: *cutoff* = 5, *l_{max}* = 6, *n_{max}* = 12 and *atom_sigma* = 0.5 which have been taken off-the-shelf from the online documentation of the SOAP descriptor.⁵⁸ The “GA” data refer to the results obtained *via* the SOAP_GAS algorithm. We report the score metric described in eqn (4) together with both the MSE and PCC (including the associated uncertainties as the standard deviation from a 5-fold cross validation). It is clear that applying the SOAP_GAS algorithm consistently results in SOAP vectors corresponding to more accurate models in all cases. The greatest improvements in terms of accuracy can be appreciated for those SOAP vectors whose centres correspond to frequently occurring atomic species in the data set, such as C, H, and O. Conversely, the gains are only marginals for SOAP vectors with *e.g.*, P or I as centres. This is expected, as the predictive power of those descriptors is bound to be rather weak given the minimal occurrence of those species in the data set.

Given the relatively small size of our dataset, it is important to make sure that the fact that the performance of the test set contributes to our score would not prevent us from making a reliable performance comparison between vanilla and SOAP_GAS result. To address this aspect, we have verified that using a validation set (which at no point is involved in either the training/test of the models) does not have an impact on our results. The details of this analysis can be found in the ESI.†

As in the case of specific SOAP vectors the performance improvements obtained *via* SOAP_GAS might appear rather small, it is worth to perform a simple statistical test to verify

that these performance differences are in fact significant. To this end, we have performed a Z test⁵⁹ (*i.e.*, a statistical test to determine whether two population means are different when the variances are known) on our results in terms of the mean squared error (MSE).

The results are reported in the ESI.† and indicate that for the all-all (and double) SOAP as well as for the SOAP centred on C, H, O, N and S atoms, the performance differences we observe for SOAP_GAS compared to vanilla SOAP are significant with respect to a 95% confidence interval. However, the same cannot be said for the SOAP centred on the far less frequent atomic species, namely the halogen atoms. This is somewhat expected, in that our predictions are more accurate when using SOAP centred on the most frequent atomic species, which in turn is reflected in the extent of the accuracy improvement upon applying the SOAP_GAS framework to SOAP vectors centred on more or less frequent species.

We note that the computational cost of dealing with the all-all SOAP stretched the capabilities of “regular” computing nodes. Dedicated high-memory computing nodes are often needed when dealing with SOAP vectors. Rather than adopting that approach, here we instead chose to take advantage of the compression scheme described in ref. 46. Within this scheme the SOAP power spectrum is compressed through a combination of projecting the atomic neighbour density onto the surface of the unit sphere, which reduces the radially sensitive body order, and summing over the neighbour densities of different species, which reduces the element sensitive body order. Combining these operations in different ways leads to nine distinct options ranging from the full power spectrum, where the length scales as $\mathcal{O}(n_{\max}^2 S^2 l_{\max})$ to the most extreme compression which scales as $\mathcal{O}(l_{\max})$.

In Table 3, we report the dimensionality as well as the accuracy of the all-all SOAP obtained with these different levels of compression and denote them using the same notation as in ref. 46; note that the $\mu = 0$, $\hat{\mu} = 0$, $\nu = 2$ and $\hat{\nu} = 0$ option corresponds to the original uncompressed SOAP vector. In light of the results reported in Table 3, we chose to apply the $\mu = 0$, $\hat{\mu} = 1$, $\nu = 1$ and $\hat{\nu} = 0$ option as it provides an excellent compromise between accuracy and compression. In particular, this combination retains much of the accuracy of the non-compressed SOAP vector (with a score of 0.445 against a score of 0.368) whilst drastically reducing the dimensionality from 1471 to 141 elements. The loss of accuracy is to be expected, as this level of compression does not preserve information, although it is interesting that accuracy is no worse than with $\mu = 1$, $\hat{\mu} = 0$, $\nu = 1$ and $\hat{\nu} = 0$, which, subject to certain conditions, is known to preserve information. All the results presented in this section have been obtained using $\mu = 0$, $\hat{\mu} = 1$, $\nu = 1$ and $\hat{\nu} = 0$.

It is informative to look for correlations between the four SOAP parameters, as well as the resulting score. To this end, we have chosen the all-all SOAP, where every atomic species within the dataset is used as both centre and neighbour – with



Table 2 SOAP_GAS improves the accuracy of any given SOAP vector. Search space: $2 < n_{\max} < 10$, $2 < l_{\max} < 10$, $5 < cutoff < 20$, and $0.1 < atom_sigma < 1.5$. The “vanilla” data refer to the results obtained via the following non-optimised set of SOAP parameters: $cutoff = 5$, $l_{\max} = 6$, $n_{\max} = 12$ and $atom_sigma = 0.5$, taken off-the-shelf from the online documentation of the SOAP descriptor.⁵⁸ The “GA” data refer instead to the results obtained via the SOAP_GAS algorithm. We report the score metric described in eqn (4) together with both the MSE and PCC (including the associated uncertainties as the standard deviation accumulated of a 5-fold cross validation). The $\mu = 0$, $\hat{\mu} = 1$, $\nu = 1$ and $\hat{\nu} = 0$ combination has been used in terms of compression (see text)

	Score	
	Vanilla	GA
All-all	0.483	0.309
All-all (double)	0.317	0.269
C-ten	0.573	0.341
H-ten	0.749	0.402
O-ten	2.66	1.963
Cl-ten	3.925	3.677
N-ten	6.047	5.428
S-ten	10.987	10.529
F-ten	11.688	11.369
Br-ten	12.412	12.114
P-ten	12.207	12.159
I-ten	14.361	14.267

	MSE			
	Vanilla		GA	
	Test	Train	Test	Train
All-all	1.43 ± 0.108	1.152 ± 0.018	1.154 ± 0.065	0.929 ± 0.015
All-all (double)	1.186 ± 0.095	0.924 ± 0.015	1.106 ± 0.069	0.855 ± 0.015
C-ten	1.569 ± 0.107	1.252 ± 0.016	1.209 ± 0.067	0.973 ± 0.013
H-ten	1.748 ± 0.105	1.427 ± 0.037	1.293 ± 0.076	1.074 ± 0.02
O-ten	2.935 ± 0.235	2.683 ± 0.055	2.580 ± 0.202	2.325 ± 0.044
Cl-ten	3.394 ± 0.189	3.192 ± 0.037	3.265 ± 0.176	3.12 ± 0.38
N-ten	4.068 ± 0.178	3.83 ± 0.042	3.869 ± 0.17	3.676 ± 0.037
S-ten	4.891 ± 0.192	4.758 ± 0.044	4.812 ± 0.181	4.708 ± 0.04
F-ten	4.945 ± 0.229	4.841 ± 0.058	4.877 ± 0.238	4.823 ± 0.06
Br-ten	5.003 ± 0.176	4.915 ± 0.041	4.948 ± 0.176	4.902 ± 0.043
P-ten	4.968 ± 0.211	4.908 ± 0.05	4.955 ± 0.212	4.905 ± 0.05
I-ten	5.077 ± 0.208	5.057 ± 0.053	5.071 ± 0.208	5.057 ± 0.053

	PCC			
	Vanilla		GA	
	Test	Train	Test	Train
All-all	0.85 ± 0.008	0.884 ± 0.001	0.881 ± 0.003	0.907 ± 0.001
All-all (double)	0.877 ± 0.005	0.908 ± 0.001	0.887 ± 0.002	0.916 ± 0.001
C-ten	0.835 ± 0.006	0.875 ± 0.001	0.875 ± 0.002	0.902 ± 0.001
H-ten	0.812 ± 0.006	0.853 ± 0.003	0.867 ± 0.002	0.893 ± 0.002
O-ten	0.654 ± 0.019	0.694 ± 0.005	0.705 ± 0.013	0.742 ± 0.004
Cl-ten	0.577 ± 0.016	0.61 ± 0.002	0.598 ± 0.014	0.621 ± 0.003
N-ten	0.45 ± 0.022	0.503 ± 0.005	0.49 ± 0.023	0.53 ± 0.005
S-ten	0.194 ± 0.019	0.259 ± 0.004	0.231 ± 0.011	0.275 ± 0.004
F-ten	0.166 ± 0.021	0.219 ± 0.006	0.202 ± 0.027	0.225 ± 0.006
Br-ten	0.124 ± 0.023	0.183 ± 0.005	0.161 ± 0.023	0.188 ± 0.005
P-ten	0.151 ± 0.021	0.186 ± 0.003	0.157 ± 0.018	0.186 ± 0.003
I-ten	0.03 ± 0.015	0.067 ± 0.004	0.047 ± 0.003	0.067 ± 0.053

the exception of atomic species occurring in less than fifty molecules across the entire dataset (see Table 1) so that $S = 10$. We have run 96, independent instances of SOAP_GAS, where the initial values of each set of SOAP parameters for each individual within the initial population have been randomly selected. The SOAP parameters that resulted in the best score for each run are collected in Fig. 3. Overall, it is fair to say that there are no strong correlations between any of the SOAP parameters, albeit there is tendency for the

accuracy (score) to improve when increasing the number or radial basis functions $n_{\max}$. This is quite interesting, as one might think that an increase in *e.g.*, *cutoff* should be accompanied by a larger $n_{\max}$, as the greater spatial extent of the local atomic environment might need a greater number of radial basis functions. However, this is not the case. In fact, none of the SOAP parameters seem to be strongly correlated with the score. Again, this is somehow counter intuitive, as one might expect the SOAP vector to capture a



Table 3 Compressing the SOAP vector allows to substantially reduce the dimensionality of the descriptor whilst retaining most of its predictive power. Dimensionality (Dim.) and score (see text) for the all-all SOAP according to different choices of compression

μ	$\hat{\mu}$	ν	$\hat{\nu}$	Dim.	Score
0	2	0	0	8	3.756
2	0	0	0	22	2.845
1	1	0	0	15	2.587
0	1	0	1	71	0.739
0	0	0	2	386	0.584
0	1	1	0	141	0.445
1	0	1	0	281	0.442
0	0	2	0	1471	0.368
0	0	1	1	1401	0.366

greater deal of information about the molecular structure when increasing, *e.g.*, the number of basis functions. As such, it appears that physical intuition alone might not suffice to guide the choice of the SOAP parameters – hence the need for an optimisation strategy such as the one offered by SOAP_GAS.

The results reported in Fig. 3 also allow us to draw some conclusions in terms of the reproducibility of the SOAP_GAS results. Namely, there are specific combinations of SOAP parameters that tend to feature much more prominently than others, as illustrated by the histograms in Fig. 3. Whilst it is perfectly possible for the SOAP_GAS to yield very different combinations of SOAP parameters that ultimately offer the

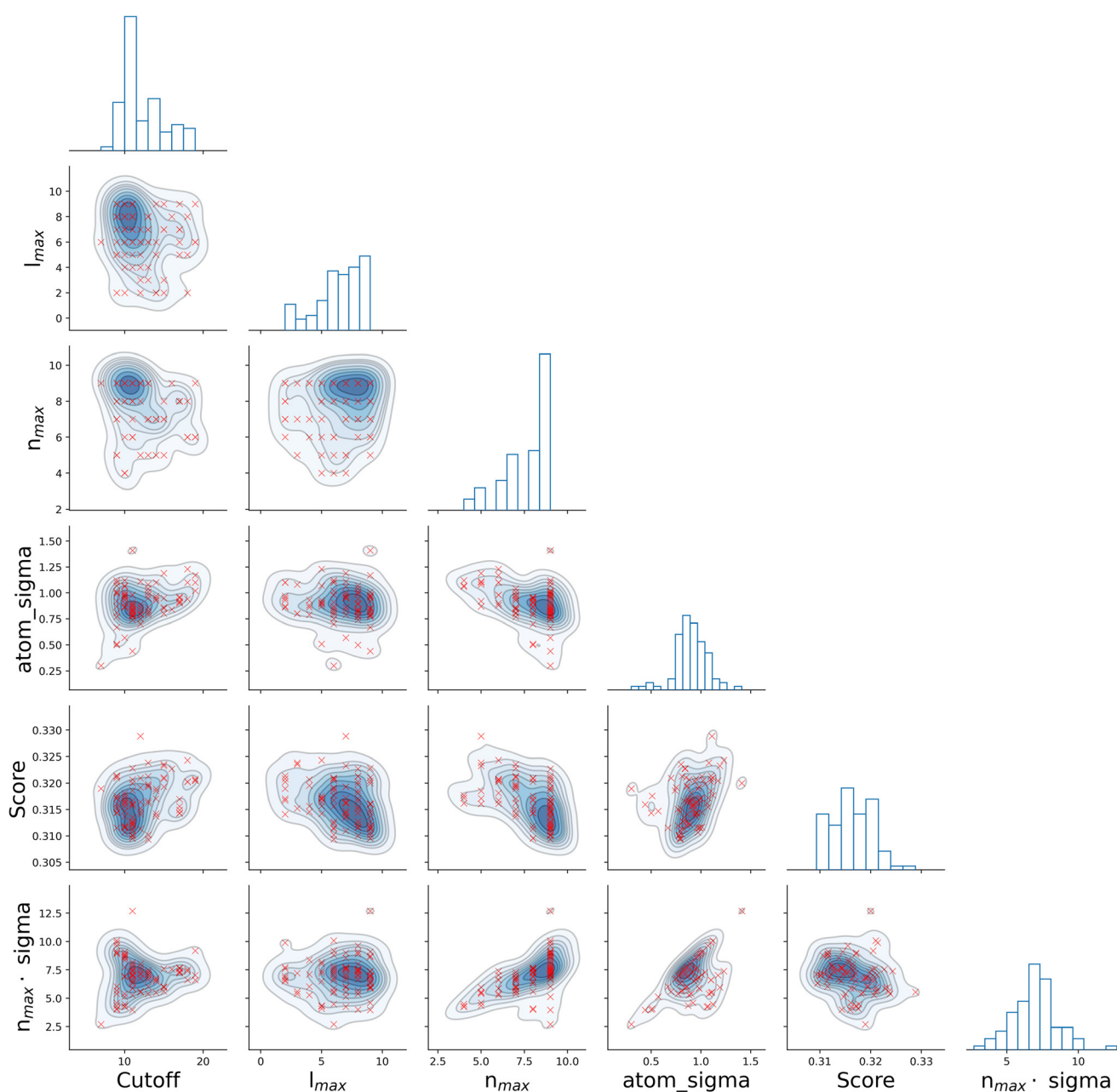


Fig. 3 There are no simple (cor)relations between the different SOAP parameters. Correlations between the SOAP parameters for the all-all SOAP, as well as the score. The scatter plots refer to the best set of SOAP parameters obtained for 96 statistically independent GA.



same accuracy, the $n_{\max} = 9$, $l_{\max} = 9$, $cutoff = 11$ and $atom_sigma = 0.8$ scenario appears to be consistent in improving the score for the all-all SOAP vector.

In order to validate the robustness of the results obtained via the SOAP_GAS framework, we have applied the latter to an additional dataset, namely the QM7b dataset.^{60,61} This is a relatively low-noise dataset that contains 7211 molecules and features 13 target properties – we have chosen to focus on polarizability. The results, summarised in the ESI,[†] confirm the efficiency of the SOAP_GAS framework in improving the performance of the SOAP descriptor (notwithstanding the size of the dataset) and provide further evidence for a positive correlation between accuracy and $n_{\max}$.

Given the results we have obtained for two different, entirely independent datasets, we argue that, at least for

molecular datasets containing 10^2 – 10^3 data points, the existence of a Pareto front in terms of the SOAP parameters is a possibility – albeit of course we have no way to ensure that this result would hold for other datasets as well.

The performance of SOAP_GAS itself might depend to an extent upon the choice of specific GA parameters. This aspect is investigated in the next section.

B. SOAP_GAS: performance tuning

As opposed to brute-force optimisation approaches such as grid searches, GA are characterised by a number of parameters that allow for the fine tuning of the algorithm. In this section, we explore the impact of these parameters on both the accuracy of the resulting SOAP descriptors as well as

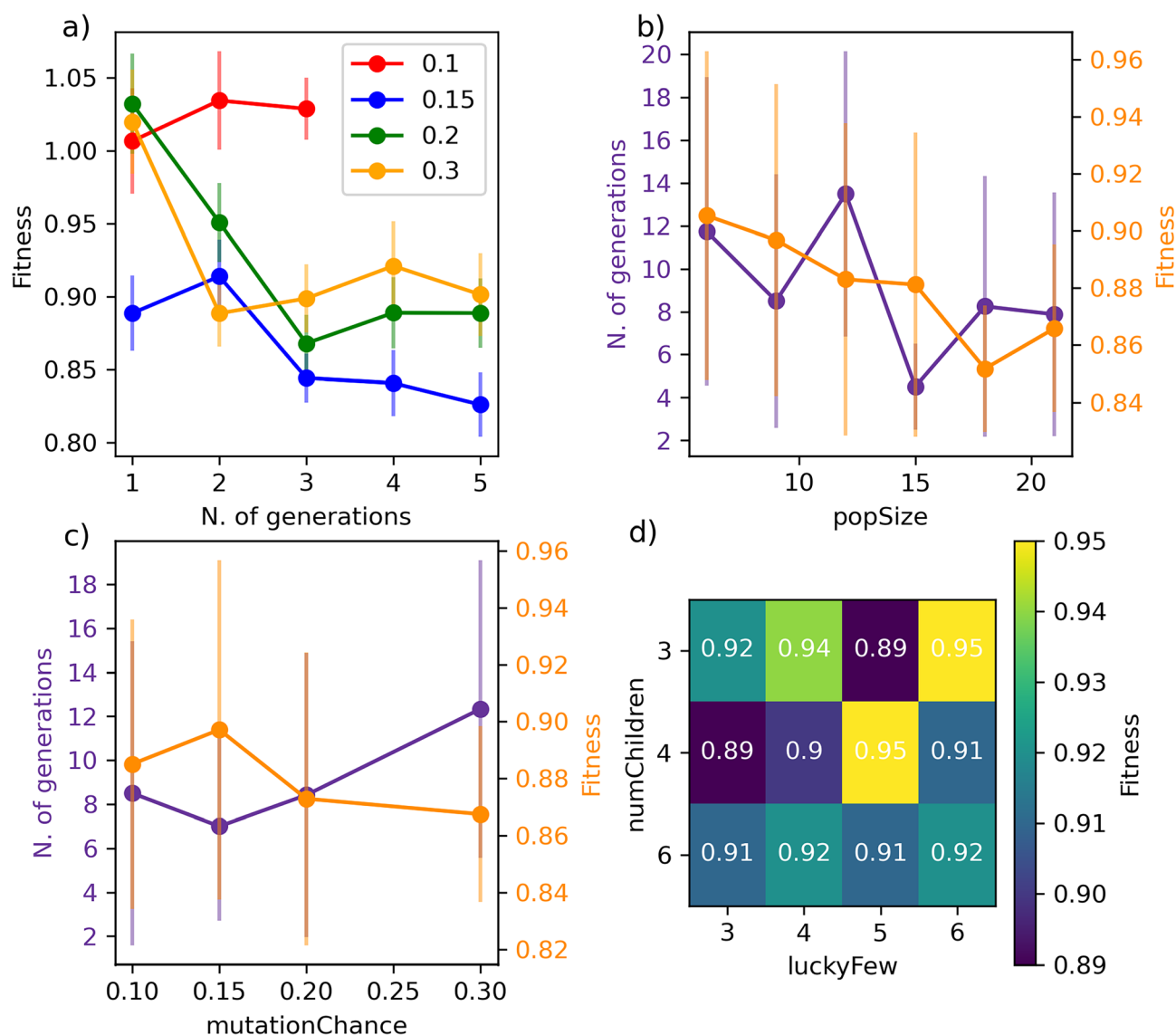


Fig. 4 SOAP_GAS offers a reliable performance notwithstanding the choice of parameters concerning the underlying GA. Impact of the different SOAP_GAS parameters on both accuracy (score) and computational cost (no. of generations). a) Learning curves for different mutation chances (0.1, 0.15, 0.2 and 0.3) for a specific GA. b) Impact of popSize. c) Impact of mutationChance. d) Impact of ratio between numChildren and luckyFew. The data in panels b)/c) and d) have been averaged over 48 and 96 statistically independent GAs respectively.



the computational time needed, on average, to converge the GA to a satisfactory accuracy. In particular, we have:

- The mutation chance, `mutationChance`.
- The population size, `popSize`.
- The early stopping criteria, `earlyStop` and `earlyNum`.
- The ratio between the number of children, `numChildren`, and the number of individuals, `luckyFew`, that are randomly selected as parents notwithstanding their score.

We begin with Fig. 4a), where we report the evolution of the score with the number of generations for a set of GA characterised by different `mutationChance`. It is clear that introducing a sufficient level of mutation is key for the GA to avoid getting stuck into a particular region of the search space (`mutationChance` = 0.1 in Fig. 4a)). Moving from a specific GA to the result reported in Fig. 4c), which has been averaged over 48 different GAs, we conclude that a `mutationChance` greater or equal to 0.20 introduces, for this specific dataset at least, a sufficient degree of flexibility into the GA. Broadly speaking, we envisage the occurrence of a compromise between accuracy and computational effort, as lower and higher values results in terms of `mutationChance` might result in inferior accuracy and substantially higher number of generations, respectively. However, it is important to note that – except for very low `mutationChance` – the extent of mutation has a relatively minor impact on the performance of SOAP_GAS in this case.

A similar compromise in terms of accuracy vs. computational effort can be observed when varying `popSize`, *i.e.* the size of the GA population. As illustrated in Fig. 4b), increasing `popSize` results in an improvement in terms of the score. As a larger population size allows the GA to explore the search space more effectively, the number of generations needed to converge SOAP_GAS tends to shrink as `popSize` increases. However, in terms of computational time we need to consider that the total number of machine learning models evaluated within the GA is equal to the number of generations multiplied by `popSize`. As such, increasing `popSize` appears to be a feasible strategy to improve the overall accuracy of the SOAP descriptors – bearing in mind the associated increase in computational effort.

Interestingly, we have found that the early stopping criteria (*i.e.* `earlyStop` and `earlyNum`) have a negligible impact on the performance of SOAP_GAS. This is encouraging, as it serves to highlight the robustness of the algorithm. On the other hand, the ratio between `numChildren` and `luckyFew` can have a significant impact as illustrated in the heat map reported in Fig. 4d). In principle, increasing the fraction of `luckyFew` relative to `numChildren` equates to increase the flexibility of the GA, by introducing an element of randomness that should be akin to the effect of the mutation process. However, we have found that this particular parameter behaves much more erratically than `mutationChance`, in that specific `numChildren/luckyFew` ratios seems to lead to fairly different accuracy. Further data relative to the impact of the GA parameters on the performance of SOAP_GAS can be found in the ESI.† Note

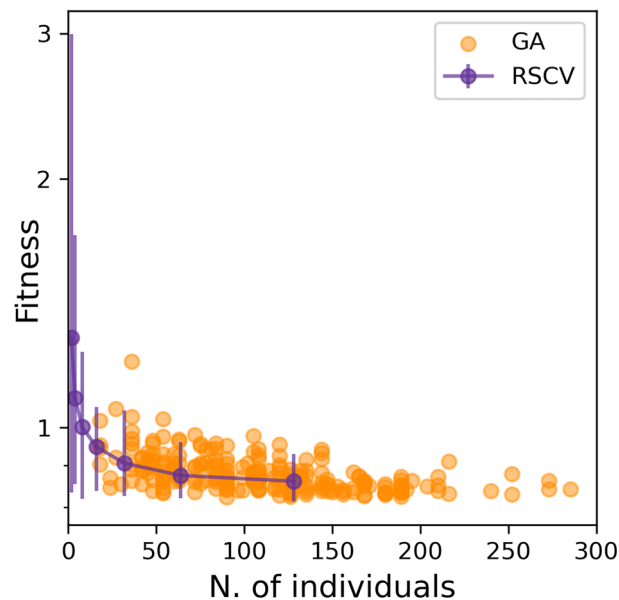


Fig. 5 The performance of SOAP_GAS is similar to that of a randomised grid search approach when dealing with the optimisation of a single SOAP descriptor. Accuracy (score) as a function of the number of individuals taken into account for either the RSCV (purple markers and line) and the SOAP_GAS algorithm (orange markers). The error bars relative to the RSCV data are min-max error bars.

that as many as 96 statistically independent GAs (*i.e.* started with different random combinations of SOAP parameters for each individual within the initial population) have been used to obtain the heat map in Fig. 4d). Thus, while the impact of this parameter is not drastic, different choices are certainly worth exploring.

In light of these findings, we can conclude that SOAP_GAS is rather robust with respect to the choice of the GA parameters.

C. SOAP_GAS timing & accuracy: comparison with grid search

Having established that SOAP_GAS provides robust results, notwithstanding the specific choice of the GA parameters discussed in the previous section, we can now attempt to make a comparison between SOAP_GAS and potentially the most straightforward alternative to optimising SOAP parameters, namely a randomised grid search (RSCV). The latter simply involves a trial-and-error procedure whereby a certain number of SOAPS characterised by randomly chosen SOAP parameters are evaluated and scored.

To offer a fair comparison, we have used the same search space for both RSCV and SOAP_GAS (see sec. IIIA). In terms of centres and neighbors, we have used C atoms exclusively, and we have not applied any compression. The results are summarised in Fig. 5, where we report the score for both RSCV and SOAP_GAS as a function of the number of individuals. In the case of SOAP_GAS, the number of individuals corresponds to the total number of SOAP descriptors evaluated across all the generations needed to



converge the algorithm. As the time needed to obtain the score for a given SOAP vector (which is the computational intensive step for both approaches) is exactly the same for either RSCV or SOAP_GAS, we can compare directly the interplay between accuracy and computational effort for the two methodologies. We have accumulated 84 and 96 statistically independent instances of RSCV and SOAP_GAS respectively to obtain a robust statistics. Whilst the SOAP_GAS results are reported as a scatter plot (as different GAs require different number of individuals to converge), the nature of the RSCV results allows us to assign (min–max, in this particular case) error bars to the accuracy corresponding to specific numbers of individuals.

The results for both RSCV and SOAP_GAS show a substantial degree of variability, thus strengthening the concept that multiple combinations of potentially even quite dissimilar SOAP parameters can provide similar results in terms of the accuracy of the SOAP vector. The number of individuals required to achieve a significant improvement in terms of accuracy is similar for RSCV and SOAP_GAS, albeit we found that in some cases SOAP_GAS manages to outperform the RSCV results, given the same number of

individuals. This suggests that, overall, SOAP_GAS provides a framework which is equally efficient to the RSCV approach, but with the potential to identify combinations of SOAP parameters which lead to greater accuracy.

D. Working with multiple SOAPS

We would expect the accuracy of multiple SOAPS optimised *at the same time* (Conc. in Table 4) to be higher than that of multiple SOAPS optimised individually and *subsequently* concatenated (Ind. in Table 4). This is indeed the case, as illustrated by the results summarised in Table 4. In particular, we have chosen to focus on 5 different combinations of the ten $\mathcal{X}$ -ten SOAP vectors (where $\mathcal{X}$ stand for one of the most abundant atomic species in the dataset, see Table 1) analysed in Table 2. The gains in terms of accuracy are small, but suggest that the “best” parameters for each SOAP vector in a concatenated descriptor does depend to some extent on whether the SOAP vector was considered in isolation. To strengthen this claim, it appears that the accuracy gains increase when considering longer

Table 4 SOAP_GAS improves on both the accuracy and the computational cost for combinations of SOAP descriptors, optimised concurrently as opposed to individually – and subsequently concatenated. Performance (score, MSE and PCC, see main text) of individually optimised (and subsequently concatenated) combinations of SOAP (Ind.) compared with that of concurrently optimised combinations of SOAP (Conc.). The “Gens.” columns report the number of generations needed to converge the SOAP_GAS algorithm. In the case of Ind., this number is the sum of the number of generations needed to converge the SOAP_GAS for each individual SOAP, whilst for Conc. this number is the average (taken over ten different runs) number of generations needed to converge the SOAP_GAS algorithm for the concurrent optimisation of the SOAP combinations. The “time” columns refer to the total time needed to converge the SOAP_GAS algorithm. In the case of Ind., this number is the sum of the time needed to converge the SOAP_GAS for each individual SOAP, whilst for Conc. this number is the average (taken over ten different runs) time needed to converge the SOAP_GAS algorithm for the concurrent optimisation of the SOAP combinations

	Performance					
	Ind.			Conc.		
	Score	Time (s)	Gens.	Score	Time (s)	Gens.
[C, H]	0.297	6061	8	0.291	1302	5.3
[C, H, O]	0.287	7201	11	0.29	1305	5
[C, H, O, Cl]	0.289	7966	14	0.281	1452	5.2
[C, H, O, Cl, N]	0.282	9019	17	0.271	1454	5.4
[Br, C, Cl, F, H, I, N, O, P, S]	0.283	12 121	32	0.268	3655	4

	MSE			
	Ind.		Conc.	
	Test	Train	Test	Train
[C, H]	1.144 ± 0.067	0.905 ± 0.014	1.14 ± 0.07	0.898 ± 0.013
[C, H, O]	1.138 ± 0.061	0.883 ± 0.011	1.141 ± 0.062	0.89 ± 0.011
[C, H, O, Cl]	1.139 ± 0.058	0.889 ± 0.01	1.165 ± 0.070	0.926 ± 0.013
[C, H, O, Cl, N]	1.125 ± 0.051	0.880 ± 0.011	1.105 ± 0.07	0.866 ± 0.011
[Br, C, Cl, F, H, I, N, O, P, S]	1.125 ± 0.051	0.88 ± 0.011	1.113 ± 0.067	0.872 ± 0.016

	PCC			
	Ind.		Conc.	
	Test	Train	Test	Train
[C, H]	0.883 ± 0.002	0.91 ± 0.001	0.884 ± 0.002	0.911 ± 0.001
[C, H, O]	0.884 ± 0.002	0.913 ± 0.001	0.883 ± 0.001	0.912 ± 0.001
[C, H, O, Cl]	0.883 ± 0.001	0.912 ± 0.001	0.885 ± 0.002	0.913 ± 0.001
[C, H, O, Cl, N]	0.885 ± 0.002	0.913 ± 0.001	0.888 ± 0.003	0.915 ± 0.001
[Br, C, Cl, F, H, I, N, O, P, S]	0.885 ± 0.002	0.913 ± 0.001	0.887 ± 0.003	0.915 ± 0.002



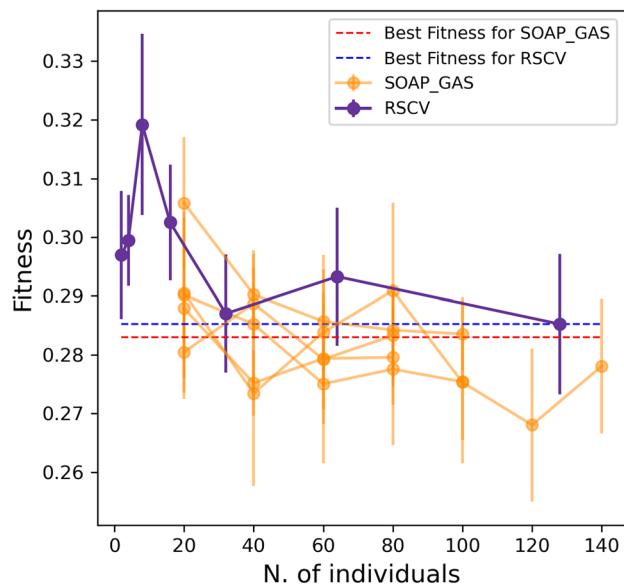


Fig. 6 SOAP_GAS outperforms the randomised grid search approach when dealing with the concurrent optimisation of multiple SOAP descriptors. Interplay between accuracy and timing (as in, no. of ML models generated) for the concurrent optimisation of multiple SOAPS at the same time, RSCV vs. GAs. The blue and red lines correspond to the best score of the same SOAP combinations where the different SOAP vectors have been optimised individually and subsequently concatenated. The performance of the SOAP_GAS algorithm was evaluated 5 times to ensure consistent results.

combinations of SOAP vectors – which in turn offer a larger parameter space to be optimised as a whole.

Importantly, the optimisation of multiple SOAP vectors at the same time is a situation where SOAP_GAS outperforms the RSCV approach, as illustrated in Fig. 6. Not only are the results of SOAP_GAS consistently more accurate than the RSCV ones, but it turns out that in this case the RSCV can barely manage to improve on the accuracy of the individual (concatenated) SOAP (obtained *via* RSCV), which corresponds to the blue, dashed line in Fig. 6. Conversely, SOAP_GAS pushes well below the red dashed line corresponding to the accuracy of the individual (concatenated) SOAP obtained *via* SOAP_GAS.

This finding is not entirely surprising, as when attempting to optimise multiple SOAPS at the same time *via* RSCV the number of grid points needed to converge increases massively. On the other hand, SOAP_GAS is inherently better equipped to explore the search space in a more clever fashion, steering the SOAP parameters toward different local minima without wasting time in probing regions of the search space that result in very low accuracy.

In addition, we have found that, when using SOAP_GAS, optimising multiple SOAP vectors at the same time is less computationally expensive than optimising each SOAP vector individually. This is illustrated in Table 4, where we compare the number of generations (“Gens.” column – or, equivalently, the time) needed for the SOAP_GAS to converge in these two distinct scenarios. Crucially, the more SOAP vectors we include, the larger the difference in terms of computational cost between individual and concurrent optimisations. For instance, a total of 32 generations are needed to converge the [Br, C, Cl, F, H, I, N, O, P, S] SOAP

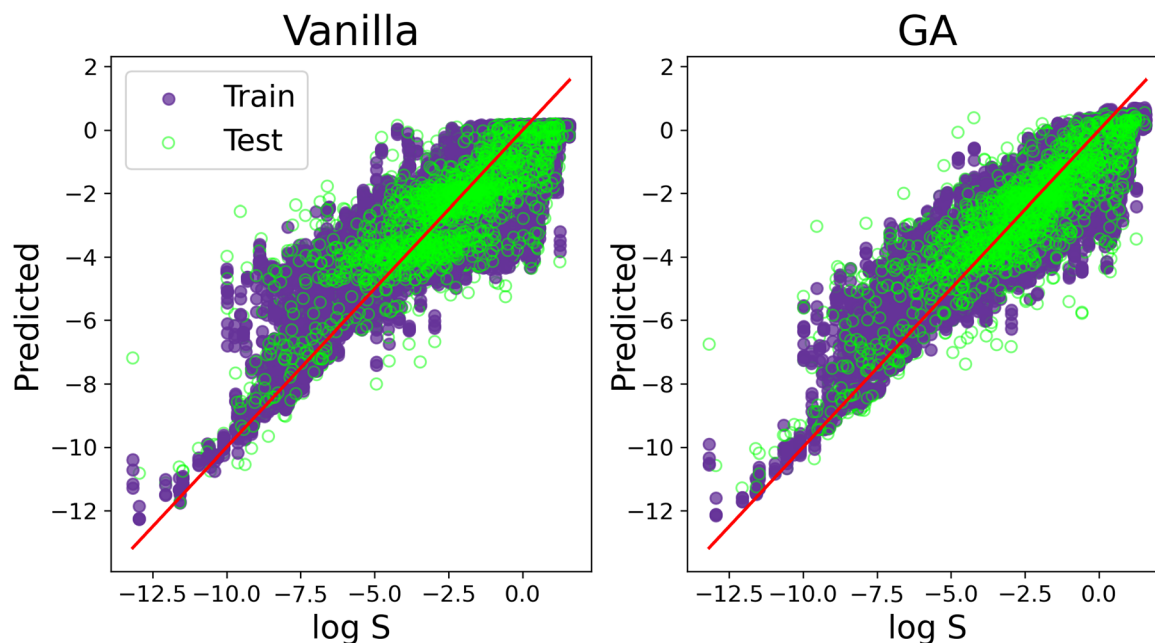


Fig. 7 A visual comparison of the accuracy improvement obtained via the SOAP_GAS algorithm applied to a specific SOAP descriptor. “Vanilla” refers to the results obtained without optimising the SOAP parameters, whilst “GA” refers to the results obtained by applying the SOAP_GAS algorithm. Purple and green markers correspond to train and test prediction (obtained over a 5-fold cross-validation). The results refer to the C-ten SOAP, with compression: $\mu = 0$, $\hat{\mu} = 1$, $\nu = 1$ and $\hat{\nu} = 0$. The SOAP parameters for the vanilla results are: $cutoff = 5$, $l_{max} = 6$, $n_{max} = 12$ and $atom_sigma = 0.5$, which upon optimisation (GA) changed to $cutoff = 12$, $l_{max} = 8$, $n_{max} = 5$ and $atom_sigma = 1.1$.



vectors individually, whilst only 4 generations are – on average – required to converge this combination of SOAP vectors concurrently. Note that the same number of individuals per generation has been used to craft this comparison. This is important as it demonstrates that the SOAP_GAS framework can be used to efficiently optimise combinations of different SOAP vectors at the same time, which, as we have seen, also typically leads to further (if rather small) gains in terms of the overall accuracy of the descriptor as well.

We conclude our discussion by offering a visual comparison of the improvement, in terms of accuracy, obtained by applying SOAP_GAS to this particular dataset. The result in Fig. 7 have been obtained with the C-ten SOAP, $\mu = 0$, $\hat{\mu} = 1$, $\nu = 1$ and $\hat{\nu} = 0$ compression. The initial SOAP parameters were $cutoff = 8$, $l_{max} = 6$, $n_{max} = 2$ and $atom_sigma = 0.5$, which upon optimisation changed to $cutoff = 12$, $l_{max} = 8$, $n_{max} = 5$ and $atom_sigma = 1.1$. The MSE(PCC) for the test set improved from 1.515(0.839) to 1.209(0.875).

Solubility measurements found in literature are usually characterised by experimental uncertainties of the order of ± 0.5 – $0.7 \log S$.⁶² On account of that, ML models for predicting solubility having MSEs (for the test set) in the range of 0.5–1.2 are generally considered to be good.⁵¹ Additionally, recent solubility models leveraging ML algorithms, including random forest regression, have produced PCCs (again, for the test set) in the range of 0.81–0.95.^{14,15,48,63} As shown in Table 4, the SOAP_GAS solubility model resulted in MSE and PCC values that lie in those ranges for both the training and test sets. Although our model MSE values may fall in the upper range of what is favourable, we highlight that most of the models cited used comparatively much smaller test sets (less than 100 molecules, compared with ~2000 in our case) than the ones we have considered in this work.

IV. Conclusions

The SOAP vector has been gaining popularity in the context of machine learning for physical chemistry applications as a general-purpose descriptor to extract information from a variety of systems – ranging from small drug-like molecules to semiconducting alloys. Aside from the key consideration involving the choice of which atomic species to use in order to describe the relevant local atomic environments, four parameters need to be chosen when building any given SOAP vector.

In this work, we demonstrate that this choice is not trivial, and cannot be guided entirely by physical intuition. To address this issue, we have developed SOAP_GAS, a computational framework based on genetic algorithms that offers a reliable alternative to *e.g.* the grid search approaches commonly used to identify well-performing combinations of SOAP parameters.

SOAP_GAS is freely available on GitHub at https://github.com/gcsosso/SOAP_GAS.git, and leverages the recent developments described in ref. 26 to enable the efficient description of heterogeneous datasets containing different

molecules characterised by different number of atoms and different atomic species, as either isolated molecules or within condensed phases. It also uses the compression options described in ref. 46 to minimise the computational cost needed to deal with large datasets. SOAP_GAS offers full control over the flexibility of the underlying GA and can benefit from parallel computing as well.

We have chosen to explore the capabilities of SOAP_GAS by focusing on the prototypical machine learning-based challenge of predicting the solubility of a relatively small (~6000) dataset small drug-like molecules. We reiterate that this work does not aim to further the state-of-the-art in terms of this specific application, but rather we seek to showcase the capabilities of the SOAP_GAS algorithm when deployed in the context of a fairly standard ML problem for drug design and discovery. We show that SOAP_GAS consistently improves the accuracy of a given non-optimised SOAP vector which parameters have been taken “off-the-shelf”. An analysis of the reproducibility of our results revealed that multiple combinations of very different SOAP parameters can yield equally accurate predictions, thus highlighting the need for a robust optimisation strategy as opposed to relying on physical intuition when it comes to the choice of the SOAP parameters. In addition, we found only very weak correlations between the SOAP parameters. This was unexpected in that one might intuitively think that expanding the spatial extent of the atomic environment under consideration would necessitate an increase in the number of angular, but even more so radial basis functions utilised to specify the SOAP vector of interest. However, this is not the case, and in fact simply utilising longer cutoffs does not result in improved accuracy.

The SOAP_GAS algorithm itself relies on the specification of a few parameters, aimed at tailoring the flexibility of the underlying GA. We have explored the impact of the different choices in terms of said parameters, and found SOAP_GAS to offer rather robust results notwithstanding the specific choice of GA parameters. Of these, the mutation chance is perhaps the most prominent one, in that it has to be sufficiently high for the GA to manage to escape local minima of the search space.

We have also compared the efficiency of SOAP_GAS to that of the customarily used randomised grid search (RSCV) approach, particularly in terms of the interplay between computational effort and resulting SOAP accuracy. Overall, RSCV and SOAP_GAS offer similar performance, with the latter yielding marginally better results. However, SOAP_GAS consistently outperforms the RSCV when dealing with the concurrent optimisation of multiple SOAP vectors at the same time – a scenario that does lead, in the case of SOAP_GAS, to further (if on average rather small) improvements in terms of accuracy. This result is not entirely unexpected, in that the number of grid points for the RSCV substantially increase when dealing with multiple SOAP vectors, whilst the SOAP_GAS algorithm is inherently designed to avoid non-relevant region of the search space to converge onto the well-



performing combinations of SOAP parameters. Importantly, we found that optimising multiple combinations of SOAP vectors at the same time *via* the SOAP_GAS algorithm not only leads to further improvements in terms of accuracy, but it is also more computationally efficient than optimising each SOAP vector individually.

In the future, it would be interesting to expand the SOAP_GAS framework to include other descriptors requiring parameter optimisation. For the time being, we hope that this simple tool might facilitate the usage of the SOAP descriptor for machine learning applications in physical chemistry, and we are looking forward to exploring the capabilities of the algorithm when applied to more challenging datasets/problems in, *e.g.*, the realm of drug design and discovery.

Data availability statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Conflicts of interest

A. P. B. is listed as an inventor on a patent filed by Cambridge Enterprise Ltd. related to SOAP and GAP (US patent 8843509, filed on 5 June 2009 and published on 23 September 2014).

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