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Toward real-world applications: promoting fast and efficient photoswitching in the solid state

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Photoresponsive materials show the superiorities to be controlled by light in a non-contact, efficient and precise manner, which are therefore attractive in the fields of therapy, pharmacology, semiconductor engineering and nanorobot. However, the isomerization of photoresponsive molecules in the solid state is always hindered, because their planar and conjugated nature results in intermolecular aggregation. This problem becomes the key challenge blocking further development of photoresponsive materials toward real-world applications. In this perspective, we discussed the potential of various photoresponsive molecules (e.g. azobenzene, spiropyran, diarylethene, donor–acceptor Stenhouse adduct) to switch in the solid state by analyzing the molecular structure and isomerization process. Moreover, recent strategies and directions in promoting fast and efficient isomerization of photoresponsive molecules in the solid state are highlighted.

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1. Introduction

Light, as an elegant external stimulus, has been extensively investigated in controlling chemical reactions,¹ biological processes² and materials functions³ in the past century. Compared with stimuli, including temperature, pH, electric field, magnetic field, gas and vapor, light exhibits unique advantages from two aspects: (a) the irradiation time and light spot are precisely controllable and (b) non-contact control could be realized. Many important properties of photoresponsive materials could be reversibly switched by light, such as hydrophilic

properties (hydrophilicity and oleophilicity),⁴ optical properties (color, refractive index and transmittance),⁵ mechanical properties (modulus, hardness and viscoelasticity),⁶ chemical properties (solubility and polarity)⁷ and electrical properties (conductivity and dielectric properties)⁸ (Fig. 1). Therefore, photoresponsive materials are attractive in the fields of biomedicine,⁹ phototherapy,¹⁰ actuator,¹¹ chip manufacturing,¹² information storage,^{13,14} aerospace,¹⁵ security¹⁶ and microfluidic technology.¹⁷

The light-controllable switching of materials' properties originate from the isomerization of photoresponsive molecules. Conventional photoresponsive compounds exhibit fast, reversible and efficient isomerization in solution, which is always restricted in the solid state due to π - π stacking of the chromophores and mobility confinement in the dense crystalline packing manner.¹⁸ For instance, azobenzene, as the most widely investigated

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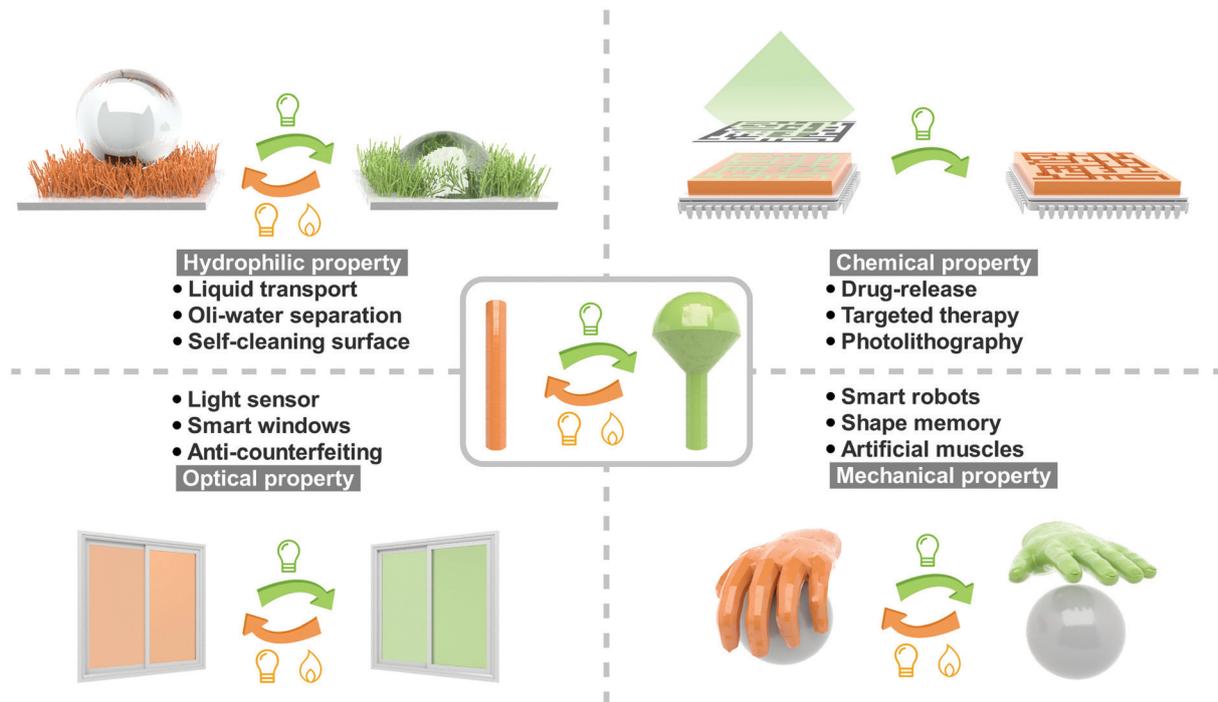


Fig. 1 Schematic illustration of the applications of photoresponsive materials.

photoswitch, undergoes efficient isomerization between *trans* and *cis* in solutions, which is usually hindered in the solid state by the H- (parallel interaction of the chromophores) or J-aggregation (head to tail arrangement of the chromophores).¹⁹ This undoubtedly limits the developments and applications of solid-state photoresponsive materials: on the one hand, the slow photoisomerization in the solid state is not conducive to the fast response demand; on the other hand, the long-time irradiation with high intensity may cause the bleaching of photoresponsive molecules. Therefore, seeking to improve the photoisomerization properties in the solid state is important and urgent.

Generally, the isomerization properties of photoswitches in the solid state are closely interrelated to the intrinsic and extrinsic aspects. The intrinsic relationship is contributed by the inherent chemical structure and isomerization mechanism of photoresponsive molecules. The extrinsic reason comes from the surrounded environment, which improves the molecular distribution and avoids intermolecular aggregation. Providing enough free space for isomerization is the philosophy to fabricate solid-state photoresponsive materials with fast and efficient photoresponsiveness. From this perspective, we discuss the potential of various photoresponsive molecules to be switched in the solid state by analyzing the molecular structure and isomerization process. Recent progress in the development of solid-state photoresponsive materials is highlighted.

2. Photoresponsive molecules and isomerization models

Photoresponsive molecules are normally switched between two photostationary states (PSS), by controlling light irradiation.

The chemical structure changes through a series of bond rotation and dissociation/formation during the isomerization process, which switches the optical, electronic and geometrical properties of the photoresponsive molecules. The chemical differences between PSS could be identified by characteristic methods such as ultraviolet-visible (UV-Vis) absorption spectroscopy and nuclear magnetic resonance (NMR) spectroscopy. The chemical structure variation is closely interrelated to their isomerization properties in the solid state due to the limited intrinsic mobility. Therefore, photoresponsive molecules with various isomerization models were introduced in this section to discuss their potential in fabricating solid-state photoresponsive materials.

2.1 *trans-cis* isomerization

Sometimes, *trans-cis* isomerism is also referred to as *E-Z* (*E: Entgegen; Z: Zusammen* in German), configurational and geometrical isomerism. The two isomers show the same chemical formula but different configurations. Generally, the substituent groups of *cis* diastereomer face the same orientation of double bond, which faces the opposite in *trans*. The *trans-cis* isomerization results in the variation of planarity, polarity and conjugation property of photoresponsive molecules.^{19,20}

2.1.1 Azobenzene (Azo). Azo consists of two phenyl groups at the end of diazene (Fig. 2). Typical Azo in *trans* is pale yellow and shows a planar and conjugated chemical structure (could be changed by substitution on phenyl), which isomerizes to reddish-brown *cis* through four possible pathways (*i.e.* inversion, rotation, inversion-assisted rotation and concerted inversion). For the rotation theory, N=N transforms into the rotation-free N-N first, followed by the rotation of the C-N-

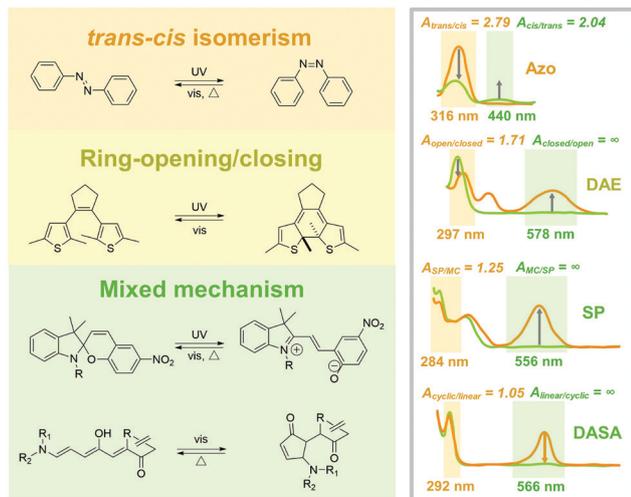


Fig. 2 Schematic illustration of chemical structure (left) and absorbance spectra (right) of the PSS of photoresponsive molecules (from top to bottom): azobenzene (Azo), dithienylethene (DAE), spiroopyran (SP) and donor-acceptor Stenhouse adduct (DASA).

N-C dihedral angle.²¹ The process is quite different in the inversion theory, where the N=N remains, but the C-N=N straightens to 180°, generating a linear transition state.²² Under UV light irradiation. The planarity and conjugation of *cis* Azo are relatively lower than *trans* because the steric effect of phenyl group results in torsion of diazene. The reversed *cis*-to-*trans* isomerization occurs under the treatment by visible light or heat. The isomerization between *trans* and *cis* reversibly switches the molecular absorbance, geometrical size and polarity.¹⁹

Typical *trans* Azo exhibits a π - π^* transition band in UV light and n - π^* absorption in the visible light region. The *trans*-to-*cis* isomerization sharply decreases the π - π^* while slightly increasing the n - π^* bands. During the photoisomerization process, light irradiation induces electron transition for both isomers and generates an unstable transient state, which further switches to the photostationary state by thermal relaxation. Therefore, the forward and backward isomerizations are always in competition. Studying the difference between the absorbance value at the induced light wavelength of *trans* and *cis* Azo ($A_{trans/cis}$ and $A_{cis/trans}$) is a quick method to determine the tendency of isomerization. Azo exhibits the $A_{trans/cis} = 2.79$ (316 nm) and $A_{cis/trans} = 2.04$ (440 nm) in good solvents, indicating that *trans*-to-*cis* and *cis*-to-*trans* isomerization could be effectively induced by UV light and visible light irradiation (Fig. 2).²⁰

However, when applied in a solid, Azo meets some critical problems limiting the photoisomerization: (1) the planarity and conjugated nature of *trans* Azo contribute to a strong intermolecular π - π interaction, which hinders the necessary molecular mobility for isomerization; (2) isomerization between *trans* and *cis* induces large conformational changes, while a free volume of around 120-250 Å is needed,²³ therefore, the isomerization is easy to be confined in the packed solids. To

address this problem, functional groups could be introduced onto the ortho-position of phenyl, which lowers the planarity of *trans* Azo and, therefore, relieves the intermolecular aggregation in solids.²⁰

Meanwhile, recent studies have been extended to the derivatives of Azo, such as arylazoisoxazole,^{24,25} azoheteroarene,²⁶ azopyrimidine,²⁷ and diaryl hydrazones.^{28,29} For example, in azoheteroarene, one of the benzene rings was replaced with azoarenes, enabling the possible formation of intramolecular hydrogen bonds, which exhibited high stability of *cis* isomer, solid-state photochromism, and reversible light-induced phase transition.²⁶

2.2 Ring-opening/closing isomerism

Ring-opening/closing isomerism originates from the dissociation and formation of chemical bonds in photoresponsive molecules. The ring-opening and -closing during the isomerization often generates an obvious variation of conjugation for the entire molecules, which sharply switches the color and absorbance.

2.2.1 Diarylethene (DAE). A typical isomerization of DAE between open and closed is reversibly triggered under UV and visible light irradiation (Fig. 2). UV light irradiation (often with a wavelength < 300 nm) induces the formation of a C-C σ bond between the thiophene rings, resulting in the formation of a six-member ring. The open-to-closed photoisomerization extends the conjugated structure, and the π -conjugation on thiophene rings delocalizes to contribute to the sharply increased absorbance in the visible light region, which further triggers the coloration of DAE. The closed-to-open isomerization is triggered under visible light irradiation.³⁰⁻³⁵

Due to the varied conjugation, a superhigh $A_{closed/open} = \infty$ (578 nm) is calculated for DAE, indicating the high efficiency of backward photoisomerization induced by visible light. Moreover, compared with that of Azo, slight conformation changes exist during the isomerization (1.1 Å on horizon and 0.7 Å on vertical).^{30,31} Therefore, DAE is attractive to be applied in solid-state photoresponsive materials.

2.3 Mixed mechanisms

Different from Azo and DAE, many photoresponsive molecules exhibit a multi-stage photoswitching process, where the isomerism of *trans*-*cis*, ring-opening/closing, and bond-forming/breaking are included. The isomerization procedure based on the mixed mechanism is always complex and involves plenty of intermediates and transient states. In this case, photoresponsive molecules usually require a relatively larger free volume for photoisomerization. Fortunately, due to multiple intermediates, the isomerization property of the photoswitches is closely interrelated to the surrounding physicochemical environment, which has potential to be well-controlled by carefully adjusting the specific transition step.

2.3.1 Spiroopyran (SP). A typical SP in spirocyclic (SC) includes two aromatic heterocycles connected by an sp^3 hybridized carbon atom, therefore exhibiting an orthogonal chemical structure (Fig. 2). Essentially, the electronic transition

of the SC SP occurs in the two aromatic systems separately, which contributes to the weak absorption in the visible light region, and the SC SP is thus colorless. UV light irradiation generates an SP in merocyanine (MC) with an extended conjugated structure. The SC-to-MC photoisomerization induces a sharp increase in the absorbance in visible light, which triggers a colorless-to-colored switching. On the other hand, the reversed MC-to-SC isomerization could be controlled by visible light irradiation or heat.³⁶

SP shows the conformation in SC under dark with lower ground state energy. The SC-to-MC isomerization is a sequential process of bond dissociation, molecular rearrangement and electron configuration. Briefly, UV light irradiation induces the cleavage of the C_{spiro}-O bond and follows the rotation of the central C-C bond, which finally isomerizes to the MC state. The isomerization between SC and MC reversibly switches the geometric size and polarity of SP.³⁷

Similar to that of DAE, SP exhibits a high $A_{MC/SC} = \infty$ (556 nm). Therefore, the MC-to-SC isomerization could be effectively triggered by visible light, resulting in a fast and efficient negative photochromism. However, the obvious conformation changes during the isomerization process might limit the applications of SP in solid-state photoresponsive materials. Especially, the forward SC-to-MC photoisomerization with relatively lower $A_{SC/MC} = 1.25$ (284 nm) is possible to be limited in solid.³⁸ In this case, increasing irradiation time or intensity might cause photobleaching of SP, which does not benefit the requirement of durability.

2.3.2 Donor-acceptor Stenhouse adduct (DASA). DASA is a series of novel photoresponsive molecules that have gained extensive investigation since it was first introduced by Read de Alaniz and coworkers in 2014.^{39,40} The name of DASA comes from the fact that John Stenhouse found a strongly colored cyano-dye that could be formed by opening the ring of furfural with aniline or proton-acid.⁴¹ The chemical structure of DASA includes three parts: electron-donating and -withdrawing moieties, and a triene π -bridge located in between (Fig. 2). DASA in linear exhibits sharp absorbance ($n-\pi^*$ transition) in the visible light region due to the conjugated structure, making linear DASA strongly colored. DASA shows linear-to-cyclic isomerization under visible light irradiation, which induces the decomposition of the triene π -bridge and generates a colored-to-colorless switching. The reversed cyclic-to-linear isomerization occurs under heat.⁴²

Different from SP, linear DASA is a thermally stable isomer, whereas the forward isomerization is triggered by visible light (UV light for SP). 15 intermediates are involved in the isomerization process between linear and cyclic DASA.⁴³ Briefly, visible light triggers the *trans-cis* isomerization of C=C on the triene π -bridge, followed by the formation of the five-membered ring through a 4π -electrocyclic conrotatory rearrangement, which finally isomerizes to the cyclic form *via* tautomerization. The formation of the five-membered ring is a critical step in the isomerization process. This step is under the assistance of the intramolecular proton transfer from hydroxyl (triene π -bridge) to carbonyl (electron-withdrawing moiety).⁴⁴⁻⁴⁶

Compared with the other photoswitches, DASA exhibits the advantage that the forward isomerization is under control of the visible light. Moreover, the superhigh $A_{\text{linear/cyclic}} = \infty$ (566 nm) indicates that linear-to-cyclic isomerization could be effectively induced by visible light.⁴⁷ However, the backward isomerization of DASA only occurs under heat, making it difficult to design photoresponsive materials fully controllable by light. Although the conformation change of DASA during the isomerization process is significant; the photoisomerization properties still have potential for improvement by catalyzing the critical step, such as by the intramolecular proton transfer.

3. Strategy to improve photoisomerization in solids

Isomerization of photoresponsive molecules requires a certain amount of free space, which is limited in solid-state materials (Fig. 3). Therefore, the philosophy to achieve fast and efficient photoswitching in the solid state is to improve the molecular distribution and supply the necessary spatial mobility.

The molecular distribution could be improved either through intrinsic or extrinsic strategies. Intrinsic methods include the selection and chemical modification of photoresponsive molecules. Functional groups and spacers are

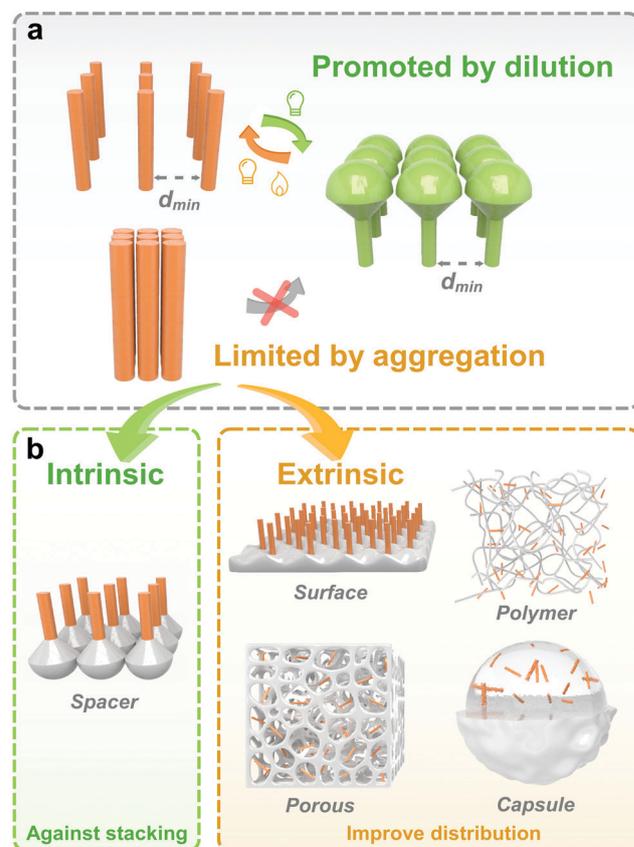


Fig. 3 (a) Schematic illustration of the isomerization of photoresponsive molecules in diluted and packed distributing states; (b) intrinsic and extrinsic strategies to improve photoisomerization in the solid state.

introduced to improve molecular mobility. For the extrinsic methods, a second phase is often introduced to act as a “solvent-like” phase, which prevents intermolecular aggregation and promotes photoisomerization.

3.1 Intrinsic methods: introducing functional groups and spacers

For the photoresponsive molecules with a significant conformation change during the isomerization process, introducing functional groups and spacers helps the distribution of the photoswitches in the solid state and decreases intermolecular aggregation (Fig. 4). The introduction of bulky groups changes the planarity of photoswitches (*i.e.*, Azo), which weakens the π - π stacking in the solid, making the isomerization free to occur.²⁰ Moreover, the grafting of long and soft alkyl chains increases the distance between the photoresponsive molecules in the solid state.⁴⁸

Generally, the planarity and conjugated structure of *trans* Azo induces intermolecular aggregation in the solid state and lowers the molecular energy of *trans* isomer, which hinders the *trans*-to-*cis* isomerization. Woolley and coworkers reported substituting methoxyl groups at the tetra-ortho-position of Azo (mAzo), which distorts the conjugated structure of *trans* isomer, generating a certain dihedral angle between the aromatic rings. The mAzo, therefore, exhibits weaker π - π stacking in solid.²⁰ Meanwhile, substitution by halogen (*i.e.* F and Cl) reported by Hecht and coworkers showed similar results. Moreover, the ortho-substitution of functional groups obviously red-shifts the absorbance spectra and results in a separation of the n - π^* transition band between *trans* and *cis* isomers. Therefore,

the *trans*-to-*cis* isomerization could be induced by long-wavelength-light (*i.e.* visible and near-infrared light), which benefits the durability of solid-state photoresponsive materials.⁴⁹ Wu and coworkers reported applying mAzo in photoresponsive polymeric materials (which will be discussed in Section 3.4).⁵⁰ A similar modification strategy also works for azopyrimidine, a derivative of Azo where phenyl is replaced by pyrimidine. The introduced methoxyl lowers the melting point of azopyrimidines, ensuring partial *trans*-to-*cis* isomerization in the solid state under the control of UV light irradiation.²⁷

Introducing soft and non-planar groups with large geometrical sizes could effectively eliminate intermolecular compaction in solids. One of the most widely applied spacers is the alkyl chain. Wu and coworkers reported grafting flanking alkyl chains with different lengths ($n = 4, 8$ and 12) onto the nitrogen of the indole ring to prepare a T-type SP, which exhibits fast and efficient photochromism in solids. The photoisomerization of the T-type SP could be precisely controlled by introducing a halide at the end of the alkyl substitute. The intermolecular electron-donating effect formed between the halide and the cationic nitrogen of the indole ring, stabilizes the MC isomer in solid.⁴⁸ In addition, tetraphenylethene (TPE) has been introduced into photoresponsive molecules for providing necessary free space as well as achieving aggregation-induced emission (AIE) property. Tian and coworkers functionalized TPE to the indole nitrogen of SP through a flexible covalent ester chain. The twisted TPE offers enough molecular mobility for the isomerization of SP in solids.⁵¹ They also reported fabricating a solid-state SP photoswitch with light-controllable fluorescence switching between three colors.⁵² Similar approaches are also applied for prompting the isomerization of naphthopyran and fulgide in the solid state.^{53,54}

Supramolecular host-guest complex with high flexibility but a relatively stable chemical structure could provide sufficient intrinsic mobility for photoresponsive molecules. Lin and coworkers fabricated a series of photoresponsive rotaxanes using SP as one of the stoppers of the axle. Fast SC-to-MC isomerization of SP occurs in the solid state when the macrocyclic molecule exists on the axle. With the introduced TPE in the macrocyclic molecule, reversible fluorescence switching controlled by UV and visible light irradiation was realized in the solid powder form.⁵⁵

3.1.2 Perspectives. As a strategy to improve the molecular distribution in the solid state without extrinsic help, introducing functional groups and spacers is attractive in fabricating “pure” solid-state photoresponsive materials because no second phase is needed to be introduced. However, several challenges are still waiting to be overcome,

(1) Further improving the kinetics of photoisomerization in solid. Modified with a bulky spacer or placeholder group enables photoswitches' free volume to isomerize. However, the intermolecular aggregation is not completely prevented in solids, and the photoswitches still need to overcome the interaction to reach another photostationary state. Therefore, the kinetics are not as high as that in the solution. Introducing the well-controlled supramolecular system might be applicable

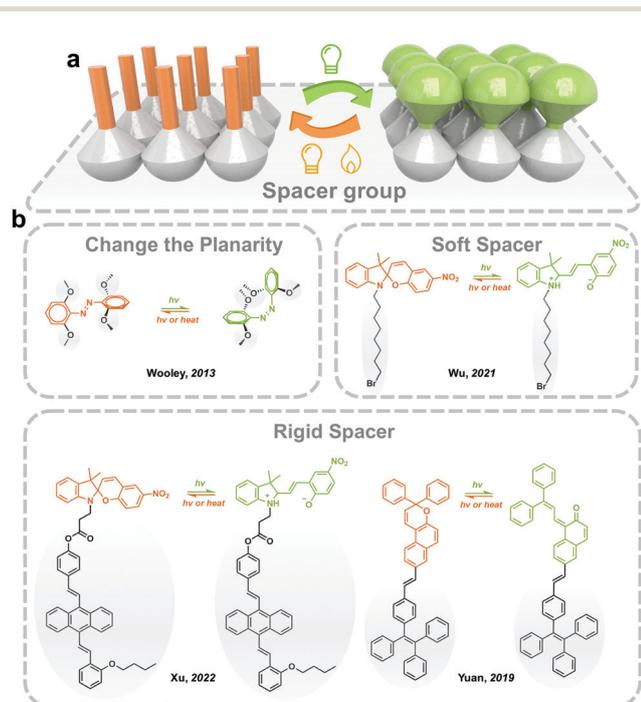


Fig. 4 (a) Schematic illustration of the strategy to modify photoswitches with spacer group; (b) schematic illustration of examples of reported spacer groups facilitating photoisomerization in the solid state.

to further improve the flexibility of the surrounding aggregation, while the combined model of photoswitches and supra-molecules needs to be carefully studied and simulated.

(2) Morphology collapses during repeated isomerization. In crystalline photoresponsive materials, notable morphology change on the crystal surface could be observed by double-probe atomic force microscopy after repeated photoisomerization.⁵⁶ This phenomenon happens even for photoresponsive molecules with slight conformation changes (*i.e.* DAE), which will be more obvious for the photoswitches with significant conformation changes (*i.e.* azopyrimidine derivative).²⁷ This problem might contribute to uncertainties in practical applications, which is difficult to be solved without the assistance of a fixed framework.

3.2 Extrinsic methods: assembling a monolayer on the surface

The formation of a monolayer on the surface helps the dispersion of photoresponsive molecules on the x - y plane while preventing the stacking at the z -axis, which provides enough free space for photoisomerization (Fig. 5). Moreover, the molecular density on the x - y plane could be precisely controlled by applying spacer molecules. The photoresponsive molecules are introduced on the surface of the substrate either by chemical anchoring or physisorption. Surfaces with various chemical

environments, including glass⁵⁷ and silicon wafers,⁵⁸ metal⁵⁹ and metallic oxide,⁶⁰ polymers⁶¹ and two-dimensional materials⁶² have been widely used as the substrates to reveal the isomerization property of photoswitches. Meanwhile, the hydrophilicity, optical property⁶³ and conductivity⁶⁴ of the surface could be controlled by light.

3.2.1 Chemical anchoring. Functional groups on the surface are important for the introduction of photoresponsive molecules. Metal⁶⁵ or non-metal oxides, including SiO_2 ,⁵⁷ Al_2O_3 and CeO_2 ⁶⁰ have been used as substrates for anchoring and depositing photoswitches, because of the abundant hydroxyl on the surface. For example, Ravoo and coworkers reported introducing a monolayer of arylazopyrazole onto the SiO_2 surface through the reaction of silane.⁵⁷ Silane with the functional groups of alkenyl, alkynyl, amino and isocyanate could be commercially obtained, which are used for structures extending through clicking and condensation. In Ravoo's work, the water contact angle was controlled between 82.3° and 75.7° under UV and visible light irradiation due to the isomerization of arylazopyrazole between *trans* and *cis*.⁵⁷ On the other hand, Braunschweig and coworkers successfully fabricated an arylazopyrazole monolayer on the surface of $\alpha\text{-Al}_2\text{O}_3$ through a reaction with phosphonic acid.⁶⁶

Gold as a chemically inert metal shows a stable surface, which is attractive for the immobilization of photoswitches. Molecules with functional groups, including thiol and carboxyl are used as the linkers between the gold surface and photoresponsive molecules. Zenobi and coworkers introduced a series of hydrazone monolayers onto the gold surface by varying the lengths of the thiolate linkers. The *trans*-*cis* photoisomerization of hydrazone improves with the increasing carbon spacer length, which saturates after the spacer length reaches a certain value.⁶⁷ This indicates that the interaction between the surface and photoresponsive molecules closely affects the isomerization property.

As discussed above, silane is reactive with a surface abundant in hydroxyl groups, and thiol groups work on the gold surface. A general method for introducing a monolayer of photoresponsive molecules involves fabricating an adhesive layer with functional groups on the substrate's surface. Polydopamine (PDA) exhibits strong adhesiveness to most surfaces, including chemically inert materials such as polytetrafluoroethylene and polydimethylsiloxane (PDMS).^{68,69} The formation of PDA generated amino groups on the surface, which could be used for the immobilization of photoswitches.⁷⁰ Wang and coworkers reported fabricating a PDA layer on the surface of silica nanoparticles, followed by grafting DASA through the reaction with intermediates. DASA exhibits obviously improved photoisomerization between linear and cyclic molecules compared with those in thin films.⁷¹ However, due to its dark brown nature, the optical switching on the PDA-coated surface is not significant.

The aggregation of photoresponsive molecules on the z -axis is solved by forming a monolayer on the surface. However, the molecular distribution on the x - y plane also plays an important role in the photoisomerization on the surface. Without the

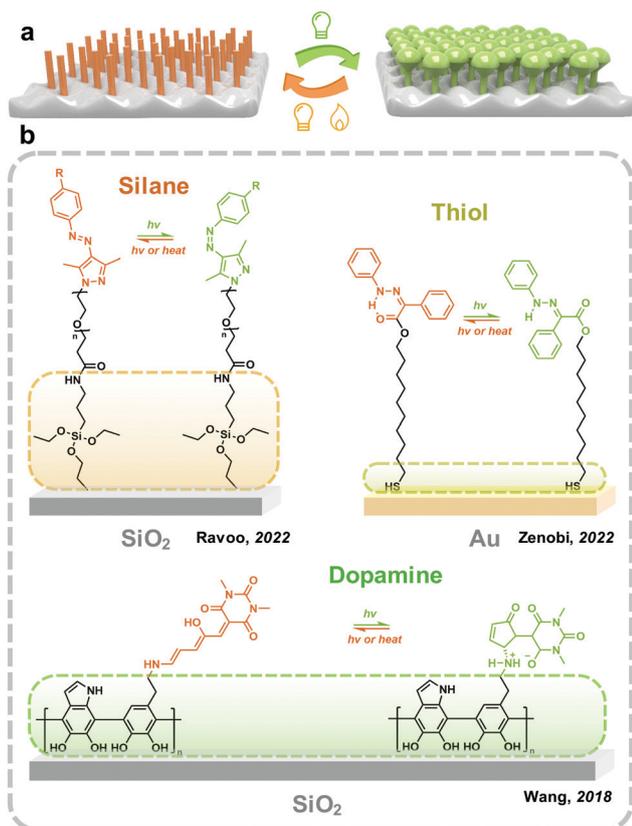


Fig. 5 (a) Schematic illustration of the strategy to assemble monolayer on surface; (b) schematic illustration of examples of reported methods to form monolayer of photoresponsive molecules on surface.

limitation of grafting density on the x - y plane, the tightly “shoulder to shoulder” molecular arrangement would also hinder the photoisomerization process. The aggregation on the x - y plane could be solved by introducing placeholder groups and fixing the anchor point sites beforehand in a diluted manner. Nakagawa and coworkers involved a macrocyclic amphiphile tethering Azo unit to overcome the x - y plane aggregation. The crown conformer (*o*-carboxymethylated calix [4] resorcinarene) on the silica plate ensured sufficient free volume for the *trans*-*cis* isomerization of the tethered Azo, obtaining 87% of *cis* Azo under the irradiation of UV light.⁷² Innovatively, Dai and co-workers modified Au NPs with capped cyclodextrin first, which was used for trapping the Azo terminated poly(*N*-isopropylacrylamide) by host-guest interaction. The catalyst with tunable catalytic activity was prepared under the irradiation of UV and visible light.⁷³

3.2.2 Physisorption. Due to the weak interaction (hydrogen bond and/or van der Waals interaction) between the photo-switches and substrates, photoresponsive molecules could be immobilized on the surface by physisorption. Compared with the method of chemical anchoring, immobilization by physisorption is unstable and the molecular density on the x - y plane is difficult to control. The dense monolayer is not easy to fabricate through this method.

By coordinating platinum(II) to both ends of an Azo derivative, Han and coworkers reported deposited Azo on the surface of graphene to fabricate a diluted monolayer. The light-triggered mobilization of platinum was observed by annular dark-field scanning transmission electron microscopy, indicating that the photoisomerization of Azo overcomes the intermolecular van der Waals interactions.⁶² Similar non-covalent approaches are reported for investigating the photoisomerization mechanism on the surface of carbon nanotubes.⁷⁴ Gopakumar and coworkers immobilized carboxyl-functionalized Azo and arylazopyrazole on highly oriented pyrolytic graphite *via* hydrogen bonding and investigated the molecular arranging behavior during the *trans*-*cis* isomerization. The configuration changing on the free phenyl was clearly observed by scanning tunnel microscopy.⁷⁵ Nørgaard and co-workers designed a well-defined molecular junction by assembling a dihydroazulene monolayer, which was sandwiched between Au/Ti (bottom) and transparent reduced graphene oxide (top). The solid-state molecular junction could switch the conductance of the device by controlling light and heat.⁶⁴

3.2.3 Perspectives. Assembling a monolayer is a clever strategy to avoid molecular aggregation on the z -axis. The density on the x - y plane could be controlled conveniently and precisely by introducing bulky spacers. The surface, therefore, plays the role of a second phase in the solid-state photoresponsive materials. This approach could be further improved by taking care of the following issues:

(1) It is awkward to control the density of a monolayer on the surface. On the one hand, the diluted monolayer ensures sufficient molecular mobility and generates fast and efficient photoswitching on the surface. However, the photoswitching properties of the monolayer are finite due to the low content of

the photoresponsive molecules. On the other hand, increasing the density of photoresponsive molecules causes aggregation on the x - y plane, which limits the photoswitching on the surface. To overcome this shortage, choosing and modifying photoswitches to improve the differential of the properties between photostationary states is helpful. For example, introducing hydrophobic moieties (*i.e.* $-\text{CF}_3$) at the end of Azo switches the wettability on the surface to a wider range. When the Azo is in *trans*, the hydrophobic group heads up and generates a hydrophobic surface, which hides into the monolayer and increases the hydrophilicity when Azo is in *cis*.⁵⁷ Moreover, choosing SP and DASA with zwitterionic photostationary states is also useful.

(2) Durability is an important issue that cannot be ignored. In most cases, the monolayer of photoswitches is formed on the outermost surface of the substrate, which therefore must face the mechanical force, air, and humidity during the practical application. Due to the low content of photoresponsive molecules, the monolayer is easy to be damaged, which induces the loss of photoswitching properties on the surface. One strategy to solve this problem is fabricating a protecting layer on the top of the monolayer. Moreover, damages due to surface scratches and other mechanical forces could be alleviated by some rational engineering designs on the substrate.⁷⁶

3.3 Extrinsic methods: materials with porous structure

Materials with porous structures exhibit high porosity and inner space, enabling them able to carry and immobilize goods, including gas,⁷⁷ catalysts,⁷⁸ drugs⁷⁹ and photoswitches.⁸⁰ Because of the large specific surface area, photoresponsive molecules embedded in the pores could be well distributed, forming mono or few layers on the surface of skeletons (Fig. 6). This is similar to the transition from two-dimensional to three-dimensional space, the monolayer formed on the surface of the substrate (discussed in Section 3.2) extends and crimps plenty of times and finally forms the photoresponsive molecules loaded porous materials. Beyond this, compared with the substrate surface, which exposes the outermost layer to external environments, the skeletons of porous materials provide a natural protecting barrier for the inner phase. However, the porous structure does not always improve the photoswitching in solid. The small pore size also hinders photoisomerization because of the confinement environment. Therefore, the physicochemical environment of pores should be well-controlled for fabricating solid-state photoresponsive materials.

3.3.1 Materials with irregular pores. Amorphous pores could be generated by simply weaving or stacking individual nanomaterials, such as nanofibers, nanoclusters and nanowires. In this case, the nanospace bears a certain mobility and shows relatively low mechanical stability. Alternatively, rigid amorphous pores are fixed by frames, which are commonly seen in porous metal or non-metal oxides,^{81,82} aerogels⁸³ and sponges.⁸⁴ The pore size widely ranges from <2 nm (micropores) to >50 nm (macropores).

Mesoporous silica as a hard porous substrate has been extensively applied in many applications including catalysis,⁸⁵

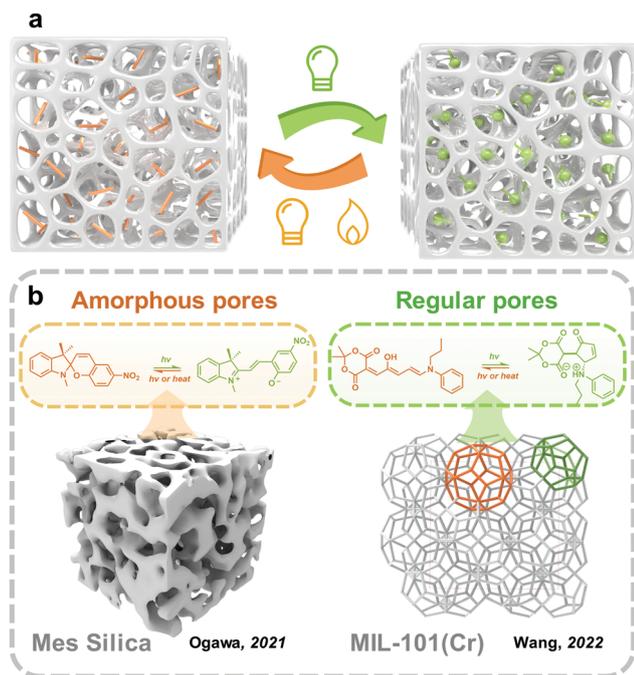


Fig. 6 (a) Schematic illustration of the strategy to embed photoswitches into porous structure; (b) schematic illustration of examples of reported photoswitches in amorphous and regular pores.

membrane technology,⁸⁶ and controlled release.⁸⁷ The fabrication of mesoporous silica materials through the sol-gel process is convenient and low-cost.⁸⁸ In photoswitches were loaded into the nanopores of mesoporous silica to fabricate solid-state photoresponsive materials in recent years.^{89,90} The integration of photoresponsive molecules is similar to the fabrication of the monolayer on the surface discussed in the above section. Ogawa and coworkers reported embedding MC SP in the nanopores of mesoporous silica through a liquid assistance method.⁹¹ This hybrid material was further migrated to two organophilic clays, which were also used as a surfactant to control the molecular diffusion in the nanopores and further alleviate the hygroscopic phenomenon during applications. With the assistance of large free volume provided by mesoporous silica, the confined MC-to-SC isomerization efficiency in the solid state was promoted to 92%, which was further increased to 98% with the assistance of organophilic clay, but there was a slight decrease in the rate constant of SC-to-MC isomerization. Andrieu-Brunsen and coworkers grafted SP through the reaction of aminosilane onto the internal surface of mesoporous silica films.⁹² The SP in porous silica film exhibits fast forward photoisomerization under UV irradiation within 30 s. As a comparison, the authors also prepared a nonporous dense silica film and grafted it with a single monolayer of SP under the same condition. The isomerization of the SP in the nonporous film was non-detectable. Besides, the SP grafted porous silica film further realized the elegant surface water control and gating technology under UV and visible light irradiation.

Polymeric materials with porous structures have been applied for supporting and dispersing photoswitches, such as

sponges,⁹³ nanofibers⁹⁴ and liquid crystal networks.⁹⁵ These integrated materials have multiple application scenarios, including oil-water separation,⁹³ heavy metal removal⁹⁶ and wearable UV sensors.⁹⁷ Lu and coworkers reported immobilizing SP-contained polymers onto the surface of a melamine sponge. The SP-modified sponge showed the SC-to-MC isomerization accomplished in 30 min under the irradiation of UV light ($\lambda = 365$ nm), which exhibited a color change from pale pink to deep purple. Although no more optical properties were discussed, the SP-modified sponge achieved an obvious reversible wettability switching between amphiphilic and superhydrophobic.⁹³ Xiang and coworkers coated SP-grafted PDMS to a melamine sponge through dip-coating and thermocuring technology.⁸⁴ The SC-to-MC isomerization was accomplished in 160 s under UV light irradiation, while the dark relaxation took more than 70 min.

In addition to the above mainstream substrates, photo-switches could be immobilized on the cotton fabrics. Dan and coworkers anchored SP on the cotton fabrics through thiol-ene click chemistry.⁶³ The pale-yellow cotton fabric immediately turns purple within 10 s irradiation of UV light ($\lambda = 365$ nm) (SC-to-MC isomerization). Meanwhile, the purple cotton fabric showed a fast color fading rate under dark (5 min), green light irradiation (within 50 s) and heating (90 °C for 5 s).

3.3.2 Materials with regular pores. Materials with regular pores, such as metal-organic frameworks (MOFs), covalent-organic frameworks (COFs) and zeolites, have received extensive interest in the past five decades.^{98–100} Due to their well-distributed and -controllable nanopores structure, photo-switches loaded into the nanospace could be dispersed at a molecular-level distribution manner.¹⁰¹ In other words, most of the intermolecular aggregation of photoresponsive molecules could be prevented.

The first report on loading photoswitches into the nanopores of the molecular sieve was in 1997 by Caro and coworkers.¹⁰² Azo loaded in zeolites showed alignment and was parallel to straight nanochannels because of the rod-like conformation. Since then, the strategy of using zeolites as the substrate to fabricate solid-state photoresponsive materials has been widely investigated.^{103–105} Although the concept of MOFs was proposed in 1995,¹⁰⁶ the first example incorporating photo-switches and MOFs was reported in 2010 by Fujita and coworkers.¹⁰⁷ The stilbene was soaked into the nanopores of $[(\text{ZnI}_2)_3(\text{tris}(4\text{-pyridyl})\text{triazine})_2 \cdot x(\text{C}_6\text{H}_5\text{NO}_2)]_n$. In this work, MOFs behave as a catalyst to promote the photoisomerization of stilbene in solutions, and the photoisomerization in solid-state was not investigated.

Till now, MOFs/COFs have become one of the most promising candidates for integrating photoswitches. Several reviews have summarized the developments and applications of the solid-state photoresponsive materials fabricated through this strategy.^{108–112} Shustova and coworkers classified the embedding of photoswitches in three methods, guest, linker and backbone (Fig. 7). Photoresponsive molecules as the guests are directly loaded into the nanopores of MOFs by soaking,¹¹³

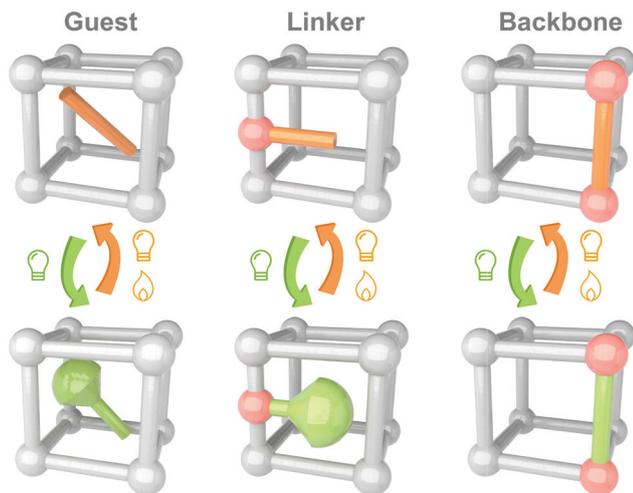


Fig. 7 Schematic illustration of the strategy to integrate photoswitches with MOFs/COFs as guest, linker and backbone.

subliming,¹¹⁴ or grinding.¹¹⁵ These convenient methods are applicable to most photoswitches. Photoresponsive molecules with relatively low melting points (*e.g.* Azo and SP) could be embedded into the MOFs using melting or gas phase methods (sublimation and resublimation), which was first reported by Kim and coworkers.¹¹⁶ This method involved systematic studies by Ruschewitz and coworkers in the past decade while investigating the isomerization properties of Azo, fluorine-substituted Azo, SP and spirooxazine in the nanopores of MOFs.^{117–120} Ke and coworkers introduced fabricating non-interpenetrated single-crystalline hydrogen-bonded crosslinked organic frameworks by heteromeric carboxylic acid dimers, termed HCOF-101.¹²¹ It is the first time that carboxylic acid building blocks are hydrogen-bonded to form a porous crystalline network. Hydrazone was introduced into the nanopores of HCOF-101, which achieved 70% *trans*-to-*cis* isomerization under 442 nm light irradiation. To further improve the distribution, photoresponsive molecules could be introduced as a linker or backbone. By partially confining the photoswitches, the intermolecular aggregation could be further excluded. However, these methods are suitable for the photoresponsive molecules with tiny conformation variation during isomerization, such as naphthalenediimide,¹²² DAE¹²³ and viologen.¹²⁴ These molecules usually show fast (<30 s) and efficient (>85%) photoisomerization in the nanopores of MOFs/COFs.^{125,126}

Photoresponsive molecules loaded in the nanopores of MOFs are surrounded by the skeletons, therefore, MOFs are thought to be “solvent-like”.¹¹⁹ In this case, the isomerization properties of photoswitches are closely affected by the physicochemical environment in the nanopores, including the geometrical size, morphology and polarity. Wang and coworkers reported loading DASA with various electron-donating and -withdrawing moieties into the nanopores of two MOFs (MIL-68(Al) and MIL-101(Cr)) with different parameters. DASA exhibits hindered linear-to-cyclic and promoted cyclic-to-linear

isomerization in MIL-68(Al), while the isomerization properties in MIL-101(Cr) follow similar rules in solutions. The interaction between photoswitches and skeletons of MOFs was demonstrated to be correlated with the isomerization kinetics and efficiency.¹¹³

The embedding of photoresponsive molecules into the nanopores of COFs is similar, Jiang and coworkers prepared photoresponsive COFs by synthesizing aldehyde-functionalized DAE *via* the Suzuki coupling reaction, which was functionalized as the backbone. Furthermore, a porphyrin photosensitizer was introduced into the COFs. In addition to the as-expected reversible photochromism and fluorescence-switching functionality of the solid-state materials, the COFs exhibited photocatalytic activities toward singlet oxygen evolution.¹²⁷

3.3.3 Perspectives. Integrating photoswitches into materials with porous structures could be a developed strategy for fabricating monolayers on the surface. The photoresponsive molecules were well-distributed and exhibited stable mechanical stability because of the protection by the skeletons of porous materials. Moreover, photoresponsive solid powder materials could be fabricated through this method, which is applicable as additives for the fabrication of bulky materials. However, the porous structure does not always promote photoisomerization. Due to the “solvent-like” property, the physicochemical environment in the nanopores must be carefully controlled. This approach could be further developed in the following issues:

(1) Further improving the durability of the photoswitches in the nanopores. Although protected by the skeletons of porous materials, the mechanical and thermal stability could improve, and the durability of photoresponsive molecules is worthy to be further improved to ensure practical applications. Firstly, the diffusion of photoswitches occurs during the repeated isomerization process and the photoresponsive molecules tend to vibrate to the state with the lowest energy. This leads to the intermolecular aggregation in the nanopores, locked by the rigid “traps” or escape from the porous structure.^{128,129} Therefore, while providing sufficient free space for isomerization, excessive molecular mobility needs to be limited. This might be solved by introducing surfactants, immobilizing photoswitches on the skeletons and nanopores designing (*i.e.* window control, post-modification). In addition, due to the hydroxyl-enrichment on the surface, many materials with porous structures are hydrophilic, which have the potential to uptake water molecules from the air.^{130,131} However, this could be a “disaster” for some moisture-sensitive photoswitches. For example, linear DASA coordinates with water molecules and stabilizes at a cyclic state, which does not switch back in the dark.¹³² This needs to be considered at an early stage of design.

(2) Tuning the isomerization properties of photoswitches by controlling the physicochemical environment in nanopores. It could be interesting to understand the relationships between the isomerization properties of loaded photoresponsive molecules and the physicochemical environment in nanopores. For the materials with regular nanopores, the physicochemical environment could be well-designed and controlled. For

example, the size, morphology and polarity of the nanopores of MOFs could be tuned by varying the chemical structure of organic ligands.¹³³ This is helpful for the systematic fabrication of solid-state photoresponsive materials.

3.4 Extrinsic methods: complexing with polymers

Incorporating photoswitches with polymers is a convenient and efficient strategy to realize the mass production of solid-state photoresponsive materials. Long and soft polymer chains help the dispersion of photoresponsive molecules and improve photoisomerization in the solid state (Fig. 8). However, due to the mobility of polymers, the intermolecular aggregation of photoresponsive molecules could not be completely prevented. The introduction strategies of photoswitches into polymeric materials mainly include chemical modification and physical complexing.

3.4.1 Chemical modification: grafting and copolymerization. Photoresponsive molecules could be introduced onto the backbone or side chain of polymers either by grafting or copolymerization. For the method of grafting, photoswitches are introduced through the reaction (*i.e.* coupling, condensation) with already formed polymers with functional groups.¹³⁴

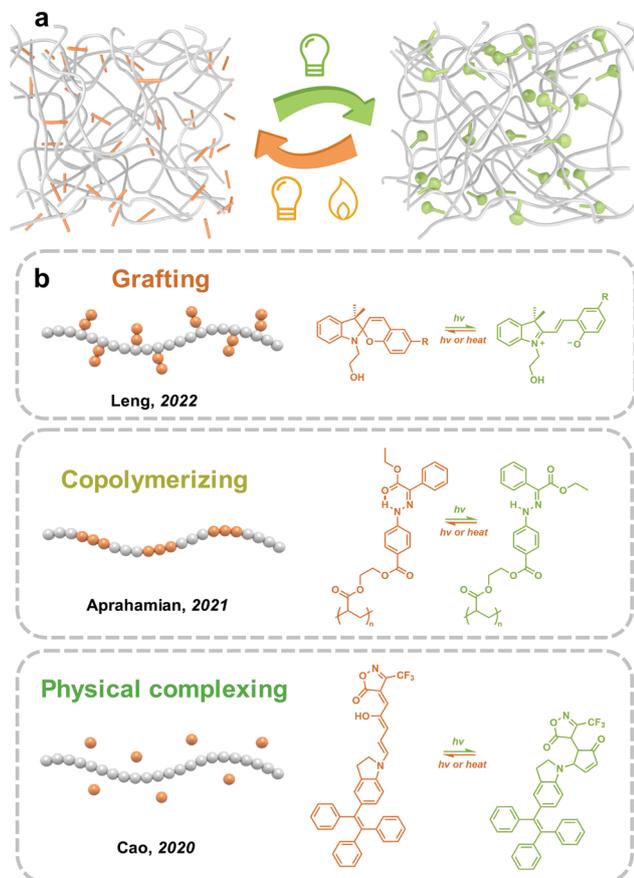


Fig. 8 (a) Schematic illustration of the strategy to complex photoswitches in the polymers; (b) schematic illustration of examples of introducing photoswitches into polymer matrix by grafting, copolymerizing and physical complexing.

Leng and coworkers fabricated shape memory polymeric materials by grafting SP on the side chain of polyurethane. The SP exhibited fast SC-to-MC isomerization under UV light irradiation. Moreover, this process could also be triggered by stress.¹³⁵ On the other hand, for the method of copolymerization, photoswitches-modified monomers are synthesized before the formation of photoresponsive polymers. Due to the steric effect, the photoswitches are difficult to substitute in a 100% yield *via* the grafting strategy, which is not a problem with copolymerization. However, the molecular weight is difficult to be controlled and usually low by polymerizing with the photoswitches-modified monomers.¹³⁶ Faupel and coworkers reported an initiated chemical vapor deposition technique for the fabrication of photoresponsive films. The crystalline styrenediazocine (photoresponsive monomers) together with 1,3,5-trivinyl-1,3,5-trimethylcyclotrisiloxane (another monomer) were sublimed into the reactor chamber, undergoing a radical polymerization in the gas phase and finally deposited on various substrates.¹³⁷ The isomerization between *trans* and *cis* occurred within 20 min under UV and visible light irradiations, reversibly switching the films between yellow and red.¹³⁷

Studies on the isomerization properties of photoswitches in polymers are mainly focused on solutions because the distribution assisted by polymers does not completely prevent the intermolecular aggregation of photoresponsive molecules. Wu and coworkers reported synthesizing photoresponsive polymers by Azo-modified monomers. Two Azo molecules with planar (Azo) and non-planar (mAzo) *trans* isomers were investigated.⁵⁰ While the Azo- and mAzo-polymers exhibited similar *trans-cis* isomerization properties in solutions, the *trans-to-cis* photoisomerization of Azo-polymers was significantly hindered in films due to the intermolecular aggregation of Azo. On the other hand, because of the non-planar chemical structure, the forward photoisomerization of mAzo-polymers in films is fast and efficient.⁵⁰ These indicate that the design of the chemical structure of photoswitches is important for the fabrication of solid-state photoresponsive materials.

3.4.2 Physical complexing. Compared with the strategy of chemical modification, introducing photoswitches into polymeric materials through physical complexing fits well with widely ranging photoresponsive molecules and polymers. Nowadays, research is mainly focusing on promoting the photoisomerization properties to meet the demands of practical applications. Hömmerich and coworkers reported introducing arylazopyrazole into polydimethylsiloxane (PDMS) to fabricate polymeric films. The composite films exhibited fast and reversible isomerization in the solid state by controlling 365 nm UV and 525 nm green light irradiation, which is comparable to the isomerization behavior in solutions.¹³⁸ The efficient isomerization of arylazopyrazole induced reversible bending of the composite films. Chen and coworkers combined DAE and SP into polymeric nanoparticles through mini-emulsion polymerization, resulting in a tunable fluorescence resonance energy transfer (FRET) system. The fluorescence emission of the nanoparticles could be reversibly switched between four states by controlling light with four different

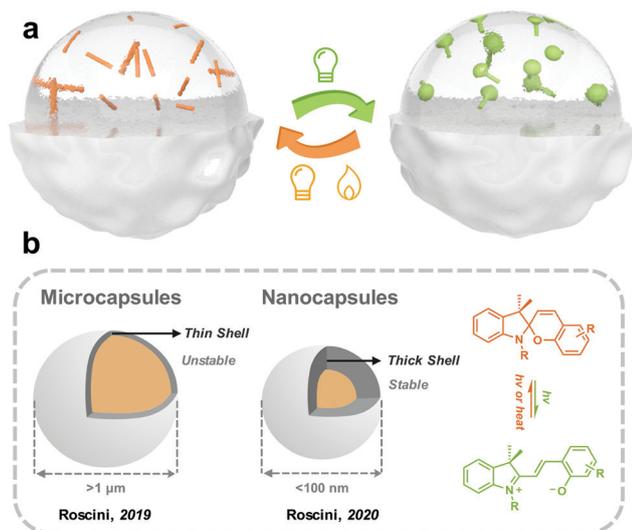


Fig. 9 (a) Schematic illustration of the strategy to load photoswitches into the solvent-containing capsules; (b) schematic illustration of examples of reported photoswitches in solvent-containing microcapsules and nanocapsules.

photoisomerization of target photoswitches; second, it should be a poor solvent for the shell polymers; finally, solvents with high boiling point and low volatility would be preferred.

Roscini and coworkers started fabricating solid-state photoresponsive materials by solvent-in-solid strategy in 2016. Nonanoic acid was applied as the solvent for SP, which was encapsulated by PMMA through a reaction-free emulsion-solvent evaporation method. At room temperature (below the melting point of nonanoic acid), the SP in the microcapsules was dominated by SC, which efficiently isomerized to MC under the irradiation of UV light. The reversed MC-to-SC isomerization of SP is effectively triggered by visible light irradiation at 60 °C (beyond the melting point of nonanoic acid). This work demonstrated the possibility of preparing solid-state photoresponsive materials through a universal, straightforward and modification-free strategy.¹⁵³ They extended the similar design philosophy by investigating multiple combinations of cores (SP and solvent) and shells (polymers), and photoresponsive microcapsules with distinct photochromic properties (isomerization kinetics and colors) were obtained.¹⁵⁴ Mahdavian and coworkers reported spironaphthoxazine@oleic acid@PMMA microcapsules, which were used for polymeric coating and non-woven cotton fabric.¹⁵⁵

Nanoemulsions can generate droplets with a diameter between 10 to 100 nm, which are therefore more kinetically stable than microcapsules.¹⁵⁶ Roscini and coworkers first applied nanoemulsions to encapsulate the T-type spirooxazine to fabricate photochromic films. The photoresponsive nanoemulsions were further enclosed in a hydrophilic polymeric matrix (polyvinyl alcohol), generating a highly transparent film. The photoisomerization behavior of this solid film is superfast and closed to that in the diluted solution. Besides, the photochromic films exhibit excellent fatigue resistance, making them attractive in smart windows.¹⁵⁷

3.5.2 Perspectives. Solvent-in-solid strategy is a clever method that maintains the excellent photoisomerization property of photoresponsive molecules in solutions and maintains the mechanical stability of polymers. Moreover, it is applicable to most photoswitches, even for the photoresponsive molecules isomerized through the mechanism of dimerization.¹⁵⁸ The photochromism achieved by the solvent-in-solid strategy is attractive because the photoresponsive molecules in the capsules keep the same absorbance and color in solutions. On the other hand, the color of photoresponsive molecules always shifts in nanomaterials and polymers, due to the changing chemical environment. The solvent-in-solid strategy is not limited to fabricating solid-state photoresponsive materials, but also extends to the materials with electrochromism.¹⁵⁹ However, the durability of the photoresponsive materials fabricated through the solvent-in-solid strategy still needs to be improved to fulfill the demands of real-world applications.

(1) Seeking suitable shell materials. First, polymers with strong mechanical stability are preferred, ensuring the intact of the wall against inside pressure and outside forces; second, the thickness of the wall should prevent solvent leakage as well as maintain high transmittance; third, the shell should be insensitive to the temperature changes, melting or extension are prohibited under high temperature, and cooling shrinkage also needs to be avoided.

(2) Further decrease in the diameter of the capsules. So far, the diameter of most photoresponsive capsules is above 1 μm because, the huge surface tension constraints are difficult to overcome by mechanical agitation. A larger particle size brings worse stability and a lower shell thickness/radius ratio, which requires a thicker shell to maintain the internal pressure. Further decreasing the photoresponsive capsule size to between 10 nm and 100 nm could increase the kinetical stability.¹⁵⁶

4. Challenges still exist

4.1 Photoresponsive materials with strong mechanical stability

Mechanical stability meets the key requirement of solid-state materials when applied in practical terms. However, seldom works are designed for chasing photoresponsive materials with strong mechanical stability. Because the isomerization of photoresponsive molecules requires certain intrinsic mobility, many solid-state photoresponsive materials are in the form of films and soft matter (Fig. 10).

Photoswitches could be performed as solid powders with the chemical modification and under the assistance of MOFs/COFs, which are made of small crystals and need to be incorporated with another phase to turn into solid blocks with designated shapes. In this case, the introduced phase contributes to the mechanical stability of the photoresponsive materials.

Appropriate incubating methods and molecular structures are able to form crystals with big crystal sizes. However, the formation of the crystals is driven by weak intramolecular

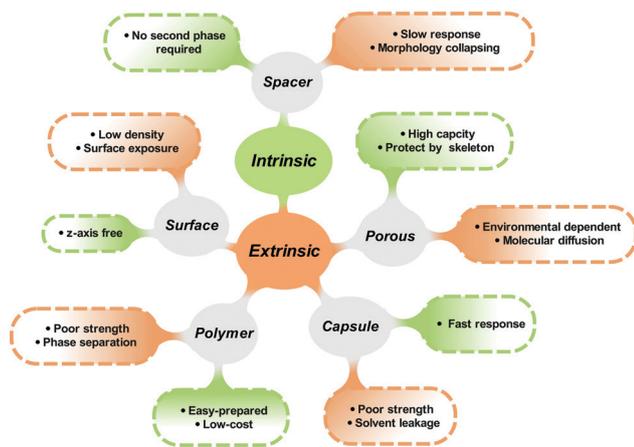


Fig. 10 Schematic illustration of the advantages and disadvantages of each strategy.

forces (*i.e.* H-bond or π - π interaction), which is not sufficient to endow a strong bonding manner to obtain strong mechanical strength.

Surface modification is a potential way to harvest both, photochromic properties and mechanical strength, by immobilizing the photoresponsive molecules on the surface of the substrate with strong mechanical strength. However, as discussed in the above section, the outmost layer of the materials still needs protection to face the mechanical force, oxygen and water (Fig. 10).

Polymer matrices might be the most promising candidates for preparing photoresponsive solid with good mechanical strength. However, the hard polymer is usually made of rigid chains (high T_g), which might confine the space for efficient isomerization. This is why most cases show fast and efficient isomerization in polymer matrices are limited to “soft” species.

Similarly, for the solvent-in-solid strategy, the shell materials should bear both strong mechanical strength and good sealing properties, maintaining the structural integrity of the capsules, and preventing solvent leakages and oxygen/water penetration.

4.2 Materials responsive to natural light

For the solid-state photoresponsive materials in practical applications, the light irradiating conditions (*e.g.* intensity, wavelength) are not as good as they are in the laboratory. Therefore, photoresponsive materials under the control of natural light might be attractive. Natural light is a complex mixture of light with different wavelengths, including UV, visible, and NIR components. Therefore, for most photoresponsive molecules with both forward and backward isomerization induced by light, such as Azo, SP, DAE and anthracene, the isomerization would not efficiently occur under natural light because of the competition between forward and backward isomerization. In this case, DASA with the visible-light-induced linear-to-cyclic isomerization and heat-induced backward isomerization is attractive to be controlled by natural light.

Besides, red-shifting the induced light is also important for the solid-state photoresponsive materials. Most photoswitching occurs under UV light irradiation. However, due to the inevitable drawbacks such as low penetrability, photobleaching effect and unhealthy for humans, UV light is hard to fulfill the demands of real-world applications. In recent years, plenty of works have been reported on red-shifting the induced light by molecular design and chemical modification, which is applicable to most photoswitches.^{20,50}

4.3 Photoresponsive materials with high thickness

The molecular mobility is sharply decreased with the increase in depth in solid-state materials. Moreover, limited by the penetrability of the induced light, the light intensity could be very weak in the deep solid-state materials because of the absorbance and scattering. Therefore, achieving photoresponsive materials with high thickness remains a challenge.

With the assistance of polymers, photoresponsive materials with high thickness have been realized as soft matters, including hydrogels and elastomers. However, preparing the “hard” photoresponsive materials with a certain thickness is still difficult. Rationally, several points need to be fulfilled as mentioned below to fabricate photoresponsive materials with high thickness, (1) the long-wavelength induced is preferred due to the good penetrability; (2) the substrate should be light-penetrable toward a specific wavelength range; (3) molecules with negative photochromism are preferred because the discoloration process initiated from the outmost layer would not or less hinder the light penetrating to inner space, ensuring the fast and efficient photoisomerization.

Author contributions

F. Sun and D. Wang wrote the manuscript.

Conflicts of interest

The authors declare no competing financial interest.

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